

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | AA     | 0                   | 6121         | 17119        | C      |
| 20210504 | AAAU   | 0                   | 1359         | 3161         | C      |
| 20210504 | AAC U  | 0                   | 0            | 200          | C      |
| 20210504 | AACG   | 0                   | 0            | 90           | C      |
| 20210504 | AACQ   | 0                   | 1900         | 12265        | C      |
| 20210504 | AACQW  | 0                   | 400          | 400          | C      |
| 20210504 | AAIC   | 0                   | 454          | 454          | C      |
| 20210504 | AAL    | 108                 | 419813       | 508410       | C      |
| 20210504 | AAME   | 0                   | 790          | 795          | C      |
| 20210504 | AAN    | 0                   | 1132         | 2630         | C      |
| 20210504 | AAOI   | 0                   | 5228         | 5864         | C      |
| 20210504 | AAON   | 0                   | 1006         | 1178         | C      |
| 20210504 | AAP    | 0                   | 3412         | 8801         | C      |
| 20210504 | AAPL   | 238                 | 177828       | 240374       | C      |
| 20210504 | AAQC U | 0                   | 100          | 100          | C      |
| 20210504 | AAT    | 0                   | 980          | 1450         | C      |
| 20210504 | AAU    | 2400                | 11850        | 15250        | C      |
| 20210504 | AAWW   | 0                   | 3953         | 4529         | C      |
| 20210504 | AAXJ   | 0                   | 950          | 1600         | C      |
| 20210504 | AB     | 0                   | 2960         | 4163         | C      |
| 20210504 | ABB    | 0                   | 11303        | 15236        | C      |
| 20210504 | ABBV   | 0                   | 16684        | 31623        | C      |
| 20210504 | ABC    | 0                   | 3751         | 7124         | C      |
| 20210504 | ABCB   | 0                   | 197          | 292          | C      |
| 20210504 | ABCL   | 0                   | 7435         | 7740         | C      |
| 20210504 | ABCM   | 0                   | 402          | 406          | C      |
| 20210504 | ABEO   | 3043                | 28082        | 35434        | C      |
| 20210504 | ABEV   | 500                 | 317900       | 593623       | C      |
| 20210504 | ABG    | 0                   | 414          | 512          | C      |
| 20210504 | ABIO   | 0                   | 100          | 100          | C      |
| 20210504 | ABM    | 0                   | 1345         | 8540         | C      |
| 20210504 | ABMD   | 0                   | 1835         | 3365         | C      |
| 20210504 | ABNB   | 100                 | 27604        | 29564        | C      |
| 20210504 | ABR    | 0                   | 3001         | 4091         | C      |
| 20210504 | ABST   | 0                   | 298          | 298          | C      |
| 20210504 | ABT    | 0                   | 19623        | 48490        | C      |
| 20210504 | ABTX   | 0                   | 0            | 76           | C      |
| 20210504 | ABUS   | 103                 | 33662        | 44698        | C      |
| 20210504 | AC     | 0                   | 106          | 106          | C      |
| 20210504 | ACA    | 0                   | 748          | 1190         | C      |
| 20210504 | ACAD   | 0                   | 4232         | 7706         | C      |
| 20210504 | ACB    | 4970                | 31117        | 64092        | C      |
| 20210504 | ACBI   | 0                   | 73           | 75           | C      |

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|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | ACC    | 0                   | 5293         | 7801         | C      |
| 20210504 | ACCD   | 32                  | 2433         | 9319         | C      |
| 20210504 | ACCO   | 0                   | 2397         | 2931         | C      |
| 20210504 | ACEL   | 0                   | 345          | 1145         | C      |
| 20210504 | ACER   | 0                   | 44           | 64           | C      |
| 20210504 | ACET   | 0                   | 302          | 618          | C      |
| 20210504 | ACEV   | 0                   | 0            | 20           | C      |
| 20210504 | ACGL   | 0                   | 8629         | 13917        | C      |
| 20210504 | ACH    | 0                   | 200          | 300          | C      |
| 20210504 | ACHC   | 0                   | 823          | 904          | C      |
| 20210504 | ACI    | 0                   | 9394         | 13664        | C      |
| 20210504 | ACIC   | 0                   | 1153         | 1400         | C      |
| 20210504 | ACIU   | 0                   | 62           | 144          | C      |
| 20210504 | ACIW   | 0                   | 1381         | 2413         | C      |
| 20210504 | ACKIU  | 0                   | 0            | 200          | C      |
| 20210504 | ACLS   | 0                   | 2118         | 3257         | C      |
| 20210504 | ACM    | 0                   | 586          | 4499         | C      |
| 20210504 | ACMR   | 0                   | 594          | 816          | C      |
| 20210504 | ACN    | 0                   | 3991         | 7949         | C      |
| 20210504 | ACNB   | 0                   | 28           | 28           | C      |
| 20210504 | ACOR   | 0                   | 100          | 100          | C      |
| 20210504 | ACR    | 0                   | 234          | 284          | C      |
| 20210504 | ACRE   | 0                   | 12           | 2139         | C      |
| 20210504 | ACRS   | 0                   | 1875         | 2735         | C      |
| 20210504 | ACRX   | 0                   | 11116        | 13652        | C      |
| 20210504 | ACST   | 12347               | 71492        | 154863       | C      |
| 20210504 | ACTC   | 0                   | 698          | 1063         | C      |
| 20210504 | ACTG   | 0                   | 583          | 1420         | C      |
| 20210504 | ACTV   | 0                   | 0            | 2200         | C      |
| 20210504 | ACU    | 0                   | 100          | 200          | C      |
| 20210504 | ACVA   | 0                   | 549          | 1484         | C      |
| 20210504 | ACVF   | 0                   | 436          | 436          | C      |
| 20210504 | ACWI   | 0                   | 15619        | 20969        | C      |
| 20210504 | ACWV   | 0                   | 0            | 100          | C      |
| 20210504 | ACWX   | 0                   | 15603        | 18854        | C      |
| 20210504 | ACY    | 0                   | 900          | 1775         | C      |
| 20210504 | ADAG   | 0                   | 0            | 113          | C      |
| 20210504 | ADAP   | 0                   | 1717         | 3625         | C      |
| 20210504 | ADBE   | 0                   | 10729        | 19334        | C      |
| 20210504 | ADC    | 0                   | 3851         | 5981         | C      |
| 20210504 | ADCT   | 0                   | 2423         | 3347         | C      |
| 20210504 | ADER   | 0                   | 15           | 15           | C      |
| 20210504 | ADES   | 0                   | 523          | 525          | C      |
| 20210504 | ADF U  | 0                   | 0            | 1100         | C      |

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|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | ADFI   | 0                   | 0            | 100          | C      |
| 20210504 | ADI    | 0                   | 12493        | 21188        | C      |
| 20210504 | ADIL   | 0                   | 0            | 1000         | C      |
| 20210504 | ADM    | 0                   | 11989        | 19236        | C      |
| 20210504 | ADMA   | 200                 | 48674        | 65571        | C      |
| 20210504 | ADMP   | 100                 | 150269       | 165931       | C      |
| 20210504 | ADMS   | 0                   | 706          | 1074         | C      |
| 20210504 | ADN    | 0                   | 500          | 701          | C      |
| 20210504 | ADNT   | 100                 | 3418         | 5088         | C      |
| 20210504 | ADP    | 1                   | 3789         | 4610         | C      |
| 20210504 | ADPT   | 6                   | 11491        | 15176        | C      |
| 20210504 | ADRA U | 0                   | 300          | 2600         | C      |
| 20210504 | ADRE   | 0                   | 200          | 200          | C      |
| 20210504 | ADS    | 0                   | 5063         | 6244         | C      |
| 20210504 | ADSK   | 16                  | 4040         | 5285         | C      |
| 20210504 | ADT    | 0                   | 33681        | 56504        | C      |
| 20210504 | ADTN   | 0                   | 346          | 553          | C      |
| 20210504 | ADTX   | 0                   | 602          | 802          | C      |
| 20210504 | ADUS   | 5                   | 3021         | 3343         | C      |
| 20210504 | ADV    | 0                   | 636          | 735          | C      |
| 20210504 | ADVM   | 476                 | 28855        | 44922        | C      |
| 20210504 | ADX    | 0                   | 248          | 468          | C      |
| 20210504 | ADXS   | 3229                | 28618        | 63649        | C      |
| 20210504 | AE     | 0                   | 0            | 28           | C      |
| 20210504 | AEACW  | 0                   | 0            | 100          | C      |
| 20210504 | AEE    | 0                   | 1583         | 6160         | C      |
| 20210504 | AEG    | 0                   | 58740        | 60045        | C      |
| 20210504 | AEGN   | 0                   | 2            | 30           | C      |
| 20210504 | AEHR   | 0                   | 200          | 1108         | C      |
| 20210504 | AEIS   | 0                   | 1810         | 2286         | C      |
| 20210504 | AEL    | 0                   | 1230         | 1929         | C      |
| 20210504 | AEM    | 0                   | 5937         | 8430         | C      |
| 20210504 | AEMD   | 0                   | 299          | 303          | C      |
| 20210504 | AENZ   | 0                   | 100          | 177          | C      |
| 20210504 | AEO    | 0                   | 11398        | 15933        | C      |
| 20210504 | AEP    | 15                  | 5124         | 10700        | C      |
| 20210504 | AER    | 0                   | 5907         | 7556         | C      |
| 20210504 | AERI   | 0                   | 1186         | 1600         | C      |
| 20210504 | AES    | 0                   | 67523        | 100614       | C      |
| 20210504 | AESE   | 0                   | 641          | 1041         | C      |
| 20210504 | AESR   | 0                   | 808          | 808          | C      |
| 20210504 | AEVA   | 0                   | 2122         | 2385         | C      |
| 20210504 | AEY    | 0                   | 299          | 299          | C      |
| 20210504 | AEYE   | 0                   | 300          | 599          | C      |

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|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | AEZS   | 600                 | 21368        | 24492        | C      |
| 20210504 | AFCG   | 0                   | 100          | 301          | C      |
| 20210504 | AFG    | 0                   | 286          | 330          | C      |
| 20210504 | AFGD   | 0                   | 104          | 104          | C      |
| 20210504 | AFI    | 0                   | 500          | 682          | C      |
| 20210504 | AFIB   | 0                   | 2136         | 2136         | C      |
| 20210504 | AFIN   | 0                   | 219          | 473          | C      |
| 20210504 | AFL    | 0                   | 14080        | 18848        | C      |
| 20210504 | AFMD   | 0                   | 3064         | 11463        | C      |
| 20210504 | AFRM   | 0                   | 8832         | 12626        | C      |
| 20210504 | AFT    | 0                   | 0            | 100          | C      |
| 20210504 | AFYA   | 0                   | 354          | 1512         | C      |
| 20210504 | AG     | 1232                | 25264        | 70515        | C      |
| 20210504 | AGAC U | 0                   | 0            | 100          | C      |
| 20210504 | AGC    | 0                   | 5082         | 7904         | C      |
| 20210504 | AGCO   | 0                   | 4247         | 5914         | C      |
| 20210504 | AGCUU  | 0                   | 51           | 51           | C      |
| 20210504 | AGD    | 0                   | 0            | 100          | C      |
| 20210504 | AGE    | 0                   | 390          | 1300         | C      |
| 20210504 | AGEN   | 11                  | 45378        | 49010        | C      |
| 20210504 | AGFS   | 0                   | 1342         | 1347         | C      |
| 20210504 | AGFY   | 0                   | 104          | 115          | C      |
| 20210504 | AGG    | 0                   | 143780       | 199658       | C      |
| 20210504 | AGI    | 100                 | 85711        | 113176       | C      |
| 20210504 | AGIO   | 0                   | 3468         | 5901         | C      |
| 20210504 | AGL    | 0                   | 2893         | 5942         | C      |
| 20210504 | AGLE   | 0                   | 329          | 663          | C      |
| 20210504 | AGM    | 0                   | 208          | 208          | C      |
| 20210504 | AGNC   | 300                 | 143056       | 187836       | C      |
| 20210504 | AGO    | 0                   | 2308         | 3300         | C      |
| 20210504 | AGQ    | 0                   | 1925         | 1950         | C      |
| 20210504 | AGR    | 0                   | 4521         | 5688         | C      |
| 20210504 | AGRO   | 0                   | 2066         | 2693         | C      |
| 20210504 | AGRX   | 0                   | 18738        | 19658        | C      |
| 20210504 | AGS    | 0                   | 806          | 1340         | C      |
| 20210504 | AGTC   | 0                   | 4182         | 7082         | C      |
| 20210504 | AGTI   | 0                   | 1139         | 2407         | C      |
| 20210504 | AGX    | 0                   | 124          | 441          | C      |
| 20210504 | AGYS   | 0                   | 1062         | 1435         | C      |
| 20210504 | AGZ    | 0                   | 160          | 367          | C      |
| 20210504 | AGZD   | 0                   | 300          | 300          | C      |
| 20210504 | AHAC   | 0                   | 300          | 800          | C      |
| 20210504 | AHC    | 0                   | 42           | 43           | C      |
| 20210504 | AHCO   | 0                   | 3113         | 4409         | C      |

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|----------|---------|---------------------|--------------|--------------|--------|
| 20210504 | AHH     | 0                   | 436          | 951          | C      |
| 20210504 | AHL PRD | 0                   | 0            | 100          | C      |
| 20210504 | AHPI    | 0                   | 100          | 100          | C      |
| 20210504 | AHT     | 7255                | 103404       | 170680       | C      |
| 20210504 | AI      | 0                   | 8527         | 12897        | C      |
| 20210504 | AIEQ    | 0                   | 0            | 468          | C      |
| 20210504 | AIG     | 0                   | 26508        | 56774        | C      |
| 20210504 | AIH     | 0                   | 595          | 609          | C      |
| 20210504 | AIHS    | 0                   | 900          | 900          | C      |
| 20210504 | AIKI    | 1100                | 2300         | 2619         | C      |
| 20210504 | AIM     | 0                   | 80           | 880          | C      |
| 20210504 | AIMC    | 0                   | 734          | 1299         | C      |
| 20210504 | AIN     | 0                   | 588          | 626          | C      |
| 20210504 | AINC    | 0                   | 0            | 200          | C      |
| 20210504 | AINV    | 0                   | 541          | 692          | C      |
| 20210504 | AIQ     | 0                   | 300          | 700          | C      |
| 20210504 | AIR     | 0                   | 3967         | 10007        | C      |
| 20210504 | AIRC    | 0                   | 1125         | 1847         | C      |
| 20210504 | AIRG    | 0                   | 200          | 203          | C      |
| 20210504 | AIRI    | 0                   | 100          | 100          | C      |
| 20210504 | AIT     | 0                   | 404          | 796          | C      |
| 20210504 | AIV     | 0                   | 58165        | 77082        | C      |
| 20210504 | AIZ     | 0                   | 775          | 1403         | C      |
| 20210504 | AIZN    | 0                   | 0            | 100          | C      |
| 20210504 | AJAX    | 0                   | 527          | 900          | C      |
| 20210504 | AJG     | 0                   | 1324         | 2656         | C      |
| 20210504 | AJRD    | 0                   | 2847         | 8339         | C      |
| 20210504 | AJX     | 0                   | 69           | 69           | C      |
| 20210504 | AJXA    | 0                   | 0            | 48           | C      |
| 20210504 | AKAM    | 0                   | 14827        | 17817        | C      |
| 20210504 | AKBA    | 0                   | 68245        | 105594       | C      |
| 20210504 | AKR     | 0                   | 2792         | 3904         | C      |
| 20210504 | AKRO    | 0                   | 1354         | 1512         | C      |
| 20210504 | AKTS    | 84                  | 2584         | 4205         | C      |
| 20210504 | AKTX    | 99                  | 199          | 400          | C      |
| 20210504 | AKU     | 100                 | 100          | 300          | C      |
| 20210504 | AKUS    | 0                   | 354          | 460          | C      |
| 20210504 | AKYA    | 0                   | 579          | 1837         | C      |
| 20210504 | AL      | 0                   | 2896         | 5407         | C      |
| 20210504 | ALAC    | 0                   | 0            | 5            | C      |
| 20210504 | ALB     | 0                   | 4115         | 6627         | C      |
| 20210504 | ALBO    | 100                 | 685          | 685          | C      |
| 20210504 | ALC     | 0                   | 1637         | 10744        | C      |
| 20210504 | ALCO    | 0                   | 0            | 2            | C      |

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|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | ALDX   | 0                   | 8479         | 9173         | C      |
| 20210504 | ALE    | 0                   | 1308         | 5991         | C      |
| 20210504 | ALEC   | 0                   | 1226         | 1805         | C      |
| 20210504 | ALEX   | 0                   | 1134         | 1488         | C      |
| 20210504 | ALF    | 0                   | 300          | 18221        | C      |
| 20210504 | ALG    | 0                   | 523          | 590          | C      |
| 20210504 | ALGM   | 0                   | 1360         | 2189         | C      |
| 20210504 | ALGN   | 0                   | 3646         | 7076         | C      |
| 20210504 | ALGS   | 2                   | 714          | 738          | C      |
| 20210504 | ALGT   | 8                   | 1062         | 1588         | C      |
| 20210504 | ALHC   | 0                   | 1615         | 2348         | C      |
| 20210504 | ALJJ   | 0                   | 0            | 100          | C      |
| 20210504 | ALK    | 0                   | 6422         | 11678        | C      |
| 20210504 | ALKS   | 0                   | 8681         | 10206        | C      |
| 20210504 | ALKT   | 0                   | 100          | 200          | C      |
| 20210504 | ALL    | 0                   | 5080         | 9744         | C      |
| 20210504 | ALLE   | 0                   | 3139         | 5029         | C      |
| 20210504 | ALLK   | 0                   | 1175         | 1372         | C      |
| 20210504 | ALLO   | 0                   | 2492         | 3079         | C      |
| 20210504 | ALLT   | 0                   | 428          | 855          | C      |
| 20210504 | ALLY   | 0                   | 19150        | 46467        | C      |
| 20210504 | ALNA   | 0                   | 4255         | 5120         | C      |
| 20210504 | ALNY   | 0                   | 1802         | 2627         | C      |
| 20210504 | ALOT   | 0                   | 0            | 24           | C      |
| 20210504 | ALPN   | 20                  | 492          | 1274         | C      |
| 20210504 | ALRM   | 0                   | 1759         | 1817         | C      |
| 20210504 | ALRN   | 0                   | 2718         | 2720         | C      |
| 20210504 | ALRS   | 0                   | 100          | 101          | C      |
| 20210504 | ALSK   | 0                   | 3614         | 3680         | C      |
| 20210504 | ALSN   | 0                   | 5352         | 13008        | C      |
| 20210504 | ALT    | 0                   | 2295         | 2815         | C      |
| 20210504 | ALTA   | 0                   | 377          | 466          | C      |
| 20210504 | ALTG   | 0                   | 7            | 7            | C      |
| 20210504 | ALTL   | 0                   | 3900         | 3900         | C      |
| 20210504 | ALTM   | 0                   | 200          | 204          | C      |
| 20210504 | ALTO   | 200                 | 38681        | 58602        | C      |
| 20210504 | ALTR   | 0                   | 938          | 1022         | C      |
| 20210504 | ALUS   | 0                   | 200          | 200          | C      |
| 20210504 | ALV    | 12                  | 8520         | 9291         | C      |
| 20210504 | ALVR   | 0                   | 876          | 1047         | C      |
| 20210504 | ALX    | 0                   | 126          | 314          | C      |
| 20210504 | ALXN   | 0                   | 2711         | 7957         | C      |
| 20210504 | ALXO   | 25                  | 560          | 571          | C      |
| 20210504 | ALYA   | 0                   | 100          | 400          | C      |

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|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | AM     | 50                  | 122446       | 169387       | C      |
| 20210504 | AMAOW  | 0                   | 1000         | 4500         | C      |
| 20210504 | AMAT   | 0                   | 11849        | 43793        | C      |
| 20210504 | AMBA   | 0                   | 4165         | 6648         | C      |
| 20210504 | AMBC   | 0                   | 603          | 1938         | C      |
| 20210504 | AMBO   | 0                   | 2629         | 2645         | C      |
| 20210504 | AMC    | 4                   | 345293       | 393062       | C      |
| 20210504 | AMCA   | 0                   | 0            | 1            | C      |
| 20210504 | AMCR   | 187                 | 335271       | 433065       | C      |
| 20210504 | AMCX   | 0                   | 5529         | 7150         | C      |
| 20210504 | AMD    | 10                  | 87894        | 108435       | C      |
| 20210504 | AME    | 0                   | 8388         | 11933        | C      |
| 20210504 | AMED   | 0                   | 950          | 1445         | C      |
| 20210504 | AMEH   | 0                   | 100          | 199          | C      |
| 20210504 | AMG    | 0                   | 6949         | 8220         | C      |
| 20210504 | AMGN   | 0                   | 7576         | 12123        | C      |
| 20210504 | AMH    | 71                  | 10784        | 22387        | C      |
| 20210504 | AMJ    | 0                   | 1155         | 1651         | C      |
| 20210504 | AMK    | 0                   | 4            | 20           | C      |
| 20210504 | AMKR   | 0                   | 6912         | 10443        | C      |
| 20210504 | AMLP   | 500                 | 49492        | 77404        | C      |
| 20210504 | AMN    | 0                   | 1105         | 1681         | C      |
| 20210504 | AMNB   | 0                   | 935          | 935          | C      |
| 20210504 | AMOM   | 0                   | 1            | 1            | C      |
| 20210504 | AMOT   | 0                   | 563          | 901          | C      |
| 20210504 | AMP    | 0                   | 2103         | 2987         | C      |
| 20210504 | AMPE   | 400                 | 8258         | 12344        | C      |
| 20210504 | AMPG   | 0                   | 832          | 1032         | C      |
| 20210504 | AMPH   | 0                   | 752          | 1122         | C      |
| 20210504 | AMPY   | 0                   | 408          | 3933         | C      |
| 20210504 | AMR    | 0                   | 404          | 404          | C      |
| 20210504 | AMRB   | 196                 | 196          | 210          | C      |
| 20210504 | AMRC   | 0                   | 3607         | 5908         | C      |
| 20210504 | AMRK   | 0                   | 146          | 257          | C      |
| 20210504 | AMRN   | 0                   | 71093        | 91043        | C      |
| 20210504 | AMRS   | 0                   | 3982         | 14846        | C      |
| 20210504 | AMRX   | 0                   | 7235         | 10849        | C      |
| 20210504 | AMS    | 3975                | 4970         | 9714         | C      |
| 20210504 | AMSC   | 0                   | 570          | 957          | C      |
| 20210504 | AMSF   | 0                   | 631          | 685          | C      |
| 20210504 | AMST   | 0                   | 599          | 624          | C      |
| 20210504 | AMSWA  | 0                   | 501          | 801          | C      |
| 20210504 | AMT    | 0                   | 6410         | 9758         | C      |
| 20210504 | AMTB   | 0                   | 110          | 110          | C      |

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|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | AMTBB  | 0                   | 0            | 300          | C      |
| 20210504 | AMTI   | 0                   | 1100         | 1443         | C      |
| 20210504 | AMTX   | 0                   | 1331         | 3570         | C      |
| 20210504 | AMWD   | 0                   | 10           | 174          | C      |
| 20210504 | AMWL   | 100                 | 16303        | 32244        | C      |
| 20210504 | AMX    | 5537                | 161067       | 198934       | C      |
| 20210504 | AMZN   | 0                   | 7045         | 11110        | C      |
| 20210504 | AN     | 0                   | 2077         | 21277        | C      |
| 20210504 | ANAB   | 0                   | 4288         | 4994         | C      |
| 20210504 | ANAT   | 0                   | 139          | 391          | C      |
| 20210504 | ANDAW  | 0                   | 200          | 200          | C      |
| 20210504 | ANDE   | 0                   | 45           | 145          | C      |
| 20210504 | ANET   | 0                   | 3233         | 4089         | C      |
| 20210504 | ANF    | 0                   | 6136         | 8305         | C      |
| 20210504 | ANGI   | 0                   | 3243         | 9732         | C      |
| 20210504 | ANGL   | 0                   | 4246         | 50885        | C      |
| 20210504 | ANGN   | 0                   | 36           | 64           | C      |
| 20210504 | ANGO   | 0                   | 308          | 359          | C      |
| 20210504 | ANIK   | 0                   | 539          | 640          | C      |
| 20210504 | ANIP   | 0                   | 52           | 85           | C      |
| 20210504 | ANIX   | 0                   | 300          | 400          | C      |
| 20210504 | ANNX   | 0                   | 232          | 478          | C      |
| 20210504 | ANSS   | 0                   | 1781         | 2546         | C      |
| 20210504 | ANTE   | 0                   | 100          | 100          | C      |
| 20210504 | ANTM   | 110                 | 4898         | 7140         | C      |
| 20210504 | ANVS   | 0                   | 0            | 120          | C      |
| 20210504 | ANY    | 0                   | 1915         | 3340         | C      |
| 20210504 | AOA    | 0                   | 0            | 1            | C      |
| 20210504 | AOD    | 0                   | 1050         | 2150         | C      |
| 20210504 | AOK    | 0                   | 0            | 1            | C      |
| 20210504 | AOM    | 0                   | 200          | 224          | C      |
| 20210504 | AON    | 0                   | 2985         | 4501         | C      |
| 20210504 | AOR    | 0                   | 10           | 10           | C      |
| 20210504 | AOS    | 0                   | 8488         | 10412        | C      |
| 20210504 | AOSL   | 0                   | 641          | 949          | C      |
| 20210504 | AOUT   | 0                   | 800          | 1380         | C      |
| 20210504 | APA    | 0                   | 44978        | 88067        | C      |
| 20210504 | APAM   | 0                   | 2936         | 4046         | C      |
| 20210504 | APD    | 0                   | 3022         | 5896         | C      |
| 20210504 | APDN   | 0                   | 201          | 317          | C      |
| 20210504 | APEI   | 0                   | 30           | 94           | C      |
| 20210504 | APEN   | 0                   | 170          | 558          | C      |
| 20210504 | APG    | 100                 | 1755         | 2642         | C      |
| 20210504 | APH    | 0                   | 10009        | 13321        | C      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | API    | 0                   | 1197         | 1234         | C      |
| 20210504 | APLE   | 0                   | 14416        | 25861        | C      |
| 20210504 | APLS   | 0                   | 1541         | 1958         | C      |
| 20210504 | APLT   | 0                   | 208          | 217          | C      |
| 20210504 | APO    | 0                   | 9615         | 12505        | C      |
| 20210504 | APOG   | 0                   | 273          | 343          | C      |
| 20210504 | APP    | 0                   | 8598         | 10442        | C      |
| 20210504 | APPF   | 0                   | 884          | 1121         | C      |
| 20210504 | APPH   | 533                 | 4838         | 5945         | C      |
| 20210504 | APPN   | 0                   | 8735         | 9402         | C      |
| 20210504 | APPS   | 1                   | 7284         | 8154         | C      |
| 20210504 | APR    | 0                   | 830          | 1369         | C      |
| 20210504 | APRE   | 0                   | 293          | 493          | C      |
| 20210504 | APRN   | 0                   | 358          | 371          | C      |
| 20210504 | APSG   | 157                 | 287          | 287          | C      |
| 20210504 | APT    | 0                   | 1058         | 1064         | C      |
| 20210504 | APTO   | 0                   | 1061         | 2561         | C      |
| 20210504 | APTS   | 0                   | 1910         | 2215         | C      |
| 20210504 | APTV   | 0                   | 8794         | 11623        | C      |
| 20210504 | APTX   | 0                   | 1740         | 1907         | C      |
| 20210504 | APVO   | 0                   | 0            | 100          | C      |
| 20210504 | APWC   | 0                   | 347          | 347          | C      |
| 20210504 | APXT   | 0                   | 422          | 754          | C      |
| 20210504 | APXTW  | 0                   | 400          | 400          | C      |
| 20210504 | APYX   | 0                   | 227          | 327          | C      |
| 20210504 | AQB    | 45                  | 2470         | 2719         | C      |
| 20210504 | AQMS   | 0                   | 9869         | 14681        | C      |
| 20210504 | AQN    | 0                   | 4182         | 6153         | C      |
| 20210504 | AQST   | 106                 | 1058         | 1063         | C      |
| 20210504 | AQUA   | 0                   | 4325         | 12571        | C      |
| 20210504 | AR     | 180                 | 141159       | 193790       | C      |
| 20210504 | ARAV   | 0                   | 594          | 600          | C      |
| 20210504 | ARAY   | 200                 | 5549         | 6496         | C      |
| 20210504 | ARBGW  | 0                   | 900          | 900          | C      |
| 20210504 | ARCB   | 0                   | 1383         | 1886         | C      |
| 20210504 | ARCC   | 0                   | 32446        | 38134        | C      |
| 20210504 | ARCE   | 0                   | 1354         | 2615         | C      |
| 20210504 | ARCH   | 0                   | 1820         | 3386         | C      |
| 20210504 | ARCO   | 0                   | 7920         | 9892         | C      |
| 20210504 | ARCT   | 0                   | 1922         | 2792         | C      |
| 20210504 | ARD    | 0                   | 49           | 351          | C      |
| 20210504 | ARDX   | 0                   | 21312        | 26473        | C      |
| 20210504 | ARE    | 0                   | 1142         | 1366         | C      |
| 20210504 | AREC   | 0                   | 1841         | 2262         | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | ARES   | 0                   | 3900         | 7118         | C      |
| 20210504 | ARGO   | 0                   | 1132         | 1194         | C      |
| 20210504 | ARGX   | 330                 | 4857         | 5576         | C      |
| 20210504 | ARI    | 0                   | 6306         | 9215         | C      |
| 20210504 | ARKF   | 0                   | 2044         | 2979         | C      |
| 20210504 | ARKG   | 0                   | 8334         | 13564        | C      |
| 20210504 | ARKK   | 0                   | 21416        | 28597        | C      |
| 20210504 | ARKO   | 0                   | 425          | 1107         | C      |
| 20210504 | ARKQ   | 100                 | 305          | 1083         | C      |
| 20210504 | ARKR   | 0                   | 11           | 41           | C      |
| 20210504 | ARKW   | 0                   | 3478         | 4397         | C      |
| 20210504 | ARKX   | 0                   | 1435         | 2145         | C      |
| 20210504 | ARL    | 0                   | 0            | 1            | C      |
| 20210504 | ARLO   | 0                   | 1332         | 1762         | C      |
| 20210504 | ARLP   | 0                   | 0            | 83           | C      |
| 20210504 | ARMK   | 0                   | 2766         | 6013         | C      |
| 20210504 | ARMR   | 0                   | 5            | 5            | C      |
| 20210504 | ARNA   | 0                   | 906          | 2773         | C      |
| 20210504 | ARNC   | 0                   | 5040         | 10546        | C      |
| 20210504 | AROC   | 0                   | 8522         | 9785         | C      |
| 20210504 | AROW   | 0                   | 41           | 41           | C      |
| 20210504 | ARPO   | 0                   | 1105         | 1105         | C      |
| 20210504 | ARQT   | 0                   | 519          | 930          | C      |
| 20210504 | ARR    | 0                   | 18569        | 34052        | C      |
| 20210504 | ARRWW  | 0                   | 0            | 400          | C      |
| 20210504 | ARRY   | 0                   | 19982        | 33714        | C      |
| 20210504 | ARTL   | 0                   | 981          | 1340         | C      |
| 20210504 | ARVL   | 0                   | 2725         | 5584         | C      |
| 20210504 | ARVN   | 0                   | 415          | 1248         | C      |
| 20210504 | ARW    | 0                   | 3452         | 4114         | C      |
| 20210504 | ARWR   | 0                   | 4156         | 4879         | C      |
| 20210504 | ASA    | 0                   | 200          | 200          | C      |
| 20210504 | ASAN   | 0                   | 6443         | 8643         | C      |
| 20210504 | ASB    | 0                   | 22380        | 33185        | C      |
| 20210504 | ASC    | 0                   | 355          | 755          | C      |
| 20210504 | ASGN   | 0                   | 807          | 1009         | C      |
| 20210504 | ASH    | 0                   | 2028         | 4286         | C      |
| 20210504 | ASHR   | 0                   | 5473         | 37110        | C      |
| 20210504 | ASIX   | 0                   | 867          | 1391         | C      |
| 20210504 | ASLE   | 0                   | 0            | 92           | C      |
| 20210504 | ASLN   | 0                   | 510          | 985          | C      |
| 20210504 | ASM    | 100                 | 23192        | 26466        | C      |
| 20210504 | ASMB   | 0                   | 17195        | 21769        | C      |
| 20210504 | ASML   | 3                   | 5375         | 7957         | C      |

| Date     | Symbol   | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|----------|---------------------|--------------|--------------|--------|
| 20210504 | ASND     | 0                   | 4423         | 4818         | C      |
| 20210504 | ASO      | 0                   | 7017         | 32191        | C      |
| 20210504 | ASPL     | 0                   | 1115         | 1115         | C      |
| 20210504 | ASPN     | 0                   | 525          | 827          | C      |
| 20210504 | ASPS     | 0                   | 874          | 1085         | C      |
| 20210504 | ASPU     | 0                   | 138          | 338          | C      |
| 20210504 | ASR      | 0                   | 412          | 415          | C      |
| 20210504 | ASRT     | 100                 | 20345        | 41107        | C      |
| 20210504 | ASTC     | 100                 | 4594         | 5751         | C      |
| 20210504 | ASTE     | 0                   | 188          | 275          | C      |
| 20210504 | ASTS     | 130                 | 8606         | 11369        | C      |
| 20210504 | ASTSW    | 0                   | 0            | 200          | C      |
| 20210504 | ASUR     | 0                   | 100          | 100          | C      |
| 20210504 | ASX      | 0                   | 27003        | 33423        | C      |
| 20210504 | ASXC     | 0                   | 51372        | 71169        | C      |
| 20210504 | ASYS     | 0                   | 877          | 1024         | C      |
| 20210504 | ASZ U    | 0                   | 0            | 13           | C      |
| 20210504 | AT       | 55152               | 81566        | 117403       | C      |
| 20210504 | ATA      | 0                   | 0            | 500          | C      |
| 20210504 | ATAC     | 0                   | 300          | 300          | C      |
| 20210504 | ATAX     | 0                   | 700          | 703          | C      |
| 20210504 | ATC      | 0                   | 1012         | 1345         | C      |
| 20210504 | ATCO     | 0                   | 1152         | 2078         | C      |
| 20210504 | ATCO PRG | 0                   | 0            | 86           | C      |
| 20210504 | ATCX     | 0                   | 0            | 15           | C      |
| 20210504 | A TEC    | 0                   | 861          | 1565         | C      |
| 20210504 | ATEN     | 0                   | 2535         | 3854         | C      |
| 20210504 | ATER     | 1                   | 6149         | 7511         | C      |
| 20210504 | ATEX     | 0                   | 125          | 125          | C      |
| 20210504 | ATGE     | 0                   | 1791         | 4173         | C      |
| 20210504 | ATH      | 0                   | 2265         | 7196         | C      |
| 20210504 | ATH PRB  | 0                   | 0            | 100          | C      |
| 20210504 | ATHA     | 0                   | 1407         | 1607         | C      |
| 20210504 | ATHE     | 0                   | 1550         | 1550         | C      |
| 20210504 | ATHM     | 0                   | 3322         | 3702         | C      |
| 20210504 | ATHX     | 0                   | 22592        | 29594        | C      |
| 20210504 | ATI      | 0                   | 8232         | 16856        | C      |
| 20210504 | ATIF     | 0                   | 0            | 1100         | C      |
| 20210504 | ATKR     | 0                   | 1309         | 2055         | C      |
| 20210504 | ATLC     | 0                   | 0            | 100          | C      |
| 20210504 | ATLO     | 0                   | 16           | 16           | C      |
| 20210504 | ATNF     | 0                   | 1895         | 2911         | C      |
| 20210504 | ATNI     | 0                   | 155          | 255          | C      |
| 20210504 | ATNM     | 0                   | 222          | 222          | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | ATNX   | 0                   | 26192        | 29569        | C      |
| 20210504 | ATO    | 20                  | 2858         | 3672         | C      |
| 20210504 | ATOM   | 0                   | 1717         | 3935         | C      |
| 20210504 | ATOS   | 179                 | 66056        | 82330        | C      |
| 20210504 | ATR    | 0                   | 978          | 1286         | C      |
| 20210504 | ATRA   | 0                   | 247          | 253          | C      |
| 20210504 | ATRC   | 0                   | 1653         | 2754         | C      |
| 20210504 | ATRI   | 0                   | 16           | 270          | C      |
| 20210504 | ATRO   | 0                   | 7            | 72           | C      |
| 20210504 | ATRS   | 0                   | 5909         | 9075         | C      |
| 20210504 | ATSG   | 62                  | 1388         | 4368         | C      |
| 20210504 | ATTO   | 0                   | 400          | 400          | C      |
| 20210504 | ATUS   | 0                   | 11865        | 20328        | C      |
| 20210504 | ATVI   | 3                   | 17379        | 37947        | C      |
| 20210504 | ATXI   | 0                   | 213          | 240          | C      |
| 20210504 | AU     | 0                   | 12628        | 17011        | C      |
| 20210504 | AUB    | 6                   | 312          | 499          | C      |
| 20210504 | AUD    | 100                 | 4269         | 6438         | C      |
| 20210504 | AUDC   | 0                   | 2315         | 2815         | C      |
| 20210504 | AUMN   | 1000                | 40585        | 50759        | C      |
| 20210504 | AUPH   | 197                 | 5979         | 8117         | C      |
| 20210504 | AUTL   | 0                   | 1685         | 4194         | C      |
| 20210504 | AUVI   | 0                   | 300          | 1591         | C      |
| 20210504 | AUY    | 1007                | 627364       | 768981       | C      |
| 20210504 | AVA    | 0                   | 415          | 582          | C      |
| 20210504 | AVAH   | 0                   | 7879         | 40024        | C      |
| 20210504 | AVAL   | 0                   | 198          | 198          | C      |
| 20210504 | AVAN   | 0                   | 0            | 300          | C      |
| 20210504 | AVAV   | 0                   | 1843         | 1845         | C      |
| 20210504 | AVB    | 0                   | 2119         | 3113         | C      |
| 20210504 | AVCO   | 0                   | 2            | 2            | C      |
| 20210504 | AVD    | 0                   | 371          | 572          | C      |
| 20210504 | AVDL   | 0                   | 191          | 337          | C      |
| 20210504 | AVEO   | 800                 | 1880         | 2296         | C      |
| 20210504 | AVGO   | 0                   | 3724         | 5442         | C      |
| 20210504 | AVGR   | 0                   | 20906        | 30197        | C      |
| 20210504 | AVID   | 0                   | 1086         | 1658         | C      |
| 20210504 | AVIG   | 0                   | 0            | 105          | C      |
| 20210504 | AVIR   | 0                   | 6342         | 8676         | C      |
| 20210504 | AVK    | 0                   | 88           | 1428         | C      |
| 20210504 | AVLR   | 0                   | 6952         | 7126         | C      |
| 20210504 | AVMU   | 0                   | 0            | 200          | C      |
| 20210504 | AVNS   | 0                   | 214          | 631          | C      |
| 20210504 | AVNT   | 0                   | 1700         | 6438         | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | AVNW   | 0                   | 874          | 1077         | C      |
| 20210504 | AVO    | 0                   | 0            | 103          | C      |
| 20210504 | AVRO   | 104                 | 1659         | 2424         | C      |
| 20210504 | AVT    | 0                   | 7029         | 10052        | C      |
| 20210504 | AVTR   | 0                   | 19253        | 26509        | C      |
| 20210504 | AVXL   | 0                   | 1309         | 1697         | C      |
| 20210504 | AVY    | 0                   | 1993         | 5192         | C      |
| 20210504 | AVYA   | 0                   | 9631         | 15613        | C      |
| 20210504 | AWAY   | 0                   | 184          | 392          | C      |
| 20210504 | AWF    | 0                   | 1300         | 1300         | C      |
| 20210504 | AWH    | 0                   | 1057         | 1332         | C      |
| 20210504 | AWI    | 0                   | 2518         | 2673         | C      |
| 20210504 | AWK    | 0                   | 4821         | 6211         | C      |
| 20210504 | AWP    | 0                   | 620          | 620          | C      |
| 20210504 | AWR    | 0                   | 2071         | 5692         | C      |
| 20210504 | AWRE   | 0                   | 2            | 2            | C      |
| 20210504 | AX     | 0                   | 573          | 877          | C      |
| 20210504 | AXAS   | 0                   | 952          | 2924         | C      |
| 20210504 | AXDX   | 0                   | 18           | 39           | C      |
| 20210504 | AXGN   | 0                   | 805          | 1523         | C      |
| 20210504 | AXL    | 0                   | 8442         | 11369        | C      |
| 20210504 | AXLA   | 0                   | 317          | 327          | C      |
| 20210504 | AXNX   | 0                   | 2097         | 2577         | C      |
| 20210504 | AXON   | 0                   | 447          | 756          | C      |
| 20210504 | AXP    | 74                  | 12556        | 24238        | C      |
| 20210504 | AXS    | 0                   | 2718         | 3444         | C      |
| 20210504 | AXSM   | 0                   | 1481         | 2096         | C      |
| 20210504 | AXTA   | 0                   | 11523        | 26781        | C      |
| 20210504 | AXTI   | 0                   | 824          | 1314         | C      |
| 20210504 | AXU    | 0                   | 3466         | 6564         | C      |
| 20210504 | AY     | 6                   | 4460         | 5101         | C      |
| 20210504 | AYI    | 8                   | 868          | 2631         | C      |
| 20210504 | AYRO   | 0                   | 11036        | 12417        | C      |
| 20210504 | AYTU   | 0                   | 154          | 414          | C      |
| 20210504 | AYX    | 15                  | 7288         | 10398        | C      |
| 20210504 | AZAO   | 0                   | 30           | 30           | C      |
| 20210504 | AZBJ   | 0                   | 0            | 115          | C      |
| 20210504 | AZEK   | 0                   | 2418         | 6950         | C      |
| 20210504 | AZN    | 20                  | 217332       | 243250       | C      |
| 20210504 | AZO    | 0                   | 339          | 1222         | C      |
| 20210504 | AZPN   | 8                   | 1286         | 1922         | C      |
| 20210504 | AZRE   | 0                   | 791          | 1041         | C      |
| 20210504 | AZRX   | 0                   | 3409         | 3709         | C      |
| 20210504 | AZUL   | 4                   | 1423         | 2019         | C      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20210504 | AZZ     | 0                   | 434          | 538          | C      |
| 20210504 | B       | 0                   | 274          | 476          | C      |
| 20210504 | BA      | 30                  | 17599        | 29627        | C      |
| 20210504 | BAB     | 0                   | 3976         | 6101         | C      |
| 20210504 | BABA    | 8                   | 18951        | 25806        | C      |
| 20210504 | BAC     | 1149                | 1163331      | 1493267      | C      |
| 20210504 | BAC PRE | 0                   | 0            | 100          | C      |
| 20210504 | BAC PRL | 0                   | 5            | 5            | C      |
| 20210504 | BAH     | 0                   | 1203         | 3509         | C      |
| 20210504 | BAK     | 0                   | 562          | 4371         | C      |
| 20210504 | BAL     | 0                   | 0            | 50           | C      |
| 20210504 | BALY    | 0                   | 1057         | 3031         | C      |
| 20210504 | BAM     | 426                 | 12561        | 17437        | C      |
| 20210504 | BAMI    | 0                   | 0            | 10           | C      |
| 20210504 | BANC    | 0                   | 336          | 786          | C      |
| 20210504 | BAND    | 0                   | 2649         | 3699         | C      |
| 20210504 | BANF    | 0                   | 272          | 412          | C      |
| 20210504 | BANR    | 0                   | 34           | 287          | C      |
| 20210504 | BAOS    | 1000                | 1000         | 1000         | C      |
| 20210504 | BAP     | 0                   | 7179         | 8584         | C      |
| 20210504 | BAR     | 0                   | 2000         | 4200         | C      |
| 20210504 | BATRA   | 0                   | 2596         | 3815         | C      |
| 20210504 | BATRK   | 0                   | 200          | 1471         | C      |
| 20210504 | BATT    | 0                   | 0            | 52           | C      |
| 20210504 | BAX     | 0                   | 10519        | 14196        | C      |
| 20210504 | BB      | 1500                | 154289       | 178128       | C      |
| 20210504 | BBAR    | 0                   | 300          | 300          | C      |
| 20210504 | BBBY    | 0                   | 4760         | 7894         | C      |
| 20210504 | BBCA    | 0                   | 100          | 100          | C      |
| 20210504 | BBCP    | 0                   | 4            | 52           | C      |
| 20210504 | BBD     | 900                 | 512273       | 681582       | C      |
| 20210504 | BBDC    | 0                   | 100          | 105          | C      |
| 20210504 | BBEU    | 0                   | 200          | 200          | C      |
| 20210504 | BBGI    | 156                 | 161          | 2463         | C      |
| 20210504 | BBI     | 12                  | 2162         | 4341         | C      |
| 20210504 | BBIG    | 0                   | 527          | 527          | C      |
| 20210504 | BBIO    | 0                   | 2653         | 3246         | C      |
| 20210504 | BBJP    | 0                   | 94           | 94           | C      |
| 20210504 | BBL     | 0                   | 2850         | 8501         | C      |
| 20210504 | BBN     | 0                   | 18           | 119          | C      |
| 20210504 | BBP     | 0                   | 4            | 4            | C      |
| 20210504 | BBQ     | 400                 | 500          | 501          | C      |
| 20210504 | BBSI    | 0                   | 395          | 755          | C      |
| 20210504 | BBU     | 0                   | 0            | 100          | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | BBVA   | 10397               | 42961        | 48512        | C      |
| 20210504 | BBW    | 0                   | 634          | 765          | C      |
| 20210504 | BBY    | 0                   | 3068         | 4517         | C      |
| 20210504 | BC     | 0                   | 2365         | 4704         | C      |
| 20210504 | BCAB   | 0                   | 1668         | 1926         | C      |
| 20210504 | BCAT   | 0                   | 0            | 100          | C      |
| 20210504 | BCBP   | 0                   | 154          | 604          | C      |
| 20210504 | BCC    | 0                   | 616          | 2423         | C      |
| 20210504 | BCE    | 800                 | 6150         | 8808         | C      |
| 20210504 | BCEI   | 0                   | 5665         | 6514         | C      |
| 20210504 | BCEL   | 0                   | 681          | 706          | C      |
| 20210504 | BCH    | 0                   | 300          | 392          | C      |
| 20210504 | BCI    | 0                   | 904          | 1404         | C      |
| 20210504 | BCLI   | 0                   | 376          | 775          | C      |
| 20210504 | BCML   | 0                   | 103          | 108          | C      |
| 20210504 | BCO    | 0                   | 1213         | 1524         | C      |
| 20210504 | BCOR   | 0                   | 1002         | 1735         | C      |
| 20210504 | BCOV   | 0                   | 2425         | 21544        | C      |
| 20210504 | BCPC   | 0                   | 1068         | 1277         | C      |
| 20210504 | BCRX   | 0                   | 7878         | 10770        | C      |
| 20210504 | BCS    | 3800                | 237048       | 284626       | C      |
| 20210504 | BCSF   | 0                   | 712          | 712          | C      |
| 20210504 | BCTX   | 754                 | 1054         | 1956         | C      |
| 20210504 | BCX    | 0                   | 463          | 881          | C      |
| 20210504 | BCYC   | 0                   | 103          | 380          | C      |
| 20210504 | BDC    | 0                   | 1228         | 3912         | C      |
| 20210504 | BDJ    | 0                   | 2784         | 2885         | C      |
| 20210504 | BDN    | 87                  | 29538        | 54260        | C      |
| 20210504 | BDR    | 0                   | 300          | 300          | C      |
| 20210504 | BDRY   | 0                   | 257          | 585          | C      |
| 20210504 | BDSI   | 0                   | 9715         | 10454        | C      |
| 20210504 | BDSX   | 0                   | 241          | 243          | C      |
| 20210504 | BDTX   | 0                   | 127          | 140          | C      |
| 20210504 | BDX    | 0                   | 5577         | 7893         | C      |
| 20210504 | BE     | 0                   | 10756        | 15912        | C      |
| 20210504 | BEAM   | 10                  | 5974         | 9766         | C      |
| 20210504 | BECN   | 0                   | 2526         | 4601         | C      |
| 20210504 | BEEM   | 0                   | 851          | 895          | C      |
| 20210504 | BEKE   | 0                   | 7332         | 13273        | C      |
| 20210504 | BELFB  | 0                   | 135          | 390          | C      |
| 20210504 | BEN    | 0                   | 39920        | 56482        | C      |
| 20210504 | BEP    | 0                   | 6269         | 8349         | C      |
| 20210504 | BEPC   | 369                 | 2952         | 4942         | C      |
| 20210504 | BEPH   | 0                   | 0            | 200          | C      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20210504 | BERY    | 0                   | 6700         | 11064        | C      |
| 20210504 | BEST    | 0                   | 3757         | 7629         | C      |
| 20210504 | BETZ    | 0                   | 0            | 189          | C      |
| 20210504 | BF A    | 0                   | 79           | 150          | C      |
| 20210504 | BF B    | 0                   | 5653         | 6845         | C      |
| 20210504 | BFAM    | 0                   | 888          | 1776         | C      |
| 20210504 | BFC     | 0                   | 155          | 246          | C      |
| 20210504 | BFI     | 0                   | 321          | 321          | C      |
| 20210504 | BFIN    | 0                   | 232          | 234          | C      |
| 20210504 | BFLY    | 0                   | 3688         | 6299         | C      |
| 20210504 | BFLY WS | 0                   | 0            | 3944         | C      |
| 20210504 | BFS     | 0                   | 102          | 118          | C      |
| 20210504 | BG      | 0                   | 5349         | 8722         | C      |
| 20210504 | BGB     | 0                   | 352          | 352          | C      |
| 20210504 | BGCP    | 0                   | 76985        | 109805       | C      |
| 20210504 | BGFV    | 0                   | 1688         | 2100         | C      |
| 20210504 | BGI     | 100                 | 200          | 2503         | C      |
| 20210504 | BGIO    | 0                   | 0            | 517          | C      |
| 20210504 | BGNE    | 0                   | 1877         | 2900         | C      |
| 20210504 | BGR     | 0                   | 1            | 9            | C      |
| 20210504 | BGRN    | 0                   | 0            | 200          | C      |
| 20210504 | BGS     | 0                   | 1936         | 1945         | C      |
| 20210504 | BGSF    | 0                   | 100          | 105          | C      |
| 20210504 | BGX     | 0                   | 0            | 762          | C      |
| 20210504 | BGY     | 0                   | 466          | 973          | C      |
| 20210504 | BH      | 0                   | 0            | 21           | C      |
| 20210504 | BHAT    | 0                   | 1100         | 1427         | C      |
| 20210504 | BHC     | 3118                | 48065        | 81491        | C      |
| 20210504 | BHE     | 0                   | 1151         | 2014         | C      |
| 20210504 | BHF     | 0                   | 1352         | 11587        | C      |
| 20210504 | BHLB    | 0                   | 147          | 193          | C      |
| 20210504 | BHP     | 0                   | 3168         | 3744         | C      |
| 20210504 | BHR     | 0                   | 1377         | 2340         | C      |
| 20210504 | BHR PRB | 0                   | 100          | 100          | C      |
| 20210504 | BHTG    | 0                   | 845          | 846          | C      |
| 20210504 | BHVN    | 0                   | 3123         | 3159         | C      |
| 20210504 | BIB     | 0                   | 1050         | 1329         | C      |
| 20210504 | BIDU    | 1                   | 7611         | 13798        | C      |
| 20210504 | BIF     | 0                   | 301          | 803          | C      |
| 20210504 | BIG     | 0                   | 672          | 1359         | C      |
| 20210504 | BIGC    | 0                   | 2492         | 3168         | C      |
| 20210504 | BIGZ    | 100                 | 900          | 2360         | C      |
| 20210504 | BIIB    | 0                   | 3573         | 6416         | C      |
| 20210504 | BIL     | 0                   | 1086         | 1194         | C      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | BILI   | 0                   | 7174         | 10627        | C      |
| 20210504 | BILL   | 14                  | 8807         | 11591        | C      |
| 20210504 | BIMI   | 0                   | 100          | 100          | C      |
| 20210504 | BIO    | 0                   | 981          | 1367         | C      |
| 20210504 | BIOC   | 0                   | 467          | 467          | C      |
| 20210504 | BIOL   | 0                   | 2951         | 6364         | C      |
| 20210504 | BIOX   | 0                   | 393          | 671          | C      |
| 20210504 | BIP    | 100                 | 1369         | 2398         | C      |
| 20210504 | BIPC   | 429                 | 908          | 992          | C      |
| 20210504 | BIT    | 0                   | 481          | 594          | C      |
| 20210504 | BITE   | 0                   | 0            | 100          | C      |
| 20210504 | BIV    | 0                   | 9512         | 21336        | C      |
| 20210504 | BIVI   | 0                   | 300          | 300          | C      |
| 20210504 | BJ     | 0                   | 6180         | 7935         | C      |
| 20210504 | BJRI   | 0                   | 1317         | 1425         | C      |
| 20210504 | BK     | 0                   | 61550        | 92367        | C      |
| 20210504 | BKCC   | 0                   | 758          | 1060         | C      |
| 20210504 | BKD    | 0                   | 16839        | 21277        | C      |
| 20210504 | BKE    | 0                   | 1226         | 1582         | C      |
| 20210504 | BKEP   | 0                   | 300          | 400          | C      |
| 20210504 | BKH    | 26                  | 2176         | 9689         | C      |
| 20210504 | BKI    | 0                   | 12881        | 16711        | C      |
| 20210504 | BKLN   | 0                   | 34666        | 47037        | C      |
| 20210504 | BKNG   | 0                   | 1629         | 2496         | C      |
| 20210504 | BKR    | 0                   | 131037       | 180822       | C      |
| 20210504 | BKT    | 0                   | 100          | 100          | C      |
| 20210504 | BKU    | 0                   | 1457         | 2769         | C      |
| 20210504 | BKYI   | 0                   | 500          | 1129         | C      |
| 20210504 | BL     | 0                   | 1441         | 2024         | C      |
| 20210504 | BLBD   | 0                   | 1275         | 1988         | C      |
| 20210504 | BLCN   | 0                   | 500          | 500          | C      |
| 20210504 | BLCT   | 0                   | 292          | 1192         | C      |
| 20210504 | BLD    | 0                   | 895          | 932          | C      |
| 20210504 | BLDP   | 7722                | 76657        | 157394       | C      |
| 20210504 | BLDR   | 0                   | 4784         | 6560         | C      |
| 20210504 | BLFS   | 0                   | 3137         | 3737         | C      |
| 20210504 | BLHY   | 0                   | 0            | 1            | C      |
| 20210504 | BLI    | 0                   | 996          | 2150         | C      |
| 20210504 | BLIN   | 0                   | 90           | 90           | C      |
| 20210504 | BLK    | 0                   | 3718         | 4326         | C      |
| 20210504 | BLKB   | 0                   | 2096         | 2548         | C      |
| 20210504 | BLL    | 0                   | 2359         | 6586         | C      |
| 20210504 | BLMN   | 0                   | 1829         | 5368         | C      |
| 20210504 | BLNK   | 0                   | 2573         | 3640         | C      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20210504 | BLOK    | 100                 | 369          | 740          | C      |
| 20210504 | BLPH    | 0                   | 49           | 474          | C      |
| 20210504 | BLRX    | 7719                | 678210       | 853747       | C      |
| 20210504 | BLSA    | 0                   | 0            | 110          | C      |
| 20210504 | BLU     | 0                   | 122          | 193          | C      |
| 20210504 | BLUE    | 0                   | 4096         | 4595         | C      |
| 20210504 | BLV     | 0                   | 1076         | 4005         | C      |
| 20210504 | BLX     | 0                   | 20           | 220          | C      |
| 20210504 | BMA     | 0                   | 943          | 2341         | C      |
| 20210504 | BMAY    | 0                   | 69           | 69           | C      |
| 20210504 | BMBL    | 0                   | 1725         | 3066         | C      |
| 20210504 | BMEA    | 0                   | 115          | 649          | C      |
| 20210504 | BMI     | 0                   | 1021         | 1094         | C      |
| 20210504 | BML PRJ | 0                   | 100          | 100          | C      |
| 20210504 | BMO     | 50                  | 3838         | 4848         | C      |
| 20210504 | BMRC    | 0                   | 38           | 370          | C      |
| 20210504 | BMRN    | 0                   | 4969         | 7789         | C      |
| 20210504 | BMTC    | 0                   | 0            | 7            | C      |
| 20210504 | BMTX    | 0                   | 104          | 226          | C      |
| 20210504 | BMY     | 0                   | 52787        | 73916        | C      |
| 20210504 | BND     | 0                   | 204152       | 255250       | C      |
| 20210504 | BNDW    | 0                   | 334          | 589          | C      |
| 20210504 | BNDX    | 0                   | 4226         | 17620        | C      |
| 20210504 | BNED    | 0                   | 1051         | 1247         | C      |
| 20210504 | BNFT    | 0                   | 226          | 340          | C      |
| 20210504 | BNGO    | 400                 | 84779        | 99809        | C      |
| 20210504 | BNKU    | 0                   | 1            | 4            | C      |
| 20210504 | BNL     | 0                   | 2033         | 6443         | C      |
| 20210504 | BNO     | 0                   | 5602         | 10427        | C      |
| 20210504 | BNR     | 0                   | 0            | 300          | C      |
| 20210504 | BNS     | 0                   | 5592         | 6051         | C      |
| 20210504 | BNTC    | 0                   | 0            | 400          | C      |
| 20210504 | BNTX    | 958                 | 41820        | 60918        | C      |
| 20210504 | BOAC    | 0                   | 99           | 99           | C      |
| 20210504 | BOB     | 0                   | 200          | 200          | C      |
| 20210504 | BOCH    | 0                   | 101          | 103          | C      |
| 20210504 | BOE     | 0                   | 100          | 510          | C      |
| 20210504 | BOH     | 0                   | 1231         | 1772         | C      |
| 20210504 | BOIL    | 0                   | 3910         | 6615         | C      |
| 20210504 | BOKF    | 0                   | 82           | 194          | C      |
| 20210504 | BOLT    | 0                   | 353          | 493          | C      |
| 20210504 | BOMN    | 0                   | 127          | 195          | C      |
| 20210504 | BOND    | 0                   | 100          | 215          | C      |
| 20210504 | BOOM    | 1                   | 2352         | 2936         | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | BOOT   | 0                   | 223          | 403          | C      |
| 20210504 | BORR   | 0                   | 3665         | 9378         | C      |
| 20210504 | BOTZ   | 0                   | 1716         | 3132         | C      |
| 20210504 | BOWX   | 0                   | 2154         | 3257         | C      |
| 20210504 | BOX    | 0                   | 11077        | 16020        | C      |
| 20210504 | BOXL   | 0                   | 10678        | 12983        | C      |
| 20210504 | BP     | 428                 | 412304       | 504546       | C      |
| 20210504 | BPFH   | 0                   | 10849        | 43005        | C      |
| 20210504 | BPMC   | 10                  | 1065         | 1304         | C      |
| 20210504 | BPMP   | 0                   | 0            | 104          | C      |
| 20210504 | BPOP   | 0                   | 2085         | 5032         | C      |
| 20210504 | BPRN   | 0                   | 6            | 6            | C      |
| 20210504 | BPT    | 0                   | 100          | 100          | C      |
| 20210504 | BPTH   | 0                   | 464          | 680          | C      |
| 20210504 | BPY    | 20986               | 80980        | 108641       | C      |
| 20210504 | BPYPN  | 0                   | 125          | 125          | C      |
| 20210504 | BPYPO  | 0                   | 800          | 1100         | C      |
| 20210504 | BPYPP  | 0                   | 800          | 1000         | C      |
| 20210504 | BPYU   | 0                   | 0            | 30           | C      |
| 20210504 | BQ     | 0                   | 100          | 200          | C      |
| 20210504 | BR     | 0                   | 9269         | 10448        | C      |
| 20210504 | BRBR   | 0                   | 1            | 236          | C      |
| 20210504 | BRBS   | 0                   | 113          | 888          | C      |
| 20210504 | BRC    | 0                   | 461          | 714          | C      |
| 20210504 | BRFS   | 600                 | 76841        | 125183       | C      |
| 20210504 | BRG    | 0                   | 358          | 415          | C      |
| 20210504 | BRK B  | 0                   | 6247         | 11604        | C      |
| 20210504 | BRKL   | 100                 | 614          | 1126         | C      |
| 20210504 | BRKR   | 0                   | 1608         | 1829         | C      |
| 20210504 | BRKS   | 0                   | 5645         | 7926         | C      |
| 20210504 | BRMK   | 0                   | 6808         | 11050        | C      |
| 20210504 | BRN    | 1000                | 2700         | 3133         | C      |
| 20210504 | BRO    | 0                   | 3105         | 7813         | C      |
| 20210504 | BRP    | 20                  | 690          | 829          | C      |
| 20210504 | BRPA   | 0                   | 0            | 45           | C      |
| 20210504 | BRQS   | 0                   | 500          | 11658        | C      |
| 20210504 | BRT    | 0                   | 100          | 100          | C      |
| 20210504 | BRX    | 10                  | 20526        | 31159        | C      |
| 20210504 | BRY    | 0                   | 370          | 962          | C      |
| 20210504 | BRZU   | 0                   | 305          | 330          | C      |
| 20210504 | BSAC   | 0                   | 752          | 2251         | C      |
| 20210504 | BSBK   | 0                   | 4            | 4            | C      |
| 20210504 | BSBR   | 2733                | 35335        | 64238        | C      |
| 20210504 | BSCE   | 0                   | 1000         | 1100         | C      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20210504 | BACL    | 0                   | 400          | 21700        | C      |
| 20210504 | BSCM    | 0                   | 83           | 154          | C      |
| 20210504 | BSCN    | 0                   | 128          | 556          | C      |
| 20210504 | BSCO    | 0                   | 4428         | 8618         | C      |
| 20210504 | BSCQ    | 0                   | 100          | 601          | C      |
| 20210504 | BSCR    | 0                   | 207          | 407          | C      |
| 20210504 | BSCS    | 0                   | 0            | 230          | C      |
| 20210504 | BSCT    | 0                   | 100          | 400          | C      |
| 20210504 | BSET    | 0                   | 756          | 1086         | C      |
| 20210504 | BSGM    | 0                   | 10           | 103          | C      |
| 20210504 | BSIG    | 0                   | 1313         | 2185         | C      |
| 20210504 | BSJL    | 0                   | 1055         | 1055         | C      |
| 20210504 | BSJM    | 0                   | 0            | 200          | C      |
| 20210504 | BSJN    | 0                   | 22           | 22           | C      |
| 20210504 | BSJO    | 0                   | 600          | 1700         | C      |
| 20210504 | BSJQ    | 0                   | 100          | 757          | C      |
| 20210504 | BSJR    | 0                   | 0            | 600          | C      |
| 20210504 | BSL     | 0                   | 0            | 300          | C      |
| 20210504 | BSM     | 0                   | 262          | 267          | C      |
| 20210504 | BSML    | 0                   | 0            | 30           | C      |
| 20210504 | BSMM    | 0                   | 0            | 300          | C      |
| 20210504 | BSMO    | 0                   | 0            | 50           | C      |
| 20210504 | BSMP    | 0                   | 0            | 400          | C      |
| 20210504 | BSMQ    | 0                   | 0            | 6            | C      |
| 20210504 | BSMX    | 400                 | 1352         | 6519         | C      |
| 20210504 | BSPE    | 0                   | 0            | 100          | C      |
| 20210504 | BSQR    | 0                   | 389          | 767          | C      |
| 20210504 | BSRR    | 0                   | 1            | 147          | C      |
| 20210504 | BST     | 0                   | 0            | 150          | C      |
| 20210504 | BSTZ    | 0                   | 400          | 500          | C      |
| 20210504 | BSV     | 0                   | 9793         | 14174        | C      |
| 20210504 | BSVN    | 0                   | 100          | 100          | C      |
| 20210504 | BSX     | 0                   | 79477        | 138267       | C      |
| 20210504 | BSX PRA | 0                   | 0            | 25           | C      |
| 20210504 | BSY     | 0                   | 8873         | 12242        | C      |
| 20210504 | BTAI    | 0                   | 1127         | 1484         | C      |
| 20210504 | BTAQ    | 0                   | 1            | 449          | C      |
| 20210504 | BTBT    | 100                 | 2296         | 2296         | C      |
| 20210504 | BTCM    | 100                 | 755          | 863          | C      |
| 20210504 | BTG     | 15979               | 357195       | 482723       | C      |
| 20210504 | BTI     | 0                   | 40584        | 73150        | C      |
| 20210504 | BTN     | 0                   | 149          | 149          | C      |
| 20210504 | BTNB    | 100                 | 100          | 100          | C      |
| 20210504 | BTRS    | 0                   | 1438         | 1878         | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | BTRSW  | 0                   | 0            | 400          | C      |
| 20210504 | BTU    | 0                   | 28182        | 51576        | C      |
| 20210504 | BTWN   | 0                   | 48           | 149          | C      |
| 20210504 | BTWNW  | 0                   | 0            | 800          | C      |
| 20210504 | BTX    | 926                 | 6280         | 10029        | C      |
| 20210504 | BTZ    | 0                   | 200          | 248          | C      |
| 20210504 | BUD    | 0                   | 2112         | 2540         | C      |
| 20210504 | BUG    | 0                   | 100          | 300          | C      |
| 20210504 | BUI    | 0                   | 0            | 100          | C      |
| 20210504 | BUR    | 0                   | 202          | 202          | C      |
| 20210504 | BURL   | 0                   | 1802         | 2688         | C      |
| 20210504 | BUSE   | 0                   | 302          | 404          | C      |
| 20210504 | BUYZ   | 0                   | 100          | 100          | C      |
| 20210504 | BUZZ   | 0                   | 0            | 124          | C      |
| 20210504 | BV     | 0                   | 1267         | 1988         | C      |
| 20210504 | BVH    | 0                   | 255          | 255          | C      |
| 20210504 | BVN    | 0                   | 10827        | 12455        | C      |
| 20210504 | BVXV   | 0                   | 0            | 390          | C      |
| 20210504 | BW     | 200                 | 3785         | 6965         | C      |
| 20210504 | BWA    | 0                   | 5943         | 7798         | C      |
| 20210504 | BWB    | 0                   | 177          | 193          | C      |
| 20210504 | BWEN   | 0                   | 932          | 1107         | C      |
| 20210504 | BWFG   | 0                   | 5            | 5            | C      |
| 20210504 | BWMX   | 0                   | 0            | 105          | C      |
| 20210504 | BWX    | 0                   | 12027        | 12756        | C      |
| 20210504 | BWXT   | 0                   | 852          | 1001         | C      |
| 20210504 | BX     | 100                 | 13090        | 32895        | C      |
| 20210504 | BXC    | 0                   | 179          | 1455         | C      |
| 20210504 | BXG    | 0                   | 1            | 1            | C      |
| 20210504 | BXMT   | 0                   | 6074         | 7236         | C      |
| 20210504 | BXMX   | 0                   | 352          | 1152         | C      |
| 20210504 | BXP    | 0                   | 2449         | 4051         | C      |
| 20210504 | BXRX   | 0                   | 17881        | 18323        | C      |
| 20210504 | BXS    | 0                   | 4085         | 9131         | C      |
| 20210504 | BY     | 0                   | 204          | 219          | C      |
| 20210504 | BYD    | 100                 | 5368         | 6554         | C      |
| 20210504 | BYFC   | 0                   | 100          | 400          | C      |
| 20210504 | BYND   | 0                   | 9302         | 15272        | C      |
| 20210504 | BYSI   | 0                   | 722          | 958          | C      |
| 20210504 | BZH    | 0                   | 1688         | 2361         | C      |
| 20210504 | BZUN   | 100                 | 4949         | 5288         | C      |
| 20210504 | C      | 170                 | 169406       | 270898       | C      |
| 20210504 | C PRK  | 0                   | 200          | 200          | C      |
| 20210504 | C PRN  | 0                   | 0            | 900          | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | CAAP   | 200                 | 1607         | 2101         | C      |
| 20210504 | CAAS   | 0                   | 59           | 159          | C      |
| 20210504 | CABA   | 0                   | 819          | 986          | C      |
| 20210504 | CABO   | 0                   | 991          | 1546         | C      |
| 20210504 | CAC    | 0                   | 1            | 41           | C      |
| 20210504 | CACC   | 0                   | 1027         | 1042         | C      |
| 20210504 | CACI   | 0                   | 1338         | 1684         | C      |
| 20210504 | CADE   | 0                   | 3363         | 10661        | C      |
| 20210504 | CAE    | 0                   | 932          | 1235         | C      |
| 20210504 | CAG    | 0                   | 33398        | 39519        | C      |
| 20210504 | CAH    | 0                   | 5165         | 9938         | C      |
| 20210504 | CAI    | 0                   | 862          | 2108         | C      |
| 20210504 | CAJ    | 0                   | 268          | 1129         | C      |
| 20210504 | CAKE   | 0                   | 1880         | 2231         | C      |
| 20210504 | CAL    | 0                   | 1891         | 3140         | C      |
| 20210504 | CALA   | 0                   | 4223         | 5019         | C      |
| 20210504 | CALB   | 0                   | 5            | 5            | C      |
| 20210504 | CALM   | 0                   | 1639         | 2124         | C      |
| 20210504 | CALT   | 0                   | 70           | 70           | C      |
| 20210504 | CALX   | 0                   | 2433         | 2768         | C      |
| 20210504 | CAMP   | 0                   | 78           | 220          | C      |
| 20210504 | CAMT   | 0                   | 720          | 946          | C      |
| 20210504 | CAN    | 7515                | 19954        | 46908        | C      |
| 20210504 | CANF   | 0                   | 191          | 191          | C      |
| 20210504 | CANG   | 0                   | 838          | 1883         | C      |
| 20210504 | CAPA   | 0                   | 400          | 400          | C      |
| 20210504 | CAPL   | 0                   | 1252         | 2453         | C      |
| 20210504 | CAPR   | 2                   | 406          | 565          | C      |
| 20210504 | CAR    | 0                   | 8002         | 9522         | C      |
| 20210504 | CARA   | 0                   | 3764         | 4444         | C      |
| 20210504 | CARE   | 0                   | 103          | 215          | C      |
| 20210504 | CARG   | 0                   | 4409         | 4903         | C      |
| 20210504 | CARR   | 900                 | 26211        | 65161        | C      |
| 20210504 | CARS   | 0                   | 3443         | 3917         | C      |
| 20210504 | CARV   | 0                   | 100          | 100          | C      |
| 20210504 | CASA   | 0                   | 8317         | 8644         | C      |
| 20210504 | CASH   | 0                   | 685          | 1043         | C      |
| 20210504 | CASI   | 0                   | 9782         | 9979         | C      |
| 20210504 | CASS   | 0                   | 94           | 94           | C      |
| 20210504 | CASY   | 0                   | 1257         | 1427         | C      |
| 20210504 | CAT    | 70                  | 7217         | 14625        | C      |
| 20210504 | CATB   | 0                   | 1680         | 1808         | C      |
| 20210504 | CATC   | 0                   | 0            | 62           | C      |
| 20210504 | CATM   | 0                   | 14327        | 21898        | C      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20210504 | CATO    | 0                   | 67           | 573          | C      |
| 20210504 | CATY    | 0                   | 409          | 672          | C      |
| 20210504 | CB      | 0                   | 7510         | 10683        | C      |
| 20210504 | CBAT    | 0                   | 1820         | 3974         | C      |
| 20210504 | CBAY    | 0                   | 2294         | 6785         | C      |
| 20210504 | CBB     | 0                   | 5658         | 6823         | C      |
| 20210504 | CBD     | 348                 | 14427        | 18175        | C      |
| 20210504 | CBFV    | 0                   | 4            | 4            | C      |
| 20210504 | CBIO    | 0                   | 919          | 1213         | C      |
| 20210504 | CBLI    | 0                   | 0            | 32           | C      |
| 20210504 | CBOE    | 0                   | 2046         | 2962         | C      |
| 20210504 | CBON    | 0                   | 20           | 20           | C      |
| 20210504 | CBRE    | 40                  | 5251         | 7736         | C      |
| 20210504 | CBRL    | 0                   | 596          | 972          | C      |
| 20210504 | CBSH    | 0                   | 2308         | 2645         | C      |
| 20210504 | CBT     | 0                   | 1415         | 2265         | C      |
| 20210504 | CBTX    | 0                   | 506          | 587          | C      |
| 20210504 | CBU     | 0                   | 912          | 1061         | C      |
| 20210504 | CBZ     | 0                   | 905          | 1529         | C      |
| 20210504 | CC      | 0                   | 2907         | 6271         | C      |
| 20210504 | CCAC WS | 0                   | 0            | 600          | C      |
| 20210504 | CCB     | 0                   | 5            | 105          | C      |
| 20210504 | CCBG    | 0                   | 176          | 476          | C      |
| 20210504 | CCCC    | 0                   | 159          | 394          | C      |
| 20210504 | CCD     | 0                   | 82           | 82           | C      |
| 20210504 | CCEP    | 0                   | 3844         | 4827         | C      |
| 20210504 | CCF     | 0                   | 254          | 371          | C      |
| 20210504 | CCI     | 0                   | 4313         | 7514         | C      |
| 20210504 | CCIV    | 345                 | 13276        | 19436        | C      |
| 20210504 | CCIV WS | 0                   | 0            | 2135         | C      |
| 20210504 | CCJ     | 100                 | 37694        | 49797        | C      |
| 20210504 | CCK     | 0                   | 2712         | 5176         | C      |
| 20210504 | CCL     | 210                 | 287220       | 411874       | C      |
| 20210504 | CCMP    | 0                   | 574          | 788          | C      |
| 20210504 | CCNC    | 0                   | 0            | 247          | C      |
| 20210504 | CCNE    | 0                   | 31           | 31           | C      |
| 20210504 | CCO     | 0                   | 43871        | 64774        | C      |
| 20210504 | CCOI    | 0                   | 1814         | 2167         | C      |
| 20210504 | CCRN    | 0                   | 296          | 637          | C      |
| 20210504 | CCS     | 0                   | 3396         | 4038         | C      |
| 20210504 | CCU     | 0                   | 710          | 991          | C      |
| 20210504 | CCV     | 0                   | 0            | 58           | C      |
| 20210504 | CCX     | 0                   | 0            | 2            | C      |
| 20210504 | CCXI    | 3112                | 30956        | 53988        | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | CD     | 0                   | 2635         | 2846         | C      |
| 20210504 | CDAK   | 0                   | 630          | 1831         | C      |
| 20210504 | CDAY   | 0                   | 7819         | 9168         | C      |
| 20210504 | CDE    | 0                   | 53458        | 72244        | C      |
| 20210504 | CDEV   | 400                 | 71010        | 107846       | C      |
| 20210504 | CDK    | 0                   | 13573        | 16600        | C      |
| 20210504 | CDLX   | 0                   | 3134         | 3601         | C      |
| 20210504 | CDMO   | 0                   | 3            | 244          | C      |
| 20210504 | CDNA   | 0                   | 1293         | 1367         | C      |
| 20210504 | CDNS   | 32                  | 13530        | 14398        | C      |
| 20210504 | CDTX   | 0                   | 1545         | 1685         | C      |
| 20210504 | CDW    | 0                   | 4698         | 8232         | C      |
| 20210504 | CDXC   | 0                   | 1492         | 1646         | C      |
| 20210504 | CDXS   | 0                   | 1137         | 1545         | C      |
| 20210504 | CDZI   | 0                   | 585          | 585          | C      |
| 20210504 | CE     | 0                   | 6456         | 9404         | C      |
| 20210504 | CEA    | 0                   | 90           | 90           | C      |
| 20210504 | CECE   | 0                   | 434          | 1275         | C      |
| 20210504 | CEF    | 0                   | 1000         | 1200         | C      |
| 20210504 | CEI    | 0                   | 4394         | 5404         | C      |
| 20210504 | CEIX   | 0                   | 844          | 969          | C      |
| 20210504 | CELC   | 0                   | 924          | 1348         | C      |
| 20210504 | CELH   | 0                   | 2409         | 3426         | C      |
| 20210504 | CEM    | 0                   | 800          | 1100         | C      |
| 20210504 | CEMB   | 0                   | 511          | 511          | C      |
| 20210504 | CEMI   | 0                   | 1633         | 1743         | C      |
| 20210504 | CENT   | 0                   | 203          | 204          | C      |
| 20210504 | CENTA  | 0                   | 230          | 653          | C      |
| 20210504 | CENX   | 100                 | 7799         | 14305        | C      |
| 20210504 | CEQP   | 0                   | 1850         | 4691         | C      |
| 20210504 | CERC   | 0                   | 4233         | 4805         | C      |
| 20210504 | CERE   | 0                   | 1161         | 1588         | C      |
| 20210504 | CERN   | 0                   | 10264        | 13414        | C      |
| 20210504 | CERS   | 213                 | 27240        | 30804        | C      |
| 20210504 | CERT   | 0                   | 3460         | 3716         | C      |
| 20210504 | CETX   | 0                   | 5111         | 6341         | C      |
| 20210504 | CEVA   | 0                   | 699          | 899          | C      |
| 20210504 | CF     | 224                 | 4285         | 7369         | C      |
| 20210504 | CFB    | 0                   | 552          | 694          | C      |
| 20210504 | CFFEU  | 0                   | 0            | 700          | C      |
| 20210504 | CFFI   | 0                   | 200          | 200          | C      |
| 20210504 | CFFN   | 0                   | 1188         | 1657         | C      |
| 20210504 | CFG    | 0                   | 18531        | 23442        | C      |
| 20210504 | CFMS   | 0                   | 3518         | 5314         | C      |



| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20210504 | CFR     | 0                   | 1489         | 2707         | C      |
| 20210504 | CFRX    | 0                   | 3429         | 5174         | C      |
| 20210504 | CFX     | 0                   | 2356         | 6260         | C      |
| 20210504 | CG      | 0                   | 2634         | 4290         | C      |
| 20210504 | CGA     | 0                   | 70           | 170          | C      |
| 20210504 | CGAU    | 0                   | 100          | 102          | C      |
| 20210504 | CGBD    | 0                   | 104          | 364          | C      |
| 20210504 | CGC     | 0                   | 4373         | 10275        | C      |
| 20210504 | CGEM    | 0                   | 404          | 1457         | C      |
| 20210504 | CGEN    | 33                  | 4111         | 4590         | C      |
| 20210504 | CGNT    | 0                   | 0            | 2081         | C      |
| 20210504 | CGNX    | 0                   | 2087         | 3099         | C      |
| 20210504 | CGRN    | 0                   | 250          | 469          | C      |
| 20210504 | CGW     | 0                   | 100          | 100          | C      |
| 20210504 | CHAA WS | 0                   | 0            | 400          | C      |
| 20210504 | CHCO    | 0                   | 113          | 285          | C      |
| 20210504 | CHCT    | 0                   | 0            | 4            | C      |
| 20210504 | CHD     | 0                   | 4710         | 6577         | C      |
| 20210504 | CHDN    | 0                   | 1586         | 1907         | C      |
| 20210504 | CHE     | 0                   | 368          | 689          | C      |
| 20210504 | CHEF    | 0                   | 774          | 1715         | C      |
| 20210504 | CHEK    | 0                   | 4856         | 5762         | C      |
| 20210504 | CHFW    | 0                   | 0            | 200          | C      |
| 20210504 | CHGG    | 0                   | 35080        | 38067        | C      |
| 20210504 | CHH     | 0                   | 375          | 588          | C      |
| 20210504 | CHI     | 0                   | 198          | 298          | C      |
| 20210504 | CHIQ    | 0                   | 400          | 1300         | C      |
| 20210504 | CHK     | 0                   | 2845         | 4047         | C      |
| 20210504 | CHKP    | 0                   | 2505         | 5654         | C      |
| 20210504 | CHMA    | 0                   | 11548        | 12782        | C      |
| 20210504 | CHMG    | 0                   | 181          | 181          | C      |
| 20210504 | CHMI    | 0                   | 218          | 218          | C      |
| 20210504 | CHNG    | 0                   | 5660         | 9614         | C      |
| 20210504 | CHNR    | 0                   | 100          | 100          | C      |
| 20210504 | CHPT    | 100                 | 13994        | 22252        | C      |
| 20210504 | CHPT WS | 0                   | 0            | 2035         | C      |
| 20210504 | CHRA    | 0                   | 217          | 234          | C      |
| 20210504 | CHRS    | 0                   | 4232         | 6288         | C      |
| 20210504 | CHRW    | 0                   | 4194         | 4984         | C      |
| 20210504 | CHS     | 0                   | 15634        | 22904        | C      |
| 20210504 | CHT     | 0                   | 100          | 205          | C      |
| 20210504 | CHTR    | 0                   | 4462         | 5566         | C      |
| 20210504 | CHUY    | 0                   | 813          | 1339         | C      |
| 20210504 | CHWY    | 0                   | 5806         | 6570         | C      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20210504 | CHX     | 0                   | 3688         | 4880         | C      |
| 20210504 | CHY     | 0                   | 100          | 100          | C      |
| 20210504 | CI      | 0                   | 5588         | 10927        | C      |
| 20210504 | CIA     | 0                   | 302          | 316          | C      |
| 20210504 | CIB     | 0                   | 294          | 317          | C      |
| 20210504 | CIBR    | 0                   | 281          | 499          | C      |
| 20210504 | CIDM    | 19925               | 31496        | 48140        | C      |
| 20210504 | CIEN    | 0                   | 8973         | 14275        | C      |
| 20210504 | CIF     | 0                   | 0            | 200          | C      |
| 20210504 | CIG     | 0                   | 140957       | 298745       | C      |
| 20210504 | CIG C   | 0                   | 100          | 100          | C      |
| 20210504 | CIGI    | 0                   | 496          | 510          | C      |
| 20210504 | CIK     | 0                   | 109          | 1109         | C      |
| 20210504 | CIM     | 0                   | 23705        | 32512        | C      |
| 20210504 | CINF    | 0                   | 1890         | 2914         | C      |
| 20210504 | CIO     | 0                   | 482          | 499          | C      |
| 20210504 | CIR     | 0                   | 445          | 546          | C      |
| 20210504 | CIT     | 0                   | 1824         | 3382         | C      |
| 20210504 | CIVB    | 0                   | 0            | 90           | C      |
| 20210504 | CIXX    | 100                 | 201          | 201          | C      |
| 20210504 | CIZ     | 0                   | 100          | 100          | C      |
| 20210504 | CJJD    | 0                   | 0            | 100          | C      |
| 20210504 | CKPT    | 0                   | 2797         | 3656         | C      |
| 20210504 | CL      | 2                   | 13592        | 24911        | C      |
| 20210504 | CLAR    | 0                   | 7            | 10           | C      |
| 20210504 | CLB     | 0                   | 941          | 1748         | C      |
| 20210504 | CLBK    | 0                   | 57           | 632          | C      |
| 20210504 | CLBS    | 0                   | 3599         | 4109         | C      |
| 20210504 | CLDR    | 11                  | 9352         | 14909        | C      |
| 20210504 | CLDT    | 0                   | 536          | 1097         | C      |
| 20210504 | CLDX    | 0                   | 923          | 1025         | C      |
| 20210504 | CLEU    | 0                   | 100          | 100          | C      |
| 20210504 | CLF     | 700                 | 314171       | 398005       | C      |
| 20210504 | CLFD    | 0                   | 769          | 925          | C      |
| 20210504 | CLGN    | 0                   | 300          | 1386         | C      |
| 20210504 | CLGX    | 4                   | 5666         | 18772        | C      |
| 20210504 | CLH     | 0                   | 1217         | 4318         | C      |
| 20210504 | CLI     | 0                   | 2220         | 2920         | C      |
| 20210504 | CLII    | 0                   | 190          | 211          | C      |
| 20210504 | CLIM    | 0                   | 160          | 160          | C      |
| 20210504 | CLIM WS | 0                   | 36           | 36           | C      |
| 20210504 | CLLS    | 2                   | 128          | 258          | C      |
| 20210504 | CLM     | 0                   | 3125         | 3325         | C      |
| 20210504 | CLMT    | 0                   | 0            | 100          | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | CLNC   | 0                   | 1068         | 1068         | C      |
| 20210504 | CLNE   | 0                   | 36754        | 55573        | C      |
| 20210504 | CLNN   | 0                   | 34           | 268          | C      |
| 20210504 | CLNY   | 0                   | 37220        | 47131        | C      |
| 20210504 | CLOU   | 0                   | 813          | 825          | C      |
| 20210504 | CLOV   | 0                   | 30777        | 36165        | C      |
| 20210504 | CLOVW  | 912                 | 1649         | 2637         | C      |
| 20210504 | CLPR   | 0                   | 100          | 100          | C      |
| 20210504 | CLPS   | 0                   | 722          | 722          | C      |
| 20210504 | CLPT   | 8                   | 1820         | 1932         | C      |
| 20210504 | CLR    | 0                   | 5950         | 7831         | C      |
| 20210504 | CLRB   | 0                   | 660          | 660          | C      |
| 20210504 | CLRO   | 0                   | 600          | 600          | C      |
| 20210504 | CLS    | 100                 | 2113         | 2888         | C      |
| 20210504 | CLSD   | 0                   | 0            | 41           | C      |
| 20210504 | CLSK   | 218                 | 4115         | 4851         | C      |
| 20210504 | CLSN   | 0                   | 13632        | 18897        | C      |
| 20210504 | CLVR   | 0                   | 148          | 218          | C      |
| 20210504 | CLVRW  | 0                   | 0            | 100          | C      |
| 20210504 | CLVS   | 0                   | 76206        | 88599        | C      |
| 20210504 | CLVT   | 0                   | 3169         | 5631         | C      |
| 20210504 | CLW    | 0                   | 696          | 1521         | C      |
| 20210504 | CLX    | 0                   | 6972         | 8938         | C      |
| 20210504 | CLXT   | 0                   | 354          | 569          | C      |
| 20210504 | CM     | 100                 | 2952         | 3530         | C      |
| 20210504 | CMA    | 0                   | 4087         | 7204         | C      |
| 20210504 | CMBM   | 0                   | 1674         | 2235         | C      |
| 20210504 | CMBS   | 0                   | 100          | 100          | C      |
| 20210504 | CMC    | 0                   | 3299         | 8514         | C      |
| 20210504 | CMCL   | 0                   | 354          | 354          | C      |
| 20210504 | CMCM   | 0                   | 1668         | 2150         | C      |
| 20210504 | CMCO   | 0                   | 201          | 713          | C      |
| 20210504 | CMCSA  | 227                 | 253068       | 350244       | C      |
| 20210504 | CMCT   | 0                   | 308          | 500          | C      |
| 20210504 | CMD    | 0                   | 993          | 2056         | C      |
| 20210504 | CMDY   | 0                   | 169          | 169          | C      |
| 20210504 | CME    | 0                   | 3304         | 5072         | C      |
| 20210504 | CMF    | 0                   | 0            | 1200         | C      |
| 20210504 | CMG    | 0                   | 2615         | 4829         | C      |
| 20210504 | CMI    | 0                   | 5815         | 7787         | C      |
| 20210504 | CMLF   | 81                  | 779          | 857          | C      |
| 20210504 | CMLS   | 0                   | 100          | 100          | C      |
| 20210504 | CMMB   | 400                 | 516          | 616          | C      |
| 20210504 | CMO    | 0                   | 8961         | 22248        | C      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20210504 | CMP     | 0                   | 2005         | 2724         | C      |
| 20210504 | CMPI    | 0                   | 350          | 350          | C      |
| 20210504 | CMPR    | 0                   | 505          | 639          | C      |
| 20210504 | CMPS    | 0                   | 587          | 1733         | C      |
| 20210504 | CMRE    | 0                   | 1500         | 2187         | C      |
| 20210504 | CMRX    | 0                   | 20           | 23           | C      |
| 20210504 | CMS     | 0                   | 4282         | 8038         | C      |
| 20210504 | CMTL    | 0                   | 474          | 580          | C      |
| 20210504 | CNA     | 0                   | 360          | 577          | C      |
| 20210504 | CNBKA   | 0                   | 1            | 6            | C      |
| 20210504 | CNC     | 0                   | 4534         | 16061        | C      |
| 20210504 | CNCE    | 0                   | 646          | 1284         | C      |
| 20210504 | CNCR    | 50                  | 150          | 150          | C      |
| 20210504 | CND WS  | 0                   | 0            | 800          | C      |
| 20210504 | CNDT    | 0                   | 9196         | 12411        | C      |
| 20210504 | CNET    | 0                   | 540          | 1239         | C      |
| 20210504 | CNHI    | 2284                | 126867       | 163159       | C      |
| 20210504 | CNI     | 0                   | 4450         | 6164         | C      |
| 20210504 | CNK     | 0                   | 15769        | 19518        | C      |
| 20210504 | CNMD    | 0                   | 1982         | 2255         | C      |
| 20210504 | CNNE    | 0                   | 2050         | 3373         | C      |
| 20210504 | CNO     | 0                   | 1009         | 1858         | C      |
| 20210504 | CNO PRA | 0                   | 100          | 100          | C      |
| 20210504 | CNOB    | 0                   | 332          | 332          | C      |
| 20210504 | CNP     | 0                   | 66011        | 74824        | C      |
| 20210504 | CNQ     | 2550                | 180023       | 237357       | C      |
| 20210504 | CNR     | 0                   | 1098         | 3320         | C      |
| 20210504 | CNS     | 0                   | 245          | 267          | C      |
| 20210504 | CNSL    | 0                   | 3892         | 5226         | C      |
| 20210504 | CNSP    | 0                   | 346          | 346          | C      |
| 20210504 | CNST    | 171                 | 2460         | 2740         | C      |
| 20210504 | CNTB    | 0                   | 0            | 100          | C      |
| 20210504 | CNTG    | 0                   | 9            | 259          | C      |
| 20210504 | CNTY    | 0                   | 140          | 240          | C      |
| 20210504 | CNX     | 0                   | 16426        | 23550        | C      |
| 20210504 | CNXC    | 0                   | 740          | 941          | C      |
| 20210504 | CNXN    | 0                   | 173          | 274          | C      |
| 20210504 | CO      | 0                   | 1450         | 1776         | C      |
| 20210504 | COCP    | 13847               | 3117810      | 3672880      | C      |
| 20210504 | CODX    | 0                   | 1659         | 1938         | C      |
| 20210504 | COF     | 0                   | 12452        | 19903        | C      |
| 20210504 | COFS    | 0                   | 6            | 6            | C      |
| 20210504 | COG     | 0                   | 96843        | 129905       | C      |
| 20210504 | COGT    | 300                 | 1967         | 2242         | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | COHR   | 0                   | 1742         | 3892         | C      |
| 20210504 | COHU   | 0                   | 2574         | 3287         | C      |
| 20210504 | COIN   | 211                 | 7600         | 14018        | C      |
| 20210504 | COKE   | 0                   | 798          | 843          | C      |
| 20210504 | COLB   | 0                   | 260          | 617          | C      |
| 20210504 | COLD   | 0                   | 2793         | 4024         | C      |
| 20210504 | COLIW  | 0                   | 100          | 100          | C      |
| 20210504 | COLL   | 0                   | 1447         | 1944         | C      |
| 20210504 | COLM   | 0                   | 8136         | 10981        | C      |
| 20210504 | COMM   | 0                   | 25110        | 36997        | C      |
| 20210504 | COMP   | 0                   | 3721         | 4689         | C      |
| 20210504 | COMS   | 0                   | 84           | 84           | C      |
| 20210504 | COMT   | 0                   | 2295         | 2295         | C      |
| 20210504 | CONE   | 1                   | 1643         | 3869         | C      |
| 20210504 | CONN   | 0                   | 2181         | 2889         | C      |
| 20210504 | CONX   | 0                   | 2808         | 7411         | C      |
| 20210504 | COO    | 0                   | 587          | 1443         | C      |
| 20210504 | COOLW  | 0                   | 100          | 300          | C      |
| 20210504 | COOP   | 0                   | 914          | 2032         | C      |
| 20210504 | COP    | 0                   | 27182        | 60516        | C      |
| 20210504 | COPX   | 0                   | 595          | 1942         | C      |
| 20210504 | COR    | 0                   | 573          | 854          | C      |
| 20210504 | CORE   | 0                   | 1282         | 1435         | C      |
| 20210504 | CORN   | 0                   | 789          | 3131         | C      |
| 20210504 | CORP   | 0                   | 100          | 187          | C      |
| 20210504 | CORR   | 0                   | 1361         | 1728         | C      |
| 20210504 | CORT   | 0                   | 1454         | 1559         | C      |
| 20210504 | COST   | 0                   | 6722         | 11674        | C      |
| 20210504 | COTY   | 0                   | 132161       | 177739       | C      |
| 20210504 | COUP   | 0                   | 7938         | 9659         | C      |
| 20210504 | COUR   | 0                   | 6976         | 8560         | C      |
| 20210504 | COWN   | 0                   | 3396         | 4659         | C      |
| 20210504 | CP     | 400                 | 2480         | 2910         | C      |
| 20210504 | CPA    | 0                   | 1881         | 3208         | C      |
| 20210504 | CPB    | 0                   | 8034         | 11658        | C      |
| 20210504 | CPE    | 0                   | 1164         | 2404         | C      |
| 20210504 | CPER   | 0                   | 200          | 222          | C      |
| 20210504 | CPF    | 0                   | 900          | 1544         | C      |
| 20210504 | CPG    | 3850                | 129142       | 200829       | C      |
| 20210504 | CPHI   | 500                 | 500          | 1708         | C      |
| 20210504 | CPIX   | 0                   | 3            | 3            | C      |
| 20210504 | CPK    | 0                   | 70           | 70           | C      |
| 20210504 | CPLG   | 0                   | 606          | 1342         | C      |
| 20210504 | CPLP   | 0                   | 0            | 85           | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | CPNG   | 43                  | 13847        | 22857        | C      |
| 20210504 | CPRI   | 0                   | 11951        | 14492        | C      |
| 20210504 | CPRT   | 0                   | 2338         | 4010         | C      |
| 20210504 | CPRX   | 0                   | 18722        | 20352        | C      |
| 20210504 | CPS    | 7                   | 599          | 952          | C      |
| 20210504 | CPSH   | 0                   | 660          | 1688         | C      |
| 20210504 | CPSI   | 0                   | 158          | 166          | C      |
| 20210504 | CPT    | 0                   | 1782         | 2838         | C      |
| 20210504 | CPTA   | 0                   | 105          | 105          | C      |
| 20210504 | CPUH U | 0                   | 0            | 400          | C      |
| 20210504 | CPZ    | 0                   | 36           | 136          | C      |
| 20210504 | CQP    | 0                   | 395          | 1355         | C      |
| 20210504 | CQQQ   | 0                   | 371          | 500          | C      |
| 20210504 | CR     | 0                   | 2612         | 5134         | C      |
| 20210504 | CRAI   | 0                   | 562          | 984          | C      |
| 20210504 | CRBN   | 0                   | 100          | 100          | C      |
| 20210504 | CRBP   | 0                   | 41180        | 49009        | C      |
| 20210504 | CRC    | 0                   | 561          | 815          | C      |
| 20210504 | CRCT   | 0                   | 352          | 1386         | C      |
| 20210504 | CRD A  | 0                   | 0            | 1            | C      |
| 20210504 | CRDF   | 0                   | 727          | 1818         | C      |
| 20210504 | CREE   | 0                   | 5447         | 7005         | C      |
| 20210504 | CREG   | 0                   | 584          | 606          | C      |
| 20210504 | CRF    | 0                   | 1775         | 1775         | C      |
| 20210504 | CRH    | 0                   | 270          | 580          | C      |
| 20210504 | CRHC   | 0                   | 3506         | 3716         | C      |
| 20210504 | CRI    | 0                   | 9261         | 12935        | C      |
| 20210504 | CRIS   | 0                   | 8986         | 11536        | C      |
| 20210504 | CRK    | 0                   | 32956        | 47224        | C      |
| 20210504 | CRKN   | 0                   | 5            | 5            | C      |
| 20210504 | CRL    | 0                   | 2316         | 3432         | C      |
| 20210504 | CRM    | 34                  | 5207         | 14622        | C      |
| 20210504 | CRMD   | 0                   | 183          | 283          | C      |
| 20210504 | CRMT   | 0                   | 470          | 620          | C      |
| 20210504 | CRNC   | 0                   | 1319         | 1726         | C      |
| 20210504 | CRNT   | 0                   | 1987         | 2797         | C      |
| 20210504 | CRNX   | 0                   | 193          | 198          | C      |
| 20210504 | CRON   | 101                 | 23151        | 41095        | C      |
| 20210504 | CROX   | 0                   | 4870         | 9487         | C      |
| 20210504 | CRS    | 0                   | 918          | 1413         | C      |
| 20210504 | CRSA   | 0                   | 200          | 200          | C      |
| 20210504 | CRSP   | 14                  | 4880         | 6355         | C      |
| 20210504 | CRSR   | 0                   | 11280        | 11935        | C      |
| 20210504 | CRTD   | 0                   | 750          | 1225         | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | CRTO   | 0                   | 5096         | 9519         | C      |
| 20210504 | CRTX   | 0                   | 1228         | 1469         | C      |
| 20210504 | CRU U  | 0                   | 0            | 14           | C      |
| 20210504 | CRUS   | 0                   | 2691         | 5164         | C      |
| 20210504 | CRVL   | 0                   | 311          | 577          | C      |
| 20210504 | CRVS   | 0                   | 670          | 2588         | C      |
| 20210504 | CRWD   | 100                 | 15986        | 21209        | C      |
| 20210504 | CRY    | 0                   | 629          | 811          | C      |
| 20210504 | CS     | 0                   | 46618        | 192167       | C      |
| 20210504 | CSAN   | 0                   | 1            | 337          | C      |
| 20210504 | CSBR   | 0                   | 100          | 100          | C      |
| 20210504 | CSCO   | 804                 | 359996       | 512119       | C      |
| 20210504 | CSCW   | 1000                | 11342        | 14410        | C      |
| 20210504 | CSGP   | 0                   | 933          | 1007         | C      |
| 20210504 | CSGS   | 0                   | 271          | 377          | C      |
| 20210504 | CSII   | 0                   | 213          | 930          | C      |
| 20210504 | CSIQ   | 0                   | 7307         | 10123        | C      |
| 20210504 | CSL    | 0                   | 804          | 1843         | C      |
| 20210504 | CSLT   | 0                   | 10959        | 25946        | C      |
| 20210504 | CSOD   | 0                   | 883          | 1502         | C      |
| 20210504 | CSPR   | 0                   | 1102         | 1162         | C      |
| 20210504 | CSQ    | 100                 | 500          | 500          | C      |
| 20210504 | CSR    | 0                   | 3            | 163          | C      |
| 20210504 | CSSE   | 0                   | 0            | 29           | C      |
| 20210504 | CSTE   | 0                   | 210          | 220          | C      |
| 20210504 | CSTL   | 0                   | 1482         | 1669         | C      |
| 20210504 | CSTM   | 0                   | 3350         | 9966         | C      |
| 20210504 | CSTR   | 0                   | 410          | 500          | C      |
| 20210504 | CSU    | 0                   | 100          | 100          | C      |
| 20210504 | CSV    | 0                   | 2            | 132          | C      |
| 20210504 | CSWI   | 0                   | 322          | 500          | C      |
| 20210504 | CSX    | 0                   | 8956         | 21112        | C      |
| 20210504 | CTAS   | 0                   | 1241         | 1584         | C      |
| 20210504 | CTB    | 0                   | 141          | 3844         | C      |
| 20210504 | CTBI   | 0                   | 44           | 47           | C      |
| 20210504 | CTEC   | 0                   | 0            | 60           | C      |
| 20210504 | CTG    | 0                   | 100          | 100          | C      |
| 20210504 | CTHR   | 0                   | 450          | 450          | C      |
| 20210504 | CTIB   | 0                   | 3            | 3            | C      |
| 20210504 | CTIC   | 0                   | 1390         | 2802         | C      |
| 20210504 | CTK    | 0                   | 0            | 720          | C      |
| 20210504 | CTLP   | 0                   | 2091         | 2406         | C      |
| 20210504 | CTLT   | 0                   | 18216        | 38788        | C      |
| 20210504 | CTMX   | 0                   | 2737         | 5828         | C      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20210504 | CTO     | 0                   | 383          | 814          | C      |
| 20210504 | CTOS    | 0                   | 634          | 734          | C      |
| 20210504 | CTOS WS | 200                 | 200          | 200          | C      |
| 20210504 | CTR     | 0                   | 0            | 100          | C      |
| 20210504 | CTRE    | 0                   | 6422         | 8058         | C      |
| 20210504 | CTRM    | 5300                | 121844       | 184614       | C      |
| 20210504 | CTRN    | 0                   | 1198         | 5887         | C      |
| 20210504 | CTS     | 0                   | 660          | 899          | C      |
| 20210504 | CTSH    | 48                  | 18823        | 27759        | C      |
| 20210504 | CTSO    | 0                   | 609          | 615          | C      |
| 20210504 | CTT     | 0                   | 484          | 511          | C      |
| 20210504 | CTVA    | 99                  | 9884         | 15963        | C      |
| 20210504 | CTXR    | 3663                | 44334        | 57539        | C      |
| 20210504 | CTXS    | 0                   | 4015         | 4526         | C      |
| 20210504 | CUB     | 0                   | 533          | 1038         | C      |
| 20210504 | CUBE    | 84                  | 5469         | 9167         | C      |
| 20210504 | CUBI    | 0                   | 892          | 1153         | C      |
| 20210504 | CUE     | 0                   | 1378         | 1857         | C      |
| 20210504 | CUK     | 0                   | 1780         | 2381         | C      |
| 20210504 | CURI    | 0                   | 212          | 669          | C      |
| 20210504 | CURO    | 0                   | 841          | 2413         | C      |
| 20210504 | CUT     | 0                   | 0            | 1            | C      |
| 20210504 | CUTR    | 0                   | 0            | 200          | C      |
| 20210504 | CUZ     | 0                   | 3547         | 7443         | C      |
| 20210504 | CVA     | 100                 | 2764         | 5558         | C      |
| 20210504 | CVAC    | 501                 | 9282         | 13530        | C      |
| 20210504 | CVBF    | 0                   | 1735         | 2075         | C      |
| 20210504 | CVCO    | 0                   | 608          | 734          | C      |
| 20210504 | CVCY    | 0                   | 2            | 2            | C      |
| 20210504 | CVE     | 37214               | 457818       | 659699       | C      |
| 20210504 | CVEO    | 0                   | 70           | 200          | C      |
| 20210504 | CVET    | 0                   | 3257         | 5061         | C      |
| 20210504 | CVGI    | 0                   | 153          | 376          | C      |
| 20210504 | CVGW    | 0                   | 168          | 252          | C      |
| 20210504 | CVI     | 0                   | 10703        | 11243        | C      |
| 20210504 | CVII    | 100                 | 100          | 100          | C      |
| 20210504 | CVII U  | 0                   | 300          | 7968         | C      |
| 20210504 | CVLG    | 0                   | 63           | 93           | C      |
| 20210504 | CVLT    | 0                   | 4934         | 6138         | C      |
| 20210504 | CVM     | 12                  | 3115         | 3439         | C      |
| 20210504 | CVNA    | 0                   | 3741         | 5348         | C      |
| 20210504 | CVS     | 75                  | 29451        | 44040        | C      |
| 20210504 | CVX     | 0                   | 6931         | 10835        | C      |
| 20210504 | CW      | 0                   | 667          | 3721         | C      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | CWB    | 0                   | 20863        | 41090        | C      |
| 20210504 | CWBR   | 0                   | 175          | 183          | C      |
| 20210504 | CWCO   | 0                   | 598          | 814          | C      |
| 20210504 | CWEN   | 0                   | 1859         | 2457         | C      |
| 20210504 | CWEN A | 0                   | 823          | 1150         | C      |
| 20210504 | CWH    | 0                   | 22337        | 29092        | C      |
| 20210504 | CWK    | 0                   | 2607         | 5329         | C      |
| 20210504 | CWST   | 0                   | 226          | 823          | C      |
| 20210504 | CWT    | 0                   | 1811         | 5175         | C      |
| 20210504 | CX     | 15522               | 119852       | 177453       | C      |
| 20210504 | CXP    | 100                 | 3823         | 12669        | C      |
| 20210504 | CXSE   | 0                   | 250          | 250          | C      |
| 20210504 | CXW    | 0                   | 9640         | 19628        | C      |
| 20210504 | CYBE   | 0                   | 264          | 309          | C      |
| 20210504 | CYBR   | 0                   | 3880         | 7739         | C      |
| 20210504 | CYCC   | 0                   | 600          | 800          | C      |
| 20210504 | CYCN   | 0                   | 4243         | 4298         | C      |
| 20210504 | CYH    | 0                   | 20374        | 32911        | C      |
| 20210504 | CYRX   | 0                   | 3274         | 3880         | C      |
| 20210504 | CYTH   | 0                   | 101          | 101          | C      |
| 20210504 | CYTK   | 0                   | 2001         | 4443         | C      |
| 20210504 | CZNC   | 0                   | 0            | 67           | C      |
| 20210504 | CZR    | 0                   | 13471        | 26012        | C      |
| 20210504 | CZWI   | 0                   | 50           | 50           | C      |
| 20210504 | D      | 0                   | 10165        | 31021        | C      |
| 20210504 | DAC    | 0                   | 1763         | 2518         | C      |
| 20210504 | DADA   | 0                   | 3629         | 5199         | C      |
| 20210504 | DAIO   | 0                   | 100          | 100          | C      |
| 20210504 | DAKT   | 0                   | 100          | 350          | C      |
| 20210504 | DAL    | 0                   | 97191        | 145392       | C      |
| 20210504 | DALT   | 0                   | 233          | 233          | C      |
| 20210504 | DAN    | 0                   | 12594        | 16526        | C      |
| 20210504 | DAO    | 0                   | 562          | 1314         | C      |
| 20210504 | DAR    | 0                   | 5889         | 14268        | C      |
| 20210504 | DARE   | 0                   | 292          | 703          | C      |
| 20210504 | DASH   | 0                   | 17202        | 21991        | C      |
| 20210504 | DAVA   | 0                   | 248          | 333          | C      |
| 20210504 | DB     | 2953                | 97765        | 131029       | C      |
| 20210504 | DBA    | 0                   | 11200        | 121138       | C      |
| 20210504 | DBB    | 0                   | 0            | 1836         | C      |
| 20210504 | DBC    | 0                   | 214075       | 222237       | C      |
| 20210504 | DBD    | 0                   | 988          | 1034         | C      |
| 20210504 | DBDR   | 0                   | 290          | 290          | C      |
| 20210504 | DBDRW  | 0                   | 0            | 1000         | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | DBE    | 0                   | 800          | 3300         | C      |
| 20210504 | DBEF   | 0                   | 7179         | 9543         | C      |
| 20210504 | DBI    | 0                   | 6589         | 7634         | C      |
| 20210504 | DBL    | 0                   | 0            | 56           | C      |
| 20210504 | DBMF   | 0                   | 400          | 400          | C      |
| 20210504 | DBO    | 0                   | 93539        | 167615       | C      |
| 20210504 | DBTX   | 0                   | 4            | 209          | C      |
| 20210504 | DBVT   | 0                   | 1234         | 1608         | C      |
| 20210504 | DBX    | 3                   | 12478        | 21018        | C      |
| 20210504 | DCBO   | 200                 | 301          | 464          | C      |
| 20210504 | DCI    | 0                   | 2050         | 2751         | C      |
| 20210504 | DCO    | 0                   | 102          | 146          | C      |
| 20210504 | DCOM   | 0                   | 623          | 897          | C      |
| 20210504 | DCP    | 36                  | 1415         | 2111         | C      |
| 20210504 | DCPH   | 0                   | 1923         | 2199         | C      |
| 20210504 | DCRB   | 0                   | 0            | 7            | C      |
| 20210504 | DCRBW  | 0                   | 100          | 100          | C      |
| 20210504 | DCT    | 0                   | 1852         | 2819         | C      |
| 20210504 | DCTH   | 0                   | 100          | 199          | C      |
| 20210504 | DD     | 0                   | 31482        | 48887        | C      |
| 20210504 | DDD    | 0                   | 8538         | 10353        | C      |
| 20210504 | DDM    | 0                   | 1388         | 1509         | C      |
| 20210504 | DDOG   | 3                   | 12758        | 22903        | C      |
| 20210504 | DDS    | 0                   | 1640         | 2015         | C      |
| 20210504 | DE     | 0                   | 5369         | 8442         | C      |
| 20210504 | DEA    | 0                   | 1563         | 5471         | C      |
| 20210504 | DECK   | 0                   | 1992         | 2142         | C      |
| 20210504 | DEED   | 0                   | 185          | 185          | C      |
| 20210504 | DEH    | 0                   | 1100         | 1100         | C      |
| 20210504 | DEH WS | 0                   | 300          | 300          | C      |
| 20210504 | DEI    | 10                  | 3989         | 6268         | C      |
| 20210504 | DELL   | 0                   | 5496         | 12218        | C      |
| 20210504 | DEMZ   | 0                   | 699          | 699          | C      |
| 20210504 | DEN    | 10                  | 2421         | 3625         | C      |
| 20210504 | DENN   | 0                   | 1476         | 3298         | C      |
| 20210504 | DEO    | 0                   | 1423         | 1804         | C      |
| 20210504 | DES    | 0                   | 750          | 1455         | C      |
| 20210504 | DESP   | 0                   | 326          | 592          | C      |
| 20210504 | DFAU   | 0                   | 12292        | 12292        | C      |
| 20210504 | DFEN   | 200                 | 3442         | 4305         | C      |
| 20210504 | DFFN   | 1030                | 10551        | 17840        | C      |
| 20210504 | DFIN   | 0                   | 955          | 1445         | C      |
| 20210504 | DFNS   | 0                   | 304          | 3400         | C      |
| 20210504 | DFP    | 0                   | 0            | 100          | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | DFS    | 275                 | 7136         | 18577        | C      |
| 20210504 | DG     | 0                   | 9896         | 16119        | C      |
| 20210504 | DGICA  | 0                   | 100          | 201          | C      |
| 20210504 | DGII   | 0                   | 80           | 147          | C      |
| 20210504 | DGLY   | 0                   | 26746        | 31262        | C      |
| 20210504 | DGNR   | 0                   | 2861         | 2861         | C      |
| 20210504 | DGNS   | 0                   | 580          | 580          | C      |
| 20210504 | DGNU   | 0                   | 0            | 727          | C      |
| 20210504 | DGRO   | 0                   | 26874        | 26954        | C      |
| 20210504 | DGRW   | 0                   | 1            | 1            | C      |
| 20210504 | DGX    | 0                   | 1894         | 3173         | C      |
| 20210504 | DHC    | 0                   | 5446         | 9177         | C      |
| 20210504 | DHCAU  | 0                   | 0            | 300          | C      |
| 20210504 | DHCNI  | 0                   | 0            | 11           | C      |
| 20210504 | DHCNL  | 0                   | 0            | 1595         | C      |
| 20210504 | DHF    | 0                   | 15393        | 17503        | C      |
| 20210504 | DHHC   | 0                   | 100          | 100          | C      |
| 20210504 | DHHCU  | 0                   | 0            | 1064         | C      |
| 20210504 | DHI    | 0                   | 2223         | 8759         | C      |
| 20210504 | DHIL   | 0                   | 321          | 321          | C      |
| 20210504 | DHR    | 0                   | 21119        | 46104        | C      |
| 20210504 | DHT    | 0                   | 31025        | 46453        | C      |
| 20210504 | DHX    | 0                   | 1345         | 1357         | C      |
| 20210504 | DHY    | 0                   | 14443        | 14551        | C      |
| 20210504 | DIA    | 0                   | 4283         | 7970         | C      |
| 20210504 | DIAL   | 0                   | 0            | 100          | C      |
| 20210504 | DIAX   | 0                   | 200          | 902          | C      |
| 20210504 | DIN    | 0                   | 931          | 1387         | C      |
| 20210504 | DIOD   | 0                   | 1410         | 1918         | C      |
| 20210504 | DIS    | 223                 | 14267        | 28923        | C      |
| 20210504 | DISCA  | 0                   | 11232        | 19326        | C      |
| 20210504 | DISCB  | 0                   | 20           | 20           | C      |
| 20210504 | DISCK  | 0                   | 9058         | 33627        | C      |
| 20210504 | DISH   | 0                   | 13391        | 24608        | C      |
| 20210504 | DIV    | 0                   | 108          | 108          | C      |
| 20210504 | DJCO   | 0                   | 1            | 3            | C      |
| 20210504 | DJP    | 0                   | 3500         | 3500         | C      |
| 20210504 | DK     | 0                   | 2736         | 4472         | C      |
| 20210504 | DKL    | 0                   | 200          | 306          | C      |
| 20210504 | DKNG   | 0                   | 82153        | 100195       | C      |
| 20210504 | DKS    | 0                   | 25267        | 39111        | C      |
| 20210504 | DLA    | 0                   | 3            | 3            | C      |
| 20210504 | DLB    | 0                   | 14001        | 18221        | C      |
| 20210504 | DLPN   | 14                  | 2233         | 3215         | C      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20210504 | DLR     | 100                 | 5927         | 7731         | C      |
| 20210504 | DLS     | 0                   | 100          | 100          | C      |
| 20210504 | DLTH    | 0                   | 723          | 876          | C      |
| 20210504 | DLTR    | 0                   | 1856         | 8341         | C      |
| 20210504 | DLX     | 0                   | 604          | 629          | C      |
| 20210504 | DM      | 100                 | 27428        | 36648        | C      |
| 20210504 | DMO     | 0                   | 200          | 200          | C      |
| 20210504 | DMRC    | 0                   | 544          | 560          | C      |
| 20210504 | DMS     | 0                   | 225          | 560          | C      |
| 20210504 | DMTK    | 0                   | 3525         | 5766         | C      |
| 20210504 | DMYI    | 0                   | 129          | 760          | C      |
| 20210504 | DMYI U  | 0                   | 0            | 4671         | C      |
| 20210504 | DNB     | 0                   | 15089        | 21737        | C      |
| 20210504 | DNLI    | 0                   | 830          | 1125         | C      |
| 20210504 | DNMR    | 103                 | 36407        | 57040        | C      |
| 20210504 | DNMR WS | 400                 | 400          | 8111         | C      |
| 20210504 | DNN     | 6166                | 155569       | 197323       | C      |
| 20210504 | DNOW    | 0                   | 1850         | 4360         | C      |
| 20210504 | DNP     | 0                   | 321          | 2574         | C      |
| 20210504 | DOC     | 0                   | 36261        | 56453        | C      |
| 20210504 | DOCN    | 0                   | 379          | 877          | C      |
| 20210504 | DOCU    | 200                 | 24799        | 36654        | C      |
| 20210504 | DOG     | 0                   | 1703         | 4103         | C      |
| 20210504 | DOGZ    | 0                   | 600          | 600          | C      |
| 20210504 | DOMO    | 9                   | 1787         | 1967         | C      |
| 20210504 | DOOO    | 0                   | 202          | 559          | C      |
| 20210504 | DOOR    | 1                   | 153          | 766          | C      |
| 20210504 | DORM    | 0                   | 246          | 341          | C      |
| 20210504 | DOV     | 0                   | 953          | 2299         | C      |
| 20210504 | DOW     | 0                   | 11560        | 17341        | C      |
| 20210504 | DOX     | 0                   | 4034         | 12300        | C      |
| 20210504 | DOYU    | 0                   | 23833        | 27874        | C      |
| 20210504 | DPG     | 0                   | 0            | 5            | C      |
| 20210504 | DPST    | 0                   | 120          | 120          | C      |
| 20210504 | DPW     | 514                 | 7155         | 8900         | C      |
| 20210504 | DPZ     | 0                   | 6341         | 7466         | C      |
| 20210504 | DQ      | 10                  | 8843         | 22438        | C      |
| 20210504 | DRD     | 0                   | 450          | 513          | C      |
| 20210504 | DRE     | 0                   | 3950         | 24750        | C      |
| 20210504 | DRH     | 0                   | 52086        | 76646        | C      |
| 20210504 | DRI     | 0                   | 2675         | 6802         | C      |
| 20210504 | DRIO    | 0                   | 1920         | 2771         | C      |
| 20210504 | DRIP    | 0                   | 7163         | 11218        | C      |
| 20210504 | DRIV    | 0                   | 103          | 373          | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | DRNA   | 0                   | 2868         | 3853         | C      |
| 20210504 | DRQ    | 0                   | 1016         | 1123         | C      |
| 20210504 | DRRX   | 0                   | 4403         | 5614         | C      |
| 20210504 | DRTT   | 0                   | 1100         | 1200         | C      |
| 20210504 | DRV    | 0                   | 0            | 300          | C      |
| 20210504 | DRVN   | 0                   | 1155         | 1332         | C      |
| 20210504 | DS     | 0                   | 11272        | 21979        | C      |
| 20210504 | DSEY   | 0                   | 3410         | 8113         | C      |
| 20210504 | DSGN   | 0                   | 459          | 515          | C      |
| 20210504 | DSGX   | 0                   | 609          | 2008         | C      |
| 20210504 | DSI    | 0                   | 101          | 201          | C      |
| 20210504 | DSKE   | 0                   | 1605         | 1788         | C      |
| 20210504 | DSM    | 0                   | 100          | 100          | C      |
| 20210504 | DSP    | 0                   | 515          | 994          | C      |
| 20210504 | DSPG   | 0                   | 680          | 1231         | C      |
| 20210504 | DSS    | 0                   | 1216         | 1346         | C      |
| 20210504 | DSSI   | 0                   | 104          | 446          | C      |
| 20210504 | DSX    | 0                   | 2170         | 2827         | C      |
| 20210504 | DT     | 600                 | 6466         | 11360        | C      |
| 20210504 | DTE    | 0                   | 2349         | 5327         | C      |
| 20210504 | DTEC   | 0                   | 100          | 100          | C      |
| 20210504 | DTH    | 0                   | 100          | 100          | C      |
| 20210504 | DTIL   | 0                   | 1054         | 1107         | C      |
| 20210504 | DUDE   | 0                   | 0            | 1000         | C      |
| 20210504 | DUK    | 0                   | 16531        | 18963        | C      |
| 20210504 | DUO    | 100                 | 100          | 200          | C      |
| 20210504 | DUSA   | 0                   | 100          | 100          | C      |
| 20210504 | DUST   | 0                   | 4514         | 5421         | C      |
| 20210504 | DV     | 0                   | 428          | 1422         | C      |
| 20210504 | DVA    | 0                   | 1125         | 1878         | C      |
| 20210504 | DVAX   | 0                   | 12038        | 20670        | C      |
| 20210504 | DVN    | 18                  | 146172       | 193723       | C      |
| 20210504 | DVY    | 0                   | 484          | 1788         | C      |
| 20210504 | DVYE   | 0                   | 282          | 282          | C      |
| 20210504 | DWAS   | 0                   | 52           | 52           | C      |
| 20210504 | DWAT   | 0                   | 0            | 77           | C      |
| 20210504 | DWAW   | 0                   | 0            | 700          | C      |
| 20210504 | DWUS   | 0                   | 701          | 1401         | C      |
| 20210504 | DX     | 0                   | 470          | 780          | C      |
| 20210504 | DXC    | 0                   | 7602         | 16450        | C      |
| 20210504 | DXCM   | 0                   | 3699         | 6402         | C      |
| 20210504 | DXD    | 0                   | 18920        | 39471        | C      |
| 20210504 | DXJ    | 0                   | 400          | 455          | C      |
| 20210504 | DXPE   | 0                   | 10           | 56           | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | DXYN   | 0                   | 94           | 100          | C      |
| 20210504 | DY     | 0                   | 903          | 1468         | C      |
| 20210504 | DYAI   | 0                   | 222          | 227          | C      |
| 20210504 | DYHG   | 0                   | 50           | 50           | C      |
| 20210504 | DYN    | 0                   | 310          | 491          | C      |
| 20210504 | DZSI   | 0                   | 101          | 201          | C      |
| 20210504 | E      | 0                   | 132          | 132          | C      |
| 20210504 | EA     | 0                   | 2888         | 11652        | C      |
| 20210504 | EAF    | 0                   | 11196        | 21668        | C      |
| 20210504 | EAGG   | 0                   | 100          | 516          | C      |
| 20210504 | EAR    | 4                   | 2302         | 2609         | C      |
| 20210504 | EARN   | 0                   | 14           | 14           | C      |
| 20210504 | EARS   | 0                   | 725          | 726          | C      |
| 20210504 | EAST   | 0                   | 100          | 100          | C      |
| 20210504 | EAT    | 0                   | 15721        | 24226        | C      |
| 20210504 | EATZ   | 0                   | 644          | 644          | C      |
| 20210504 | EB     | 0                   | 13071        | 20322        | C      |
| 20210504 | EBAY   | 130                 | 49790        | 64377        | C      |
| 20210504 | EBC    | 0                   | 714          | 4423         | C      |
| 20210504 | EBET   | 0                   | 426          | 426          | C      |
| 20210504 | EBF    | 0                   | 494          | 500          | C      |
| 20210504 | EBIX   | 0                   | 473          | 473          | C      |
| 20210504 | EBND   | 0                   | 2566         | 2766         | C      |
| 20210504 | EBON   | 2304                | 30290        | 52857        | C      |
| 20210504 | EBR    | 0                   | 10491        | 12985        | C      |
| 20210504 | EBS    | 0                   | 2429         | 4754         | C      |
| 20210504 | EBSB   | 0                   | 448          | 1639         | C      |
| 20210504 | EC     | 0                   | 8645         | 9408         | C      |
| 20210504 | ECC    | 0                   | 100          | 500          | C      |
| 20210504 | ECH    | 0                   | 100          | 100          | C      |
| 20210504 | ECHO   | 0                   | 206          | 408          | C      |
| 20210504 | ECL    | 0                   | 6132         | 7260         | C      |
| 20210504 | ECOL   | 0                   | 844          | 946          | C      |
| 20210504 | ECOM   | 0                   | 913          | 1009         | C      |
| 20210504 | ECOR   | 0                   | 303          | 703          | C      |
| 20210504 | ECPG   | 0                   | 120          | 359          | C      |
| 20210504 | ED     | 0                   | 7885         | 9333         | C      |
| 20210504 | EDAP   | 0                   | 819          | 1203         | C      |
| 20210504 | EDC    | 0                   | 748          | 848          | C      |
| 20210504 | EDD    | 0                   | 0            | 22           | C      |
| 20210504 | EDF    | 0                   | 536          | 536          | C      |
| 20210504 | EDIT   | 0                   | 3654         | 4543         | C      |
| 20210504 | EDOC   | 0                   | 0            | 397          | C      |
| 20210504 | EDR    | 0                   | 14019        | 28592        | C      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20210504 | EDSA    | 0                   | 1429         | 1825         | C      |
| 20210504 | EDTK    | 0                   | 600          | 1000         | C      |
| 20210504 | EDU     | 270                 | 115865       | 160307       | C      |
| 20210504 | EDV     | 0                   | 2117         | 12720        | C      |
| 20210504 | EDZ     | 0                   | 0            | 800          | C      |
| 20210504 | EEA     | 0                   | 387          | 387          | C      |
| 20210504 | EEFT    | 0                   | 2383         | 2995         | C      |
| 20210504 | EEIQ    | 0                   | 425          | 429          | C      |
| 20210504 | EEM     | 0                   | 1022742      | 1536674      | C      |
| 20210504 | EEMA    | 0                   | 21           | 21           | C      |
| 20210504 | EEMV    | 0                   | 225          | 225          | C      |
| 20210504 | EEV     | 0                   | 100          | 100          | C      |
| 20210504 | EEX     | 0                   | 9            | 9            | C      |
| 20210504 | EFA     | 0                   | 699691       | 878768       | C      |
| 20210504 | EFAV    | 0                   | 1734         | 2450         | C      |
| 20210504 | EFC     | 0                   | 2059         | 3058         | C      |
| 20210504 | EFC PRA | 0                   | 100          | 100          | C      |
| 20210504 | EFG     | 0                   | 4420         | 4420         | C      |
| 20210504 | EFIX    | 0                   | 0            | 200          | C      |
| 20210504 | EFL     | 0                   | 1195         | 1195         | C      |
| 20210504 | EFOI    | 0                   | 200          | 300          | C      |
| 20210504 | EFR     | 0                   | 0            | 901          | C      |
| 20210504 | EFSC    | 0                   | 813          | 882          | C      |
| 20210504 | EFT     | 0                   | 229          | 230          | C      |
| 20210504 | EFV     | 0                   | 19536        | 20843        | C      |
| 20210504 | EFX     | 0                   | 2381         | 3924         | C      |
| 20210504 | EGAN    | 0                   | 241          | 354          | C      |
| 20210504 | EGBN    | 0                   | 495          | 636          | C      |
| 20210504 | EGHT    | 0                   | 6602         | 9112         | C      |
| 20210504 | EGLE    | 0                   | 964          | 1271         | C      |
| 20210504 | EGLX    | 143                 | 3511         | 6164         | C      |
| 20210504 | EGO     | 100                 | 13984        | 21679        | C      |
| 20210504 | EGP     | 0                   | 1517         | 1618         | C      |
| 20210504 | EGRX    | 0                   | 478          | 778          | C      |
| 20210504 | EGY     | 0                   | 0            | 394          | C      |
| 20210504 | EH      | 50                  | 6782         | 8986         | C      |
| 20210504 | EHC     | 0                   | 758          | 2475         | C      |
| 20210504 | EHT     | 0                   | 0            | 200          | C      |
| 20210504 | EHTH    | 0                   | 1281         | 2123         | C      |
| 20210504 | EIDO    | 0                   | 200          | 200          | C      |
| 20210504 | EIG     | 0                   | 574          | 1005         | C      |
| 20210504 | EIGR    | 0                   | 288          | 488          | C      |
| 20210504 | EIM     | 0                   | 32           | 32           | C      |
| 20210504 | EIX     | 0                   | 2257         | 6528         | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | EJFAU  | 0                   | 0            | 1800         | C      |
| 20210504 | EL     | 200                 | 25662        | 29919        | C      |
| 20210504 | ELA    | 0                   | 4            | 16           | C      |
| 20210504 | ELAN   | 0                   | 9403         | 15424        | C      |
| 20210504 | ELD    | 0                   | 0            | 40           | C      |
| 20210504 | ELDN   | 200                 | 300          | 300          | C      |
| 20210504 | ELF    | 0                   | 1119         | 4667         | C      |
| 20210504 | ELMD   | 0                   | 43           | 48           | C      |
| 20210504 | ELOX   | 0                   | 1009         | 2788         | C      |
| 20210504 | ELP    | 0                   | 14749        | 31436        | C      |
| 20210504 | ELS    | 0                   | 1543         | 2262         | C      |
| 20210504 | ELSE   | 0                   | 0            | 100          | C      |
| 20210504 | ELVT   | 0                   | 100          | 1889         | C      |
| 20210504 | ELY    | 300                 | 5943         | 13611        | C      |
| 20210504 | ELYS   | 0                   | 127          | 175          | C      |
| 20210504 | EM     | 0                   | 0            | 100          | C      |
| 20210504 | EMAN   | 0                   | 1814         | 2850         | C      |
| 20210504 | EMB    | 0                   | 54831        | 60300        | C      |
| 20210504 | EMBD   | 0                   | 0            | 700          | C      |
| 20210504 | EMD    | 0                   | 0            | 200          | C      |
| 20210504 | EME    | 0                   | 3003         | 3539         | C      |
| 20210504 | EMHY   | 0                   | 212          | 765          | C      |
| 20210504 | EMKR   | 0                   | 280          | 928          | C      |
| 20210504 | EMLC   | 0                   | 15869        | 27031        | C      |
| 20210504 | EMLP   | 0                   | 200          | 200          | C      |
| 20210504 | EMN    | 0                   | 3096         | 6559         | C      |
| 20210504 | EMQQ   | 200                 | 200          | 300          | C      |
| 20210504 | EMR    | 0                   | 4013         | 7737         | C      |
| 20210504 | EMSH   | 0                   | 0            | 2            | C      |
| 20210504 | EMX    | 0                   | 200          | 400          | C      |
| 20210504 | ENB    | 412                 | 56753        | 64108        | C      |
| 20210504 | ENBL   | 0                   | 5502         | 5629         | C      |
| 20210504 | ENDP   | 0                   | 17983        | 24729        | C      |
| 20210504 | ENFAU  | 0                   | 900          | 1899         | C      |
| 20210504 | ENG    | 0                   | 126          | 226          | C      |
| 20210504 | ENIA   | 0                   | 16145        | 39529        | C      |
| 20210504 | ENIC   | 0                   | 28171        | 41449        | C      |
| 20210504 | ENLC   | 3727                | 20597        | 24022        | C      |
| 20210504 | ENLV   | 0                   | 1562         | 1562         | C      |
| 20210504 | ENOB   | 0                   | 22           | 220          | C      |
| 20210504 | ENPH   | 100                 | 10920        | 24052        | C      |
| 20210504 | ENR    | 0                   | 688          | 3465         | C      |
| 20210504 | ENS    | 0                   | 1955         | 2546         | C      |
| 20210504 | ENSG   | 0                   | 3664         | 4364         | C      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | ENSV   | 0                   | 502          | 2302         | C      |
| 20210504 | ENTA   | 0                   | 659          | 662          | C      |
| 20210504 | ENTG   | 67                  | 3233         | 5862         | C      |
| 20210504 | ENTX   | 101                 | 1126         | 2269         | C      |
| 20210504 | ENV    | 28                  | 844          | 1225         | C      |
| 20210504 | ENVA   | 0                   | 1284         | 1744         | C      |
| 20210504 | ENVB   | 0                   | 4700         | 6428         | C      |
| 20210504 | ENZ    | 0                   | 2189         | 2244         | C      |
| 20210504 | ENZL   | 0                   | 100          | 100          | C      |
| 20210504 | EOD    | 0                   | 141          | 141          | C      |
| 20210504 | EOG    | 0                   | 6945         | 13672        | C      |
| 20210504 | EOI    | 0                   | 100          | 200          | C      |
| 20210504 | EOLS   | 0                   | 1994         | 2778         | C      |
| 20210504 | EOSE   | 0                   | 1586         | 2738         | C      |
| 20210504 | EOSEW  | 0                   | 0            | 600          | C      |
| 20210504 | EPAC   | 0                   | 391          | 516          | C      |
| 20210504 | EPAM   | 0                   | 2033         | 2499         | C      |
| 20210504 | EPAY   | 0                   | 940          | 3475         | C      |
| 20210504 | EPC    | 0                   | 2331         | 3496         | C      |
| 20210504 | EPD    | 521                 | 83761        | 188276       | C      |
| 20210504 | EPHE   | 0                   | 383          | 487          | C      |
| 20210504 | EPHY   | 0                   | 100          | 100          | C      |
| 20210504 | EPHYU  | 0                   | 0            | 100          | C      |
| 20210504 | EPHYW  | 0                   | 500          | 500          | C      |
| 20210504 | EPI    | 0                   | 8865         | 27265        | C      |
| 20210504 | EPIX   | 0                   | 330          | 331          | C      |
| 20210504 | EPM    | 0                   | 549          | 549          | C      |
| 20210504 | EPOL   | 0                   | 0            | 300          | C      |
| 20210504 | EPR    | 0                   | 781          | 2733         | C      |
| 20210504 | EPRT   | 0                   | 2958         | 3393         | C      |
| 20210504 | EPU    | 0                   | 100          | 569          | C      |
| 20210504 | EPZM   | 0                   | 11507        | 13443        | C      |
| 20210504 | EQ     | 0                   | 173          | 1905         | C      |
| 20210504 | EQBK   | 0                   | 109          | 110          | C      |
| 20210504 | EQC    | 0                   | 2960         | 4744         | C      |
| 20210504 | EQH    | 0                   | 10519        | 23460        | C      |
| 20210504 | EQIX   | 0                   | 2023         | 3321         | C      |
| 20210504 | EQNR   | 0                   | 65921        | 97159        | C      |
| 20210504 | EQOS   | 3                   | 187          | 681          | C      |
| 20210504 | EQR    | 0                   | 22294        | 24753        | C      |
| 20210504 | EQT    | 100                 | 14710        | 19968        | C      |
| 20210504 | EQX    | 1087                | 10072        | 15414        | C      |
| 20210504 | ERES   | 0                   | 0            | 5000         | C      |
| 20210504 | ERESW  | 0                   | 0            | 900          | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | ERF    | 1998                | 59002        | 76740        | C      |
| 20210504 | ERIC   | 13130               | 165240       | 208080       | C      |
| 20210504 | ERIE   | 0                   | 545          | 862          | C      |
| 20210504 | ERII   | 0                   | 626          | 1187         | C      |
| 20210504 | ERJ    | 598                 | 21874        | 42913        | C      |
| 20210504 | ERUS   | 0                   | 20           | 120          | C      |
| 20210504 | ERX    | 0                   | 17213        | 25418        | C      |
| 20210504 | ERY    | 0                   | 1001         | 2001         | C      |
| 20210504 | ES     | 1                   | 4671         | 7716         | C      |
| 20210504 | ESCA   | 0                   | 229          | 340          | C      |
| 20210504 | ESE    | 0                   | 463          | 463          | C      |
| 20210504 | ESEA   | 0                   | 151          | 295          | C      |
| 20210504 | ESGC   | 6598                | 34081        | 99048        | C      |
| 20210504 | ESGD   | 21                  | 421          | 2032         | C      |
| 20210504 | ESGE   | 0                   | 4112         | 4768         | C      |
| 20210504 | ESGR   | 0                   | 179          | 247          | C      |
| 20210504 | ESGRO  | 0                   | 0            | 133          | C      |
| 20210504 | ESGU   | 0                   | 0            | 61           | C      |
| 20210504 | ESI    | 0                   | 16638        | 23781        | C      |
| 20210504 | ESNT   | 0                   | 1509         | 2112         | C      |
| 20210504 | ESPO   | 0                   | 202          | 220          | C      |
| 20210504 | ESPR   | 0                   | 1017         | 2178         | C      |
| 20210504 | ESQ    | 0                   | 42           | 43           | C      |
| 20210504 | ESRT   | 0                   | 38075        | 45406        | C      |
| 20210504 | ESS    | 0                   | 1174         | 1983         | C      |
| 20210504 | ESSA   | 0                   | 49           | 64           | C      |
| 20210504 | ESSC   | 0                   | 74           | 74           | C      |
| 20210504 | ESTA   | 0                   | 61           | 277          | C      |
| 20210504 | ESTC   | 0                   | 6101         | 7949         | C      |
| 20210504 | ESTE   | 0                   | 2362         | 2362         | C      |
| 20210504 | ESXB   | 0                   | 350          | 1231         | C      |
| 20210504 | ET     | 410                 | 255191       | 508192       | C      |
| 20210504 | ETAC   | 0                   | 1106         | 1126         | C      |
| 20210504 | ETACW  | 0                   | 0            | 100          | C      |
| 20210504 | ETB    | 0                   | 200          | 200          | C      |
| 20210504 | ETG    | 0                   | 0            | 126          | C      |
| 20210504 | ETH    | 0                   | 1062         | 1990         | C      |
| 20210504 | ETN    | 152                 | 22544        | 33390        | C      |
| 20210504 | ETNB   | 0                   | 445          | 717          | C      |
| 20210504 | ETON   | 0                   | 101          | 101          | C      |
| 20210504 | ETR    | 0                   | 2484         | 5961         | C      |
| 20210504 | ETRN   | 0                   | 114779       | 151742       | C      |
| 20210504 | ETSY   | 146                 | 16986        | 20758        | C      |
| 20210504 | ETTX   | 0                   | 3589         | 3639         | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | ETV    | 0                   | 210          | 759          | C      |
| 20210504 | ETW    | 0                   | 1600         | 2000         | C      |
| 20210504 | ETWO   | 0                   | 2026         | 9675         | C      |
| 20210504 | ETY    | 0                   | 1100         | 1200         | C      |
| 20210504 | EUFN   | 0                   | 49944        | 87116        | C      |
| 20210504 | EUM    | 0                   | 284          | 284          | C      |
| 20210504 | EURL   | 0                   | 200          | 200          | C      |
| 20210504 | EURN   | 0                   | 17007        | 19976        | C      |
| 20210504 | EUSB   | 0                   | 240          | 240          | C      |
| 20210504 | EUSGU  | 0                   | 0            | 300          | C      |
| 20210504 | EVA    | 0                   | 47           | 87           | C      |
| 20210504 | EVBG   | 0                   | 5295         | 8181         | C      |
| 20210504 | EVBN   | 0                   | 493          | 493          | C      |
| 20210504 | EVC    | 0                   | 1099         | 1320         | C      |
| 20210504 | EVER   | 0                   | 467          | 2233         | C      |
| 20210504 | EVF    | 0                   | 0            | 200          | C      |
| 20210504 | EVFM   | 0                   | 25839        | 29083        | C      |
| 20210504 | EVG    | 0                   | 0            | 400          | C      |
| 20210504 | EVGN   | 0                   | 1537         | 1845         | C      |
| 20210504 | EVH    | 0                   | 2445         | 2932         | C      |
| 20210504 | EVK    | 0                   | 89           | 89           | C      |
| 20210504 | EVLO   | 329                 | 821          | 1025         | C      |
| 20210504 | EVOK   | 0                   | 100          | 298          | C      |
| 20210504 | EVOL   | 0                   | 0            | 79           | C      |
| 20210504 | EVOP   | 0                   | 1224         | 1254         | C      |
| 20210504 | EVR    | 0                   | 3469         | 4518         | C      |
| 20210504 | EVRG   | 80                  | 5935         | 10540        | C      |
| 20210504 | EVRI   | 0                   | 2990         | 4730         | C      |
| 20210504 | EVTC   | 0                   | 2368         | 10715        | C      |
| 20210504 | EW     | 0                   | 4091         | 11990        | C      |
| 20210504 | EWA    | 0                   | 78018        | 85456        | C      |
| 20210504 | EWBC   | 0                   | 1315         | 1486         | C      |
| 20210504 | EWC    | 0                   | 20028        | 23154        | C      |
| 20210504 | EWD    | 0                   | 1068         | 1068         | C      |
| 20210504 | EWG    | 0                   | 77476        | 106771       | C      |
| 20210504 | EWGS   | 0                   | 0            | 100          | C      |
| 20210504 | EWH    | 0                   | 69463        | 81533        | C      |
| 20210504 | EWI    | 0                   | 15313        | 38666        | C      |
| 20210504 | EWJ    | 0                   | 158207       | 199112       | C      |
| 20210504 | EWL    | 0                   | 10634        | 10741        | C      |
| 20210504 | EWM    | 0                   | 200          | 200          | C      |
| 20210504 | EWN    | 0                   | 275          | 275          | C      |
| 20210504 | EWO    | 0                   | 200          | 300          | C      |
| 20210504 | EWP    | 0                   | 1238         | 3637         | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | EWQ    | 0                   | 1830         | 2641         | C      |
| 20210504 | EWRE   | 0                   | 100          | 100          | C      |
| 20210504 | EWS    | 0                   | 14984        | 16402        | C      |
| 20210504 | EWT    | 0                   | 55816        | 67529        | C      |
| 20210504 | EWTX   | 0                   | 254          | 513          | C      |
| 20210504 | EWU    | 0                   | 76095        | 84165        | C      |
| 20210504 | EWUS   | 0                   | 100          | 200          | C      |
| 20210504 | EWV    | 0                   | 11025        | 13468        | C      |
| 20210504 | EWY    | 0                   | 51898        | 57836        | C      |
| 20210504 | EWZ    | 0                   | 607444       | 673707       | C      |
| 20210504 | EXAS   | 0                   | 14142        | 21312        | C      |
| 20210504 | EXC    | 0                   | 56792        | 77689        | C      |
| 20210504 | EXEL   | 0                   | 4859         | 15738        | C      |
| 20210504 | EXG    | 0                   | 5100         | 5500         | C      |
| 20210504 | EXK    | 303                 | 62626        | 70275        | C      |
| 20210504 | EXLS   | 0                   | 124          | 185          | C      |
| 20210504 | EXN    | 0                   | 100          | 100          | C      |
| 20210504 | EXP    | 0                   | 1161         | 2302         | C      |
| 20210504 | EXPC   | 0                   | 1925         | 3953         | C      |
| 20210504 | EXPD   | 0                   | 6637         | 8567         | C      |
| 20210504 | EXPE   | 0                   | 2684         | 7246         | C      |
| 20210504 | EXPI   | 0                   | 4194         | 5551         | C      |
| 20210504 | EXPO   | 0                   | 2206         | 2407         | C      |
| 20210504 | EXPR   | 0                   | 18389        | 23975        | C      |
| 20210504 | EXR    | 0                   | 1660         | 2132         | C      |
| 20210504 | EXTN   | 0                   | 5908         | 8308         | C      |
| 20210504 | EXTR   | 0                   | 7059         | 9056         | C      |
| 20210504 | EYE    | 0                   | 3004         | 5964         | C      |
| 20210504 | EYES   | 0                   | 1201         | 1419         | C      |
| 20210504 | EYPT   | 0                   | 566          | 786          | C      |
| 20210504 | EZA    | 0                   | 1050         | 1050         | C      |
| 20210504 | EZGO   | 0                   | 6            | 6            | C      |
| 20210504 | EZPW   | 0                   | 809          | 1025         | C      |
| 20210504 | EZU    | 0                   | 167822       | 172516       | C      |
| 20210504 | F      | 900                 | 1691246      | 2401354      | C      |
| 20210504 | F PRC  | 0                   | 0            | 400          | C      |
| 20210504 | FAF    | 0                   | 152          | 512          | C      |
| 20210504 | FAI    | 0                   | 0            | 1790         | C      |
| 20210504 | FAI WS | 0                   | 0            | 969          | C      |
| 20210504 | FALN   | 0                   | 1910         | 2023         | C      |
| 20210504 | FAMI   | 12769               | 66351        | 74326        | C      |
| 20210504 | FAN    | 0                   | 40           | 340          | C      |
| 20210504 | FANG   | 0                   | 18475        | 34780        | C      |
| 20210504 | FANH   | 0                   | 30           | 610          | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | FARM   | 0                   | 938          | 1660         | C      |
| 20210504 | FARO   | 0                   | 15           | 69           | C      |
| 20210504 | FAS    | 50                  | 512          | 1751         | C      |
| 20210504 | FAST   | 0                   | 18245        | 24889        | C      |
| 20210504 | FATBP  | 0                   | 279          | 388          | C      |
| 20210504 | FATE   | 0                   | 3860         | 4351         | C      |
| 20210504 | FAUG   | 0                   | 4            | 4            | C      |
| 20210504 | FAX    | 0                   | 652          | 1488         | C      |
| 20210504 | FAZ    | 0                   | 346          | 1196         | C      |
| 20210504 | FB     | 10                  | 34516        | 56496        | C      |
| 20210504 | FBC    | 0                   | 3384         | 4321         | C      |
| 20210504 | FBHS   | 0                   | 5552         | 7342         | C      |
| 20210504 | FBIO   | 206                 | 1980         | 2598         | C      |
| 20210504 | FBIZ   | 0                   | 100          | 106          | C      |
| 20210504 | FBK    | 0                   | 1031         | 1090         | C      |
| 20210504 | FBMS   | 0                   | 328          | 328          | C      |
| 20210504 | FBNC   | 0                   | 182          | 182          | C      |
| 20210504 | FBND   | 0                   | 1915         | 2033         | C      |
| 20210504 | FBP    | 0                   | 22524        | 27636        | C      |
| 20210504 | FBRX   | 0                   | 557          | 1811         | C      |
| 20210504 | FBZ    | 0                   | 100          | 100          | C      |
| 20210504 | FC     | 0                   | 120          | 120          | C      |
| 20210504 | FCAX U | 0                   | 0            | 100          | C      |
| 20210504 | FCBC   | 0                   | 101          | 101          | C      |
| 20210504 | FCBP   | 0                   | 2213         | 2271         | C      |
| 20210504 | FCEL   | 300                 | 228162       | 328716       | C      |
| 20210504 | FCF    | 0                   | 3069         | 3705         | C      |
| 20210504 | FCFS   | 0                   | 999          | 2907         | C      |
| 20210504 | FCG    | 0                   | 12100        | 15453        | C      |
| 20210504 | FCN    | 0                   | 1539         | 2265         | C      |
| 20210504 | FCNCA  | 0                   | 854          | 935          | C      |
| 20210504 | FCOR   | 0                   | 0            | 300          | C      |
| 20210504 | FCPT   | 0                   | 3274         | 4338         | C      |
| 20210504 | FCVT   | 0                   | 692          | 1400         | C      |
| 20210504 | FCX    | 300                 | 126673       | 169865       | C      |
| 20210504 | FDL    | 0                   | 100          | 100          | C      |
| 20210504 | FDMT   | 0                   | 379          | 845          | C      |
| 20210504 | FDN    | 0                   | 421          | 1737         | C      |
| 20210504 | FDP    | 0                   | 135          | 279          | C      |
| 20210504 | FDS    | 0                   | 3961         | 5546         | C      |
| 20210504 | FDVV   | 0                   | 303          | 303          | C      |
| 20210504 | FDX    | 11                  | 13546        | 17686        | C      |
| 20210504 | FE     | 0                   | 43972        | 52437        | C      |
| 20210504 | FEI    | 0                   | 214          | 814          | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | FELE   | 0                   | 1394         | 2503         | C      |
| 20210504 | FEM    | 0                   | 100          | 100          | C      |
| 20210504 | FEMB   | 0                   | 100          | 100          | C      |
| 20210504 | FEN    | 0                   | 0            | 300          | C      |
| 20210504 | FENC   | 0                   | 43           | 43           | C      |
| 20210504 | FENG   | 0                   | 2878         | 4278         | C      |
| 20210504 | FENY   | 0                   | 5400         | 6800         | C      |
| 20210504 | FEYE   | 200                 | 24961        | 42720        | C      |
| 20210504 | FEZ    | 0                   | 20034        | 28543        | C      |
| 20210504 | FF     | 0                   | 115          | 120          | C      |
| 20210504 | FFBC   | 0                   | 211          | 939          | C      |
| 20210504 | FFG    | 0                   | 276          | 431          | C      |
| 20210504 | FFIC   | 0                   | 104          | 227          | C      |
| 20210504 | FFIN   | 0                   | 1404         | 2248         | C      |
| 20210504 | FFIU   | 0                   | 0            | 100          | C      |
| 20210504 | FFIV   | 0                   | 5776         | 6855         | C      |
| 20210504 | FFTI   | 0                   | 0            | 300          | C      |
| 20210504 | FFWM   | 0                   | 302          | 302          | C      |
| 20210504 | FGB    | 0                   | 0            | 100          | C      |
| 20210504 | FGD    | 0                   | 100          | 100          | C      |
| 20210504 | FGEN   | 0                   | 3513         | 3857         | C      |
| 20210504 | FGF    | 0                   | 89           | 89           | C      |
| 20210504 | FGNA   | 0                   | 4581         | 4781         | C      |
| 20210504 | FHB    | 0                   | 916          | 1221         | C      |
| 20210504 | FHI    | 0                   | 7522         | 9752         | C      |
| 20210504 | FHN    | 100                 | 128053       | 174275       | C      |
| 20210504 | FHS    | 0                   | 119          | 119          | C      |
| 20210504 | FHTX   | 0                   | 3            | 58           | C      |
| 20210504 | FI     | 0                   | 6585         | 10393        | C      |
| 20210504 | FIBK   | 0                   | 723          | 805          | C      |
| 20210504 | FICO   | 0                   | 868          | 2261         | C      |
| 20210504 | FIF    | 0                   | 196          | 200          | C      |
| 20210504 | FIII   | 0                   | 67           | 145          | C      |
| 20210504 | FINV   | 0                   | 602          | 1409         | C      |
| 20210504 | FINX   | 0                   | 200          | 416          | C      |
| 20210504 | FIS    | 0                   | 5954         | 12080        | C      |
| 20210504 | FISI   | 0                   | 231          | 235          | C      |
| 20210504 | FISV   | 0                   | 13951        | 20483        | C      |
| 20210504 | FITB   | 0                   | 66739        | 100201       | C      |
| 20210504 | FITE   | 0                   | 197          | 197          | C      |
| 20210504 | FIVE   | 0                   | 3098         | 3833         | C      |
| 20210504 | FIVG   | 0                   | 0            | 309          | C      |
| 20210504 | FIVN   | 0                   | 7549         | 8414         | C      |
| 20210504 | FIX    | 0                   | 294          | 414          | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | FIXD   | 0                   | 427          | 1231         | C      |
| 20210504 | FIXX   | 190                 | 496          | 505          | C      |
| 20210504 | FIZZ   | 0                   | 1225         | 1741         | C      |
| 20210504 | FJP    | 0                   | 800          | 800          | C      |
| 20210504 | FL     | 0                   | 1847         | 2364         | C      |
| 20210504 | FLCB   | 0                   | 200          | 583          | C      |
| 20210504 | FLCO   | 0                   | 989          | 1289         | C      |
| 20210504 | FLDM   | 0                   | 11534        | 14915        | C      |
| 20210504 | FLEE   | 0                   | 0            | 200          | C      |
| 20210504 | FLEX   | 0                   | 45587        | 82712        | C      |
| 20210504 | FLGT   | 0                   | 3097         | 3387         | C      |
| 20210504 | FLGV   | 0                   | 0            | 1            | C      |
| 20210504 | FLHY   | 0                   | 0            | 15           | C      |
| 20210504 | FLIC   | 0                   | 420          | 515          | C      |
| 20210504 | FLIR   | 0                   | 409          | 607          | C      |
| 20210504 | FLL    | 0                   | 1185         | 1385         | C      |
| 20210504 | FLMN   | 0                   | 516          | 627          | C      |
| 20210504 | FLNT   | 0                   | 2433         | 2448         | C      |
| 20210504 | FLO    | 0                   | 4640         | 7838         | C      |
| 20210504 | FLOT   | 0                   | 3423         | 5343         | C      |
| 20210504 | FLOW   | 0                   | 591          | 2149         | C      |
| 20210504 | FLR    | 0                   | 3855         | 6806         | C      |
| 20210504 | FLRN   | 0                   | 3039         | 3039         | C      |
| 20210504 | FLRT   | 0                   | 0            | 22           | C      |
| 20210504 | FLS    | 0                   | 4454         | 8374         | C      |
| 20210504 | FLT    | 0                   | 4840         | 5240         | C      |
| 20210504 | FLTB   | 0                   | 100          | 100          | C      |
| 20210504 | FLUD   | 0                   | 0            | 1            | C      |
| 20210504 | FLUX   | 0                   | 100          | 100          | C      |
| 20210504 | FLWS   | 0                   | 9874         | 11315        | C      |
| 20210504 | FLXN   | 0                   | 3819         | 4560         | C      |
| 20210504 | FLXS   | 0                   | 304          | 489          | C      |
| 20210504 | FLY    | 0                   | 2455         | 3319         | C      |
| 20210504 | FM     | 0                   | 20           | 20           | C      |
| 20210504 | FMB    | 0                   | 0            | 153          | C      |
| 20210504 | FMBH   | 0                   | 238          | 261          | C      |
| 20210504 | FMBI   | 100                 | 1328         | 4531         | C      |
| 20210504 | FMC    | 0                   | 1049         | 2162         | C      |
| 20210504 | FMNB   | 0                   | 5            | 28           | C      |
| 20210504 | FMO    | 0                   | 0            | 200          | C      |
| 20210504 | FMS    | 0                   | 568          | 1110         | C      |
| 20210504 | FMTX   | 0                   | 220          | 479          | C      |
| 20210504 | FMX    | 0                   | 589          | 954          | C      |
| 20210504 | FN     | 0                   | 739          | 1042         | C      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20210504 | FNB     | 0                   | 29241        | 42755        | C      |
| 20210504 | FNB PRE | 0                   | 0            | 100          | C      |
| 20210504 | FNCB    | 0                   | 215          | 220          | C      |
| 20210504 | FNCH    | 0                   | 0            | 100          | C      |
| 20210504 | FND     | 0                   | 4320         | 11161        | C      |
| 20210504 | FNDC    | 0                   | 21           | 21           | C      |
| 20210504 | FNDE    | 0                   | 45855        | 46255        | C      |
| 20210504 | FNDF    | 0                   | 6300         | 6300         | C      |
| 20210504 | FNF     | 0                   | 989          | 1668         | C      |
| 20210504 | FNGD    | 0                   | 52047        | 116444       | C      |
| 20210504 | FNGU    | 9                   | 9321         | 27153        | C      |
| 20210504 | FNHC    | 0                   | 100          | 107          | C      |
| 20210504 | FNI     | 0                   | 44           | 44           | C      |
| 20210504 | FNKO    | 0                   | 658          | 1197         | C      |
| 20210504 | FNV     | 300                 | 1303         | 1697         | C      |
| 20210504 | FNWB    | 0                   | 137          | 137          | C      |
| 20210504 | FNX     | 0                   | 200          | 200          | C      |
| 20210504 | FOA     | 0                   | 510          | 610          | C      |
| 20210504 | FOCS    | 0                   | 741          | 1211         | C      |
| 20210504 | FOE     | 0                   | 641          | 932          | C      |
| 20210504 | FOLD    | 0                   | 24494        | 36993        | C      |
| 20210504 | FONR    | 0                   | 201          | 201          | C      |
| 20210504 | FOR     | 0                   | 498          | 976          | C      |
| 20210504 | FORA    | 0                   | 452          | 1752         | C      |
| 20210504 | FORD    | 0                   | 0            | 100          | C      |
| 20210504 | FORM    | 0                   | 3163         | 3193         | C      |
| 20210504 | FORR    | 0                   | 96           | 281          | C      |
| 20210504 | FOSL    | 0                   | 996          | 1629         | C      |
| 20210504 | FOUR    | 0                   | 6937         | 12188        | C      |
| 20210504 | FOX     | 0                   | 1244         | 1406         | C      |
| 20210504 | FOXA    | 0                   | 5065         | 10584        | C      |
| 20210504 | FOXF    | 0                   | 1990         | 2572         | C      |
| 20210504 | FPAC    | 0                   | 950          | 1350         | C      |
| 20210504 | FPE     | 0                   | 380          | 643          | C      |
| 20210504 | FPH     | 0                   | 0            | 100          | C      |
| 20210504 | FPI     | 0                   | 871          | 876          | C      |
| 20210504 | FPL     | 0                   | 131          | 569          | C      |
| 20210504 | FPXI    | 0                   | 150          | 150          | C      |
| 20210504 | FR      | 0                   | 1758         | 3822         | C      |
| 20210504 | FRA     | 0                   | 0            | 100          | C      |
| 20210504 | FRBA    | 0                   | 320          | 520          | C      |
| 20210504 | FRBK    | 0                   | 2106         | 2200         | C      |
| 20210504 | FRC     | 0                   | 3321         | 4333         | C      |
| 20210504 | FRD     | 0                   | 0            | 17           | C      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | FREE   | 0                   | 249          | 1204         | C      |
| 20210504 | FREQ   | 0                   | 2051         | 2442         | C      |
| 20210504 | FRG    | 0                   | 377          | 385          | C      |
| 20210504 | FRGAP  | 0                   | 0            | 92           | C      |
| 20210504 | FRGI   | 0                   | 239          | 339          | C      |
| 20210504 | FRHC   | 0                   | 728          | 1368         | C      |
| 20210504 | FRME   | 0                   | 250          | 250          | C      |
| 20210504 | FRO    | 0                   | 21184        | 24167        | C      |
| 20210504 | FROG   | 0                   | 3017         | 11962        | C      |
| 20210504 | FRPH   | 0                   | 143          | 144          | C      |
| 20210504 | FRPT   | 0                   | 3958         | 4658         | C      |
| 20210504 | FRST   | 0                   | 100          | 106          | C      |
| 20210504 | FRSX   | 0                   | 10120        | 11498        | C      |
| 20210504 | FRT    | 0                   | 2901         | 4641         | C      |
| 20210504 | FRTA   | 0                   | 684          | 1423         | C      |
| 20210504 | FRX    | 0                   | 5292         | 6124         | C      |
| 20210504 | FSBW   | 0                   | 75           | 529          | C      |
| 20210504 | FSI    | 0                   | 99           | 99           | C      |
| 20210504 | FSK    | 0                   | 201          | 211          | C      |
| 20210504 | FSKR   | 0                   | 227          | 1448         | C      |
| 20210504 | FSLR   | 0                   | 7088         | 15662        | C      |
| 20210504 | FSLY   | 0                   | 14843        | 20891        | C      |
| 20210504 | FSM    | 13227               | 100809       | 129502       | C      |
| 20210504 | FSP    | 0                   | 437          | 843          | C      |
| 20210504 | FSR    | 0                   | 75821        | 91819        | C      |
| 20210504 | FSRV   | 0                   | 438          | 438          | C      |
| 20210504 | FSRXU  | 0                   | 0            | 92           | C      |
| 20210504 | FSS    | 100                 | 484          | 2195         | C      |
| 20210504 | FSTR   | 0                   | 90           | 90           | C      |
| 20210504 | FSTX   | 0                   | 200          | 200          | C      |
| 20210504 | FSV    | 40                  | 40           | 75           | C      |
| 20210504 | FTAAU  | 0                   | 0            | 500          | C      |
| 20210504 | FTAI   | 0                   | 1185         | 2289         | C      |
| 20210504 | FTCH   | 0                   | 8004         | 11170        | C      |
| 20210504 | FTCI   | 0                   | 10328        | 29350        | C      |
| 20210504 | FTCS   | 0                   | 100          | 100          | C      |
| 20210504 | FTCV   | 0                   | 511          | 944          | C      |
| 20210504 | FTDR   | 0                   | 278          | 1150         | C      |
| 20210504 | FTEK   | 0                   | 69           | 469          | C      |
| 20210504 | FTFT   | 0                   | 4657         | 6439         | C      |
| 20210504 | FTGC   | 0                   | 17703        | 19312        | C      |
| 20210504 | FTHM   | 0                   | 251          | 464          | C      |
| 20210504 | FTI    | 512                 | 298673       | 394727       | C      |
| 20210504 | FTIV   | 0                   | 2000         | 2000         | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | FTK    | 0                   | 0            | 100          | C      |
| 20210504 | FTNT   | 0                   | 4375         | 6300         | C      |
| 20210504 | FTOC   | 100                 | 1132         | 4332         | C      |
| 20210504 | FTOCW  | 0                   | 800          | 1700         | C      |
| 20210504 | FTS    | 200                 | 2527         | 4616         | C      |
| 20210504 | FTSI   | 0                   | 112          | 230          | C      |
| 20210504 | FTSL   | 0                   | 315          | 458          | C      |
| 20210504 | FTSM   | 0                   | 312          | 370          | C      |
| 20210504 | FTV    | 0                   | 3486         | 7064         | C      |
| 20210504 | FUBO   | 0                   | 35766        | 41925        | C      |
| 20210504 | FUL    | 0                   | 467          | 1391         | C      |
| 20210504 | FULC   | 0                   | 124          | 124          | C      |
| 20210504 | FULT   | 0                   | 5972         | 8239         | C      |
| 20210504 | FUMB   | 0                   | 0            | 100          | C      |
| 20210504 | FUN    | 0                   | 2440         | 6220         | C      |
| 20210504 | FURY   | 100                 | 300          | 500          | C      |
| 20210504 | FUSE   | 0                   | 480          | 2040         | C      |
| 20210504 | FUSN   | 0                   | 0            | 7            | C      |
| 20210504 | FUT    | 0                   | 0            | 8            | C      |
| 20210504 | FUTU   | 0                   | 10209        | 14380        | C      |
| 20210504 | FUTY   | 0                   | 100          | 100          | C      |
| 20210504 | FUV    | 0                   | 1043         | 1231         | C      |
| 20210504 | FV     | 0                   | 0            | 100          | C      |
| 20210504 | FVC    | 0                   | 0            | 279          | C      |
| 20210504 | FVD    | 0                   | 5028         | 5554         | C      |
| 20210504 | FVE    | 0                   | 201          | 231          | C      |
| 20210504 | FVRR   | 0                   | 3366         | 4676         | C      |
| 20210504 | FWAA   | 0                   | 5            | 5            | C      |
| 20210504 | FWONA  | 0                   | 227          | 327          | C      |
| 20210504 | FWONK  | 0                   | 911          | 1355         | C      |
| 20210504 | FWRD   | 0                   | 1378         | 2424         | C      |
| 20210504 | FXI    | 0                   | 468921       | 616163       | C      |
| 20210504 | FXN    | 0                   | 355          | 355          | C      |
| 20210504 | FXO    | 0                   | 1436         | 1536         | C      |
| 20210504 | FYBR   | 0                   | 800          | 7685         | C      |
| 20210504 | G      | 0                   | 4186         | 7403         | C      |
| 20210504 | GAB    | 0                   | 2000         | 2003         | C      |
| 20210504 | GABC   | 0                   | 11           | 11           | C      |
| 20210504 | GAIA   | 36                  | 236          | 442          | C      |
| 20210504 | GAIN   | 0                   | 7            | 15           | C      |
| 20210504 | GALT   | 500                 | 8141         | 12165        | C      |
| 20210504 | GAM    | 0                   | 0            | 100          | C      |
| 20210504 | GAMR   | 0                   | 100          | 100          | C      |
| 20210504 | GAN    | 0                   | 1804         | 2151         | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | GATO   | 1                   | 1133         | 1238         | C      |
| 20210504 | GATX   | 0                   | 491          | 1458         | C      |
| 20210504 | GAU    | 505                 | 10529        | 10824        | C      |
| 20210504 | GBCI   | 0                   | 1142         | 3046         | C      |
| 20210504 | GBDC   | 0                   | 1767         | 1931         | C      |
| 20210504 | GBF    | 0                   | 0            | 109          | C      |
| 20210504 | GBIL   | 0                   | 2            | 351          | C      |
| 20210504 | GBIO   | 0                   | 467          | 574          | C      |
| 20210504 | GBNH   | 0                   | 100          | 100          | C      |
| 20210504 | GBOX   | 100                 | 606          | 1325         | C      |
| 20210504 | GBR    | 0                   | 948          | 1048         | C      |
| 20210504 | GBS    | 0                   | 139          | 139          | C      |
| 20210504 | GBT    | 100                 | 2252         | 3176         | C      |
| 20210504 | GBX    | 0                   | 1764         | 1771         | C      |
| 20210504 | GCC    | 0                   | 855          | 855          | C      |
| 20210504 | GCI    | 0                   | 8441         | 11925        | C      |
| 20210504 | GCMG   | 0                   | 221          | 1982         | C      |
| 20210504 | GCO    | 0                   | 480          | 492          | C      |
| 20210504 | GCP    | 0                   | 1763         | 2488         | C      |
| 20210504 | GD     | 0                   | 5195         | 6878         | C      |
| 20210504 | GDDY   | 0                   | 5736         | 6996         | C      |
| 20210504 | GDEN   | 0                   | 526          | 654          | C      |
| 20210504 | GDOT   | 0                   | 1952         | 4190         | C      |
| 20210504 | GDP    | 0                   | 105          | 108          | C      |
| 20210504 | GDRX   | 100                 | 2744         | 3921         | C      |
| 20210504 | GDS    | 0                   | 12828        | 17074        | C      |
| 20210504 | GDV    | 0                   | 100          | 600          | C      |
| 20210504 | GDY    | 0                   | 424328       | 693111       | C      |
| 20210504 | GDXJ   | 0                   | 74400        | 89256        | C      |
| 20210504 | GDYN   | 0                   | 122          | 142          | C      |
| 20210504 | GE     | 944                 | 1442721      | 2031951      | C      |
| 20210504 | GEF    | 0                   | 778          | 1282         | C      |
| 20210504 | GEF B  | 0                   | 0            | 41           | C      |
| 20210504 | GEL    | 0                   | 902          | 1175         | C      |
| 20210504 | GENC   | 0                   | 160          | 160          | C      |
| 20210504 | GENI   | 0                   | 2984         | 4613         | C      |
| 20210504 | GEO    | 0                   | 29216        | 39734        | C      |
| 20210504 | GER    | 0                   | 100          | 200          | C      |
| 20210504 | GERN   | 0                   | 31388        | 38639        | C      |
| 20210504 | GES    | 0                   | 3250         | 5021         | C      |
| 20210504 | GEVO   | 100                 | 64217        | 83634        | C      |
| 20210504 | GFF    | 0                   | 130          | 254          | C      |
| 20210504 | GFI    | 0                   | 99602        | 119540       | C      |
| 20210504 | GFL    | 100                 | 4506         | 9668         | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | GFN    | 0                   | 6528         | 10124        | C      |
| 20210504 | GGAL   | 0                   | 809          | 909          | C      |
| 20210504 | GGB    | 17031               | 248803       | 505619       | C      |
| 20210504 | GGG    | 0                   | 2398         | 4558         | C      |
| 20210504 | GGN    | 0                   | 1300         | 3300         | C      |
| 20210504 | GGPIU  | 0                   | 0            | 200          | C      |
| 20210504 | GGT    | 0                   | 200          | 200          | C      |
| 20210504 | GH     | 14                  | 5324         | 11705        | C      |
| 20210504 | GHC    | 0                   | 346          | 698          | C      |
| 20210504 | GHG    | 0                   | 0            | 70           | C      |
| 20210504 | GHL    | 0                   | 3697         | 5954         | C      |
| 20210504 | GHLD   | 0                   | 57           | 57           | C      |
| 20210504 | GHM    | 0                   | 5            | 9            | C      |
| 20210504 | GHSI   | 0                   | 1815         | 1815         | C      |
| 20210504 | GHVI   | 0                   | 200          | 337          | C      |
| 20210504 | GHY    | 0                   | 0            | 509          | C      |
| 20210504 | GIB    | 600                 | 4209         | 4578         | C      |
| 20210504 | GIGB   | 0                   | 0            | 136          | C      |
| 20210504 | GIGM   | 0                   | 180          | 180          | C      |
| 20210504 | GIII   | 0                   | 1122         | 1709         | C      |
| 20210504 | GIIXW  | 0                   | 100          | 100          | C      |
| 20210504 | GIK    | 0                   | 541          | 541          | C      |
| 20210504 | GIK WS | 0                   | 0            | 1800         | C      |
| 20210504 | GIL    | 200                 | 2137         | 4900         | C      |
| 20210504 | GILD   | 100                 | 12121        | 26752        | C      |
| 20210504 | GILT   | 0                   | 314          | 825          | C      |
| 20210504 | GIM    | 0                   | 200          | 659          | C      |
| 20210504 | GINN   | 0                   | 237          | 237          | C      |
| 20210504 | GIS    | 0                   | 13730        | 17612        | C      |
| 20210504 | GIX    | 0                   | 520          | 670          | C      |
| 20210504 | GKOS   | 0                   | 1537         | 1999         | C      |
| 20210504 | GL     | 0                   | 1504         | 1824         | C      |
| 20210504 | GLAD   | 0                   | 120          | 220          | C      |
| 20210504 | GLBS   | 0                   | 2147         | 2733         | C      |
| 20210504 | GLD    | 0                   | 21710        | 29717        | C      |
| 20210504 | GLDD   | 0                   | 1282         | 2581         | C      |
| 20210504 | GLDG   | 0                   | 2600         | 2800         | C      |
| 20210504 | GLDI   | 0                   | 7            | 8            | C      |
| 20210504 | GLDM   | 0                   | 74540        | 147141       | C      |
| 20210504 | GLEO   | 0                   | 500          | 727          | C      |
| 20210504 | GLG    | 0                   | 0            | 230          | C      |
| 20210504 | GLMD   | 0                   | 66           | 66           | C      |
| 20210504 | GLNG   | 0                   | 9133         | 12778        | C      |
| 20210504 | GLO    | 0                   | 0            | 25           | C      |

| Date     | Symbol   | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|----------|---------------------|--------------|--------------|--------|
| 20210504 | GLOB     | 0                   | 1362         | 1707         | C      |
| 20210504 | GLOG     | 0                   | 10998        | 13575        | C      |
| 20210504 | GLOP     | 100                 | 550          | 1459         | C      |
| 20210504 | GLOP PRB | 0                   | 152          | 252          | C      |
| 20210504 | GLOP PRC | 0                   | 0            | 500          | C      |
| 20210504 | GLP      | 0                   | 10           | 162          | C      |
| 20210504 | GLPG     | 0                   | 701          | 1026         | C      |
| 20210504 | GLPI     | 0                   | 7154         | 9133         | C      |
| 20210504 | GLRE     | 0                   | 31           | 76           | C      |
| 20210504 | GLSI     | 95                  | 316          | 576          | C      |
| 20210504 | GLT      | 0                   | 1627         | 2139         | C      |
| 20210504 | GLW      | 0                   | 37972        | 44901        | C      |
| 20210504 | GLYC     | 0                   | 1475         | 1641         | C      |
| 20210504 | GM       | 4                   | 41858        | 93501        | C      |
| 20210504 | GMAB     | 0                   | 710          | 3587         | C      |
| 20210504 | GMBL     | 416                 | 746          | 2003         | C      |
| 20210504 | GMBTU    | 0                   | 0            | 814          | C      |
| 20210504 | GMDA     | 0                   | 359          | 537          | C      |
| 20210504 | GME      | 0                   | 15473        | 16763        | C      |
| 20210504 | GMED     | 0                   | 1696         | 2463         | C      |
| 20210504 | GMII     | 0                   | 316          | 1000         | C      |
| 20210504 | GMOM     | 100                 | 100          | 100          | C      |
| 20210504 | GMRE     | 0                   | 451          | 2616         | C      |
| 20210504 | GMS      | 0                   | 421          | 3387         | C      |
| 20210504 | GMTX     | 0                   | 702          | 2433         | C      |
| 20210504 | GNCA     | 0                   | 79           | 79           | C      |
| 20210504 | GNE      | 0                   | 144          | 144          | C      |
| 20210504 | GNK      | 0                   | 2666         | 4366         | C      |
| 20210504 | GNL      | 0                   | 2624         | 3061         | C      |
| 20210504 | GNLN     | 0                   | 9703         | 9803         | C      |
| 20210504 | GNMA     | 0                   | 200          | 300          | C      |
| 20210504 | GNOG     | 0                   | 1409         | 2240         | C      |
| 20210504 | GNOM     | 0                   | 52           | 52           | C      |
| 20210504 | GNPK     | 0                   | 100          | 850          | C      |
| 20210504 | GNPX     | 100                 | 1687         | 1891         | C      |
| 20210504 | GNR      | 0                   | 9028         | 9800         | C      |
| 20210504 | GNRC     | 36                  | 5322         | 6614         | C      |
| 20210504 | GNRS     | 0                   | 80           | 80           | C      |
| 20210504 | GNSS     | 0                   | 716          | 716          | C      |
| 20210504 | GNTX     | 0                   | 3994         | 10935        | C      |
| 20210504 | GNTY     | 0                   | 0            | 100          | C      |
| 20210504 | GNUS     | 0                   | 59924        | 66139        | C      |
| 20210504 | GNW      | 0                   | 280244       | 455616       | C      |
| 20210504 | GO       | 0                   | 3407         | 3865         | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | GOAC   | 0                   | 0            | 63           | C      |
| 20210504 | GOCO   | 0                   | 271          | 2053         | C      |
| 20210504 | GOED   | 0                   | 100          | 100          | C      |
| 20210504 | GOEV   | 0                   | 9471         | 13226        | C      |
| 20210504 | GOEVW  | 0                   | 200          | 200          | C      |
| 20210504 | GOF    | 0                   | 150          | 250          | C      |
| 20210504 | GOGL   | 0                   | 8269         | 9401         | C      |
| 20210504 | GOGO   | 0                   | 2597         | 3820         | C      |
| 20210504 | GOL    | 458                 | 18300        | 24067        | C      |
| 20210504 | GOLD   | 2613                | 293976       | 405800       | C      |
| 20210504 | GOLF   | 0                   | 333          | 876          | C      |
| 20210504 | GOOD   | 0                   | 176          | 253          | C      |
| 20210504 | GOOG   | 0                   | 5357         | 6879         | C      |
| 20210504 | GOOGL  | 0                   | 3963         | 5413         | C      |
| 20210504 | GOOS   | 290                 | 5064         | 6510         | C      |
| 20210504 | GORO   | 0                   | 11495        | 12289        | C      |
| 20210504 | GOSS   | 0                   | 535          | 550          | C      |
| 20210504 | GOVT   | 0                   | 56823        | 58306        | C      |
| 20210504 | GOVX   | 0                   | 3239         | 4440         | C      |
| 20210504 | GP     | 0                   | 831          | 1074         | C      |
| 20210504 | GPC    | 0                   | 8311         | 9857         | C      |
| 20210504 | GPI    | 0                   | 389          | 528          | C      |
| 20210504 | GPK    | 0                   | 22523        | 35568        | C      |
| 20210504 | GPL    | 1700                | 32178        | 32683        | C      |
| 20210504 | GPM    | 0                   | 100          | 400          | C      |
| 20210504 | GPMT   | 0                   | 1330         | 1473         | C      |
| 20210504 | GPN    | 0                   | 10572        | 15898        | C      |
| 20210504 | GPRE   | 0                   | 3105         | 3719         | C      |
| 20210504 | GPRK   | 0                   | 252          | 777          | C      |
| 20210504 | GPRO   | 0                   | 27040        | 31734        | C      |
| 20210504 | GPS    | 100                 | 23647        | 44766        | C      |
| 20210504 | GPX    | 0                   | 5            | 5            | C      |
| 20210504 | GRA    | 0                   | 7191         | 19635        | C      |
| 20210504 | GRAY   | 0                   | 232          | 417          | C      |
| 20210504 | GRBK   | 0                   | 1341         | 1757         | C      |
| 20210504 | GRC    | 0                   | 410          | 420          | C      |
| 20210504 | GRCL   | 0                   | 102          | 310          | C      |
| 20210504 | GRF    | 0                   | 200          | 200          | C      |
| 20210504 | GRFS   | 0                   | 6174         | 10607        | C      |
| 20210504 | GRIL   | 0                   | 200          | 200          | C      |
| 20210504 | GRMN   | 0                   | 3526         | 4840         | C      |
| 20210504 | GRNQ   | 0                   | 891          | 1116         | C      |
| 20210504 | GROW   | 0                   | 3355         | 8002         | C      |
| 20210504 | GRP U  | 259                 | 759          | 959          | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | GRPN   | 0                   | 4011         | 6003         | C      |
| 20210504 | GRTS   | 0                   | 480          | 631          | C      |
| 20210504 | GRTX   | 302                 | 1475         | 1580         | C      |
| 20210504 | GRUB   | 0                   | 4667         | 7360         | C      |
| 20210504 | GRVY   | 5                   | 456          | 760          | C      |
| 20210504 | GRWG   | 0                   | 1471         | 2393         | C      |
| 20210504 | GS     | 0                   | 10273        | 13598        | C      |
| 20210504 | GS PRA | 0                   | 0            | 17           | C      |
| 20210504 | GS PRJ | 0                   | 100          | 100          | C      |
| 20210504 | GSAH   | 0                   | 1651         | 1953         | C      |
| 20210504 | GSAT   | 0                   | 246587       | 309244       | C      |
| 20210504 | GSBC   | 0                   | 81           | 220          | C      |
| 20210504 | GSBD   | 0                   | 770          | 786          | C      |
| 20210504 | GSG    | 0                   | 165212       | 173720       | C      |
| 20210504 | GSHD   | 99                  | 960          | 1307         | C      |
| 20210504 | GSIT   | 0                   | 177          | 201          | C      |
| 20210504 | GSK    | 0                   | 31617        | 47659        | C      |
| 20210504 | GSKY   | 0                   | 362          | 834          | C      |
| 20210504 | GSL    | 0                   | 2077         | 3464         | C      |
| 20210504 | GSLC   | 0                   | 0            | 100          | C      |
| 20210504 | GSM    | 0                   | 8483         | 16960        | C      |
| 20210504 | GSMG   | 0                   | 1213         | 2213         | C      |
| 20210504 | GSP    | 0                   | 200          | 200          | C      |
| 20210504 | GSQD U | 0                   | 0            | 896          | C      |
| 20210504 | GSS    | 0                   | 2972         | 3818         | C      |
| 20210504 | GSST   | 0                   | 100          | 200          | C      |
| 20210504 | GSV    | 0                   | 1511         | 1643         | C      |
| 20210504 | GSX    | 0                   | 17209        | 22134        | C      |
| 20210504 | GSY    | 0                   | 0            | 200          | C      |
| 20210504 | GT     | 100                 | 57157        | 126193       | C      |
| 20210504 | GTBP   | 0                   | 353          | 953          | C      |
| 20210504 | GTE    | 5500                | 41157        | 68000        | C      |
| 20210504 | GTES   | 0                   | 235          | 525          | C      |
| 20210504 | GTH    | 0                   | 230          | 230          | C      |
| 20210504 | GTHX   | 0                   | 1513         | 1689         | C      |
| 20210504 | GTIP   | 0                   | 160          | 160          | C      |
| 20210504 | GTLS   | 0                   | 2253         | 2855         | C      |
| 20210504 | GTN    | 0                   | 18441        | 24583        | C      |
| 20210504 | GTO    | 0                   | 1            | 1            | C      |
| 20210504 | GTS    | 0                   | 200          | 359          | C      |
| 20210504 | GTT    | 0                   | 4270         | 5187         | C      |
| 20210504 | GTX    | 0                   | 0            | 100          | C      |
| 20210504 | GTY    | 0                   | 867          | 1248         | C      |
| 20210504 | GTYH   | 0                   | 158          | 383          | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | GUNR   | 0                   | 489          | 689          | C      |
| 20210504 | GUSH   | 0                   | 11           | 312          | C      |
| 20210504 | GVA    | 0                   | 559          | 984          | C      |
| 20210504 | GVI    | 0                   | 887          | 1711         | C      |
| 20210504 | GVIP   | 0                   | 0            | 200          | C      |
| 20210504 | GWAC   | 50                  | 810          | 2110         | C      |
| 20210504 | GWACW  | 0                   | 0            | 2400         | C      |
| 20210504 | GWB    | 0                   | 956          | 1577         | C      |
| 20210504 | GWGH   | 0                   | 12           | 12           | C      |
| 20210504 | GWPH   | 0                   | 1246         | 3879         | C      |
| 20210504 | GWRE   | 0                   | 1966         | 2208         | C      |
| 20210504 | GWW    | 0                   | 1094         | 1658         | C      |
| 20210504 | GWX    | 0                   | 100          | 100          | C      |
| 20210504 | GXC    | 0                   | 10           | 115          | C      |
| 20210504 | GXTG   | 0                   | 200          | 200          | C      |
| 20210504 | H      | 0                   | 2868         | 3965         | C      |
| 20210504 | HA     | 0                   | 4414         | 6642         | C      |
| 20210504 | HAAC   | 0                   | 697          | 702          | C      |
| 20210504 | HAACU  | 0                   | 0            | 567          | C      |
| 20210504 | HACK   | 0                   | 100          | 200          | C      |
| 20210504 | HAE    | 0                   | 2758         | 5316         | C      |
| 20210504 | HAFC   | 0                   | 101          | 125          | C      |
| 20210504 | HAIL   | 0                   | 2280         | 4100         | C      |
| 20210504 | HAIN   | 0                   | 931          | 1380         | C      |
| 20210504 | HAL    | 366                 | 153621       | 220698       | C      |
| 20210504 | HALO   | 0                   | 2883         | 3666         | C      |
| 20210504 | HAPP   | 0                   | 75           | 75           | C      |
| 20210504 | HARP   | 46                  | 4328         | 4972         | C      |
| 20210504 | HAS    | 0                   | 1807         | 2450         | C      |
| 20210504 | HASI   | 0                   | 1138         | 2122         | C      |
| 20210504 | HAYN   | 0                   | 1            | 2            | C      |
| 20210504 | HAYW   | 0                   | 5381         | 6503         | C      |
| 20210504 | HBAN   | 1150                | 444232       | 706637       | C      |
| 20210504 | HBB    | 0                   | 0            | 22           | C      |
| 20210504 | HBI    | 0                   | 31960        | 42861        | C      |
| 20210504 | HBIO   | 0                   | 593          | 593          | C      |
| 20210504 | HBM    | 1274                | 28592        | 38606        | C      |
| 20210504 | HBMD   | 0                   | 1            | 1            | C      |
| 20210504 | HBNC   | 0                   | 258          | 391          | C      |
| 20210504 | HBP    | 0                   | 4598         | 8498         | C      |
| 20210504 | HCA    | 0                   | 24775        | 35305        | C      |
| 20210504 | HCAT   | 0                   | 2081         | 2396         | C      |
| 20210504 | HCC    | 0                   | 4408         | 6292         | C      |
| 20210504 | HCCI   | 0                   | 46           | 46           | C      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | HCDI   | 0                   | 400          | 400          | C      |
| 20210504 | HCHC   | 0                   | 2778         | 3078         | C      |
| 20210504 | HCI    | 0                   | 484          | 484          | C      |
| 20210504 | HCIC   | 0                   | 6293         | 6801         | C      |
| 20210504 | HCICW  | 201                 | 201          | 201          | C      |
| 20210504 | HCKT   | 0                   | 166          | 269          | C      |
| 20210504 | HCM    | 300                 | 732          | 1452         | C      |
| 20210504 | HCRB   | 0                   | 100          | 100          | C      |
| 20210504 | HCSG   | 0                   | 345          | 933          | C      |
| 20210504 | HD     | 0                   | 6468         | 8909         | C      |
| 20210504 | HDB    | 0                   | 2204         | 3019         | C      |
| 20210504 | HDGE   | 0                   | 600          | 602          | C      |
| 20210504 | HDRO   | 0                   | 11           | 11           | C      |
| 20210504 | HDSN   | 0                   | 611          | 1379         | C      |
| 20210504 | HDV    | 0                   | 200          | 201          | C      |
| 20210504 | HE     | 0                   | 1840         | 1935         | C      |
| 20210504 | HEAR   | 0                   | 349          | 681          | C      |
| 20210504 | HEC    | 0                   | 264          | 979          | C      |
| 20210504 | HEDJ   | 0                   | 491          | 491          | C      |
| 20210504 | HEES   | 0                   | 1121         | 6245         | C      |
| 20210504 | HEFA   | 0                   | 714          | 916          | C      |
| 20210504 | HEGD   | 0                   | 300          | 300          | C      |
| 20210504 | HEI    | 0                   | 2165         | 2918         | C      |
| 20210504 | HEI A  | 0                   | 585          | 755          | C      |
| 20210504 | HELE   | 0                   | 1179         | 1592         | C      |
| 20210504 | HEP    | 0                   | 788          | 1252         | C      |
| 20210504 | HEPA   | 0                   | 5831         | 8677         | C      |
| 20210504 | HERAW  | 0                   | 0            | 1000         | C      |
| 20210504 | HERO   | 0                   | 100          | 222          | C      |
| 20210504 | HES    | 0                   | 6489         | 9946         | C      |
| 20210504 | HESM   | 0                   | 386          | 414          | C      |
| 20210504 | HEWG   | 0                   | 1530         | 1670         | C      |
| 20210504 | HEWJ   | 0                   | 1501         | 2501         | C      |
| 20210504 | HEXO   | 1333                | 42963        | 101752       | C      |
| 20210504 | HEZU   | 0                   | 6121         | 6321         | C      |
| 20210504 | HFC    | 3                   | 5722         | 16496        | C      |
| 20210504 | HFFG   | 0                   | 193          | 198          | C      |
| 20210504 | HFRO   | 0                   | 254          | 1389         | C      |
| 20210504 | HFWA   | 0                   | 239          | 346          | C      |
| 20210504 | HGBL   | 0                   | 0            | 100          | C      |
| 20210504 | HGEN   | 265                 | 917          | 4864         | C      |
| 20210504 | HGV    | 0                   | 3872         | 6143         | C      |
| 20210504 | HHC    | 0                   | 941          | 1037         | C      |
| 20210504 | HHR    | 0                   | 891          | 906          | C      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20210504 | HI      | 0                   | 890          | 1374         | C      |
| 20210504 | HIBB    | 0                   | 1242         | 1836         | C      |
| 20210504 | HIBS    | 0                   | 300          | 300          | C      |
| 20210504 | HIE     | 0                   | 0            | 22           | C      |
| 20210504 | HIFS    | 0                   | 100          | 200          | C      |
| 20210504 | HIG     | 0                   | 3468         | 26400        | C      |
| 20210504 | HIGA WS | 0                   | 500          | 740          | C      |
| 20210504 | HII     | 0                   | 2903         | 4085         | C      |
| 20210504 | IIIIU   | 0                   | 0            | 900          | C      |
| 20210504 | HIL     | 0                   | 100          | 100          | C      |
| 20210504 | HIMS    | 0                   | 1657         | 3036         | C      |
| 20210504 | HIMX    | 0                   | 2267         | 3309         | C      |
| 20210504 | HIO     | 0                   | 158          | 158          | C      |
| 20210504 | HIPR    | 0                   | 1            | 1            | C      |
| 20210504 | HIPS    | 0                   | 3            | 3            | C      |
| 20210504 | HIW     | 0                   | 1640         | 1833         | C      |
| 20210504 | HJLI    | 0                   | 102          | 102          | C      |
| 20210504 | HKND    | 0                   | 8            | 8            | C      |
| 20210504 | HL      | 0                   | 216141       | 302784       | C      |
| 20210504 | HLF     | 0                   | 8977         | 11593        | C      |
| 20210504 | HLG     | 0                   | 199          | 301          | C      |
| 20210504 | HLI     | 30                  | 1728         | 2750         | C      |
| 20210504 | HLIO    | 0                   | 407          | 407          | C      |
| 20210504 | HLIT    | 2                   | 2557         | 7559         | C      |
| 20210504 | HLNE    | 0                   | 354          | 1268         | C      |
| 20210504 | HLT     | 0                   | 9531         | 14102        | C      |
| 20210504 | HLX     | 0                   | 20161        | 58856        | C      |
| 20210504 | HMC     | 0                   | 475          | 4811         | C      |
| 20210504 | HMHC    | 0                   | 5944         | 9783         | C      |
| 20210504 | HMLP    | 0                   | 25           | 141          | C      |
| 20210504 | HMN     | 0                   | 833          | 937          | C      |
| 20210504 | HMPT    | 0                   | 186          | 353          | C      |
| 20210504 | HMST    | 0                   | 9            | 512          | C      |
| 20210504 | HMTV    | 0                   | 1            | 5            | C      |
| 20210504 | HMY     | 100                 | 98255        | 130176       | C      |
| 20210504 | HNGR    | 0                   | 226          | 330          | C      |
| 20210504 | HNI     | 0                   | 2646         | 4214         | C      |
| 20210504 | HOFT    | 0                   | 80           | 80           | C      |
| 20210504 | HOFV    | 2548                | 26292        | 34829        | C      |
| 20210504 | HOFVW   | 0                   | 0            | 300          | C      |
| 20210504 | HOG     | 0                   | 2543         | 5082         | C      |
| 20210504 | HOL     | 0                   | 300          | 2896         | C      |
| 20210504 | HOLI    | 0                   | 805          | 2920         | C      |
| 20210504 | HOLX    | 0                   | 5559         | 9183         | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | HOMB   | 26                  | 2061         | 2555         | C      |
| 20210504 | HOME   | 0                   | 7325         | 10514        | C      |
| 20210504 | HON    | 0                   | 12039        | 17314        | C      |
| 20210504 | HONE   | 0                   | 1324         | 1875         | C      |
| 20210504 | HOOK   | 0                   | 568          | 1132         | C      |
| 20210504 | HOPE   | 0                   | 1248         | 3581         | C      |
| 20210504 | HOTH   | 649                 | 11190        | 12690        | C      |
| 20210504 | HOV    | 0                   | 163          | 371          | C      |
| 20210504 | HOWL   | 0                   | 0            | 160          | C      |
| 20210504 | HP     | 0                   | 2472         | 18858        | C      |
| 20210504 | HPE    | 554                 | 189674       | 276045       | C      |
| 20210504 | HPF    | 0                   | 85           | 85           | C      |
| 20210504 | HPK    | 0                   | 0            | 78           | C      |
| 20210504 | HPP    | 86                  | 7630         | 11218        | C      |
| 20210504 | HPQ    | 54                  | 127978       | 174064       | C      |
| 20210504 | HQH    | 0                   | 0            | 1700         | C      |
| 20210504 | HQL    | 0                   | 200          | 527          | C      |
| 20210504 | HQY    | 0                   | 1834         | 3175         | C      |
| 20210504 | HR     | 0                   | 4309         | 6974         | C      |
| 20210504 | HRB    | 0                   | 15665        | 19539        | C      |
| 20210504 | HRC    | 0                   | 943          | 1438         | C      |
| 20210504 | HRI    | 0                   | 773          | 1351         | C      |
| 20210504 | HRL    | 0                   | 14418        | 16019        | C      |
| 20210504 | HRMY   | 0                   | 167          | 169          | C      |
| 20210504 | HROW   | 0                   | 971          | 1187         | C      |
| 20210504 | HRTG   | 0                   | 57           | 327          | C      |
| 20210504 | HRTX   | 0                   | 2497         | 3175         | C      |
| 20210504 | HRZN   | 0                   | 784          | 784          | C      |
| 20210504 | HSAQ   | 0                   | 74           | 100          | C      |
| 20210504 | HSBC   | 0                   | 13017        | 38302        | C      |
| 20210504 | HSC    | 0                   | 2064         | 2276         | C      |
| 20210504 | HSDT   | 0                   | 200          | 300          | C      |
| 20210504 | HSIC   | 0                   | 14384        | 17876        | C      |
| 20210504 | HSII   | 0                   | 862          | 1009         | C      |
| 20210504 | HSKA   | 0                   | 124          | 452          | C      |
| 20210504 | HST    | 0                   | 193141       | 304541       | C      |
| 20210504 | HSTM   | 0                   | 0            | 6            | C      |
| 20210504 | HSTO   | 0                   | 4755         | 4997         | C      |
| 20210504 | HSY    | 0                   | 6955         | 10923        | C      |
| 20210504 | HT     | 0                   | 2097         | 3277         | C      |
| 20210504 | HTA    | 0                   | 11340        | 14736        | C      |
| 20210504 | HTBI   | 0                   | 280          | 363          | C      |
| 20210504 | HTBK   | 0                   | 1744         | 2166         | C      |
| 20210504 | HTBX   | 0                   | 501          | 1627         | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | HTGC   | 100                 | 2363         | 2893         | C      |
| 20210504 | HTGM   | 0                   | 100          | 100          | C      |
| 20210504 | HTH    | 0                   | 1296         | 5564         | C      |
| 20210504 | HTHT   | 0                   | 12996        | 22971        | C      |
| 20210504 | HTLD   | 0                   | 773          | 1530         | C      |
| 20210504 | HTLF   | 0                   | 1640         | 1957         | C      |
| 20210504 | HTOO   | 0                   | 75           | 75           | C      |
| 20210504 | HTRB   | 0                   | 100          | 309          | C      |
| 20210504 | HUBB   | 0                   | 1388         | 1858         | C      |
| 20210504 | HUBG   | 0                   | 1477         | 2007         | C      |
| 20210504 | HUBS   | 0                   | 3868         | 6709         | C      |
| 20210504 | HUGE   | 0                   | 226          | 1943         | C      |
| 20210504 | HUM    | 0                   | 6205         | 6961         | C      |
| 20210504 | HUN    | 0                   | 16060        | 24983        | C      |
| 20210504 | HURC   | 0                   | 0            | 101          | C      |
| 20210504 | HURN   | 0                   | 131          | 346          | C      |
| 20210504 | HUSN   | 0                   | 1600         | 1600         | C      |
| 20210504 | HUYA   | 0                   | 6498         | 7202         | C      |
| 20210504 | HVT    | 0                   | 2369         | 2899         | C      |
| 20210504 | HWC    | 0                   | 470          | 1624         | C      |
| 20210504 | HWCPZ  | 0                   | 0            | 100          | C      |
| 20210504 | HWKN   | 0                   | 100          | 101          | C      |
| 20210504 | HWM    | 0                   | 27740        | 40170        | C      |
| 20210504 | HX     | 0                   | 200          | 200          | C      |
| 20210504 | HXL    | 0                   | 2590         | 4569         | C      |
| 20210504 | HY     | 0                   | 108          | 399          | C      |
| 20210504 | HYB    | 0                   | 0            | 100          | C      |
| 20210504 | HYD    | 0                   | 7500         | 8806         | C      |
| 20210504 | HYEM   | 0                   | 2720         | 2988         | C      |
| 20210504 | HYFM   | 0                   | 1583         | 3055         | C      |
| 20210504 | HYG    | 0                   | 284660       | 644189       | C      |
| 20210504 | HYGV   | 0                   | 0            | 100          | C      |
| 20210504 | HYHG   | 0                   | 100          | 100          | C      |
| 20210504 | HYLB   | 0                   | 20651        | 23917        | C      |
| 20210504 | HYLD   | 0                   | 0            | 76           | C      |
| 20210504 | HYLN   | 0                   | 18855        | 22179        | C      |
| 20210504 | HYLS   | 0                   | 319          | 1019         | C      |
| 20210504 | HYLV   | 0                   | 0            | 100          | C      |
| 20210504 | HYMB   | 0                   | 100          | 200          | C      |
| 20210504 | HYMC   | 0                   | 1891         | 2575         | C      |
| 20210504 | HYMU   | 0                   | 0            | 38           | C      |
| 20210504 | HYRE   | 0                   | 690          | 1496         | C      |
| 20210504 | HYS    | 0                   | 6471         | 27566        | C      |
| 20210504 | HYT    | 0                   | 2403         | 2703         | C      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20210504 | HYXU    | 0                   | 100          | 100          | C      |
| 20210504 | HZAC    | 0                   | 4069         | 5804         | C      |
| 20210504 | HZN     | 0                   | 0            | 102          | C      |
| 20210504 | HZNP    | 101                 | 26756        | 36989        | C      |
| 20210504 | HZO     | 0                   | 1856         | 2275         | C      |
| 20210504 | HZON    | 600                 | 1400         | 1416         | C      |
| 20210504 | HZON WS | 0                   | 1000         | 1000         | C      |
| 20210504 | IAA     | 0                   | 8172         | 10363        | C      |
| 20210504 | IAC     | 66                  | 4941         | 7003         | C      |
| 20210504 | IACA    | 0                   | 20           | 120          | C      |
| 20210504 | IAG     | 1900                | 130730       | 263766       | C      |
| 20210504 | IAGG    | 0                   | 1230         | 3757         | C      |
| 20210504 | IART    | 0                   | 2077         | 3372         | C      |
| 20210504 | IAT     | 0                   | 325          | 351          | C      |
| 20210504 | IAU     | 0                   | 895970       | 1153966      | C      |
| 20210504 | IBB     | 0                   | 2766         | 7318         | C      |
| 20210504 | IBCP    | 0                   | 200          | 334          | C      |
| 20210504 | IBD     | 0                   | 0            | 103          | C      |
| 20210504 | IBDM    | 0                   | 0            | 112          | C      |
| 20210504 | IBDN    | 0                   | 303          | 303          | C      |
| 20210504 | IBDO    | 0                   | 0            | 499          | C      |
| 20210504 | IBDP    | 0                   | 0            | 400          | C      |
| 20210504 | IBDQ    | 0                   | 0            | 300          | C      |
| 20210504 | IBDR    | 0                   | 341          | 641          | C      |
| 20210504 | IBDS    | 0                   | 226          | 455          | C      |
| 20210504 | IBDT    | 0                   | 300          | 600          | C      |
| 20210504 | IBDU    | 0                   | 0            | 400          | C      |
| 20210504 | IBDV    | 0                   | 0            | 205          | C      |
| 20210504 | IBEX    | 0                   | 32           | 32           | C      |
| 20210504 | IBHB    | 0                   | 0            | 101          | C      |
| 20210504 | IBHD    | 0                   | 0            | 188          | C      |
| 20210504 | IBHE    | 0                   | 0            | 100          | C      |
| 20210504 | IBIO    | 0                   | 8424         | 8630         | C      |
| 20210504 | IBKR    | 0                   | 2844         | 10674        | C      |
| 20210504 | IBM     | 26                  | 16257        | 25503        | C      |
| 20210504 | IBMJ    | 0                   | 0            | 100          | C      |
| 20210504 | IBML    | 0                   | 0            | 100          | C      |
| 20210504 | IBMQ    | 0                   | 0            | 272          | C      |
| 20210504 | IBN     | 0                   | 277622       | 377790       | C      |
| 20210504 | IBOC    | 0                   | 170          | 532          | C      |
| 20210504 | IBP     | 0                   | 1464         | 1970         | C      |
| 20210504 | IBRX    | 100                 | 1196         | 1200         | C      |
| 20210504 | IBTD    | 0                   | 0            | 26           | C      |
| 20210504 | IBTE    | 0                   | 0            | 110          | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | IBTH   | 0                   | 153          | 153          | C      |
| 20210504 | IBTI   | 0                   | 0            | 94           | C      |
| 20210504 | IBTX   | 0                   | 825          | 1757         | C      |
| 20210504 | IBUY   | 0                   | 377          | 1202         | C      |
| 20210504 | ICAD   | 0                   | 351          | 438          | C      |
| 20210504 | ICBK   | 0                   | 111          | 111          | C      |
| 20210504 | ICD    | 0                   | 102          | 102          | C      |
| 20210504 | ICE    | 34                  | 8261         | 26678        | C      |
| 20210504 | ICF    | 0                   | 0            | 466          | C      |
| 20210504 | ICFI   | 0                   | 340          | 661          | C      |
| 20210504 | ICHR   | 0                   | 1724         | 1864         | C      |
| 20210504 | ICL    | 0                   | 100          | 2797         | C      |
| 20210504 | ICLK   | 0                   | 912          | 1013         | C      |
| 20210504 | ICLN   | 500                 | 136761       | 198257       | C      |
| 20210504 | ICLR   | 0                   | 4642         | 5939         | C      |
| 20210504 | ICON   | 0                   | 0            | 100          | C      |
| 20210504 | ICPT   | 0                   | 2780         | 3333         | C      |
| 20210504 | ICSH   | 0                   | 0            | 141          | C      |
| 20210504 | ICUI   | 0                   | 811          | 1639         | C      |
| 20210504 | ICVT   | 0                   | 4            | 323          | C      |
| 20210504 | IDA    | 0                   | 1619         | 2176         | C      |
| 20210504 | IDCC   | 0                   | 1347         | 1723         | C      |
| 20210504 | IDE    | 0                   | 0            | 200          | C      |
| 20210504 | IDEV   | 0                   | 226          | 226          | C      |
| 20210504 | IDEX   | 2128                | 164577       | 231130       | C      |
| 20210504 | IDHQ   | 0                   | 100          | 100          | C      |
| 20210504 | IDLV   | 0                   | 300          | 300          | C      |
| 20210504 | IDN    | 0                   | 617          | 638          | C      |
| 20210504 | IDRA   | 0                   | 4607         | 5533         | C      |
| 20210504 | IDT    | 0                   | 129          | 232          | C      |
| 20210504 | IDV    | 0                   | 2091         | 2091         | C      |
| 20210504 | IDXX   | 0                   | 4629         | 6266         | C      |
| 20210504 | IDYA   | 0                   | 445          | 459          | C      |
| 20210504 | IEA    | 0                   | 341          | 1436         | C      |
| 20210504 | IEF    | 0                   | 282781       | 344235       | C      |
| 20210504 | IEFA   | 50                  | 264915       | 348642       | C      |
| 20210504 | IEI    | 0                   | 30892        | 42060        | C      |
| 20210504 | IEMG   | 0                   | 246519       | 267838       | C      |
| 20210504 | IEO    | 0                   | 139          | 139          | C      |
| 20210504 | IEP    | 0                   | 266          | 366          | C      |
| 20210504 | IESC   | 0                   | 97           | 107          | C      |
| 20210504 | IEUR   | 0                   | 424          | 424          | C      |
| 20210504 | IEX    | 0                   | 2814         | 3569         | C      |
| 20210504 | IEZ    | 0                   | 1682         | 4230         | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | IFBD   | 0                   | 100          | 500          | C      |
| 20210504 | IFF    | 151                 | 2726         | 3400         | C      |
| 20210504 | IFMK   | 0                   | 300          | 300          | C      |
| 20210504 | IFN    | 0                   | 0            | 100          | C      |
| 20210504 | IFRA   | 0                   | 697          | 697          | C      |
| 20210504 | IFS    | 0                   | 10           | 10           | C      |
| 20210504 | IGAC   | 0                   | 99           | 99           | C      |
| 20210504 | IGBH   | 0                   | 0            | 300          | C      |
| 20210504 | IGC    | 0                   | 1395         | 2380         | C      |
| 20210504 | IGD    | 0                   | 551          | 651          | C      |
| 20210504 | IGE    | 0                   | 147          | 257          | C      |
| 20210504 | IGEB   | 0                   | 0            | 48           | C      |
| 20210504 | IGF    | 0                   | 100          | 100          | C      |
| 20210504 | IGHG   | 0                   | 434          | 434          | C      |
| 20210504 | IGIB   | 0                   | 16732        | 40198        | C      |
| 20210504 | IGLB   | 0                   | 11886        | 16829        | C      |
| 20210504 | IGMS   | 0                   | 605          | 644          | C      |
| 20210504 | IGOV   | 0                   | 0            | 100          | C      |
| 20210504 | IGR    | 0                   | 347          | 1267         | C      |
| 20210504 | IGRO   | 0                   | 100          | 100          | C      |
| 20210504 | IGSB   | 0                   | 1777         | 3351         | C      |
| 20210504 | IGT    | 0                   | 23372        | 27556        | C      |
| 20210504 | IGV    | 0                   | 2550         | 2921         | C      |
| 20210504 | IH     | 0                   | 0            | 100          | C      |
| 20210504 | IHC    | 0                   | 32           | 32           | C      |
| 20210504 | IHF    | 0                   | 58           | 74           | C      |
| 20210504 | IHI    | 0                   | 617          | 998          | C      |
| 20210504 | IHIT   | 0                   | 0            | 24           | C      |
| 20210504 | IHRT   | 0                   | 756          | 2144         | C      |
| 20210504 | IHY    | 0                   | 7            | 7            | C      |
| 20210504 | III    | 500                 | 1296         | 1332         | C      |
| 20210504 | IIIN   | 0                   | 272          | 272          | C      |
| 20210504 | IIIV   | 0                   | 181          | 308          | C      |
| 20210504 | IIN    | 0                   | 115          | 133          | C      |
| 20210504 | IIPR   | 0                   | 535          | 968          | C      |
| 20210504 | IIVI   | 0                   | 2218         | 4475         | C      |
| 20210504 | IIVIP  | 0                   | 18           | 24           | C      |
| 20210504 | IJH    | 0                   | 4450         | 5834         | C      |
| 20210504 | IJJ    | 0                   | 2            | 2            | C      |
| 20210504 | IJR    | 0                   | 1890         | 2346         | C      |
| 20210504 | IJS    | 0                   | 1074         | 1778         | C      |
| 20210504 | IJT    | 0                   | 25           | 25           | C      |
| 20210504 | IKNA   | 0                   | 182          | 266          | C      |
| 20210504 | ILF    | 0                   | 18359        | 21060        | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | ILMN   | 0                   | 5741         | 8407         | C      |
| 20210504 | ILPT   | 0                   | 1493         | 1592         | C      |
| 20210504 | ILTB   | 0                   | 350          | 1591         | C      |
| 20210504 | IMAB   | 0                   | 2355         | 4420         | C      |
| 20210504 | IMAC   | 0                   | 74           | 191          | C      |
| 20210504 | IMAX   | 0                   | 2908         | 6720         | C      |
| 20210504 | IMBI   | 0                   | 133          | 133          | C      |
| 20210504 | IMCB   | 0                   | 200          | 200          | C      |
| 20210504 | IMCC   | 0                   | 5            | 5            | C      |
| 20210504 | IMCR   | 0                   | 460          | 760          | C      |
| 20210504 | IMGN   | 100                 | 16808        | 20451        | C      |
| 20210504 | IMKTA  | 0                   | 342          | 522          | C      |
| 20210504 | IMMP   | 0                   | 147          | 539          | C      |
| 20210504 | IMMR   | 0                   | 2335         | 4698         | C      |
| 20210504 | IMNM   | 0                   | 421          | 472          | C      |
| 20210504 | IMO    | 438                 | 9312         | 22488        | C      |
| 20210504 | IMOS   | 0                   | 0            | 100          | C      |
| 20210504 | IMRA   | 0                   | 4            | 104          | C      |
| 20210504 | IMTE   | 341                 | 444          | 604          | C      |
| 20210504 | IMTM   | 0                   | 100          | 100          | C      |
| 20210504 | IMTX   | 0                   | 106          | 267          | C      |
| 20210504 | IMUX   | 0                   | 102          | 102          | C      |
| 20210504 | IMV    | 100                 | 2035         | 2285         | C      |
| 20210504 | IMVT   | 0                   | 1924         | 2215         | C      |
| 20210504 | IMXI   | 0                   | 607          | 2119         | C      |
| 20210504 | INBK   | 0                   | 93           | 96           | C      |
| 20210504 | INBX   | 0                   | 740          | 1104         | C      |
| 20210504 | INCY   | 0                   | 5242         | 6747         | C      |
| 20210504 | INDA   | 0                   | 91884        | 121868       | C      |
| 20210504 | INDB   | 0                   | 989          | 1275         | C      |
| 20210504 | INDL   | 0                   | 0            | 200          | C      |
| 20210504 | INDO   | 0                   | 492          | 592          | C      |
| 20210504 | INDY   | 0                   | 0            | 100          | C      |
| 20210504 | INFI   | 0                   | 3624         | 8552         | C      |
| 20210504 | INFL   | 0                   | 350          | 350          | C      |
| 20210504 | INFN   | 0                   | 39062        | 58650        | C      |
| 20210504 | INFO   | 0                   | 6248         | 29005        | C      |
| 20210504 | INFU   | 0                   | 296          | 296          | C      |
| 20210504 | INFY   | 0                   | 110410       | 160238       | C      |
| 20210504 | ING    | 0                   | 80638        | 107014       | C      |
| 20210504 | INGN   | 0                   | 708          | 933          | C      |
| 20210504 | INGR   | 0                   | 5893         | 8633         | C      |
| 20210504 | INM    | 101                 | 103          | 103          | C      |
| 20210504 | INMB   | 0                   | 44           | 149          | C      |



| Date     | Symbol   | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|----------|---------------------|--------------|--------------|--------|
| 20210504 | INMD     | 0                   | 4073         | 6109         | C      |
| 20210504 | INN      | 0                   | 1637         | 2826         | C      |
| 20210504 | INN PRE  | 0                   | 200          | 200          | C      |
| 20210504 | INNV     | 0                   | 102          | 402          | C      |
| 20210504 | INO      | 0                   | 57352        | 88111        | C      |
| 20210504 | INOD     | 0                   | 274          | 274          | C      |
| 20210504 | INOV     | 1                   | 1101         | 1786         | C      |
| 20210504 | INPX     | 0                   | 24908        | 28595        | C      |
| 20210504 | INS      | 0                   | 59           | 64           | C      |
| 20210504 | INSG     | 0                   | 9194         | 11035        | C      |
| 20210504 | INSM     | 0                   | 1993         | 3089         | C      |
| 20210504 | INSP     | 0                   | 1164         | 1286         | C      |
| 20210504 | INSW     | 0                   | 647          | 747          | C      |
| 20210504 | INSW PRA | 0                   | 680          | 680          | C      |
| 20210504 | INT      | 0                   | 844          | 1112         | C      |
| 20210504 | INTC     | 240                 | 272174       | 325607       | C      |
| 20210504 | INTU     | 0                   | 5247         | 5870         | C      |
| 20210504 | INTZ     | 1                   | 1479         | 1645         | C      |
| 20210504 | INUV     | 0                   | 1606         | 1706         | C      |
| 20210504 | INVA     | 0                   | 1640         | 2059         | C      |
| 20210504 | INVE     | 0                   | 1063         | 1423         | C      |
| 20210504 | INVH     | 95                  | 37751        | 56657        | C      |
| 20210504 | INVZ     | 0                   | 400          | 700          | C      |
| 20210504 | INZY     | 0                   | 4            | 4            | C      |
| 20210504 | IO       | 0                   | 5171         | 5298         | C      |
| 20210504 | IONS     | 0                   | 8411         | 14492        | C      |
| 20210504 | IOSP     | 0                   | 32           | 147          | C      |
| 20210504 | IOVA     | 0                   | 8822         | 11894        | C      |
| 20210504 | IP       | 0                   | 3361         | 8099         | C      |
| 20210504 | IPA      | 100                 | 400          | 500          | C      |
| 20210504 | IPAR     | 0                   | 531          | 628          | C      |
| 20210504 | IPAY     | 0                   | 611          | 655          | C      |
| 20210504 | IPG      | 8                   | 50472        | 67190        | C      |
| 20210504 | IPGP     | 26                  | 4704         | 6515         | C      |
| 20210504 | IPI      | 0                   | 1129         | 1341         | C      |
| 20210504 | IPKW     | 0                   | 100          | 100          | C      |
| 20210504 | IPO      | 0                   | 634          | 640          | C      |
| 20210504 | IPOD     | 0                   | 594          | 1415         | C      |
| 20210504 | IPOD U   | 0                   | 1            | 1            | C      |
| 20210504 | IPOE     | 3                   | 1757         | 2735         | C      |
| 20210504 | IPOF     | 17                  | 3924         | 5422         | C      |
| 20210504 | IPOF WS  | 37                  | 37           | 137          | C      |
| 20210504 | IPWR     | 0                   | 10           | 10           | C      |
| 20210504 | IQ       | 265                 | 102859       | 164469       | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | IQLT   | 0                   | 337          | 337          | C      |
| 20210504 | IQV    | 0                   | 2596         | 5036         | C      |
| 20210504 | IR     | 0                   | 10491        | 16170        | C      |
| 20210504 | IRBO   | 0                   | 105          | 335          | C      |
| 20210504 | IRBT   | 915                 | 12399        | 18227        | C      |
| 20210504 | IRDM   | 167                 | 5814         | 6125         | C      |
| 20210504 | IRIX   | 0                   | 119          | 119          | C      |
| 20210504 | IRM    | 0                   | 6579         | 31200        | C      |
| 20210504 | IRMD   | 0                   | 0            | 22           | C      |
| 20210504 | IRT    | 0                   | 3647         | 14222        | C      |
| 20210504 | IRTC   | 0                   | 2235         | 4854         | C      |
| 20210504 | IRWD   | 0                   | 10529        | 14763        | C      |
| 20210504 | ISBC   | 0                   | 45914        | 72460        | C      |
| 20210504 | ISCF   | 0                   | 100          | 100          | C      |
| 20210504 | ISD    | 0                   | 0            | 244          | C      |
| 20210504 | ISEE   | 0                   | 4308         | 5324         | C      |
| 20210504 | ISNS   | 2694                | 11989        | 13290        | C      |
| 20210504 | ISR    | 0                   | 226          | 536          | C      |
| 20210504 | ISRG   | 0                   | 1603         | 4960         | C      |
| 20210504 | ISTB   | 0                   | 1800         | 15669        | C      |
| 20210504 | ISTR   | 0                   | 206          | 206          | C      |
| 20210504 | ISUN   | 0                   | 100          | 100          | C      |
| 20210504 | IT     | 0                   | 9575         | 12198        | C      |
| 20210504 | ITA    | 0                   | 595          | 907          | C      |
| 20210504 | ITB    | 1200                | 3641         | 4288         | C      |
| 20210504 | ITCI   | 94                  | 12767        | 16190        | C      |
| 20210504 | ITEQ   | 0                   | 0            | 2            | C      |
| 20210504 | ITGR   | 0                   | 594          | 672          | C      |
| 20210504 | ITI    | 0                   | 512          | 861          | C      |
| 20210504 | ITOS   | 0                   | 1249         | 1749         | C      |
| 20210504 | ITOT   | 0                   | 1668         | 1899         | C      |
| 20210504 | ITP    | 0                   | 1160         | 1800         | C      |
| 20210504 | ITRG   | 0                   | 200          | 200          | C      |
| 20210504 | ITRI   | 0                   | 806          | 1099         | C      |
| 20210504 | ITRM   | 0                   | 18409        | 20673        | C      |
| 20210504 | ITRN   | 0                   | 0            | 107          | C      |
| 20210504 | ITT    | 0                   | 459          | 565          | C      |
| 20210504 | ITUB   | 723                 | 1006964      | 1305962      | C      |
| 20210504 | ITW    | 1                   | 2720         | 5604         | C      |
| 20210504 | IUS    | 0                   | 20           | 20           | C      |
| 20210504 | IUSB   | 0                   | 39946        | 47447        | C      |
| 20210504 | IUSG   | 0                   | 0            | 232          | C      |
| 20210504 | IVAC   | 0                   | 1535         | 1730         | C      |
| 20210504 | IVAN   | 0                   | 300          | 800          | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | IVC    | 0                   | 6182         | 8008         | C      |
| 20210504 | IVE    | 0                   | 115          | 548          | C      |
| 20210504 | IVES   | 0                   | 0            | 299          | C      |
| 20210504 | IVH    | 0                   | 1000         | 1000         | C      |
| 20210504 | IVOL   | 0                   | 2813         | 10408        | C      |
| 20210504 | IVR    | 0                   | 100432       | 135245       | C      |
| 20210504 | IVV    | 0                   | 3979         | 6377         | C      |
| 20210504 | IVW    | 0                   | 6030         | 7022         | C      |
| 20210504 | IVZ    | 0                   | 18442        | 39199        | C      |
| 20210504 | IWB    | 0                   | 936          | 2712         | C      |
| 20210504 | IWD    | 0                   | 2160         | 3507         | C      |
| 20210504 | IWF    | 0                   | 214          | 464          | C      |
| 20210504 | IWM    | 0                   | 33522        | 57794        | C      |
| 20210504 | IWN    | 0                   | 2566         | 3364         | C      |
| 20210504 | IWO    | 0                   | 844          | 1083         | C      |
| 20210504 | IWP    | 0                   | 900          | 1196         | C      |
| 20210504 | IWR    | 0                   | 3390         | 4059         | C      |
| 20210504 | IWS    | 0                   | 0            | 300          | C      |
| 20210504 | IWY    | 0                   | 0            | 152          | C      |
| 20210504 | IX     | 0                   | 25           | 60           | C      |
| 20210504 | IXC    | 0                   | 3301         | 3716         | C      |
| 20210504 | IXN    | 0                   | 102          | 102          | C      |
| 20210504 | IXUS   | 0                   | 7581         | 7611         | C      |
| 20210504 | IYC    | 0                   | 100          | 350          | C      |
| 20210504 | IYE    | 0                   | 45021        | 46420        | C      |
| 20210504 | IYF    | 0                   | 450          | 450          | C      |
| 20210504 | IYG    | 0                   | 62           | 62           | C      |
| 20210504 | IYH    | 0                   | 20           | 20           | C      |
| 20210504 | IYJ    | 0                   | 154          | 154          | C      |
| 20210504 | IYK    | 0                   | 5            | 5            | C      |
| 20210504 | IYM    | 0                   | 100          | 200          | C      |
| 20210504 | IYR    | 0                   | 332512       | 616269       | C      |
| 20210504 | IYT    | 0                   | 545          | 602          | C      |
| 20210504 | IYW    | 0                   | 404          | 615          | C      |
| 20210504 | IZEA   | 0                   | 8736         | 11778        | C      |
| 20210504 | IZRL   | 0                   | 0            | 11           | C      |
| 20210504 | J      | 0                   | 5023         | 7696         | C      |
| 20210504 | JACK   | 0                   | 1978         | 2350         | C      |
| 20210504 | JAGG   | 0                   | 734          | 1434         | C      |
| 20210504 | JAGX   | 3900                | 47230        | 85773        | C      |
| 20210504 | JAKK   | 0                   | 802          | 1313         | C      |
| 20210504 | JAMF   | 0                   | 1132         | 1634         | C      |
| 20210504 | JAN    | 0                   | 100          | 100          | C      |
| 20210504 | JAZZ   | 0                   | 3068         | 4409         | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | JBGS   | 0                   | 770          | 1751         | C      |
| 20210504 | JBHT   | 0                   | 926          | 2069         | C      |
| 20210504 | JBL    | 0                   | 1216         | 1926         | C      |
| 20210504 | JBLU   | 100                 | 93317        | 120363       | C      |
| 20210504 | JBSS   | 0                   | 36           | 53           | C      |
| 20210504 | JBT    | 0                   | 187          | 295          | C      |
| 20210504 | JCE    | 0                   | 0            | 129          | C      |
| 20210504 | JCI    | 0                   | 11599        | 24934        | C      |
| 20210504 | JCOM   | 5                   | 2681         | 3168         | C      |
| 20210504 | JCPB   | 0                   | 11           | 11           | C      |
| 20210504 | JCS    | 0                   | 33           | 33           | C      |
| 20210504 | JD     | 0                   | 13097        | 22628        | C      |
| 20210504 | JDD    | 0                   | 27           | 227          | C      |
| 20210504 | JDST   | 0                   | 24181        | 30202        | C      |
| 20210504 | JEF    | 0                   | 4522         | 8787         | C      |
| 20210504 | JELD   | 0                   | 3692         | 17573        | C      |
| 20210504 | JEPI   | 0                   | 455          | 461          | C      |
| 20210504 | JETS   | 0                   | 34772        | 71403        | C      |
| 20210504 | JFIN   | 0                   | 200          | 1225         | C      |
| 20210504 | JFR    | 0                   | 934          | 1334         | C      |
| 20210504 | JFU    | 0                   | 1086         | 3477         | C      |
| 20210504 | JG     | 0                   | 3936         | 4920         | C      |
| 20210504 | JHB    | 0                   | 300          | 500          | C      |
| 20210504 | JHCB   | 0                   | 100          | 100          | C      |
| 20210504 | JHG    | 0                   | 2260         | 21199        | C      |
| 20210504 | JHMD   | 0                   | 1600         | 1600         | C      |
| 20210504 | JIGB   | 0                   | 0            | 300          | C      |
| 20210504 | JIH    | 0                   | 703          | 1003         | C      |
| 20210504 | JILL   | 0                   | 0            | 300          | C      |
| 20210504 | JJA    | 0                   | 100          | 100          | C      |
| 20210504 | JJG    | 0                   | 100          | 100          | C      |
| 20210504 | JJSF   | 0                   | 110          | 217          | C      |
| 20210504 | JKHY   | 0                   | 12178        | 14454        | C      |
| 20210504 | JKS    | 0                   | 3251         | 5251         | C      |
| 20210504 | JLL    | 0                   | 1510         | 2574         | C      |
| 20210504 | JMBS   | 0                   | 104          | 109          | C      |
| 20210504 | JMIA   | 14                  | 40302        | 47923        | C      |
| 20210504 | JMST   | 0                   | 100          | 100          | C      |
| 20210504 | JNCE   | 0                   | 687          | 1475         | C      |
| 20210504 | JNJ    | 0                   | 51835        | 62289        | C      |
| 20210504 | JNK    | 0                   | 323238       | 516136       | C      |
| 20210504 | JNPR   | 0                   | 62334        | 86922        | C      |
| 20210504 | JNUG   | 0                   | 1447         | 1947         | C      |
| 20210504 | JO     | 0                   | 0            | 100          | C      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20210504 | JOAN    | 0                   | 378          | 982          | C      |
| 20210504 | JOB     | 500                 | 12085        | 13572        | C      |
| 20210504 | JOBS    | 0                   | 715          | 1823         | C      |
| 20210504 | JOE     | 0                   | 1050         | 1168         | C      |
| 20210504 | JOUT    | 0                   | 341          | 488          | C      |
| 20210504 | JPC     | 0                   | 1000         | 1300         | C      |
| 20210504 | JPHY    | 0                   | 200          | 220          | C      |
| 20210504 | JPI     | 0                   | 0            | 100          | C      |
| 20210504 | JPIB    | 0                   | 100          | 100          | C      |
| 20210504 | JPM     | 0                   | 17933        | 29564        | C      |
| 20210504 | JPM PRK | 0                   | 200          | 300          | C      |
| 20210504 | JPS     | 0                   | 1300         | 2400         | C      |
| 20210504 | JPSE    | 0                   | 128          | 128          | C      |
| 20210504 | JPST    | 0                   | 5800         | 13727        | C      |
| 20210504 | JQC     | 0                   | 1511         | 3411         | C      |
| 20210504 | JRI     | 0                   | 0            | 200          | C      |
| 20210504 | JRO     | 0                   | 113          | 897          | C      |
| 20210504 | JRVR    | 0                   | 195          | 195          | C      |
| 20210504 | JSCP    | 0                   | 0            | 155          | C      |
| 20210504 | JW A    | 0                   | 4123         | 4494         | C      |
| 20210504 | JWN     | 14                  | 7806         | 12748        | C      |
| 20210504 | JWS     | 0                   | 2592         | 2792         | C      |
| 20210504 | JWSM    | 100                 | 400          | 400          | C      |
| 20210504 | JYNT    | 0                   | 223          | 349          | C      |
| 20210504 | K       | 0                   | 6379         | 10192        | C      |
| 20210504 | KAHC U  | 0                   | 0            | 54650        | C      |
| 20210504 | KAI     | 0                   | 64           | 64           | C      |
| 20210504 | KALA    | 574                 | 6115         | 20254        | C      |
| 20210504 | KALU    | 0                   | 613          | 628          | C      |
| 20210504 | KALV    | 0                   | 140          | 222          | C      |
| 20210504 | KAMN    | 0                   | 2072         | 2443         | C      |
| 20210504 | KAR     | 0                   | 54117        | 63137        | C      |
| 20210504 | KARO    | 0                   | 0            | 7            | C      |
| 20210504 | KB      | 0                   | 300          | 500          | C      |
| 20210504 | KBA     | 0                   | 100          | 150          | C      |
| 20210504 | KBAL    | 0                   | 329          | 536          | C      |
| 20210504 | KBE     | 0                   | 8201         | 8332         | C      |
| 20210504 | KBH     | 0                   | 2127         | 3230         | C      |
| 20210504 | KBNT    | 0                   | 24           | 124          | C      |
| 20210504 | KBR     | 0                   | 4093         | 9739         | C      |
| 20210504 | KBSF    | 0                   | 800          | 900          | C      |
| 20210504 | KBUY    | 0                   | 100          | 100          | C      |
| 20210504 | KBWB    | 0                   | 2716         | 3066         | C      |
| 20210504 | KBWY    | 0                   | 535          | 921          | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | KC     | 0                   | 6268         | 7308         | C      |
| 20210504 | KCAC U | 0                   | 0            | 300          | C      |
| 20210504 | KCCB   | 0                   | 0            | 100          | C      |
| 20210504 | KCNY   | 0                   | 0            | 259          | C      |
| 20210504 | KDMN   | 0                   | 19828        | 22111        | C      |
| 20210504 | KDNY   | 0                   | 328          | 824          | C      |
| 20210504 | KDP    | 0                   | 35263        | 43665        | C      |
| 20210504 | KE     | 0                   | 0            | 36           | C      |
| 20210504 | KELYA  | 0                   | 242          | 594          | C      |
| 20210504 | KEP    | 0                   | 204          | 204          | C      |
| 20210504 | KERN   | 0                   | 629          | 729          | C      |
| 20210504 | KEX    | 0                   | 4014         | 5722         | C      |
| 20210504 | KEY    | 100                 | 345528       | 594861       | C      |
| 20210504 | KEYS   | 0                   | 2227         | 3196         | C      |
| 20210504 | KFRC   | 0                   | 499          | 820          | C      |
| 20210504 | KFY    | 0                   | 676          | 876          | C      |
| 20210504 | KGC    | 1800                | 288159       | 447006       | C      |
| 20210504 | KHC    | 96                  | 50556        | 77866        | C      |
| 20210504 | KIDS   | 0                   | 984          | 1195         | C      |
| 20210504 | KIE    | 0                   | 332          | 732          | C      |
| 20210504 | KIM    | 0                   | 76246        | 114113       | C      |
| 20210504 | KIN    | 0                   | 564          | 755          | C      |
| 20210504 | KINZW  | 0                   | 100          | 100          | C      |
| 20210504 | KIQ    | 0                   | 395          | 1296         | C      |
| 20210504 | KIRK   | 0                   | 1230         | 1901         | C      |
| 20210504 | KKR    | 0                   | 29379        | 33349        | C      |
| 20210504 | KKRS   | 0                   | 0            | 2999         | C      |
| 20210504 | KL     | 330                 | 4487         | 7725         | C      |
| 20210504 | KLAC   | 0                   | 4489         | 7632         | C      |
| 20210504 | KLDO   | 0                   | 554          | 572          | C      |
| 20210504 | KLIC   | 0                   | 4104         | 6116         | C      |
| 20210504 | KLR    | 0                   | 2            | 2            | C      |
| 20210504 | KLXE   | 0                   | 6            | 106          | C      |
| 20210504 | KMB    | 0                   | 6011         | 9983         | C      |
| 20210504 | KMDA   | 0                   | 274          | 412          | C      |
| 20210504 | KMF    | 0                   | 100          | 540          | C      |
| 20210504 | KMI    | 405                 | 586715       | 1116773      | C      |
| 20210504 | KMPH   | 0                   | 935          | 1002         | C      |
| 20210504 | KMPR   | 0                   | 467          | 821          | C      |
| 20210504 | KMT    | 0                   | 4632         | 5734         | C      |
| 20210504 | KMX    | 0                   | 1977         | 2936         | C      |
| 20210504 | KN     | 0                   | 2628         | 6038         | C      |
| 20210504 | KNBE   | 0                   | 623          | 761          | C      |
| 20210504 | KNDI   | 0                   | 19723        | 23816        | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | KNL    | 0                   | 3235         | 4279         | C      |
| 20210504 | KNOP   | 100                 | 200          | 820          | C      |
| 20210504 | KNSA   | 0                   | 501          | 521          | C      |
| 20210504 | KNSL   | 0                   | 405          | 500          | C      |
| 20210504 | KNTE   | 0                   | 1659         | 1673         | C      |
| 20210504 | KNX    | 0                   | 2389         | 7644         | C      |
| 20210504 | KO     | 83                  | 167183       | 262372       | C      |
| 20210504 | KOD    | 0                   | 1390         | 1484         | C      |
| 20210504 | KODK   | 0                   | 18497        | 19543        | C      |
| 20210504 | KOF    | 371                 | 629          | 910          | C      |
| 20210504 | KOIN   | 0                   | 306          | 306          | C      |
| 20210504 | KOLD   | 0                   | 4868         | 5018         | C      |
| 20210504 | KOMP   | 100                 | 100          | 111          | C      |
| 20210504 | KOP    | 0                   | 768          | 851          | C      |
| 20210504 | KOPN   | 100                 | 16448        | 22014        | C      |
| 20210504 | KOR    | 0                   | 200          | 200          | C      |
| 20210504 | KORP   | 0                   | 0            | 100          | C      |
| 20210504 | KORU   | 0                   | 224          | 256          | C      |
| 20210504 | KOS    | 0                   | 370740       | 457626       | C      |
| 20210504 | KOSS   | 0                   | 506          | 1480         | C      |
| 20210504 | KPTI   | 55                  | 29126        | 43175        | C      |
| 20210504 | KR     | 64                  | 114439       | 175072       | C      |
| 20210504 | KRA    | 0                   | 2249         | 3201         | C      |
| 20210504 | KRBN   | 0                   | 407          | 407          | C      |
| 20210504 | KRC    | 0                   | 4444         | 11250        | C      |
| 20210504 | KRE    | 1651                | 101224       | 120483       | C      |
| 20210504 | KREF   | 0                   | 348          | 1018         | C      |
| 20210504 | KRG    | 0                   | 1549         | 1975         | C      |
| 20210504 | KRKR   | 0                   | 0            | 2            | C      |
| 20210504 | KRMD   | 0                   | 730          | 745          | C      |
| 20210504 | KRNT   | 0                   | 240          | 407          | C      |
| 20210504 | KRNY   | 0                   | 1845         | 2731         | C      |
| 20210504 | KRO    | 0                   | 170          | 170          | C      |
| 20210504 | KRON   | 0                   | 537          | 718          | C      |
| 20210504 | KROS   | 0                   | 216          | 480          | C      |
| 20210504 | KRP    | 0                   | 50           | 351          | C      |
| 20210504 | KRT    | 0                   | 100          | 205          | C      |
| 20210504 | KRTX   | 0                   | 921          | 1087         | C      |
| 20210504 | KRUS   | 0                   | 397          | 497          | C      |
| 20210504 | KRYS   | 0                   | 927          | 1029         | C      |
| 20210504 | KSA    | 0                   | 2294         | 2294         | C      |
| 20210504 | KSS    | 0                   | 4066         | 6267         | C      |
| 20210504 | KSTR   | 0                   | 200          | 200          | C      |
| 20210504 | KSU    | 0                   | 4693         | 18671        | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | KT     | 0                   | 3067         | 4266         | C      |
| 20210504 | KTB    | 200                 | 2706         | 6010         | C      |
| 20210504 | KTCC   | 0                   | 100          | 100          | C      |
| 20210504 | KTOS   | 0                   | 4664         | 5139         | C      |
| 20210504 | KTRA   | 0                   | 105          | 105          | C      |
| 20210504 | KUKE   | 0                   | 0            | 100          | C      |
| 20210504 | KURA   | 0                   | 2030         | 6877         | C      |
| 20210504 | KVHI   | 0                   | 525          | 525          | C      |
| 20210504 | KVSC   | 0                   | 100          | 100          | C      |
| 20210504 | KW     | 0                   | 3306         | 4153         | C      |
| 20210504 | KWEB   | 0                   | 3739         | 4510         | C      |
| 20210504 | KWR    | 0                   | 18           | 93           | C      |
| 20210504 | KYMR   | 0                   | 1363         | 2176         | C      |
| 20210504 | KYN    | 0                   | 800          | 900          | C      |
| 20210504 | KZIA   | 0                   | 0            | 98           | C      |
| 20210504 | KZR    | 0                   | 326          | 4453         | C      |
| 20210504 | L      | 0                   | 739          | 1762         | C      |
| 20210504 | LABD   | 0                   | 30           | 1339         | C      |
| 20210504 | LABP   | 0                   | 1            | 1            | C      |
| 20210504 | LABU   | 799                 | 15237        | 19961        | C      |
| 20210504 | LAC    | 142                 | 3254         | 8147         | C      |
| 20210504 | LAD    | 0                   | 1910         | 3059         | C      |
| 20210504 | LADR   | 0                   | 1691         | 2578         | C      |
| 20210504 | LAIX   | 0                   | 0            | 1            | C      |
| 20210504 | LAKE   | 0                   | 53           | 378          | C      |
| 20210504 | LAMR   | 0                   | 5790         | 6303         | C      |
| 20210504 | LANC   | 0                   | 1055         | 1541         | C      |
| 20210504 | LAND   | 0                   | 222          | 357          | C      |
| 20210504 | LASR   | 0                   | 361          | 880          | C      |
| 20210504 | LATNW  | 0                   | 100          | 100          | C      |
| 20210504 | LAUR   | 0                   | 3738         | 6589         | C      |
| 20210504 | LAWS   | 0                   | 0            | 20           | C      |
| 20210504 | LAZ    | 0                   | 2800         | 3318         | C      |
| 20210504 | LAZR   | 0                   | 14401        | 19588        | C      |
| 20210504 | LAZY   | 0                   | 685          | 2184         | C      |
| 20210504 | LB     | 0                   | 2134         | 14611        | C      |
| 20210504 | LBAI   | 0                   | 121          | 402          | C      |
| 20210504 | LBC    | 0                   | 153          | 158          | C      |
| 20210504 | LBRDA  | 0                   | 477          | 581          | C      |
| 20210504 | LBRDK  | 0                   | 3182         | 4501         | C      |
| 20210504 | LBRT   | 0                   | 4234         | 6977         | C      |
| 20210504 | LBTYA  | 0                   | 1479         | 3930         | C      |
| 20210504 | LBTYK  | 0                   | 9525         | 11696        | C      |
| 20210504 | LC     | 0                   | 10338        | 15103        | C      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | LCI    | 0                   | 2026         | 2811         | C      |
| 20210504 | LCII   | 0                   | 175          | 281          | C      |
| 20210504 | LCTX   | 0                   | 2769         | 4703         | C      |
| 20210504 | LCY    | 0                   | 5507         | 10445        | C      |
| 20210504 | LDI    | 0                   | 179          | 179          | C      |
| 20210504 | LDL    | 0                   | 300          | 576          | C      |
| 20210504 | LDOS   | 0                   | 6392         | 10114        | C      |
| 20210504 | LDP    | 0                   | 200          | 200          | C      |
| 20210504 | LDSF   | 0                   | 500          | 600          | C      |
| 20210504 | LDUR   | 0                   | 100          | 493          | C      |
| 20210504 | LE     | 0                   | 116          | 453          | C      |
| 20210504 | LEA    | 0                   | 1422         | 2041         | C      |
| 20210504 | LEAF   | 0                   | 896          | 896          | C      |
| 20210504 | LECO   | 0                   | 578          | 1307         | C      |
| 20210504 | LEDS   | 200                 | 5144         | 7816         | C      |
| 20210504 | LEE    | 0                   | 0            | 1            | C      |
| 20210504 | LEG    | 0                   | 9135         | 10806        | C      |
| 20210504 | LEGAU  | 0                   | 0            | 300          | C      |
| 20210504 | LEGH   | 0                   | 274          | 274          | C      |
| 20210504 | LEGN   | 0                   | 704          | 1771         | C      |
| 20210504 | LEJU   | 0                   | 100          | 600          | C      |
| 20210504 | LEMB   | 0                   | 129          | 129          | C      |
| 20210504 | LEN    | 0                   | 3903         | 10661        | C      |
| 20210504 | LEN B  | 0                   | 183          | 4971         | C      |
| 20210504 | LEO    | 0                   | 300          | 300          | C      |
| 20210504 | LESL   | 0                   | 3819         | 6988         | C      |
| 20210504 | LEU    | 0                   | 416          | 727          | C      |
| 20210504 | LEVI   | 0                   | 2842         | 5371         | C      |
| 20210504 | LEVL   | 0                   | 0            | 10           | C      |
| 20210504 | LFC    | 0                   | 2112         | 2613         | C      |
| 20210504 | LFMD   | 0                   | 1938         | 2394         | C      |
| 20210504 | LFUS   | 0                   | 279          | 593          | C      |
| 20210504 | LFVN   | 0                   | 149          | 449          | C      |
| 20210504 | LGACW  | 0                   | 0            | 3200         | C      |
| 20210504 | LGF A  | 0                   | 3118         | 4567         | C      |
| 20210504 | LGF B  | 0                   | 311          | 636          | C      |
| 20210504 | LGHL   | 0                   | 7242         | 7432         | C      |
| 20210504 | LGIH   | 0                   | 2733         | 2885         | C      |
| 20210504 | LGND   | 0                   | 1211         | 2113         | C      |
| 20210504 | LGO    | 100                 | 824          | 827          | C      |
| 20210504 | LGOV   | 0                   | 100          | 100          | C      |
| 20210504 | LH     | 0                   | 2758         | 4467         | C      |
| 20210504 | LHAA   | 0                   | 0            | 200          | C      |
| 20210504 | LHCG   | 0                   | 603          | 1072         | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | LHDX   | 0                   | 1181         | 1281         | C      |
| 20210504 | LHX    | 0                   | 12173        | 21656        | C      |
| 20210504 | LI     | 0                   | 56351        | 71444        | C      |
| 20210504 | LIFE   | 0                   | 288          | 727          | C      |
| 20210504 | LII    | 0                   | 1287         | 1533         | C      |
| 20210504 | LILA   | 0                   | 87           | 119          | C      |
| 20210504 | LILAK  | 0                   | 11333        | 12003        | C      |
| 20210504 | LIN    | 45                  | 8564         | 19696        | C      |
| 20210504 | LINC   | 0                   | 200          | 537          | C      |
| 20210504 | LIND   | 0                   | 637          | 694          | C      |
| 20210504 | LIQT   | 0                   | 229          | 229          | C      |
| 20210504 | LIT    | 0                   | 2871         | 5521         | C      |
| 20210504 | LITB   | 0                   | 100          | 447          | C      |
| 20210504 | LITE   | 0                   | 12836        | 19392        | C      |
| 20210504 | LIVN   | 34                  | 471          | 854          | C      |
| 20210504 | LIVX   | 0                   | 20677        | 21474        | C      |
| 20210504 | LIXT   | 0                   | 200          | 300          | C      |
| 20210504 | LIZI   | 0                   | 847          | 1156         | C      |
| 20210504 | LJPC   | 0                   | 4            | 6            | C      |
| 20210504 | LKCO   | 0                   | 23561        | 29113        | C      |
| 20210504 | LKFN   | 0                   | 608          | 835          | C      |
| 20210504 | LKOR   | 0                   | 0            | 100          | C      |
| 20210504 | LKQ    | 0                   | 3529         | 9233         | C      |
| 20210504 | LL     | 0                   | 3629         | 4001         | C      |
| 20210504 | LLIT   | 0                   | 1203         | 1350         | C      |
| 20210504 | LLNW   | 972                 | 62548        | 81236        | C      |
| 20210504 | LLY    | 0                   | 11861        | 15393        | C      |
| 20210504 | LMACA  | 0                   | 100          | 100          | C      |
| 20210504 | LMAT   | 0                   | 184          | 298          | C      |
| 20210504 | LMB    | 0                   | 200          | 200          | C      |
| 20210504 | LMBS   | 0                   | 2299         | 9165         | C      |
| 20210504 | LMFA   | 0                   | 0            | 1100         | C      |
| 20210504 | LMND   | 0                   | 7219         | 7714         | C      |
| 20210504 | LMNL   | 0                   | 300          | 300          | C      |
| 20210504 | LMNR   | 0                   | 3            | 207          | C      |
| 20210504 | LMNX   | 0                   | 10246        | 18139        | C      |
| 20210504 | LMT    | 0                   | 4945         | 7181         | C      |
| 20210504 | LNC    | 0                   | 5381         | 7820         | C      |
| 20210504 | LNDC   | 0                   | 75           | 104          | C      |
| 20210504 | LNG    | 0                   | 14594        | 20076        | C      |
| 20210504 | LNN    | 0                   | 383          | 636          | C      |
| 20210504 | LNSR   | 0                   | 42           | 47           | C      |
| 20210504 | LNT    | 280                 | 6621         | 7693         | C      |
| 20210504 | LNTH   | 0                   | 2336         | 3352         | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | LOB    | 0                   | 1913         | 2039         | C      |
| 20210504 | LOCO   | 0                   | 1113         | 2097         | C      |
| 20210504 | LODE   | 0                   | 2015         | 2620         | C      |
| 20210504 | LOGC   | 0                   | 507          | 635          | C      |
| 20210504 | LOGI   | 0                   | 1752         | 2148         | C      |
| 20210504 | LOMA   | 0                   | 219          | 219          | C      |
| 20210504 | LOOP   | 0                   | 0            | 204          | C      |
| 20210504 | LOPE   | 0                   | 395          | 890          | C      |
| 20210504 | LORL   | 0                   | 789          | 890          | C      |
| 20210504 | LOTZ   | 0                   | 13491        | 15709        | C      |
| 20210504 | LOUP   | 0                   | 100          | 100          | C      |
| 20210504 | LOV    | 0                   | 0            | 5            | C      |
| 20210504 | LOVE   | 0                   | 2338         | 2893         | C      |
| 20210504 | LOW    | 0                   | 7461         | 14288        | C      |
| 20210504 | LPCN   | 0                   | 5000         | 5700         | C      |
| 20210504 | LPG    | 0                   | 224          | 357          | C      |
| 20210504 | LPI    | 0                   | 1252         | 2260         | C      |
| 20210504 | LPL    | 0                   | 939          | 1124         | C      |
| 20210504 | LPLA   | 0                   | 3391         | 5385         | C      |
| 20210504 | LPRO   | 0                   | 668          | 2003         | C      |
| 20210504 | LPSN   | 0                   | 3901         | 4273         | C      |
| 20210504 | LPTH   | 0                   | 100          | 200          | C      |
| 20210504 | LPTX   | 0                   | 786          | 786          | C      |
| 20210504 | LPX    | 0                   | 7466         | 12455        | C      |
| 20210504 | LQD    | 0                   | 380250       | 447982       | C      |
| 20210504 | LQDA   | 0                   | 387          | 508          | C      |
| 20210504 | LQDI   | 0                   | 0            | 300          | C      |
| 20210504 | LQDT   | 0                   | 878          | 897          | C      |
| 20210504 | LRCX   | 0                   | 8339         | 11032        | C      |
| 20210504 | LRMR   | 0                   | 1            | 1            | C      |
| 20210504 | LRN    | 0                   | 912          | 2049         | C      |
| 20210504 | LSAF   | 0                   | 0            | 93           | C      |
| 20210504 | LSAT   | 0                   | 2083         | 2083         | C      |
| 20210504 | LSCC   | 0                   | 6679         | 10790        | C      |
| 20210504 | LSEA   | 0                   | 0            | 43           | C      |
| 20210504 | LSF    | 0                   | 100          | 100          | C      |
| 20210504 | LSI    | 0                   | 1407         | 4226         | C      |
| 20210504 | LSPD   | 0                   | 3715         | 4698         | C      |
| 20210504 | LSTR   | 0                   | 389          | 511          | C      |
| 20210504 | LSXMA  | 0                   | 3518         | 7628         | C      |
| 20210504 | LSXMK  | 0                   | 2536         | 14324        | C      |
| 20210504 | LTBR   | 0                   | 216          | 225          | C      |
| 20210504 | LTC    | 0                   | 846          | 894          | C      |
| 20210504 | LTHM   | 0                   | 8665         | 12401        | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | LTPZ   | 0                   | 529          | 618          | C      |
| 20210504 | LTRN   | 0                   | 0            | 20           | C      |
| 20210504 | LTRPA  | 0                   | 7436         | 9385         | C      |
| 20210504 | LTRX   | 400                 | 799          | 1353         | C      |
| 20210504 | LU     | 100                 | 58651        | 115874       | C      |
| 20210504 | LULU   | 0                   | 3488         | 5734         | C      |
| 20210504 | LUMN   | 46                  | 104591       | 133663       | C      |
| 20210504 | LUMO   | 0                   | 0            | 40           | C      |
| 20210504 | LUNA   | 0                   | 202          | 202          | C      |
| 20210504 | LUNG   | 0                   | 1022         | 2649         | C      |
| 20210504 | LUV    | 0                   | 8837         | 13882        | C      |
| 20210504 | LUXA   | 0                   | 100          | 100          | C      |
| 20210504 | LVHD   | 0                   | 0            | 30           | C      |
| 20210504 | LVS    | 0                   | 9891         | 24303        | C      |
| 20210504 | LVTX   | 0                   | 0            | 140          | C      |
| 20210504 | LW     | 0                   | 4536         | 6533         | C      |
| 20210504 | LWAY   | 0                   | 0            | 1            | C      |
| 20210504 | LX     | 70                  | 695          | 1065         | C      |
| 20210504 | LXFR   | 0                   | 6            | 6            | C      |
| 20210504 | LXP    | 0                   | 26376        | 39484        | C      |
| 20210504 | LXRX   | 100                 | 2716         | 6435         | C      |
| 20210504 | LXU    | 0                   | 100          | 100          | C      |
| 20210504 | LYB    | 0                   | 3798         | 7149         | C      |
| 20210504 | LYFT   | 0                   | 17872        | 37639        | C      |
| 20210504 | LYG    | 4597                | 167657       | 247984       | C      |
| 20210504 | LYL    | 0                   | 450          | 450          | C      |
| 20210504 | LYRA   | 0                   | 497          | 598          | C      |
| 20210504 | LYTS   | 3                   | 218          | 339          | C      |
| 20210504 | LYV    | 0                   | 11478        | 19454        | C      |
| 20210504 | LZB    | 0                   | 1936         | 9038         | C      |
| 20210504 | M      | 100                 | 117263       | 150713       | C      |
| 20210504 | MA     | 0                   | 6618         | 13809        | C      |
| 20210504 | MAA    | 0                   | 732          | 961          | C      |
| 20210504 | MAAC   | 0                   | 644          | 644          | C      |
| 20210504 | MAACW  | 0                   | 3200         | 9799         | C      |
| 20210504 | MAC    | 0                   | 19257        | 28344        | C      |
| 20210504 | MACA   | 0                   | 430          | 430          | C      |
| 20210504 | MACK   | 0                   | 0            | 24           | C      |
| 20210504 | MACUW  | 0                   | 0            | 200          | C      |
| 20210504 | MAG    | 0                   | 3156         | 4154         | C      |
| 20210504 | MAIN   | 100                 | 160          | 203          | C      |
| 20210504 | MAN    | 0                   | 4339         | 9754         | C      |
| 20210504 | MANH   | 14                  | 5165         | 6281         | C      |
| 20210504 | MANT   | 0                   | 607          | 618          | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | MANU   | 0                   | 174          | 376          | C      |
| 20210504 | MAR    | 2                   | 4606         | 8863         | C      |
| 20210504 | MARA   | 1467                | 25447        | 35706        | C      |
| 20210504 | MARK   | 0                   | 15013        | 21291        | C      |
| 20210504 | MARPS  | 0                   | 100          | 100          | C      |
| 20210504 | MAS    | 0                   | 1156         | 4558         | C      |
| 20210504 | MASI   | 0                   | 1343         | 2722         | C      |
| 20210504 | MASS   | 0                   | 2231         | 2375         | C      |
| 20210504 | MAT    | 0                   | 18487        | 29512        | C      |
| 20210504 | MATW   | 0                   | 560          | 658          | C      |
| 20210504 | MATX   | 0                   | 772          | 2287         | C      |
| 20210504 | MAX    | 0                   | 963          | 1011         | C      |
| 20210504 | MAXN   | 222                 | 1338         | 4272         | C      |
| 20210504 | MAXR   | 5692                | 33395        | 49236        | C      |
| 20210504 | MBAC U | 0                   | 0            | 100          | C      |
| 20210504 | MBB    | 0                   | 3310         | 7265         | C      |
| 20210504 | MBBB   | 0                   | 0            | 1            | C      |
| 20210504 | MBCN   | 0                   | 59           | 59           | C      |
| 20210504 | MBI    | 0                   | 1808         | 2584         | C      |
| 20210504 | MBII   | 0                   | 926          | 1340         | C      |
| 20210504 | MBIN   | 0                   | 146          | 281          | C      |
| 20210504 | MBINN  | 0                   | 0            | 600          | C      |
| 20210504 | MBIO   | 0                   | 10442        | 12932        | C      |
| 20210504 | MBRX   | 0                   | 110          | 112          | C      |
| 20210504 | MBSD   | 0                   | 2100         | 2300         | C      |
| 20210504 | MBT    | 0                   | 48461        | 69283        | C      |
| 20210504 | MBUU   | 0                   | 1353         | 1678         | C      |
| 20210504 | MBWM   | 0                   | 193          | 325          | C      |
| 20210504 | MC     | 0                   | 1004         | 1577         | C      |
| 20210504 | MCA    | 0                   | 0            | 10           | C      |
| 20210504 | MCAD   | 0                   | 200          | 3600         | C      |
| 20210504 | MCB    | 0                   | 1            | 11           | C      |
| 20210504 | MCBS   | 0                   | 0            | 1            | C      |
| 20210504 | MCD    | 29                  | 4015         | 7415         | C      |
| 20210504 | MCF    | 0                   | 1855         | 2329         | C      |
| 20210504 | MCFE   | 0                   | 2955         | 4861         | C      |
| 20210504 | MCFT   | 0                   | 774          | 849          | C      |
| 20210504 | MCHI   | 0                   | 1489         | 1500         | C      |
| 20210504 | MCHP   | 0                   | 3955         | 7980         | C      |
| 20210504 | MCHX   | 0                   | 0            | 194          | C      |
| 20210504 | MCK    | 0                   | 6044         | 10299        | C      |
| 20210504 | MCMJW  | 0                   | 0            | 200          | C      |
| 20210504 | MCO    | 0                   | 3417         | 5446         | C      |
| 20210504 | MCRB   | 0                   | 2024         | 3044         | C      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20210504 | MCRI    | 0                   | 5            | 214          | C      |
| 20210504 | MCS     | 0                   | 1726         | 2067         | C      |
| 20210504 | MCY     | 0                   | 1388         | 3557         | C      |
| 20210504 | MD      | 0                   | 2070         | 3595         | C      |
| 20210504 | MDB     | 1                   | 7776         | 9188         | C      |
| 20210504 | MDC     | 0                   | 2384         | 4576         | C      |
| 20210504 | MDCA    | 0                   | 39           | 39           | C      |
| 20210504 | MDGL    | 0                   | 208          | 549          | C      |
| 20210504 | MDGS    | 0                   | 280          | 320          | C      |
| 20210504 | MDIA    | 0                   | 100          | 100          | C      |
| 20210504 | MDIV    | 0                   | 0            | 500          | C      |
| 20210504 | MDLA    | 0                   | 5219         | 5599         | C      |
| 20210504 | MDLY    | 0                   | 0            | 100          | C      |
| 20210504 | MDLZ    | 3                   | 80292        | 124486       | C      |
| 20210504 | MDNA    | 0                   | 240          | 240          | C      |
| 20210504 | MDP     | 200                 | 6364         | 12670        | C      |
| 20210504 | MDRR    | 0                   | 500          | 500          | C      |
| 20210504 | MDRX    | 0                   | 26457        | 33466        | C      |
| 20210504 | MDT     | 120                 | 3448         | 27221        | C      |
| 20210504 | MDU     | 0                   | 7412         | 15991        | C      |
| 20210504 | MDVL    | 0                   | 0            | 60           | C      |
| 20210504 | MDXG    | 0                   | 2745         | 4660         | C      |
| 20210504 | MDY     | 0                   | 5372         | 5877         | C      |
| 20210504 | MDYG    | 0                   | 0            | 1            | C      |
| 20210504 | MDYV    | 0                   | 94           | 94           | C      |
| 20210504 | MEC     | 0                   | 60           | 96           | C      |
| 20210504 | MED     | 0                   | 930          | 1390         | C      |
| 20210504 | MEDP    | 0                   | 731          | 1134         | C      |
| 20210504 | MEDS    | 0                   | 150          | 150          | C      |
| 20210504 | MEG     | 0                   | 525          | 594          | C      |
| 20210504 | MEI     | 0                   | 1019         | 2119         | C      |
| 20210504 | MEIP    | 0                   | 3501         | 4016         | C      |
| 20210504 | MELI    | 0                   | 2569         | 3178         | C      |
| 20210504 | MEOH    | 200                 | 1106         | 1194         | C      |
| 20210504 | MERC    | 0                   | 598          | 2270         | C      |
| 20210504 | MESA    | 0                   | 2402         | 3921         | C      |
| 20210504 | MESO    | 0                   | 633          | 1445         | C      |
| 20210504 | MET     | 0                   | 15512        | 21502        | C      |
| 20210504 | MET PRF | 0                   | 0            | 234          | C      |
| 20210504 | METC    | 0                   | 2            | 16           | C      |
| 20210504 | METX    | 0                   | 18178        | 19603        | C      |
| 20210504 | METXW   | 100                 | 100          | 100          | C      |
| 20210504 | MFA     | 0                   | 120713       | 178320       | C      |
| 20210504 | MFC     | 3995                | 42507        | 58051        | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | MFG    | 0                   | 4917         | 5997         | C      |
| 20210504 | MFGP   | 0                   | 1700         | 2017         | C      |
| 20210504 | MFH    | 0                   | 100          | 245          | C      |
| 20210504 | MFNC   | 0                   | 8            | 49           | C      |
| 20210504 | MG     | 0                   | 30           | 30           | C      |
| 20210504 | MGA    | 3                   | 1243         | 2553         | C      |
| 20210504 | MGC    | 0                   | 0            | 70           | C      |
| 20210504 | MGEE   | 0                   | 46           | 46           | C      |
| 20210504 | MGF    | 0                   | 100          | 200          | C      |
| 20210504 | MGI    | 16626               | 23686        | 28633        | C      |
| 20210504 | MGIC   | 0                   | 0            | 99           | C      |
| 20210504 | MGK    | 0                   | 242          | 325          | C      |
| 20210504 | MGLN   | 0                   | 877          | 1094         | C      |
| 20210504 | MGM    | 0                   | 39090        | 52213        | C      |
| 20210504 | MGNI   | 0                   | 3924         | 6102         | C      |
| 20210504 | MGNX   | 0                   | 6193         | 7409         | C      |
| 20210504 | MGP    | 0                   | 3053         | 4327         | C      |
| 20210504 | MGPI   | 0                   | 1553         | 2846         | C      |
| 20210504 | MGRC   | 0                   | 167          | 236          | C      |
| 20210504 | MGTA   | 0                   | 135          | 135          | C      |
| 20210504 | MGTX   | 0                   | 86           | 459          | C      |
| 20210504 | MGU    | 0                   | 150          | 283          | C      |
| 20210504 | MGV    | 0                   | 100          | 100          | C      |
| 20210504 | MGY    | 0                   | 4177         | 7338         | C      |
| 20210504 | MH PRC | 0                   | 0            | 608          | C      |
| 20210504 | MHD    | 0                   | 1180         | 1180         | C      |
| 20210504 | MHH    | 0                   | 10           | 10           | C      |
| 20210504 | MHI    | 0                   | 0            | 200          | C      |
| 20210504 | MHK    | 0                   | 5338         | 6526         | C      |
| 20210504 | MHLD   | 0                   | 42           | 342          | C      |
| 20210504 | MHO    | 0                   | 2023         | 6084         | C      |
| 20210504 | MIC    | 0                   | 2354         | 4836         | C      |
| 20210504 | MICT   | 0                   | 9909         | 10409        | C      |
| 20210504 | MIDD   | 0                   | 2364         | 2915         | C      |
| 20210504 | MIE    | 0                   | 50           | 219          | C      |
| 20210504 | MILE   | 0                   | 1396         | 3438         | C      |
| 20210504 | MIME   | 0                   | 2494         | 4075         | C      |
| 20210504 | MIN    | 0                   | 0            | 2223         | C      |
| 20210504 | MINT   | 0                   | 4596         | 16218        | C      |
| 20210504 | MIRM   | 0                   | 135          | 315          | C      |
| 20210504 | MIST   | 0                   | 51           | 131          | C      |
| 20210504 | MITK   | 0                   | 2651         | 3185         | C      |
| 20210504 | MITT   | 0                   | 2815         | 3222         | C      |
| 20210504 | MIY    | 0                   | 200          | 200          | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | MJ     | 0                   | 2908         | 3204         | C      |
| 20210504 | MKC    | 0                   | 1392         | 2484         | C      |
| 20210504 | MKD    | 0                   | 0            | 200          | C      |
| 20210504 | MKGI   | 0                   | 100          | 300          | C      |
| 20210504 | MKL    | 0                   | 197          | 237          | C      |
| 20210504 | MKSI   | 0                   | 909          | 1437         | C      |
| 20210504 | MKTX   | 0                   | 1832         | 2675         | C      |
| 20210504 | MLAB   | 0                   | 68           | 88           | C      |
| 20210504 | MLAC   | 3400                | 4400         | 4400         | C      |
| 20210504 | MLCO   | 0                   | 31409        | 52606        | C      |
| 20210504 | MLHR   | 0                   | 3233         | 3665         | C      |
| 20210504 | MLI    | 0                   | 1445         | 8489         | C      |
| 20210504 | MLM    | 0                   | 6745         | 8857         | C      |
| 20210504 | MLND   | 0                   | 9082         | 11465        | C      |
| 20210504 | MLPA   | 0                   | 100          | 100          | C      |
| 20210504 | MLSS   | 0                   | 2119         | 2219         | C      |
| 20210504 | MMAC   | 0                   | 5            | 5            | C      |
| 20210504 | MMC    | 0                   | 4397         | 6278         | C      |
| 20210504 | MMI    | 0                   | 795          | 913          | C      |
| 20210504 | MMLP   | 0                   | 0            | 25           | C      |
| 20210504 | MMM    | 0                   | 5601         | 12958        | C      |
| 20210504 | MMP    | 84                  | 5881         | 7525         | C      |
| 20210504 | MMS    | 0                   | 1191         | 2246         | C      |
| 20210504 | MMSI   | 0                   | 1088         | 1265         | C      |
| 20210504 | MMT    | 0                   | 0            | 100          | C      |
| 20210504 | MMX    | 0                   | 563          | 763          | C      |
| 20210504 | MMYT   | 0                   | 1311         | 2574         | C      |
| 20210504 | MN     | 0                   | 100          | 200          | C      |
| 20210504 | MNA    | 0                   | 100          | 200          | C      |
| 20210504 | MNDO   | 0                   | 100          | 100          | C      |
| 20210504 | MNKD   | 0                   | 35521        | 38207        | C      |
| 20210504 | MNMD   | 3257                | 26530        | 35795        | C      |
| 20210504 | MNOV   | 95                  | 1780         | 1891         | C      |
| 20210504 | MNR    | 0                   | 1307         | 1682         | C      |
| 20210504 | MNRL   | 0                   | 811          | 1186         | C      |
| 20210504 | MNRO   | 0                   | 707          | 818          | C      |
| 20210504 | MNSO   | 9                   | 160          | 320          | C      |
| 20210504 | MNST   | 0                   | 11765        | 14768        | C      |
| 20210504 | MNTK   | 0                   | 200          | 200          | C      |
| 20210504 | MO     | 0                   | 57700        | 83853        | C      |
| 20210504 | MOAT   | 0                   | 1301         | 1301         | C      |
| 20210504 | MOD    | 0                   | 1687         | 2735         | C      |
| 20210504 | MODN   | 0                   | 1853         | 2023         | C      |
| 20210504 | MODV   | 0                   | 11           | 74           | C      |



| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20210504 | MOFG    | 0                   | 500          | 758          | C      |
| 20210504 | MOG A   | 0                   | 223          | 425          | C      |
| 20210504 | MOGO    | 300                 | 7534         | 9564         | C      |
| 20210504 | MOGU    | 0                   | 50           | 50           | C      |
| 20210504 | MOH     | 0                   | 808          | 1214         | C      |
| 20210504 | MOMO    | 0                   | 19283        | 24122        | C      |
| 20210504 | MOO     | 0                   | 300          | 300          | C      |
| 20210504 | MOON    | 0                   | 3            | 72           | C      |
| 20210504 | MOR     | 0                   | 0            | 36           | C      |
| 20210504 | MORF    | 0                   | 103          | 316          | C      |
| 20210504 | MORN    | 0                   | 330          | 638          | C      |
| 20210504 | MOS     | 442                 | 110253       | 150423       | C      |
| 20210504 | MOSY    | 0                   | 4390         | 4798         | C      |
| 20210504 | MOTS    | 203                 | 28429        | 31226        | C      |
| 20210504 | MOTV    | 0                   | 0            | 100          | C      |
| 20210504 | MOV     | 0                   | 854          | 1705         | C      |
| 20210504 | MOXC    | 0                   | 2058         | 2781         | C      |
| 20210504 | MP      | 21                  | 31185        | 47465        | C      |
| 20210504 | MP WS   | 0                   | 200          | 600          | C      |
| 20210504 | MPAA    | 0                   | 367          | 395          | C      |
| 20210504 | MPB     | 0                   | 5            | 105          | C      |
| 20210504 | MPC     | 0                   | 37391        | 63864        | C      |
| 20210504 | MPLN    | 0                   | 12317        | 13808        | C      |
| 20210504 | MPLN WS | 0                   | 0            | 200          | C      |
| 20210504 | MPLX    | 438                 | 6285         | 10737        | C      |
| 20210504 | MPW     | 500                 | 18584        | 40251        | C      |
| 20210504 | MPWR    | 0                   | 1394         | 1965         | C      |
| 20210504 | MPX     | 0                   | 102          | 403          | C      |
| 20210504 | MQY     | 0                   | 100          | 100          | C      |
| 20210504 | MRAM    | 0                   | 67           | 377          | C      |
| 20210504 | MRC     | 0                   | 12674        | 14117        | C      |
| 20210504 | MRCY    | 0                   | 1166         | 2035         | C      |
| 20210504 | MREO    | 0                   | 2699         | 3541         | C      |
| 20210504 | MRIN    | 0                   | 1900         | 1994         | C      |
| 20210504 | MRK     | 0                   | 27083        | 41174        | C      |
| 20210504 | MRKR    | 0                   | 3439         | 3439         | C      |
| 20210504 | MRLN    | 0                   | 197          | 197          | C      |
| 20210504 | MRM     | 4                   | 4            | 4            | C      |
| 20210504 | MRNA    | 0                   | 17472        | 26742        | C      |
| 20210504 | MRNS    | 0                   | 23           | 32           | C      |
| 20210504 | MRO     | 0                   | 301206       | 539285       | C      |
| 20210504 | MRSN    | 0                   | 1818         | 3039         | C      |
| 20210504 | MRTN    | 0                   | 2286         | 3289         | C      |
| 20210504 | MRTX    | 0                   | 3722         | 4876         | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | MRUS   | 0                   | 7            | 207          | C      |
| 20210504 | MRVI   | 0                   | 4902         | 7106         | C      |
| 20210504 | MRVL   | 0                   | 25384        | 47840        | C      |
| 20210504 | MS     | 381                 | 26644        | 37783        | C      |
| 20210504 | MSA    | 0                   | 188          | 206          | C      |
| 20210504 | MSBI   | 0                   | 0            | 100          | C      |
| 20210504 | MSCI   | 7                   | 1486         | 2334         | C      |
| 20210504 | MSEX   | 0                   | 25           | 25           | C      |
| 20210504 | MSFT   | 200                 | 63085        | 71874        | C      |
| 20210504 | MSGE   | 0                   | 542          | 620          | C      |
| 20210504 | MSGN   | 0                   | 681          | 800          | C      |
| 20210504 | MSGS   | 0                   | 1335         | 1401         | C      |
| 20210504 | MSI    | 0                   | 1368         | 1942         | C      |
| 20210504 | MSM    | 0                   | 853          | 983          | C      |
| 20210504 | MSN    | 100                 | 100          | 300          | C      |
| 20210504 | MSON   | 0                   | 0            | 116          | C      |
| 20210504 | MSOS   | 0                   | 3610         | 3985         | C      |
| 20210504 | MSP    | 0                   | 390          | 519          | C      |
| 20210504 | MSTR   | 0                   | 2555         | 3115         | C      |
| 20210504 | MT     | 0                   | 74625        | 106090       | C      |
| 20210504 | MTA    | 0                   | 400          | 505          | C      |
| 20210504 | MTB    | 0                   | 2420         | 3642         | C      |
| 20210504 | MTBCP  | 0                   | 153          | 153          | C      |
| 20210504 | MTCH   | 0                   | 17437        | 39577        | C      |
| 20210504 | MTCR   | 0                   | 100          | 230          | C      |
| 20210504 | MTD    | 0                   | 670          | 1244         | C      |
| 20210504 | MTDR   | 0                   | 3457         | 4858         | C      |
| 20210504 | MTEM   | 0                   | 1460         | 2446         | C      |
| 20210504 | MTG    | 100                 | 43021        | 64389        | C      |
| 20210504 | MTH    | 100                 | 2940         | 8196         | C      |
| 20210504 | MTL    | 0                   | 0            | 300          | C      |
| 20210504 | MTLS   | 0                   | 4952         | 5423         | C      |
| 20210504 | MTN    | 0                   | 1106         | 1875         | C      |
| 20210504 | MTNB   | 0                   | 133          | 212          | C      |
| 20210504 | MTOR   | 34                  | 3848         | 7717         | C      |
| 20210504 | MTP    | 0                   | 108          | 620          | C      |
| 20210504 | MTRN   | 0                   | 426          | 965          | C      |
| 20210504 | MTRX   | 0                   | 0            | 200          | C      |
| 20210504 | MTSI   | 0                   | 3311         | 3574         | C      |
| 20210504 | MTSL   | 0                   | 300          | 400          | C      |
| 20210504 | MTUM   | 0                   | 884          | 1967         | C      |
| 20210504 | MTW    | 0                   | 1671         | 2055         | C      |
| 20210504 | MTX    | 0                   | 141          | 2350         | C      |
| 20210504 | MTZ    | 0                   | 5344         | 5845         | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | MU     | 0                   | 27126        | 41529        | C      |
| 20210504 | MUA    | 0                   | 100          | 100          | C      |
| 20210504 | MUB    | 0                   | 0            | 9068         | C      |
| 20210504 | MUC    | 0                   | 0            | 467          | C      |
| 20210504 | MUDS   | 833                 | 2899         | 8388         | C      |
| 20210504 | MUDSW  | 0                   | 100          | 976          | C      |
| 20210504 | MUFG   | 0                   | 62171        | 90443        | C      |
| 20210504 | MUI    | 0                   | 1300         | 1300         | C      |
| 20210504 | MUNI   | 0                   | 0            | 100          | C      |
| 20210504 | MUR    | 59                  | 18554        | 30030        | C      |
| 20210504 | MUSA   | 0                   | 2300         | 4311         | C      |
| 20210504 | MUX    | 22053               | 265677       | 370217       | C      |
| 20210504 | MVBF   | 0                   | 21           | 46           | C      |
| 20210504 | MVF    | 0                   | 200          | 200          | C      |
| 20210504 | MVIS   | 17143               | 111984       | 123829       | C      |
| 20210504 | MWA    | 0                   | 1323         | 7474         | C      |
| 20210504 | MX     | 0                   | 615          | 1347         | C      |
| 20210504 | MXI    | 0                   | 427          | 427          | C      |
| 20210504 | MXIM   | 0                   | 3608         | 22082        | C      |
| 20210504 | MXL    | 0                   | 2338         | 3341         | C      |
| 20210504 | MYD    | 0                   | 3            | 3            | C      |
| 20210504 | MYE    | 0                   | 892          | 1408         | C      |
| 20210504 | MYGN   | 0                   | 5708         | 7395         | C      |
| 20210504 | MYMD   | 0                   | 17           | 17           | C      |
| 20210504 | MYO    | 0                   | 0            | 100          | C      |
| 20210504 | MYOV   | 0                   | 1749         | 2272         | C      |
| 20210504 | MYRG   | 0                   | 559          | 746          | C      |
| 20210504 | MYT    | 101                 | 726          | 933          | C      |
| 20210504 | MYTE   | 0                   | 236          | 739          | C      |
| 20210504 | NAD    | 0                   | 1039         | 1184         | C      |
| 20210504 | NAIL   | 0                   | 30           | 50           | C      |
| 20210504 | NAK    | 16829               | 76083        | 162108       | C      |
| 20210504 | NAKD   | 96459               | 174050       | 284991       | C      |
| 20210504 | NAPA   | 0                   | 937          | 1413         | C      |
| 20210504 | NARI   | 0                   | 4295         | 7580         | C      |
| 20210504 | NAT    | 0                   | 41464        | 67266        | C      |
| 20210504 | NATH   | 0                   | 8            | 9            | C      |
| 20210504 | NATI   | 0                   | 2892         | 4154         | C      |
| 20210504 | NATR   | 0                   | 98           | 218          | C      |
| 20210504 | NAV    | 0                   | 17813        | 29808        | C      |
| 20210504 | NAVI   | 0                   | 10934        | 15987        | C      |
| 20210504 | NBEV   | 0                   | 39311        | 43910        | C      |
| 20210504 | NBHC   | 0                   | 159          | 159          | C      |
| 20210504 | NBIX   | 0                   | 5421         | 5542         | C      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20210504 | NBLX    | 0                   | 2131         | 2238         | C      |
| 20210504 | NBN     | 0                   | 42           | 42           | C      |
| 20210504 | NBR     | 0                   | 1511         | 2256         | C      |
| 20210504 | NBRV    | 0                   | 3510         | 4638         | C      |
| 20210504 | NBSE    | 0                   | 997          | 1393         | C      |
| 20210504 | NBTB    | 0                   | 394          | 422          | C      |
| 20210504 | NBY     | 0                   | 302          | 302          | C      |
| 20210504 | NC      | 0                   | 63           | 163          | C      |
| 20210504 | NCBS    | 0                   | 777          | 826          | C      |
| 20210504 | NCLH    | 0                   | 109775       | 149886       | C      |
| 20210504 | NCMI    | 0                   | 746          | 1214         | C      |
| 20210504 | NCNA    | 0                   | 698          | 1523         | C      |
| 20210504 | NCNO    | 0                   | 2267         | 3988         | C      |
| 20210504 | NCR     | 100                 | 1810         | 3187         | C      |
| 20210504 | NCTY    | 0                   | 5320         | 7295         | C      |
| 20210504 | NCV     | 0                   | 600          | 702          | C      |
| 20210504 | NCZ     | 0                   | 405          | 1948         | C      |
| 20210504 | NDAQ    | 0                   | 1754         | 2275         | C      |
| 20210504 | NDLS    | 0                   | 79           | 87           | C      |
| 20210504 | NDRA    | 0                   | 1773         | 2215         | C      |
| 20210504 | NDSN    | 0                   | 1859         | 2422         | C      |
| 20210504 | NEA     | 0                   | 550          | 650          | C      |
| 20210504 | NEAR    | 0                   | 276          | 422          | C      |
| 20210504 | NEBC    | 0                   | 15           | 104          | C      |
| 20210504 | NEE     | 0                   | 11812        | 35270        | C      |
| 20210504 | NEE PRO | 0                   | 0            | 1            | C      |
| 20210504 | NEM     | 335                 | 34527        | 51499        | C      |
| 20210504 | NEO     | 4                   | 8880         | 10105        | C      |
| 20210504 | NEOG    | 0                   | 358          | 880          | C      |
| 20210504 | NEON    | 0                   | 102          | 304          | C      |
| 20210504 | NEP     | 0                   | 1186         | 4077         | C      |
| 20210504 | NEPT    | 16824               | 36289        | 37011        | C      |
| 20210504 | NERV    | 0                   | 1390         | 1709         | C      |
| 20210504 | NESR    | 0                   | 214          | 248          | C      |
| 20210504 | NET     | 0                   | 55206        | 57521        | C      |
| 20210504 | NETI    | 0                   | 209          | 211          | C      |
| 20210504 | NEU     | 0                   | 58           | 253          | C      |
| 20210504 | NEW     | 0                   | 1150         | 1150         | C      |
| 20210504 | NEWR    | 0                   | 4487         | 4877         | C      |
| 20210504 | NEX     | 0                   | 16112        | 26441        | C      |
| 20210504 | NEXA    | 0                   | 4            | 104          | C      |
| 20210504 | NEXT    | 0                   | 111          | 111          | C      |
| 20210504 | NFBK    | 0                   | 100          | 224          | C      |
| 20210504 | NFE     | 0                   | 1886         | 2415         | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | NFG    | 0                   | 5949         | 6300         | C      |
| 20210504 | NFH    | 0                   | 0            | 28           | C      |
| 20210504 | NFJ    | 0                   | 0            | 127          | C      |
| 20210504 | NFLT   | 0                   | 0            | 27           | C      |
| 20210504 | NFLX   | 0                   | 14219        | 33054        | C      |
| 20210504 | NFRA   | 0                   | 100          | 100          | C      |
| 20210504 | NG     | 0                   | 4145         | 6888         | C      |
| 20210504 | NGA    | 0                   | 483          | 10846        | C      |
| 20210504 | NGD    | 5772                | 147018       | 163870       | C      |
| 20210504 | NGG    | 0                   | 641          | 681          | C      |
| 20210504 | NGL    | 0                   | 16115        | 31665        | C      |
| 20210504 | NGM    | 0                   | 223          | 340          | C      |
| 20210504 | NGMS   | 0                   | 1217         | 1801         | C      |
| 20210504 | NGVC   | 0                   | 0            | 34           | C      |
| 20210504 | NGVT   | 0                   | 953          | 1488         | C      |
| 20210504 | NH     | 0                   | 633          | 2693         | C      |
| 20210504 | NHC    | 0                   | 49           | 59           | C      |
| 20210504 | NHI    | 0                   | 2148         | 2373         | C      |
| 20210504 | NHTC   | 0                   | 0            | 33           | C      |
| 20210504 | NI     | 0                   | 64661        | 124218       | C      |
| 20210504 | NICE   | 0                   | 1590         | 2018         | C      |
| 20210504 | NIE    | 0                   | 0            | 109          | C      |
| 20210504 | NINE   | 0                   | 89           | 203          | C      |
| 20210504 | NIO    | 466                 | 359954       | 519684       | C      |
| 20210504 | NISN   | 0                   | 100          | 235          | C      |
| 20210504 | NIU    | 0                   | 1497         | 2455         | C      |
| 20210504 | NJR    | 0                   | 2687         | 4766         | C      |
| 20210504 | NKE    | 0                   | 9551         | 32854        | C      |
| 20210504 | NKLA   | 0                   | 73552        | 91379        | C      |
| 20210504 | NKSH   | 0                   | 6            | 6            | C      |
| 20210504 | NKTR   | 0                   | 2879         | 5576         | C      |
| 20210504 | NKTX   | 0                   | 205          | 364          | C      |
| 20210504 | NLOK   | 8                   | 25220        | 42788        | C      |
| 20210504 | NLR    | 0                   | 0            | 860          | C      |
| 20210504 | NLS    | 0                   | 5691         | 9215         | C      |
| 20210504 | NLSN   | 0                   | 28991        | 33899        | C      |
| 20210504 | NLTX   | 0                   | 553          | 675          | C      |
| 20210504 | NLY    | 0                   | 331009       | 457392       | C      |
| 20210504 | NM     | 0                   | 1499         | 1499         | C      |
| 20210504 | NMFC   | 0                   | 5042         | 5063         | C      |
| 20210504 | NMIH   | 0                   | 1716         | 2280         | C      |
| 20210504 | NML    | 0                   | 0            | 200          | C      |
| 20210504 | NMM    | 0                   | 1256         | 1609         | C      |
| 20210504 | NMR    | 0                   | 130          | 1381         | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | NMRD   | 0                   | 237          | 237          | C      |
| 20210504 | NMRK   | 0                   | 5966         | 13177        | C      |
| 20210504 | NMTR   | 0                   | 17055        | 19484        | C      |
| 20210504 | NNBR   | 0                   | 499          | 1074         | C      |
| 20210504 | NNDM   | 400                 | 172439       | 184352       | C      |
| 20210504 | NNI    | 0                   | 64           | 257          | C      |
| 20210504 | NNN    | 86                  | 5114         | 10309        | C      |
| 20210504 | NNOX   | 100                 | 3073         | 5275         | C      |
| 20210504 | NNVC   | 0                   | 5            | 268          | C      |
| 20210504 | NNY    | 0                   | 0            | 100          | C      |
| 20210504 | NOA    | 400                 | 2226         | 2734         | C      |
| 20210504 | NOAC   | 0                   | 100          | 100          | C      |
| 20210504 | NOAH   | 100                 | 993          | 1279         | C      |
| 20210504 | NOBL   | 0                   | 319          | 809          | C      |
| 20210504 | NOC    | 0                   | 4503         | 6360         | C      |
| 20210504 | NOG    | 0                   | 1894         | 3087         | C      |
| 20210504 | NOK    | 100                 | 1896611      | 2670138      | C      |
| 20210504 | NOMD   | 0                   | 854          | 2081         | C      |
| 20210504 | NORW   | 0                   | 400          | 400          | C      |
| 20210504 | NOTV   | 0                   | 464          | 557          | C      |
| 20210504 | NOV    | 103                 | 93142        | 142134       | C      |
| 20210504 | NOVA   | 0                   | 7309         | 25313        | C      |
| 20210504 | NOVN   | 0                   | 3403         | 16505        | C      |
| 20210504 | NOVT   | 0                   | 312          | 383          | C      |
| 20210504 | NOW    | 0                   | 11589        | 22359        | C      |
| 20210504 | NP     | 0                   | 273          | 333          | C      |
| 20210504 | NPCE   | 0                   | 2330         | 3138         | C      |
| 20210504 | NPCT   | 0                   | 102          | 102          | C      |
| 20210504 | NPK    | 0                   | 75           | 87           | C      |
| 20210504 | NPO    | 0                   | 346          | 770          | C      |
| 20210504 | NPTN   | 0                   | 4651         | 8585         | C      |
| 20210504 | NPV    | 0                   | 200          | 200          | C      |
| 20210504 | NR     | 0                   | 6265         | 10554        | C      |
| 20210504 | NRBO   | 0                   | 333          | 338          | C      |
| 20210504 | NRC    | 0                   | 64           | 134          | C      |
| 20210504 | NREF   | 0                   | 100          | 200          | C      |
| 20210504 | NRG    | 0                   | 22429        | 27190        | C      |
| 20210504 | NRGD   | 0                   | 0            | 534          | C      |
| 20210504 | NRGU   | 0                   | 0            | 21           | C      |
| 20210504 | NRGX   | 0                   | 0            | 200          | C      |
| 20210504 | NRIM   | 0                   | 1            | 113          | C      |
| 20210504 | NRIX   | 0                   | 1135         | 1319         | C      |
| 20210504 | NRK    | 0                   | 100          | 300          | C      |
| 20210504 | NRP    | 0                   | 900          | 1100         | C      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20210504 | NRZ     | 0                   | 125858       | 155330       | C      |
| 20210504 | NRZ PRB | 0                   | 485          | 485          | C      |
| 20210504 | NRZ PRC | 0                   | 0            | 1            | C      |
| 20210504 | NS      | 12                  | 3025         | 3900         | C      |
| 20210504 | NSA     | 0                   | 1386         | 2249         | C      |
| 20210504 | NSC     | 0                   | 2914         | 15443        | C      |
| 20210504 | NSH     | 0                   | 350          | 2426         | C      |
| 20210504 | NSIT    | 0                   | 620          | 641          | C      |
| 20210504 | NSL     | 0                   | 1034         | 1134         | C      |
| 20210504 | NSP     | 0                   | 2099         | 2761         | C      |
| 20210504 | NSPR    | 94                  | 627          | 665          | C      |
| 20210504 | NSSC    | 0                   | 109          | 182          | C      |
| 20210504 | NSTC U  | 0                   | 0            | 1600         | C      |
| 20210504 | NSTD U  | 0                   | 0            | 5200         | C      |
| 20210504 | NSTG    | 10                  | 7459         | 8613         | C      |
| 20210504 | NSYS    | 0                   | 100          | 100          | C      |
| 20210504 | NTAP    | 0                   | 3206         | 3903         | C      |
| 20210504 | NTB     | 0                   | 468          | 693          | C      |
| 20210504 | NTCO    | 0                   | 521          | 686          | C      |
| 20210504 | NTCT    | 15                  | 2634         | 4243         | C      |
| 20210504 | NTES    | 18                  | 6073         | 8689         | C      |
| 20210504 | NTGR    | 0                   | 2486         | 5348         | C      |
| 20210504 | NTLA    | 10                  | 7227         | 10574        | C      |
| 20210504 | NTNX    | 83                  | 13612        | 19456        | C      |
| 20210504 | NTP     | 0                   | 1332         | 2567         | C      |
| 20210504 | NTR     | 600                 | 7265         | 12635        | C      |
| 20210504 | NTRA    | 0                   | 7209         | 12613        | C      |
| 20210504 | NTRS    | 0                   | 1679         | 3796         | C      |
| 20210504 | NTST    | 0                   | 262          | 562          | C      |
| 20210504 | NTUS    | 0                   | 733          | 733          | C      |
| 20210504 | NUAG    | 0                   | 0            | 18           | C      |
| 20210504 | NUAN    | 23                  | 254469       | 323946       | C      |
| 20210504 | NUBD    | 0                   | 77           | 166          | C      |
| 20210504 | NUDM    | 0                   | 479          | 479          | C      |
| 20210504 | NUE     | 0                   | 7504         | 10745        | C      |
| 20210504 | NUGT    | 0                   | 5878         | 6086         | C      |
| 20210504 | NUHY    | 0                   | 0            | 300          | C      |
| 20210504 | NUMV    | 0                   | 0            | 100          | C      |
| 20210504 | NURO    | 0                   | 0            | 100          | C      |
| 20210504 | NUS     | 0                   | 3137         | 4308         | C      |
| 20210504 | NUSI    | 0                   | 11           | 12           | C      |
| 20210504 | NUV     | 0                   | 1000         | 1000         | C      |
| 20210504 | NUVA    | 0                   | 1371         | 3958         | C      |
| 20210504 | NUVB    | 0                   | 1935         | 2748         | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | NUWE   | 0                   | 4            | 104          | C      |
| 20210504 | NUZE   | 0                   | 100          | 100          | C      |
| 20210504 | NVAX   | 398                 | 19256        | 27532        | C      |
| 20210504 | NVCN   | 4300                | 25858        | 41992        | C      |
| 20210504 | NVCR   | 0                   | 5855         | 8335         | C      |
| 20210504 | NVDA   | 16                  | 18826        | 26002        | C      |
| 20210504 | NVEC   | 0                   | 71           | 96           | C      |
| 20210504 | NVEE   | 0                   | 465          | 633          | C      |
| 20210504 | NVG    | 0                   | 1810         | 1910         | C      |
| 20210504 | NVGS   | 0                   | 100          | 100          | C      |
| 20210504 | NVIV   | 130                 | 461          | 1367         | C      |
| 20210504 | NVMI   | 0                   | 141          | 638          | C      |
| 20210504 | NVO    | 16                  | 2142         | 3595         | C      |
| 20210504 | NVOS   | 0                   | 500          | 500          | C      |
| 20210504 | NVR    | 0                   | 42           | 101          | C      |
| 20210504 | NVRO   | 0                   | 3858         | 4510         | C      |
| 20210504 | NVS    | 0                   | 1757         | 2757         | C      |
| 20210504 | NVSAU  | 0                   | 220          | 220          | C      |
| 20210504 | NVST   | 0                   | 3089         | 8617         | C      |
| 20210504 | NVT    | 0                   | 7961         | 19045        | C      |
| 20210504 | NVTA   | 0                   | 18337        | 26303        | C      |
| 20210504 | NVVE   | 200                 | 500          | 2539         | C      |
| 20210504 | NVVEW  | 0                   | 0            | 100          | C      |
| 20210504 | NWBI   | 0                   | 2469         | 5132         | C      |
| 20210504 | NWE    | 0                   | 564          | 764          | C      |
| 20210504 | NWFL   | 0                   | 7            | 90           | C      |
| 20210504 | NWG    | 0                   | 36435        | 48138        | C      |
| 20210504 | NWL    | 1573                | 31638        | 39382        | C      |
| 20210504 | NWLI   | 0                   | 65           | 79           | C      |
| 20210504 | NWN    | 0                   | 427          | 974          | C      |
| 20210504 | NWPX   | 0                   | 54           | 54           | C      |
| 20210504 | NWS    | 0                   | 1602         | 2283         | C      |
| 20210504 | NWSA   | 0                   | 11541        | 17761        | C      |
| 20210504 | NX     | 0                   | 269          | 572          | C      |
| 20210504 | NXE    | 6798                | 41791        | 52391        | C      |
| 20210504 | NXGN   | 0                   | 635          | 962          | C      |
| 20210504 | NXJ    | 0                   | 191          | 391          | C      |
| 20210504 | NXN    | 0                   | 0            | 1            | C      |
| 20210504 | NXPI   | 1                   | 11850        | 22390        | C      |
| 20210504 | NXRT   | 0                   | 56           | 56           | C      |
| 20210504 | NXST   | 0                   | 1949         | 3421         | C      |
| 20210504 | NXTC   | 0                   | 682          | 1502         | C      |
| 20210504 | NXTD   | 100                 | 8367         | 11003        | C      |
| 20210504 | NXTG   | 0                   | 416          | 416          | C      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | NYCB   | 0                   | 183494       | 290584       | C      |
| 20210504 | NYMT   | 0                   | 58759        | 93983        | C      |
| 20210504 | NYMX   | 0                   | 721          | 727          | C      |
| 20210504 | NYT    | 0                   | 44363        | 49411        | C      |
| 20210504 | NZF    | 0                   | 1136         | 1136         | C      |
| 20210504 | O      | 0                   | 18681        | 30211        | C      |
| 20210504 | OAS    | 0                   | 563          | 2626         | C      |
| 20210504 | OBLN   | 0                   | 100          | 110          | C      |
| 20210504 | OBNK   | 0                   | 109          | 109          | C      |
| 20210504 | OBSV   | 96                  | 2913         | 4084         | C      |
| 20210504 | OC     | 170                 | 2582         | 6797         | C      |
| 20210504 | OCA    | 0                   | 400          | 400          | C      |
| 20210504 | OCDX   | 0                   | 1911         | 3241         | C      |
| 20210504 | OCFC   | 0                   | 317          | 716          | C      |
| 20210504 | OCFT   | 0                   | 1502         | 2437         | C      |
| 20210504 | OCG    | 0                   | 14           | 118          | C      |
| 20210504 | OCGN   | 4526                | 87056        | 165612       | C      |
| 20210504 | OCN    | 0                   | 409          | 524          | C      |
| 20210504 | OCSL   | 0                   | 20372        | 32690        | C      |
| 20210504 | OCUL   | 0                   | 1050         | 1753         | C      |
| 20210504 | OCUP   | 200                 | 688          | 931          | C      |
| 20210504 | OCX    | 0                   | 409          | 1514         | C      |
| 20210504 | ODFL   | 0                   | 4609         | 5251         | C      |
| 20210504 | ODP    | 0                   | 1353         | 3554         | C      |
| 20210504 | ODT    | 0                   | 3632         | 4249         | C      |
| 20210504 | OEC    | 0                   | 340          | 1319         | C      |
| 20210504 | OEF    | 0                   | 0            | 102          | C      |
| 20210504 | OEG    | 9                   | 1849         | 2372         | C      |
| 20210504 | OESX   | 0                   | 780          | 780          | C      |
| 20210504 | OFC    | 0                   | 923          | 1476         | C      |
| 20210504 | OFG    | 0                   | 38           | 79           | C      |
| 20210504 | OFIX   | 0                   | 127          | 638          | C      |
| 20210504 | OFLX   | 0                   | 450          | 794          | C      |
| 20210504 | OGE    | 0                   | 8319         | 10766        | C      |
| 20210504 | OGEN   | 915                 | 2411         | 2505         | C      |
| 20210504 | OGI    | 6251                | 86363        | 113815       | C      |
| 20210504 | OGIG   | 0                   | 7            | 7            | C      |
| 20210504 | OGS    | 0                   | 3680         | 4809         | C      |
| 20210504 | OHI    | 0                   | 10666        | 12282        | C      |
| 20210504 | OHPA   | 0                   | 200          | 200          | C      |
| 20210504 | OI     | 0                   | 7108         | 9115         | C      |
| 20210504 | OIBR C | 0                   | 980          | 1010         | C      |
| 20210504 | OIH    | 0                   | 961          | 1230         | C      |
| 20210504 | OII    | 0                   | 3226         | 8119         | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | OIIM   | 0                   | 4129         | 5300         | C      |
| 20210504 | OILK   | 0                   | 10           | 110          | C      |
| 20210504 | OIS    | 0                   | 3695         | 5851         | C      |
| 20210504 | OKE    | 0                   | 4272         | 7073         | C      |
| 20210504 | OKTA   | 0                   | 14579        | 18828        | C      |
| 20210504 | OLED   | 0                   | 524          | 767          | C      |
| 20210504 | OLK    | 0                   | 48           | 148          | C      |
| 20210504 | OLLI   | 0                   | 24677        | 25734        | C      |
| 20210504 | OLMA   | 0                   | 790          | 1230         | C      |
| 20210504 | OLN    | 0                   | 2439         | 4440         | C      |
| 20210504 | OLO    | 0                   | 633          | 930          | C      |
| 20210504 | OLP    | 0                   | 114          | 114          | C      |
| 20210504 | OM     | 0                   | 2957         | 3853         | C      |
| 20210504 | OMAB   | 398                 | 722          | 1114         | C      |
| 20210504 | OMC    | 0                   | 4216         | 8027         | C      |
| 20210504 | OMCL   | 0                   | 1044         | 1669         | C      |
| 20210504 | OMER   | 0                   | 1656         | 2076         | C      |
| 20210504 | OMF    | 0                   | 7668         | 10797        | C      |
| 20210504 | OMFL   | 0                   | 100          | 100          | C      |
| 20210504 | OMI    | 0                   | 4221         | 4992         | C      |
| 20210504 | ON     | 0                   | 25443        | 73452        | C      |
| 20210504 | ONB    | 0                   | 2092         | 3205         | C      |
| 20210504 | ONCR   | 0                   | 862          | 1221         | C      |
| 20210504 | ONCS   | 0                   | 0            | 99           | C      |
| 20210504 | ONCT   | 0                   | 1047         | 1522         | C      |
| 20210504 | ONCY   | 300                 | 2594         | 2777         | C      |
| 20210504 | ONDS   | 0                   | 25           | 223          | C      |
| 20210504 | ONE    | 0                   | 200          | 618          | C      |
| 20210504 | ONEM   | 0                   | 2587         | 4017         | C      |
| 20210504 | ONEQ   | 0                   | 692          | 692          | C      |
| 20210504 | ONEW   | 0                   | 634          | 714          | C      |
| 20210504 | ONTF   | 0                   | 142          | 162          | C      |
| 20210504 | ONTO   | 0                   | 1320         | 1773         | C      |
| 20210504 | ONTX   | 100                 | 1717         | 2928         | C      |
| 20210504 | ONVO   | 1                   | 514          | 517          | C      |
| 20210504 | OOMA   | 0                   | 31           | 236          | C      |
| 20210504 | OPBK   | 0                   | 225          | 415          | C      |
| 20210504 | OPCH   | 0                   | 3471         | 6007         | C      |
| 20210504 | OPEN   | 1                   | 35122        | 43612        | C      |
| 20210504 | OPER   | 0                   | 100          | 100          | C      |
| 20210504 | OPGN   | 0                   | 6634         | 6834         | C      |
| 20210504 | OPI    | 0                   | 1358         | 1373         | C      |
| 20210504 | OPK    | 100                 | 100112       | 160931       | C      |
| 20210504 | OPP    | 0                   | 100          | 100          | C      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20210504 | OPP PRA | 0                   | 100          | 100          | C      |
| 20210504 | OPRA    | 0                   | 25           | 1307         | C      |
| 20210504 | OPRT    | 0                   | 61           | 174          | C      |
| 20210504 | OPRX    | 0                   | 1167         | 1176         | C      |
| 20210504 | OPTN    | 0                   | 1241         | 1241         | C      |
| 20210504 | OPTT    | 0                   | 18420        | 19239        | C      |
| 20210504 | OPY     | 0                   | 301          | 445          | C      |
| 20210504 | OR      | 100                 | 3235         | 4859         | C      |
| 20210504 | ORA     | 0                   | 2771         | 4392         | C      |
| 20210504 | ORAN    | 0                   | 2371         | 2892         | C      |
| 20210504 | ORBC    | 180                 | 218401       | 299190       | C      |
| 20210504 | ORC     | 0                   | 41967        | 49788        | C      |
| 20210504 | ORCC    | 0                   | 3673         | 4315         | C      |
| 20210504 | ORCL    | 401                 | 63860        | 73085        | C      |
| 20210504 | ORGO    | 90                  | 999          | 1349         | C      |
| 20210504 | ORGS    | 0                   | 230          | 230          | C      |
| 20210504 | ORI     | 0                   | 37163        | 45071        | C      |
| 20210504 | ORIC    | 0                   | 975          | 1774         | C      |
| 20210504 | ORLA    | 0                   | 400          | 519          | C      |
| 20210504 | ORLY    | 0                   | 1654         | 3141         | C      |
| 20210504 | ORMP    | 0                   | 519          | 619          | C      |
| 20210504 | ORN     | 0                   | 700          | 1065         | C      |
| 20210504 | ORRF    | 0                   | 99           | 99           | C      |
| 20210504 | ORTX    | 0                   | 1784         | 1884         | C      |
| 20210504 | OSBC    | 0                   | 1017         | 1407         | C      |
| 20210504 | OSCR    | 0                   | 2290         | 5107         | C      |
| 20210504 | OSG     | 0                   | 4181         | 4932         | C      |
| 20210504 | OSH     | 0                   | 6302         | 9164         | C      |
| 20210504 | OSIS    | 0                   | 300          | 378          | C      |
| 20210504 | OSK     | 0                   | 2046         | 3273         | C      |
| 20210504 | OSMT    | 0                   | 100          | 304          | C      |
| 20210504 | OSPN    | 0                   | 761          | 931          | C      |
| 20210504 | OSS     | 0                   | 210          | 961          | C      |
| 20210504 | OSTK    | 0                   | 10588        | 14756        | C      |
| 20210504 | OSTRU   | 0                   | 0            | 900          | C      |
| 20210504 | OSUR    | 0                   | 3861         | 5532         | C      |
| 20210504 | OSW     | 0                   | 1288         | 1403         | C      |
| 20210504 | OTEX    | 5                   | 1164         | 2816         | C      |
| 20210504 | OTIC    | 0                   | 1530         | 3269         | C      |
| 20210504 | OTIS    | 52                  | 5790         | 10968        | C      |
| 20210504 | OTLK    | 103                 | 1832         | 5622         | C      |
| 20210504 | OTRK    | 0                   | 939          | 1235         | C      |
| 20210504 | OTTR    | 0                   | 223          | 464          | C      |
| 20210504 | OUNZ    | 0                   | 1000         | 1200         | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | OUST   | 1606                | 9799         | 11428        | C      |
| 20210504 | OUT    | 0                   | 4572         | 9505         | C      |
| 20210504 | OVB    | 0                   | 0            | 186          | C      |
| 20210504 | OVID   | 0                   | 19618        | 25711        | C      |
| 20210504 | OVV    | 798                 | 25006        | 34898        | C      |
| 20210504 | OXBR   | 0                   | 102          | 208          | C      |
| 20210504 | OXLC   | 0                   | 1880         | 2529         | C      |
| 20210504 | OXM    | 0                   | 647          | 952          | C      |
| 20210504 | OXSQ   | 0                   | 0            | 214          | C      |
| 20210504 | OXY    | 200                 | 125274       | 169690       | C      |
| 20210504 | OXY WS | 0                   | 0            | 198          | C      |
| 20210504 | OYST   | 0                   | 610          | 705          | C      |
| 20210504 | OZK    | 0                   | 2206         | 6429         | C      |
| 20210504 | OZON   | 0                   | 1620         | 2584         | C      |
| 20210504 | PAA    | 785                 | 101326       | 133529       | C      |
| 20210504 | PAAS   | 3                   | 3375         | 5565         | C      |
| 20210504 | PAC    | 100                 | 280          | 280          | C      |
| 20210504 | PACB   | 0                   | 25242        | 35680        | C      |
| 20210504 | PACE   | 0                   | 270          | 490          | C      |
| 20210504 | PACK   | 0                   | 508          | 712          | C      |
| 20210504 | PACW   | 0                   | 2437         | 5647         | C      |
| 20210504 | PAE    | 298                 | 698          | 900          | C      |
| 20210504 | PAG    | 0                   | 1351         | 1837         | C      |
| 20210504 | PAGP   | 300                 | 61617        | 82194        | C      |
| 20210504 | PAGS   | 0                   | 1449         | 3760         | C      |
| 20210504 | PAHC   | 0                   | 244          | 470          | C      |
| 20210504 | PALC   | 0                   | 3148         | 3448         | C      |
| 20210504 | PALI   | 0                   | 602          | 802          | C      |
| 20210504 | PALL   | 0                   | 480          | 572          | C      |
| 20210504 | PAM    | 0                   | 7505         | 10304        | C      |
| 20210504 | PAMC   | 0                   | 444          | 444          | C      |
| 20210504 | PANL   | 0                   | 527          | 1260         | C      |
| 20210504 | PANW   | 0                   | 4867         | 7157         | C      |
| 20210504 | PAQCW  | 0                   | 0            | 100          | C      |
| 20210504 | PAR    | 0                   | 1250         | 1695         | C      |
| 20210504 | PARR   | 0                   | 1912         | 3616         | C      |
| 20210504 | PASG   | 0                   | 511          | 823          | C      |
| 20210504 | PATH   | 0                   | 7318         | 21942        | C      |
| 20210504 | PATK   | 0                   | 910          | 1055         | C      |
| 20210504 | PAVE   | 0                   | 9037         | 9962         | C      |
| 20210504 | PAVM   | 678                 | 9988         | 13218        | C      |
| 20210504 | PAWZ   | 0                   | 0            | 35           | C      |
| 20210504 | PAX    | 0                   | 200          | 1880         | C      |
| 20210504 | PAYA   | 0                   | 2606         | 3751         | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | PAYAW  | 0                   | 100          | 100          | C      |
| 20210504 | PAYC   | 0                   | 3140         | 6023         | C      |
| 20210504 | PAYS   | 0                   | 1147         | 1351         | C      |
| 20210504 | PAYX   | 0                   | 14793        | 19312        | C      |
| 20210504 | PB     | 0                   | 1445         | 4699         | C      |
| 20210504 | PBA    | 187                 | 5609         | 6862         | C      |
| 20210504 | PBCT   | 0                   | 86229        | 150210       | C      |
| 20210504 | PBDM   | 0                   | 100          | 100          | C      |
| 20210504 | PBF    | 0                   | 15909        | 23164        | C      |
| 20210504 | PBFX   | 0                   | 113          | 213          | C      |
| 20210504 | PBH    | 0                   | 639          | 2748         | C      |
| 20210504 | PBI    | 0                   | 26323        | 33471        | C      |
| 20210504 | PBPB   | 0                   | 0            | 400          | C      |
| 20210504 | PBR    | 600                 | 263927       | 436682       | C      |
| 20210504 | PBR A  | 100                 | 79213        | 131447       | C      |
| 20210504 | PBTP   | 0                   | 0            | 100          | C      |
| 20210504 | PBW    | 0                   | 827          | 887          | C      |
| 20210504 | PBYI   | 0                   | 211          | 525          | C      |
| 20210504 | PCAR   | 0                   | 12665        | 16763        | C      |
| 20210504 | PCB    | 0                   | 74           | 172          | C      |
| 20210504 | PCF    | 0                   | 100          | 100          | C      |
| 20210504 | PCG    | 0                   | 360404       | 511616       | C      |
| 20210504 | PCGU   | 0                   | 19           | 19           | C      |
| 20210504 | PCH    | 0                   | 1588         | 2030         | C      |
| 20210504 | PCI    | 0                   | 404          | 454          | C      |
| 20210504 | PCRX   | 0                   | 1846         | 2352         | C      |
| 20210504 | PCSA   | 0                   | 100          | 100          | C      |
| 20210504 | PCT    | 0                   | 4121         | 4936         | C      |
| 20210504 | PCTI   | 0                   | 477          | 792          | C      |
| 20210504 | PCTY   | 20                  | 1945         | 2545         | C      |
| 20210504 | PCVX   | 0                   | 161          | 283          | C      |
| 20210504 | PCY    | 0                   | 14638        | 23350        | C      |
| 20210504 | PCYG   | 0                   | 265          | 502          | C      |
| 20210504 | PCYO   | 0                   | 442          | 453          | C      |
| 20210504 | PD     | 0                   | 5468         | 6468         | C      |
| 20210504 | PDAC   | 0                   | 370          | 370          | C      |
| 20210504 | PDBC   | 0                   | 254893       | 324638       | C      |
| 20210504 | PDCE   | 0                   | 3169         | 5479         | C      |
| 20210504 | PDCO   | 0                   | 1828         | 2169         | C      |
| 20210504 | PDD    | 0                   | 6783         | 9680         | C      |
| 20210504 | PDFS   | 0                   | 199          | 199          | C      |
| 20210504 | PDI    | 0                   | 400          | 400          | C      |
| 20210504 | PDLB   | 0                   | 137          | 137          | C      |
| 20210504 | PDM    | 0                   | 1741         | 5120         | C      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20210504 | PDO     | 0                   | 400          | 599          | C      |
| 20210504 | PDP     | 0                   | 200          | 200          | C      |
| 20210504 | PDS     | 0                   | 565          | 582          | C      |
| 20210504 | PDSB    | 0                   | 5317         | 6534         | C      |
| 20210504 | PDT     | 0                   | 0            | 100          | C      |
| 20210504 | PEAK    | 378                 | 20534        | 26843        | C      |
| 20210504 | PEB     | 0                   | 3044         | 7390         | C      |
| 20210504 | PEB PRF | 0                   | 100          | 100          | C      |
| 20210504 | PEBO    | 0                   | 190          | 190          | C      |
| 20210504 | PED     | 0                   | 409          | 509          | C      |
| 20210504 | PEG     | 0                   | 3453         | 16065        | C      |
| 20210504 | PEGA    | 100                 | 1517         | 2008         | C      |
| 20210504 | PEI     | 0                   | 5207         | 7085         | C      |
| 20210504 | PEJ     | 0                   | 782          | 924          | C      |
| 20210504 | PEN     | 0                   | 2595         | 3757         | C      |
| 20210504 | PENN    | 44                  | 7966         | 13410        | C      |
| 20210504 | PEO     | 0                   | 100          | 100          | C      |
| 20210504 | PEP     | 48                  | 4142         | 10850        | C      |
| 20210504 | PERI    | 0                   | 2890         | 3310         | C      |
| 20210504 | PETQ    | 0                   | 1109         | 2899         | C      |
| 20210504 | PETS    | 0                   | 2407         | 3458         | C      |
| 20210504 | PETZ    | 0                   | 300          | 300          | C      |
| 20210504 | PEY     | 0                   | 0            | 1            | C      |
| 20210504 | PFBC    | 0                   | 0            | 3            | C      |
| 20210504 | PFC     | 0                   | 7            | 18           | C      |
| 20210504 | PFE     | 3050                | 707977       | 930761       | C      |
| 20210504 | PFF     | 0                   | 63213        | 76630        | C      |
| 20210504 | PFFD    | 0                   | 827          | 1167         | C      |
| 20210504 | PFG     | 0                   | 1160         | 2996         | C      |
| 20210504 | PFGC    | 0                   | 1644         | 7479         | C      |
| 20210504 | PFIE    | 100                 | 110          | 512          | C      |
| 20210504 | PFLT    | 0                   | 30           | 47           | C      |
| 20210504 | PFMT    | 0                   | 2383         | 3785         | C      |
| 20210504 | PFN     | 0                   | 100          | 100          | C      |
| 20210504 | PFPT    | 12                  | 13942        | 26912        | C      |
| 20210504 | PFS     | 0                   | 1594         | 2447         | C      |
| 20210504 | PFSI    | 0                   | 4740         | 5309         | C      |
| 20210504 | PFSW    | 0                   | 68           | 68           | C      |
| 20210504 | PG      | 60                  | 19084        | 36257        | C      |
| 20210504 | PGC     | 0                   | 134          | 189          | C      |
| 20210504 | PGEN    | 0                   | 3708         | 5203         | C      |
| 20210504 | PGHY    | 0                   | 301          | 301          | C      |
| 20210504 | PGJ     | 0                   | 200          | 200          | C      |
| 20210504 | PGNY    | 0                   | 2997         | 3446         | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | PGR    | 0                   | 9320         | 11735        | C      |
| 20210504 | PGRE   | 0                   | 16473        | 25829        | C      |
| 20210504 | PGTI   | 0                   | 1317         | 1417         | C      |
| 20210504 | PGX    | 0                   | 27483        | 28355        | C      |
| 20210504 | PGZ    | 0                   | 0            | 175          | C      |
| 20210504 | PH     | 0                   | 3157         | 7397         | C      |
| 20210504 | PHAS   | 0                   | 684          | 689          | C      |
| 20210504 | PHAT   | 0                   | 82           | 218          | C      |
| 20210504 | PHB    | 0                   | 0            | 200          | C      |
| 20210504 | PHG    | 0                   | 654          | 763          | C      |
| 20210504 | PHI    | 0                   | 423          | 445          | C      |
| 20210504 | PHIO   | 0                   | 271          | 271          | C      |
| 20210504 | PHK    | 0                   | 0            | 100          | C      |
| 20210504 | PHM    | 0                   | 9677         | 24817        | C      |
| 20210504 | PHO    | 0                   | 113          | 113          | C      |
| 20210504 | PHR    | 0                   | 1015         | 1383         | C      |
| 20210504 | PHUN   | 0                   | 11734        | 14327        | C      |
| 20210504 | PHVS   | 0                   | 138          | 141          | C      |
| 20210504 | PHX    | 0                   | 750          | 958          | C      |
| 20210504 | PHYS   | 2000                | 20102        | 20672        | C      |
| 20210504 | PI     | 0                   | 709          | 812          | C      |
| 20210504 | PICC U | 0                   | 100          | 3186         | C      |
| 20210504 | PICK   | 0                   | 50           | 50           | C      |
| 20210504 | PID    | 0                   | 100          | 100          | C      |
| 20210504 | PII    | 0                   | 2846         | 6527         | C      |
| 20210504 | PIM    | 0                   | 100          | 300          | C      |
| 20210504 | PINC   | 0                   | 2612         | 3843         | C      |
| 20210504 | PINE   | 0                   | 302          | 309          | C      |
| 20210504 | PING   | 0                   | 1448         | 2346         | C      |
| 20210504 | PINS   | 0                   | 45796        | 70220        | C      |
| 20210504 | PIO    | 0                   | 2            | 2            | C      |
| 20210504 | PIPP   | 0                   | 0            | 102          | C      |
| 20210504 | PIPR   | 0                   | 3            | 21           | C      |
| 20210504 | PIRS   | 0                   | 845          | 1132         | C      |
| 20210504 | PIXY   | 304                 | 4307         | 5843         | C      |
| 20210504 | PJT    | 0                   | 205          | 328          | C      |
| 20210504 | PK     | 0                   | 11346        | 19252        | C      |
| 20210504 | PKBK   | 0                   | 12           | 12           | C      |
| 20210504 | PKE    | 0                   | 1            | 1            | C      |
| 20210504 | PKG    | 0                   | 2645         | 4198         | C      |
| 20210504 | PKI    | 0                   | 3165         | 4731         | C      |
| 20210504 | PKOH   | 0                   | 41           | 118          | C      |
| 20210504 | PKX    | 0                   | 18           | 50           | C      |
| 20210504 | PLAB   | 0                   | 757          | 1469         | C      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20210504 | PLAG    | 0                   | 145          | 145          | C      |
| 20210504 | PLAN    | 0                   | 12852        | 21144        | C      |
| 20210504 | PLAY    | 0                   | 2319         | 5989         | C      |
| 20210504 | PLBY    | 0                   | 10433        | 16184        | C      |
| 20210504 | PLCE    | 0                   | 1337         | 2027         | C      |
| 20210504 | PLD     | 0                   | 3448         | 5074         | C      |
| 20210504 | PLG     | 100                 | 2938         | 3251         | C      |
| 20210504 | PLL     | 0                   | 2420         | 4275         | C      |
| 20210504 | PLM     | 0                   | 103          | 103          | C      |
| 20210504 | PLMR    | 0                   | 427          | 609          | C      |
| 20210504 | PLNT    | 0                   | 6713         | 11872        | C      |
| 20210504 | PLOW    | 0                   | 7            | 115          | C      |
| 20210504 | PLPC    | 0                   | 18           | 116          | C      |
| 20210504 | PLRX    | 0                   | 377          | 388          | C      |
| 20210504 | PLSE    | 0                   | 187          | 291          | C      |
| 20210504 | PLT     | 0                   | 754          | 1299         | C      |
| 20210504 | PLTK    | 0                   | 2885         | 4514         | C      |
| 20210504 | PLTM    | 0                   | 0            | 10           | C      |
| 20210504 | PLTR    | 770                 | 487084       | 600250       | C      |
| 20210504 | PLUG    | 397                 | 254807       | 302556       | C      |
| 20210504 | PLUS    | 0                   | 191          | 248          | C      |
| 20210504 | PLW     | 0                   | 0            | 101          | C      |
| 20210504 | PLX     | 0                   | 719          | 1103         | C      |
| 20210504 | PLXP    | 0                   | 512          | 920          | C      |
| 20210504 | PLXS    | 0                   | 1213         | 2082         | C      |
| 20210504 | PLYA    | 0                   | 3384         | 5480         | C      |
| 20210504 | PLYM    | 0                   | 233          | 363          | C      |
| 20210504 | PM      | 103                 | 13655        | 23193        | C      |
| 20210504 | PMAY    | 0                   | 200          | 200          | C      |
| 20210504 | PMBC    | 0                   | 100          | 900          | C      |
| 20210504 | PMT     | 0                   | 4228         | 5337         | C      |
| 20210504 | PMVP    | 0                   | 955          | 1114         | C      |
| 20210504 | PMX     | 0                   | 0            | 110          | C      |
| 20210504 | PNC     | 0                   | 6538         | 7529         | C      |
| 20210504 | PNC PRP | 0                   | 0            | 310          | C      |
| 20210504 | PNF     | 0                   | 300          | 300          | C      |
| 20210504 | PNFP    | 0                   | 1097         | 1645         | C      |
| 20210504 | PNM     | 0                   | 3294         | 3690         | C      |
| 20210504 | PNNT    | 0                   | 200          | 500          | C      |
| 20210504 | PNR     | 0                   | 4518         | 6716         | C      |
| 20210504 | PNTG    | 0                   | 138          | 237          | C      |
| 20210504 | PNW     | 0                   | 2351         | 2991         | C      |
| 20210504 | POAI    | 100                 | 7328         | 20435        | C      |
| 20210504 | PODD    | 0                   | 1152         | 2393         | C      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | POLA   | 0                   | 224          | 468          | C      |
| 20210504 | POOL   | 0                   | 1362         | 2427         | C      |
| 20210504 | POR    | 0                   | 1657         | 2337         | C      |
| 20210504 | POSH   | 0                   | 2224         | 2381         | C      |
| 20210504 | POST   | 0                   | 10709        | 11280        | C      |
| 20210504 | POTX   | 0                   | 100          | 302          | C      |
| 20210504 | POWI   | 0                   | 1201         | 2106         | C      |
| 20210504 | POWL   | 0                   | 62           | 62           | C      |
| 20210504 | POWW   | 0                   | 1309         | 1314         | C      |
| 20210504 | PPBI   | 0                   | 1223         | 1642         | C      |
| 20210504 | PPBT   | 4                   | 508          | 908          | C      |
| 20210504 | PPC    | 0                   | 1747         | 8660         | C      |
| 20210504 | PPD    | 0                   | 129511       | 154451       | C      |
| 20210504 | PPG    | 0                   | 7563         | 9967         | C      |
| 20210504 | PPH    | 0                   | 800          | 900          | C      |
| 20210504 | PPL    | 0                   | 73884        | 83258        | C      |
| 20210504 | PPLT   | 500                 | 2351         | 2851         | C      |
| 20210504 | PPR    | 0                   | 161          | 411          | C      |
| 20210504 | PPSI   | 0                   | 705          | 705          | C      |
| 20210504 | PPT    | 0                   | 319          | 3118         | C      |
| 20210504 | PPTA   | 0                   | 122          | 374          | C      |
| 20210504 | PQG    | 0                   | 2291         | 7406         | C      |
| 20210504 | PRA    | 0                   | 345          | 806          | C      |
| 20210504 | PRAA   | 0                   | 269          | 413          | C      |
| 20210504 | PRAH   | 0                   | 2435         | 5236         | C      |
| 20210504 | PRAX   | 0                   | 209          | 698          | C      |
| 20210504 | PRCH   | 398                 | 2803         | 4122         | C      |
| 20210504 | PRDO   | 0                   | 1154         | 1534         | C      |
| 20210504 | PREF   | 0                   | 0            | 101          | C      |
| 20210504 | PRFT   | 0                   | 1691         | 2358         | C      |
| 20210504 | PRFX   | 0                   | 300          | 300          | C      |
| 20210504 | PRG    | 0                   | 5613         | 11281        | C      |
| 20210504 | PRGO   | 0                   | 3936         | 4754         | C      |
| 20210504 | PRGS   | 0                   | 793          | 1303         | C      |
| 20210504 | PRI    | 0                   | 459          | 525          | C      |
| 20210504 | PRIM   | 0                   | 762          | 1537         | C      |
| 20210504 | PRK    | 0                   | 116          | 271          | C      |
| 20210504 | PRLB   | 0                   | 992          | 1096         | C      |
| 20210504 | PRLD   | 0                   | 617          | 877          | C      |
| 20210504 | PRMW   | 100                 | 4835         | 6922         | C      |
| 20210504 | PRNT   | 0                   | 1597         | 2247         | C      |
| 20210504 | PRO    | 0                   | 2191         | 2496         | C      |
| 20210504 | PROF   | 0                   | 261          | 696          | C      |
| 20210504 | PROG   | 0                   | 896          | 1824         | C      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20210504 | PROS    | 0                   | 11           | 24           | C      |
| 20210504 | PRPB    | 0                   | 4617         | 6171         | C      |
| 20210504 | PRPB WS | 0                   | 1663         | 1663         | C      |
| 20210504 | PRPC WS | 610                 | 1600         | 1600         | C      |
| 20210504 | PRPH    | 0                   | 9            | 28           | C      |
| 20210504 | PRPL    | 0                   | 3830         | 4361         | C      |
| 20210504 | PRPO    | 6390                | 880857       | 1030154      | C      |
| 20210504 | PRQR    | 0                   | 3209         | 3550         | C      |
| 20210504 | PRSP    | 0                   | 45602        | 55106        | C      |
| 20210504 | PRTA    | 0                   | 1731         | 5235         | C      |
| 20210504 | PRTK    | 0                   | 788          | 855          | C      |
| 20210504 | PRTS    | 0                   | 696          | 2375         | C      |
| 20210504 | PRTY    | 0                   | 30559        | 47325        | C      |
| 20210504 | PRU     | 160                 | 6360         | 10391        | C      |
| 20210504 | PRVA    | 0                   | 6761         | 10731        | C      |
| 20210504 | PRVB    | 0                   | 2435         | 5023         | C      |
| 20210504 | PSA     | 0                   | 3642         | 4867         | C      |
| 20210504 | PSAC    | 0                   | 671          | 677          | C      |
| 20210504 | PSACW   | 0                   | 0            | 866          | C      |
| 20210504 | PSB     | 0                   | 748          | 1059         | C      |
| 20210504 | PSC     | 0                   | 600          | 600          | C      |
| 20210504 | PSCD    | 0                   | 30           | 30           | C      |
| 20210504 | PSEC    | 0                   | 44792        | 66266        | C      |
| 20210504 | PSET    | 0                   | 341          | 341          | C      |
| 20210504 | PSFE    | 280                 | 2852         | 4829         | C      |
| 20210504 | PSFE WS | 0                   | 100          | 100          | C      |
| 20210504 | PSHG    | 0                   | 111          | 111          | C      |
| 20210504 | PSI     | 0                   | 98           | 422          | C      |
| 20210504 | PSLV    | 7080                | 126230       | 135193       | C      |
| 20210504 | PSMB    | 0                   | 81           | 81           | C      |
| 20210504 | PSMT    | 0                   | 422          | 822          | C      |
| 20210504 | PSN     | 0                   | 1456         | 2719         | C      |
| 20210504 | PSNL    | 0                   | 2168         | 2518         | C      |
| 20210504 | PSO     | 0                   | 1192         | 1647         | C      |
| 20210504 | PSQ     | 0                   | 125168       | 191574       | C      |
| 20210504 | PSTG    | 308                 | 48141        | 60233        | C      |
| 20210504 | PSTH    | 0                   | 2520         | 4651         | C      |
| 20210504 | PSTI    | 0                   | 136          | 208          | C      |
| 20210504 | PSTL    | 0                   | 267          | 531          | C      |
| 20210504 | PSTV    | 137                 | 42819        | 60180        | C      |
| 20210504 | PSTX    | 0                   | 353          | 489          | C      |
| 20210504 | PSX     | 0                   | 10711        | 13124        | C      |
| 20210504 | PSXP    | 1                   | 4698         | 11602        | C      |
| 20210504 | PT      | 0                   | 300          | 300          | C      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20210504 | PTA     | 0                   | 300          | 363          | C      |
| 20210504 | PTBD    | 0                   | 282          | 987          | C      |
| 20210504 | PTC     | 0                   | 5014         | 6883         | C      |
| 20210504 | PTCT    | 0                   | 3020         | 3777         | C      |
| 20210504 | PTE     | 200                 | 1550         | 1561         | C      |
| 20210504 | PTEN    | 0                   | 49128        | 64243        | C      |
| 20210504 | PTGX    | 0                   | 682          | 803          | C      |
| 20210504 | PTIX    | 0                   | 657          | 957          | C      |
| 20210504 | PTIXW   | 0                   | 400          | 400          | C      |
| 20210504 | PTLC    | 0                   | 1000         | 1110         | C      |
| 20210504 | PTMN    | 0                   | 600          | 700          | C      |
| 20210504 | PTN     | 0                   | 600          | 1899         | C      |
| 20210504 | PTOCU   | 0                   | 0            | 1200         | C      |
| 20210504 | PTON    | 100                 | 26061        | 35852        | C      |
| 20210504 | PTR     | 0                   | 479          | 1355         | C      |
| 20210504 | PTRS    | 0                   | 10           | 10           | C      |
| 20210504 | PTVE    | 0                   | 279          | 1007         | C      |
| 20210504 | PTY     | 0                   | 500          | 589          | C      |
| 20210504 | PUBM    | 39                  | 621          | 1225         | C      |
| 20210504 | PUCKU   | 0                   | 300          | 300          | C      |
| 20210504 | PUK     | 0                   | 100          | 120          | C      |
| 20210504 | PUK PRA | 0                   | 0            | 419          | C      |
| 20210504 | PULM    | 0                   | 3936         | 3936         | C      |
| 20210504 | PULS    | 0                   | 0            | 185          | C      |
| 20210504 | PUMP    | 0                   | 2348         | 3564         | C      |
| 20210504 | PUYI    | 0                   | 400          | 400          | C      |
| 20210504 | PVAC    | 0                   | 1767         | 6473         | C      |
| 20210504 | PVBC    | 0                   | 201          | 203          | C      |
| 20210504 | PVG     | 34                  | 22656        | 25475        | C      |
| 20210504 | PVH     | 0                   | 2480         | 2968         | C      |
| 20210504 | PVI     | 0                   | 0            | 78           | C      |
| 20210504 | PWFL    | 0                   | 855          | 865          | C      |
| 20210504 | PWOD    | 0                   | 103          | 103          | C      |
| 20210504 | PWR     | 0                   | 1693         | 2799         | C      |
| 20210504 | PXD     | 70                  | 7631         | 12794        | C      |
| 20210504 | PXF     | 0                   | 200          | 200          | C      |
| 20210504 | PXH     | 0                   | 501          | 601          | C      |
| 20210504 | PXJ     | 0                   | 0            | 100          | C      |
| 20210504 | PXLW    | 0                   | 1601         | 1889         | C      |
| 20210504 | PXS     | 0                   | 500          | 500          | C      |
| 20210504 | PYPL    | 6                   | 61197        | 106516       | C      |
| 20210504 | PYR     | 0                   | 1021         | 1633         | C      |
| 20210504 | PZA     | 0                   | 0            | 5058         | C      |
| 20210504 | PZN     | 0                   | 11           | 11           | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | PZZA   | 0                   | 181          | 850          | C      |
| 20210504 | QADA   | 0                   | 336          | 368          | C      |
| 20210504 | QAI    | 0                   | 2082         | 2082         | C      |
| 20210504 | QCLN   | 0                   | 953          | 1003         | C      |
| 20210504 | QCOM   | 1                   | 14153        | 24740        | C      |
| 20210504 | QCRH   | 0                   | 6            | 42           | C      |
| 20210504 | QD     | 300                 | 18482        | 39731        | C      |
| 20210504 | QDEL   | 0                   | 2384         | 2960         | C      |
| 20210504 | QELL   | 0                   | 2164         | 2887         | C      |
| 20210504 | QELLW  | 0                   | 0            | 836          | C      |
| 20210504 | QFIN   | 100                 | 6190         | 13073        | C      |
| 20210504 | QFTA U | 0                   | 0            | 1            | C      |
| 20210504 | QGEN   | 45                  | 6810         | 11537        | C      |
| 20210504 | QH     | 200                 | 200          | 200          | C      |
| 20210504 | QID    | 0                   | 93306        | 183989       | C      |
| 20210504 | QIWI   | 0                   | 506          | 1297         | C      |
| 20210504 | QK     | 0                   | 200          | 200          | C      |
| 20210504 | QLC    | 0                   | 1060         | 1060         | C      |
| 20210504 | QLD    | 0                   | 2316         | 4065         | C      |
| 20210504 | QLGN   | 0                   | 5            | 275          | C      |
| 20210504 | QLI    | 0                   | 50           | 50           | C      |
| 20210504 | QLTA   | 0                   | 5390         | 12200        | C      |
| 20210504 | QLYS   | 0                   | 2370         | 4181         | C      |
| 20210504 | QMCO   | 0                   | 549          | 581          | C      |
| 20210504 | QNST   | 0                   | 911          | 1447         | C      |
| 20210504 | QQQ    | 500                 | 69282        | 91992        | C      |
| 20210504 | QQQE   | 0                   | 60           | 60           | C      |
| 20210504 | QQQJ   | 0                   | 712          | 821          | C      |
| 20210504 | QQQM   | 0                   | 1031         | 1036         | C      |
| 20210504 | QRTEA  | 0                   | 36488        | 53068        | C      |
| 20210504 | QRTEP  | 0                   | 0            | 345          | C      |
| 20210504 | QRVO   | 0                   | 5948         | 8737         | C      |
| 20210504 | QS     | 0                   | 36309        | 41092        | C      |
| 20210504 | QSR    | 32                  | 2733         | 3310         | C      |
| 20210504 | QTAP   | 0                   | 5            | 5            | C      |
| 20210504 | QTEC   | 0                   | 91           | 91           | C      |
| 20210504 | QTNT   | 0                   | 1632         | 2275         | C      |
| 20210504 | QTRX   | 0                   | 5182         | 5391         | C      |
| 20210504 | QTS    | 0                   | 3558         | 4451         | C      |
| 20210504 | QTT    | 100                 | 17230        | 26998        | C      |
| 20210504 | QTUM   | 0                   | 4            | 4            | C      |
| 20210504 | QTWO   | 4                   | 3427         | 4764         | C      |
| 20210504 | QUAD   | 0                   | 1601         | 2111         | C      |
| 20210504 | QUAL   | 0                   | 379          | 452          | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | QUOT   | 0                   | 1065         | 2147         | C      |
| 20210504 | QURE   | 0                   | 4905         | 7621         | C      |
| 20210504 | QVCD   | 0                   | 0            | 400          | C      |
| 20210504 | QYLD   | 0                   | 14255        | 20159        | C      |
| 20210504 | R      | 0                   | 473          | 979          | C      |
| 20210504 | RA     | 0                   | 212          | 266          | C      |
| 20210504 | RAAC   | 0                   | 75           | 580          | C      |
| 20210504 | RAAS   | 0                   | 1854         | 2854         | C      |
| 20210504 | RAAX   | 0                   | 0            | 20           | C      |
| 20210504 | RACA   | 0                   | 502          | 503          | C      |
| 20210504 | RACE   | 0                   | 2774         | 4304         | C      |
| 20210504 | RAD    | 0                   | 4569         | 6054         | C      |
| 20210504 | RADA   | 0                   | 1354         | 1814         | C      |
| 20210504 | RADI   | 0                   | 114          | 1175         | C      |
| 20210504 | RAIL   | 0                   | 1241         | 3528         | C      |
| 20210504 | RAIN   | 0                   | 100          | 150          | C      |
| 20210504 | RAMP   | 0                   | 1146         | 1212         | C      |
| 20210504 | RAPT   | 0                   | 78           | 253          | C      |
| 20210504 | RARE   | 0                   | 2742         | 3100         | C      |
| 20210504 | RAVE   | 0                   | 101          | 141          | C      |
| 20210504 | RAVI   | 0                   | 0            | 200          | C      |
| 20210504 | RAVN   | 0                   | 807          | 1009         | C      |
| 20210504 | RBA    | 303                 | 1468         | 4037         | C      |
| 20210504 | RBAC   | 0                   | 70           | 70           | C      |
| 20210504 | RBB    | 0                   | 337          | 503          | C      |
| 20210504 | RBBN   | 0                   | 1915         | 2130         | C      |
| 20210504 | RBC    | 0                   | 2963         | 3463         | C      |
| 20210504 | RBCAA  | 0                   | 40           | 171          | C      |
| 20210504 | RBLX   | 100                 | 9543         | 18450        | C      |
| 20210504 | RBNC   | 0                   | 100          | 127          | C      |
| 20210504 | RC     | 0                   | 1855         | 2531         | C      |
| 20210504 | RCAT   | 0                   | 0            | 4243         | C      |
| 20210504 | RCD    | 0                   | 0            | 20           | C      |
| 20210504 | RCEL   | 0                   | 1            | 1            | C      |
| 20210504 | RCI    | 300                 | 2831         | 4321         | C      |
| 20210504 | RCII   | 0                   | 2641         | 3792         | C      |
| 20210504 | RCKT   | 22                  | 1988         | 2555         | C      |
| 20210504 | RCKY   | 0                   | 3            | 43           | C      |
| 20210504 | RCL    | 0                   | 5694         | 11916        | C      |
| 20210504 | RCM    | 7338                | 26269        | 40014        | C      |
| 20210504 | RCMT   | 0                   | 68           | 68           | C      |
| 20210504 | RCON   | 0                   | 104          | 804          | C      |
| 20210504 | RCUS   | 0                   | 3655         | 7108         | C      |
| 20210504 | RDFN   | 11                  | 4716         | 5394         | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | RDHL   | 0                   | 300          | 500          | C      |
| 20210504 | RDN    | 0                   | 3342         | 6429         | C      |
| 20210504 | RDNT   | 0                   | 1184         | 1833         | C      |
| 20210504 | RDS A  | 20                  | 103663       | 122632       | C      |
| 20210504 | RDS B  | 0                   | 47585        | 67096        | C      |
| 20210504 | RDUS   | 0                   | 2125         | 2416         | C      |
| 20210504 | RDVT   | 0                   | 5            | 5            | C      |
| 20210504 | RDVY   | 0                   | 595          | 595          | C      |
| 20210504 | RDWR   | 0                   | 454          | 1334         | C      |
| 20210504 | RDY    | 0                   | 36           | 264          | C      |
| 20210504 | RE     | 0                   | 650          | 997          | C      |
| 20210504 | REAL   | 0                   | 4241         | 10539        | C      |
| 20210504 | REED   | 1670                | 107933       | 147399       | C      |
| 20210504 | REET   | 0                   | 1084         | 1084         | C      |
| 20210504 | REFR   | 0                   | 432          | 432          | C      |
| 20210504 | REG    | 112                 | 1583         | 1974         | C      |
| 20210504 | REGI   | 0                   | 8850         | 14946        | C      |
| 20210504 | REGN   | 8                   | 1845         | 2833         | C      |
| 20210504 | REI    | 600                 | 23733        | 25223        | C      |
| 20210504 | REKR   | 0                   | 3307         | 4801         | C      |
| 20210504 | RELI   | 0                   | 300          | 300          | C      |
| 20210504 | RELL   | 0                   | 61           | 261          | C      |
| 20210504 | RELX   | 0                   | 1800         | 2644         | C      |
| 20210504 | REM    | 0                   | 3353         | 3379         | C      |
| 20210504 | REML   | 0                   | 0            | 881          | C      |
| 20210504 | RENN   | 0                   | 176          | 176          | C      |
| 20210504 | REPH   | 0                   | 831          | 1171         | C      |
| 20210504 | REPL   | 0                   | 489          | 1419         | C      |
| 20210504 | REPX   | 0                   | 0            | 133          | C      |
| 20210504 | RES    | 0                   | 18338        | 23762        | C      |
| 20210504 | RESN   | 0                   | 3027         | 3994         | C      |
| 20210504 | RETA   | 0                   | 3881         | 5255         | C      |
| 20210504 | RETL   | 0                   | 5            | 10           | C      |
| 20210504 | RETO   | 0                   | 129          | 131          | C      |
| 20210504 | REV    | 0                   | 130          | 434          | C      |
| 20210504 | REVG   | 0                   | 445          | 718          | C      |
| 20210504 | REVHU  | 0                   | 100          | 100          | C      |
| 20210504 | REX    | 0                   | 68           | 178          | C      |
| 20210504 | REXR   | 15                  | 1962         | 3295         | C      |
| 20210504 | REYN   | 0                   | 4646         | 5807         | C      |
| 20210504 | REZ    | 0                   | 0            | 1            | C      |
| 20210504 | REZI   | 0                   | 3440         | 5254         | C      |
| 20210504 | RF     | 50                  | 214611       | 309000       | C      |
| 20210504 | RFL    | 0                   | 202          | 522          | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | RFMZ   | 0                   | 300          | 300          | C      |
| 20210504 | RFP    | 100                 | 3704         | 5549         | C      |
| 20210504 | RGA    | 0                   | 1167         | 2168         | C      |
| 20210504 | RGCO   | 0                   | 130          | 182          | C      |
| 20210504 | RGEN   | 0                   | 6447         | 9709         | C      |
| 20210504 | RGLD   | 0                   | 1354         | 2033         | C      |
| 20210504 | RGLS   | 1994                | 8578         | 17122        | C      |
| 20210504 | RGNX   | 34                  | 1544         | 1946         | C      |
| 20210504 | RGP    | 0                   | 475          | 800          | C      |
| 20210504 | RGR    | 0                   | 2574         | 2801         | C      |
| 20210504 | RGS    | 0                   | 1376         | 1571         | C      |
| 20210504 | RH     | 0                   | 2454         | 3185         | C      |
| 20210504 | RHE    | 1554                | 11934        | 12359        | C      |
| 20210504 | RHI    | 0                   | 695          | 1620         | C      |
| 20210504 | RHP    | 0                   | 11541        | 12344        | C      |
| 20210504 | RIBT   | 180                 | 8211         | 9195         | C      |
| 20210504 | RICK   | 0                   | 746          | 778          | C      |
| 20210504 | RIDE   | 0                   | 37490        | 39040        | C      |
| 20210504 | RIG    | 0                   | 707560       | 823810       | C      |
| 20210504 | RIGL   | 0                   | 74870        | 79220        | C      |
| 20210504 | RILY   | 0                   | 2786         | 3912         | C      |
| 20210504 | RIO    | 0                   | 3112         | 4436         | C      |
| 20210504 | RIOT   | 519                 | 30761        | 40537        | C      |
| 20210504 | RJA    | 0                   | 0            | 303          | C      |
| 20210504 | RJF    | 0                   | 1817         | 3161         | C      |
| 20210504 | RJI    | 0                   | 1699         | 2900         | C      |
| 20210504 | RJN    | 0                   | 500          | 800          | C      |
| 20210504 | RKDA   | 0                   | 3074         | 3074         | C      |
| 20210504 | RKT    | 0                   | 17609        | 22760        | C      |
| 20210504 | RL     | 0                   | 2321         | 5322         | C      |
| 20210504 | RLAY   | 0                   | 606          | 617          | C      |
| 20210504 | RLGT   | 0                   | 6            | 26           | C      |
| 20210504 | RLGY   | 0                   | 3342         | 5447         | C      |
| 20210504 | RLI    | 0                   | 340          | 760          | C      |
| 20210504 | RLJ    | 0                   | 17287        | 31799        | C      |
| 20210504 | RLMD   | 0                   | 201          | 320          | C      |
| 20210504 | RLX    | 0                   | 6378         | 10259        | C      |
| 20210504 | RLY    | 0                   | 1000         | 1000         | C      |
| 20210504 | RM     | 0                   | 434          | 598          | C      |
| 20210504 | RMAX   | 0                   | 167          | 171          | C      |
| 20210504 | RMBL   | 0                   | 100          | 100          | C      |
| 20210504 | RMBS   | 0                   | 12916        | 22293        | C      |
| 20210504 | RMCF   | 0                   | 0            | 35           | C      |
| 20210504 | RMD    | 0                   | 5784         | 6523         | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | RMED   | 0                   | 200          | 600          | C      |
| 20210504 | RMM    | 0                   | 0            | 100          | C      |
| 20210504 | RMNI   | 0                   | 129          | 343          | C      |
| 20210504 | RMO    | 0                   | 8880         | 10326        | C      |
| 20210504 | RMR    | 0                   | 123          | 157          | C      |
| 20210504 | RMT    | 0                   | 0            | 400          | C      |
| 20210504 | RMTI   | 0                   | 8124         | 10251        | C      |
| 20210504 | RNA    | 0                   | 462          | 692          | C      |
| 20210504 | RNG    | 0                   | 11157        | 15198        | C      |
| 20210504 | RNLX   | 0                   | 1021         | 1699         | C      |
| 20210504 | RNR    | 0                   | 2994         | 3721         | C      |
| 20210504 | RNST   | 0                   | 547          | 946          | C      |
| 20210504 | RNWK   | 97                  | 3143         | 11315        | C      |
| 20210504 | ROAD   | 0                   | 1247         | 1505         | C      |
| 20210504 | ROBO   | 0                   | 275          | 545          | C      |
| 20210504 | ROCCW  | 200                 | 600          | 600          | C      |
| 20210504 | ROCK   | 0                   | 442          | 814          | C      |
| 20210504 | RODM   | 0                   | 700          | 1212         | C      |
| 20210504 | ROG    | 0                   | 180          | 353          | C      |
| 20210504 | ROIC   | 0                   | 6375         | 19527        | C      |
| 20210504 | ROK    | 0                   | 4322         | 8786         | C      |
| 20210504 | ROKU   | 10                  | 8147         | 22096        | C      |
| 20210504 | ROL    | 100                 | 7653         | 10546        | C      |
| 20210504 | ROLL   | 0                   | 280          | 283          | C      |
| 20210504 | ROM    | 0                   | 300          | 301          | C      |
| 20210504 | ROOT   | 0                   | 1289         | 1786         | C      |
| 20210504 | ROP    | 0                   | 3101         | 3496         | C      |
| 20210504 | ROST   | 0                   | 2361         | 4789         | C      |
| 20210504 | ROT    | 0                   | 100          | 100          | C      |
| 20210504 | RPAI   | 0                   | 18185        | 22367        | C      |
| 20210504 | RPAY   | 0                   | 3347         | 4144         | C      |
| 20210504 | RPD    | 0                   | 1775         | 3101         | C      |
| 20210504 | RPHM   | 0                   | 514          | 526          | C      |
| 20210504 | RPM    | 0                   | 4725         | 5664         | C      |
| 20210504 | RPRX   | 0                   | 11116        | 11451        | C      |
| 20210504 | RPT    | 0                   | 2352         | 3548         | C      |
| 20210504 | RPTX   | 0                   | 463          | 473          | C      |
| 20210504 | RPV    | 0                   | 104          | 519          | C      |
| 20210504 | RQI    | 0                   | 300          | 1473         | C      |
| 20210504 | RRBI   | 0                   | 45           | 71           | C      |
| 20210504 | RRC    | 117                 | 70776        | 86622        | C      |
| 20210504 | RRD    | 0                   | 3800         | 6288         | C      |
| 20210504 | RRGB   | 0                   | 274          | 538          | C      |
| 20210504 | RRR    | 100                 | 4842         | 10126        | C      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | RS     | 0                   | 3281         | 4257         | C      |
| 20210504 | RSG    | 41                  | 4581         | 7152         | C      |
| 20210504 | RSI    | 0                   | 2701         | 3677         | C      |
| 20210504 | RSP    | 0                   | 11267        | 15363        | C      |
| 20210504 | RSVA   | 0                   | 600          | 600          | C      |
| 20210504 | RSX    | 0                   | 202449       | 235725       | C      |
| 20210504 | RTLRL  | 0                   | 60           | 97           | C      |
| 20210504 | RTP    | 0                   | 5382         | 10665        | C      |
| 20210504 | RTX    | 120                 | 18972        | 32212        | C      |
| 20210504 | RUBY   | 0                   | 3275         | 4588         | C      |
| 20210504 | RUN    | 135                 | 13530        | 37939        | C      |
| 20210504 | RUSHA  | 0                   | 660          | 941          | C      |
| 20210504 | RUSHB  | 0                   | 0            | 225          | C      |
| 20210504 | RUSL   | 0                   | 50           | 100          | C      |
| 20210504 | RUTH   | 0                   | 709          | 1193         | C      |
| 20210504 | RVI    | 0                   | 200          | 328          | C      |
| 20210504 | RVLV   | 0                   | 4863         | 5517         | C      |
| 20210504 | RVMD   | 0                   | 5317         | 5920         | C      |
| 20210504 | RVNC   | 0                   | 1181         | 3512         | C      |
| 20210504 | RVNU   | 0                   | 100          | 100          | C      |
| 20210504 | RVP    | 0                   | 384          | 405          | C      |
| 20210504 | RVPH   | 0                   | 699          | 699          | C      |
| 20210504 | RVPHW  | 0                   | 0            | 500          | C      |
| 20210504 | RVSB   | 0                   | 947          | 1350         | C      |
| 20210504 | RVT    | 0                   | 400          | 1178         | C      |
| 20210504 | RWLK   | 0                   | 381          | 908          | C      |
| 20210504 | RWM    | 0                   | 42621        | 60549        | C      |
| 20210504 | RWO    | 0                   | 456          | 462          | C      |
| 20210504 | RWR    | 0                   | 1951         | 2494         | C      |
| 20210504 | RWT    | 0                   | 10351        | 13790        | C      |
| 20210504 | RWX    | 0                   | 50           | 176          | C      |
| 20210504 | RXDX   | 0                   | 271          | 555          | C      |
| 20210504 | RXI    | 0                   | 0            | 2            | C      |
| 20210504 | RXN    | 0                   | 5044         | 6215         | C      |
| 20210504 | RXRAW  | 0                   | 200          | 2700         | C      |
| 20210504 | RXRX   | 0                   | 672          | 798          | C      |
| 20210504 | RXT    | 0                   | 7061         | 8036         | C      |
| 20210504 | RY     | 200                 | 6625         | 8579         | C      |
| 20210504 | RYAAY  | 0                   | 561          | 719          | C      |
| 20210504 | RYAM   | 0                   | 3012         | 3713         | C      |
| 20210504 | RYI    | 0                   | 167          | 172          | C      |
| 20210504 | RYLD   | 0                   | 300          | 300          | C      |
| 20210504 | RYN    | 0                   | 3041         | 4431         | C      |
| 20210504 | RYTM   | 0                   | 523          | 916          | C      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20210504 | SA      | 100                 | 974          | 1558         | C      |
| 20210504 | SABR    | 3361                | 147845       | 243771       | C      |
| 20210504 | SACH    | 0                   | 80           | 82           | C      |
| 20210504 | SAFE    | 0                   | 707          | 712          | C      |
| 20210504 | SAFM    | 0                   | 1374         | 1861         | C      |
| 20210504 | SAFT    | 0                   | 220          | 347          | C      |
| 20210504 | SAGE    | 0                   | 4017         | 4946         | C      |
| 20210504 | SAH     | 0                   | 4677         | 5135         | C      |
| 20210504 | SAIA    | 0                   | 591          | 1088         | C      |
| 20210504 | SAIC    | 0                   | 2190         | 3497         | C      |
| 20210504 | SAIL    | 28                  | 6073         | 8818         | C      |
| 20210504 | SAL     | 0                   | 2            | 2            | C      |
| 20210504 | SALM    | 0                   | 402          | 664          | C      |
| 20210504 | SAM     | 0                   | 1089         | 2586         | C      |
| 20210504 | SAN     | 2300                | 134211       | 151637       | C      |
| 20210504 | SANA    | 0                   | 985          | 1086         | C      |
| 20210504 | SAND    | 276                 | 33140        | 47063        | C      |
| 20210504 | SANM    | 0                   | 1669         | 4141         | C      |
| 20210504 | SAP     | 0                   | 315          | 532          | C      |
| 20210504 | SASR    | 0                   | 449          | 601          | C      |
| 20210504 | SATS    | 0                   | 2712         | 7805         | C      |
| 20210504 | SAVA    | 0                   | 3631         | 4522         | C      |
| 20210504 | SAVE    | 100                 | 7328         | 11296        | C      |
| 20210504 | SB      | 0                   | 4727         | 11506        | C      |
| 20210504 | SBAC    | 0                   | 3039         | 4352         | C      |
| 20210504 | SBBP    | 0                   | 2480         | 3020         | C      |
| 20210504 | SBCF    | 0                   | 793          | 1094         | C      |
| 20210504 | SBGI    | 0                   | 888          | 1418         | C      |
| 20210504 | SBH     | 0                   | 3361         | 4614         | C      |
| 20210504 | SBLK    | 0                   | 1343         | 2864         | C      |
| 20210504 | SBNY    | 0                   | 2207         | 4875         | C      |
| 20210504 | SBRA    | 0                   | 7528         | 14290        | C      |
| 20210504 | SBS     | 1724                | 21978        | 29989        | C      |
| 20210504 | SBSI    | 0                   | 62           | 62           | C      |
| 20210504 | SBSW    | 0                   | 7060         | 13653        | C      |
| 20210504 | SBT     | 0                   | 466          | 499          | C      |
| 20210504 | SBTX    | 0                   | 112          | 339          | C      |
| 20210504 | SBUX    | 15                  | 21922        | 30482        | C      |
| 20210504 | SC      | 0                   | 2004         | 4282         | C      |
| 20210504 | SCCO    | 0                   | 1855         | 5198         | C      |
| 20210504 | SCE PRL | 0                   | 250          | 250          | C      |
| 20210504 | SCHA    | 0                   | 206          | 206          | C      |
| 20210504 | SCHB    | 0                   | 1827         | 1877         | C      |
| 20210504 | SCHC    | 0                   | 900          | 900          | C      |

| Date     | Symbol   | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|----------|---------------------|--------------|--------------|--------|
| 20210504 | SCHD     | 0                   | 7521         | 8298         | C      |
| 20210504 | SCHE     | 0                   | 60101        | 63490        | C      |
| 20210504 | SCHF     | 0                   | 108323       | 158881       | C      |
| 20210504 | SCHG     | 0                   | 26           | 219          | C      |
| 20210504 | SCHH     | 0                   | 250          | 250          | C      |
| 20210504 | SCHJ     | 0                   | 49           | 49           | C      |
| 20210504 | SCHL     | 0                   | 657          | 868          | C      |
| 20210504 | SCHM     | 0                   | 0            | 1            | C      |
| 20210504 | SCHN     | 0                   | 2904         | 4581         | C      |
| 20210504 | SCHO     | 0                   | 0            | 65           | C      |
| 20210504 | SCHP     | 0                   | 85194        | 114434       | C      |
| 20210504 | SCHQ     | 0                   | 2600         | 12103        | C      |
| 20210504 | SCHR     | 0                   | 2337         | 3496         | C      |
| 20210504 | SCHV     | 0                   | 74           | 94           | C      |
| 20210504 | SCHW     | 0                   | 33254        | 55339        | C      |
| 20210504 | SCHW PRD | 0                   | 0            | 100          | C      |
| 20210504 | SCHX     | 0                   | 6614         | 6614         | C      |
| 20210504 | SCHZ     | 0                   | 1400         | 6000         | C      |
| 20210504 | SCI      | 0                   | 18918        | 32044        | C      |
| 20210504 | SCKT     | 0                   | 74           | 74           | C      |
| 20210504 | SCL      | 0                   | 162          | 678          | C      |
| 20210504 | SCO      | 0                   | 47462        | 85435        | C      |
| 20210504 | SCOA     | 0                   | 95           | 95           | C      |
| 20210504 | SCOR     | 0                   | 1106         | 2230         | C      |
| 20210504 | SCPE     | 0                   | 40           | 40           | C      |
| 20210504 | SCPH     | 0                   | 100          | 100          | C      |
| 20210504 | SCPL     | 0                   | 891          | 1073         | C      |
| 20210504 | SCPS     | 0                   | 100          | 144          | C      |
| 20210504 | SCR      | 400                 | 4309         | 4733         | C      |
| 20210504 | SCS      | 0                   | 2605         | 4363         | C      |
| 20210504 | SCSC     | 0                   | 2            | 92           | C      |
| 20210504 | SCU      | 0                   | 210          | 402          | C      |
| 20210504 | SCVL     | 0                   | 126          | 326          | C      |
| 20210504 | SCYX     | 0                   | 120          | 130          | C      |
| 20210504 | SCZ      | 0                   | 600          | 1500         | C      |
| 20210504 | SD       | 0                   | 636          | 1036         | C      |
| 20210504 | SDC      | 1438                | 99254        | 117559       | C      |
| 20210504 | SDG      | 0                   | 230          | 1131         | C      |
| 20210504 | SDGR     | 0                   | 2705         | 4673         | C      |
| 20210504 | SDH      | 0                   | 0            | 200          | C      |
| 20210504 | SDIV     | 300                 | 2576         | 2582         | C      |
| 20210504 | SDOW     | 0                   | 87620        | 116891       | C      |
| 20210504 | SDS      | 0                   | 176637       | 314362       | C      |
| 20210504 | SDY      | 0                   | 448          | 1251         | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | SE     | 0                   | 4176         | 14182        | C      |
| 20210504 | SEAC   | 0                   | 5455         | 8351         | C      |
| 20210504 | SEAH   | 0                   | 2205         | 3611         | C      |
| 20210504 | SEAS   | 100                 | 6392         | 8124         | C      |
| 20210504 | SEDG   | 3514                | 26066        | 35029        | C      |
| 20210504 | SEE    | 0                   | 9137         | 13524        | C      |
| 20210504 | SEED   | 0                   | 299          | 351          | C      |
| 20210504 | SEEL   | 0                   | 2792         | 5913         | C      |
| 20210504 | SEER   | 0                   | 6487         | 6960         | C      |
| 20210504 | SEIC   | 0                   | 824          | 2245         | C      |
| 20210504 | SELB   | 1466                | 21569        | 32111        | C      |
| 20210504 | SEM    | 0                   | 2262         | 4111         | C      |
| 20210504 | SEMR   | 0                   | 675          | 2241         | C      |
| 20210504 | SENEA  | 0                   | 125          | 228          | C      |
| 20210504 | SENS   | 0                   | 40831        | 64381        | C      |
| 20210504 | SESN   | 19                  | 54881        | 63908        | C      |
| 20210504 | SF     | 0                   | 1484         | 2137         | C      |
| 20210504 | SFBS   | 0                   | 593          | 604          | C      |
| 20210504 | SFE    | 0                   | 94           | 294          | C      |
| 20210504 | SFET   | 0                   | 0            | 1            | C      |
| 20210504 | SFHY   | 0                   | 0            | 2            | C      |
| 20210504 | SFIG   | 0                   | 0            | 203          | C      |
| 20210504 | SFIX   | 0                   | 2983         | 4017         | C      |
| 20210504 | SFL    | 0                   | 4878         | 11980        | C      |
| 20210504 | SFM    | 0                   | 7111         | 11599        | C      |
| 20210504 | SFNC   | 0                   | 1253         | 1732         | C      |
| 20210504 | SFST   | 0                   | 3            | 9            | C      |
| 20210504 | SFT    | 0                   | 3585         | 4182         | C      |
| 20210504 | SFTW   | 0                   | 3117         | 4666         | C      |
| 20210504 | SFY    | 0                   | 24           | 24           | C      |
| 20210504 | SGA    | 0                   | 31           | 329          | C      |
| 20210504 | SGBX   | 0                   | 0            | 209          | C      |
| 20210504 | SGC    | 0                   | 12           | 12           | C      |
| 20210504 | SGDJ   | 0                   | 200          | 200          | C      |
| 20210504 | SGEN   | 247                 | 3270         | 6872         | C      |
| 20210504 | SGFY   | 0                   | 1175         | 1177         | C      |
| 20210504 | SGH    | 0                   | 1884         | 2562         | C      |
| 20210504 | SGMO   | 0                   | 2434         | 4585         | C      |
| 20210504 | SGMS   | 0                   | 6832         | 7440         | C      |
| 20210504 | SGOL   | 0                   | 27079        | 34092        | C      |
| 20210504 | SGRY   | 0                   | 1095         | 1539         | C      |
| 20210504 | SGTX   | 0                   | 10           | 351          | C      |
| 20210504 | SGU    | 0                   | 301          | 301          | C      |
| 20210504 | SH     | 0                   | 221014       | 335299       | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | SHAK   | 0                   | 3124         | 5735         | C      |
| 20210504 | SHBI   | 0                   | 100          | 100          | C      |
| 20210504 | SHC    | 0                   | 4955         | 6047         | C      |
| 20210504 | SHEN   | 0                   | 297          | 448          | C      |
| 20210504 | SHG    | 0                   | 253          | 253          | C      |
| 20210504 | SHI    | 0                   | 0            | 100          | C      |
| 20210504 | SHIP   | 400                 | 59389        | 68392        | C      |
| 20210504 | SHIPW  | 100                 | 100          | 100          | C      |
| 20210504 | SHLS   | 0                   | 3008         | 3155         | C      |
| 20210504 | SHLX   | 100                 | 3540         | 5518         | C      |
| 20210504 | SHM    | 249                 | 249          | 2603         | C      |
| 20210504 | SHO    | 0                   | 29014        | 49181        | C      |
| 20210504 | SHOO   | 0                   | 2416         | 3648         | C      |
| 20210504 | SHOP   | 220                 | 10970        | 13734        | C      |
| 20210504 | SHSP   | 0                   | 522          | 522          | C      |
| 20210504 | SHV    | 775                 | 4664         | 8626         | C      |
| 20210504 | SHW    | 0                   | 8596         | 15468        | C      |
| 20210504 | SHY    | 0                   | 75420        | 89757        | C      |
| 20210504 | SHYF   | 0                   | 675          | 3800         | C      |
| 20210504 | SHYG   | 0                   | 600          | 1705         | C      |
| 20210504 | SI     | 0                   | 4670         | 10874        | C      |
| 20210504 | SIBN   | 0                   | 3417         | 4757         | C      |
| 20210504 | SID    | 0                   | 100903       | 158878       | C      |
| 20210504 | SIEB   | 0                   | 1608         | 1808         | C      |
| 20210504 | SIEN   | 0                   | 2000         | 3142         | C      |
| 20210504 | SIFY   | 0                   | 342          | 342          | C      |
| 20210504 | SIG    | 0                   | 2069         | 2368         | C      |
| 20210504 | SIGA   | 0                   | 699          | 699          | C      |
| 20210504 | SIGI   | 0                   | 1142         | 1551         | C      |
| 20210504 | SII    | 0                   | 407          | 407          | C      |
| 20210504 | SIL    | 0                   | 1155         | 1380         | C      |
| 20210504 | SILC   | 100                 | 300          | 300          | C      |
| 20210504 | SILJ   | 0                   | 700          | 1100         | C      |
| 20210504 | SILK   | 0                   | 1252         | 1555         | C      |
| 20210504 | SILV   | 0                   | 738          | 1278         | C      |
| 20210504 | SIMO   | 0                   | 2044         | 2986         | C      |
| 20210504 | SINO   | 100                 | 200          | 264          | C      |
| 20210504 | SINT   | 100                 | 1834         | 2854         | C      |
| 20210504 | SIOX   | 0                   | 300          | 453          | C      |
| 20210504 | SIRI   | 0                   | 677553       | 1043283      | C      |
| 20210504 | SITC   | 0                   | 34886        | 52643        | C      |
| 20210504 | SITE   | 0                   | 1255         | 1297         | C      |
| 20210504 | SITM   | 0                   | 1458         | 1674         | C      |
| 20210504 | SIVB   | 2                   | 3992         | 4933         | C      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20210504 | SIVR    | 0                   | 8804         | 9106         | C      |
| 20210504 | SIX     | 0                   | 19274        | 24553        | C      |
| 20210504 | SJI     | 0                   | 5160         | 7696         | C      |
| 20210504 | SJM     | 56                  | 2014         | 2978         | C      |
| 20210504 | SJNK    | 0                   | 155127       | 263614       | C      |
| 20210504 | SJR     | 800                 | 3133         | 3844         | C      |
| 20210504 | SJT     | 0                   | 100          | 250          | C      |
| 20210504 | SJW     | 0                   | 102          | 377          | C      |
| 20210504 | SKLZ    | 51                  | 33052        | 44813        | C      |
| 20210504 | SKLZ WS | 0                   | 200          | 2000         | C      |
| 20210504 | SKM     | 0                   | 433          | 787          | C      |
| 20210504 | SKOR    | 0                   | 64           | 164          | C      |
| 20210504 | SKT     | 0                   | 8216         | 11351        | C      |
| 20210504 | SKX     | 0                   | 1359         | 3297         | C      |
| 20210504 | SKY     | 0                   | 801          | 1024         | C      |
| 20210504 | SKYT    | 0                   | 2154         | 3922         | C      |
| 20210504 | SKYU    | 0                   | 25           | 25           | C      |
| 20210504 | SKYW    | 0                   | 815          | 2244         | C      |
| 20210504 | SKYY    | 0                   | 680          | 1014         | C      |
| 20210504 | SLAB    | 0                   | 2930         | 3446         | C      |
| 20210504 | SLAC U  | 0                   | 0            | 600          | C      |
| 20210504 | SLAMU   | 0                   | 4500         | 4500         | C      |
| 20210504 | SLAMW   | 0                   | 300          | 300          | C      |
| 20210504 | SLB     | 201                 | 154417       | 211668       | C      |
| 20210504 | SLCA    | 223                 | 7359         | 8603         | C      |
| 20210504 | SLCT    | 0                   | 67           | 246          | C      |
| 20210504 | SLDB    | 224                 | 2187         | 2397         | C      |
| 20210504 | SLF     | 0                   | 2900         | 3473         | C      |
| 20210504 | SLG     | 0                   | 4781         | 6461         | C      |
| 20210504 | SLGG    | 0                   | 1124         | 1786         | C      |
| 20210504 | SLGL    | 0                   | 0            | 95           | C      |
| 20210504 | SLGN    | 0                   | 1734         | 4291         | C      |
| 20210504 | SLM     | 0                   | 40193        | 63778        | C      |
| 20210504 | SLNO    | 0                   | 3523         | 3832         | C      |
| 20210504 | SLP     | 0                   | 326          | 480          | C      |
| 20210504 | SLQD    | 0                   | 1507         | 4801         | C      |
| 20210504 | SLQT    | 0                   | 2086         | 2955         | C      |
| 20210504 | SLRC    | 0                   | 99           | 251          | C      |
| 20210504 | SLRX    | 0                   | 118          | 479          | C      |
| 20210504 | SLS     | 0                   | 99           | 199          | C      |
| 20210504 | SLV     | 0                   | 1198220      | 1419178      | C      |
| 20210504 | SLVP    | 0                   | 100          | 100          | C      |
| 20210504 | SLX     | 0                   | 8603         | 9797         | C      |
| 20210504 | SLYV    | 0                   | 104          | 104          | C      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20210504 | SM      | 0                   | 12907        | 21796        | C      |
| 20210504 | SMAR    | 0                   | 5659         | 8091         | C      |
| 20210504 | SMBC    | 0                   | 0            | 3            | C      |
| 20210504 | SMBK    | 0                   | 68           | 171          | C      |
| 20210504 | SMCI    | 0                   | 1115         | 1217         | C      |
| 20210504 | SMED    | 500                 | 4180         | 4483         | C      |
| 20210504 | SMFG    | 0                   | 27056        | 42150        | C      |
| 20210504 | SMG     | 0                   | 1988         | 3614         | C      |
| 20210504 | SMH     | 0                   | 13347        | 18862        | C      |
| 20210504 | SMHI    | 0                   | 0            | 33           | C      |
| 20210504 | SMIN    | 0                   | 1            | 102          | C      |
| 20210504 | SMM     | 0                   | 0            | 200          | C      |
| 20210504 | SMMF    | 0                   | 0            | 58           | C      |
| 20210504 | SMMT    | 0                   | 100          | 217          | C      |
| 20210504 | SMMU    | 0                   | 0            | 106          | C      |
| 20210504 | SMMV    | 0                   | 0            | 100          | C      |
| 20210504 | SMP     | 0                   | 138          | 261          | C      |
| 20210504 | SMPL    | 0                   | 1295         | 4507         | C      |
| 20210504 | SMSI    | 0                   | 799          | 941          | C      |
| 20210504 | SMTC    | 0                   | 847          | 1162         | C      |
| 20210504 | SMTS    | 300                 | 1253         | 3154         | C      |
| 20210504 | SNA     | 0                   | 3112         | 4176         | C      |
| 20210504 | SNAP    | 9777                | 131233       | 202993       | C      |
| 20210504 | SNBR    | 0                   | 1119         | 1905         | C      |
| 20210504 | SNCR    | 0                   | 1071         | 1080         | C      |
| 20210504 | SNCY    | 0                   | 30           | 49           | C      |
| 20210504 | SND     | 0                   | 312          | 444          | C      |
| 20210504 | SNDL    | 9130                | 252632       | 357486       | C      |
| 20210504 | SNDR    | 0                   | 1532         | 2758         | C      |
| 20210504 | SNDX    | 5                   | 2546         | 6215         | C      |
| 20210504 | SNEX    | 0                   | 36           | 143          | C      |
| 20210504 | SNFCA   | 0                   | 1            | 1            | C      |
| 20210504 | SNGX    | 0                   | 1178         | 1393         | C      |
| 20210504 | SNMP    | 0                   | 100          | 100          | C      |
| 20210504 | SNN     | 0                   | 668          | 2211         | C      |
| 20210504 | SNOW    | 0                   | 9458         | 15414        | C      |
| 20210504 | SNP     | 0                   | 501          | 501          | C      |
| 20210504 | SNPR    | 100                 | 4438         | 12534        | C      |
| 20210504 | SNPR WS | 0                   | 0            | 946          | C      |
| 20210504 | SNPS    | 0                   | 3463         | 4803         | C      |
| 20210504 | SNR     | 0                   | 626          | 2447         | C      |
| 20210504 | SNSE    | 0                   | 0            | 300          | C      |
| 20210504 | SNV     | 0                   | 2109         | 7375         | C      |
| 20210504 | SNV PRE | 0                   | 69           | 2425         | C      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20210504 | SNX     | 0                   | 1006         | 1269         | C      |
| 20210504 | SNY     | 0                   | 3360         | 4749         | C      |
| 20210504 | SO      | 0                   | 15272        | 31469        | C      |
| 20210504 | SOAC    | 0                   | 775          | 805          | C      |
| 20210504 | SOAC WS | 0                   | 0            | 1000         | C      |
| 20210504 | SOCL    | 0                   | 401          | 407          | C      |
| 20210504 | SOGO    | 0                   | 1731         | 2794         | C      |
| 20210504 | SOHO    | 0                   | 100          | 101          | C      |
| 20210504 | SOHU    | 0                   | 2005         | 2428         | C      |
| 20210504 | SOI     | 0                   | 1032         | 1035         | C      |
| 20210504 | SOL     | 0                   | 4718         | 5750         | C      |
| 20210504 | SOLN    | 0                   | 18           | 18           | C      |
| 20210504 | SOLO    | 0                   | 21202        | 43474        | C      |
| 20210504 | SOLY    | 0                   | 1827         | 2066         | C      |
| 20210504 | SON     | 0                   | 1794         | 2758         | C      |
| 20210504 | SONM    | 0                   | 300          | 300          | C      |
| 20210504 | SONN    | 506                 | 6279         | 6900         | C      |
| 20210504 | SONO    | 0                   | 352          | 5331         | C      |
| 20210504 | SONY    | 100                 | 1197         | 4426         | C      |
| 20210504 | SOS     | 400                 | 91716        | 117645       | C      |
| 20210504 | SOXL    | 1164                | 103677       | 175779       | C      |
| 20210504 | SOXS    | 0                   | 73972        | 192775       | C      |
| 20210504 | SOXX    | 0                   | 2006         | 2157         | C      |
| 20210504 | SOYB    | 0                   | 100          | 118          | C      |
| 20210504 | SP      | 0                   | 174          | 199          | C      |
| 20210504 | SPAB    | 0                   | 70703        | 79751        | C      |
| 20210504 | SPAQ    | 0                   | 0            | 100          | C      |
| 20210504 | SPB     | 0                   | 1026         | 1550         | C      |
| 20210504 | SPBO    | 0                   | 100          | 100          | C      |
| 20210504 | SPCB    | 0                   | 237          | 2168         | C      |
| 20210504 | SPCE    | 0                   | 66776        | 85643        | C      |
| 20210504 | SPDN    | 0                   | 1513         | 1618         | C      |
| 20210504 | SPDW    | 0                   | 31329        | 38803        | C      |
| 20210504 | SPEM    | 0                   | 7020         | 12747        | C      |
| 20210504 | SPFF    | 0                   | 300          | 300          | C      |
| 20210504 | SPFI    | 0                   | 4            | 4            | C      |
| 20210504 | SPFR    | 0                   | 1101         | 1745         | C      |
| 20210504 | SPG     | 0                   | 2568         | 5552         | C      |
| 20210504 | SPGI    | 0                   | 4639         | 5571         | C      |
| 20210504 | SPGP    | 0                   | 300          | 300          | C      |
| 20210504 | SPH     | 0                   | 0            | 315          | C      |
| 20210504 | SPHD    | 0                   | 2644         | 3403         | C      |
| 20210504 | SPHQ    | 0                   | 954          | 959          | C      |
| 20210504 | SPHY    | 0                   | 1078         | 1078         | C      |



| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20210504 | SPI     | 0                   | 505          | 1460         | C      |
| 20210504 | SPIB    | 0                   | 19572        | 78852        | C      |
| 20210504 | SPIP    | 0                   | 16288        | 34432        | C      |
| 20210504 | SPKE    | 0                   | 0            | 5            | C      |
| 20210504 | SPLB    | 0                   | 8292         | 63351        | C      |
| 20210504 | SPLG    | 0                   | 3568         | 4740         | C      |
| 20210504 | SPLK    | 0                   | 3222         | 4623         | C      |
| 20210504 | SPLV    | 0                   | 12578        | 15073        | C      |
| 20210504 | SPMB    | 0                   | 200          | 200          | C      |
| 20210504 | SPMD    | 0                   | 1400         | 2052         | C      |
| 20210504 | SPNE    | 0                   | 804          | 1331         | C      |
| 20210504 | SPNS    | 0                   | 70           | 133          | C      |
| 20210504 | SPNT    | 0                   | 2198         | 2683         | C      |
| 20210504 | SPNV WS | 0                   | 5350         | 5650         | C      |
| 20210504 | SPOK    | 0                   | 820          | 1033         | C      |
| 20210504 | SPOT    | 0                   | 10187        | 12082        | C      |
| 20210504 | SPPI    | 0                   | 33326        | 45719        | C      |
| 20210504 | SPPP    | 900                 | 1600         | 1600         | C      |
| 20210504 | SPR     | 0                   | 5064         | 7768         | C      |
| 20210504 | SPRB    | 0                   | 20           | 66           | C      |
| 20210504 | SPRO    | 0                   | 117          | 145          | C      |
| 20210504 | SPRQ    | 0                   | 0            | 219          | C      |
| 20210504 | SPRT    | 0                   | 78           | 178          | C      |
| 20210504 | SPSB    | 0                   | 11921        | 17455        | C      |
| 20210504 | SPSC    | 0                   | 1068         | 1208         | C      |
| 20210504 | SPSM    | 0                   | 510          | 510          | C      |
| 20210504 | SPT     | 0                   | 1893         | 2680         | C      |
| 20210504 | SPTI    | 0                   | 2100         | 4407         | C      |
| 20210504 | SPTKW   | 0                   | 0            | 200          | C      |
| 20210504 | SPTL    | 0                   | 162999       | 216870       | C      |
| 20210504 | SPTM    | 0                   | 200          | 207          | C      |
| 20210504 | SPTN    | 0                   | 928          | 1337         | C      |
| 20210504 | SPTS    | 0                   | 0            | 7            | C      |
| 20210504 | SPWH    | 0                   | 4628         | 7449         | C      |
| 20210504 | SPWR    | 0                   | 17964        | 26398        | C      |
| 20210504 | SPXC    | 0                   | 405          | 643          | C      |
| 20210504 | SPXL    | 0                   | 21899        | 25723        | C      |
| 20210504 | SPXS    | 0                   | 27679        | 44235        | C      |
| 20210504 | SPXU    | 0                   | 46932        | 76938        | C      |
| 20210504 | SPY     | 0                   | 194507       | 260624       | C      |
| 20210504 | SPYD    | 0                   | 2980         | 4327         | C      |
| 20210504 | SPYG    | 0                   | 617          | 1079         | C      |
| 20210504 | SPYV    | 0                   | 11427        | 15948        | C      |
| 20210504 | SQ      | 93                  | 15952        | 24043        | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | SQBG   | 2                   | 181          | 903          | C      |
| 20210504 | SQM    | 0                   | 1824         | 3872         | C      |
| 20210504 | SQNS   | 0                   | 1213         | 1427         | C      |
| 20210504 | SQQQ   | 0                   | 1974376      | 5665404      | C      |
| 20210504 | SQZ    | 0                   | 25           | 129          | C      |
| 20210504 | SR     | 0                   | 871          | 1580         | C      |
| 20210504 | SRAC   | 0                   | 4349         | 9862         | C      |
| 20210504 | SRACW  | 0                   | 0            | 400          | C      |
| 20210504 | SRAX   | 0                   | 196          | 396          | C      |
| 20210504 | SRC    | 84                  | 6290         | 8569         | C      |
| 20210504 | SRCE   | 0                   | 19           | 28           | C      |
| 20210504 | SRCL   | 0                   | 987          | 1405         | C      |
| 20210504 | SRDX   | 0                   | 93           | 100          | C      |
| 20210504 | SRE    | 0                   | 5877         | 6931         | C      |
| 20210504 | SREA   | 0                   | 0            | 45           | C      |
| 20210504 | SRET   | 0                   | 1837         | 1907         | C      |
| 20210504 | SREV   | 0                   | 2093         | 2295         | C      |
| 20210504 | SRG    | 0                   | 53           | 314          | C      |
| 20210504 | SRGA   | 0                   | 8932         | 9566         | C      |
| 20210504 | SRI    | 0                   | 1289         | 2355         | C      |
| 20210504 | SRLN   | 0                   | 5691         | 6868         | C      |
| 20210504 | SRNE   | 0                   | 63168        | 73888        | C      |
| 20210504 | SRNG   | 0                   | 1227         | 1227         | C      |
| 20210504 | SRNGU  | 0                   | 200          | 200          | C      |
| 20210504 | SRPT   | 26                  | 3153         | 4656         | C      |
| 20210504 | SRRK   | 0                   | 866          | 866          | C      |
| 20210504 | SRT    | 0                   | 193          | 193          | C      |
| 20210504 | SRTY   | 0                   | 94155        | 201484       | C      |
| 20210504 | SSB    | 100                 | 2158         | 2597         | C      |
| 20210504 | SSD    | 0                   | 531          | 654          | C      |
| 20210504 | SSKN   | 0                   | 0            | 198          | C      |
| 20210504 | SSL    | 0                   | 888          | 1923         | C      |
| 20210504 | SSNC   | 11                  | 4106         | 7554         | C      |
| 20210504 | SSO    | 0                   | 9450         | 10056        | C      |
| 20210504 | SSP    | 0                   | 2136         | 2762         | C      |
| 20210504 | SSPK   | 0                   | 297          | 597          | C      |
| 20210504 | SSRM   | 963                 | 14445        | 23538        | C      |
| 20210504 | SSSS   | 0                   | 97           | 297          | C      |
| 20210504 | SSTI   | 0                   | 368          | 369          | C      |
| 20210504 | SSTK   | 0                   | 1111         | 1273         | C      |
| 20210504 | SSY    | 300                 | 400          | 1619         | C      |
| 20210504 | SSYS   | 0                   | 5246         | 11206        | C      |
| 20210504 | ST     | 0                   | 3872         | 5262         | C      |
| 20210504 | STAA   | 84                  | 7310         | 9136         | C      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20210504 | STAF    | 90                  | 8498         | 15203        | C      |
| 20210504 | STAG    | 0                   | 9759         | 30393        | C      |
| 20210504 | STAR    | 100                 | 927          | 2528         | C      |
| 20210504 | STAY    | 0                   | 10990        | 15576        | C      |
| 20210504 | STBA    | 0                   | 41           | 113          | C      |
| 20210504 | STC     | 0                   | 439          | 659          | C      |
| 20210504 | STCN    | 0                   | 100          | 425          | C      |
| 20210504 | STE     | 0                   | 2091         | 6241         | C      |
| 20210504 | STEM    | 87                  | 14434        | 18638        | C      |
| 20210504 | STEM WS | 500                 | 500          | 7406         | C      |
| 20210504 | STEP    | 0                   | 116          | 1395         | C      |
| 20210504 | STFC    | 0                   | 143          | 143          | C      |
| 20210504 | STG     | 0                   | 0            | 300          | C      |
| 20210504 | STIC    | 0                   | 160          | 227          | C      |
| 20210504 | STIM    | 0                   | 10143        | 13124        | C      |
| 20210504 | STIP    | 0                   | 3931         | 5396         | C      |
| 20210504 | STKL    | 0                   | 2897         | 8064         | C      |
| 20210504 | STKS    | 800                 | 2034         | 4990         | C      |
| 20210504 | STL     | 0                   | 43010        | 57425        | C      |
| 20210504 | STLA    | 397                 | 27228        | 35261        | C      |
| 20210504 | STLD    | 0                   | 7269         | 12741        | C      |
| 20210504 | STM     | 176                 | 10157        | 13408        | C      |
| 20210504 | STMP    | 0                   | 751          | 1344         | C      |
| 20210504 | STN     | 500                 | 1846         | 2559         | C      |
| 20210504 | STNE    | 0                   | 2214         | 6647         | C      |
| 20210504 | STNG    | 0                   | 1517         | 6262         | C      |
| 20210504 | STOK    | 0                   | 803          | 1170         | C      |
| 20210504 | STON    | 0                   | 590          | 918          | C      |
| 20210504 | STOR    | 100                 | 3395         | 10724        | C      |
| 20210504 | STOT    | 0                   | 100          | 200          | C      |
| 20210504 | STPC    | 0                   | 175          | 385          | C      |
| 20210504 | STPZ    | 0                   | 500          | 822          | C      |
| 20210504 | STRA    | 0                   | 1348         | 2686         | C      |
| 20210504 | STRL    | 0                   | 1443         | 2051         | C      |
| 20210504 | STRO    | 0                   | 754          | 879          | C      |
| 20210504 | STRS    | 0                   | 31           | 134          | C      |
| 20210504 | STRT    | 0                   | 1            | 1            | C      |
| 20210504 | STSA    | 0                   | 316          | 523          | C      |
| 20210504 | STT     | 200                 | 7486         | 18531        | C      |
| 20210504 | STT PRD | 0                   | 0            | 20           | C      |
| 20210504 | STTK    | 0                   | 426          | 534          | C      |
| 20210504 | STWD    | 0                   | 14856        | 28141        | C      |
| 20210504 | STX     | 0                   | 9101         | 21455        | C      |
| 20210504 | STXB    | 0                   | 59           | 59           | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | STXS   | 0                   | 400          | 906          | C      |
| 20210504 | STZ    | 0                   | 2463         | 3299         | C      |
| 20210504 | SU     | 3107                | 127085       | 170568       | C      |
| 20210504 | SUB    | 0                   | 2            | 3            | C      |
| 20210504 | SUBZ   | 0                   | 0            | 200          | C      |
| 20210504 | SUI    | 0                   | 515          | 1248         | C      |
| 20210504 | SUM    | 0                   | 1907         | 4236         | C      |
| 20210504 | SUMO   | 0                   | 6289         | 13220        | C      |
| 20210504 | SUMR   | 0                   | 100          | 300          | C      |
| 20210504 | SUN    | 0                   | 3869         | 7257         | C      |
| 20210504 | SUNW   | 13                  | 11214        | 12748        | C      |
| 20210504 | SUP    | 0                   | 163          | 163          | C      |
| 20210504 | SUPN   | 0                   | 1526         | 2246         | C      |
| 20210504 | SUPV   | 0                   | 711          | 1161         | C      |
| 20210504 | SURF   | 0                   | 1118         | 1349         | C      |
| 20210504 | SUSB   | 0                   | 1631         | 1814         | C      |
| 20210504 | SUSC   | 0                   | 707          | 707          | C      |
| 20210504 | SUZ    | 0                   | 137          | 151          | C      |
| 20210504 | SV     | 0                   | 105          | 251          | C      |
| 20210504 | SVAC   | 0                   | 52           | 82           | C      |
| 20210504 | SVC    | 0                   | 2603         | 3909         | C      |
| 20210504 | SVFA   | 0                   | 1861         | 3996         | C      |
| 20210504 | SVFAU  | 0                   | 100          | 183          | C      |
| 20210504 | SVFB   | 0                   | 0            | 200          | C      |
| 20210504 | SVM    | 884                 | 14927        | 19231        | C      |
| 20210504 | SVMK   | 12                  | 2254         | 3883         | C      |
| 20210504 | SVRA   | 0                   | 9001         | 10317        | C      |
| 20210504 | SVXY   | 0                   | 40388        | 121843       | C      |
| 20210504 | SWAN   | 0                   | 0            | 100          | C      |
| 20210504 | SWAV   | 0                   | 1649         | 2899         | C      |
| 20210504 | SWBI   | 0                   | 1882         | 3462         | C      |
| 20210504 | SWBK   | 0                   | 0            | 200          | C      |
| 20210504 | SWCH   | 0                   | 15345        | 18597        | C      |
| 20210504 | SWETU  | 0                   | 0            | 3100         | C      |
| 20210504 | SWI    | 96                  | 3468         | 3824         | C      |
| 20210504 | SWIM   | 0                   | 18702        | 31417        | C      |
| 20210504 | SWIR   | 0                   | 7553         | 8424         | C      |
| 20210504 | SWK    | 68                  | 10251        | 14521        | C      |
| 20210504 | SWKH   | 0                   | 10           | 10           | C      |
| 20210504 | SWKS   | 25                  | 12594        | 17813        | C      |
| 20210504 | SWM    | 0                   | 256          | 280          | C      |
| 20210504 | SWN    | 0                   | 248324       | 330262       | C      |
| 20210504 | SWT    | 0                   | 100          | 100          | C      |
| 20210504 | SWTX   | 0                   | 182          | 362          | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | SWX    | 0                   | 998          | 1874         | C      |
| 20210504 | SXC    | 0                   | 4153         | 5260         | C      |
| 20210504 | SXI    | 0                   | 595          | 798          | C      |
| 20210504 | SXT    | 0                   | 534          | 2884         | C      |
| 20210504 | SXTC   | 0                   | 500          | 500          | C      |
| 20210504 | SY     | 80                  | 3732         | 4091         | C      |
| 20210504 | SYBT   | 0                   | 1            | 1            | C      |
| 20210504 | SYBX   | 0                   | 701          | 701          | C      |
| 20210504 | SYF    | 481                 | 79082        | 130124       | C      |
| 20210504 | SYK    | 0                   | 2623         | 6628         | C      |
| 20210504 | SYKE   | 0                   | 112          | 348          | C      |
| 20210504 | SYN    | 182                 | 2759         | 4326         | C      |
| 20210504 | SYNA   | 0                   | 2215         | 2507         | C      |
| 20210504 | SYNH   | 0                   | 8862         | 28382        | C      |
| 20210504 | SYPR   | 300                 | 686          | 886          | C      |
| 20210504 | SYRS   | 24                  | 1570         | 2620         | C      |
| 20210504 | SYTA   | 0                   | 100          | 300          | C      |
| 20210504 | SYTAW  | 0                   | 0            | 200          | C      |
| 20210504 | SYX    | 0                   | 113          | 251          | C      |
| 20210504 | SYZ    | 0                   | 12195        | 19972        | C      |
| 20210504 | T      | 477                 | 1149847      | 1477841      | C      |
| 20210504 | TA     | 0                   | 552          | 1162         | C      |
| 20210504 | TAC    | 0                   | 2378         | 3533         | C      |
| 20210504 | TACO   | 0                   | 467          | 787          | C      |
| 20210504 | TAK    | 0                   | 27614        | 33026        | C      |
| 20210504 | TAL    | 0                   | 18867        | 22776        | C      |
| 20210504 | TALO   | 0                   | 1436         | 2102         | C      |
| 20210504 | TAN    | 0                   | 2994         | 4766         | C      |
| 20210504 | TANH   | 100                 | 2779         | 5479         | C      |
| 20210504 | TAP    | 0                   | 2918         | 5256         | C      |
| 20210504 | TARA   | 0                   | 382          | 400          | C      |
| 20210504 | TARO   | 0                   | 6            | 257          | C      |
| 20210504 | TARS   | 0                   | 123          | 126          | C      |
| 20210504 | TAST   | 0                   | 863          | 1029         | C      |
| 20210504 | TATT   | 0                   | 100          | 100          | C      |
| 20210504 | TAXF   | 0                   | 230          | 430          | C      |
| 20210504 | TBA    | 0                   | 1339         | 3984         | C      |
| 20210504 | TBB    | 0                   | 100          | 460          | C      |
| 20210504 | TBBK   | 0                   | 13418        | 34562        | C      |
| 20210504 | TBF    | 0                   | 12325        | 13775        | C      |
| 20210504 | TBI    | 0                   | 460          | 1019         | C      |
| 20210504 | TBIO   | 1                   | 5153         | 7179         | C      |
| 20210504 | TBK    | 0                   | 205          | 346          | C      |
| 20210504 | TBLT   | 0                   | 13849        | 23457        | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | TBNK   | 0                   | 5            | 34           | C      |
| 20210504 | TBPH   | 0                   | 4138         | 5413         | C      |
| 20210504 | TBT    | 0                   | 14712        | 16401        | C      |
| 20210504 | TCBI   | 0                   | 1286         | 1876         | C      |
| 20210504 | TCBK   | 0                   | 1            | 219          | C      |
| 20210504 | TCDA   | 100                 | 5917         | 7558         | C      |
| 20210504 | TCF    | 100                 | 3521         | 4614         | C      |
| 20210504 | TCFC   | 0                   | 140          | 140          | C      |
| 20210504 | TCHP   | 0                   | 5274         | 5274         | C      |
| 20210504 | TCMD   | 17                  | 1019         | 1425         | C      |
| 20210504 | TCOM   | 0                   | 12666        | 41681        | C      |
| 20210504 | TCON   | 0                   | 245          | 261          | C      |
| 20210504 | TCPC   | 0                   | 2317         | 2328         | C      |
| 20210504 | TCRR   | 0                   | 440          | 695          | C      |
| 20210504 | TCS    | 0                   | 3952         | 6437         | C      |
| 20210504 | TCX    | 10                  | 135          | 142          | C      |
| 20210504 | TD     | 2317                | 13271        | 23184        | C      |
| 20210504 | TDAC   | 0                   | 915          | 1315         | C      |
| 20210504 | TDACW  | 0                   | 400          | 1400         | C      |
| 20210504 | TDC    | 40                  | 14239        | 20758        | C      |
| 20210504 | TDG    | 0                   | 1266         | 2063         | C      |
| 20210504 | TDOC   | 10                  | 7011         | 10854        | C      |
| 20210504 | TDS    | 0                   | 15517        | 22679        | C      |
| 20210504 | TDSC   | 0                   | 600          | 800          | C      |
| 20210504 | TDSE   | 0                   | 2000         | 2000         | C      |
| 20210504 | TDTT   | 0                   | 0            | 143          | C      |
| 20210504 | TDUP   | 0                   | 1770         | 2856         | C      |
| 20210504 | TDW    | 0                   | 401          | 541          | C      |
| 20210504 | TDY    | 0                   | 1165         | 1978         | C      |
| 20210504 | TEAM   | 309                 | 13843        | 22421        | C      |
| 20210504 | TECH   | 0                   | 1278         | 2853         | C      |
| 20210504 | TECK   | 1430                | 41141        | 54431        | C      |
| 20210504 | TECL   | 0                   | 6821         | 7846         | C      |
| 20210504 | TECS   | 0                   | 36407        | 79779        | C      |
| 20210504 | TEDU   | 0                   | 100          | 100          | C      |
| 20210504 | TEF    | 0                   | 14055        | 16875        | C      |
| 20210504 | TEI    | 0                   | 0            | 199          | C      |
| 20210504 | TEKKW  | 0                   | 100          | 100          | C      |
| 20210504 | TEL    | 0                   | 7352         | 11521        | C      |
| 20210504 | TELA   | 0                   | 5            | 5            | C      |
| 20210504 | TELL   | 0                   | 74744        | 89483        | C      |
| 20210504 | TEN    | 0                   | 1114         | 2267         | C      |
| 20210504 | TENB   | 0                   | 1832         | 5287         | C      |
| 20210504 | TENX   | 0                   | 820          | 1401         | C      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20210504 | TEO     | 0                   | 8155         | 8688         | C      |
| 20210504 | TEQI    | 0                   | 955          | 955          | C      |
| 20210504 | TER     | 0                   | 4474         | 6979         | C      |
| 20210504 | TERN    | 0                   | 124          | 231          | C      |
| 20210504 | TEVA    | 300                 | 210462       | 323678       | C      |
| 20210504 | TEX     | 0                   | 2705         | 5169         | C      |
| 20210504 | TFC     | 0                   | 11595        | 18886        | C      |
| 20210504 | TFFP    | 305                 | 535          | 2742         | C      |
| 20210504 | TFI     | 0                   | 2692         | 9373         | C      |
| 20210504 | TFII    | 2031                | 5572         | 8625         | C      |
| 20210504 | TFSL    | 0                   | 829          | 929          | C      |
| 20210504 | TFX     | 0                   | 1400         | 1589         | C      |
| 20210504 | TG      | 0                   | 586          | 696          | C      |
| 20210504 | TGA     | 0                   | 917          | 917          | C      |
| 20210504 | TGB     | 10134               | 46810        | 53705        | C      |
| 20210504 | TGH     | 0                   | 1171         | 2238         | C      |
| 20210504 | TGH PRA | 0                   | 0            | 100          | C      |
| 20210504 | TGI     | 0                   | 4200         | 4668         | C      |
| 20210504 | TGNA    | 0                   | 5194         | 9410         | C      |
| 20210504 | TGP PRB | 0                   | 100          | 514          | C      |
| 20210504 | TGS     | 0                   | 0            | 100          | C      |
| 20210504 | TGT     | 0                   | 5364         | 9708         | C      |
| 20210504 | TGTX    | 0                   | 3899         | 4839         | C      |
| 20210504 | TH      | 0                   | 176          | 688          | C      |
| 20210504 | THBR    | 0                   | 1356         | 1456         | C      |
| 20210504 | THC     | 0                   | 16473        | 19675        | C      |
| 20210504 | THCA    | 0                   | 675          | 675          | C      |
| 20210504 | THCB    | 0                   | 56           | 56           | C      |
| 20210504 | THCBW   | 0                   | 0            | 200          | C      |
| 20210504 | THD     | 0                   | 77           | 277          | C      |
| 20210504 | THFF    | 0                   | 175          | 231          | C      |
| 20210504 | THG     | 0                   | 56           | 120          | C      |
| 20210504 | THM     | 200                 | 200          | 400          | C      |
| 20210504 | THO     | 0                   | 476          | 1096         | C      |
| 20210504 | THQ     | 0                   | 180          | 180          | C      |
| 20210504 | THR     | 0                   | 8            | 54           | C      |
| 20210504 | THRM    | 0                   | 89           | 299          | C      |
| 20210504 | THRY    | 0                   | 1            | 47           | C      |
| 20210504 | THS     | 0                   | 991          | 1397         | C      |
| 20210504 | THTX    | 0                   | 400          | 400          | C      |
| 20210504 | TIG     | 0                   | 64           | 164          | C      |
| 20210504 | TIGO    | 0                   | 110          | 121          | C      |
| 20210504 | TIGR    | 323                 | 13189        | 19338        | C      |
| 20210504 | TIL     | 0                   | 332          | 733          | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | TILE   | 0                   | 2029         | 2871         | C      |
| 20210504 | TIMB   | 0                   | 1110         | 2942         | C      |
| 20210504 | TINV   | 0                   | 0            | 543          | C      |
| 20210504 | TIP    | 0                   | 62644        | 76857        | C      |
| 20210504 | TIPT   | 0                   | 367          | 862          | C      |
| 20210504 | TIPX   | 0                   | 301          | 301          | C      |
| 20210504 | TIRX   | 0                   | 1089         | 1089         | C      |
| 20210504 | TISI   | 0                   | 288          | 588          | C      |
| 20210504 | TITN   | 0                   | 2            | 2            | C      |
| 20210504 | TIXT   | 217                 | 1252         | 2355         | C      |
| 20210504 | TJX    | 0                   | 16237        | 33349        | C      |
| 20210504 | TK     | 0                   | 5124         | 6931         | C      |
| 20210504 | TKAT   | 130                 | 1522         | 2294         | C      |
| 20210504 | TKC    | 0                   | 7524         | 8424         | C      |
| 20210504 | TKR    | 0                   | 2647         | 3496         | C      |
| 20210504 | TLGT   | 15                  | 9052         | 17662        | C      |
| 20210504 | TLH    | 0                   | 598          | 2295         | C      |
| 20210504 | TLIS   | 0                   | 0            | 49           | C      |
| 20210504 | TLK    | 0                   | 156          | 7224         | C      |
| 20210504 | TLMD   | 0                   | 4522         | 8041         | C      |
| 20210504 | TLND   | 0                   | 454          | 1828         | C      |
| 20210504 | TLRY   | 0                   | 54126        | 69477        | C      |
| 20210504 | TLS    | 0                   | 2266         | 2686         | C      |
| 20210504 | TLSA   | 0                   | 100          | 200          | C      |
| 20210504 | TLT    | 0                   | 218257       | 256706       | C      |
| 20210504 | TLYS   | 0                   | 160          | 685          | C      |
| 20210504 | TM     | 0                   | 380          | 821          | C      |
| 20210504 | TMBR   | 0                   | 1805         | 3242         | C      |
| 20210504 | TMCI   | 0                   | 293          | 493          | C      |
| 20210504 | TMDI   | 600                 | 5499         | 6527         | C      |
| 20210504 | TMDX   | 0                   | 986          | 1049         | C      |
| 20210504 | TME    | 3                   | 273260       | 363523       | C      |
| 20210504 | TMF    | 0                   | 1200         | 1902         | C      |
| 20210504 | TMFC   | 0                   | 24           | 24           | C      |
| 20210504 | TMHC   | 0                   | 9718         | 11472        | C      |
| 20210504 | TMO    | 0                   | 7162         | 11423        | C      |
| 20210504 | TMP    | 0                   | 383          | 419          | C      |
| 20210504 | TMQ    | 0                   | 800          | 1000         | C      |
| 20210504 | TMST   | 0                   | 3655         | 5352         | C      |
| 20210504 | TMUS   | 0                   | 10299        | 34058        | C      |
| 20210504 | TMV    | 0                   | 1355         | 2528         | C      |
| 20210504 | TMX    | 0                   | 2434         | 3688         | C      |
| 20210504 | TNA    | 0                   | 20118        | 20802        | C      |
| 20210504 | TNC    | 0                   | 403          | 560          | C      |



| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20210504 | TNDM    | 0                   | 541          | 2220         | C      |
| 20210504 | TNET    | 0                   | 1624         | 1645         | C      |
| 20210504 | TNK     | 0                   | 2487         | 4021         | C      |
| 20210504 | TNL     | 115                 | 3149         | 5161         | C      |
| 20210504 | TNP PRE | 0                   | 0            | 600          | C      |
| 20210504 | TNP PRF | 0                   | 0            | 561          | C      |
| 20210504 | TNXP    | 16751               | 113437       | 155988       | C      |
| 20210504 | TOKE    | 0                   | 100          | 100          | C      |
| 20210504 | TOL     | 0                   | 2684         | 3890         | C      |
| 20210504 | TOPS    | 48                  | 9823         | 14073        | C      |
| 20210504 | TOT     | 0                   | 5709         | 8446         | C      |
| 20210504 | TOTL    | 0                   | 2438         | 2538         | C      |
| 20210504 | TOUR    | 8                   | 768          | 1560         | C      |
| 20210504 | TOWN    | 0                   | 658          | 1111         | C      |
| 20210504 | TPB     | 0                   | 254          | 690          | C      |
| 20210504 | TPC     | 0                   | 313          | 682          | C      |
| 20210504 | TPCO    | 0                   | 104          | 104          | C      |
| 20210504 | TPGY    | 300                 | 3453         | 3617         | C      |
| 20210504 | TPH     | 0                   | 13410        | 17804        | C      |
| 20210504 | TPHS    | 0                   | 60           | 60           | C      |
| 20210504 | TPIC    | 0                   | 2470         | 4843         | C      |
| 20210504 | TPL     | 0                   | 736          | 1073         | C      |
| 20210504 | TPOR    | 0                   | 100          | 100          | C      |
| 20210504 | TPR     | 2                   | 11218        | 29269        | C      |
| 20210504 | TPTX    | 0                   | 1347         | 1663         | C      |
| 20210504 | TPVG    | 0                   | 8            | 8            | C      |
| 20210504 | TPX     | 0                   | 1478         | 2880         | C      |
| 20210504 | TQQQ    | 350                 | 137490       | 166217       | C      |
| 20210504 | TR      | 0                   | 2189         | 2257         | C      |
| 20210504 | TRC     | 0                   | 400          | 400          | C      |
| 20210504 | TRCH    | 938                 | 29013        | 46874        | C      |
| 20210504 | TREB    | 0                   | 365          | 2500         | C      |
| 20210504 | TREC    | 0                   | 277          | 277          | C      |
| 20210504 | TREE    | 0                   | 2531         | 3081         | C      |
| 20210504 | TREX    | 0                   | 3463         | 4005         | C      |
| 20210504 | TRGP    | 0                   | 10119        | 14310        | C      |
| 20210504 | TRHC    | 0                   | 877          | 1125         | C      |
| 20210504 | TRI     | 0                   | 5141         | 8588         | C      |
| 20210504 | TRIL    | 796                 | 11680        | 15873        | C      |
| 20210504 | TRIN    | 0                   | 200          | 422          | C      |
| 20210504 | TRIP    | 77                  | 6597         | 14087        | C      |
| 20210504 | TRIT    | 100                 | 517          | 526          | C      |
| 20210504 | TRITW   | 0                   | 500          | 816          | C      |
| 20210504 | TRKA    | 0                   | 30           | 30           | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | TRMB   | 1                   | 4439         | 7124         | C      |
| 20210504 | TRMK   | 0                   | 1008         | 1320         | C      |
| 20210504 | TRN    | 0                   | 2331         | 3904         | C      |
| 20210504 | TRNO   | 0                   | 1067         | 1368         | C      |
| 20210504 | TRNS   | 0                   | 228          | 315          | C      |
| 20210504 | TROW   | 0                   | 3348         | 6915         | C      |
| 20210504 | TROX   | 0                   | 653          | 1167         | C      |
| 20210504 | TRP    | 1858                | 22571        | 29224        | C      |
| 20210504 | TRQ    | 300                 | 4679         | 6539         | C      |
| 20210504 | TRS    | 0                   | 137          | 160          | C      |
| 20210504 | TRST   | 0                   | 530          | 613          | C      |
| 20210504 | TRT    | 0                   | 195          | 195          | C      |
| 20210504 | TRTN   | 0                   | 943          | 1639         | C      |
| 20210504 | TRTX   | 0                   | 778          | 1381         | C      |
| 20210504 | TRU    | 0                   | 6936         | 8279         | C      |
| 20210504 | TRUE   | 0                   | 3605         | 5153         | C      |
| 20210504 | TRUP   | 0                   | 1386         | 1842         | C      |
| 20210504 | TRV    | 0                   | 5769         | 9255         | C      |
| 20210504 | TRVG   | 0                   | 13860        | 20542        | C      |
| 20210504 | TRVN   | 0                   | 41417        | 61128        | C      |
| 20210504 | TRX    | 0                   | 1000         | 1000         | C      |
| 20210504 | TS     | 0                   | 17749        | 24520        | C      |
| 20210504 | TSBK   | 0                   | 0            | 2            | C      |
| 20210504 | TSC    | 0                   | 23           | 23           | C      |
| 20210504 | TSCO   | 0                   | 5882         | 7038         | C      |
| 20210504 | TSE    | 0                   | 1578         | 3271         | C      |
| 20210504 | TSEM   | 0                   | 3486         | 4874         | C      |
| 20210504 | TSLA   | 0                   | 13361        | 15216        | C      |
| 20210504 | TSLX   | 0                   | 1418         | 1678         | C      |
| 20210504 | TSM    | 0                   | 17978        | 27362        | C      |
| 20210504 | TSN    | 0                   | 5698         | 9424         | C      |
| 20210504 | TSP    | 75                  | 7078         | 9712         | C      |
| 20210504 | TSQ    | 0                   | 0            | 168          | C      |
| 20210504 | TT     | 0                   | 7112         | 11501        | C      |
| 20210504 | TTC    | 0                   | 1932         | 2558         | C      |
| 20210504 | TTCF   | 0                   | 4768         | 8152         | C      |
| 20210504 | TTD    | 0                   | 1679         | 2902         | C      |
| 20210504 | TTEC   | 0                   | 2875         | 3406         | C      |
| 20210504 | TTEK   | 0                   | 2243         | 3025         | C      |
| 20210504 | TTGT   | 58                  | 1588         | 1920         | C      |
| 20210504 | TTI    | 0                   | 25419        | 38976        | C      |
| 20210504 | TTM    | 0                   | 4648         | 7570         | C      |
| 20210504 | TTMI   | 19                  | 35930        | 51307        | C      |
| 20210504 | TTOO   | 773                 | 56431        | 69854        | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | TTT    | 0                   | 50           | 100          | C      |
| 20210504 | TTWO   | 1                   | 4223         | 8082         | C      |
| 20210504 | TU     | 5076                | 25666        | 35419        | C      |
| 20210504 | TUFN   | 0                   | 523          | 968          | C      |
| 20210504 | TUGCU  | 0                   | 100          | 100          | C      |
| 20210504 | TUP    | 0                   | 1611         | 2186         | C      |
| 20210504 | TUR    | 0                   | 589          | 1179         | C      |
| 20210504 | TUSA   | 0                   | 0            | 200          | C      |
| 20210504 | TUSK   | 0                   | 100          | 120          | C      |
| 20210504 | TUYA   | 0                   | 1309         | 1328         | C      |
| 20210504 | TV     | 0                   | 9435         | 12911        | C      |
| 20210504 | TVACU  | 0                   | 0            | 3000         | C      |
| 20210504 | TVTX   | 0                   | 340          | 676          | C      |
| 20210504 | TVTY   | 0                   | 239          | 467          | C      |
| 20210504 | TW     | 0                   | 2854         | 5113         | C      |
| 20210504 | TWCT   | 0                   | 350          | 350          | C      |
| 20210504 | TWI    | 0                   | 2265         | 5458         | C      |
| 20210504 | TWIN   | 0                   | 408          | 408          | C      |
| 20210504 | TWLO   | 0                   | 11400        | 15056        | C      |
| 20210504 | TWM    | 0                   | 36201        | 48083        | C      |
| 20210504 | TWND   | 0                   | 25           | 25           | C      |
| 20210504 | TWNK   | 0                   | 14647        | 17981        | C      |
| 20210504 | TWO    | 0                   | 118461       | 197131       | C      |
| 20210504 | TWOU   | 380                 | 7342         | 11742        | C      |
| 20210504 | TWST   | 16                  | 8537         | 10732        | C      |
| 20210504 | TWTR   | 0                   | 15456        | 25011        | C      |
| 20210504 | TX     | 7                   | 4478         | 14071        | C      |
| 20210504 | TXG    | 0                   | 5222         | 6061         | C      |
| 20210504 | TXMD   | 0                   | 157292       | 186877       | C      |
| 20210504 | TXN    | 63                  | 17223        | 31788        | C      |
| 20210504 | TXRH   | 0                   | 6718         | 10892        | C      |
| 20210504 | TXT    | 0                   | 2437         | 5673         | C      |
| 20210504 | TYHT   | 0                   | 3759         | 4530         | C      |
| 20210504 | TYL    | 0                   | 557          | 992          | C      |
| 20210504 | TYME   | 100                 | 18560        | 19841        | C      |
| 20210504 | TZA    | 0                   | 19130        | 32874        | C      |
| 20210504 | TZOO   | 0                   | 240          | 640          | C      |
| 20210504 | U      | 300                 | 6684         | 10799        | C      |
| 20210504 | UA     | 0                   | 30710        | 61120        | C      |
| 20210504 | UAA    | 0                   | 64011        | 103213       | C      |
| 20210504 | UAL    | 100                 | 34171        | 48681        | C      |
| 20210504 | UAMY   | 0                   | 2726         | 2727         | C      |
| 20210504 | UAN    | 100                 | 284          | 777          | C      |
| 20210504 | UAVS   | 0                   | 1531         | 3054         | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | UBER   | 0                   | 36979        | 69524        | C      |
| 20210504 | UBFO   | 0                   | 0            | 72           | C      |
| 20210504 | UBOH   | 0                   | 200          | 200          | C      |
| 20210504 | UBS    | 0                   | 48958        | 74562        | C      |
| 20210504 | UBSI   | 0                   | 792          | 1282         | C      |
| 20210504 | UBX    | 28                  | 373          | 507          | C      |
| 20210504 | UCBI   | 0                   | 5287         | 6353         | C      |
| 20210504 | UCO    | 0                   | 1100         | 5208         | C      |
| 20210504 | UCON   | 0                   | 0            | 1130         | C      |
| 20210504 | UCTT   | 0                   | 1232         | 3000         | C      |
| 20210504 | UDOW   | 0                   | 3592         | 4519         | C      |
| 20210504 | UDR    | 0                   | 8448         | 11714        | C      |
| 20210504 | UE     | 0                   | 5003         | 15898        | C      |
| 20210504 | UEC    | 0                   | 106188       | 126884       | C      |
| 20210504 | UEIC   | 0                   | 11           | 12           | C      |
| 20210504 | UEPS   | 0                   | 107          | 207          | C      |
| 20210504 | UFCS   | 0                   | 505          | 613          | C      |
| 20210504 | UFI    | 0                   | 806          | 1075         | C      |
| 20210504 | UFO    | 0                   | 100          | 102          | C      |
| 20210504 | UFPI   | 0                   | 1487         | 2074         | C      |
| 20210504 | UFPT   | 0                   | 3            | 7            | C      |
| 20210504 | UFS    | 100                 | 6410         | 11471        | C      |
| 20210504 | UGI    | 0                   | 1259         | 2416         | C      |
| 20210504 | UGL    | 0                   | 700          | 700          | C      |
| 20210504 | UGP    | 1200                | 36093        | 54862        | C      |
| 20210504 | UHAL   | 0                   | 448          | 686          | C      |
| 20210504 | UHS    | 0                   | 1772         | 2307         | C      |
| 20210504 | UHT    | 0                   | 157          | 166          | C      |
| 20210504 | UI     | 0                   | 372          | 645          | C      |
| 20210504 | UIHC   | 0                   | 7            | 7            | C      |
| 20210504 | UIS    | 0                   | 1518         | 1598         | C      |
| 20210504 | UK     | 0                   | 100          | 100          | C      |
| 20210504 | UL     | 0                   | 5256         | 7914         | C      |
| 20210504 | ULBI   | 0                   | 0            | 5            | C      |
| 20210504 | ULCC   | 0                   | 684          | 1279         | C      |
| 20210504 | ULH    | 0                   | 99           | 99           | C      |
| 20210504 | ULST   | 0                   | 511          | 611          | C      |
| 20210504 | ULTA   | 0                   | 4000         | 5056         | C      |
| 20210504 | ULTR   | 0                   | 100          | 100          | C      |
| 20210504 | UMAY   | 0                   | 236          | 236          | C      |
| 20210504 | UMBF   | 0                   | 1129         | 1452         | C      |
| 20210504 | UMC    | 0                   | 136468       | 239186       | C      |
| 20210504 | UMH    | 0                   | 448          | 448          | C      |
| 20210504 | UMPQ   | 100                 | 17270        | 28510        | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | UNF    | 0                   | 163          | 332          | C      |
| 20210504 | UNFI   | 0                   | 7625         | 8306         | C      |
| 20210504 | UNG    | 0                   | 75128        | 101285       | C      |
| 20210504 | UNH    | 98                  | 17443        | 28164        | C      |
| 20210504 | UNIT   | 0                   | 25035        | 37198        | C      |
| 20210504 | UNM    | 0                   | 12402        | 23524        | C      |
| 20210504 | UNP    | 0                   | 5068         | 11698        | C      |
| 20210504 | UNTY   | 0                   | 200          | 200          | C      |
| 20210504 | UNVR   | 0                   | 2613         | 5059         | C      |
| 20210504 | UONE   | 0                   | 999          | 2650         | C      |
| 20210504 | UONEK  | 0                   | 305          | 305          | C      |
| 20210504 | UPC    | 0                   | 100          | 100          | C      |
| 20210504 | UPLD   | 0                   | 404          | 740          | C      |
| 20210504 | UPRO   | 0                   | 62871        | 82356        | C      |
| 20210504 | UPS    | 0                   | 27230        | 39496        | C      |
| 20210504 | UPST   | 101                 | 4164         | 5390         | C      |
| 20210504 | UPWK   | 0                   | 4062         | 5612         | C      |
| 20210504 | URA    | 0                   | 935          | 1803         | C      |
| 20210504 | URBN   | 0                   | 22628        | 35880        | C      |
| 20210504 | URG    | 290                 | 17667        | 20477        | C      |
| 20210504 | URGN   | 0                   | 146          | 166          | C      |
| 20210504 | URI    | 83                  | 8106         | 9956         | C      |
| 20210504 | URNM   | 0                   | 400          | 400          | C      |
| 20210504 | UROY   | 200                 | 1994         | 1994         | C      |
| 20210504 | URTH   | 0                   | 18           | 418          | C      |
| 20210504 | URTY   | 0                   | 907          | 1107         | C      |
| 20210504 | USA    | 0                   | 3720         | 5051         | C      |
| 20210504 | USAC   | 0                   | 100          | 100          | C      |
| 20210504 | USAK   | 0                   | 590          | 1312         | C      |
| 20210504 | USAP   | 0                   | 104          | 134          | C      |
| 20210504 | USAS   | 400                 | 2917         | 3672         | C      |
| 20210504 | USB    | 0                   | 84585        | 105121       | C      |
| 20210504 | USCI   | 0                   | 860          | 860          | C      |
| 20210504 | USCR   | 0                   | 510          | 537          | C      |
| 20210504 | USEG   | 0                   | 624          | 645          | C      |
| 20210504 | USFD   | 0                   | 7220         | 11428        | C      |
| 20210504 | USFR   | 0                   | 100          | 5196         | C      |
| 20210504 | USHY   | 0                   | 23882        | 39144        | C      |
| 20210504 | USIG   | 0                   | 1466         | 12984        | C      |
| 20210504 | USIO   | 0                   | 100          | 600          | C      |
| 20210504 | USL    | 0                   | 3502         | 6202         | C      |
| 20210504 | USLM   | 0                   | 100          | 100          | C      |
| 20210504 | USM    | 0                   | 1604         | 1668         | C      |
| 20210504 | USMV   | 0                   | 22355        | 24715        | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | USNA   | 0                   | 571          | 860          | C      |
| 20210504 | USO    | 0                   | 104294       | 130974       | C      |
| 20210504 | USPH   | 0                   | 119          | 121          | C      |
| 20210504 | USRT   | 0                   | 2            | 2            | C      |
| 20210504 | USTB   | 0                   | 20           | 20           | C      |
| 20210504 | USWS   | 143                 | 2943         | 3349         | C      |
| 20210504 | USX    | 0                   | 693          | 893          | C      |
| 20210504 | UTHR   | 0                   | 1433         | 2845         | C      |
| 20210504 | UTI    | 0                   | 970          | 970          | C      |
| 20210504 | UTL    | 0                   | 375          | 375          | C      |
| 20210504 | UTMD   | 0                   | 101          | 131          | C      |
| 20210504 | UTME   | 0                   | 0            | 20           | C      |
| 20210504 | UTZ    | 0                   | 3130         | 3521         | C      |
| 20210504 | UUP    | 0                   | 5410         | 20011        | C      |
| 20210504 | UUU    | 0                   | 100          | 100          | C      |
| 20210504 | UUUU   | 6694                | 30176        | 37694        | C      |
| 20210504 | UVE    | 0                   | 200          | 408          | C      |
| 20210504 | UVSP   | 0                   | 1            | 2            | C      |
| 20210504 | UVV    | 0                   | 186          | 187          | C      |
| 20210504 | UVXY   | 0                   | 2658592      | 4091806      | C      |
| 20210504 | UWM    | 0                   | 135          | 135          | C      |
| 20210504 | UWMC   | 0                   | 14219        | 16961        | C      |
| 20210504 | UXIN   | 11182               | 128721       | 198903       | C      |
| 20210504 | UYM    | 0                   | 33           | 44           | C      |
| 20210504 | V      | 20                  | 11017        | 24241        | C      |
| 20210504 | VAC    | 0                   | 887          | 1860         | C      |
| 20210504 | VACC   | 0                   | 292          | 392          | C      |
| 20210504 | VACQ   | 0                   | 500          | 500          | C      |
| 20210504 | VAL    | 1791                | 1891         | 2142         | C      |
| 20210504 | VALE   | 3050                | 367842       | 593600       | C      |
| 20210504 | VALT   | 0                   | 330          | 504          | C      |
| 20210504 | VAPO   | 0                   | 1679         | 2297         | C      |
| 20210504 | VAW    | 0                   | 0            | 1            | C      |
| 20210504 | VB     | 0                   | 623          | 967          | C      |
| 20210504 | VBIV   | 6                   | 40329        | 69250        | C      |
| 20210504 | VBK    | 0                   | 50           | 50           | C      |
| 20210504 | VBLT   | 100                 | 700          | 1180         | C      |
| 20210504 | VBND   | 0                   | 100          | 100          | C      |
| 20210504 | VBTX   | 0                   | 37           | 583          | C      |
| 20210504 | VC     | 0                   | 1174         | 1888         | C      |
| 20210504 | VCEB   | 0                   | 0            | 284          | C      |
| 20210504 | VCEL   | 0                   | 2219         | 2223         | C      |
| 20210504 | VCIT   | 0                   | 42724        | 50396        | C      |
| 20210504 | VCLT   | 0                   | 1764         | 4922         | C      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20210504 | VCNX    | 0                   | 495          | 598          | C      |
| 20210504 | VCR     | 0                   | 39           | 40           | C      |
| 20210504 | VCRA    | 0                   | 1036         | 2129         | C      |
| 20210504 | VGSH    | 0                   | 23983        | 37255        | C      |
| 20210504 | VCTR    | 0                   | 603          | 1226         | C      |
| 20210504 | VCVC    | 0                   | 900          | 900          | C      |
| 20210504 | VCVCW   | 0                   | 929          | 929          | C      |
| 20210504 | VCYT    | 6                   | 5308         | 5631         | C      |
| 20210504 | VDE     | 0                   | 378          | 2042         | C      |
| 20210504 | VEA     | 0                   | 265930       | 288231       | C      |
| 20210504 | VEC     | 0                   | 0            | 202          | C      |
| 20210504 | VECO    | 0                   | 1732         | 11796        | C      |
| 20210504 | VECT    | 0                   | 87           | 87           | C      |
| 20210504 | VEDL    | 0                   | 16940        | 31433        | C      |
| 20210504 | VEEV    | 0                   | 4470         | 7477         | C      |
| 20210504 | VEI     | 0                   | 404          | 1165         | C      |
| 20210504 | VEON    | 0                   | 61583        | 84573        | C      |
| 20210504 | VER     | 0                   | 18389        | 34190        | C      |
| 20210504 | VERB    | 0                   | 2781         | 2976         | C      |
| 20210504 | VERI    | 203                 | 2821         | 4682         | C      |
| 20210504 | VERO    | 0                   | 200          | 753          | C      |
| 20210504 | VERU    | 0                   | 10902        | 13360        | C      |
| 20210504 | VERX    | 0                   | 500          | 703          | C      |
| 20210504 | VET     | 5704                | 49148        | 83357        | C      |
| 20210504 | VEU     | 0                   | 30560        | 33570        | C      |
| 20210504 | VFC     | 35                  | 15028        | 21712        | C      |
| 20210504 | VFF     | 0                   | 7608         | 13435        | C      |
| 20210504 | VFH     | 0                   | 196          | 196          | C      |
| 20210504 | VFMF    | 0                   | 3            | 3            | C      |
| 20210504 | VG      | 31                  | 27428        | 34132        | C      |
| 20210504 | VGAC    | 0                   | 999          | 1209         | C      |
| 20210504 | VGAC U  | 0                   | 0            | 7            | C      |
| 20210504 | VGAC WS | 0                   | 0            | 250          | C      |
| 20210504 | VGIT    | 0                   | 2356         | 16404        | C      |
| 20210504 | VGK     | 0                   | 93409        | 112243       | C      |
| 20210504 | VGLT    | 0                   | 135817       | 172240       | C      |
| 20210504 | VGR     | 0                   | 7758         | 10264        | C      |
| 20210504 | VGSH    | 0                   | 5666         | 6781         | C      |
| 20210504 | VGT     | 0                   | 0            | 83           | C      |
| 20210504 | VGZ     | 0                   | 200          | 1300         | C      |
| 20210504 | VHC     | 0                   | 1510         | 1621         | C      |
| 20210504 | VHI     | 0                   | 116          | 122          | C      |
| 20210504 | VHT     | 0                   | 343          | 400          | C      |
| 20210504 | VIAC    | 100                 | 58528        | 84695        | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | VIACA  | 0                   | 339          | 554          | C      |
| 20210504 | VIACP  | 0                   | 100          | 100          | C      |
| 20210504 | VIAO   | 0                   | 171          | 249          | C      |
| 20210504 | VIAV   | 5                   | 35810        | 58000        | C      |
| 20210504 | VICI   | 0                   | 24536        | 49776        | C      |
| 20210504 | VICR   | 0                   | 129          | 206          | C      |
| 20210504 | VIEW   | 0                   | 1023         | 1625         | C      |
| 20210504 | VIG    | 0                   | 392          | 896          | C      |
| 20210504 | VIGI   | 0                   | 200          | 1599         | C      |
| 20210504 | VIH    | 0                   | 0            | 20           | C      |
| 20210504 | VINC   | 0                   | 300          | 500          | C      |
| 20210504 | VINP   | 0                   | 446          | 1009         | C      |
| 20210504 | VIOT   | 0                   | 1004         | 1437         | C      |
| 20210504 | VIPS   | 2                   | 39688        | 79824        | C      |
| 20210504 | VIR    | 0                   | 4412         | 5218         | C      |
| 20210504 | VIRT   | 0                   | 13726        | 23143        | C      |
| 20210504 | VIRX   | 0                   | 1523         | 2151         | C      |
| 20210504 | VIS    | 0                   | 100          | 100          | C      |
| 20210504 | VISL   | 100                 | 11443        | 13131        | C      |
| 20210504 | VIST   | 0                   | 144          | 144          | C      |
| 20210504 | VITL   | 0                   | 2385         | 2703         | C      |
| 20210504 | VIV    | 0                   | 3440         | 5553         | C      |
| 20210504 | VIVE   | 0                   | 60           | 226          | C      |
| 20210504 | VIVO   | 0                   | 1522         | 2258         | C      |
| 20210504 | VIXY   | 0                   | 414832       | 846312       | C      |
| 20210504 | VKTX   | 0                   | 5333         | 7615         | C      |
| 20210504 | VLATU  | 0                   | 0            | 3845         | C      |
| 20210504 | VLDR   | 0                   | 2307         | 5637         | C      |
| 20210504 | VLO    | 9                   | 8108         | 13157        | C      |
| 20210504 | VLRS   | 0                   | 316          | 1282         | C      |
| 20210504 | VLUE   | 0                   | 514          | 614          | C      |
| 20210504 | VLY    | 0                   | 94777        | 106853       | C      |
| 20210504 | VMAR   | 0                   | 0            | 2            | C      |
| 20210504 | VMBS   | 0                   | 8115         | 13756        | C      |
| 20210504 | VMC    | 0                   | 5277         | 6284         | C      |
| 20210504 | VMD    | 100                 | 1214         | 1481         | C      |
| 20210504 | VMI    | 0                   | 72           | 151          | C      |
| 20210504 | VMO    | 0                   | 500          | 600          | C      |
| 20210504 | VMW    | 0                   | 5310         | 16946        | C      |
| 20210504 | VNDA   | 0                   | 2842         | 3184         | C      |
| 20210504 | VNE    | 0                   | 1433         | 2284         | C      |
| 20210504 | VNET   | 0                   | 11394        | 25027        | C      |
| 20210504 | VNLA   | 0                   | 63           | 1626         | C      |
| 20210504 | VNM    | 0                   | 400          | 700          | C      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | VNO    | 0                   | 5548         | 8554         | C      |
| 20210504 | VNOM   | 0                   | 1155         | 2177         | C      |
| 20210504 | VNQ    | 0                   | 103490       | 124451       | C      |
| 20210504 | VNQI   | 0                   | 210          | 310          | C      |
| 20210504 | VNRX   | 0                   | 378          | 484          | C      |
| 20210504 | VNT    | 0                   | 4986         | 5835         | C      |
| 20210504 | VNTR   | 0                   | 185          | 514          | C      |
| 20210504 | VO     | 0                   | 808          | 966          | C      |
| 20210504 | VOD    | 0                   | 66786        | 78143        | C      |
| 20210504 | VOE    | 0                   | 103          | 103          | C      |
| 20210504 | VONE   | 0                   | 2310         | 3128         | C      |
| 20210504 | VONG   | 0                   | 0            | 170          | C      |
| 20210504 | VONV   | 0                   | 140          | 140          | C      |
| 20210504 | VOO    | 0                   | 9652         | 12295        | C      |
| 20210504 | VOR    | 0                   | 11           | 17           | C      |
| 20210504 | VOT    | 0                   | 5            | 14           | C      |
| 20210504 | VOX    | 0                   | 125          | 232          | C      |
| 20210504 | VOXX   | 0                   | 29           | 204          | C      |
| 20210504 | VOYA   | 321                 | 5707         | 8454         | C      |
| 20210504 | VPC    | 0                   | 300          | 300          | C      |
| 20210504 | VPCBU  | 0                   | 0            | 900          | C      |
| 20210504 | VPG    | 0                   | 36           | 264          | C      |
| 20210504 | VPL    | 0                   | 11465        | 14065        | C      |
| 20210504 | VPU    | 0                   | 211          | 518          | C      |
| 20210504 | VRA    | 209                 | 454          | 457          | C      |
| 20210504 | VRAI   | 0                   | 197          | 197          | C      |
| 20210504 | VRAY   | 0                   | 10665        | 14311        | C      |
| 20210504 | VRCA   | 0                   | 276          | 393          | C      |
| 20210504 | VRDN   | 0                   | 182          | 188          | C      |
| 20210504 | VREX   | 0                   | 2207         | 5469         | C      |
| 20210504 | VRIG   | 0                   | 100          | 500          | C      |
| 20210504 | VRM    | 0                   | 5202         | 7326         | C      |
| 20210504 | VRNA   | 0                   | 103          | 103          | C      |
| 20210504 | VRNS   | 0                   | 12736        | 18003        | C      |
| 20210504 | VRNT   | 16                  | 14720        | 21048        | C      |
| 20210504 | VRP    | 0                   | 6789         | 6830         | C      |
| 20210504 | VRRM   | 0                   | 3863         | 5876         | C      |
| 20210504 | VRS    | 0                   | 91           | 93           | C      |
| 20210504 | VRSK   | 0                   | 1576         | 2792         | C      |
| 20210504 | VRSN   | 0                   | 2248         | 3482         | C      |
| 20210504 | VRT    | 0                   | 10656        | 40860        | C      |
| 20210504 | VRTS   | 0                   | 636          | 880          | C      |
| 20210504 | VRTV   | 0                   | 278          | 525          | C      |
| 20210504 | VRTX   | 0                   | 11885        | 14929        | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | VSAT   | 0                   | 1642         | 2671         | C      |
| 20210504 | VSEC   | 0                   | 354          | 419          | C      |
| 20210504 | VSGX   | 0                   | 0            | 149          | C      |
| 20210504 | VSH    | 0                   | 3144         | 12424        | C      |
| 20210504 | VSPR   | 0                   | 1734         | 3118         | C      |
| 20210504 | VSPRW  | 0                   | 300          | 300          | C      |
| 20210504 | VSS    | 0                   | 115          | 315          | C      |
| 20210504 | VST    | 0                   | 39804        | 66110        | C      |
| 20210504 | VSTA   | 0                   | 69           | 171          | C      |
| 20210504 | VSTM   | 0                   | 16068        | 19601        | C      |
| 20210504 | VSTO   | 0                   | 2999         | 4065         | C      |
| 20210504 | VT     | 0                   | 12260        | 16838        | C      |
| 20210504 | VTA    | 0                   | 0            | 25           | C      |
| 20210504 | VTAQR  | 0                   | 0            | 20           | C      |
| 20210504 | VTAQW  | 0                   | 100          | 100          | C      |
| 20210504 | VTC    | 0                   | 150          | 161          | C      |
| 20210504 | VTEB   | 0                   | 200          | 10566        | C      |
| 20210504 | VTGN   | 0                   | 15217        | 16693        | C      |
| 20210504 | VTI    | 0                   | 747          | 1943         | C      |
| 20210504 | VTIP   | 0                   | 50913        | 51022        | C      |
| 20210504 | VTNR   | 0                   | 313          | 518          | C      |
| 20210504 | VTOL   | 0                   | 167          | 635          | C      |
| 20210504 | VTR    | 0                   | 4884         | 5783         | C      |
| 20210504 | VTRS   | 0                   | 168392       | 287246       | C      |
| 20210504 | VTSI   | 0                   | 107          | 107          | C      |
| 20210504 | VTV    | 0                   | 1110         | 1503         | C      |
| 20210504 | VTVT   | 0                   | 6401         | 9216         | C      |
| 20210504 | VTWO   | 0                   | 1000         | 1025         | C      |
| 20210504 | VUG    | 0                   | 1            | 164          | C      |
| 20210504 | VUSB   | 0                   | 0            | 1020         | C      |
| 20210504 | VUZI   | 0                   | 10477        | 12646        | C      |
| 20210504 | VV     | 0                   | 0            | 1            | C      |
| 20210504 | VVI    | 0                   | 80           | 106          | C      |
| 20210504 | VVNT   | 0                   | 896          | 1116         | C      |
| 20210504 | VVOS   | 0                   | 36           | 338          | C      |
| 20210504 | VVPR   | 0                   | 100          | 300          | C      |
| 20210504 | VVR    | 0                   | 1612         | 1613         | C      |
| 20210504 | VVV    | 0                   | 6965         | 10254        | C      |
| 20210504 | VWO    | 0                   | 306003       | 317322       | C      |
| 20210504 | VWOB   | 0                   | 4930         | 5080         | C      |
| 20210504 | VWTR   | 0                   | 1025         | 1025         | C      |
| 20210504 | VXF    | 0                   | 203          | 403          | C      |
| 20210504 | VXRT   | 16284               | 155868       | 235673       | C      |
| 20210504 | VXUS   | 0                   | 20874        | 36756        | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | VXX    | 0                   | 281750       | 469162       | C      |
| 20210504 | VYGG   | 0                   | 7            | 7            | C      |
| 20210504 | VYGR   | 0                   | 3818         | 4317         | C      |
| 20210504 | VYM    | 0                   | 1181         | 1631         | C      |
| 20210504 | VYMI   | 0                   | 250          | 350          | C      |
| 20210504 | VYNE   | 0                   | 4746         | 5098         | C      |
| 20210504 | VYNT   | 0                   | 353          | 616          | C      |
| 20210504 | VZ     | 22                  | 491037       | 690076       | C      |
| 20210504 | VZIO   | 0                   | 2975         | 5282         | C      |
| 20210504 | W      | 0                   | 7785         | 12146        | C      |
| 20210504 | WAB    | 170                 | 4343         | 11786        | C      |
| 20210504 | WABC   | 0                   | 103          | 270          | C      |
| 20210504 | WAFD   | 0                   | 1207         | 2375         | C      |
| 20210504 | WAL    | 0                   | 5706         | 7501         | C      |
| 20210504 | WALDU  | 0                   | 200          | 596          | C      |
| 20210504 | WASH   | 0                   | 366          | 368          | C      |
| 20210504 | WAT    | 1                   | 1887         | 3261         | C      |
| 20210504 | WATT   | 0                   | 6650         | 7801         | C      |
| 20210504 | WB     | 41                  | 2694         | 3392         | C      |
| 20210504 | WBA    | 0                   | 12954        | 26340        | C      |
| 20210504 | WBIE   | 0                   | 200          | 900          | C      |
| 20210504 | WBIF   | 0                   | 1000         | 1000         | C      |
| 20210504 | WBIG   | 0                   | 0            | 300          | C      |
| 20210504 | WBII   | 0                   | 500          | 1700         | C      |
| 20210504 | WBIL   | 0                   | 0            | 100          | C      |
| 20210504 | WBIN   | 100                 | 1309         | 7593         | C      |
| 20210504 | WBIT   | 0                   | 0            | 100          | C      |
| 20210504 | WBK    | 0                   | 78           | 78           | C      |
| 20210504 | WBS    | 0                   | 8125         | 11544        | C      |
| 20210504 | WBT    | 0                   | 1916         | 8781         | C      |
| 20210504 | WCC    | 0                   | 673          | 931          | C      |
| 20210504 | WCLD   | 0                   | 991          | 991          | C      |
| 20210504 | WCN    | 483                 | 7447         | 9220         | C      |
| 20210504 | WD     | 0                   | 973          | 2502         | C      |
| 20210504 | WDAY   | 8                   | 11979        | 20965        | C      |
| 20210504 | WDC    | 0                   | 6576         | 16432        | C      |
| 20210504 | WDFC   | 0                   | 400          | 733          | C      |
| 20210504 | WEAT   | 0                   | 0            | 1045         | C      |
| 20210504 | WEBL   | 0                   | 100          | 100          | C      |
| 20210504 | WEC    | 6                   | 6813         | 9580         | C      |
| 20210504 | WEI    | 0                   | 300          | 600          | C      |
| 20210504 | WELL   | 0                   | 3840         | 5629         | C      |
| 20210504 | WEN    | 0                   | 20690        | 30206        | C      |
| 20210504 | WERN   | 0                   | 441          | 1491         | C      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20210504 | WES     | 348                 | 9454         | 15496        | C      |
| 20210504 | WETF    | 0                   | 3351         | 7196         | C      |
| 20210504 | WEX     | 0                   | 3146         | 3661         | C      |
| 20210504 | WEYS    | 0                   | 29           | 29           | C      |
| 20210504 | WF      | 0                   | 0            | 105          | C      |
| 20210504 | WFC     | 0                   | 336498       | 463581       | C      |
| 20210504 | WFC PRC | 0                   | 0            | 100          | C      |
| 20210504 | WFCF    | 0                   | 100          | 101          | C      |
| 20210504 | WFG     | 1323                | 5259         | 7294         | C      |
| 20210504 | WGO     | 0                   | 1775         | 2036         | C      |
| 20210504 | WH      | 0                   | 2648         | 3481         | C      |
| 20210504 | WHD     | 0                   | 1634         | 2185         | C      |
| 20210504 | WHG     | 0                   | 544          | 633          | C      |
| 20210504 | WHR     | 17                  | 1721         | 2708         | C      |
| 20210504 | WIFI    | 0                   | 52809        | 57663        | C      |
| 20210504 | WIMI    | 10                  | 1881         | 2566         | C      |
| 20210504 | WINA    | 0                   | 51           | 71           | C      |
| 20210504 | WING    | 0                   | 3436         | 3938         | C      |
| 20210504 | WINT    | 0                   | 100          | 200          | C      |
| 20210504 | WIP     | 0                   | 218          | 218          | C      |
| 20210504 | WIRE    | 0                   | 541          | 642          | C      |
| 20210504 | WISA    | 0                   | 0            | 297          | C      |
| 20210504 | WISH    | 0                   | 3405         | 10931        | C      |
| 20210504 | WIT     | 0                   | 20982        | 30318        | C      |
| 20210504 | WIX     | 0                   | 6841         | 11947        | C      |
| 20210504 | WK      | 0                   | 4035         | 4374         | C      |
| 20210504 | WKEY    | 0                   | 1716         | 1969         | C      |
| 20210504 | WKHS    | 13                  | 66488        | 72635        | C      |
| 20210504 | WLDN    | 0                   | 2786         | 4182         | C      |
| 20210504 | WLDR    | 0                   | 343          | 343          | C      |
| 20210504 | WLFC    | 0                   | 15           | 15           | C      |
| 20210504 | WLK     | 0                   | 4822         | 8666         | C      |
| 20210504 | WLL     | 0                   | 1161         | 2467         | C      |
| 20210504 | WLTW    | 0                   | 1276         | 1976         | C      |
| 20210504 | WM      | 24                  | 3877         | 6714         | C      |
| 20210504 | WMB     | 375                 | 192634       | 296205       | C      |
| 20210504 | WMC     | 0                   | 2915         | 5460         | C      |
| 20210504 | WMG     | 0                   | 16454        | 17803        | C      |
| 20210504 | WMK     | 0                   | 842          | 1066         | C      |
| 20210504 | WMPN    | 0                   | 100          | 202          | C      |
| 20210504 | WMS     | 0                   | 1431         | 2254         | C      |
| 20210504 | WMT     | 10                  | 4681         | 31209        | C      |
| 20210504 | WNC     | 0                   | 476          | 690          | C      |
| 20210504 | WNEB    | 0                   | 51           | 197          | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | WNS    | 0                   | 200          | 200          | C      |
| 20210504 | WNW    | 163                 | 163          | 361          | C      |
| 20210504 | WOOD   | 0                   | 210          | 211          | C      |
| 20210504 | WOOF   | 0                   | 1942         | 2600         | C      |
| 20210504 | WOR    | 0                   | 444          | 845          | C      |
| 20210504 | WORK   | 100                 | 9010         | 11444        | C      |
| 20210504 | WOW    | 0                   | 1383         | 2187         | C      |
| 20210504 | WPC    | 0                   | 1755         | 2779         | C      |
| 20210504 | WPF    | 0                   | 1436         | 1820         | C      |
| 20210504 | WPG    | 56                  | 6282         | 14454        | C      |
| 20210504 | WPM    | 100                 | 12627        | 17877        | C      |
| 20210504 | WPP    | 0                   | 106          | 135          | C      |
| 20210504 | WPRT   | 0                   | 15405        | 24735        | C      |
| 20210504 | WRAP   | 0                   | 920          | 1167         | C      |
| 20210504 | WRB    | 0                   | 1535         | 3246         | C      |
| 20210504 | WRE    | 0                   | 1435         | 1666         | C      |
| 20210504 | WRI    | 0                   | 3869         | 5145         | C      |
| 20210504 | WRK    | 0                   | 4361         | 14137        | C      |
| 20210504 | WRLD   | 0                   | 527          | 796          | C      |
| 20210504 | WRN    | 0                   | 7114         | 12564        | C      |
| 20210504 | WSBC   | 0                   | 141          | 156          | C      |
| 20210504 | WSBCP  | 0                   | 100          | 320          | C      |
| 20210504 | WSBF   | 0                   | 152          | 296          | C      |
| 20210504 | WSC    | 0                   | 3206         | 10451        | C      |
| 20210504 | WSFS   | 0                   | 47           | 556          | C      |
| 20210504 | WSM    | 0                   | 5040         | 9745         | C      |
| 20210504 | WSO    | 0                   | 982          | 4461         | C      |
| 20210504 | WSR    | 0                   | 433          | 862          | C      |
| 20210504 | WST    | 0                   | 3263         | 4921         | C      |
| 20210504 | WTBA   | 0                   | 0            | 150          | C      |
| 20210504 | WTER   | 0                   | 2705         | 9605         | C      |
| 20210504 | WTFC   | 16                  | 2586         | 5445         | C      |
| 20210504 | WTI    | 0                   | 55952        | 116058       | C      |
| 20210504 | WTM    | 0                   | 429          | 460          | C      |
| 20210504 | WTRE   | 0                   | 0            | 15           | C      |
| 20210504 | WTRG   | 0                   | 2497         | 3784         | C      |
| 20210504 | WTRH   | 0                   | 20688        | 34563        | C      |
| 20210504 | WTS    | 0                   | 350          | 975          | C      |
| 20210504 | WTTR   | 0                   | 1748         | 2882         | C      |
| 20210504 | WU     | 15                  | 80452        | 121861       | C      |
| 20210504 | WVE    | 0                   | 1204         | 1763         | C      |
| 20210504 | WVVI   | 0                   | 102          | 102          | C      |
| 20210504 | WW     | 0                   | 4519         | 5779         | C      |
| 20210504 | WWD    | 0                   | 3892         | 6049         | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | WWE    | 0                   | 1465         | 1874         | C      |
| 20210504 | WWR    | 0                   | 1800         | 1900         | C      |
| 20210504 | WWW    | 0                   | 7607         | 13624        | C      |
| 20210504 | WY     | 0                   | 20928        | 39116        | C      |
| 20210504 | WYNN   | 0                   | 8064         | 11642        | C      |
| 20210504 | X      | 500                 | 177525       | 246261       | C      |
| 20210504 | XAIR   | 0                   | 462          | 462          | C      |
| 20210504 | XAR    | 0                   | 0            | 106          | C      |
| 20210504 | XBI    | 0                   | 34558        | 39084        | C      |
| 20210504 | XBIO   | 0                   | 35           | 335          | C      |
| 20210504 | XBIT   | 0                   | 122          | 500          | C      |
| 20210504 | XCUR   | 0                   | 810          | 845          | C      |
| 20210504 | XEC    | 0                   | 2580         | 4098         | C      |
| 20210504 | XEL    | 0                   | 16437        | 19822        | C      |
| 20210504 | XELA   | 838                 | 12310        | 14966        | C      |
| 20210504 | XELB   | 0                   | 100          | 100          | C      |
| 20210504 | XENE   | 0                   | 100          | 200          | C      |
| 20210504 | XENT   | 0                   | 625          | 706          | C      |
| 20210504 | XERS   | 0                   | 8801         | 9359         | C      |
| 20210504 | XES    | 0                   | 52           | 52           | C      |
| 20210504 | XFLT   | 0                   | 323          | 323          | C      |
| 20210504 | XFOR   | 0                   | 224          | 225          | C      |
| 20210504 | XHB    | 1600                | 6633         | 11021        | C      |
| 20210504 | XHR    | 0                   | 4556         | 8358         | C      |
| 20210504 | XHS    | 0                   | 0            | 1            | C      |
| 20210504 | XL     | 0                   | 9489         | 15222        | C      |
| 20210504 | XLB    | 2304                | 297208       | 338165       | C      |
| 20210504 | XLC    | 0                   | 42099        | 45074        | C      |
| 20210504 | XLE    | 3100                | 653689       | 873584       | C      |
| 20210504 | XLF    | 69040               | 1567931      | 1820415      | C      |
| 20210504 | XLI    | 0                   | 277724       | 304939       | C      |
| 20210504 | XLK    | 3201                | 65633        | 75230        | C      |
| 20210504 | XLNX   | 30                  | 13909        | 22190        | C      |
| 20210504 | XLP    | 0                   | 265336       | 333570       | C      |
| 20210504 | XLRE   | 0                   | 65000        | 69095        | C      |
| 20210504 | XLRN   | 0                   | 995          | 1783         | C      |
| 20210504 | XLU    | 0                   | 444853       | 499484       | C      |
| 20210504 | XLV    | 1703                | 219515       | 277567       | C      |
| 20210504 | XLY    | 0                   | 3560         | 5153         | C      |
| 20210504 | XM     | 0                   | 5012         | 7573         | C      |
| 20210504 | XME    | 12745               | 129781       | 218171       | C      |
| 20210504 | XMLV   | 0                   | 0            | 2            | C      |
| 20210504 | XNCR   | 0                   | 434          | 456          | C      |
| 20210504 | XNET   | 0                   | 2313         | 3493         | C      |

| Date     | Symbol   | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|----------|---------------------|--------------|--------------|--------|
| 20210504 | XOG      | 0                   | 0            | 88           | C      |
| 20210504 | XOM      | 64                  | 179439       | 248896       | C      |
| 20210504 | XOMA     | 0                   | 188          | 270          | C      |
| 20210504 | XOMAO    | 0                   | 140          | 140          | C      |
| 20210504 | XONE     | 0                   | 1142         | 1271         | C      |
| 20210504 | XOP      | 0                   | 6454         | 11368        | C      |
| 20210504 | XOUT     | 0                   | 787          | 787          | C      |
| 20210504 | XP       | 0                   | 2531         | 3467         | C      |
| 20210504 | XPEL     | 0                   | 15           | 161          | C      |
| 20210504 | XPER     | 2                   | 2913         | 3520         | C      |
| 20210504 | XPEV     | 114                 | 18836        | 65547        | C      |
| 20210504 | XPH      | 0                   | 0            | 1            | C      |
| 20210504 | XPL      | 200                 | 1010         | 1810         | C      |
| 20210504 | XPO      | 0                   | 9924         | 14901        | C      |
| 20210504 | XPOA     | 0                   | 400          | 400          | C      |
| 20210504 | XRAY     | 0                   | 5149         | 7583         | C      |
| 20210504 | XRT      | 0                   | 5704         | 7534         | C      |
| 20210504 | XRX      | 0                   | 8126         | 12438        | C      |
| 20210504 | XSD      | 0                   | 1            | 2            | C      |
| 20210504 | XSLV     | 0                   | 215          | 215          | C      |
| 20210504 | XSOE     | 0                   | 14           | 22716        | C      |
| 20210504 | XSPA     | 0                   | 11391        | 16025        | C      |
| 20210504 | XT       | 0                   | 775          | 875          | C      |
| 20210504 | XTLB     | 0                   | 144          | 144          | C      |
| 20210504 | XTNT     | 0                   | 201          | 201          | C      |
| 20210504 | XXII     | 0                   | 20219        | 32823        | C      |
| 20210504 | XYF      | 0                   | 300          | 300          | C      |
| 20210504 | XYL      | 0                   | 7312         | 8607         | C      |
| 20210504 | Y        | 0                   | 259          | 668          | C      |
| 20210504 | YALA     | 0                   | 4987         | 7206         | C      |
| 20210504 | YCBD     | 0                   | 100          | 700          | C      |
| 20210504 | YCBD PRA | 0                   | 543          | 543          | C      |
| 20210504 | YELL     | 0                   | 967          | 4038         | C      |
| 20210504 | YELP     | 0                   | 1759         | 2929         | C      |
| 20210504 | YETI     | 0                   | 2475         | 2922         | C      |
| 20210504 | YEXT     | 0                   | 4674         | 4734         | C      |
| 20210504 | YGMZ     | 100                 | 100          | 600          | C      |
| 20210504 | YI       | 0                   | 1226         | 1485         | C      |
| 20210504 | YINN     | 0                   | 4111         | 9160         | C      |
| 20210504 | YJ       | 0                   | 290          | 466          | C      |
| 20210504 | YLDE     | 0                   | 2            | 2            | C      |
| 20210504 | YMAB     | 0                   | 816          | 1056         | C      |
| 20210504 | YMTX     | 0                   | 100          | 107          | C      |
| 20210504 | YNDX     | 0                   | 1470         | 2604         | C      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210504 | YOLO   | 0                   | 300          | 301          | C      |
| 20210504 | YORW   | 0                   | 32           | 172          | C      |
| 20210504 | YPF    | 0                   | 9922         | 13643        | C      |
| 20210504 | YQ     | 0                   | 545          | 773          | C      |
| 20210504 | YRD    | 0                   | 0            | 100          | C      |
| 20210504 | YSACW  | 0                   | 100          | 100          | C      |
| 20210504 | YSG    | 0                   | 1490         | 2682         | C      |
| 20210504 | YTEN   | 0                   | 40           | 140          | C      |
| 20210504 | YTRA   | 0                   | 0            | 173          | C      |
| 20210504 | YUM    | 112                 | 3845         | 16097        | C      |
| 20210504 | YUMC   | 0                   | 4075         | 10059        | C      |
| 20210504 | YVR    | 600                 | 3041         | 3196         | C      |
| 20210504 | YY     | 0                   | 4076         | 7724         | C      |
| 20210504 | YYY    | 0                   | 2            | 2            | C      |
| 20210504 | Z      | 1                   | 49766        | 57279        | C      |
| 20210504 | ZBH    | 0                   | 7974         | 12455        | C      |
| 20210504 | ZBRA   | 0                   | 867          | 1730         | C      |
| 20210504 | ZCMD   | 0                   | 100          | 100          | C      |
| 20210504 | ZDGE   | 0                   | 320          | 342          | C      |
| 20210504 | ZEN    | 909                 | 7711         | 10402        | C      |
| 20210504 | ZEPP   | 0                   | 643          | 710          | C      |
| 20210504 | ZEUS   | 0                   | 595          | 1239         | C      |
| 20210504 | ZG     | 0                   | 1858         | 3159         | C      |
| 20210504 | ZGNX   | 0                   | 7558         | 11837        | C      |
| 20210504 | ZH     | 0                   | 789          | 3974         | C      |
| 20210504 | ZI     | 0                   | 5227         | 11046        | C      |
| 20210504 | ZIG    | 0                   | 100          | 100          | C      |
| 20210504 | ZIM    | 0                   | 2828         | 8633         | C      |
| 20210504 | ZION   | 0                   | 4823         | 7406         | C      |
| 20210504 | ZIOP   | 0                   | 21239        | 23546        | C      |
| 20210504 | ZIXI   | 0                   | 2183         | 2283         | C      |
| 20210504 | ZKIN   | 0                   | 144          | 398          | C      |
| 20210504 | ZLAB   | 0                   | 2466         | 3985         | C      |
| 20210504 | ZM     | 0                   | 9373         | 12310        | C      |
| 20210504 | ZNGA   | 196                 | 248473       | 697246       | C      |
| 20210504 | ZNTE   | 0                   | 709          | 2484         | C      |
| 20210504 | ZNTEW  | 0                   | 0            | 119          | C      |
| 20210504 | ZNTL   | 0                   | 1346         | 2023         | C      |
| 20210504 | ZOM    | 4184                | 34572        | 43064        | C      |
| 20210504 | ZROZ   | 0                   | 149          | 435          | C      |
| 20210504 | ZS     | 0                   | 11026        | 12304        | C      |
| 20210504 | ZSAN   | 0                   | 5406         | 6524         | C      |
| 20210504 | ZSL    | 0                   | 6390         | 108011       | C      |
| 20210504 | ZTO    | 0                   | 10175        | 14684        | C      |



| <b>Date</b> | <b>Symbol</b> | <b>Short Exempt Volume</b> | <b>Short Volume</b> | <b>Total Volume</b> | <b>Market</b> |
|-------------|---------------|----------------------------|---------------------|---------------------|---------------|
| 20210504    | ZTR           | 0                          | 240                 | 240                 | C             |
| 20210504    | ZTS           | 0                          | 6048                | 11880               | C             |
| 20210504    | ZUMZ          | 0                          | 127                 | 320                 | C             |
| 20210504    | ZUO           | 0                          | 5730                | 6639                | C             |
| 20210504    | ZVO           | 0                          | 300                 | 884                 | C             |
| 20210504    | ZY            | 0                          | 1007                | 4113                | C             |
| 20210504    | ZYME          | 0                          | 671                 | 1749                | C             |
| 20210504    | ZYNE          | 0                          | 2851                | 3911                | C             |
| 20210504    | ZYXI          | 0                          | 627                 | 824                 | C             |