

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | AA     | 0                   | 1458         | 4276         | M      |
| 20210917 | AAL    | 0                   | 1117         | 1122         | M      |
| 20210917 | AAMC   | 0                   | 201          | 302          | M      |
| 20210917 | AAN    | 0                   | 243          | 276          | M      |
| 20210917 | AAON   | 0                   | 213          | 213          | M      |
| 20210917 | AAP    | 0                   | 70           | 103          | M      |
| 20210917 | AAPL   | 0                   | 3736         | 7278760      | M      |
| 20210917 | AAWW   | 0                   | 308          | 703          | M      |
| 20210917 | AB     | 0                   | 155          | 255          | M      |
| 20210917 | ABB    | 0                   | 100          | 100          | M      |
| 20210917 | ABBV   | 0                   | 545          | 8619         | M      |
| 20210917 | ABC    | 0                   | 391          | 511          | M      |
| 20210917 | ABCB   | 0                   | 200          | 200          | M      |
| 20210917 | ABCL   | 0                   | 601          | 1040         | M      |
| 20210917 | ABG    | 0                   | 25           | 63           | M      |
| 20210917 | ABM    | 0                   | 9            | 9            | M      |
| 20210917 | ABMD   | 0                   | 104          | 322          | M      |
| 20210917 | ABNB   | 0                   | 2284         | 3953         | M      |
| 20210917 | ABOS   | 0                   | 352          | 837          | M      |
| 20210917 | ABSI   | 0                   | 0            | 97           | M      |
| 20210917 | ABT    | 0                   | 4196         | 18406        | M      |
| 20210917 | ABTX   | 0                   | 105          | 151          | M      |
| 20210917 | ACA    | 0                   | 120          | 497          | M      |
| 20210917 | ACAD   | 0                   | 295          | 495          | M      |
| 20210917 | ACC    | 0                   | 100          | 100          | M      |
| 20210917 | ACCD   | 0                   | 1436         | 2183         | M      |
| 20210917 | ACES   | 0                   | 2800         | 4883         | M      |
| 20210917 | ACGL   | 0                   | 100          | 200          | M      |
| 20210917 | ACHC   | 0                   | 806          | 839          | M      |
| 20210917 | ACI    | 0                   | 392          | 777          | M      |
| 20210917 | ACIW   | 0                   | 0            | 36           | M      |
| 20210917 | ACLS   | 0                   | 33           | 104          | M      |
| 20210917 | ACM    | 0                   | 252          | 306          | M      |
| 20210917 | ACMR   | 0                   | 202          | 402          | M      |
| 20210917 | ACN    | 0                   | 969          | 1466         | M      |
| 20210917 | ACRE   | 0                   | 0            | 103          | M      |
| 20210917 | ACRS   | 0                   | 1470         | 1678         | M      |
| 20210917 | ACT    | 0                   | 0            | 208          | M      |
| 20210917 | ACTG   | 0                   | 0            | 100          | M      |
| 20210917 | ACVA   | 0                   | 485          | 875          | M      |
| 20210917 | ACWI   | 0                   | 0            | 400          | M      |
| 20210917 | ADAG   | 0                   | 0            | 160          | M      |
| 20210917 | ADAP   | 0                   | 800          | 800          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | ADBE   | 0                   | 1073         | 1568         | M      |
| 20210917 | ADC    | 0                   | 80           | 182          | M      |
| 20210917 | ADCT   | 0                   | 391          | 391          | M      |
| 20210917 | ADES   | 0                   | 0            | 25           | M      |
| 20210917 | ADGI   | 0                   | 760          | 6048         | M      |
| 20210917 | ADI    | 0                   | 3741         | 5229         | M      |
| 20210917 | ADIL   | 0                   | 0            | 100          | M      |
| 20210917 | ADM    | 0                   | 99           | 113          | M      |
| 20210917 | ADNT   | 0                   | 100          | 1045         | M      |
| 20210917 | ADP    | 0                   | 71           | 417          | M      |
| 20210917 | ADPT   | 0                   | 101          | 101          | M      |
| 20210917 | ADS    | 0                   | 204          | 821          | M      |
| 20210917 | ADSK   | 0                   | 2749         | 2930         | M      |
| 20210917 | ADT    | 0                   | 216          | 288          | M      |
| 20210917 | ADUS   | 0                   | 29           | 29           | M      |
| 20210917 | ADV    | 0                   | 200          | 200          | M      |
| 20210917 | ADVM   | 0                   | 301          | 301          | M      |
| 20210917 | AEE    | 0                   | 110          | 158          | M      |
| 20210917 | AEHR   | 0                   | 44           | 444          | M      |
| 20210917 | AEIS   | 0                   | 0            | 67           | M      |
| 20210917 | AEL    | 0                   | 255          | 368          | M      |
| 20210917 | AEM    | 0                   | 2819         | 6448         | M      |
| 20210917 | AEO    | 0                   | 1136         | 1510         | M      |
| 20210917 | AEP    | 0                   | 35           | 35           | M      |
| 20210917 | AEVA   | 0                   | 991          | 2729         | M      |
| 20210917 | AEYE   | 0                   | 0            | 100          | M      |
| 20210917 | AFCG   | 0                   | 100          | 471          | M      |
| 20210917 | AFG    | 0                   | 125          | 325          | M      |
| 20210917 | AFIB   | 0                   | 0            | 106          | M      |
| 20210917 | AFL    | 0                   | 3100         | 3100         | M      |
| 20210917 | AFRM   | 0                   | 2266         | 5068         | M      |
| 20210917 | AG     | 0                   | 0            | 1            | M      |
| 20210917 | AGC    | 0                   | 100          | 400          | M      |
| 20210917 | AGCO   | 0                   | 491          | 679          | M      |
| 20210917 | AGFY   | 0                   | 3223         | 5394         | M      |
| 20210917 | AGI    | 0                   | 493          | 593          | M      |
| 20210917 | AGIL   | 0                   | 0            | 150          | M      |
| 20210917 | AGIO   | 0                   | 11           | 11           | M      |
| 20210917 | AGL    | 0                   | 112          | 1017         | M      |
| 20210917 | AGLE   | 0                   | 122          | 289          | M      |
| 20210917 | AGM    | 0                   | 85           | 85           | M      |
| 20210917 | AGNC   | 0                   | 1042         | 1042         | M      |
| 20210917 | AGO    | 0                   | 179          | 179          | M      |
| 20210917 | AGQ    | 0                   | 0            | 200          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | AGR    | 0                   | 200          | 209          | M      |
| 20210917 | AGTI   | 0                   | 267          | 267          | M      |
| 20210917 | AGX    | 0                   | 0            | 200          | M      |
| 20210917 | AGYS   | 0                   | 353          | 353          | M      |
| 20210917 | AHT    | 0                   | 75           | 75           | M      |
| 20210917 | AI     | 0                   | 934          | 1413         | M      |
| 20210917 | AIG    | 0                   | 922          | 4749         | M      |
| 20210917 | AIKI   | 0                   | 0            | 100          | M      |
| 20210917 | AIMC   | 0                   | 100          | 100          | M      |
| 20210917 | AINV   | 0                   | 5            | 133          | M      |
| 20210917 | AIO    | 0                   | 0            | 100          | M      |
| 20210917 | AIR    | 0                   | 321          | 321          | M      |
| 20210917 | AIRC   | 0                   | 158          | 158          | M      |
| 20210917 | AIT    | 0                   | 39           | 61           | M      |
| 20210917 | AIV    | 0                   | 0            | 13           | M      |
| 20210917 | AIZ    | 0                   | 101          | 118          | M      |
| 20210917 | AJG    | 0                   | 726          | 951          | M      |
| 20210917 | AJRD   | 0                   | 106          | 106          | M      |
| 20210917 | AKAM   | 0                   | 50           | 122          | M      |
| 20210917 | AKRO   | 0                   | 0            | 9            | M      |
| 20210917 | AKTS   | 0                   | 68           | 68           | M      |
| 20210917 | AKUS   | 0                   | 74           | 74           | M      |
| 20210917 | AKYA   | 0                   | 107          | 107          | M      |
| 20210917 | AL     | 0                   | 56           | 329          | M      |
| 20210917 | ALB    | 0                   | 1869         | 2433         | M      |
| 20210917 | ALBO   | 0                   | 256          | 401          | M      |
| 20210917 | ALC    | 0                   | 0            | 200          | M      |
| 20210917 | ALCO   | 0                   | 300          | 300          | M      |
| 20210917 | ALE    | 0                   | 87           | 87           | M      |
| 20210917 | ALEC   | 0                   | 555          | 1206         | M      |
| 20210917 | ALEX   | 0                   | 0            | 304          | M      |
| 20210917 | ALGM   | 0                   | 400          | 2339         | M      |
| 20210917 | ALGN   | 0                   | 168          | 287          | M      |
| 20210917 | ALGS   | 0                   | 0            | 61           | M      |
| 20210917 | ALGT   | 0                   | 364          | 512          | M      |
| 20210917 | ALHC   | 0                   | 69           | 261          | M      |
| 20210917 | ALIT   | 0                   | 600          | 2836         | M      |
| 20210917 | ALK    | 0                   | 392          | 553          | M      |
| 20210917 | ALKS   | 0                   | 201          | 377          | M      |
| 20210917 | ALKT   | 0                   | 272          | 458          | M      |
| 20210917 | ALL    | 0                   | 57           | 173          | M      |
| 20210917 | ALLE   | 0                   | 413          | 644          | M      |
| 20210917 | ALLK   | 0                   | 851          | 1470         | M      |
| 20210917 | ALLO   | 0                   | 0            | 265          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | ALNY   | 0                   | 840          | 10896        | M      |
| 20210917 | ALRM   | 0                   | 78           | 152          | M      |
| 20210917 | ALRS   | 0                   | 6            | 6            | M      |
| 20210917 | ALSN   | 2                   | 9793         | 19599        | M      |
| 20210917 | ALT    | 0                   | 1210         | 1310         | M      |
| 20210917 | ALTG   | 0                   | 0            | 67           | M      |
| 20210917 | ALTM   | 0                   | 1219         | 1654         | M      |
| 20210917 | ALTR   | 0                   | 88           | 136          | M      |
| 20210917 | ALV    | 0                   | 290          | 290          | M      |
| 20210917 | ALVR   | 300                 | 1456         | 1656         | M      |
| 20210917 | ALX    | 0                   | 79           | 171          | M      |
| 20210917 | ALXO   | 281                 | 1348         | 5402         | M      |
| 20210917 | AM     | 0                   | 464          | 464          | M      |
| 20210917 | AMAL   | 0                   | 535          | 635          | M      |
| 20210917 | AMAT   | 0                   | 735          | 1637         | M      |
| 20210917 | AMBA   | 0                   | 675          | 823          | M      |
| 20210917 | AMBP   | 0                   | 0            | 150          | M      |
| 20210917 | AMC    | 0                   | 27788        | 29249        | M      |
| 20210917 | AMCX   | 0                   | 966          | 4125         | M      |
| 20210917 | AMD    | 0                   | 732          | 732          | M      |
| 20210917 | AME    | 0                   | 569          | 1814         | M      |
| 20210917 | AMED   | 0                   | 461          | 567          | M      |
| 20210917 | AMEH   | 0                   | 8702         | 8809         | M      |
| 20210917 | AMG    | 0                   | 39           | 89           | M      |
| 20210917 | AMGN   | 0                   | 948          | 1614         | M      |
| 20210917 | AMH    | 0                   | 2082         | 2082         | M      |
| 20210917 | AMKR   | 0                   | 100          | 100          | M      |
| 20210917 | AMN    | 0                   | 882          | 882          | M      |
| 20210917 | AMNB   | 0                   | 24           | 24           | M      |
| 20210917 | AMOT   | 0                   | 100          | 100          | M      |
| 20210917 | AMP    | 0                   | 465          | 617          | M      |
| 20210917 | AMPH   | 0                   | 100          | 100          | M      |
| 20210917 | AMR    | 0                   | 0            | 365          | M      |
| 20210917 | AMRK   | 0                   | 0            | 100          | M      |
| 20210917 | AMRS   | 0                   | 100          | 300          | M      |
| 20210917 | AMSC   | 0                   | 99           | 204          | M      |
| 20210917 | AMSF   | 0                   | 354          | 358          | M      |
| 20210917 | AMSWA  | 0                   | 178          | 255          | M      |
| 20210917 | AMT    | 0                   | 99           | 141          | M      |
| 20210917 | AMTB   | 0                   | 20           | 20           | M      |
| 20210917 | AMTI   | 0                   | 30           | 130          | M      |
| 20210917 | AMTX   | 0                   | 100          | 100          | M      |
| 20210917 | AMWD   | 0                   | 240          | 618          | M      |
| 20210917 | AMX    | 0                   | 15           | 24           | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | AMYT   | 0                   | 0            | 83           | M      |
| 20210917 | AMZN   | 0                   | 5512         | 34290        | M      |
| 20210917 | AN     | 0                   | 1055         | 1634         | M      |
| 20210917 | ANAB   | 0                   | 369          | 413          | M      |
| 20210917 | ANAT   | 0                   | 128          | 275          | M      |
| 20210917 | ANDE   | 0                   | 15           | 30           | M      |
| 20210917 | ANET   | 0                   | 876          | 966          | M      |
| 20210917 | ANF    | 0                   | 1010         | 1012         | M      |
| 20210917 | ANGN   | 0                   | 200          | 200          | M      |
| 20210917 | ANGO   | 0                   | 2            | 102          | M      |
| 20210917 | ANIK   | 0                   | 110          | 110          | M      |
| 20210917 | ANIP   | 0                   | 8            | 391          | M      |
| 20210917 | ANNX   | 0                   | 100          | 210          | M      |
| 20210917 | ANSS   | 0                   | 121          | 174          | M      |
| 20210917 | ANTM   | 0                   | 902          | 1334         | M      |
| 20210917 | ANVS   | 0                   | 0            | 1994         | M      |
| 20210917 | ANY    | 0                   | 0            | 200          | M      |
| 20210917 | AOMR   | 0                   | 31           | 187          | M      |
| 20210917 | AON    | 0                   | 1252         | 3491         | M      |
| 20210917 | AOS    | 0                   | 113          | 115          | M      |
| 20210917 | AOSL   | 0                   | 92           | 92           | M      |
| 20210917 | AOUT   | 0                   | 690          | 724          | M      |
| 20210917 | APA    | 0                   | 1630         | 27117        | M      |
| 20210917 | APAM   | 0                   | 185          | 245          | M      |
| 20210917 | APD    | 0                   | 100          | 101          | M      |
| 20210917 | APDN   | 0                   | 100          | 100          | M      |
| 20210917 | APEI   | 0                   | 197          | 237          | M      |
| 20210917 | APG    | 0                   | 644          | 780          | M      |
| 20210917 | APH    | 0                   | 204          | 204          | M      |
| 20210917 | API    | 0                   | 45           | 174          | M      |
| 20210917 | APPLE  | 0                   | 0            | 243052       | M      |
| 20210917 | APLS   | 0                   | 1511         | 2091         | M      |
| 20210917 | APLT   | 0                   | 0            | 300          | M      |
| 20210917 | APO    | 0                   | 169          | 169          | M      |
| 20210917 | APOG   | 0                   | 54           | 74           | M      |
| 20210917 | APOP   | 0                   | 0            | 300          | M      |
| 20210917 | APP    | 0                   | 420          | 11520        | M      |
| 20210917 | APPF   | 0                   | 174          | 231          | M      |
| 20210917 | APPH   | 0                   | 1361         | 1987         | M      |
| 20210917 | APPN   | 0                   | 414          | 595          | M      |
| 20210917 | APPS   | 0                   | 891          | 6286         | M      |
| 20210917 | APR    | 0                   | 143          | 243          | M      |
| 20210917 | APRE   | 0                   | 100          | 100          | M      |
| 20210917 | APTV   | 0                   | 270          | 868          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | APTX   | 0                   | 0            | 200          | M      |
| 20210917 | APVO   | 0                   | 0            | 100          | M      |
| 20210917 | APYX   | 0                   | 151          | 151          | M      |
| 20210917 | AQUA   | 0                   | 814          | 814          | M      |
| 20210917 | AR     | 0                   | 600          | 600          | M      |
| 20210917 | ARAY   | 0                   | 159          | 159          | M      |
| 20210917 | ARCB   | 0                   | 238          | 439          | M      |
| 20210917 | ARCE   | 0                   | 479          | 859          | M      |
| 20210917 | ARCH   | 0                   | 411          | 872          | M      |
| 20210917 | ARCT   | 0                   | 963          | 1742         | M      |
| 20210917 | ARE    | 0                   | 1127         | 1202         | M      |
| 20210917 | ARES   | 0                   | 140          | 149          | M      |
| 20210917 | ARGO   | 0                   | 0            | 47           | M      |
| 20210917 | ARGX   | 0                   | 59           | 90           | M      |
| 20210917 | ARKF   | 0                   | 0            | 600          | M      |
| 20210917 | ARKG   | 0                   | 317          | 317          | M      |
| 20210917 | ARKK   | 0                   | 1439         | 2101         | M      |
| 20210917 | ARKO   | 0                   | 770          | 970          | M      |
| 20210917 | ARMK   | 0                   | 100          | 100          | M      |
| 20210917 | ARNA   | 0                   | 107          | 108          | M      |
| 20210917 | ARNC   | 100                 | 351          | 451          | M      |
| 20210917 | AROC   | 0                   | 0            | 100          | M      |
| 20210917 | ARQQ   | 0                   | 300          | 550          | M      |
| 20210917 | ARQT   | 0                   | 492          | 792          | M      |
| 20210917 | ARRY   | 0                   | 100          | 200          | M      |
| 20210917 | ARVL   | 30                  | 1050         | 5209         | M      |
| 20210917 | ARVN   | 0                   | 1086         | 1922         | M      |
| 20210917 | ARW    | 0                   | 488          | 506          | M      |
| 20210917 | ARWR   | 0                   | 116          | 216          | M      |
| 20210917 | ASAI   | 0                   | 0            | 1            | M      |
| 20210917 | ASAN   | 0                   | 1489         | 2881         | M      |
| 20210917 | ASGN   | 0                   | 36           | 119          | M      |
| 20210917 | ASH    | 0                   | 495          | 595          | M      |
| 20210917 | ASHR   | 0                   | 0            | 10           | M      |
| 20210917 | ASIX   | 0                   | 100          | 100          | M      |
| 20210917 | ASML   | 0                   | 769          | 15369        | M      |
| 20210917 | ASND   | 0                   | 142          | 643          | M      |
| 20210917 | ASO    | 0                   | 453          | 5193         | M      |
| 20210917 | ASPN   | 0                   | 251          | 353          | M      |
| 20210917 | ASR    | 0                   | 300          | 359          | M      |
| 20210917 | ASTE   | 0                   | 31           | 83           | M      |
| 20210917 | ASTR   | 373                 | 1229         | 1429         | M      |
| 20210917 | ASTS   | 0                   | 945          | 1545         | M      |
| 20210917 | ASUR   | 0                   | 0            | 1            | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | ATAI   | 0                   | 100          | 200          | M      |
| 20210917 | ATCO   | 0                   | 200          | 827          | M      |
| 20210917 | ATCX   | 0                   | 0            | 17           | M      |
| 20210917 | ATEN   | 0                   | 0            | 100          | M      |
| 20210917 | ATER   | 100                 | 100          | 276          | M      |
| 20210917 | ATEX   | 0                   | 199          | 364          | M      |
| 20210917 | ATH    | 0                   | 251          | 451          | M      |
| 20210917 | ATHA   | 0                   | 0            | 198          | M      |
| 20210917 | ATHM   | 0                   | 993          | 1334         | M      |
| 20210917 | ATI    | 0                   | 1238         | 1558         | M      |
| 20210917 | ATKR   | 0                   | 582          | 890          | M      |
| 20210917 | ATLC   | 0                   | 312          | 313          | M      |
| 20210917 | ATLO   | 0                   | 0            | 185          | M      |
| 20210917 | ATNF   | 0                   | 100          | 200          | M      |
| 20210917 | ATNX   | 0                   | 253          | 253          | M      |
| 20210917 | ATO    | 0                   | 32           | 81           | M      |
| 20210917 | ATOM   | 0                   | 129          | 15129        | M      |
| 20210917 | ATR    | 0                   | 37           | 37           | M      |
| 20210917 | ATRA   | 0                   | 466          | 468          | M      |
| 20210917 | ATRC   | 0                   | 482          | 482          | M      |
| 20210917 | ATRI   | 0                   | 8            | 8            | M      |
| 20210917 | ATSG   | 0                   | 397          | 397          | M      |
| 20210917 | ATTO   | 0                   | 0            | 12           | M      |
| 20210917 | ATUS   | 0                   | 0            | 30000        | M      |
| 20210917 | ATVI   | 0                   | 2636         | 51882        | M      |
| 20210917 | AUB    | 0                   | 100          | 176          | M      |
| 20210917 | AUID   | 0                   | 0            | 57           | M      |
| 20210917 | AUPH   | 0                   | 1661         | 2710         | M      |
| 20210917 | AUUD   | 100                 | 100          | 100          | M      |
| 20210917 | AUY    | 0                   | 0            | 2000         | M      |
| 20210917 | AVAL   | 0                   | 200          | 614          | M      |
| 20210917 | AVAV   | 0                   | 631          | 845          | M      |
| 20210917 | AVB    | 0                   | 64           | 249          | M      |
| 20210917 | AVD    | 0                   | 0            | 94           | M      |
| 20210917 | AVDL   | 0                   | 109          | 109          | M      |
| 20210917 | AVEO   | 0                   | 255          | 273          | M      |
| 20210917 | AVGO   | 58                  | 1849         | 2171         | M      |
| 20210917 | AVID   | 0                   | 851          | 951          | M      |
| 20210917 | AVIR   | 0                   | 102          | 214          | M      |
| 20210917 | AVLR   | 0                   | 501          | 513          | M      |
| 20210917 | AVNS   | 0                   | 1            | 1            | M      |
| 20210917 | AVNT   | 0                   | 0            | 100          | M      |
| 20210917 | AVNW   | 0                   | 71           | 256          | M      |
| 20210917 | AVO    | 0                   | 81           | 81           | M      |

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|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | AVPT   | 0                   | 200          | 921          | M      |
| 20210917 | AVRO   | 0                   | 570          | 570          | M      |
| 20210917 | AVT    | 0                   | 147          | 247          | M      |
| 20210917 | AVTE   | 0                   | 2118         | 2653         | M      |
| 20210917 | AVTR   | 0                   | 27           | 1052         | M      |
| 20210917 | AVTX   | 0                   | 0            | 167          | M      |
| 20210917 | AVXL   | 0                   | 100          | 300          | M      |
| 20210917 | AVY    | 0                   | 462          | 665          | M      |
| 20210917 | AVYA   | 0                   | 200          | 300          | M      |
| 20210917 | AWH    | 0                   | 600          | 600          | M      |
| 20210917 | AWI    | 0                   | 180          | 180          | M      |
| 20210917 | AWK    | 0                   | 117          | 1229         | M      |
| 20210917 | AWR    | 0                   | 45           | 59           | M      |
| 20210917 | AXDX   | 0                   | 147          | 147          | M      |
| 20210917 | AXGN   | 0                   | 0            | 2            | M      |
| 20210917 | AXL    | 0                   | 0            | 748          | M      |
| 20210917 | AXNX   | 0                   | 869          | 1518         | M      |
| 20210917 | AXON   | 0                   | 291          | 296          | M      |
| 20210917 | AXP    | 0                   | 398          | 108333       | M      |
| 20210917 | AXSM   | 0                   | 1125         | 2385         | M      |
| 20210917 | AXTA   | 0                   | 45           | 245          | M      |
| 20210917 | AXU    | 0                   | 100          | 1100         | M      |
| 20210917 | AY     | 0                   | 99           | 199          | M      |
| 20210917 | AYI    | 0                   | 252          | 258          | M      |
| 20210917 | AYRO   | 0                   | 0            | 346          | M      |
| 20210917 | AYX    | 0                   | 14           | 245          | M      |
| 20210917 | AZEK   | 0                   | 308          | 543          | M      |
| 20210917 | AZN    | 0                   | 0            | 4            | M      |
| 20210917 | AZO    | 0                   | 1228         | 1933         | M      |
| 20210917 | AZPN   | 0                   | 230          | 287          | M      |
| 20210917 | AZRX   | 0                   | 300          | 400          | M      |
| 20210917 | AZYO   | 0                   | 605          | 605          | M      |
| 20210917 | B      | 0                   | 412          | 412          | M      |
| 20210917 | BA     | 0                   | 957          | 4044         | M      |
| 20210917 | BABA   | 302                 | 8228         | 34878        | M      |
| 20210917 | BAC    | 0                   | 13           | 126          | M      |
| 20210917 | BAH    | 0                   | 307          | 325          | M      |
| 20210917 | BALY   | 0                   | 63           | 2070         | M      |
| 20210917 | BAM    | 100                 | 100          | 200          | M      |
| 20210917 | BAMR   | 65                  | 65           | 144          | M      |
| 20210917 | BAND   | 0                   | 164          | 164          | M      |
| 20210917 | BANF   | 0                   | 158          | 158          | M      |
| 20210917 | BANR   | 0                   | 298          | 353          | M      |
| 20210917 | BAP    | 0                   | 716          | 1111         | M      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | BARK   | 0                   | 0            | 54           | M      |
| 20210917 | BASE   | 0                   | 100          | 1922         | M      |
| 20210917 | BATL   | 0                   | 347          | 348          | M      |
| 20210917 | BATRA  | 0                   | 29           | 30           | M      |
| 20210917 | BATRK  | 0                   | 1            | 1            | M      |
| 20210917 | BAX    | 0                   | 860          | 60965        | M      |
| 20210917 | BB     | 0                   | 0            | 900          | M      |
| 20210917 | BBBY   | 0                   | 217          | 417          | M      |
| 20210917 | BBDC   | 0                   | 0            | 86           | M      |
| 20210917 | BBIO   | 0                   | 400          | 400          | M      |
| 20210917 | BBL    | 0                   | 5            | 198          | M      |
| 20210917 | BBSI   | 0                   | 82           | 110          | M      |
| 20210917 | BBW    | 0                   | 0            | 317          | M      |
| 20210917 | BBWI   | 0                   | 476          | 1774         | M      |
| 20210917 | BBY    | 0                   | 1427         | 1489         | M      |
| 20210917 | BC     | 0                   | 176          | 273          | M      |
| 20210917 | BCAB   | 0                   | 153          | 2279         | M      |
| 20210917 | BCC    | 0                   | 100          | 110          | M      |
| 20210917 | BCD    | 0                   | 100          | 100          | M      |
| 20210917 | BCE    | 100                 | 100          | 100          | M      |
| 20210917 | BCEI   | 0                   | 765          | 1593         | M      |
| 20210917 | BCH    | 0                   | 3            | 94           | M      |
| 20210917 | BCI    | 0                   | 400          | 400          | M      |
| 20210917 | BCO    | 0                   | 69           | 74           | M      |
| 20210917 | BCOR   | 0                   | 0            | 6            | M      |
| 20210917 | BCOV   | 0                   | 0            | 15           | M      |
| 20210917 | BCPC   | 0                   | 31           | 44           | M      |
| 20210917 | BCTX   | 0                   | 75           | 75           | M      |
| 20210917 | BCYC   | 0                   | 100          | 130          | M      |
| 20210917 | BDC    | 0                   | 13           | 119          | M      |
| 20210917 | BDSI   | 0                   | 1            | 1            | M      |
| 20210917 | BDSX   | 0                   | 600          | 600          | M      |
| 20210917 | BDTX   | 0                   | 307          | 322          | M      |
| 20210917 | BDX    | 0                   | 149          | 1093         | M      |
| 20210917 | BE     | 0                   | 100          | 461          | M      |
| 20210917 | BEAM   | 0                   | 257          | 621          | M      |
| 20210917 | BECN   | 0                   | 88           | 88           | M      |
| 20210917 | BEEM   | 0                   | 138          | 210          | M      |
| 20210917 | BEKE   | 0                   | 0            | 100          | M      |
| 20210917 | BELFB  | 0                   | 0            | 73           | M      |
| 20210917 | BEP    | 0                   | 100          | 103          | M      |
| 20210917 | BEPC   | 0                   | 200          | 200          | M      |
| 20210917 | BERY   | 135                 | 354          | 420          | M      |
| 20210917 | BETZ   | 0                   | 0            | 300          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | BF A   | 0                   | 210          | 210          | M      |
| 20210917 | BF B   | 0                   | 1457         | 1769         | M      |
| 20210917 | BFAM   | 0                   | 418          | 422          | M      |
| 20210917 | BFC    | 0                   | 133          | 135          | M      |
| 20210917 | BFI    | 0                   | 0            | 100          | M      |
| 20210917 | BFLY   | 0                   | 100          | 200          | M      |
| 20210917 | BFST   | 0                   | 0            | 89           | M      |
| 20210917 | BG     | 0                   | 1185         | 1499         | M      |
| 20210917 | BGCP   | 0                   | 156          | 255          | M      |
| 20210917 | BGFV   | 0                   | 303          | 303          | M      |
| 20210917 | BGH    | 0                   | 300          | 300          | M      |
| 20210917 | BGI    | 0                   | 100          | 100          | M      |
| 20210917 | BGNE   | 0                   | 3645         | 5270         | M      |
| 20210917 | BGRY   | 0                   | 200          | 310          | M      |
| 20210917 | BGS    | 0                   | 160          | 460          | M      |
| 20210917 | BH     | 0                   | 5            | 15           | M      |
| 20210917 | BHC    | 0                   | 3            | 3            | M      |
| 20210917 | BHE    | 0                   | 100          | 100          | M      |
| 20210917 | BHF    | 0                   | 200          | 300          | M      |
| 20210917 | BHG    | 0                   | 226          | 451          | M      |
| 20210917 | BHLB   | 0                   | 100          | 100          | M      |
| 20210917 | BHP    | 0                   | 474          | 626          | M      |
| 20210917 | BHVN   | 0                   | 944          | 1263         | M      |
| 20210917 | BIDU   | 0                   | 2226         | 33151        | M      |
| 20210917 | BIG    | 0                   | 192          | 192          | M      |
| 20210917 | BIGC   | 0                   | 130          | 130          | M      |
| 20210917 | BIIB   | 0                   | 23           | 386          | M      |
| 20210917 | BILI   | 0                   | 2681         | 4543         | M      |
| 20210917 | BILL   | 0                   | 2016         | 4471         | M      |
| 20210917 | BIO    | 0                   | 510          | 780          | M      |
| 20210917 | BIOC   | 0                   | 100          | 100          | M      |
| 20210917 | BIP    | 100                 | 100          | 195          | M      |
| 20210917 | BIPC   | 0                   | 117          | 217          | M      |
| 20210917 | BJ     | 0                   | 1100         | 1101         | M      |
| 20210917 | BJRI   | 0                   | 314          | 539          | M      |
| 20210917 | BK     | 0                   | 2697         | 5609         | M      |
| 20210917 | BKE    | 0                   | 487          | 487          | M      |
| 20210917 | BKH    | 0                   | 85           | 85           | M      |
| 20210917 | BKI    | 0                   | 215          | 422          | M      |
| 20210917 | BKLN   | 0                   | 200          | 400          | M      |
| 20210917 | BKNG   | 0                   | 1312         | 1487         | M      |
| 20210917 | BKR    | 0                   | 966          | 1702         | M      |
| 20210917 | BKSY   | 0                   | 200          | 330          | M      |
| 20210917 | BKU    | 0                   | 0            | 100          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | BL     | 0                   | 735          | 802          | M      |
| 20210917 | BLBD   | 0                   | 100          | 100          | M      |
| 20210917 | BLD    | 0                   | 160          | 353          | M      |
| 20210917 | BLDE   | 0                   | 312          | 562          | M      |
| 20210917 | BLDP   | 0                   | 0            | 281          | M      |
| 20210917 | BLDR   | 0                   | 155          | 346          | M      |
| 20210917 | BLFS   | 0                   | 144          | 255          | M      |
| 20210917 | BLFY   | 0                   | 200          | 882          | M      |
| 20210917 | BLI    | 119                 | 1370         | 3400         | M      |
| 20210917 | BLK    | 0                   | 630          | 1136         | M      |
| 20210917 | BLKB   | 0                   | 439          | 481          | M      |
| 20210917 | BLL    | 0                   | 1597         | 1623         | M      |
| 20210917 | BLMN   | 0                   | 150          | 496          | M      |
| 20210917 | BLND   | 0                   | 386          | 498          | M      |
| 20210917 | BLNK   | 0                   | 606          | 853          | M      |
| 20210917 | BLU    | 0                   | 0            | 200          | M      |
| 20210917 | BLUE   | 0                   | 648          | 798          | M      |
| 20210917 | BMBL   | 0                   | 100          | 100          | M      |
| 20210917 | BMI    | 0                   | 55           | 55           | M      |
| 20210917 | BMRC   | 0                   | 103          | 183          | M      |
| 20210917 | BMRN   | 0                   | 302          | 1755         | M      |
| 20210917 | BMY    | 0                   | 1794         | 18856        | M      |
| 20210917 | BNGO   | 0                   | 9            | 9            | M      |
| 20210917 | BNL    | 0                   | 295          | 495          | M      |
| 20210917 | BNR    | 0                   | 333          | 333          | M      |
| 20210917 | BNTX   | 0                   | 1345         | 12367        | M      |
| 20210917 | BODY   | 0                   | 886          | 1765         | M      |
| 20210917 | BOH    | 0                   | 76           | 81           | M      |
| 20210917 | BOIL   | 0                   | 350          | 2389         | M      |
| 20210917 | BOKF   | 0                   | 88           | 132          | M      |
| 20210917 | BOLT   | 0                   | 45           | 82           | M      |
| 20210917 | BOMN   | 0                   | 57           | 58           | M      |
| 20210917 | BOOM   | 0                   | 139          | 139          | M      |
| 20210917 | BOOT   | 0                   | 31           | 136          | M      |
| 20210917 | BOTZ   | 0                   | 0            | 200          | M      |
| 20210917 | BOWX   | 0                   | 0            | 200          | M      |
| 20210917 | BP     | 0                   | 0            | 10           | M      |
| 20210917 | BPMC   | 0                   | 328          | 378          | M      |
| 20210917 | BPMP   | 0                   | 0            | 20           | M      |
| 20210917 | BPOP   | 0                   | 15           | 15           | M      |
| 20210917 | BPT    | 0                   | 0            | 173          | M      |
| 20210917 | BPYPM  | 0                   | 100          | 300          | M      |
| 20210917 | BQ     | 0                   | 0            | 209          | M      |
| 20210917 | BR     | 0                   | 271          | 294          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | BRBR   | 0                   | 30           | 30           | M      |
| 20210917 | BRBS   | 0                   | 70           | 70           | M      |
| 20210917 | BRC    | 0                   | 32           | 89           | M      |
| 20210917 | BRDG   | 0                   | 273          | 273          | M      |
| 20210917 | BRFS   | 0                   | 783          | 783          | M      |
| 20210917 | BRG    | 0                   | 500          | 501          | M      |
| 20210917 | BRK B  | 0                   | 4295         | 5259         | M      |
| 20210917 | BRKR   | 0                   | 403          | 591          | M      |
| 20210917 | BRKS   | 0                   | 232          | 234          | M      |
| 20210917 | BRO    | 0                   | 2929         | 2929         | M      |
| 20210917 | BROS   | 0                   | 0            | 8            | M      |
| 20210917 | BRP    | 0                   | 0            | 43           | M      |
| 20210917 | BRSP   | 0                   | 75           | 75           | M      |
| 20210917 | BRT    | 0                   | 52           | 52           | M      |
| 20210917 | BRY    | 0                   | 86           | 86           | M      |
| 20210917 | BSET   | 0                   | 149          | 257          | M      |
| 20210917 | BSM    | 0                   | 0            | 300          | M      |
| 20210917 | BSTZ   | 0                   | 100          | 100          | M      |
| 20210917 | BSV    | 0                   | 300          | 300          | M      |
| 20210917 | BSX    | 0                   | 301          | 1350         | M      |
| 20210917 | BSY    | 0                   | 2215         | 4332         | M      |
| 20210917 | BTAI   | 0                   | 310          | 479          | M      |
| 20210917 | BTB    | 0                   | 100          | 100          | M      |
| 20210917 | BTBT   | 0                   | 227          | 625          | M      |
| 20210917 | BTCM   | 0                   | 580          | 700          | M      |
| 20210917 | BTCY   | 0                   | 144          | 144          | M      |
| 20210917 | BTI    | 0                   | 0            | 242          | M      |
| 20210917 | BTNB   | 0                   | 100          | 100          | M      |
| 20210917 | BTU    | 0                   | 343          | 613          | M      |
| 20210917 | BUI    | 0                   | 0            | 144          | M      |
| 20210917 | BURL   | 0                   | 1848         | 2182         | M      |
| 20210917 | BUSE   | 0                   | 66           | 66           | M      |
| 20210917 | BV     | 0                   | 600          | 900          | M      |
| 20210917 | BVH    | 0                   | 100          | 196          | M      |
| 20210917 | BVS    | 0                   | 321          | 326          | M      |
| 20210917 | BW     | 0                   | 265          | 265          | M      |
| 20210917 | BWA    | 0                   | 778          | 1391         | M      |
| 20210917 | BWEN   | 0                   | 0            | 211          | M      |
| 20210917 | BWMX   | 0                   | 2            | 94           | M      |
| 20210917 | BWXT   | 0                   | 200          | 201          | M      |
| 20210917 | BX     | 0                   | 1066         | 9384         | M      |
| 20210917 | BXP    | 0                   | 205          | 562          | M      |
| 20210917 | BXS    | 0                   | 100          | 100          | M      |
| 20210917 | BY     | 0                   | 0            | 21           | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | BYD    | 0                   | 498          | 549          | M      |
| 20210917 | BYFC   | 0                   | 146          | 298          | M      |
| 20210917 | BYND   | 0                   | 3624         | 4444         | M      |
| 20210917 | BYRN   | 0                   | 1009         | 1009         | M      |
| 20210917 | BYSI   | 0                   | 200          | 202          | M      |
| 20210917 | BZ     | 0                   | 427          | 1415         | M      |
| 20210917 | BZUN   | 0                   | 617          | 617          | M      |
| 20210917 | C      | 0                   | 2394         | 4078         | M      |
| 20210917 | CABO   | 0                   | 996          | 1120         | M      |
| 20210917 | CAC    | 0                   | 0            | 100          | M      |
| 20210917 | CACC   | 0                   | 170          | 627          | M      |
| 20210917 | CACI   | 0                   | 173          | 173          | M      |
| 20210917 | CAH    | 0                   | 620          | 1021         | M      |
| 20210917 | CAJ    | 0                   | 17           | 118          | M      |
| 20210917 | CAKE   | 0                   | 100          | 518          | M      |
| 20210917 | CAL    | 0                   | 100          | 100          | M      |
| 20210917 | CALM   | 0                   | 314          | 558          | M      |
| 20210917 | CALX   | 0                   | 600          | 610          | M      |
| 20210917 | CAN    | 0                   | 0            | 599          | M      |
| 20210917 | CANG   | 0                   | 100          | 100          | M      |
| 20210917 | CANO   | 0                   | 1210         | 1420         | M      |
| 20210917 | CAR    | 0                   | 366          | 371          | M      |
| 20210917 | CARG   | 0                   | 112          | 309          | M      |
| 20210917 | CARR   | 0                   | 575          | 920          | M      |
| 20210917 | CARS   | 0                   | 115          | 115          | M      |
| 20210917 | CARV   | 100                 | 120          | 120          | M      |
| 20210917 | CASH   | 0                   | 72           | 72           | M      |
| 20210917 | CASS   | 0                   | 0            | 100          | M      |
| 20210917 | CASY   | 0                   | 42           | 77           | M      |
| 20210917 | CAT    | 0                   | 178          | 30944        | M      |
| 20210917 | CATC   | 0                   | 32           | 79           | M      |
| 20210917 | CATO   | 0                   | 79           | 79           | M      |
| 20210917 | CATY   | 0                   | 358          | 620          | M      |
| 20210917 | CB     | 0                   | 4275         | 4648         | M      |
| 20210917 | CBIO   | 0                   | 0            | 300          | M      |
| 20210917 | CBOE   | 0                   | 428          | 484          | M      |
| 20210917 | CBRE   | 0                   | 404          | 546          | M      |
| 20210917 | CBRL   | 0                   | 233          | 233          | M      |
| 20210917 | CBSH   | 0                   | 35           | 35           | M      |
| 20210917 | CBT    | 0                   | 0            | 100          | M      |
| 20210917 | CBU    | 0                   | 903          | 1005         | M      |
| 20210917 | CBZ    | 0                   | 55           | 55           | M      |
| 20210917 | CC     | 0                   | 507          | 516          | M      |
| 20210917 | CCCC   | 0                   | 1112         | 1657         | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | CCCS   | 0                   | 26           | 649          | M      |
| 20210917 | CCEP   | 0                   | 304          | 601          | M      |
| 20210917 | CCF    | 0                   | 488          | 1040         | M      |
| 20210917 | CCI    | 0                   | 516          | 539          | M      |
| 20210917 | CCJ    | 0                   | 1848         | 4874         | M      |
| 20210917 | CCK    | 0                   | 191          | 307          | M      |
| 20210917 | CCL    | 0                   | 5406         | 10037        | M      |
| 20210917 | CCMP   | 0                   | 232          | 349          | M      |
| 20210917 | CCRN   | 0                   | 206          | 606          | M      |
| 20210917 | CCS    | 0                   | 169          | 169          | M      |
| 20210917 | CCXI   | 0                   | 229          | 229          | M      |
| 20210917 | CD     | 0                   | 100          | 1440         | M      |
| 20210917 | CDAY   | 0                   | 100          | 400          | M      |
| 20210917 | CDK    | 0                   | 156          | 178          | M      |
| 20210917 | CDLX   | 0                   | 331          | 468          | M      |
| 20210917 | CDMO   | 0                   | 235          | 404          | M      |
| 20210917 | CDNA   | 0                   | 193          | 474          | M      |
| 20210917 | CDNS   | 0                   | 140          | 298          | M      |
| 20210917 | CDW    | 0                   | 5            | 113          | M      |
| 20210917 | CDXC   | 0                   | 0            | 267          | M      |
| 20210917 | CDXS   | 0                   | 99           | 99           | M      |
| 20210917 | CDZI   | 0                   | 243          | 243          | M      |
| 20210917 | CE     | 0                   | 713          | 827          | M      |
| 20210917 | CEF    | 100                 | 100          | 100          | M      |
| 20210917 | CEI    | 0                   | 0            | 7950         | M      |
| 20210917 | CEIX   | 0                   | 0            | 476          | M      |
| 20210917 | CELC   | 0                   | 25           | 25           | M      |
| 20210917 | CELH   | 0                   | 3474         | 5076         | M      |
| 20210917 | CELU   | 0                   | 0            | 234          | M      |
| 20210917 | CEMI   | 0                   | 200          | 200          | M      |
| 20210917 | CENT   | 0                   | 301          | 301          | M      |
| 20210917 | CENTA  | 0                   | 0            | 209          | M      |
| 20210917 | CENX   | 0                   | 367          | 367          | M      |
| 20210917 | CEQP   | 0                   | 0            | 100          | M      |
| 20210917 | CERE   | 0                   | 483          | 607          | M      |
| 20210917 | CERN   | 0                   | 2496         | 2549         | M      |
| 20210917 | CERT   | 0                   | 200          | 453          | M      |
| 20210917 | CEVA   | 0                   | 58           | 92           | M      |
| 20210917 | CF     | 0                   | 150          | 241          | M      |
| 20210917 | CFB    | 0                   | 0            | 125          | M      |
| 20210917 | CFBK   | 0                   | 100          | 200          | M      |
| 20210917 | CFG    | 0                   | 1            | 50001        | M      |
| 20210917 | CFLT   | 0                   | 757          | 1154         | M      |
| 20210917 | CFR    | 0                   | 0            | 1            | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | CFX    | 0                   | 1449         | 2372         | M      |
| 20210917 | CG     | 0                   | 1432         | 3153         | M      |
| 20210917 | CGAU   | 0                   | 4            | 4            | M      |
| 20210917 | CGC    | 0                   | 0            | 5            | M      |
| 20210917 | CGEM   | 0                   | 210          | 300          | M      |
| 20210917 | CGNT   | 0                   | 100          | 213          | M      |
| 20210917 | CGNX   | 0                   | 407          | 514          | M      |
| 20210917 | CGRN   | 0                   | 100          | 201          | M      |
| 20210917 | CHAU   | 0                   | 0            | 500          | M      |
| 20210917 | CHCO   | 0                   | 88           | 241          | M      |
| 20210917 | CHCT   | 0                   | 100          | 200          | M      |
| 20210917 | CHD    | 0                   | 814          | 939          | M      |
| 20210917 | CHDN   | 0                   | 200          | 200          | M      |
| 20210917 | CHE    | 0                   | 179          | 189          | M      |
| 20210917 | CHEF   | 300                 | 300          | 390          | M      |
| 20210917 | CHGG   | 0                   | 866          | 915          | M      |
| 20210917 | CHH    | 0                   | 188          | 203          | M      |
| 20210917 | CHK    | 0                   | 818          | 1200         | M      |
| 20210917 | CHKP   | 0                   | 3            | 105          | M      |
| 20210917 | CHMI   | 0                   | 7            | 57           | M      |
| 20210917 | CHRS   | 0                   | 1819         | 2119         | M      |
| 20210917 | CHRW   | 0                   | 120          | 151          | M      |
| 20210917 | CHTR   | 0                   | 1110         | 2003         | M      |
| 20210917 | CHUY   | 0                   | 0            | 100          | M      |
| 20210917 | CHWY   | 0                   | 375          | 506          | M      |
| 20210917 | CHX    | 0                   | 0            | 509          | M      |
| 20210917 | CI     | 0                   | 854          | 5008         | M      |
| 20210917 | CIB    | 0                   | 870          | 870          | M      |
| 20210917 | CIBR   | 0                   | 1050         | 1056         | M      |
| 20210917 | CIEN   | 0                   | 84           | 122          | M      |
| 20210917 | CIFR   | 0                   | 200          | 370          | M      |
| 20210917 | CIGI   | 0                   | 32           | 32           | M      |
| 20210917 | CIM    | 0                   | 253          | 253          | M      |
| 20210917 | CINF   | 0                   | 67           | 183          | M      |
| 20210917 | CIO    | 0                   | 381          | 381          | M      |
| 20210917 | CIR    | 0                   | 0            | 2            | M      |
| 20210917 | CIT    | 0                   | 414          | 634          | M      |
| 20210917 | CIVB   | 0                   | 23           | 49           | M      |
| 20210917 | CL     | 0                   | 328          | 500          | M      |
| 20210917 | CLAR   | 0                   | 0            | 32           | M      |
| 20210917 | CLB    | 0                   | 200          | 200          | M      |
| 20210917 | CLBK   | 0                   | 100          | 100          | M      |
| 20210917 | CLBT   | 0                   | 100          | 100          | M      |
| 20210917 | CLDT   | 0                   | 109          | 109          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | CLDX   | 0                   | 113          | 213          | M      |
| 20210917 | CLEU   | 0                   | 0            | 100          | M      |
| 20210917 | CLF    | 0                   | 3594         | 5894         | M      |
| 20210917 | CLH    | 0                   | 0            | 100          | M      |
| 20210917 | CLI    | 0                   | 100          | 100          | M      |
| 20210917 | CLIR   | 0                   | 200          | 321          | M      |
| 20210917 | CLLS   | 0                   | 80           | 80           | M      |
| 20210917 | CLNN   | 0                   | 240          | 240          | M      |
| 20210917 | CLOU   | 0                   | 801          | 801          | M      |
| 20210917 | CLOV   | 0                   | 70           | 71           | M      |
| 20210917 | CLR    | 0                   | 285          | 294          | M      |
| 20210917 | CLSD   | 0                   | 414          | 414          | M      |
| 20210917 | CLSK   | 0                   | 0            | 105          | M      |
| 20210917 | CLVT   | 0                   | 100          | 100          | M      |
| 20210917 | CLW    | 0                   | 130          | 130          | M      |
| 20210917 | CLX    | 0                   | 628          | 722          | M      |
| 20210917 | CM     | 0                   | 0            | 1000000      | M      |
| 20210917 | CMA    | 0                   | 1891         | 1897         | M      |
| 20210917 | CMAX   | 0                   | 0            | 310          | M      |
| 20210917 | CMBM   | 0                   | 286          | 301          | M      |
| 20210917 | CMC    | 0                   | 607          | 707          | M      |
| 20210917 | CMCO   | 0                   | 409          | 409          | M      |
| 20210917 | CMCSA  | 0                   | 8818         | 253622       | M      |
| 20210917 | CME    | 0                   | 317          | 553          | M      |
| 20210917 | CMG    | 0                   | 1877         | 2113         | M      |
| 20210917 | CMI    | 0                   | 2717         | 2978         | M      |
| 20210917 | CMP    | 0                   | 365          | 393          | M      |
| 20210917 | CMPS   | 0                   | 400          | 600          | M      |
| 20210917 | CMRE   | 0                   | 543          | 543          | M      |
| 20210917 | CMRX   | 0                   | 0            | 200          | M      |
| 20210917 | CMS    | 0                   | 0            | 6            | M      |
| 20210917 | CMTL   | 0                   | 33           | 46           | M      |
| 20210917 | CNA    | 0                   | 458          | 463          | M      |
| 20210917 | CNC    | 0                   | 1023         | 1810         | M      |
| 20210917 | CNCE   | 0                   | 600          | 600          | M      |
| 20210917 | CND    | 0                   | 0            | 100          | M      |
| 20210917 | CNHI   | 0                   | 437          | 3078         | M      |
| 20210917 | CNI    | 0                   | 312          | 411          | M      |
| 20210917 | CNK    | 0                   | 93           | 93           | M      |
| 20210917 | CNM    | 0                   | 394          | 1180         | M      |
| 20210917 | CNMD   | 0                   | 469          | 469          | M      |
| 20210917 | CNO    | 0                   | 991          | 991          | M      |
| 20210917 | CNOB   | 0                   | 76           | 76           | M      |
| 20210917 | CNP    | 0                   | 839          | 1500         | M      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | CNQ    | 200                 | 9211         | 18861        | M      |
| 20210917 | CNR    | 0                   | 0            | 81           | M      |
| 20210917 | CNS    | 0                   | 390          | 390          | M      |
| 20210917 | CNSL   | 0                   | 514          | 514          | M      |
| 20210917 | CNTB   | 0                   | 150          | 250          | M      |
| 20210917 | CNVY   | 0                   | 0            | 221          | M      |
| 20210917 | CNXC   | 0                   | 425          | 560          | M      |
| 20210917 | CODI   | 0                   | 20           | 120          | M      |
| 20210917 | CODX   | 0                   | 140          | 140          | M      |
| 20210917 | COF    | 0                   | 1372         | 1940         | M      |
| 20210917 | COHU   | 0                   | 8            | 8            | M      |
| 20210917 | COIN   | 0                   | 1669         | 3073         | M      |
| 20210917 | COKE   | 0                   | 256          | 566          | M      |
| 20210917 | COLB   | 0                   | 0            | 4            | M      |
| 20210917 | COLD   | 0                   | 330          | 330          | M      |
| 20210917 | COLM   | 0                   | 0            | 112          | M      |
| 20210917 | COM    | 0                   | 0            | 63           | M      |
| 20210917 | COMB   | 0                   | 0            | 1            | M      |
| 20210917 | COMP   | 0                   | 760          | 1051         | M      |
| 20210917 | COMT   | 0                   | 862          | 862          | M      |
| 20210917 | CONE   | 0                   | 100          | 100          | M      |
| 20210917 | CONN   | 0                   | 107          | 236          | M      |
| 20210917 | COO    | 0                   | 277          | 498          | M      |
| 20210917 | COOK   | 0                   | 60           | 171          | M      |
| 20210917 | COOP   | 0                   | 709          | 1727         | M      |
| 20210917 | COP    | 0                   | 147          | 147          | M      |
| 20210917 | COR    | 0                   | 126          | 172          | M      |
| 20210917 | CORN   | 0                   | 1            | 1            | M      |
| 20210917 | CORR   | 0                   | 75           | 75           | M      |
| 20210917 | CORT   | 0                   | 116          | 116          | M      |
| 20210917 | COST   | 0                   | 1056         | 11281        | M      |
| 20210917 | COTY   | 0                   | 2            | 5            | M      |
| 20210917 | COUP   | 0                   | 407          | 1527         | M      |
| 20210917 | COUR   | 0                   | 1463         | 3627         | M      |
| 20210917 | COW    | 0                   | 4            | 4            | M      |
| 20210917 | COWN   | 0                   | 48           | 139          | M      |
| 20210917 | CP     | 0                   | 2257         | 2463         | M      |
| 20210917 | CPA    | 0                   | 78           | 78           | M      |
| 20210917 | CPB    | 0                   | 464          | 564          | M      |
| 20210917 | CPE    | 0                   | 614          | 614          | M      |
| 20210917 | CPF    | 0                   | 600          | 600          | M      |
| 20210917 | CPK    | 0                   | 437          | 437          | M      |
| 20210917 | CPLG   | 0                   | 36           | 86           | M      |
| 20210917 | CPNG   | 0                   | 1211         | 1795         | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | CPOP   | 0                   | 0            | 145          | M      |
| 20210917 | CPRI   | 0                   | 25           | 100          | M      |
| 20210917 | CPRT   | 0                   | 624          | 928          | M      |
| 20210917 | CPS    | 0                   | 744          | 780          | M      |
| 20210917 | CPSI   | 0                   | 81           | 178          | M      |
| 20210917 | CPT    | 0                   | 239          | 339          | M      |
| 20210917 | CQP    | 0                   | 612          | 1312         | M      |
| 20210917 | CR     | 0                   | 63           | 163          | M      |
| 20210917 | CRAI   | 0                   | 26           | 26           | M      |
| 20210917 | CRBP   | 0                   | 0            | 1261         | M      |
| 20210917 | CRBU   | 0                   | 400          | 2280         | M      |
| 20210917 | CRC    | 0                   | 1001         | 2263         | M      |
| 20210917 | CRCT   | 0                   | 900          | 1300         | M      |
| 20210917 | CRDF   | 0                   | 65           | 65           | M      |
| 20210917 | CRDL   | 0                   | 51           | 151          | M      |
| 20210917 | CREE   | 0                   | 219          | 253          | M      |
| 20210917 | CRF    | 0                   | 100          | 200          | M      |
| 20210917 | CRI    | 0                   | 15           | 115          | M      |
| 20210917 | CRIS   | 0                   | 30           | 30           | M      |
| 20210917 | CRL    | 0                   | 1281         | 1543         | M      |
| 20210917 | CRM    | 0                   | 871          | 41354        | M      |
| 20210917 | CRMT   | 0                   | 0            | 9            | M      |
| 20210917 | CRNC   | 0                   | 568          | 706          | M      |
| 20210917 | CRNX   | 0                   | 543          | 691          | M      |
| 20210917 | CROX   | 0                   | 2521         | 28021        | M      |
| 20210917 | CRS    | 0                   | 190          | 190          | M      |
| 20210917 | CRSP   | 100                 | 1255         | 1517         | M      |
| 20210917 | CRSR   | 0                   | 599          | 699          | M      |
| 20210917 | CRTD   | 0                   | 0            | 683          | M      |
| 20210917 | CRTO   | 0                   | 0            | 711          | M      |
| 20210917 | CRTX   | 0                   | 540          | 540          | M      |
| 20210917 | CRUS   | 0                   | 500          | 564          | M      |
| 20210917 | CRVL   | 0                   | 58           | 172          | M      |
| 20210917 | CRWD   | 0                   | 1564         | 2427         | M      |
| 20210917 | CRY    | 0                   | 151          | 222          | M      |
| 20210917 | CSCO   | 0                   | 3402         | 103630       | M      |
| 20210917 | CSGP   | 0                   | 1773         | 1873         | M      |
| 20210917 | CSGS   | 0                   | 5            | 45           | M      |
| 20210917 | CSII   | 0                   | 89           | 238          | M      |
| 20210917 | CSIQ   | 0                   | 218          | 318          | M      |
| 20210917 | CSL    | 0                   | 127          | 279          | M      |
| 20210917 | CSOD   | 0                   | 0            | 2            | M      |
| 20210917 | CSQ    | 0                   | 0            | 167          | M      |
| 20210917 | CSR    | 0                   | 40           | 121          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | CSSE   | 0                   | 200          | 200          | M      |
| 20210917 | CSTE   | 0                   | 0            | 46           | M      |
| 20210917 | CSTL   | 0                   | 517          | 624          | M      |
| 20210917 | CSU    | 200                 | 1501         | 1785         | M      |
| 20210917 | CSV    | 0                   | 30           | 250          | M      |
| 20210917 | CSWI   | 0                   | 586          | 778          | M      |
| 20210917 | CSX    | 0                   | 830          | 28130        | M      |
| 20210917 | CTAS   | 0                   | 59           | 94           | M      |
| 20210917 | CTHR   | 0                   | 0            | 255          | M      |
| 20210917 | CTK    | 0                   | 0            | 100          | M      |
| 20210917 | CTKB   | 0                   | 705          | 1451         | M      |
| 20210917 | CTLP   | 0                   | 11           | 111          | M      |
| 20210917 | CTLT   | 0                   | 80           | 293          | M      |
| 20210917 | CTOS   | 0                   | 448          | 638          | M      |
| 20210917 | CTRN   | 0                   | 226          | 226          | M      |
| 20210917 | CTS    | 0                   | 1            | 101          | M      |
| 20210917 | CTSH   | 0                   | 650          | 654          | M      |
| 20210917 | CTVA   | 0                   | 408          | 836          | M      |
| 20210917 | CTXS   | 0                   | 1088         | 1095         | M      |
| 20210917 | CUBE   | 0                   | 171          | 484          | M      |
| 20210917 | CULL   | 0                   | 0            | 17           | M      |
| 20210917 | CURI   | 0                   | 356          | 456          | M      |
| 20210917 | CURO   | 0                   | 190          | 190          | M      |
| 20210917 | CURV   | 0                   | 600          | 903          | M      |
| 20210917 | CUTR   | 0                   | 51           | 55           | M      |
| 20210917 | CUZ    | 0                   | 293          | 393          | M      |
| 20210917 | CVAC   | 0                   | 0            | 414          | M      |
| 20210917 | CVBF   | 0                   | 300          | 300          | M      |
| 20210917 | CVCO   | 0                   | 16           | 19           | M      |
| 20210917 | CVCY   | 0                   | 0            | 12           | M      |
| 20210917 | CVGI   | 0                   | 139          | 334          | M      |
| 20210917 | CVGW   | 0                   | 1230         | 1558         | M      |
| 20210917 | CVLG   | 0                   | 200          | 200          | M      |
| 20210917 | CVLT   | 0                   | 27           | 27           | M      |
| 20210917 | CVNA   | 0                   | 834          | 1558         | M      |
| 20210917 | CVRX   | 0                   | 949          | 1372         | M      |
| 20210917 | CVS    | 0                   | 363          | 710          | M      |
| 20210917 | CVX    | 0                   | 200          | 205          | M      |
| 20210917 | CW     | 0                   | 398          | 530          | M      |
| 20210917 | CWEN A | 0                   | 100          | 278          | M      |
| 20210917 | CWH    | 0                   | 0            | 606          | M      |
| 20210917 | CWK    | 0                   | 200          | 200          | M      |
| 20210917 | CWST   | 0                   | 135          | 235          | M      |
| 20210917 | CX     | 0                   | 1114         | 3005         | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | CXW    | 0                   | 30           | 31           | M      |
| 20210917 | CYBR   | 0                   | 700          | 1400         | M      |
| 20210917 | CYCCP  | 0                   | 0            | 18           | M      |
| 20210917 | CYCN   | 0                   | 1381         | 1381         | M      |
| 20210917 | CYH    | 0                   | 400          | 500          | M      |
| 20210917 | CYRX   | 0                   | 106          | 115          | M      |
| 20210917 | CYT    | 0                   | 294          | 569          | M      |
| 20210917 | CYTK   | 0                   | 179          | 279          | M      |
| 20210917 | CYXT   | 0                   | 246          | 1338         | M      |
| 20210917 | CZNC   | 0                   | 4            | 4            | M      |
| 20210917 | CZOO   | 0                   | 531          | 689          | M      |
| 20210917 | CZR    | 0                   | 567          | 2307         | M      |
| 20210917 | D      | 0                   | 252          | 45200        | M      |
| 20210917 | DAC    | 0                   | 100          | 100          | M      |
| 20210917 | DADA   | 0                   | 200          | 745          | M      |
| 20210917 | DAKT   | 0                   | 0            | 281          | M      |
| 20210917 | DAL    | 0                   | 16           | 30           | M      |
| 20210917 | DAN    | 0                   | 57           | 57           | M      |
| 20210917 | DAO    | 0                   | 1187         | 1454         | M      |
| 20210917 | DAR    | 0                   | 4074         | 4386         | M      |
| 20210917 | DARE   | 0                   | 0            | 4            | M      |
| 20210917 | DASH   | 0                   | 2135         | 2986         | M      |
| 20210917 | DAWN   | 0                   | 343          | 801          | M      |
| 20210917 | DBI    | 0                   | 356          | 656          | M      |
| 20210917 | DBX    | 0                   | 6            | 31           | M      |
| 20210917 | DCBO   | 0                   | 0            | 41           | M      |
| 20210917 | DCI    | 0                   | 59           | 579          | M      |
| 20210917 | DCO    | 0                   | 32           | 131          | M      |
| 20210917 | DCOM   | 0                   | 48           | 54           | M      |
| 20210917 | DCP    | 0                   | 448          | 2566         | M      |
| 20210917 | DCPH   | 0                   | 2712         | 2727         | M      |
| 20210917 | DCT    | 0                   | 0            | 1515         | M      |
| 20210917 | DD     | 0                   | 4289         | 10831        | M      |
| 20210917 | DDD    | 2                   | 1356         | 3489         | M      |
| 20210917 | DDI    | 0                   | 0            | 145          | M      |
| 20210917 | DDOG   | 0                   | 591          | 1209         | M      |
| 20210917 | DDS    | 0                   | 151          | 256          | M      |
| 20210917 | DE     | 0                   | 1253         | 1522         | M      |
| 20210917 | DEA    | 0                   | 100          | 100          | M      |
| 20210917 | DECK   | 0                   | 259          | 263          | M      |
| 20210917 | DEH    | 0                   | 600          | 600          | M      |
| 20210917 | DEI    | 0                   | 200          | 200          | M      |
| 20210917 | DELL   | 0                   | 313          | 25684        | M      |
| 20210917 | DEN    | 0                   | 0            | 333          | M      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20210917 | DEO     | 0                   | 0            | 14           | M      |
| 20210917 | DFH     | 0                   | 100          | 100          | M      |
| 20210917 | DFIN    | 0                   | 29           | 29           | M      |
| 20210917 | DFS     | 0                   | 100          | 307          | M      |
| 20210917 | DG      | 0                   | 204          | 357          | M      |
| 20210917 | DGICA   | 0                   | 216          | 216          | M      |
| 20210917 | DGII    | 0                   | 1            | 1            | M      |
| 20210917 | DGX     | 0                   | 1009         | 2250         | M      |
| 20210917 | DH      | 0                   | 0            | 1425         | M      |
| 20210917 | DHI     | 0                   | 78           | 53283        | M      |
| 20210917 | DHIL    | 0                   | 1            | 20           | M      |
| 20210917 | DHR     | 0                   | 4243         | 5221         | M      |
| 20210917 | DIBS    | 100                 | 617          | 948          | M      |
| 20210917 | DICE    | 0                   | 700          | 3116         | M      |
| 20210917 | DIN     | 0                   | 148          | 148          | M      |
| 20210917 | DIOD    | 0                   | 4            | 7            | M      |
| 20210917 | DIS     | 0                   | 296          | 555          | M      |
| 20210917 | DISCA   | 0                   | 2            | 2            | M      |
| 20210917 | DISCK   | 0                   | 61           | 583          | M      |
| 20210917 | DISH    | 0                   | 130          | 537          | M      |
| 20210917 | DJP     | 0                   | 1500         | 1500         | M      |
| 20210917 | DK      | 0                   | 834          | 1417         | M      |
| 20210917 | DKNG    | 0                   | 432          | 7013         | M      |
| 20210917 | DKS     | 0                   | 512          | 552          | M      |
| 20210917 | DLB     | 0                   | 0            | 118          | M      |
| 20210917 | DLO     | 0                   | 226          | 363          | M      |
| 20210917 | DLR     | 0                   | 520          | 748          | M      |
| 20210917 | DLR PRL | 0                   | 0            | 100          | M      |
| 20210917 | DLTH    | 0                   | 33           | 33           | M      |
| 20210917 | DLTR    | 0                   | 200          | 806          | M      |
| 20210917 | DLX     | 0                   | 100          | 117          | M      |
| 20210917 | DLY     | 0                   | 0            | 100          | M      |
| 20210917 | DMRC    | 0                   | 0            | 100          | M      |
| 20210917 | DMRL    | 0                   | 21           | 24           | M      |
| 20210917 | DMRS    | 0                   | 10           | 10           | M      |
| 20210917 | DMTK    | 0                   | 936          | 965          | M      |
| 20210917 | DNAY    | 89                  | 550          | 662          | M      |
| 20210917 | DNB     | 0                   | 100          | 100          | M      |
| 20210917 | DNLI    | 0                   | 712          | 867          | M      |
| 20210917 | DNMR    | 0                   | 146          | 147          | M      |
| 20210917 | DNN     | 0                   | 0            | 988          | M      |
| 20210917 | DNUT    | 0                   | 959          | 1162         | M      |
| 20210917 | DOCN    | 0                   | 8875         | 9869         | M      |
| 20210917 | DOCS    | 0                   | 422          | 1957         | M      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20210917 | DOCU    | 0                   | 3503         | 4089         | M      |
| 20210917 | DOLE    | 0                   | 0            | 100          | M      |
| 20210917 | DOMA    | 294                 | 2302         | 5905         | M      |
| 20210917 | DOMO    | 0                   | 1208         | 1377         | M      |
| 20210917 | DOOO    | 0                   | 123          | 123          | M      |
| 20210917 | DOOR    | 0                   | 14           | 21           | M      |
| 20210917 | DORM    | 0                   | 367          | 370          | M      |
| 20210917 | DOV     | 0                   | 23           | 144          | M      |
| 20210917 | DOW     | 0                   | 465          | 5747         | M      |
| 20210917 | DOX     | 0                   | 23           | 23           | M      |
| 20210917 | DPRO    | 0                   | 0            | 100          | M      |
| 20210917 | DPST    | 0                   | 100          | 100          | M      |
| 20210917 | DPZ     | 0                   | 426          | 544          | M      |
| 20210917 | DQ      | 0                   | 570          | 1539         | M      |
| 20210917 | DRH     | 0                   | 0            | 667          | M      |
| 20210917 | DRI     | 0                   | 433          | 455          | M      |
| 20210917 | DRNA    | 0                   | 8            | 783          | M      |
| 20210917 | DRQ     | 0                   | 50           | 50           | M      |
| 20210917 | DRVN    | 0                   | 13           | 204          | M      |
| 20210917 | DSEY    | 0                   | 438          | 454          | M      |
| 20210917 | DSGN    | 0                   | 336          | 561          | M      |
| 20210917 | DSGX    | 0                   | 100          | 200          | M      |
| 20210917 | DSKE    | 0                   | 0            | 194          | M      |
| 20210917 | DSU     | 0                   | 429          | 429          | M      |
| 20210917 | DT      | 0                   | 323          | 759          | M      |
| 20210917 | DTE     | 0                   | 833          | 1620         | M      |
| 20210917 | DTIL    | 0                   | 162          | 162          | M      |
| 20210917 | DTLA PR | 0                   | 0            | 56           | M      |
| 20210917 | DTM     | 0                   | 661          | 920          | M      |
| 20210917 | DTSS    | 0                   | 0            | 649          | M      |
| 20210917 | DTST    | 0                   | 0            | 416          | M      |
| 20210917 | DUDE    | 0                   | 200          | 200          | M      |
| 20210917 | DUK     | 0                   | 1441         | 2182         | M      |
| 20210917 | DUOL    | 0                   | 995          | 1184         | M      |
| 20210917 | DV      | 0                   | 272          | 351          | M      |
| 20210917 | DVA     | 0                   | 1            | 1            | M      |
| 20210917 | DVAX    | 0                   | 700          | 825          | M      |
| 20210917 | DVN     | 0                   | 16           | 17           | M      |
| 20210917 | DVY     | 0                   | 0            | 37           | M      |
| 20210917 | DXC     | 0                   | 400          | 540          | M      |
| 20210917 | DXCM    | 0                   | 766          | 3008         | M      |
| 20210917 | DXLG    | 0                   | 19           | 145          | M      |
| 20210917 | DXPE    | 0                   | 275          | 1104         | M      |
| 20210917 | DY      | 0                   | 95           | 95           | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | DYAI   | 0                   | 0            | 68           | M      |
| 20210917 | DYN    | 0                   | 0            | 72           | M      |
| 20210917 | DZSI   | 0                   | 2            | 2            | M      |
| 20210917 | EA     | 0                   | 459          | 5898         | M      |
| 20210917 | EAF    | 0                   | 6518         | 8810         | M      |
| 20210917 | EAR    | 0                   | 382          | 985          | M      |
| 20210917 | EAT    | 0                   | 200          | 249          | M      |
| 20210917 | EBAY   | 0                   | 4408         | 6561         | M      |
| 20210917 | EBC    | 0                   | 10           | 168          | M      |
| 20210917 | EBET   | 0                   | 1642         | 1943         | M      |
| 20210917 | EBIX   | 0                   | 232          | 332          | M      |
| 20210917 | EBND   | 0                   | 0            | 1000         | M      |
| 20210917 | EBS    | 0                   | 72           | 270          | M      |
| 20210917 | EBTC   | 0                   | 1            | 1            | M      |
| 20210917 | EC     | 0                   | 324          | 448          | M      |
| 20210917 | ECL    | 0                   | 202          | 425          | M      |
| 20210917 | ECOL   | 0                   | 100          | 334          | M      |
| 20210917 | ECOM   | 0                   | 0            | 1            | M      |
| 20210917 | ECPG   | 0                   | 20           | 20           | M      |
| 20210917 | ECVT   | 0                   | 279          | 298          | M      |
| 20210917 | ED     | 0                   | 1049         | 1638         | M      |
| 20210917 | EDAP   | 0                   | 99           | 99           | M      |
| 20210917 | EDIT   | 0                   | 161          | 49469        | M      |
| 20210917 | EDOC   | 0                   | 0            | 200          | M      |
| 20210917 | EDR    | 0                   | 14           | 14           | M      |
| 20210917 | EDRY   | 0                   | 339          | 469          | M      |
| 20210917 | EDTK   | 0                   | 100          | 100          | M      |
| 20210917 | EDU    | 0                   | 0            | 7            | M      |
| 20210917 | EEM    | 0                   | 1703         | 193666       | M      |
| 20210917 | EFA    | 0                   | 0            | 603          | M      |
| 20210917 | EFC    | 0                   | 0            | 131          | M      |
| 20210917 | EFG    | 0                   | 37           | 37           | M      |
| 20210917 | EFSC   | 0                   | 39           | 307          | M      |
| 20210917 | EFT    | 0                   | 0            | 124          | M      |
| 20210917 | EFTR   | 0                   | 0            | 3946         | M      |
| 20210917 | EFV    | 0                   | 1            | 1            | M      |
| 20210917 | EFX    | 0                   | 172          | 481          | M      |
| 20210917 | EGAN   | 0                   | 9            | 11           | M      |
| 20210917 | EGBN   | 0                   | 116          | 152          | M      |
| 20210917 | EGHT   | 0                   | 2            | 2            | M      |
| 20210917 | EGLE   | 0                   | 121          | 171          | M      |
| 20210917 | EGLX   | 0                   | 506          | 506          | M      |
| 20210917 | EGP    | 0                   | 525          | 525          | M      |
| 20210917 | EGRX   | 0                   | 100          | 157          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | EGY    | 0                   | 0            | 100          | M      |
| 20210917 | EH     | 0                   | 2055         | 2860         | M      |
| 20210917 | EHC    | 0                   | 59           | 59           | M      |
| 20210917 | EHTH   | 0                   | 110          | 444          | M      |
| 20210917 | EIG    | 0                   | 51           | 51           | M      |
| 20210917 | EIGR   | 0                   | 0            | 189          | M      |
| 20210917 | EINC   | 0                   | 6            | 6            | M      |
| 20210917 | EIX    | 0                   | 233          | 48756        | M      |
| 20210917 | EL     | 0                   | 240          | 835          | M      |
| 20210917 | ELAN   | 0                   | 726          | 1126         | M      |
| 20210917 | ELF    | 0                   | 929          | 1072         | M      |
| 20210917 | ELMS   | 0                   | 290          | 701          | M      |
| 20210917 | ELP    | 0                   | 259          | 259          | M      |
| 20210917 | ELS    | 0                   | 205          | 305          | M      |
| 20210917 | ELVT   | 0                   | 0            | 241          | M      |
| 20210917 | ELY    | 0                   | 0            | 100          | M      |
| 20210917 | ELYM   | 1                   | 188          | 188          | M      |
| 20210917 | EME    | 0                   | 40           | 40           | M      |
| 20210917 | EMN    | 0                   | 222          | 272          | M      |
| 20210917 | EMR    | 0                   | 262          | 800          | M      |
| 20210917 | EMXC   | 0                   | 500          | 500          | M      |
| 20210917 | ENB    | 0                   | 3587         | 7338         | M      |
| 20210917 | ENDP   | 0                   | 29           | 122          | M      |
| 20210917 | ENLC   | 0                   | 4800         | 4800         | M      |
| 20210917 | ENLV   | 0                   | 102          | 102          | M      |
| 20210917 | ENPH   | 0                   | 303          | 326          | M      |
| 20210917 | ENS    | 0                   | 175          | 175          | M      |
| 20210917 | ENSC   | 0                   | 183          | 183          | M      |
| 20210917 | ENSG   | 0                   | 112          | 469          | M      |
| 20210917 | ENTA   | 0                   | 307          | 513          | M      |
| 20210917 | ENTG   | 0                   | 361          | 562          | M      |
| 20210917 | ENV    | 0                   | 0            | 17           | M      |
| 20210917 | ENVA   | 0                   | 0            | 114          | M      |
| 20210917 | ENVB   | 0                   | 300          | 300          | M      |
| 20210917 | ENVX   | 0                   | 1607         | 2961         | M      |
| 20210917 | EOG    | 0                   | 838          | 2674         | M      |
| 20210917 | EOLS   | 0                   | 100          | 100          | M      |
| 20210917 | EOSE   | 0                   | 626          | 626          | M      |
| 20210917 | EPAC   | 0                   | 190          | 190          | M      |
| 20210917 | EPAM   | 0                   | 8            | 214          | M      |
| 20210917 | EPAY   | 0                   | 112          | 112          | M      |
| 20210917 | EPC    | 0                   | 0            | 71           | M      |
| 20210917 | EPOL   | 0                   | 0            | 200          | M      |
| 20210917 | EPR    | 0                   | 1292         | 1392         | M      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | EPRT   | 0                   | 240          | 240          | M      |
| 20210917 | EPZM   | 0                   | 100          | 100          | M      |
| 20210917 | EQC    | 0                   | 100          | 369          | M      |
| 20210917 | EQH    | 0                   | 293          | 293          | M      |
| 20210917 | EQIX   | 0                   | 549          | 763          | M      |
| 20210917 | EQOS   | 0                   | 95           | 104          | M      |
| 20210917 | EQR    | 0                   | 100          | 107          | M      |
| 20210917 | EQT    | 0                   | 0            | 1330         | M      |
| 20210917 | EQX    | 0                   | 159          | 159          | M      |
| 20210917 | ERAS   | 0                   | 394          | 890          | M      |
| 20210917 | ERIE   | 0                   | 220          | 225          | M      |
| 20210917 | ERII   | 0                   | 119          | 119          | M      |
| 20210917 | ERJ    | 1                   | 73           | 88           | M      |
| 20210917 | ES     | 0                   | 183          | 927          | M      |
| 20210917 | ESCA   | 0                   | 294          | 394          | M      |
| 20210917 | ESE    | 0                   | 24           | 24           | M      |
| 20210917 | ESEA   | 0                   | 665          | 763          | M      |
| 20210917 | ESGR   | 0                   | 304          | 304          | M      |
| 20210917 | ESI    | 0                   | 490          | 766          | M      |
| 20210917 | ESNT   | 0                   | 384          | 384          | M      |
| 20210917 | ESPR   | 0                   | 2646         | 2846         | M      |
| 20210917 | ESS    | 0                   | 13           | 154          | M      |
| 20210917 | ESTA   | 0                   | 60           | 165          | M      |
| 20210917 | ESTC   | 0                   | 545          | 1444         | M      |
| 20210917 | ET     | 0                   | 0            | 3084         | M      |
| 20210917 | ETD    | 0                   | 38           | 241          | M      |
| 20210917 | ETN    | 0                   | 309          | 483          | M      |
| 20210917 | ETNB   | 0                   | 154          | 154          | M      |
| 20210917 | ETR    | 0                   | 600          | 1033         | M      |
| 20210917 | ETSY   | 0                   | 431          | 782          | M      |
| 20210917 | ETWO   | 0                   | 1362         | 1462         | M      |
| 20210917 | EVBG   | 0                   | 182          | 182          | M      |
| 20210917 | EVCM   | 0                   | 550          | 1966         | M      |
| 20210917 | EVER   | 0                   | 731          | 817          | M      |
| 20210917 | EVGO   | 0                   | 0            | 398          | M      |
| 20210917 | EVH    | 0                   | 0            | 9            | M      |
| 20210917 | EVI    | 0                   | 0            | 181          | M      |
| 20210917 | EVLO   | 0                   | 107          | 107          | M      |
| 20210917 | EVLV   | 300                 | 499          | 499          | M      |
| 20210917 | EVOP   | 0                   | 1000         | 1300         | M      |
| 20210917 | EVR    | 0                   | 60           | 60           | M      |
| 20210917 | EVRG   | 0                   | 177          | 328          | M      |
| 20210917 | EVRI   | 0                   | 148          | 148          | M      |
| 20210917 | EW     | 0                   | 390          | 558          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | EWBC   | 0                   | 0            | 426          | M      |
| 20210917 | EWC    | 0                   | 0            | 480000       | M      |
| 20210917 | EWCZ   | 0                   | 529          | 644          | M      |
| 20210917 | EWD    | 0                   | 0            | 488          | M      |
| 20210917 | EWN    | 0                   | 0            | 272          | M      |
| 20210917 | EWP    | 0                   | 200          | 472          | M      |
| 20210917 | EWT    | 0                   | 0            | 24943        | M      |
| 20210917 | EWTX   | 0                   | 144          | 144          | M      |
| 20210917 | EWZ    | 0                   | 5            | 377451       | M      |
| 20210917 | EXAS   | 0                   | 1899         | 2968         | M      |
| 20210917 | EXC    | 0                   | 13           | 15           | M      |
| 20210917 | EXEL   | 0                   | 200          | 702          | M      |
| 20210917 | EXLS   | 0                   | 359          | 442          | M      |
| 20210917 | EXP    | 0                   | 95           | 148          | M      |
| 20210917 | EXPD   | 0                   | 56           | 56           | M      |
| 20210917 | EXPE   | 0                   | 2781         | 4307         | M      |
| 20210917 | EXPI   | 0                   | 306          | 406          | M      |
| 20210917 | EXPO   | 0                   | 116          | 221          | M      |
| 20210917 | EXR    | 0                   | 350          | 364          | M      |
| 20210917 | EYE    | 0                   | 11           | 11           | M      |
| 20210917 | EYPT   | 0                   | 302          | 302          | M      |
| 20210917 | EZGO   | 0                   | 62           | 62           | M      |
| 20210917 | F      | 0                   | 1566         | 9735         | M      |
| 20210917 | FA     | 0                   | 903          | 1880         | M      |
| 20210917 | FAF    | 0                   | 123          | 123          | M      |
| 20210917 | FALN   | 0                   | 0            | 100          | M      |
| 20210917 | FANG   | 0                   | 1111         | 1420         | M      |
| 20210917 | FANH   | 0                   | 381          | 381          | M      |
| 20210917 | FARM   | 0                   | 97           | 97           | M      |
| 20210917 | FARO   | 0                   | 459          | 640          | M      |
| 20210917 | FAST   | 0                   | 100          | 100          | M      |
| 20210917 | FATE   | 112                 | 2618         | 3430         | M      |
| 20210917 | FB     | 0                   | 1493         | 557119       | M      |
| 20210917 | FBC    | 0                   | 100          | 100          | M      |
| 20210917 | FBHS   | 90                  | 352          | 478          | M      |
| 20210917 | FBK    | 0                   | 95           | 285          | M      |
| 20210917 | FBNC   | 0                   | 35           | 45           | M      |
| 20210917 | FBP    | 0                   | 1878         | 1878         | M      |
| 20210917 | FBRX   | 0                   | 2100         | 2100         | M      |
| 20210917 | FC     | 0                   | 214          | 214          | M      |
| 20210917 | FCEL   | 0                   | 8            | 58           | M      |
| 20210917 | FCFS   | 0                   | 408          | 408          | M      |
| 20210917 | FCN    | 0                   | 68           | 68           | M      |
| 20210917 | FCNCA  | 0                   | 296          | 308          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | FCPT   | 0                   | 89           | 159          | M      |
| 20210917 | FCUV   | 0                   | 1300         | 4300         | M      |
| 20210917 | FCX    | 0                   | 13           | 80263        | M      |
| 20210917 | FDMT   | 0                   | 117          | 117          | M      |
| 20210917 | FDN    | 0                   | 184          | 192          | M      |
| 20210917 | FDP    | 0                   | 94           | 94           | M      |
| 20210917 | FDS    | 0                   | 24           | 55           | M      |
| 20210917 | FDUS   | 0                   | 0            | 39           | M      |
| 20210917 | FDX    | 0                   | 609          | 864          | M      |
| 20210917 | FE     | 0                   | 600          | 600          | M      |
| 20210917 | FELE   | 0                   | 236          | 236          | M      |
| 20210917 | FFBC   | 0                   | 204          | 204          | M      |
| 20210917 | FFIC   | 0                   | 98           | 98           | M      |
| 20210917 | FFIE   | 0                   | 123          | 123          | M      |
| 20210917 | FFIN   | 0                   | 1062         | 1069         | M      |
| 20210917 | FFIV   | 0                   | 0            | 4            | M      |
| 20210917 | FGEN   | 0                   | 0            | 223          | M      |
| 20210917 | FHI    | 0                   | 100          | 100          | M      |
| 20210917 | FHN    | 0                   | 425          | 525          | M      |
| 20210917 | FHTX   | 0                   | 566          | 566          | M      |
| 20210917 | FIBK   | 0                   | 306          | 30306        | M      |
| 20210917 | FICO   | 0                   | 8            | 274          | M      |
| 20210917 | FIGS   | 0                   | 4833         | 6683         | M      |
| 20210917 | FINV   | 0                   | 192          | 852          | M      |
| 20210917 | FIS    | 0                   | 180          | 4291         | M      |
| 20210917 | FISI   | 0                   | 8            | 8            | M      |
| 20210917 | FISV   | 0                   | 2193         | 3183         | M      |
| 20210917 | FITB   | 0                   | 181          | 181          | M      |
| 20210917 | FIVE   | 0                   | 905          | 1587         | M      |
| 20210917 | FIVN   | 0                   | 1661         | 2050         | M      |
| 20210917 | FIX    | 0                   | 9            | 137          | M      |
| 20210917 | FIZZ   | 0                   | 200          | 200          | M      |
| 20210917 | FL     | 0                   | 0            | 150          | M      |
| 20210917 | FLBR   | 0                   | 0            | 400          | M      |
| 20210917 | FLDM   | 0                   | 920          | 2710         | M      |
| 20210917 | FLEX   | 0                   | 30           | 418          | M      |
| 20210917 | FLGC   | 0                   | 790          | 990          | M      |
| 20210917 | FLGT   | 0                   | 124          | 124          | M      |
| 20210917 | FLMN   | 0                   | 0            | 132          | M      |
| 20210917 | FLNG   | 0                   | 0            | 111          | M      |
| 20210917 | FLOW   | 0                   | 458          | 458          | M      |
| 20210917 | FLS    | 0                   | 1100         | 1195         | M      |
| 20210917 | FLT    | 0                   | 213          | 303          | M      |
| 20210917 | FLUX   | 0                   | 86           | 227          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | FLWS   | 0                   | 105          | 105          | M      |
| 20210917 | FLXN   | 0                   | 278          | 278          | M      |
| 20210917 | FLXS   | 0                   | 60           | 60           | M      |
| 20210917 | FLYW   | 0                   | 1608         | 2251         | M      |
| 20210917 | FMBI   | 0                   | 100          | 100          | M      |
| 20210917 | FMC    | 0                   | 670          | 672          | M      |
| 20210917 | FMNB   | 0                   | 100          | 100          | M      |
| 20210917 | FMTX   | 0                   | 200          | 254          | M      |
| 20210917 | FMX    | 0                   | 100          | 100          | M      |
| 20210917 | FN     | 0                   | 57           | 132          | M      |
| 20210917 | FND    | 0                   | 479          | 680          | M      |
| 20210917 | FNDE   | 0                   | 0            | 400          | M      |
| 20210917 | FNF    | 0                   | 310          | 585          | M      |
| 20210917 | FNKO   | 0                   | 23           | 258          | M      |
| 20210917 | FNV    | 0                   | 0            | 100          | M      |
| 20210917 | FOCS   | 0                   | 200          | 200          | M      |
| 20210917 | FOCT   | 0                   | 0            | 100          | M      |
| 20210917 | FOR    | 0                   | 4            | 4            | M      |
| 20210917 | FORA   | 0                   | 322          | 322          | M      |
| 20210917 | FORG   | 0                   | 1            | 13           | M      |
| 20210917 | FORM   | 0                   | 128          | 128          | M      |
| 20210917 | FORR   | 0                   | 0            | 2            | M      |
| 20210917 | FOSL   | 0                   | 0            | 141          | M      |
| 20210917 | FOUR   | 0                   | 40           | 678          | M      |
| 20210917 | FOXA   | 0                   | 54           | 54           | M      |
| 20210917 | FOXF   | 0                   | 0            | 200          | M      |
| 20210917 | FR     | 0                   | 1601         | 1601         | M      |
| 20210917 | FRBK   | 0                   | 0            | 100          | M      |
| 20210917 | FRC    | 0                   | 340          | 952          | M      |
| 20210917 | FREE   | 0                   | 0            | 18           | M      |
| 20210917 | FREQ   | 0                   | 100          | 100          | M      |
| 20210917 | FREY   | 0                   | 100          | 1189         | M      |
| 20210917 | FRG    | 0                   | 452          | 452          | M      |
| 20210917 | FRGI   | 0                   | 200          | 224          | M      |
| 20210917 | FRHC   | 0                   | 0            | 138          | M      |
| 20210917 | FRLN   | 0                   | 403          | 861          | M      |
| 20210917 | FROG   | 0                   | 165          | 365          | M      |
| 20210917 | FRPH   | 0                   | 200          | 300          | M      |
| 20210917 | FRPT   | 0                   | 674          | 858          | M      |
| 20210917 | FRST   | 0                   | 5            | 5            | M      |
| 20210917 | FRT    | 0                   | 547          | 637          | M      |
| 20210917 | FSLR   | 0                   | 55           | 402          | M      |
| 20210917 | FSLY   | 0                   | 530          | 1266         | M      |
| 20210917 | FSM    | 0                   | 0            | 5            | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | FSP    | 0                   | 1882         | 1882         | M      |
| 20210917 | FSR    | 0                   | 30           | 30           | M      |
| 20210917 | FSTR   | 0                   | 0            | 1            | M      |
| 20210917 | FSV    | 0                   | 0            | 22           | M      |
| 20210917 | FTAI   | 0                   | 400          | 487          | M      |
| 20210917 | FTCH   | 0                   | 455          | 991          | M      |
| 20210917 | FTCI   | 0                   | 498          | 747          | M      |
| 20210917 | FTCV   | 0                   | 0            | 100          | M      |
| 20210917 | FTDR   | 0                   | 62           | 189          | M      |
| 20210917 | FTHM   | 0                   | 62           | 62           | M      |
| 20210917 | FTI    | 0                   | 6            | 11           | M      |
| 20210917 | FTNT   | 0                   | 127          | 231          | M      |
| 20210917 | FTSI   | 0                   | 170          | 200          | M      |
| 20210917 | FTV    | 0                   | 1321         | 1321         | M      |
| 20210917 | FUBO   | 0                   | 427          | 527          | M      |
| 20210917 | FUL    | 0                   | 20           | 36           | M      |
| 20210917 | FULC   | 0                   | 309          | 427          | M      |
| 20210917 | FUN    | 0                   | 100          | 2186         | M      |
| 20210917 | FUTU   | 0                   | 1032         | 2014         | M      |
| 20210917 | FUV    | 0                   | 431          | 631          | M      |
| 20210917 | FVRR   | 0                   | 382          | 563          | M      |
| 20210917 | FWONK  | 0                   | 300          | 357          | M      |
| 20210917 | FWRD   | 0                   | 189          | 189          | M      |
| 20210917 | FXI    | 0                   | 255          | 74351        | M      |
| 20210917 | FXLV   | 0                   | 0            | 206          | M      |
| 20210917 | FYBR   | 0                   | 578          | 2025         | M      |
| 20210917 | G      | 0                   | 100          | 212          | M      |
| 20210917 | GABC   | 0                   | 312          | 312          | M      |
| 20210917 | GAIN   | 0                   | 100          | 100          | M      |
| 20210917 | GAN    | 0                   | 0            | 173          | M      |
| 20210917 | GATO   | 0                   | 803          | 903          | M      |
| 20210917 | GATX   | 0                   | 0            | 1            | M      |
| 20210917 | GBCI   | 0                   | 87           | 89           | M      |
| 20210917 | GBIO   | 245                 | 245          | 245          | M      |
| 20210917 | GBS    | 0                   | 196          | 196          | M      |
| 20210917 | GBT    | 0                   | 9            | 416          | M      |
| 20210917 | GCI    | 0                   | 0            | 100          | M      |
| 20210917 | GCMG   | 0                   | 0            | 78           | M      |
| 20210917 | GCO    | 0                   | 315          | 715          | M      |
| 20210917 | GCP    | 0                   | 8            | 8            | M      |
| 20210917 | GD     | 0                   | 35           | 189          | M      |
| 20210917 | GDDY   | 0                   | 290          | 290          | M      |
| 20210917 | GDEN   | 0                   | 100          | 105          | M      |
| 20210917 | GDEV   | 0                   | 0            | 100          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | GDOT   | 0                   | 0            | 5            | M      |
| 20210917 | GDP    | 0                   | 253          | 253          | M      |
| 20210917 | GDRX   | 0                   | 630          | 746          | M      |
| 20210917 | GDS    | 0                   | 1037         | 2166         | M      |
| 20210917 | GDX    | 0                   | 12           | 112          | M      |
| 20210917 | GDYN   | 0                   | 1712         | 2017         | M      |
| 20210917 | GE     | 0                   | 2478         | 3190         | M      |
| 20210917 | GECC   | 0                   | 100          | 188          | M      |
| 20210917 | GEF    | 0                   | 79           | 79           | M      |
| 20210917 | GEF B  | 0                   | 0            | 200          | M      |
| 20210917 | GEL    | 0                   | 1341         | 1908         | M      |
| 20210917 | GENI   | 0                   | 268          | 268          | M      |
| 20210917 | GES    | 0                   | 174          | 192          | M      |
| 20210917 | GFL    | 0                   | 300          | 300          | M      |
| 20210917 | GGAL   | 0                   | 194          | 294          | M      |
| 20210917 | GGG    | 0                   | 177          | 177          | M      |
| 20210917 | GGM    | 0                   | 100          | 100          | M      |
| 20210917 | GH     | 0                   | 482          | 482          | M      |
| 20210917 | GHC    | 0                   | 132          | 132          | M      |
| 20210917 | GHG    | 0                   | 0            | 300          | M      |
| 20210917 | GHRS   | 0                   | 0            | 128          | M      |
| 20210917 | GHY    | 0                   | 0            | 6            | M      |
| 20210917 | GIB    | 0                   | 0            | 300          | M      |
| 20210917 | GILD   | 0                   | 2781         | 13616        | M      |
| 20210917 | GIS    | 0                   | 277          | 277          | M      |
| 20210917 | GKOS   | 0                   | 540          | 973          | M      |
| 20210917 | GL     | 0                   | 183          | 184          | M      |
| 20210917 | GLBE   | 0                   | 214          | 1056         | M      |
| 20210917 | GLD    | 0                   | 57           | 130          | M      |
| 20210917 | GLDD   | 0                   | 0            | 99           | M      |
| 20210917 | GLL    | 0                   | 0            | 100          | M      |
| 20210917 | GLOB   | 0                   | 104          | 395          | M      |
| 20210917 | GLP    | 0                   | 100          | 100          | M      |
| 20210917 | GLPG   | 0                   | 100          | 100          | M      |
| 20210917 | GLPI   | 0                   | 517          | 517          | M      |
| 20210917 | GLRE   | 0                   | 0            | 33           | M      |
| 20210917 | GLT    | 0                   | 100          | 100          | M      |
| 20210917 | GLTO   | 0                   | 100          | 100          | M      |
| 20210917 | GLUE   | 0                   | 200          | 656          | M      |
| 20210917 | GM     | 0                   | 6712         | 6734         | M      |
| 20210917 | GMAB   | 0                   | 606          | 606          | M      |
| 20210917 | GMBL   | 0                   | 8            | 8            | M      |
| 20210917 | GME    | 0                   | 1775         | 1975         | M      |
| 20210917 | GMED   | 0                   | 53           | 143          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | GMS    | 0                   | 100          | 302          | M      |
| 20210917 | GMVD   | 0                   | 0            | 200          | M      |
| 20210917 | GNCA   | 0                   | 418          | 418          | M      |
| 20210917 | GNFT   | 0                   | 0            | 2            | M      |
| 20210917 | GNK    | 0                   | 100          | 100          | M      |
| 20210917 | GNR    | 0                   | 0            | 400          | M      |
| 20210917 | GNRC   | 10                  | 1974         | 2282         | M      |
| 20210917 | GNTX   | 0                   | 811          | 1725         | M      |
| 20210917 | GNTY   | 0                   | 0            | 2            | M      |
| 20210917 | GO     | 0                   | 1230         | 1802         | M      |
| 20210917 | GOAU   | 0                   | 0            | 200          | M      |
| 20210917 | GOF    | 0                   | 100          | 100          | M      |
| 20210917 | GOLD   | 0                   | 3365         | 21786        | M      |
| 20210917 | GOLF   | 0                   | 96           | 110          | M      |
| 20210917 | GOOD   | 0                   | 137          | 137          | M      |
| 20210917 | GOOG   | 0                   | 856          | 4152         | M      |
| 20210917 | GOOGL  | 0                   | 593          | 9377         | M      |
| 20210917 | GOVX   | 0                   | 0            | 130          | M      |
| 20210917 | GP     | 0                   | 306          | 313          | M      |
| 20210917 | GPC    | 0                   | 220          | 330          | M      |
| 20210917 | GPK    | 0                   | 488          | 1238         | M      |
| 20210917 | GPMT   | 0                   | 300          | 600          | M      |
| 20210917 | GPN    | 0                   | 306          | 641          | M      |
| 20210917 | GPOR   | 0                   | 324          | 867          | M      |
| 20210917 | GPRE   | 0                   | 560          | 588          | M      |
| 20210917 | GPRK   | 0                   | 0            | 97           | M      |
| 20210917 | GPS    | 0                   | 286          | 1195         | M      |
| 20210917 | GRBK   | 0                   | 0            | 11           | M      |
| 20210917 | GRFS   | 0                   | 100          | 335          | M      |
| 20210917 | GRIN   | 0                   | 0            | 433          | M      |
| 20210917 | GRMN   | 0                   | 570          | 612          | M      |
| 20210917 | GROM   | 0                   | 0            | 100          | M      |
| 20210917 | GROY   | 0                   | 976          | 1076         | M      |
| 20210917 | GRPH   | 0                   | 1184         | 2398         | M      |
| 20210917 | GRPN   | 0                   | 226          | 411          | M      |
| 20210917 | GRTS   | 224                 | 324          | 424          | M      |
| 20210917 | GRUB   | 0                   | 0            | 150          | M      |
| 20210917 | GRVI   | 0                   | 0            | 200          | M      |
| 20210917 | GRWG   | 0                   | 110          | 170          | M      |
| 20210917 | GS     | 0                   | 248          | 1349         | M      |
| 20210917 | GSAT   | 500                 | 501          | 92443        | M      |
| 20210917 | GSBC   | 0                   | 0            | 1            | M      |
| 20210917 | GSBD   | 0                   | 100          | 100          | M      |
| 20210917 | GSHD   | 0                   | 160          | 186          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | GSK    | 0                   | 0            | 2            | M      |
| 20210917 | GSL    | 0                   | 39           | 239          | M      |
| 20210917 | GSM    | 0                   | 605          | 4770         | M      |
| 20210917 | GSP    | 0                   | 0            | 100          | M      |
| 20210917 | GTEK   | 0                   | 1134         | 3135         | M      |
| 20210917 | GTES   | 0                   | 500          | 500          | M      |
| 20210917 | GTHX   | 0                   | 2246         | 2720         | M      |
| 20210917 | GTIM   | 0                   | 100          | 100          | M      |
| 20210917 | GTLS   | 0                   | 237          | 270          | M      |
| 20210917 | GTN    | 0                   | 117          | 227          | M      |
| 20210917 | GTS    | 0                   | 3            | 3            | M      |
| 20210917 | GTX    | 0                   | 100          | 300          | M      |
| 20210917 | GTY    | 0                   | 83           | 83           | M      |
| 20210917 | GTYH   | 0                   | 0            | 21           | M      |
| 20210917 | GVA    | 0                   | 244          | 352          | M      |
| 20210917 | GWB    | 0                   | 280          | 713          | M      |
| 20210917 | GWRE   | 0                   | 378          | 627          | M      |
| 20210917 | GWW    | 0                   | 212          | 269          | M      |
| 20210917 | GXO    | 0                   | 194          | 414          | M      |
| 20210917 | H      | 0                   | 0            | 70           | M      |
| 20210917 | HA     | 0                   | 538          | 745          | M      |
| 20210917 | HACK   | 0                   | 0            | 400          | M      |
| 20210917 | HAE    | 0                   | 204          | 404          | M      |
| 20210917 | HAFC   | 0                   | 200          | 200          | M      |
| 20210917 | HAIN   | 0                   | 178          | 344          | M      |
| 20210917 | HAL    | 0                   | 700          | 1760         | M      |
| 20210917 | HALO   | 0                   | 247          | 350          | M      |
| 20210917 | HARP   | 0                   | 400          | 400          | M      |
| 20210917 | HAS    | 0                   | 200          | 213          | M      |
| 20210917 | HASI   | 0                   | 100          | 434          | M      |
| 20210917 | HAYN   | 0                   | 18           | 19           | M      |
| 20210917 | HAYW   | 0                   | 449          | 450          | M      |
| 20210917 | HBAN   | 0                   | 300          | 360          | M      |
| 20210917 | HBANM  | 0                   | 0            | 28           | M      |
| 20210917 | HCA    | 0                   | 1794         | 2262         | M      |
| 20210917 | HCAT   | 0                   | 205          | 210          | M      |
| 20210917 | HCC    | 0                   | 15           | 232          | M      |
| 20210917 | HCHC   | 0                   | 100          | 100          | M      |
| 20210917 | HCM    | 0                   | 163          | 176          | M      |
| 20210917 | HCSG   | 0                   | 837          | 1237         | M      |
| 20210917 | HCWB   | 0                   | 300          | 300          | M      |
| 20210917 | HD     | 0                   | 4697         | 5240         | M      |
| 20210917 | HDB    | 0                   | 139          | 911          | M      |
| 20210917 | HDSN   | 0                   | 0            | 444          | M      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | HE     | 0                   | 100          | 100          | M      |
| 20210917 | HEAR   | 0                   | 233          | 533          | M      |
| 20210917 | HEES   | 0                   | 100          | 100          | M      |
| 20210917 | HEI    | 0                   | 100          | 100          | M      |
| 20210917 | HEI A  | 0                   | 272          | 401          | M      |
| 20210917 | HELE   | 0                   | 122          | 180          | M      |
| 20210917 | HEP    | 0                   | 90           | 90           | M      |
| 20210917 | HEPS   | 0                   | 89           | 89           | M      |
| 20210917 | HES    | 1                   | 772          | 1148         | M      |
| 20210917 | HESM   | 0                   | 1            | 1            | M      |
| 20210917 | HFC    | 0                   | 1108         | 1108         | M      |
| 20210917 | HFFG   | 0                   | 342          | 342          | M      |
| 20210917 | HFWA   | 0                   | 278          | 278          | M      |
| 20210917 | HGEN   | 0                   | 677          | 1253         | M      |
| 20210917 | HGV    | 0                   | 110          | 110          | M      |
| 20210917 | HHC    | 0                   | 230          | 270          | M      |
| 20210917 | HHR    | 0                   | 216          | 416          | M      |
| 20210917 | HI     | 0                   | 0            | 200          | M      |
| 20210917 | HIBB   | 0                   | 101          | 245          | M      |
| 20210917 | HIBS   | 0                   | 0            | 200          | M      |
| 20210917 | HIFS   | 0                   | 6            | 8            | M      |
| 20210917 | HIG    | 0                   | 2396         | 3294         | M      |
| 20210917 | HII    | 0                   | 14           | 41           | M      |
| 20210917 | HIMS   | 0                   | 0            | 468          | M      |
| 20210917 | HIMX   | 0                   | 700          | 1392         | M      |
| 20210917 | HIPO   | 0                   | 100          | 760          | M      |
| 20210917 | HLBZ   | 0                   | 200          | 500          | M      |
| 20210917 | HLF    | 0                   | 649          | 849          | M      |
| 20210917 | HLG    | 0                   | 300          | 530          | M      |
| 20210917 | HLI    | 0                   | 55           | 89           | M      |
| 20210917 | HLIO   | 0                   | 44           | 44           | M      |
| 20210917 | HLIT   | 0                   | 82           | 527          | M      |
| 20210917 | HLLY   | 100                 | 400          | 400          | M      |
| 20210917 | HLMN   | 0                   | 163          | 500          | M      |
| 20210917 | HLNE   | 0                   | 28           | 28           | M      |
| 20210917 | HLT    | 0                   | 288          | 1122         | M      |
| 20210917 | HMHC   | 0                   | 455          | 455          | M      |
| 20210917 | HMST   | 0                   | 215          | 239          | M      |
| 20210917 | HMY    | 0                   | 21           | 36           | M      |
| 20210917 | HNGR   | 0                   | 30           | 33           | M      |
| 20210917 | HNI    | 0                   | 100          | 100          | M      |
| 20210917 | HNST   | 0                   | 101          | 113          | M      |
| 20210917 | HOFT   | 0                   | 0            | 5            | M      |
| 20210917 | HOFV   | 0                   | 0            | 5            | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | HOG    | 0                   | 693          | 1012         | M      |
| 20210917 | HOLI   | 0                   | 100          | 100          | M      |
| 20210917 | HOLX   | 0                   | 300          | 300          | M      |
| 20210917 | HON    | 0                   | 302          | 438          | M      |
| 20210917 | HONE   | 0                   | 401          | 401          | M      |
| 20210917 | HOOD   | 0                   | 1736         | 37013        | M      |
| 20210917 | HOOK   | 0                   | 112          | 112          | M      |
| 20210917 | HOPE   | 0                   | 219          | 224          | M      |
| 20210917 | HOV    | 0                   | 352          | 352          | M      |
| 20210917 | HP     | 0                   | 164          | 1724         | M      |
| 20210917 | HPE    | 0                   | 0            | 8724         | M      |
| 20210917 | HPP    | 0                   | 0            | 100          | M      |
| 20210917 | HPQ    | 0                   | 754          | 1049         | M      |
| 20210917 | HQY    | 0                   | 650          | 997          | M      |
| 20210917 | HR     | 0                   | 648          | 648          | M      |
| 20210917 | HRC    | 0                   | 234          | 5775         | M      |
| 20210917 | HRI    | 0                   | 72           | 72           | M      |
| 20210917 | HRL    | 0                   | 100          | 656          | M      |
| 20210917 | HRMY   | 0                   | 377          | 434          | M      |
| 20210917 | HSBC   | 0                   | 0            | 617          | M      |
| 20210917 | HSC    | 0                   | 0            | 118          | M      |
| 20210917 | HSIC   | 0                   | 282          | 282          | M      |
| 20210917 | HSII   | 0                   | 52           | 52           | M      |
| 20210917 | HSKA   | 0                   | 54           | 75           | M      |
| 20210917 | HSTM   | 0                   | 83           | 183          | M      |
| 20210917 | HSY    | 0                   | 144          | 254          | M      |
| 20210917 | HT     | 0                   | 100          | 200          | M      |
| 20210917 | HTA    | 0                   | 12           | 12           | M      |
| 20210917 | HTBI   | 0                   | 5            | 5            | M      |
| 20210917 | HTH    | 0                   | 1107         | 1229         | M      |
| 20210917 | HTHT   | 0                   | 100          | 700          | M      |
| 20210917 | HTOO   | 0                   | 100          | 100          | M      |
| 20210917 | HUBB   | 0                   | 8            | 17           | M      |
| 20210917 | HUBS   | 0                   | 1365         | 1685         | M      |
| 20210917 | HUGE   | 0                   | 0            | 1534         | M      |
| 20210917 | HUIZ   | 0                   | 95           | 95           | M      |
| 20210917 | HUM    | 0                   | 470          | 1144         | M      |
| 20210917 | HUMA   | 0                   | 98           | 98           | M      |
| 20210917 | HURN   | 0                   | 937          | 1175         | M      |
| 20210917 | HUYA   | 0                   | 466          | 470          | M      |
| 20210917 | HVT    | 0                   | 18           | 18           | M      |
| 20210917 | HWC    | 0                   | 566          | 574          | M      |
| 20210917 | HWM    | 0                   | 424          | 524          | M      |
| 20210917 | HXL    | 0                   | 1342         | 1884         | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | HYFM   | 0                   | 3504         | 4086         | M      |
| 20210917 | HYG    | 0                   | 500          | 2148619      | M      |
| 20210917 | HYLB   | 0                   | 2300         | 2300         | M      |
| 20210917 | HYLN   | 0                   | 100          | 100          | M      |
| 20210917 | HYRE   | 0                   | 170          | 170          | M      |
| 20210917 | HYXU   | 0                   | 0            | 100          | M      |
| 20210917 | HYZN   | 0                   | 198          | 1402         | M      |
| 20210917 | HZNP   | 0                   | 587          | 1127         | M      |
| 20210917 | HZO    | 0                   | 304          | 314          | M      |
| 20210917 | IAA    | 0                   | 100          | 198          | M      |
| 20210917 | IAC    | 0                   | 321          | 436          | M      |
| 20210917 | IART   | 0                   | 398          | 427          | M      |
| 20210917 | IAS    | 0                   | 857          | 1469         | M      |
| 20210917 | IAUM   | 0                   | 0            | 100          | M      |
| 20210917 | IBA    | 0                   | 52           | 52           | M      |
| 20210917 | IBB    | 0                   | 6903         | 9236         | M      |
| 20210917 | IBKR   | 0                   | 300          | 900          | M      |
| 20210917 | IBM    | 0                   | 263          | 264          | M      |
| 20210917 | IBN    | 0                   | 1072         | 1072         | M      |
| 20210917 | IBOC   | 0                   | 91           | 91           | M      |
| 20210917 | IBP    | 0                   | 198          | 199          | M      |
| 20210917 | IBRX   | 0                   | 628          | 628          | M      |
| 20210917 | IBTX   | 0                   | 37           | 37           | M      |
| 20210917 | IBUY   | 0                   | 30           | 30           | M      |
| 20210917 | ICE    | 0                   | 701          | 1233         | M      |
| 20210917 | ICFI   | 0                   | 385          | 853          | M      |
| 20210917 | ICHR   | 0                   | 86           | 100          | M      |
| 20210917 | ICLN   | 0                   | 0            | 3132         | M      |
| 20210917 | ICLR   | 0                   | 991          | 1492         | M      |
| 20210917 | ICPT   | 0                   | 377          | 377          | M      |
| 20210917 | ICUI   | 0                   | 7            | 48           | M      |
| 20210917 | ICVX   | 0                   | 0            | 303          | M      |
| 20210917 | IDA    | 0                   | 155          | 251          | M      |
| 20210917 | IDCC   | 0                   | 131          | 331          | M      |
| 20210917 | IDEV   | 0                   | 2            | 2            | M      |
| 20210917 | IDEX   | 0                   | 0            | 5            | M      |
| 20210917 | IDN    | 0                   | 0            | 61           | M      |
| 20210917 | IDT    | 0                   | 100          | 107          | M      |
| 20210917 | IDXX   | 0                   | 228          | 240          | M      |
| 20210917 | IDYA   | 0                   | 11           | 383          | M      |
| 20210917 | IEA    | 0                   | 0            | 481          | M      |
| 20210917 | IEFA   | 0                   | 1305         | 1705         | M      |
| 20210917 | IEMG   | 0                   | 800          | 800          | M      |
| 20210917 | IEP    | 0                   | 0            | 224          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | IESC   | 0                   | 201          | 201          | M      |
| 20210917 | IEX    | 0                   | 965          | 1286         | M      |
| 20210917 | IFF    | 0                   | 876          | 2534         | M      |
| 20210917 | IFRX   | 0                   | 300          | 300          | M      |
| 20210917 | IGLB   | 0                   | 0            | 300          | M      |
| 20210917 | IGMS   | 0                   | 464          | 464          | M      |
| 20210917 | IGT    | 0                   | 18           | 18           | M      |
| 20210917 | IGV    | 0                   | 100          | 100          | M      |
| 20210917 | IH     | 0                   | 0            | 220          | M      |
| 20210917 | IHAK   | 0                   | 70           | 70           | M      |
| 20210917 | IHC    | 0                   | 230          | 230          | M      |
| 20210917 | IHG    | 0                   | 45           | 64           | M      |
| 20210917 | IHI    | 0                   | 156          | 162          | M      |
| 20210917 | III    | 0                   | 15           | 338          | M      |
| 20210917 | IIIN   | 0                   | 0            | 260          | M      |
| 20210917 | IIPR   | 0                   | 7            | 88           | M      |
| 20210917 | IIVI   | 0                   | 100          | 200          | M      |
| 20210917 | IJH    | 0                   | 0            | 1            | M      |
| 20210917 | IJR    | 0                   | 1971         | 2119         | M      |
| 20210917 | IJS    | 0                   | 100          | 100          | M      |
| 20210917 | IKT    | 0                   | 100          | 357          | M      |
| 20210917 | ILCG   | 0                   | 0            | 16           | M      |
| 20210917 | ILF    | 0                   | 1100         | 1553         | M      |
| 20210917 | ILMN   | 0                   | 128          | 2339         | M      |
| 20210917 | ILPT   | 0                   | 0            | 48           | M      |
| 20210917 | IMAB   | 0                   | 2773         | 4262         | M      |
| 20210917 | IMAQ   | 0                   | 0            | 100          | M      |
| 20210917 | IMCR   | 0                   | 25           | 44           | M      |
| 20210917 | IMGO   | 0                   | 189          | 778          | M      |
| 20210917 | IMKTA  | 0                   | 124          | 124          | M      |
| 20210917 | IMMP   | 0                   | 0            | 300          | M      |
| 20210917 | IMMR   | 0                   | 0            | 396          | M      |
| 20210917 | IMNM   | 0                   | 405          | 405          | M      |
| 20210917 | IMO    | 0                   | 0            | 359          | M      |
| 20210917 | IMPL   | 0                   | 300          | 3004         | M      |
| 20210917 | IMRA   | 0                   | 328          | 428          | M      |
| 20210917 | IMRX   | 0                   | 125          | 247          | M      |
| 20210917 | IMVT   | 0                   | 394          | 863          | M      |
| 20210917 | IMXI   | 0                   | 80           | 126          | M      |
| 20210917 | INAB   | 0                   | 83           | 83           | M      |
| 20210917 | INBX   | 0                   | 739          | 740          | M      |
| 20210917 | INCY   | 0                   | 336          | 468          | M      |
| 20210917 | INDA   | 0                   | 0            | 399          | M      |
| 20210917 | INDB   | 0                   | 384          | 384          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | INDI   | 0                   | 0            | 553          | M      |
| 20210917 | INDP   | 100                 | 200          | 400          | M      |
| 20210917 | INDT   | 0                   | 223          | 324          | M      |
| 20210917 | INFO   | 0                   | 600          | 646          | M      |
| 20210917 | INGN   | 0                   | 321          | 452          | M      |
| 20210917 | INGR   | 0                   | 146          | 226          | M      |
| 20210917 | INM    | 0                   | 0            | 100          | M      |
| 20210917 | INMB   | 0                   | 498          | 1119         | M      |
| 20210917 | INMD   | 0                   | 705          | 828          | M      |
| 20210917 | INNV   | 0                   | 132          | 326          | M      |
| 20210917 | INOV   | 0                   | 0            | 170          | M      |
| 20210917 | INPX   | 0                   | 800          | 1100         | M      |
| 20210917 | INS    | 0                   | 0            | 18           | M      |
| 20210917 | INSE   | 0                   | 135          | 135          | M      |
| 20210917 | INSM   | 0                   | 0            | 45           | M      |
| 20210917 | INSP   | 0                   | 464          | 465          | M      |
| 20210917 | INST   | 0                   | 100          | 324          | M      |
| 20210917 | INT    | 0                   | 476          | 566          | M      |
| 20210917 | INTA   | 0                   | 915          | 958          | M      |
| 20210917 | INTC   | 0                   | 192          | 369          | M      |
| 20210917 | INTU   | 0                   | 1252         | 1620         | M      |
| 20210917 | INVA   | 0                   | 0            | 487          | M      |
| 20210917 | INVE   | 0                   | 70           | 70           | M      |
| 20210917 | INVH   | 0                   | 0            | 319          | M      |
| 20210917 | INVZ   | 0                   | 100          | 100          | M      |
| 20210917 | INZY   | 0                   | 197          | 197          | M      |
| 20210917 | IONS   | 0                   | 1            | 1            | M      |
| 20210917 | IOO    | 0                   | 0            | 327          | M      |
| 20210917 | IOSP   | 0                   | 291          | 493          | M      |
| 20210917 | IOVA   | 0                   | 727          | 1152         | M      |
| 20210917 | IP     | 0                   | 1458         | 1969         | M      |
| 20210917 | IPAR   | 0                   | 2            | 80           | M      |
| 20210917 | IPAY   | 0                   | 0            | 2830         | M      |
| 20210917 | IPG    | 0                   | 88           | 88           | M      |
| 20210917 | IPGP   | 0                   | 32           | 32           | M      |
| 20210917 | IPHA   | 0                   | 3597         | 4607         | M      |
| 20210917 | IPI    | 0                   | 395          | 434          | M      |
| 20210917 | IPSC   | 156                 | 490          | 1824         | M      |
| 20210917 | IPWR   | 0                   | 100          | 100          | M      |
| 20210917 | IQ     | 0                   | 1            | 1            | M      |
| 20210917 | IQDG   | 0                   | 200          | 200          | M      |
| 20210917 | IQLT   | 0                   | 400          | 400          | M      |
| 20210917 | IQV    | 0                   | 632          | 860          | M      |
| 20210917 | IR     | 0                   | 4686         | 5136         | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | IRBT   | 0                   | 296          | 309          | M      |
| 20210917 | IRDM   | 0                   | 0            | 100          | M      |
| 20210917 | IRM    | 0                   | 294          | 384          | M      |
| 20210917 | IRNT   | 61                  | 61           | 51026        | M      |
| 20210917 | IRT    | 0                   | 562          | 741          | M      |
| 20210917 | IRTC   | 0                   | 457          | 663          | M      |
| 20210917 | IS     | 0                   | 200          | 200          | M      |
| 20210917 | ISEE   | 0                   | 961          | 1444         | M      |
| 20210917 | ISIG   | 0                   | 100          | 200          | M      |
| 20210917 | ISRG   | 0                   | 307          | 583          | M      |
| 20210917 | ISUN   | 100                 | 100          | 100          | M      |
| 20210917 | IT     | 0                   | 737          | 1035         | M      |
| 20210917 | ITA    | 0                   | 0            | 60           | M      |
| 20210917 | ITB    | 0                   | 400          | 400          | M      |
| 20210917 | ITCI   | 0                   | 100          | 218          | M      |
| 20210917 | ITGR   | 0                   | 228          | 234          | M      |
| 20210917 | ITOS   | 104                 | 326          | 540          | M      |
| 20210917 | ITRI   | 0                   | 187          | 188          | M      |
| 20210917 | ITT    | 0                   | 100          | 168          | M      |
| 20210917 | ITUB   | 0                   | 0            | 1            | M      |
| 20210917 | ITW    | 0                   | 554          | 600          | M      |
| 20210917 | IVC    | 0                   | 0            | 200          | M      |
| 20210917 | IVR    | 0                   | 3116         | 3154         | M      |
| 20210917 | IVV    | 0                   | 1            | 1041         | M      |
| 20210917 | IVW    | 0                   | 0            | 29           | M      |
| 20210917 | IVZ    | 0                   | 1358         | 1684         | M      |
| 20210917 | IWD    | 0                   | 3            | 3            | M      |
| 20210917 | IWM    | 0                   | 200          | 377881       | M      |
| 20210917 | IWN    | 0                   | 108          | 308          | M      |
| 20210917 | IWO    | 0                   | 62           | 62           | M      |
| 20210917 | IWS    | 0                   | 0            | 1            | M      |
| 20210917 | IXN    | 0                   | 403          | 490          | M      |
| 20210917 | IXUS   | 0                   | 1            | 1            | M      |
| 20210917 | IYR    | 0                   | 1            | 1            | M      |
| 20210917 | IYT    | 0                   | 328          | 328          | M      |
| 20210917 | J      | 0                   | 282          | 338          | M      |
| 20210917 | JACK   | 0                   | 0            | 8            | M      |
| 20210917 | JAKK   | 0                   | 26           | 26           | M      |
| 20210917 | JAMF   | 0                   | 94           | 366          | M      |
| 20210917 | JANX   | 0                   | 331          | 1104         | M      |
| 20210917 | JAZZ   | 0                   | 297          | 420          | M      |
| 20210917 | JBHT   | 0                   | 81           | 81           | M      |
| 20210917 | JBI    | 0                   | 897          | 1961         | M      |
| 20210917 | JBL    | 0                   | 359          | 556          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | JBLU   | 0                   | 17           | 40           | M      |
| 20210917 | JBT    | 0                   | 300          | 325          | M      |
| 20210917 | JCI    | 0                   | 3250         | 4113         | M      |
| 20210917 | JCOM   | 0                   | 57           | 319          | M      |
| 20210917 | JD     | 0                   | 3075         | 4168         | M      |
| 20210917 | JEF    | 0                   | 300          | 600          | M      |
| 20210917 | JFU    | 0                   | 107          | 107          | M      |
| 20210917 | JHG    | 0                   | 631          | 836          | M      |
| 20210917 | JJSF   | 0                   | 128          | 128          | M      |
| 20210917 | JKHY   | 0                   | 100          | 138          | M      |
| 20210917 | JKS    | 0                   | 544          | 1212         | M      |
| 20210917 | JLL    | 0                   | 131          | 311          | M      |
| 20210917 | JMIA   | 5                   | 405          | 605          | M      |
| 20210917 | JNCE   | 0                   | 300          | 300          | M      |
| 20210917 | JNJ    | 0                   | 736          | 1710         | M      |
| 20210917 | JO     | 0                   | 100          | 200          | M      |
| 20210917 | JOAN   | 0                   | 600          | 617          | M      |
| 20210917 | JOBS   | 0                   | 300          | 400          | M      |
| 20210917 | JOBY   | 0                   | 264          | 1531         | M      |
| 20210917 | JOE    | 0                   | 0            | 100          | M      |
| 20210917 | JPM    | 0                   | 660          | 2999         | M      |
| 20210917 | JRVR   | 0                   | 308          | 308          | M      |
| 20210917 | JW A   | 0                   | 87           | 87           | M      |
| 20210917 | JWN    | 0                   | 300          | 679          | M      |
| 20210917 | JXN WI | 0                   | 907          | 1214         | M      |
| 20210917 | JYNT   | 0                   | 0            | 129          | M      |
| 20210917 | K      | 0                   | 309          | 309          | M      |
| 20210917 | KAI    | 0                   | 32           | 122          | M      |
| 20210917 | KALU   | 0                   | 3            | 21           | M      |
| 20210917 | KAMN   | 0                   | 104          | 107          | M      |
| 20210917 | KAR    | 0                   | 0            | 200          | M      |
| 20210917 | KAVL   | 0                   | 0            | 104          | M      |
| 20210917 | KBAL   | 0                   | 184          | 184          | M      |
| 20210917 | KBH    | 0                   | 454          | 584          | M      |
| 20210917 | KBR    | 0                   | 353          | 463          | M      |
| 20210917 | KC     | 0                   | 919          | 2527         | M      |
| 20210917 | KDNY   | 0                   | 42           | 242          | M      |
| 20210917 | KDP    | 0                   | 100          | 100          | M      |
| 20210917 | KERN   | 0                   | 198          | 198          | M      |
| 20210917 | KEX    | 0                   | 0            | 1            | M      |
| 20210917 | KEY    | 0                   | 502          | 26503        | M      |
| 20210917 | KEYS   | 0                   | 514          | 547          | M      |
| 20210917 | KFRC   | 0                   | 10           | 15           | M      |
| 20210917 | KFY    | 0                   | 89           | 326          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | KGC    | 0                   | 11           | 148702       | M      |
| 20210917 | KHC    | 0                   | 705          | 905          | M      |
| 20210917 | KIDS   | 0                   | 218          | 699          | M      |
| 20210917 | KIM    | 0                   | 4            | 4            | M      |
| 20210917 | KIRK   | 0                   | 25           | 26           | M      |
| 20210917 | KKR    | 0                   | 2403         | 2793         | M      |
| 20210917 | KL     | 0                   | 0            | 5492         | M      |
| 20210917 | KLAC   | 0                   | 1205         | 2029         | M      |
| 20210917 | KLIC   | 0                   | 128          | 1318         | M      |
| 20210917 | KLR    | 0                   | 50           | 393          | M      |
| 20210917 | KLTR   | 0                   | 150          | 346          | M      |
| 20210917 | KMB    | 0                   | 499          | 596          | M      |
| 20210917 | KMI    | 0                   | 6            | 6            | M      |
| 20210917 | KMPH   | 0                   | 0            | 1            | M      |
| 20210917 | KMPR   | 0                   | 285          | 316          | M      |
| 20210917 | KMT    | 0                   | 1100         | 1100         | M      |
| 20210917 | KMX    | 0                   | 645          | 691          | M      |
| 20210917 | KN     | 0                   | 104          | 294          | M      |
| 20210917 | KNBE   | 0                   | 474          | 574          | M      |
| 20210917 | KNDI   | 0                   | 100          | 100          | M      |
| 20210917 | KNOP   | 0                   | 10           | 10           | M      |
| 20210917 | KNSL   | 0                   | 162          | 162          | M      |
| 20210917 | KNTE   | 0                   | 1045         | 1064         | M      |
| 20210917 | KNX    | 0                   | 1100         | 1448         | M      |
| 20210917 | KO     | 0                   | 1361         | 81844        | M      |
| 20210917 | KOD    | 0                   | 1218         | 1454         | M      |
| 20210917 | KOF    | 0                   | 0            | 100          | M      |
| 20210917 | KOLD   | 0                   | 300          | 2000         | M      |
| 20210917 | KOP    | 0                   | 372          | 572          | M      |
| 20210917 | KOPN   | 0                   | 9            | 9            | M      |
| 20210917 | KPLT   | 0                   | 199          | 803          | M      |
| 20210917 | KR     | 0                   | 0            | 1001         | M      |
| 20210917 | KRA    | 0                   | 216          | 14514        | M      |
| 20210917 | KRBN   | 0                   | 200          | 200          | M      |
| 20210917 | KRC    | 0                   | 1144         | 1497         | M      |
| 20210917 | KRE    | 0                   | 2            | 225002       | M      |
| 20210917 | KREF   | 0                   | 24           | 24           | M      |
| 20210917 | KRG    | 0                   | 0            | 1            | M      |
| 20210917 | KRMD   | 0                   | 53           | 156          | M      |
| 20210917 | KRNT   | 0                   | 114          | 174          | M      |
| 20210917 | KRNY   | 0                   | 17           | 17           | M      |
| 20210917 | KRO    | 0                   | 0            | 287          | M      |
| 20210917 | KRON   | 0                   | 72           | 74           | M      |
| 20210917 | KROS   | 0                   | 222          | 240          | M      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | KRTX   | 0                   | 0            | 95           | M      |
| 20210917 | KRUS   | 0                   | 5            | 69           | M      |
| 20210917 | KRYS   | 0                   | 90           | 191          | M      |
| 20210917 | KSA    | 0                   | 65           | 65           | M      |
| 20210917 | KSS    | 0                   | 33           | 57           | M      |
| 20210917 | KSU    | 0                   | 0            | 319          | M      |
| 20210917 | KTB    | 0                   | 1621         | 2623         | M      |
| 20210917 | KTCC   | 0                   | 7            | 7            | M      |
| 20210917 | KULR   | 0                   | 142          | 277          | M      |
| 20210917 | KURA   | 0                   | 158          | 167          | M      |
| 20210917 | KVSA   | 0                   | 0            | 100          | M      |
| 20210917 | KW     | 0                   | 567          | 711          | M      |
| 20210917 | KWEB   | 0                   | 218          | 1137         | M      |
| 20210917 | KWR    | 300                 | 1404         | 1474         | M      |
| 20210917 | KYMR   | 19                  | 493          | 736          | M      |
| 20210917 | KZR    | 0                   | 213          | 213          | M      |
| 20210917 | LABU   | 0                   | 100          | 200          | M      |
| 20210917 | LAC    | 0                   | 68           | 68           | M      |
| 20210917 | LAD    | 0                   | 518          | 707          | M      |
| 20210917 | LAKE   | 0                   | 74           | 74           | M      |
| 20210917 | LAMR   | 0                   | 154          | 456          | M      |
| 20210917 | LANC   | 0                   | 206          | 357          | M      |
| 20210917 | LAND   | 0                   | 300          | 300          | M      |
| 20210917 | LASR   | 0                   | 77           | 77           | M      |
| 20210917 | LAW    | 0                   | 385          | 838          | M      |
| 20210917 | LAWS   | 0                   | 0            | 10           | M      |
| 20210917 | LAZ    | 0                   | 111          | 114          | M      |
| 20210917 | LAZR   | 0                   | 105          | 531          | M      |
| 20210917 | LAZY   | 0                   | 4            | 4            | M      |
| 20210917 | LBAI   | 0                   | 8            | 70           | M      |
| 20210917 | LBC    | 0                   | 158          | 258          | M      |
| 20210917 | LBJ    | 0                   | 28           | 28           | M      |
| 20210917 | LBRDA  | 0                   | 10           | 222          | M      |
| 20210917 | LBRDK  | 0                   | 598          | 914          | M      |
| 20210917 | LBRT   | 0                   | 200          | 300          | M      |
| 20210917 | LBTYA  | 0                   | 401          | 1113         | M      |
| 20210917 | LC     | 0                   | 174          | 366          | M      |
| 20210917 | LCID   | 20                  | 14138        | 25659        | M      |
| 20210917 | LCII   | 0                   | 14           | 14           | M      |
| 20210917 | LCNB   | 0                   | 0            | 34           | M      |
| 20210917 | LDI    | 0                   | 242          | 361          | M      |
| 20210917 | LDL    | 0                   | 19           | 236          | M      |
| 20210917 | LDOS   | 0                   | 1630         | 1910         | M      |
| 20210917 | LE     | 0                   | 273          | 274          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | LEA    | 0                   | 425          | 813          | M      |
| 20210917 | LECO   | 0                   | 327          | 438          | M      |
| 20210917 | LEDS   | 0                   | 72           | 172          | M      |
| 20210917 | LEG    | 0                   | 408          | 487          | M      |
| 20210917 | LEGN   | 0                   | 326          | 609          | M      |
| 20210917 | LEN    | 0                   | 377          | 632          | M      |
| 20210917 | LEO    | 0                   | 0            | 86           | M      |
| 20210917 | LESL   | 0                   | 838          | 1183         | M      |
| 20210917 | LEV    | 0                   | 3567         | 4295         | M      |
| 20210917 | LEVI   | 0                   | 30           | 214          | M      |
| 20210917 | LFST   | 0                   | 0            | 236          | M      |
| 20210917 | LFUS   | 0                   | 211          | 325          | M      |
| 20210917 | LGF A  | 0                   | 0            | 200          | M      |
| 20210917 | LGF B  | 0                   | 100          | 100          | M      |
| 20210917 | LGIH   | 0                   | 294          | 294          | M      |
| 20210917 | LGND   | 0                   | 94           | 194          | M      |
| 20210917 | LH     | 0                   | 0            | 279          | M      |
| 20210917 | LHCG   | 0                   | 314          | 596          | M      |
| 20210917 | LHX    | 0                   | 1354         | 1538         | M      |
| 20210917 | LI     | 0                   | 2257         | 2825         | M      |
| 20210917 | LIDR   | 0                   | 100          | 100          | M      |
| 20210917 | LIFE   | 0                   | 100          | 100          | M      |
| 20210917 | LII    | 0                   | 56           | 244          | M      |
| 20210917 | LILM   | 0                   | 3355         | 3355         | M      |
| 20210917 | LIN    | 0                   | 1755         | 2041         | M      |
| 20210917 | LIND   | 0                   | 0            | 100          | M      |
| 20210917 | LIT    | 0                   | 0            | 78           | M      |
| 20210917 | LITE   | 0                   | 100          | 104          | M      |
| 20210917 | LIVE   | 0                   | 0            | 100          | M      |
| 20210917 | LIVN   | 0                   | 104          | 356          | M      |
| 20210917 | LKCO   | 0                   | 0            | 799          | M      |
| 20210917 | LKFN   | 0                   | 351          | 618          | M      |
| 20210917 | LKQ    | 0                   | 498          | 498          | M      |
| 20210917 | LL     | 0                   | 0            | 106          | M      |
| 20210917 | LLY    | 0                   | 388          | 1190         | M      |
| 20210917 | LMB    | 0                   | 0            | 100          | M      |
| 20210917 | LMND   | 0                   | 241          | 905          | M      |
| 20210917 | LMPX   | 0                   | 99           | 99           | M      |
| 20210917 | LMT    | 0                   | 155          | 364          | M      |
| 20210917 | LNC    | 0                   | 594          | 953          | M      |
| 20210917 | LNDC   | 0                   | 0            | 100          | M      |
| 20210917 | LNG    | 0                   | 349          | 1039         | M      |
| 20210917 | LNN    | 0                   | 116          | 116          | M      |
| 20210917 | LNT    | 0                   | 0            | 18           | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | LNTH   | 0                   | 60           | 60           | M      |
| 20210917 | LOB    | 0                   | 200          | 205          | M      |
| 20210917 | LOGI   | 0                   | 100          | 100          | M      |
| 20210917 | LOOP   | 0                   | 69           | 69           | M      |
| 20210917 | LOPE   | 0                   | 137          | 248          | M      |
| 20210917 | LORL   | 0                   | 0            | 135          | M      |
| 20210917 | LOVE   | 0                   | 962          | 1050         | M      |
| 20210917 | LOW    | 0                   | 1656         | 2114         | M      |
| 20210917 | LPI    | 22                  | 1116         | 1387         | M      |
| 20210917 | LPL    | 0                   | 222          | 222          | M      |
| 20210917 | LPLA   | 0                   | 100          | 100          | M      |
| 20210917 | LPRO   | 0                   | 899          | 1185         | M      |
| 20210917 | LPSN   | 0                   | 551          | 551          | M      |
| 20210917 | LPTH   | 0                   | 0            | 287          | M      |
| 20210917 | LPX    | 0                   | 200          | 200          | M      |
| 20210917 | LQD    | 0                   | 501          | 501          | M      |
| 20210917 | LQDT   | 0                   | 245          | 272          | M      |
| 20210917 | LRCX   | 0                   | 1049         | 1511         | M      |
| 20210917 | LRN    | 0                   | 161          | 201          | M      |
| 20210917 | LSCC   | 0                   | 412          | 547          | M      |
| 20210917 | LSEA   | 0                   | 14           | 14           | M      |
| 20210917 | LSF    | 0                   | 425          | 484          | M      |
| 20210917 | LSI    | 0                   | 714          | 744          | M      |
| 20210917 | LSPD   | 0                   | 0            | 115          | M      |
| 20210917 | LSTR   | 0                   | 218          | 218          | M      |
| 20210917 | LSXMA  | 0                   | 100          | 200          | M      |
| 20210917 | LSXMK  | 0                   | 741          | 1016         | M      |
| 20210917 | LTBR   | 0                   | 0            | 100          | M      |
| 20210917 | LTC    | 0                   | 78           | 79           | M      |
| 20210917 | LTCH   | 200                 | 642          | 742          | M      |
| 20210917 | LTHM   | 0                   | 100          | 100          | M      |
| 20210917 | LTRX   | 0                   | 0            | 95           | M      |
| 20210917 | LU     | 0                   | 217          | 262          | M      |
| 20210917 | LULU   | 0                   | 450          | 1213         | M      |
| 20210917 | LUMN   | 0                   | 17139        | 17139        | M      |
| 20210917 | LUNG   | 0                   | 171          | 1158         | M      |
| 20210917 | LUV    | 0                   | 438          | 438          | M      |
| 20210917 | LVOX   | 0                   | 135          | 449          | M      |
| 20210917 | LVS    | 0                   | 1327         | 8128         | M      |
| 20210917 | LW     | 0                   | 13           | 13           | M      |
| 20210917 | LWLG   | 0                   | 100          | 100          | M      |
| 20210917 | LXFR   | 0                   | 313          | 402          | M      |
| 20210917 | LXU    | 0                   | 0            | 152          | M      |
| 20210917 | LYB    | 0                   | 358          | 594          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | LYEL   | 734                 | 834          | 934          | M      |
| 20210917 | LYFT   | 0                   | 667          | 1067         | M      |
| 20210917 | LYG    | 0                   | 10           | 10           | M      |
| 20210917 | LYL    | 0                   | 100          | 100          | M      |
| 20210917 | LYRA   | 0                   | 104          | 104          | M      |
| 20210917 | LYTS   | 0                   | 400          | 400          | M      |
| 20210917 | LYV    | 0                   | 373          | 373          | M      |
| 20210917 | LZ     | 0                   | 100          | 100          | M      |
| 20210917 | MA     | 0                   | 1300         | 2453         | M      |
| 20210917 | MAA    | 0                   | 255          | 462          | M      |
| 20210917 | MAGS   | 0                   | 0            | 297          | M      |
| 20210917 | MAIN   | 0                   | 9            | 9            | M      |
| 20210917 | MAN    | 0                   | 264          | 622          | M      |
| 20210917 | MANH   | 0                   | 8            | 129          | M      |
| 20210917 | MANT   | 0                   | 71           | 135          | M      |
| 20210917 | MAPS   | 0                   | 1            | 373          | M      |
| 20210917 | MAR    | 0                   | 258          | 338          | M      |
| 20210917 | MARA   | 0                   | 888          | 2735         | M      |
| 20210917 | MAS    | 0                   | 637          | 863          | M      |
| 20210917 | MASI   | 0                   | 166          | 275          | M      |
| 20210917 | MASS   | 300                 | 2243         | 2512         | M      |
| 20210917 | MAT    | 0                   | 0            | 300          | M      |
| 20210917 | MATW   | 0                   | 112          | 337          | M      |
| 20210917 | MATX   | 0                   | 4            | 4            | M      |
| 20210917 | MAX    | 0                   | 22           | 22           | M      |
| 20210917 | MAXN   | 0                   | 981          | 981          | M      |
| 20210917 | MAXR   | 100                 | 351          | 351          | M      |
| 20210917 | MBCN   | 0                   | 38           | 38           | M      |
| 20210917 | MBI    | 0                   | 24           | 157          | M      |
| 20210917 | MBII   | 0                   | 128          | 128          | M      |
| 20210917 | MBIN   | 0                   | 9            | 9            | M      |
| 20210917 | MBIO   | 0                   | 0            | 27           | M      |
| 20210917 | MBOT   | 0                   | 90           | 90           | M      |
| 20210917 | MBRX   | 0                   | 0            | 100          | M      |
| 20210917 | MBUU   | 0                   | 300          | 429          | M      |
| 20210917 | MC     | 0                   | 87           | 126          | M      |
| 20210917 | MCB    | 0                   | 14           | 148          | M      |
| 20210917 | MCBC   | 0                   | 28           | 28           | M      |
| 20210917 | MCD    | 0                   | 639          | 759          | M      |
| 20210917 | MCF    | 0                   | 245          | 245          | M      |
| 20210917 | MCFE   | 0                   | 1987         | 2769         | M      |
| 20210917 | MCFT   | 0                   | 100          | 100          | M      |
| 20210917 | MCG    | 0                   | 252          | 342          | M      |
| 20210917 | MCHP   | 0                   | 926          | 1612         | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | MCK    | 0                   | 750          | 946          | M      |
| 20210917 | MCO    | 0                   | 85           | 265          | M      |
| 20210917 | MCRB   | 0                   | 100          | 100          | M      |
| 20210917 | MCRI   | 0                   | 120          | 193          | M      |
| 20210917 | MCS    | 0                   | 209          | 209          | M      |
| 20210917 | MCW    | 0                   | 0            | 300          | M      |
| 20210917 | MCY    | 0                   | 125          | 257          | M      |
| 20210917 | MD     | 0                   | 514          | 514          | M      |
| 20210917 | MDB    | 82                  | 1780         | 6950         | M      |
| 20210917 | MDGL   | 0                   | 104          | 129          | M      |
| 20210917 | MDLZ   | 0                   | 10           | 70           | M      |
| 20210917 | MDP    | 0                   | 81           | 787          | M      |
| 20210917 | MDRX   | 0                   | 199          | 199          | M      |
| 20210917 | MDT    | 0                   | 43           | 44           | M      |
| 20210917 | MDU    | 0                   | 0            | 452          | M      |
| 20210917 | MDVL   | 0                   | 0            | 100          | M      |
| 20210917 | MDWD   | 0                   | 100          | 100          | M      |
| 20210917 | MDXG   | 0                   | 166          | 173          | M      |
| 20210917 | MDY    | 0                   | 189          | 189          | M      |
| 20210917 | ME     | 0                   | 250          | 509          | M      |
| 20210917 | MEC    | 0                   | 992          | 1272         | M      |
| 20210917 | MED    | 0                   | 107          | 109          | M      |
| 20210917 | MEDP   | 0                   | 217          | 313          | M      |
| 20210917 | MEDS   | 0                   | 100          | 100          | M      |
| 20210917 | MEG    | 0                   | 389          | 792          | M      |
| 20210917 | MEI    | 0                   | 101          | 189          | M      |
| 20210917 | MELI   | 0                   | 406          | 692          | M      |
| 20210917 | MEOH   | 0                   | 88           | 684          | M      |
| 20210917 | MET    | 0                   | 200          | 200          | M      |
| 20210917 | METC   | 0                   | 200          | 200          | M      |
| 20210917 | MFA    | 0                   | 0            | 408          | M      |
| 20210917 | MFC    | 0                   | 1292         | 1771         | M      |
| 20210917 | MFH    | 0                   | 99           | 99           | M      |
| 20210917 | MGA    | 75                  | 2914         | 4646         | M      |
| 20210917 | MGEE   | 0                   | 189          | 189          | M      |
| 20210917 | MGI    | 0                   | 324          | 586          | M      |
| 20210917 | MGLN   | 0                   | 0            | 400          | M      |
| 20210917 | MGM    | 0                   | 984          | 2997         | M      |
| 20210917 | MGNI   | 0                   | 431          | 431          | M      |
| 20210917 | MGNX   | 0                   | 201          | 1001         | M      |
| 20210917 | MGP    | 0                   | 0            | 37           | M      |
| 20210917 | MGPI   | 0                   | 1            | 297          | M      |
| 20210917 | MGRC   | 0                   | 60           | 79           | M      |
| 20210917 | MGTX   | 0                   | 0            | 100          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | MGY    | 0                   | 500          | 605          | M      |
| 20210917 | MHK    | 0                   | 1026         | 1108         | M      |
| 20210917 | MIDD   | 0                   | 664          | 764          | M      |
| 20210917 | MIME   | 0                   | 2            | 2            | M      |
| 20210917 | MINM   | 0                   | 400          | 400          | M      |
| 20210917 | MITK   | 0                   | 0            | 129          | M      |
| 20210917 | MITO   | 0                   | 100          | 100          | M      |
| 20210917 | MJ     | 0                   | 103          | 103          | M      |
| 20210917 | MKC    | 0                   | 1135         | 1592         | M      |
| 20210917 | MKFG   | 99                  | 470          | 1369         | M      |
| 20210917 | MKL    | 0                   | 89           | 93           | M      |
| 20210917 | MKSI   | 0                   | 544          | 594          | M      |
| 20210917 | MKTX   | 0                   | 397          | 646          | M      |
| 20210917 | MLAB   | 0                   | 755          | 1055         | M      |
| 20210917 | MLCO   | 0                   | 2526         | 3623         | M      |
| 20210917 | MLHR   | 0                   | 89           | 89           | M      |
| 20210917 | MLM    | 0                   | 729          | 874          | M      |
| 20210917 | MLNK   | 0                   | 418          | 832          | M      |
| 20210917 | MLR    | 0                   | 167          | 167          | M      |
| 20210917 | MMAT   | 0                   | 0            | 1103         | M      |
| 20210917 | MMC    | 0                   | 504          | 771          | M      |
| 20210917 | MMI    | 0                   | 0            | 110          | M      |
| 20210917 | MMM    | 0                   | 190          | 16658        | M      |
| 20210917 | MMMB   | 0                   | 99           | 99           | M      |
| 20210917 | MMP    | 0                   | 0            | 1722         | M      |
| 20210917 | MMS    | 0                   | 325          | 325          | M      |
| 20210917 | MMSI   | 0                   | 160          | 257          | M      |
| 20210917 | MMYT   | 0                   | 99           | 199          | M      |
| 20210917 | MNDY   | 0                   | 536          | 609          | M      |
| 20210917 | MNR    | 0                   | 244          | 328          | M      |
| 20210917 | MNRL   | 0                   | 90           | 289          | M      |
| 20210917 | MNRO   | 0                   | 269          | 329          | M      |
| 20210917 | MNSO   | 0                   | 98           | 98           | M      |
| 20210917 | MNST   | 0                   | 709          | 709          | M      |
| 20210917 | MNTK   | 0                   | 1518         | 1518         | M      |
| 20210917 | MNTS   | 0                   | 1500         | 1700         | M      |
| 20210917 | MNTV   | 0                   | 0            | 296          | M      |
| 20210917 | MO     | 0                   | 2750         | 2862         | M      |
| 20210917 | MOD    | 0                   | 100          | 100          | M      |
| 20210917 | MODN   | 0                   | 42           | 163          | M      |
| 20210917 | MODV   | 0                   | 1055         | 1100         | M      |
| 20210917 | MOFG   | 0                   | 99           | 99           | M      |
| 20210917 | MOG A  | 0                   | 110          | 110          | M      |
| 20210917 | MOH    | 0                   | 401          | 483          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | MOMO   | 0                   | 2            | 4            | M      |
| 20210917 | MORF   | 0                   | 1065         | 1465         | M      |
| 20210917 | MORN   | 0                   | 387          | 494          | M      |
| 20210917 | MOS    | 0                   | 301          | 540          | M      |
| 20210917 | MOXC   | 0                   | 9            | 9            | M      |
| 20210917 | MP     | 0                   | 140          | 490          | M      |
| 20210917 | MPAA   | 0                   | 46           | 46           | M      |
| 20210917 | MPB    | 0                   | 0            | 13           | M      |
| 20210917 | MPC    | 0                   | 9            | 32009        | M      |
| 20210917 | MPLX   | 0                   | 1900         | 1900         | M      |
| 20210917 | MPWR   | 0                   | 379          | 398          | M      |
| 20210917 | MQ     | 0                   | 464          | 1179         | M      |
| 20210917 | MRC    | 0                   | 9            | 9            | M      |
| 20210917 | MRCY   | 0                   | 123          | 159          | M      |
| 20210917 | MRK    | 0                   | 873          | 4356         | M      |
| 20210917 | MRLN   | 0                   | 40           | 40           | M      |
| 20210917 | MRNA   | 0                   | 1378         | 5066         | M      |
| 20210917 | MRNS   | 0                   | 94           | 94           | M      |
| 20210917 | MRO    | 0                   | 0            | 251          | M      |
| 20210917 | MRSN   | 0                   | 83           | 83           | M      |
| 20210917 | MRTN   | 0                   | 110          | 111          | M      |
| 20210917 | MRTX   | 0                   | 85           | 285          | M      |
| 20210917 | MRUS   | 0                   | 30           | 330          | M      |
| 20210917 | MRVI   | 0                   | 775          | 782          | M      |
| 20210917 | MRVL   | 0                   | 575          | 935          | M      |
| 20210917 | MS     | 0                   | 298          | 1798         | M      |
| 20210917 | MSA    | 0                   | 124          | 212          | M      |
| 20210917 | MSB    | 0                   | 0            | 99           | M      |
| 20210917 | MSCI   | 0                   | 306          | 369          | M      |
| 20210917 | MSEX   | 0                   | 53           | 153          | M      |
| 20210917 | MSFT   | 0                   | 3484         | 505338       | M      |
| 20210917 | MSGE   | 0                   | 0            | 382          | M      |
| 20210917 | MSGS   | 0                   | 180          | 192          | M      |
| 20210917 | MSI    | 0                   | 297          | 598          | M      |
| 20210917 | MSM    | 0                   | 225          | 270          | M      |
| 20210917 | MSP    | 0                   | 100          | 200          | M      |
| 20210917 | MSTR   | 0                   | 876          | 889          | M      |
| 20210917 | MT     | 0                   | 0            | 130000       | M      |
| 20210917 | MTA    | 100                 | 101          | 507          | M      |
| 20210917 | MTB    | 0                   | 405          | 618          | M      |
| 20210917 | MTCH   | 0                   | 1730         | 2706         | M      |
| 20210917 | MTD    | 0                   | 1041         | 1655         | M      |
| 20210917 | MTDR   | 0                   | 533          | 533          | M      |
| 20210917 | MTH    | 0                   | 135          | 235          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | MTLS   | 0                   | 100          | 100          | M      |
| 20210917 | MTN    | 0                   | 0            | 329          | M      |
| 20210917 | MTNB   | 0                   | 0            | 527          | M      |
| 20210917 | MTOR   | 0                   | 100          | 100          | M      |
| 20210917 | MTRN   | 0                   | 100          | 100          | M      |
| 20210917 | MTRX   | 0                   | 107          | 129          | M      |
| 20210917 | MTSI   | 0                   | 125          | 253          | M      |
| 20210917 | MTTR   | 0                   | 1036         | 2996         | M      |
| 20210917 | MTTRW  | 0                   | 0            | 3609         | M      |
| 20210917 | MTUM   | 0                   | 210          | 258          | M      |
| 20210917 | MTW    | 0                   | 0            | 100          | M      |
| 20210917 | MTX    | 0                   | 246          | 246          | M      |
| 20210917 | MTZ    | 0                   | 336          | 709          | M      |
| 20210917 | MU     | 0                   | 467          | 37217        | M      |
| 20210917 | MUSA   | 0                   | 284          | 390          | M      |
| 20210917 | MVBF   | 0                   | 30           | 30           | M      |
| 20210917 | MVIS   | 0                   | 456          | 556          | M      |
| 20210917 | MVST   | 0                   | 2711         | 2726         | M      |
| 20210917 | MX     | 0                   | 26           | 26           | M      |
| 20210917 | MXCT   | 0                   | 717          | 2037         | M      |
| 20210917 | MXL    | 0                   | 187          | 639          | M      |
| 20210917 | MYE    | 0                   | 11           | 111          | M      |
| 20210917 | MYGN   | 0                   | 404          | 404          | M      |
| 20210917 | MYMD   | 0                   | 703          | 703          | M      |
| 20210917 | MYO    | 0                   | 0            | 241          | M      |
| 20210917 | MYOV   | 0                   | 36           | 75           | M      |
| 20210917 | MYPS   | 0                   | 0            | 233          | M      |
| 20210917 | MYRG   | 0                   | 270          | 270          | M      |
| 20210917 | MYTE   | 0                   | 45           | 45           | M      |
| 20210917 | NABL   | 15                  | 635          | 635          | M      |
| 20210917 | NAPA   | 0                   | 150          | 200          | M      |
| 20210917 | NARI   | 13                  | 555          | 2161         | M      |
| 20210917 | NBHC   | 0                   | 137          | 137          | M      |
| 20210917 | NBIX   | 0                   | 180          | 501          | M      |
| 20210917 | NBN    | 0                   | 637          | 637          | M      |
| 20210917 | NBR    | 0                   | 0            | 135          | M      |
| 20210917 | NBTB   | 0                   | 100          | 100          | M      |
| 20210917 | NCBS   | 0                   | 18           | 189          | M      |
| 20210917 | NCLH   | 0                   | 0            | 92000        | M      |
| 20210917 | NCNA   | 0                   | 93           | 93           | M      |
| 20210917 | NCNO   | 0                   | 920          | 1120         | M      |
| 20210917 | NCR    | 0                   | 0            | 30           | M      |
| 20210917 | NCSM   | 0                   | 200          | 200          | M      |
| 20210917 | NCTY   | 0                   | 100          | 100          | M      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | NDAQ   | 0                   | 354          | 453          | M      |
| 20210917 | NDLS   | 0                   | 318          | 318          | M      |
| 20210917 | NDRA   | 0                   | 100          | 100          | M      |
| 20210917 | NDSN   | 0                   | 100          | 162          | M      |
| 20210917 | NE     | 0                   | 92           | 332          | M      |
| 20210917 | NECB   | 0                   | 0            | 67           | M      |
| 20210917 | NEE    | 0                   | 599          | 1844         | M      |
| 20210917 | NEGG   | 215                 | 216          | 355          | M      |
| 20210917 | NEM    | 0                   | 324          | 54816        | M      |
| 20210917 | NEOG   | 0                   | 0            | 97           | M      |
| 20210917 | NEP    | 0                   | 66           | 505          | M      |
| 20210917 | NESR   | 0                   | 111          | 111          | M      |
| 20210917 | NET    | 0                   | 1677         | 2144         | M      |
| 20210917 | NEU    | 0                   | 10           | 10           | M      |
| 20210917 | NEWR   | 0                   | 0            | 163          | M      |
| 20210917 | NEXI   | 0                   | 1000         | 2201         | M      |
| 20210917 | NEXT   | 0                   | 0            | 50           | M      |
| 20210917 | NFBK   | 0                   | 3            | 178          | M      |
| 20210917 | NFE    | 0                   | 238          | 538          | M      |
| 20210917 | NFLX   | 0                   | 1507         | 5701         | M      |
| 20210917 | NGD    | 0                   | 0            | 6            | M      |
| 20210917 | NGG    | 0                   | 200          | 200          | M      |
| 20210917 | NGM    | 0                   | 0            | 28           | M      |
| 20210917 | NGMS   | 0                   | 0            | 6            | M      |
| 20210917 | NGVC   | 0                   | 137          | 222          | M      |
| 20210917 | NGVT   | 0                   | 14           | 137          | M      |
| 20210917 | NHC    | 0                   | 1            | 1            | M      |
| 20210917 | NHI    | 0                   | 200          | 200          | M      |
| 20210917 | NI     | 0                   | 12           | 413          | M      |
| 20210917 | NICE   | 0                   | 163          | 663          | M      |
| 20210917 | NIO    | 0                   | 200          | 200          | M      |
| 20210917 | NIU    | 0                   | 109          | 109          | M      |
| 20210917 | NJR    | 0                   | 0            | 26           | M      |
| 20210917 | NKE    | 0                   | 693          | 2054         | M      |
| 20210917 | NKLA   | 0                   | 1480         | 1580         | M      |
| 20210917 | NKTR   | 0                   | 530          | 630          | M      |
| 20210917 | NKTX   | 0                   | 232          | 233          | M      |
| 20210917 | NLOK   | 0                   | 0            | 564          | M      |
| 20210917 | NLS    | 0                   | 100          | 200          | M      |
| 20210917 | NLSN   | 0                   | 0            | 583          | M      |
| 20210917 | NLTX   | 0                   | 459          | 459          | M      |
| 20210917 | NM     | 0                   | 100          | 379          | M      |
| 20210917 | NMCO   | 100                 | 100          | 100          | M      |
| 20210917 | NMFC   | 0                   | 184          | 184          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | NMG    | 0                   | 0            | 100          | M      |
| 20210917 | NMM    | 0                   | 0            | 100          | M      |
| 20210917 | NMTC   | 0                   | 195          | 195          | M      |
| 20210917 | NMTR   | 0                   | 4            | 4            | M      |
| 20210917 | NMZ    | 0                   | 0            | 57           | M      |
| 20210917 | NNN    | 0                   | 0            | 1            | M      |
| 20210917 | NOAH   | 0                   | 100          | 100          | M      |
| 20210917 | NOC    | 0                   | 784          | 1281         | M      |
| 20210917 | NOG    | 0                   | 458          | 515          | M      |
| 20210917 | NOK    | 0                   | 25           | 312          | M      |
| 20210917 | NOTV   | 0                   | 32           | 32           | M      |
| 20210917 | NOV    | 0                   | 15           | 19           | M      |
| 20210917 | NOVA   | 0                   | 726          | 924          | M      |
| 20210917 | NOVT   | 100                 | 1031         | 1408         | M      |
| 20210917 | NOW    | 0                   | 925          | 1087         | M      |
| 20210917 | NP     | 0                   | 0            | 5            | M      |
| 20210917 | NPCE   | 0                   | 720          | 771          | M      |
| 20210917 | NPK    | 0                   | 298          | 339          | M      |
| 20210917 | NPO    | 0                   | 1630         | 1811         | M      |
| 20210917 | NREF   | 0                   | 0            | 103          | M      |
| 20210917 | NRG    | 0                   | 400          | 400          | M      |
| 20210917 | NRIM   | 0                   | 200          | 200          | M      |
| 20210917 | NRIX   | 0                   | 981          | 981          | M      |
| 20210917 | NRZ    | 0                   | 101          | 111          | M      |
| 20210917 | NS     | 27                  | 86           | 471          | M      |
| 20210917 | NSA    | 0                   | 0            | 200          | M      |
| 20210917 | NSC    | 0                   | 1034         | 2150         | M      |
| 20210917 | NSEC   | 0                   | 326          | 656          | M      |
| 20210917 | NSIT   | 0                   | 55           | 178          | M      |
| 20210917 | NSP    | 0                   | 163          | 198          | M      |
| 20210917 | NSSC   | 0                   | 101          | 101          | M      |
| 20210917 | NSTG   | 0                   | 125          | 201          | M      |
| 20210917 | NSYS   | 0                   | 122          | 224          | M      |
| 20210917 | NTAP   | 0                   | 469          | 469          | M      |
| 20210917 | NTB    | 0                   | 0            | 1            | M      |
| 20210917 | NTCT   | 0                   | 0            | 102          | M      |
| 20210917 | NTES   | 0                   | 2914         | 3485         | M      |
| 20210917 | NTGR   | 0                   | 72           | 72           | M      |
| 20210917 | NTLA   | 0                   | 352          | 1990         | M      |
| 20210917 | NTNX   | 0                   | 793          | 1021         | M      |
| 20210917 | NTP    | 0                   | 300          | 300          | M      |
| 20210917 | NTR    | 0                   | 0            | 100          | M      |
| 20210917 | NTRA   | 0                   | 1140         | 1560         | M      |
| 20210917 | NTRS   | 0                   | 16           | 229          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | NTST   | 0                   | 396          | 400          | M      |
| 20210917 | NTUS   | 0                   | 96           | 96           | M      |
| 20210917 | NUAN   | 0                   | 754          | 1665         | M      |
| 20210917 | NUE    | 0                   | 5178         | 6988         | M      |
| 20210917 | NUS    | 0                   | 200          | 202          | M      |
| 20210917 | NUVA   | 0                   | 38           | 211          | M      |
| 20210917 | NUVB   | 0                   | 364          | 464          | M      |
| 20210917 | NUVL   | 0                   | 1469         | 1714         | M      |
| 20210917 | NVAX   | 0                   | 661          | 1272         | M      |
| 20210917 | NVCR   | 0                   | 200          | 677          | M      |
| 20210917 | NVDA   | 0                   | 4074         | 606316       | M      |
| 20210917 | NVEC   | 0                   | 4            | 94           | M      |
| 20210917 | NVEE   | 0                   | 112          | 112          | M      |
| 20210917 | NVFX   | 0                   | 0            | 100          | M      |
| 20210917 | NVMI   | 0                   | 0            | 90           | M      |
| 20210917 | NVO    | 0                   | 208          | 1794         | M      |
| 20210917 | NVR    | 0                   | 58           | 76           | M      |
| 20210917 | NVRO   | 0                   | 502          | 580          | M      |
| 20210917 | NVST   | 0                   | 1342         | 2156         | M      |
| 20210917 | NVT    | 0                   | 200          | 201          | M      |
| 20210917 | NVTA   | 0                   | 238          | 1544         | M      |
| 20210917 | NVVE   | 0                   | 400          | 575          | M      |
| 20210917 | NWE    | 0                   | 80           | 130          | M      |
| 20210917 | NWL    | 0                   | 200          | 200          | M      |
| 20210917 | NWLI   | 0                   | 264          | 264          | M      |
| 20210917 | NWN    | 0                   | 0            | 52           | M      |
| 20210917 | NWS    | 0                   | 31           | 132          | M      |
| 20210917 | NXGN   | 0                   | 272          | 272          | M      |
| 20210917 | NXPI   | 0                   | 613          | 1593         | M      |
| 20210917 | NXRT   | 0                   | 0            | 63           | M      |
| 20210917 | NXST   | 0                   | 98           | 119          | M      |
| 20210917 | NXTC   | 0                   | 0            | 100          | M      |
| 20210917 | NXTD   | 0                   | 0            | 100          | M      |
| 20210917 | NXTP   | 0                   | 0            | 109          | M      |
| 20210917 | NYT    | 0                   | 1241         | 1326         | M      |
| 20210917 | O      | 0                   | 1305         | 2886         | M      |
| 20210917 | OAS    | 0                   | 288          | 288          | M      |
| 20210917 | OB     | 0                   | 99           | 297          | M      |
| 20210917 | OBLG   | 0                   | 100          | 100          | M      |
| 20210917 | OBNK   | 0                   | 64           | 232          | M      |
| 20210917 | OC     | 0                   | 36           | 200          | M      |
| 20210917 | OCDX   | 0                   | 889          | 956          | M      |
| 20210917 | OCG    | 0                   | 58           | 58           | M      |
| 20210917 | OCSL   | 0                   | 1            | 1            | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | OCUL   | 0                   | 0            | 349          | M      |
| 20210917 | OCX    | 0                   | 1            | 1            | M      |
| 20210917 | ODFL   | 0                   | 334          | 556          | M      |
| 20210917 | ODP    | 0                   | 600          | 855          | M      |
| 20210917 | ODT    | 0                   | 0            | 3            | M      |
| 20210917 | OEC    | 0                   | 32           | 279          | M      |
| 20210917 | OESX   | 0                   | 0            | 98           | M      |
| 20210917 | OFC    | 0                   | 800          | 924          | M      |
| 20210917 | OFED   | 0                   | 100          | 100          | M      |
| 20210917 | OFIX   | 0                   | 502          | 562          | M      |
| 20210917 | OFLX   | 0                   | 61           | 61           | M      |
| 20210917 | OG     | 0                   | 0            | 300          | M      |
| 20210917 | OGN    | 0                   | 465          | 1040         | M      |
| 20210917 | OGS    | 0                   | 33           | 33           | M      |
| 20210917 | OHI    | 0                   | 822          | 822          | M      |
| 20210917 | OIH    | 0                   | 1696         | 1708         | M      |
| 20210917 | OII    | 0                   | 1070         | 1070         | M      |
| 20210917 | OKE    | 0                   | 1700         | 1700         | M      |
| 20210917 | OKTA   | 0                   | 641          | 2403         | M      |
| 20210917 | OLED   | 0                   | 208          | 208          | M      |
| 20210917 | OLK    | 0                   | 328          | 1789         | M      |
| 20210917 | OLLI   | 0                   | 486          | 586          | M      |
| 20210917 | OLMA   | 0                   | 101          | 211          | M      |
| 20210917 | OLN    | 0                   | 0            | 100          | M      |
| 20210917 | OLO    | 0                   | 1250         | 2028         | M      |
| 20210917 | OLP    | 0                   | 20           | 20           | M      |
| 20210917 | OM     | 0                   | 1213         | 1742         | M      |
| 20210917 | OMAB   | 0                   | 185          | 185          | M      |
| 20210917 | OMC    | 0                   | 1            | 27           | M      |
| 20210917 | OMCL   | 0                   | 42           | 114          | M      |
| 20210917 | OMER   | 0                   | 100          | 100          | M      |
| 20210917 | OMEX   | 0                   | 95           | 95           | M      |
| 20210917 | OMF    | 0                   | 205          | 266          | M      |
| 20210917 | OMGA   | 0                   | 721          | 1033         | M      |
| 20210917 | OMI    | 0                   | 904          | 1063         | M      |
| 20210917 | OMIC   | 0                   | 1152         | 1220         | M      |
| 20210917 | OMP    | 0                   | 118          | 118          | M      |
| 20210917 | ON     | 0                   | 361          | 725          | M      |
| 20210917 | ONB    | 0                   | 0            | 118          | M      |
| 20210917 | ONCR   | 0                   | 74           | 74           | M      |
| 20210917 | ONCS   | 0                   | 0            | 166          | M      |
| 20210917 | ONCT   | 0                   | 0            | 72           | M      |
| 20210917 | ONDS   | 0                   | 200          | 200          | M      |
| 20210917 | ONEM   | 0                   | 0            | 100          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | ONEW   | 0                   | 420          | 605          | M      |
| 20210917 | ONON   | 0                   | 0            | 8            | M      |
| 20210917 | ONTF   | 0                   | 300          | 915          | M      |
| 20210917 | ONTO   | 0                   | 125          | 125          | M      |
| 20210917 | ONTX   | 0                   | 0            | 200          | M      |
| 20210917 | ONVO   | 0                   | 2            | 4            | M      |
| 20210917 | OOMA   | 0                   | 132          | 165          | M      |
| 20210917 | OPAD   | 268                 | 756          | 2817         | M      |
| 20210917 | OPCH   | 0                   | 286          | 386          | M      |
| 20210917 | OPEN   | 0                   | 5533         | 39955        | M      |
| 20210917 | OPFI   | 0                   | 400          | 400          | M      |
| 20210917 | OPK    | 0                   | 1            | 1            | M      |
| 20210917 | OPNT   | 0                   | 21           | 21           | M      |
| 20210917 | OPP    | 100                 | 100          | 200          | M      |
| 20210917 | OPRA   | 0                   | 100          | 100          | M      |
| 20210917 | OPRT   | 0                   | 0            | 18           | M      |
| 20210917 | OPRX   | 0                   | 387          | 908          | M      |
| 20210917 | OPTN   | 0                   | 130          | 130          | M      |
| 20210917 | OR     | 0                   | 158          | 158          | M      |
| 20210917 | ORA    | 0                   | 136          | 136          | M      |
| 20210917 | ORC    | 0                   | 0            | 52           | M      |
| 20210917 | ORCL   | 0                   | 846          | 3556         | M      |
| 20210917 | ORGN   | 0                   | 100          | 200          | M      |
| 20210917 | ORGO   | 0                   | 1652         | 2237         | M      |
| 20210917 | ORIC   | 0                   | 291          | 291          | M      |
| 20210917 | ORLY   | 0                   | 360          | 438          | M      |
| 20210917 | ORMP   | 0                   | 414          | 614          | M      |
| 20210917 | ORPH   | 0                   | 100          | 100          | M      |
| 20210917 | OSBC   | 0                   | 100          | 100          | M      |
| 20210917 | OSCR   | 0                   | 667          | 916          | M      |
| 20210917 | OSH    | 0                   | 1713         | 1722         | M      |
| 20210917 | OSIS   | 0                   | 103          | 123          | M      |
| 20210917 | OSK    | 0                   | 626          | 1261         | M      |
| 20210917 | OSPN   | 0                   | 300          | 300          | M      |
| 20210917 | OSTK   | 0                   | 624          | 826          | M      |
| 20210917 | OSUR   | 0                   | 100          | 200          | M      |
| 20210917 | OSW    | 0                   | 100          | 160          | M      |
| 20210917 | OTEX   | 0                   | 82           | 82           | M      |
| 20210917 | OTIS   | 0                   | 900          | 2909         | M      |
| 20210917 | OTLY   | 0                   | 857          | 54857        | M      |
| 20210917 | OTRK   | 0                   | 462          | 562          | M      |
| 20210917 | OTTR   | 0                   | 393          | 393          | M      |
| 20210917 | OUST   | 0                   | 100          | 100          | M      |
| 20210917 | OUT    | 0                   | 0            | 600          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | OVLY   | 0                   | 0            | 100          | M      |
| 20210917 | OVV    | 15                  | 1355         | 1489         | M      |
| 20210917 | OWL    | 0                   | 300          | 300          | M      |
| 20210917 | OWLT   | 0                   | 0            | 87           | M      |
| 20210917 | OXM    | 0                   | 206          | 441          | M      |
| 20210917 | OXY    | 0                   | 704          | 100707       | M      |
| 20210917 | OYST   | 0                   | 15           | 15           | M      |
| 20210917 | OZK    | 0                   | 0            | 120          | M      |
| 20210917 | OZON   | 0                   | 100          | 1334         | M      |
| 20210917 | PAAS   | 0                   | 0            | 60           | M      |
| 20210917 | PACB   | 0                   | 106          | 106          | M      |
| 20210917 | PACE   | 0                   | 400          | 400          | M      |
| 20210917 | PACK   | 0                   | 123          | 1223         | M      |
| 20210917 | PACW   | 0                   | 301          | 575          | M      |
| 20210917 | PAE    | 0                   | 202          | 249          | M      |
| 20210917 | PAG    | 0                   | 505          | 685          | M      |
| 20210917 | PAGS   | 0                   | 400          | 415          | M      |
| 20210917 | PAHC   | 0                   | 74           | 74           | M      |
| 20210917 | PAM    | 0                   | 97           | 197          | M      |
| 20210917 | PANL   | 0                   | 165          | 165          | M      |
| 20210917 | PANW   | 0                   | 1455         | 2093         | M      |
| 20210917 | PAR    | 0                   | 0            | 105          | M      |
| 20210917 | PARR   | 0                   | 100          | 265          | M      |
| 20210917 | PASG   | 0                   | 122          | 240          | M      |
| 20210917 | PATH   | 0                   | 11957        | 15171        | M      |
| 20210917 | PATK   | 0                   | 100          | 134          | M      |
| 20210917 | PAWZ   | 0                   | 10           | 10           | M      |
| 20210917 | PAX    | 0                   | 30           | 30           | M      |
| 20210917 | PAY    | 0                   | 424          | 562          | M      |
| 20210917 | PAYA   | 0                   | 99           | 99           | M      |
| 20210917 | PAYC   | 0                   | 390          | 420          | M      |
| 20210917 | PAYO   | 0                   | 390          | 740          | M      |
| 20210917 | PAYS   | 0                   | 80           | 180          | M      |
| 20210917 | PAYX   | 0                   | 0            | 41           | M      |
| 20210917 | PB     | 0                   | 27           | 27           | M      |
| 20210917 | PBBK   | 0                   | 0            | 21           | M      |
| 20210917 | PBF    | 0                   | 306          | 550          | M      |
| 20210917 | PBFX   | 0                   | 0            | 100          | M      |
| 20210917 | PBH    | 0                   | 95           | 95           | M      |
| 20210917 | PBR    | 0                   | 1944         | 6284         | M      |
| 20210917 | PCAR   | 0                   | 434          | 487          | M      |
| 20210917 | PCG    | 0                   | 134          | 91795        | M      |
| 20210917 | PCH    | 0                   | 455          | 473          | M      |
| 20210917 | PCOR   | 0                   | 499          | 941          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | PCRX   | 0                   | 572          | 612          | M      |
| 20210917 | PCT    | 0                   | 534          | 534          | M      |
| 20210917 | PCTY   | 0                   | 270          | 349          | M      |
| 20210917 | PCVX   | 0                   | 504          | 560          | M      |
| 20210917 | PD     | 0                   | 381          | 493          | M      |
| 20210917 | PDBC   | 0                   | 100          | 100          | M      |
| 20210917 | PDCE   | 0                   | 300          | 300          | M      |
| 20210917 | PDD    | 0                   | 326          | 1478         | M      |
| 20210917 | PDFS   | 0                   | 6            | 6            | M      |
| 20210917 | PDN    | 0                   | 100          | 100          | M      |
| 20210917 | PDS    | 0                   | 8            | 8            | M      |
| 20210917 | PDSB   | 0                   | 100          | 100          | M      |
| 20210917 | PEAK   | 0                   | 480          | 480          | M      |
| 20210917 | PEB    | 0                   | 200          | 200          | M      |
| 20210917 | PEBO   | 0                   | 911          | 1164         | M      |
| 20210917 | PECO   | 0                   | 366          | 366          | M      |
| 20210917 | PEG    | 0                   | 2230         | 2771         | M      |
| 20210917 | PEGA   | 0                   | 0            | 3            | M      |
| 20210917 | PEN    | 0                   | 1            | 333          | M      |
| 20210917 | PENN   | 0                   | 455          | 1262         | M      |
| 20210917 | PEP    | 0                   | 277          | 669          | M      |
| 20210917 | PETQ   | 0                   | 271          | 271          | M      |
| 20210917 | PETV   | 0                   | 100          | 500          | M      |
| 20210917 | PFBC   | 0                   | 612          | 612          | M      |
| 20210917 | PFE    | 0                   | 30           | 2549         | M      |
| 20210917 | PFG    | 0                   | 460          | 460          | M      |
| 20210917 | PFGC   | 0                   | 864          | 1502         | M      |
| 20210917 | PFSI   | 0                   | 0            | 140          | M      |
| 20210917 | PG     | 0                   | 881          | 51553        | M      |
| 20210917 | PGC    | 0                   | 33           | 33           | M      |
| 20210917 | PGEN   | 0                   | 200          | 200          | M      |
| 20210917 | PGNY   | 0                   | 1792         | 3161         | M      |
| 20210917 | PGR    | 0                   | 200          | 300          | M      |
| 20210917 | PGTI   | 0                   | 0            | 300          | M      |
| 20210917 | PH     | 0                   | 467          | 29009        | M      |
| 20210917 | PHAS   | 0                   | 300          | 300          | M      |
| 20210917 | PHAT   | 0                   | 216          | 247          | M      |
| 20210917 | PHI    | 0                   | 0            | 108          | M      |
| 20210917 | PHK    | 0                   | 0            | 200          | M      |
| 20210917 | PHM    | 0                   | 308          | 569          | M      |
| 20210917 | PHR    | 0                   | 1161         | 1664         | M      |
| 20210917 | PHVS   | 200                 | 300          | 438          | M      |
| 20210917 | PHX    | 0                   | 180          | 181          | M      |
| 20210917 | PI     | 0                   | 50           | 104          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | PICK   | 0                   | 1            | 1            | M      |
| 20210917 | PII    | 0                   | 172          | 329          | M      |
| 20210917 | PINC   | 0                   | 115          | 460          | M      |
| 20210917 | PING   | 0                   | 192          | 292          | M      |
| 20210917 | PINS   | 0                   | 2568         | 3432         | M      |
| 20210917 | PIPR   | 0                   | 177          | 178          | M      |
| 20210917 | PJT    | 0                   | 233          | 233          | M      |
| 20210917 | PKBK   | 0                   | 0            | 16           | M      |
| 20210917 | PKG    | 0                   | 376          | 539          | M      |
| 20210917 | PKI    | 0                   | 626          | 724          | M      |
| 20210917 | PKOH   | 0                   | 103          | 153          | M      |
| 20210917 | PKX    | 0                   | 944          | 1601         | M      |
| 20210917 | PLAB   | 0                   | 615          | 615          | M      |
| 20210917 | PLAN   | 0                   | 17           | 217          | M      |
| 20210917 | PLAY   | 0                   | 200          | 200          | M      |
| 20210917 | PLBY   | 0                   | 481          | 483          | M      |
| 20210917 | PLCE   | 0                   | 95           | 190          | M      |
| 20210917 | PLD    | 0                   | 502          | 802          | M      |
| 20210917 | PLL    | 0                   | 91           | 191          | M      |
| 20210917 | PLMR   | 0                   | 457          | 713          | M      |
| 20210917 | PLNT   | 0                   | 24           | 38           | M      |
| 20210917 | PLPC   | 0                   | 100          | 100          | M      |
| 20210917 | PLSE   | 0                   | 50           | 50           | M      |
| 20210917 | PLTK   | 0                   | 725          | 833          | M      |
| 20210917 | PLTR   | 0                   | 12004        | 216496       | M      |
| 20210917 | PLUG   | 0                   | 5649         | 6809         | M      |
| 20210917 | PLUS   | 0                   | 177          | 234          | M      |
| 20210917 | PLXP   | 0                   | 1            | 101          | M      |
| 20210917 | PLXS   | 0                   | 101          | 185          | M      |
| 20210917 | PLYA   | 0                   | 300          | 300          | M      |
| 20210917 | PLYM   | 0                   | 225          | 406          | M      |
| 20210917 | PM     | 0                   | 479          | 689          | M      |
| 20210917 | PMCB   | 0                   | 0            | 100          | M      |
| 20210917 | PMT    | 0                   | 193          | 193          | M      |
| 20210917 | PMTS   | 0                   | 46           | 46           | M      |
| 20210917 | PMVP   | 0                   | 328          | 2584         | M      |
| 20210917 | PNC    | 0                   | 1604         | 1943         | M      |
| 20210917 | PNFP   | 0                   | 364          | 574          | M      |
| 20210917 | PNM    | 0                   | 224          | 232          | M      |
| 20210917 | PNR    | 0                   | 550          | 1618         | M      |
| 20210917 | PNRG   | 100                 | 200          | 301          | M      |
| 20210917 | PNT    | 0                   | 1417         | 1982         | M      |
| 20210917 | PNTG   | 0                   | 230          | 230          | M      |
| 20210917 | PNW    | 0                   | 200          | 215          | M      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | PODD   | 0                   | 204          | 214          | M      |
| 20210917 | POLY   | 0                   | 312          | 432          | M      |
| 20210917 | POOL   | 0                   | 112          | 119          | M      |
| 20210917 | POR    | 0                   | 93           | 193          | M      |
| 20210917 | POSH   | 0                   | 304          | 624          | M      |
| 20210917 | POST   | 0                   | 195          | 195          | M      |
| 20210917 | POWI   | 0                   | 503          | 804          | M      |
| 20210917 | POWL   | 0                   | 2            | 2            | M      |
| 20210917 | PPBI   | 0                   | 643          | 758          | M      |
| 20210917 | PPG    | 0                   | 275          | 820          | M      |
| 20210917 | PPLT   | 0                   | 100          | 1000         | M      |
| 20210917 | PRA    | 0                   | 418          | 418          | M      |
| 20210917 | PRAX   | 0                   | 96           | 126          | M      |
| 20210917 | PRCH   | 0                   | 758          | 974          | M      |
| 20210917 | PRFT   | 0                   | 17           | 17           | M      |
| 20210917 | PRG    | 0                   | 129          | 215          | M      |
| 20210917 | PRGO   | 0                   | 437          | 942          | M      |
| 20210917 | PRGS   | 0                   | 0            | 100          | M      |
| 20210917 | PRI    | 0                   | 222          | 233          | M      |
| 20210917 | PRIM   | 0                   | 0            | 100          | M      |
| 20210917 | PRK    | 0                   | 12           | 25           | M      |
| 20210917 | PRLB   | 0                   | 55           | 164          | M      |
| 20210917 | PRLD   | 0                   | 12           | 12           | M      |
| 20210917 | PRMW   | 0                   | 193          | 193          | M      |
| 20210917 | PRO    | 0                   | 145          | 528          | M      |
| 20210917 | PROG   | 0                   | 0            | 200          | M      |
| 20210917 | PRPL   | 0                   | 56           | 56           | M      |
| 20210917 | PRPO   | 0                   | 84           | 84           | M      |
| 20210917 | PRQR   | 0                   | 0            | 100          | M      |
| 20210917 | PRTA   | 0                   | 846          | 1970         | M      |
| 20210917 | PRTG   | 0                   | 0            | 100          | M      |
| 20210917 | PRTK   | 0                   | 0            | 100          | M      |
| 20210917 | PRU    | 0                   | 4839         | 5495         | M      |
| 20210917 | PRVA   | 0                   | 32           | 32           | M      |
| 20210917 | PSA    | 0                   | 875          | 1171         | M      |
| 20210917 | PSB    | 0                   | 802          | 822          | M      |
| 20210917 | PSEC   | 0                   | 251          | 251          | M      |
| 20210917 | PSFE   | 0                   | 30           | 30           | M      |
| 20210917 | PSMT   | 0                   | 63           | 63           | M      |
| 20210917 | PSN    | 0                   | 294          | 494          | M      |
| 20210917 | PSNL   | 0                   | 107          | 170          | M      |
| 20210917 | PSTG   | 0                   | 2003         | 4103         | M      |
| 20210917 | PSTH   | 0                   | 5            | 31           | M      |
| 20210917 | PSTI   | 0                   | 100          | 100          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | PSTL   | 0                   | 9            | 9            | M      |
| 20210917 | PSX    | 0                   | 0            | 738          | M      |
| 20210917 | PSXP   | 0                   | 100          | 455          | M      |
| 20210917 | PT     | 0                   | 100          | 100          | M      |
| 20210917 | PTC    | 0                   | 363          | 858          | M      |
| 20210917 | PTCT   | 0                   | 426          | 655          | M      |
| 20210917 | PTON   | 0                   | 2152         | 5544         | M      |
| 20210917 | PTR    | 0                   | 0            | 199          | M      |
| 20210917 | PTRA   | 0                   | 200          | 1290         | M      |
| 20210917 | PUBM   | 0                   | 686          | 944          | M      |
| 20210917 | PUMP   | 0                   | 0            | 144          | M      |
| 20210917 | PVAC   | 0                   | 205          | 205          | M      |
| 20210917 | PVBC   | 0                   | 2            | 2            | M      |
| 20210917 | PVG    | 0                   | 225          | 4350         | M      |
| 20210917 | PVH    | 0                   | 299          | 580          | M      |
| 20210917 | PW     | 0                   | 112          | 112          | M      |
| 20210917 | PWP    | 0                   | 0            | 201          | M      |
| 20210917 | PWR    | 0                   | 342          | 473          | M      |
| 20210917 | PWSC   | 0                   | 309          | 1072         | M      |
| 20210917 | PXD    | 0                   | 152          | 879          | M      |
| 20210917 | PYCR   | 0                   | 281          | 681          | M      |
| 20210917 | PYPL   | 0                   | 430          | 6566         | M      |
| 20210917 | PZZA   | 0                   | 0            | 1            | M      |
| 20210917 | QADA   | 0                   | 19           | 19           | M      |
| 20210917 | QCOM   | 0                   | 561          | 4246         | M      |
| 20210917 | QCRH   | 0                   | 100          | 100          | M      |
| 20210917 | QDEL   | 0                   | 585          | 934          | M      |
| 20210917 | QFIN   | 0                   | 3254         | 3356         | M      |
| 20210917 | QGEN   | 115                 | 320          | 435          | M      |
| 20210917 | QIPT   | 0                   | 100          | 100          | M      |
| 20210917 | QK     | 0                   | 0            | 100          | M      |
| 20210917 | QLYS   | 0                   | 159          | 370          | M      |
| 20210917 | QMCO   | 0                   | 100          | 100          | M      |
| 20210917 | QNST   | 0                   | 0            | 28           | M      |
| 20210917 | QQQ    | 0                   | 1843         | 548860       | M      |
| 20210917 | QRTEA  | 0                   | 619          | 861          | M      |
| 20210917 | QRVO   | 0                   | 270          | 576          | M      |
| 20210917 | QS     | 0                   | 7499         | 9209         | M      |
| 20210917 | QSI    | 0                   | 381          | 429          | M      |
| 20210917 | QSR    | 0                   | 200          | 695          | M      |
| 20210917 | QTRX   | 0                   | 217          | 265          | M      |
| 20210917 | QTWO   | 0                   | 200          | 200          | M      |
| 20210917 | QUAD   | 0                   | 100          | 100          | M      |
| 20210917 | QUBT   | 0                   | 582          | 582          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | QURE   | 0                   | 239          | 528          | M      |
| 20210917 | QYLG   | 0                   | 300          | 300          | M      |
| 20210917 | R      | 0                   | 180          | 404          | M      |
| 20210917 | RA     | 0                   | 0            | 264          | M      |
| 20210917 | RAAS   | 0                   | 495          | 495          | M      |
| 20210917 | RACE   | 0                   | 0            | 190          | M      |
| 20210917 | RAD    | 0                   | 240          | 692          | M      |
| 20210917 | RADA   | 0                   | 100          | 100          | M      |
| 20210917 | RADI   | 0                   | 128          | 228          | M      |
| 20210917 | RAIL   | 0                   | 100          | 100          | M      |
| 20210917 | RAIN   | 0                   | 34           | 34           | M      |
| 20210917 | RAMP   | 0                   | 173          | 273          | M      |
| 20210917 | RANI   | 0                   | 800          | 1200         | M      |
| 20210917 | RAPT   | 0                   | 2235         | 2669         | M      |
| 20210917 | RARE   | 0                   | 35           | 290          | M      |
| 20210917 | RAVE   | 0                   | 0            | 55           | M      |
| 20210917 | RAVN   | 0                   | 704          | 800          | M      |
| 20210917 | RBC    | 0                   | 544          | 555          | M      |
| 20210917 | RBLX   | 0                   | 57           | 1968         | M      |
| 20210917 | RC     | 0                   | 0            | 450          | M      |
| 20210917 | RCI    | 0                   | 242          | 252          | M      |
| 20210917 | RCII   | 0                   | 84           | 177          | M      |
| 20210917 | RCKT   | 0                   | 0            | 74           | M      |
| 20210917 | RCKY   | 0                   | 8            | 13           | M      |
| 20210917 | RCL    | 0                   | 318          | 16052        | M      |
| 20210917 | RCM    | 0                   | 100          | 100          | M      |
| 20210917 | RCMT   | 0                   | 200          | 200          | M      |
| 20210917 | RCUS   | 0                   | 700          | 725          | M      |
| 20210917 | RDFN   | 0                   | 2973         | 3097         | M      |
| 20210917 | RDIB   | 0                   | 132          | 132          | M      |
| 20210917 | RDN    | 0                   | 107          | 660          | M      |
| 20210917 | RDNT   | 0                   | 100          | 183          | M      |
| 20210917 | RDS A  | 0                   | 0            | 330          | M      |
| 20210917 | RDW    | 0                   | 58           | 58           | M      |
| 20210917 | RDY    | 0                   | 141          | 141          | M      |
| 20210917 | RE     | 0                   | 93           | 240          | M      |
| 20210917 | REAL   | 0                   | 200          | 200          | M      |
| 20210917 | REE    | 0                   | 100          | 100          | M      |
| 20210917 | REED   | 0                   | 300          | 300          | M      |
| 20210917 | REG    | 0                   | 765          | 789          | M      |
| 20210917 | REGI   | 0                   | 183          | 203          | M      |
| 20210917 | REGN   | 0                   | 629          | 1019         | M      |
| 20210917 | REKR   | 0                   | 2389         | 2389         | M      |
| 20210917 | RELI   | 0                   | 105          | 105          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | REPH   | 0                   | 0            | 100          | M      |
| 20210917 | REPL   | 0                   | 0            | 218          | M      |
| 20210917 | RES    | 0                   | 84           | 249          | M      |
| 20210917 | RETA   | 0                   | 528          | 528          | M      |
| 20210917 | REVG   | 0                   | 16           | 70           | M      |
| 20210917 | REX    | 0                   | 200          | 410          | M      |
| 20210917 | REXR   | 0                   | 498          | 532          | M      |
| 20210917 | REYN   | 0                   | 265          | 270          | M      |
| 20210917 | REZI   | 0                   | 246          | 339          | M      |
| 20210917 | RF     | 0                   | 490          | 490          | M      |
| 20210917 | RFL    | 0                   | 414          | 415          | M      |
| 20210917 | RFP    | 0                   | 400          | 400          | M      |
| 20210917 | RGA    | 0                   | 100          | 239          | M      |
| 20210917 | RGC    | 0                   | 500          | 3700         | M      |
| 20210917 | RGEN   | 0                   | 436          | 569          | M      |
| 20210917 | RGLD   | 0                   | 108          | 126          | M      |
| 20210917 | RGNX   | 0                   | 1788         | 2413         | M      |
| 20210917 | RGR    | 0                   | 19           | 61           | M      |
| 20210917 | RGS    | 0                   | 524          | 824          | M      |
| 20210917 | RH     | 0                   | 391          | 1158         | M      |
| 20210917 | RHI    | 0                   | 63           | 294          | M      |
| 20210917 | RHP    | 0                   | 46           | 59           | M      |
| 20210917 | RIBT   | 0                   | 100          | 100          | M      |
| 20210917 | RICK   | 0                   | 100          | 100          | M      |
| 20210917 | RIO    | 0                   | 745          | 796          | M      |
| 20210917 | RIOT   | 0                   | 300          | 1931         | M      |
| 20210917 | RJA    | 0                   | 200          | 200          | M      |
| 20210917 | RJF    | 0                   | 104          | 341          | M      |
| 20210917 | RKLB   | 0                   | 4441         | 5561         | M      |
| 20210917 | RKLY   | 0                   | 100          | 200          | M      |
| 20210917 | RKT    | 0                   | 530          | 636          | M      |
| 20210917 | RL     | 0                   | 257          | 575          | M      |
| 20210917 | RLAY   | 0                   | 322          | 352          | M      |
| 20210917 | RLGY   | 0                   | 100          | 100          | M      |
| 20210917 | RLI    | 0                   | 6            | 6            | M      |
| 20210917 | RLJ    | 0                   | 0            | 100          | M      |
| 20210917 | RLMD   | 0                   | 113          | 113          | M      |
| 20210917 | RLX    | 0                   | 101          | 101          | M      |
| 20210917 | RLYB   | 0                   | 26           | 26           | M      |
| 20210917 | RM     | 0                   | 144          | 144          | M      |
| 20210917 | RMBS   | 0                   | 100          | 100          | M      |
| 20210917 | RMD    | 0                   | 112          | 135          | M      |
| 20210917 | RMED   | 0                   | 200          | 200          | M      |
| 20210917 | RMNI   | 0                   | 200          | 200          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | RMR    | 0                   | 0            | 100          | M      |
| 20210917 | RNA    | 0                   | 0            | 100          | M      |
| 20210917 | RNG    | 0                   | 1229         | 1364         | M      |
| 20210917 | RNLX   | 0                   | 32           | 32           | M      |
| 20210917 | RNR    | 0                   | 120          | 120          | M      |
| 20210917 | RNST   | 0                   | 100          | 182          | M      |
| 20210917 | RNXT   | 0                   | 300          | 2387         | M      |
| 20210917 | ROAD   | 0                   | 57           | 57           | M      |
| 20210917 | ROBO   | 0                   | 70           | 70           | M      |
| 20210917 | ROCK   | 0                   | 100          | 127          | M      |
| 20210917 | ROG    | 0                   | 100          | 100          | M      |
| 20210917 | ROK    | 0                   | 283          | 352          | M      |
| 20210917 | ROKU   | 0                   | 1891         | 2182         | M      |
| 20210917 | ROL    | 0                   | 541          | 682          | M      |
| 20210917 | ROLL   | 0                   | 0            | 101          | M      |
| 20210917 | ROOT   | 0                   | 758          | 818          | M      |
| 20210917 | ROP    | 0                   | 123          | 240          | M      |
| 20210917 | ROST   | 0                   | 256          | 928          | M      |
| 20210917 | ROT    | 0                   | 134          | 334          | M      |
| 20210917 | ROVR   | 0                   | 217          | 735          | M      |
| 20210917 | RPAI   | 0                   | 26           | 29           | M      |
| 20210917 | RPAY   | 0                   | 462          | 462          | M      |
| 20210917 | RPD    | 0                   | 437          | 557          | M      |
| 20210917 | RPHM   | 0                   | 10           | 10           | M      |
| 20210917 | RPID   | 0                   | 36           | 1124         | M      |
| 20210917 | RPM    | 0                   | 854          | 1119         | M      |
| 20210917 | RPRX   | 0                   | 899          | 1299         | M      |
| 20210917 | RPTX   | 0                   | 247          | 830          | M      |
| 20210917 | RRC    | 0                   | 2350         | 3928         | M      |
| 20210917 | RRGB   | 0                   | 0            | 10           | M      |
| 20210917 | RRR    | 0                   | 295          | 412          | M      |
| 20210917 | RS     | 0                   | 12           | 16           | M      |
| 20210917 | RSG    | 0                   | 125          | 161          | M      |
| 20210917 | RSI    | 0                   | 335          | 511          | M      |
| 20210917 | RSKD   | 0                   | 100          | 326          | M      |
| 20210917 | RSP    | 0                   | 0            | 200          | M      |
| 20210917 | RSVR   | 0                   | 400          | 400          | M      |
| 20210917 | RTX    | 0                   | 362          | 562          | M      |
| 20210917 | RUBY   | 0                   | 100          | 600          | M      |
| 20210917 | RUN    | 0                   | 4473         | 6972         | M      |
| 20210917 | RUSHA  | 0                   | 49           | 49           | M      |
| 20210917 | RVI    | 0                   | 0            | 99           | M      |
| 20210917 | RVLV   | 0                   | 702          | 814          | M      |
| 20210917 | RVMD   | 0                   | 8            | 125          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | RVNC   | 0                   | 612          | 703          | M      |
| 20210917 | RVP    | 0                   | 502          | 502          | M      |
| 20210917 | RVPH   | 0                   | 300          | 300          | M      |
| 20210917 | RWLK   | 0                   | 100          | 100          | M      |
| 20210917 | RWO    | 0                   | 0            | 1            | M      |
| 20210917 | RWT    | 0                   | 0            | 12           | M      |
| 20210917 | RXDX   | 205                 | 305          | 305          | M      |
| 20210917 | RXN    | 0                   | 504          | 741          | M      |
| 20210917 | RXRX   | 0                   | 879          | 879          | M      |
| 20210917 | RXT    | 0                   | 2105         | 42285        | M      |
| 20210917 | RYAAY  | 0                   | 246          | 246          | M      |
| 20210917 | RYAM   | 0                   | 323          | 410          | M      |
| 20210917 | RYAN   | 0                   | 735          | 1478         | M      |
| 20210917 | RYLD   | 0                   | 300          | 300          | M      |
| 20210917 | RYN    | 0                   | 100          | 319          | M      |
| 20210917 | RYTM   | 0                   | 0            | 6            | M      |
| 20210917 | RZLT   | 0                   | 0            | 78           | M      |
| 20210917 | S      | 0                   | 1225         | 2497         | M      |
| 20210917 | SABR   | 0                   | 3132         | 6038         | M      |
| 20210917 | SAFE   | 0                   | 0            | 37           | M      |
| 20210917 | SAFM   | 0                   | 182          | 182          | M      |
| 20210917 | SAGE   | 0                   | 100          | 497          | M      |
| 20210917 | SAH    | 0                   | 200          | 200          | M      |
| 20210917 | SAIA   | 0                   | 417          | 473          | M      |
| 20210917 | SAIC   | 0                   | 241          | 243          | M      |
| 20210917 | SAIL   | 0                   | 557          | 557          | M      |
| 20210917 | SAM    | 0                   | 396          | 1384         | M      |
| 20210917 | SANA   | 0                   | 1636         | 1636         | M      |
| 20210917 | SANW   | 0                   | 100          | 100          | M      |
| 20210917 | SAP    | 0                   | 28           | 28           | M      |
| 20210917 | SASR   | 0                   | 100          | 102          | M      |
| 20210917 | SATS   | 0                   | 0            | 118          | M      |
| 20210917 | SAVA   | 0                   | 2882         | 3585         | M      |
| 20210917 | SBAC   | 0                   | 85           | 317          | M      |
| 20210917 | SBCF   | 0                   | 157          | 277          | M      |
| 20210917 | SBET   | 0                   | 0            | 100          | M      |
| 20210917 | SBGI   | 0                   | 100          | 198          | M      |
| 20210917 | SBH    | 0                   | 100          | 200          | M      |
| 20210917 | SBLK   | 0                   | 873          | 1370         | M      |
| 20210917 | SBNY   | 0                   | 446          | 448          | M      |
| 20210917 | SBRA   | 0                   | 10           | 10           | M      |
| 20210917 | SBSI   | 0                   | 40           | 83           | M      |
| 20210917 | SBTX   | 2                   | 102          | 1221         | M      |
| 20210917 | SBUX   | 0                   | 0            | 977          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | SCCO   | 0                   | 658          | 1096         | M      |
| 20210917 | SCHE   | 0                   | 2            | 2            | M      |
| 20210917 | SCHL   | 0                   | 114          | 114          | M      |
| 20210917 | SCHN   | 0                   | 14           | 38           | M      |
| 20210917 | SCHP   | 0                   | 0            | 200          | M      |
| 20210917 | SCHW   | 0                   | 2393         | 2904         | M      |
| 20210917 | SCL    | 0                   | 5            | 205          | M      |
| 20210917 | SCO    | 0                   | 0            | 100          | M      |
| 20210917 | SCPL   | 0                   | 497          | 497          | M      |
| 20210917 | SCPS   | 0                   | 0            | 257          | M      |
| 20210917 | SCR    | 0                   | 0            | 100          | M      |
| 20210917 | SCS    | 0                   | 100          | 300          | M      |
| 20210917 | SCSC   | 0                   | 30           | 42           | M      |
| 20210917 | SCU    | 0                   | 31           | 31           | M      |
| 20210917 | SCVL   | 0                   | 410          | 470          | M      |
| 20210917 | SCWX   | 0                   | 75           | 175          | M      |
| 20210917 | SCZ    | 0                   | 6            | 6            | M      |
| 20210917 | SD     | 0                   | 111          | 211          | M      |
| 20210917 | SDC    | 0                   | 2852         | 39153        | M      |
| 20210917 | SDGR   | 0                   | 0            | 741          | M      |
| 20210917 | SDIV   | 0                   | 0            | 20           | M      |
| 20210917 | SDY    | 0                   | 71           | 71           | M      |
| 20210917 | SE     | 0                   | 689          | 1829         | M      |
| 20210917 | SEAS   | 0                   | 343          | 817          | M      |
| 20210917 | SEDG   | 0                   | 493          | 762          | M      |
| 20210917 | SEE    | 0                   | 1423         | 1585         | M      |
| 20210917 | SEER   | 75                  | 245          | 5292         | M      |
| 20210917 | SEIC   | 0                   | 411          | 463          | M      |
| 20210917 | SEM    | 0                   | 618          | 618          | M      |
| 20210917 | SEMR   | 0                   | 650          | 650          | M      |
| 20210917 | SENEA  | 0                   | 34           | 34           | M      |
| 20210917 | SENS   | 0                   | 4            | 10           | M      |
| 20210917 | SF     | 0                   | 232          | 382          | M      |
| 20210917 | SFBS   | 0                   | 105          | 105          | M      |
| 20210917 | SFIX   | 0                   | 801          | 1610         | M      |
| 20210917 | SFM    | 0                   | 735          | 735          | M      |
| 20210917 | SFNC   | 300                 | 300          | 300          | M      |
| 20210917 | SFST   | 0                   | 272          | 272          | M      |
| 20210917 | SGA    | 0                   | 0            | 1            | M      |
| 20210917 | SGEN   | 0                   | 316          | 1525         | M      |
| 20210917 | SGFY   | 0                   | 199          | 288          | M      |
| 20210917 | SGG    | 0                   | 1            | 1            | M      |
| 20210917 | SGH    | 0                   | 285          | 769          | M      |
| 20210917 | SGHT   | 0                   | 858          | 970          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | SGMS   | 0                   | 429          | 962          | M      |
| 20210917 | SGOC   | 0                   | 0            | 196          | M      |
| 20210917 | SGRY   | 0                   | 39           | 242          | M      |
| 20210917 | SGTX   | 0                   | 54           | 54           | M      |
| 20210917 | SGU    | 0                   | 0            | 33           | M      |
| 20210917 | SHAK   | 0                   | 100          | 425          | M      |
| 20210917 | SHC    | 0                   | 237          | 237          | M      |
| 20210917 | SHCR   | 0                   | 340          | 3987         | M      |
| 20210917 | SHEN   | 0                   | 58           | 58           | M      |
| 20210917 | SHLS   | 0                   | 780          | 1250         | M      |
| 20210917 | SHOO   | 0                   | 164          | 440          | M      |
| 20210917 | SHOP   | 62                  | 1352         | 2417         | M      |
| 20210917 | SHW    | 0                   | 1618         | 1761         | M      |
| 20210917 | SI     | 0                   | 100          | 504          | M      |
| 20210917 | SIBN   | 0                   | 492          | 985          | M      |
| 20210917 | SID    | 0                   | 5            | 5            | M      |
| 20210917 | SIFY   | 0                   | 168          | 168          | M      |
| 20210917 | SIG    | 0                   | 1333         | 1836         | M      |
| 20210917 | SIGA   | 0                   | 0            | 100          | M      |
| 20210917 | SIGI   | 0                   | 2            | 215          | M      |
| 20210917 | SILK   | 0                   | 542          | 542          | M      |
| 20210917 | SIMO   | 0                   | 4            | 2591         | M      |
| 20210917 | SINO   | 0                   | 100          | 100          | M      |
| 20210917 | SIRI   | 0                   | 1            | 1            | M      |
| 20210917 | SITE   | 0                   | 196          | 199          | M      |
| 20210917 | SITM   | 0                   | 1393         | 1600         | M      |
| 20210917 | SIVB   | 0                   | 354          | 495          | M      |
| 20210917 | SIVR   | 0                   | 100          | 100          | M      |
| 20210917 | SIX    | 0                   | 1020         | 1414         | M      |
| 20210917 | SJI    | 0                   | 1593         | 1593         | M      |
| 20210917 | SJM    | 0                   | 615          | 825          | M      |
| 20210917 | SJT    | 0                   | 0            | 100          | M      |
| 20210917 | SJW    | 0                   | 191          | 243          | M      |
| 20210917 | SKIL   | 0                   | 686          | 700          | M      |
| 20210917 | SKIN   | 0                   | 1143         | 2398         | M      |
| 20210917 | SKT    | 0                   | 291          | 467          | M      |
| 20210917 | SKX    | 0                   | 60           | 149          | M      |
| 20210917 | SKY    | 0                   | 89           | 222          | M      |
| 20210917 | SKYT   | 0                   | 502          | 902          | M      |
| 20210917 | SKYW   | 0                   | 100          | 100          | M      |
| 20210917 | SLAB   | 0                   | 171          | 566          | M      |
| 20210917 | SLB    | 0                   | 702          | 703          | M      |
| 20210917 | SLCA   | 0                   | 900          | 2075         | M      |
| 20210917 | SLF    | 0                   | 1074         | 3287         | M      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | SLG    | 0                   | 19           | 28           | M      |
| 20210917 | SLGC   | 0                   | 0            | 332          | M      |
| 20210917 | SLI    | 0                   | 574          | 574          | M      |
| 20210917 | SLM    | 0                   | 330          | 630          | M      |
| 20210917 | SLP    | 0                   | 12           | 12           | M      |
| 20210917 | SLQT   | 0                   | 200          | 500          | M      |
| 20210917 | SLRX   | 0                   | 100          | 100          | M      |
| 20210917 | SLV    | 0                   | 1600         | 3350         | M      |
| 20210917 | SM     | 0                   | 350          | 856          | M      |
| 20210917 | SMAR   | 0                   | 297          | 494          | M      |
| 20210917 | SMBK   | 0                   | 20           | 20           | M      |
| 20210917 | SMCI   | 0                   | 305          | 305          | M      |
| 20210917 | SMFR   | 0                   | 1113         | 2290         | M      |
| 20210917 | SMG    | 0                   | 281          | 381          | M      |
| 20210917 | SMH    | 0                   | 1759         | 1876         | M      |
| 20210917 | SMLP   | 0                   | 95           | 95           | M      |
| 20210917 | SMMT   | 0                   | 143          | 472          | M      |
| 20210917 | SMP    | 0                   | 0            | 60           | M      |
| 20210917 | SMPL   | 0                   | 0            | 114          | M      |
| 20210917 | SMRT   | 0                   | 91           | 91           | M      |
| 20210917 | SMSI   | 0                   | 100          | 100          | M      |
| 20210917 | SMTC   | 0                   | 920          | 941          | M      |
| 20210917 | SMTS   | 0                   | 100          | 100          | M      |
| 20210917 | SNA    | 0                   | 311          | 558          | M      |
| 20210917 | SNAP   | 0                   | 6123         | 9391         | M      |
| 20210917 | SNAX   | 0                   | 0            | 22           | M      |
| 20210917 | SNCY   | 0                   | 338          | 1344         | M      |
| 20210917 | SNDX   | 0                   | 1936         | 2118         | M      |
| 20210917 | SNOA   | 0                   | 0            | 43           | M      |
| 20210917 | SNOW   | 0                   | 4161         | 9120         | M      |
| 20210917 | SNPO   | 0                   | 0            | 333          | M      |
| 20210917 | SNPS   | 0                   | 230          | 614          | M      |
| 20210917 | SNPX   | 0                   | 189          | 289          | M      |
| 20210917 | SNTG   | 0                   | 0            | 200          | M      |
| 20210917 | SNV    | 0                   | 297          | 297          | M      |
| 20210917 | SNX    | 0                   | 47           | 225          | M      |
| 20210917 | SNY    | 0                   | 153          | 153          | M      |
| 20210917 | SO     | 0                   | 324          | 3011         | M      |
| 20210917 | SOFI   | 0                   | 4253         | 11233        | M      |
| 20210917 | SOHON  | 0                   | 0            | 99           | M      |
| 20210917 | SOLY   | 0                   | 86           | 86           | M      |
| 20210917 | SON    | 0                   | 645          | 650          | M      |
| 20210917 | SONM   | 0                   | 90           | 130          | M      |
| 20210917 | SONO   | 0                   | 226          | 487          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | SONY   | 0                   | 0            | 213          | M      |
| 20210917 | SOPH   | 0                   | 871          | 871          | M      |
| 20210917 | SOS    | 0                   | 7815         | 8715         | M      |
| 20210917 | SOXX   | 0                   | 0            | 1            | M      |
| 20210917 | SP     | 0                   | 88           | 117          | M      |
| 20210917 | SPB    | 0                   | 100          | 278          | M      |
| 20210917 | SPCE   | 0                   | 4697         | 11422        | M      |
| 20210917 | SPG    | 0                   | 1045         | 1429         | M      |
| 20210917 | SPGI   | 0                   | 518          | 580          | M      |
| 20210917 | SPH    | 0                   | 0            | 200          | M      |
| 20210917 | SPIR   | 475                 | 1404         | 3274         | M      |
| 20210917 | SPLB   | 0                   | 1900         | 1900         | M      |
| 20210917 | SPLK   | 0                   | 0            | 1404         | M      |
| 20210917 | SPNS   | 0                   | 0            | 100          | M      |
| 20210917 | SPOK   | 0                   | 16           | 16           | M      |
| 20210917 | SPOT   | 0                   | 825          | 11223        | M      |
| 20210917 | SPR    | 32                  | 417          | 819          | M      |
| 20210917 | SPRO   | 0                   | 716          | 716          | M      |
| 20210917 | SPSC   | 0                   | 100          | 200          | M      |
| 20210917 | SPT    | 0                   | 45           | 186          | M      |
| 20210917 | SPTL   | 0                   | 0            | 7            | M      |
| 20210917 | SPWR   | 0                   | 350          | 1437         | M      |
| 20210917 | SPXC   | 0                   | 0            | 31           | M      |
| 20210917 | SPY    | 0                   | 334          | 2235672      | M      |
| 20210917 | SQ     | 0                   | 3581         | 104830       | M      |
| 20210917 | SQL    | 0                   | 100          | 100          | M      |
| 20210917 | SQM    | 0                   | 157          | 277          | M      |
| 20210917 | SQQQ   | 0                   | 1500         | 2933         | M      |
| 20210917 | SQSP   | 0                   | 687          | 687          | M      |
| 20210917 | SQZ    | 0                   | 78           | 191          | M      |
| 20210917 | SR     | 0                   | 200          | 273          | M      |
| 20210917 | SRAD   | 0                   | 0            | 700          | M      |
| 20210917 | SRAX   | 0                   | 39           | 55           | M      |
| 20210917 | SRC    | 0                   | 117          | 117          | M      |
| 20210917 | SRCE   | 0                   | 100          | 100          | M      |
| 20210917 | SRCL   | 0                   | 114          | 114          | M      |
| 20210917 | SRDX   | 0                   | 39           | 132          | M      |
| 20210917 | SRE    | 0                   | 343          | 364          | M      |
| 20210917 | SRG    | 0                   | 194          | 194          | M      |
| 20210917 | SRLP   | 0                   | 0            | 98           | M      |
| 20210917 | SRNE   | 0                   | 36           | 36           | M      |
| 20210917 | SRPT   | 0                   | 43           | 267          | M      |
| 20210917 | SRRK   | 0                   | 1023         | 1028         | M      |
| 20210917 | SRVR   | 0                   | 0            | 200          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | SRZN   | 0                   | 2066         | 2166         | M      |
| 20210917 | SSB    | 0                   | 8            | 8            | M      |
| 20210917 | SSD    | 0                   | 21           | 21           | M      |
| 20210917 | SSNC   | 0                   | 92           | 310          | M      |
| 20210917 | SSP    | 0                   | 108          | 108          | M      |
| 20210917 | SSTI   | 0                   | 142          | 142          | M      |
| 20210917 | SSTK   | 0                   | 135          | 261          | M      |
| 20210917 | SSYS   | 0                   | 2            | 522          | M      |
| 20210917 | ST     | 0                   | 117          | 117          | M      |
| 20210917 | STAB   | 0                   | 99           | 199          | M      |
| 20210917 | STAG   | 0                   | 500          | 500          | M      |
| 20210917 | STAR   | 0                   | 2277         | 2340         | M      |
| 20210917 | STC    | 0                   | 0            | 303          | M      |
| 20210917 | STE    | 0                   | 931          | 1051         | M      |
| 20210917 | STEM   | 0                   | 716          | 1217         | M      |
| 20210917 | STEP   | 0                   | 103          | 103          | M      |
| 20210917 | STG    | 0                   | 162          | 262          | M      |
| 20210917 | STGW   | 0                   | 355          | 455          | M      |
| 20210917 | STLD   | 0                   | 385          | 487          | M      |
| 20210917 | STM    | 0                   | 572          | 572          | M      |
| 20210917 | STN    | 0                   | 191          | 191          | M      |
| 20210917 | STNE   | 0                   | 600          | 1130         | M      |
| 20210917 | STNG   | 0                   | 1            | 1            | M      |
| 20210917 | STOK   | 0                   | 186          | 186          | M      |
| 20210917 | STOR   | 0                   | 1201         | 1201         | M      |
| 20210917 | STRA   | 0                   | 0            | 121          | M      |
| 20210917 | STRO   | 0                   | 754          | 1350         | M      |
| 20210917 | STRT   | 0                   | 0            | 10           | M      |
| 20210917 | STSA   | 0                   | 600          | 602          | M      |
| 20210917 | STT    | 0                   | 486          | 2366         | M      |
| 20210917 | STTK   | 0                   | 1273         | 1273         | M      |
| 20210917 | STVN   | 0                   | 815          | 2216         | M      |
| 20210917 | STWD   | 0                   | 480          | 480          | M      |
| 20210917 | STX    | 0                   | 425          | 729          | M      |
| 20210917 | STXB   | 0                   | 11           | 15           | M      |
| 20210917 | STZ    | 0                   | 392          | 544          | M      |
| 20210917 | SU     | 0                   | 0            | 123          | M      |
| 20210917 | SUI    | 0                   | 300          | 415          | M      |
| 20210917 | SUM    | 0                   | 376          | 382          | M      |
| 20210917 | SUMO   | 0                   | 0            | 85           | M      |
| 20210917 | SUN    | 0                   | 210          | 250          | M      |
| 20210917 | SUNL   | 0                   | 0            | 1860         | M      |
| 20210917 | SUNW   | 0                   | 1104         | 1204         | M      |
| 20210917 | SUP    | 0                   | 100          | 100          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | SURF   | 300                 | 1289         | 1289         | M      |
| 20210917 | SUSA   | 0                   | 0            | 6            | M      |
| 20210917 | SV     | 0                   | 0            | 128          | M      |
| 20210917 | SVC    | 0                   | 35           | 35           | M      |
| 20210917 | SVFAU  | 0                   | 100          | 100          | M      |
| 20210917 | SWAV   | 0                   | 143          | 223          | M      |
| 20210917 | SWBI   | 0                   | 0            | 223          | M      |
| 20210917 | SWI    | 0                   | 135          | 169          | M      |
| 20210917 | SWIM   | 0                   | 100          | 309          | M      |
| 20210917 | SWIR   | 0                   | 15           | 118          | M      |
| 20210917 | SWK    | 0                   | 209          | 232          | M      |
| 20210917 | SWKS   | 0                   | 1285         | 1673         | M      |
| 20210917 | SWM    | 0                   | 0            | 1            | M      |
| 20210917 | SWTX   | 0                   | 75           | 75           | M      |
| 20210917 | SWX    | 0                   | 200          | 641          | M      |
| 20210917 | SXI    | 0                   | 100          | 100          | M      |
| 20210917 | SXT    | 0                   | 41           | 41           | M      |
| 20210917 | SY     | 0                   | 0            | 527          | M      |
| 20210917 | SYBT   | 0                   | 119          | 120          | M      |
| 20210917 | SYK    | 0                   | 697          | 924          | M      |
| 20210917 | SYNA   | 0                   | 157          | 157          | M      |
| 20210917 | SYNH   | 0                   | 198          | 198          | M      |
| 20210917 | SYRS   | 0                   | 90           | 144          | M      |
| 20210917 | SYT    | 0                   | 590          | 790          | M      |
| 20210917 | T      | 0                   | 6186         | 7351         | M      |
| 20210917 | TACT   | 0                   | 107          | 107          | M      |
| 20210917 | TAL    | 0                   | 50           | 86           | M      |
| 20210917 | TALK   | 0                   | 0            | 1041         | M      |
| 20210917 | TAN    | 0                   | 0            | 356          | M      |
| 20210917 | TAP    | 0                   | 436          | 985          | M      |
| 20210917 | TARA   | 0                   | 76           | 120          | M      |
| 20210917 | TARS   | 0                   | 1            | 1            | M      |
| 20210917 | TASK   | 0                   | 2874         | 4342         | M      |
| 20210917 | TBBK   | 0                   | 15           | 40           | M      |
| 20210917 | TBI    | 0                   | 198          | 198          | M      |
| 20210917 | TBK    | 0                   | 255          | 255          | M      |
| 20210917 | TBPH   | 0                   | 122          | 122          | M      |
| 20210917 | TCBI   | 0                   | 157          | 384          | M      |
| 20210917 | TCBK   | 0                   | 0            | 14           | M      |
| 20210917 | TCFC   | 0                   | 0            | 4            | M      |
| 20210917 | TCI    | 0                   | 0            | 1            | M      |
| 20210917 | TCMD   | 0                   | 2            | 2            | M      |
| 20210917 | TCOM   | 0                   | 700          | 1215         | M      |
| 20210917 | TCRR   | 0                   | 0            | 100          | M      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20210917 | TCS     | 0                   | 0            | 116          | M      |
| 20210917 | TCX     | 0                   | 353          | 393          | M      |
| 20210917 | TDC     | 0                   | 206          | 254          | M      |
| 20210917 | TDF     | 0                   | 50           | 50           | M      |
| 20210917 | TDG     | 0                   | 122          | 332          | M      |
| 20210917 | TDOC    | 0                   | 338          | 23206        | M      |
| 20210917 | TDUP    | 0                   | 190          | 190          | M      |
| 20210917 | TDW     | 0                   | 0            | 1            | M      |
| 20210917 | TDY     | 0                   | 327          | 379          | M      |
| 20210917 | TEAM    | 0                   | 1228         | 2141         | M      |
| 20210917 | TECH    | 0                   | 1927         | 2064         | M      |
| 20210917 | TECK    | 0                   | 195          | 1895         | M      |
| 20210917 | TEI     | 0                   | 0            | 10           | M      |
| 20210917 | TEL     | 0                   | 120          | 156          | M      |
| 20210917 | TELL    | 0                   | 1001         | 1301         | M      |
| 20210917 | TEN     | 0                   | 548          | 548          | M      |
| 20210917 | TENB    | 0                   | 742          | 742          | M      |
| 20210917 | TEO     | 0                   | 0            | 144          | M      |
| 20210917 | TER     | 0                   | 340          | 614          | M      |
| 20210917 | TERN    | 0                   | 91           | 91           | M      |
| 20210917 | TEX     | 0                   | 14           | 24           | M      |
| 20210917 | TFC     | 0                   | 2939         | 3461         | M      |
| 20210917 | TFC PRR | 0                   | 0            | 91           | M      |
| 20210917 | TFII    | 0                   | 19           | 19           | M      |
| 20210917 | TFX     | 0                   | 200          | 315          | M      |
| 20210917 | TGH     | 0                   | 0            | 100          | M      |
| 20210917 | TGI     | 0                   | 0            | 14           | M      |
| 20210917 | TGLS    | 0                   | 100          | 1000         | M      |
| 20210917 | TGP     | 0                   | 0            | 100          | M      |
| 20210917 | TGT     | 0                   | 912          | 1178         | M      |
| 20210917 | TGTX    | 0                   | 88           | 1622         | M      |
| 20210917 | TH      | 0                   | 280          | 280          | M      |
| 20210917 | THC     | 0                   | 0            | 201          | M      |
| 20210917 | THCX    | 0                   | 0            | 183          | M      |
| 20210917 | THFF    | 0                   | 24           | 24           | M      |
| 20210917 | THG     | 0                   | 223          | 261          | M      |
| 20210917 | THO     | 0                   | 307          | 648          | M      |
| 20210917 | THQ     | 0                   | 0            | 40           | M      |
| 20210917 | THR     | 0                   | 0            | 24           | M      |
| 20210917 | THRY    | 0                   | 49           | 49           | M      |
| 20210917 | THS     | 0                   | 15           | 281          | M      |
| 20210917 | TIGR    | 0                   | 170          | 370          | M      |
| 20210917 | TIL     | 0                   | 98           | 98           | M      |
| 20210917 | TILE    | 0                   | 14           | 17           | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | TISI   | 0                   | 211          | 651          | M      |
| 20210917 | TITN   | 0                   | 100          | 107          | M      |
| 20210917 | TJX    | 0                   | 203          | 593          | M      |
| 20210917 | TKAT   | 0                   | 0            | 625          | M      |
| 20210917 | TKNO   | 0                   | 3923         | 4279         | M      |
| 20210917 | TKR    | 0                   | 45           | 80           | M      |
| 20210917 | TLIS   | 0                   | 601          | 1001         | M      |
| 20210917 | TLK    | 0                   | 304          | 304          | M      |
| 20210917 | TLMD   | 0                   | 0            | 100          | M      |
| 20210917 | TLRY   | 0                   | 0            | 58080        | M      |
| 20210917 | TLS    | 0                   | 400          | 439          | M      |
| 20210917 | TLT    | 0                   | 68           | 251          | M      |
| 20210917 | TLYS   | 0                   | 721          | 821          | M      |
| 20210917 | TM     | 0                   | 0            | 3            | M      |
| 20210917 | TMC    | 150                 | 150          | 360          | M      |
| 20210917 | TMCI   | 0                   | 1094         | 1094         | M      |
| 20210917 | TMDX   | 0                   | 435          | 830          | M      |
| 20210917 | TME    | 0                   | 27           | 27           | M      |
| 20210917 | TMHC   | 0                   | 600          | 600          | M      |
| 20210917 | TMO    | 0                   | 979          | 1109         | M      |
| 20210917 | TMP    | 0                   | 9            | 9            | M      |
| 20210917 | TMUS   | 0                   | 1460         | 2479         | M      |
| 20210917 | TMX    | 0                   | 16           | 16           | M      |
| 20210917 | TNC    | 0                   | 0            | 27           | M      |
| 20210917 | TNDM   | 0                   | 596          | 884          | M      |
| 20210917 | TNET   | 0                   | 72           | 73           | M      |
| 20210917 | TNGX   | 6                   | 6            | 6            | M      |
| 20210917 | TNK    | 0                   | 0            | 239          | M      |
| 20210917 | TNL    | 0                   | 636          | 666          | M      |
| 20210917 | TNP    | 0                   | 35           | 35           | M      |
| 20210917 | TNT    | 400                 | 1000         | 1000         | M      |
| 20210917 | TNYA   | 7                   | 107          | 155          | M      |
| 20210917 | TOL    | 0                   | 870          | 1716         | M      |
| 20210917 | TOUR   | 0                   | 22           | 154          | M      |
| 20210917 | TOWN   | 0                   | 0            | 8            | M      |
| 20210917 | TPB    | 0                   | 19           | 19           | M      |
| 20210917 | TPC    | 0                   | 8            | 8            | M      |
| 20210917 | TPGY   | 0                   | 0            | 100          | M      |
| 20210917 | TPH    | 0                   | 247          | 275          | M      |
| 20210917 | TPIC   | 0                   | 306          | 351          | M      |
| 20210917 | TPL    | 0                   | 855          | 1611         | M      |
| 20210917 | TPR    | 0                   | 1053         | 2497         | M      |
| 20210917 | TPTX   | 0                   | 95           | 1049         | M      |
| 20210917 | TPX    | 0                   | 412          | 771          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | TPYP   | 0                   | 223          | 223          | M      |
| 20210917 | TR     | 0                   | 42           | 42           | M      |
| 20210917 | TREE   | 0                   | 28           | 344          | M      |
| 20210917 | TREX   | 0                   | 1093         | 1767         | M      |
| 20210917 | TRGP   | 0                   | 344          | 344          | M      |
| 20210917 | TRHC   | 0                   | 100          | 100          | M      |
| 20210917 | TRI    | 0                   | 0            | 101          | M      |
| 20210917 | TRIL   | 0                   | 0            | 3            | M      |
| 20210917 | TRIP   | 0                   | 247          | 644          | M      |
| 20210917 | TRIT   | 0                   | 1            | 1            | M      |
| 20210917 | TRKA   | 0                   | 0            | 200          | M      |
| 20210917 | TRMB   | 100                 | 1288         | 1493         | M      |
| 20210917 | TRNO   | 0                   | 260          | 260          | M      |
| 20210917 | TRNS   | 0                   | 201          | 281          | M      |
| 20210917 | TROW   | 0                   | 385          | 614          | M      |
| 20210917 | TROX   | 0                   | 578          | 578          | M      |
| 20210917 | TRP    | 0                   | 940          | 1365         | M      |
| 20210917 | TRQ    | 0                   | 250          | 400          | M      |
| 20210917 | TRS    | 0                   | 0            | 100          | M      |
| 20210917 | TRST   | 0                   | 90           | 90           | M      |
| 20210917 | TRTN   | 0                   | 1737         | 1838         | M      |
| 20210917 | TRU    | 0                   | 849          | 1160         | M      |
| 20210917 | TRUP   | 0                   | 301          | 301          | M      |
| 20210917 | TRV    | 0                   | 283          | 444          | M      |
| 20210917 | TRVI   | 0                   | 200          | 200          | M      |
| 20210917 | TSC    | 0                   | 45           | 308          | M      |
| 20210917 | TSCO   | 0                   | 186          | 732          | M      |
| 20210917 | TSE    | 0                   | 167          | 367          | M      |
| 20210917 | TSHA   | 0                   | 75           | 166          | M      |
| 20210917 | TSLA   | 0                   | 6328         | 365946       | M      |
| 20210917 | TSLX   | 0                   | 0            | 482          | M      |
| 20210917 | TSM    | 0                   | 748          | 23048        | M      |
| 20210917 | TSN    | 0                   | 502          | 532          | M      |
| 20210917 | TSP    | 0                   | 1007         | 1347         | M      |
| 20210917 | TSRI   | 0                   | 30           | 60           | M      |
| 20210917 | TT     | 0                   | 472          | 643          | M      |
| 20210917 | TTC    | 0                   | 222          | 574          | M      |
| 20210917 | TTCF   | 0                   | 560          | 1033         | M      |
| 20210917 | TTD    | 0                   | 1421         | 1762         | M      |
| 20210917 | TTE    | 0                   | 614          | 1549         | M      |
| 20210917 | TTEK   | 0                   | 191          | 225          | M      |
| 20210917 | TTGT   | 0                   | 112          | 113          | M      |
| 20210917 | TTOO   | 0                   | 0            | 800          | M      |
| 20210917 | TTSH   | 0                   | 94           | 210          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | TTWO   | 0                   | 62           | 618          | M      |
| 20210917 | TUEM   | 0                   | 0            | 190          | M      |
| 20210917 | TUP    | 0                   | 200          | 200          | M      |
| 20210917 | TUYA   | 0                   | 2344         | 3174         | M      |
| 20210917 | TVTX   | 0                   | 1318         | 1318         | M      |
| 20210917 | TVTY   | 0                   | 0            | 603          | M      |
| 20210917 | TW     | 0                   | 483          | 497          | M      |
| 20210917 | TWI    | 0                   | 0            | 100          | M      |
| 20210917 | TWKS   | 0                   | 515          | 921          | M      |
| 20210917 | TWLO   | 0                   | 618          | 874          | M      |
| 20210917 | TWOU   | 0                   | 824          | 1024         | M      |
| 20210917 | TWST   | 0                   | 603          | 1183         | M      |
| 20210917 | TWTR   | 0                   | 1539         | 17684        | M      |
| 20210917 | TX     | 100                 | 815          | 2507         | M      |
| 20210917 | TXG    | 0                   | 1808         | 2327         | M      |
| 20210917 | TXN    | 0                   | 671          | 28021        | M      |
| 20210917 | TXRH   | 0                   | 181          | 189          | M      |
| 20210917 | TXT    | 0                   | 0            | 304          | M      |
| 20210917 | TYL    | 0                   | 166          | 197          | M      |
| 20210917 | TYRA   | 0                   | 0            | 300          | M      |
| 20210917 | U      | 0                   | 1068         | 63990        | M      |
| 20210917 | UAL    | 0                   | 106          | 791          | M      |
| 20210917 | UBER   | 0                   | 30           | 5246         | M      |
| 20210917 | UBOH   | 100                 | 211          | 300          | M      |
| 20210917 | UBSI   | 0                   | 97           | 100          | M      |
| 20210917 | UCO    | 0                   | 100          | 246          | M      |
| 20210917 | UCTT   | 0                   | 120          | 120          | M      |
| 20210917 | UDR    | 0                   | 1300         | 1300         | M      |
| 20210917 | UEIC   | 0                   | 130          | 132          | M      |
| 20210917 | UFCS   | 0                   | 686          | 807          | M      |
| 20210917 | UFI    | 0                   | 22           | 25           | M      |
| 20210917 | UFPI   | 0                   | 221          | 227          | M      |
| 20210917 | UFPT   | 0                   | 461          | 917          | M      |
| 20210917 | UGI    | 0                   | 134          | 134          | M      |
| 20210917 | UGRO   | 0                   | 100          | 202          | M      |
| 20210917 | UHAL   | 0                   | 15           | 34           | M      |
| 20210917 | UHS    | 0                   | 245          | 263          | M      |
| 20210917 | UHT    | 0                   | 0            | 20           | M      |
| 20210917 | UI     | 0                   | 67           | 90           | M      |
| 20210917 | UIS    | 0                   | 94           | 94           | M      |
| 20210917 | ULBI   | 0                   | 0            | 100          | M      |
| 20210917 | ULH    | 0                   | 0            | 100          | M      |
| 20210917 | ULTA   | 0                   | 454          | 825          | M      |
| 20210917 | UMBF   | 0                   | 203          | 247          | M      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | UMPQ   | 0                   | 100          | 100          | M      |
| 20210917 | UNF    | 0                   | 81           | 143          | M      |
| 20210917 | UNFI   | 0                   | 237          | 293          | M      |
| 20210917 | UNG    | 0                   | 2229         | 2429         | M      |
| 20210917 | UNH    | 0                   | 1002         | 1198         | M      |
| 20210917 | UNIT   | 0                   | 314          | 337          | M      |
| 20210917 | UNM    | 0                   | 4030         | 4417         | M      |
| 20210917 | UNP    | 0                   | 1280         | 1974         | M      |
| 20210917 | UNVR   | 0                   | 500          | 500          | M      |
| 20210917 | UONE   | 0                   | 0            | 100          | M      |
| 20210917 | UONEK  | 0                   | 31           | 81           | M      |
| 20210917 | UP     | 87                  | 487          | 911          | M      |
| 20210917 | UPH    | 0                   | 0            | 180          | M      |
| 20210917 | UPLD   | 0                   | 99           | 99           | M      |
| 20210917 | UPS    | 0                   | 888          | 978          | M      |
| 20210917 | UPST   | 168                 | 1711         | 3464         | M      |
| 20210917 | UPWK   | 0                   | 262          | 609          | M      |
| 20210917 | URBN   | 0                   | 100          | 100          | M      |
| 20210917 | URGN   | 0                   | 41           | 83           | M      |
| 20210917 | URI    | 0                   | 524          | 832          | M      |
| 20210917 | UROY   | 100                 | 100          | 600          | M      |
| 20210917 | USB    | 0                   | 4            | 36           | M      |
| 20210917 | USEG   | 0                   | 200          | 200          | M      |
| 20210917 | USFD   | 0                   | 100          | 100          | M      |
| 20210917 | USIG   | 0                   | 41           | 393          | M      |
| 20210917 | USM    | 0                   | 100          | 100          | M      |
| 20210917 | USMV   | 0                   | 6            | 6            | M      |
| 20210917 | USNA   | 0                   | 9            | 16           | M      |
| 20210917 | USPH   | 0                   | 93           | 184          | M      |
| 20210917 | USX    | 0                   | 155          | 155          | M      |
| 20210917 | UTF    | 0                   | 0            | 100          | M      |
| 20210917 | UTG    | 0                   | 0            | 509          | M      |
| 20210917 | UTHR   | 0                   | 3            | 103          | M      |
| 20210917 | UTL    | 0                   | 100          | 100          | M      |
| 20210917 | UTMD   | 0                   | 268          | 780          | M      |
| 20210917 | UUUU   | 0                   | 0            | 19           | M      |
| 20210917 | UVSP   | 0                   | 0            | 137          | M      |
| 20210917 | UVV    | 0                   | 10           | 10           | M      |
| 20210917 | UWMC   | 0                   | 30           | 30           | M      |
| 20210917 | V      | 0                   | 3250         | 81491        | M      |
| 20210917 | VABK   | 0                   | 4            | 99           | M      |
| 20210917 | VAC    | 0                   | 12           | 256          | M      |
| 20210917 | VAL    | 0                   | 967          | 1167         | M      |
| 20210917 | VALE   | 0                   | 0            | 500000       | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | VAPO   | 0                   | 20           | 120          | M      |
| 20210917 | VBLT   | 0                   | 69           | 69           | M      |
| 20210917 | VBTX   | 0                   | 100          | 266          | M      |
| 20210917 | VC     | 0                   | 75           | 1263         | M      |
| 20210917 | VCEL   | 0                   | 503          | 521          | M      |
| 20210917 | VCLT   | 0                   | 100          | 150          | M      |
| 20210917 | VCNX   | 0                   | 100          | 100          | M      |
| 20210917 | VCRA   | 0                   | 9            | 9            | M      |
| 20210917 | VCTR   | 0                   | 137          | 139          | M      |
| 20210917 | VCYT   | 0                   | 4507         | 4910         | M      |
| 20210917 | VEA    | 0                   | 0            | 420          | M      |
| 20210917 | VEC    | 0                   | 7            | 31           | M      |
| 20210917 | VECO   | 0                   | 86           | 86           | M      |
| 20210917 | VEEV   | 0                   | 2067         | 4783         | M      |
| 20210917 | VEON   | 0                   | 16           | 16           | M      |
| 20210917 | VER    | 0                   | 275          | 457          | M      |
| 20210917 | VERA   | 0                   | 200          | 537          | M      |
| 20210917 | VERI   | 0                   | 400          | 519          | M      |
| 20210917 | VERO   | 0                   | 0            | 21           | M      |
| 20210917 | VERU   | 0                   | 0            | 100          | M      |
| 20210917 | VERV   | 249                 | 1067         | 1427         | M      |
| 20210917 | VERX   | 0                   | 201          | 201          | M      |
| 20210917 | VFC    | 0                   | 226          | 791          | M      |
| 20210917 | VFF    | 0                   | 0            | 100          | M      |
| 20210917 | VG     | 0                   | 300          | 300          | M      |
| 20210917 | VGR    | 0                   | 243          | 243          | M      |
| 20210917 | VIA    | 0                   | 166          | 197          | M      |
| 20210917 | VIAC   | 0                   | 2            | 2            | M      |
| 20210917 | VIAV   | 0                   | 2            | 2            | M      |
| 20210917 | VICR   | 0                   | 200          | 313          | M      |
| 20210917 | VIEW   | 0                   | 930          | 1453         | M      |
| 20210917 | VIG    | 0                   | 112          | 112          | M      |
| 20210917 | VINC   | 0                   | 552          | 552          | M      |
| 20210917 | VINP   | 0                   | 0            | 80           | M      |
| 20210917 | VIPS   | 0                   | 537          | 1057         | M      |
| 20210917 | VIR    | 0                   | 60           | 347          | M      |
| 20210917 | VIRT   | 0                   | 130          | 284          | M      |
| 20210917 | VIRX   | 0                   | 0            | 77           | M      |
| 20210917 | VIST   | 0                   | 100          | 100          | M      |
| 20210917 | VIVO   | 0                   | 653          | 653          | M      |
| 20210917 | VKTX   | 0                   | 400          | 400          | M      |
| 20210917 | VLDR   | 0                   | 239          | 239          | M      |
| 20210917 | VLGEA  | 0                   | 0            | 1            | M      |
| 20210917 | VLO    | 0                   | 212          | 616          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | VLON   | 0                   | 0            | 300          | M      |
| 20210917 | VLRS   | 300                 | 691          | 1424         | M      |
| 20210917 | VLTA   | 0                   | 300          | 53588        | M      |
| 20210917 | VLY    | 0                   | 300          | 300          | M      |
| 20210917 | VMC    | 0                   | 207          | 275          | M      |
| 20210917 | VMEQ   | 0                   | 9            | 418          | M      |
| 20210917 | VMI    | 0                   | 81           | 81           | M      |
| 20210917 | VMW    | 0                   | 1604         | 7386         | M      |
| 20210917 | VNDA   | 0                   | 407          | 562          | M      |
| 20210917 | VNE    | 0                   | 0            | 232          | M      |
| 20210917 | VNET   | 0                   | 330          | 959          | M      |
| 20210917 | VNO    | 0                   | 106          | 340          | M      |
| 20210917 | VNOM   | 0                   | 99           | 289          | M      |
| 20210917 | VNT    | 0                   | 0            | 353          | M      |
| 20210917 | VOD    | 0                   | 0            | 418          | M      |
| 20210917 | VOO    | 0                   | 0            | 4            | M      |
| 20210917 | VOR    | 0                   | 496          | 496          | M      |
| 20210917 | VOYA   | 0                   | 30           | 30           | M      |
| 20210917 | VPX    | 0                   | 78           | 78           | M      |
| 20210917 | VRA    | 0                   | 0            | 257          | M      |
| 20210917 | VRAR   | 0                   | 57           | 157          | M      |
| 20210917 | VRCA   | 0                   | 16           | 239          | M      |
| 20210917 | VREX   | 0                   | 77           | 177          | M      |
| 20210917 | VRM    | 0                   | 200          | 200          | M      |
| 20210917 | VRNS   | 0                   | 0            | 1154         | M      |
| 20210917 | VRNT   | 0                   | 431          | 431          | M      |
| 20210917 | VRPX   | 0                   | 100          | 100          | M      |
| 20210917 | VRRM   | 0                   | 0            | 1            | M      |
| 20210917 | VRS    | 0                   | 82           | 142          | M      |
| 20210917 | VRSK   | 0                   | 0            | 36           | M      |
| 20210917 | VRSN   | 0                   | 13           | 252          | M      |
| 20210917 | VRT    | 0                   | 130          | 423          | M      |
| 20210917 | VRTS   | 0                   | 68           | 84           | M      |
| 20210917 | VRTV   | 0                   | 110          | 110          | M      |
| 20210917 | VRTX   | 0                   | 79           | 442          | M      |
| 20210917 | VSAT   | 0                   | 70           | 70           | M      |
| 20210917 | VSCO   | 0                   | 100          | 425          | M      |
| 20210917 | VSEC   | 0                   | 61           | 137          | M      |
| 20210917 | VSTM   | 0                   | 0            | 24           | M      |
| 20210917 | VSTO   | 0                   | 0            | 101          | M      |
| 20210917 | VT     | 0                   | 1504         | 2014         | M      |
| 20210917 | VTEX   | 0                   | 600          | 4272         | M      |
| 20210917 | VTNR   | 0                   | 0            | 300          | M      |
| 20210917 | VTOL   | 0                   | 121          | 235          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | VTR    | 0                   | 350          | 392          | M      |
| 20210917 | VTRU   | 0                   | 420          | 530          | M      |
| 20210917 | VUG    | 0                   | 53           | 116          | M      |
| 20210917 | VUZI   | 0                   | 302          | 516          | M      |
| 20210917 | VVI    | 0                   | 57           | 57           | M      |
| 20210917 | VVNT   | 0                   | 944          | 944          | M      |
| 20210917 | VVOS   | 0                   | 112          | 112          | M      |
| 20210917 | VVPR   | 0                   | 100          | 100          | M      |
| 20210917 | VVV    | 0                   | 489          | 708          | M      |
| 20210917 | VWE    | 0                   | 600          | 600          | M      |
| 20210917 | VWO    | 0                   | 612          | 612          | M      |
| 20210917 | VWTR   | 0                   | 100          | 100          | M      |
| 20210917 | VXUS   | 0                   | 300          | 2500         | M      |
| 20210917 | VXX    | 0                   | 0            | 564000       | M      |
| 20210917 | VYMI   | 0                   | 314          | 527          | M      |
| 20210917 | VZ     | 0                   | 26           | 648          | M      |
| 20210917 | VZIO   | 0                   | 180          | 1882         | M      |
| 20210917 | W      | 0                   | 1543         | 13549        | M      |
| 20210917 | WAB    | 0                   | 864          | 1063         | M      |
| 20210917 | WABC   | 0                   | 89           | 89           | M      |
| 20210917 | WAL    | 0                   | 56           | 58           | M      |
| 20210917 | WASH   | 0                   | 6            | 6            | M      |
| 20210917 | WAT    | 0                   | 487          | 627          | M      |
| 20210917 | WB     | 0                   | 350          | 2554         | M      |
| 20210917 | WBA    | 0                   | 55           | 100          | M      |
| 20210917 | WBS    | 0                   | 37           | 815          | M      |
| 20210917 | WCC    | 0                   | 158          | 158          | M      |
| 20210917 | WCN    | 0                   | 158          | 265          | M      |
| 20210917 | WD     | 0                   | 63           | 63           | M      |
| 20210917 | WDAY   | 0                   | 211          | 263          | M      |
| 20210917 | WDC    | 0                   | 1162         | 2021         | M      |
| 20210917 | WDFC   | 0                   | 1            | 36           | M      |
| 20210917 | WEBR   | 0                   | 550          | 550          | M      |
| 20210917 | WEBS   | 0                   | 0            | 200          | M      |
| 20210917 | WEC    | 0                   | 496          | 496          | M      |
| 20210917 | WELL   | 0                   | 1224         | 1366         | M      |
| 20210917 | WERN   | 0                   | 36           | 36           | M      |
| 20210917 | WES    | 0                   | 624          | 1766         | M      |
| 20210917 | WEX    | 0                   | 117          | 118          | M      |
| 20210917 | WFC    | 0                   | 1742         | 3981         | M      |
| 20210917 | WFRD   | 0                   | 76           | 76           | M      |
| 20210917 | WGO    | 0                   | 293          | 437          | M      |
| 20210917 | WH     | 0                   | 101          | 1641         | M      |
| 20210917 | WHD    | 0                   | 209          | 282          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | WHR    | 0                   | 325          | 765          | M      |
| 20210917 | WINA   | 0                   | 30           | 51           | M      |
| 20210917 | WING   | 0                   | 540          | 544          | M      |
| 20210917 | WIRE   | 0                   | 13           | 17           | M      |
| 20210917 | WISH   | 0                   | 35           | 40           | M      |
| 20210917 | WIX    | 0                   | 228          | 256          | M      |
| 20210917 | WK     | 0                   | 218          | 236          | M      |
| 20210917 | WKEY   | 0                   | 486          | 697          | M      |
| 20210917 | WKHS   | 0                   | 2            | 15           | M      |
| 20210917 | WKME   | 0                   | 208          | 208          | M      |
| 20210917 | WKSP   | 0                   | 100          | 300          | M      |
| 20210917 | WLDN   | 0                   | 342          | 342          | M      |
| 20210917 | WLFC   | 0                   | 3            | 3            | M      |
| 20210917 | WLK    | 0                   | 109          | 162          | M      |
| 20210917 | WLL    | 0                   | 190          | 291          | M      |
| 20210917 | WLMS   | 0                   | 0            | 23           | M      |
| 20210917 | WLTW   | 0                   | 2703         | 6283         | M      |
| 20210917 | WM     | 0                   | 447          | 523          | M      |
| 20210917 | WMB    | 0                   | 19           | 19           | M      |
| 20210917 | WMG    | 0                   | 307          | 418          | M      |
| 20210917 | WMK    | 0                   | 0            | 73           | M      |
| 20210917 | WMS    | 0                   | 218          | 344          | M      |
| 20210917 | WMT    | 0                   | 2246         | 6486         | M      |
| 20210917 | WNC    | 0                   | 158          | 158          | M      |
| 20210917 | WNEB   | 0                   | 0            | 39           | M      |
| 20210917 | WNS    | 0                   | 210          | 210          | M      |
| 20210917 | WNW    | 0                   | 25           | 25           | M      |
| 20210917 | WOOF   | 0                   | 376          | 485          | M      |
| 20210917 | WOW    | 0                   | 19           | 19           | M      |
| 20210917 | WPC    | 0                   | 563          | 793          | M      |
| 20210917 | WRB    | 0                   | 602          | 696          | M      |
| 20210917 | WRK    | 0                   | 1377         | 2416         | M      |
| 20210917 | WRLD   | 0                   | 1            | 222          | M      |
| 20210917 | WSBC   | 0                   | 599          | 699          | M      |
| 20210917 | WSC    | 0                   | 100          | 175          | M      |
| 20210917 | WSFS   | 0                   | 161          | 377          | M      |
| 20210917 | WSM    | 0                   | 1295         | 1794         | M      |
| 20210917 | WSO    | 0                   | 200          | 320          | M      |
| 20210917 | WST    | 0                   | 146          | 291          | M      |
| 20210917 | WTFC   | 0                   | 287          | 338          | M      |
| 20210917 | WTM    | 0                   | 61           | 98           | M      |
| 20210917 | WTMF   | 0                   | 0            | 100          | M      |
| 20210917 | WTRG   | 0                   | 0            | 260          | M      |
| 20210917 | WTS    | 0                   | 204          | 304          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | WU     | 0                   | 16700        | 16700        | M      |
| 20210917 | WVE    | 0                   | 100          | 100          | M      |
| 20210917 | WW     | 0                   | 300          | 300          | M      |
| 20210917 | WWD    | 0                   | 100          | 268          | M      |
| 20210917 | WWE    | 0                   | 41           | 41           | M      |
| 20210917 | WWW    | 0                   | 656          | 928          | M      |
| 20210917 | WY     | 0                   | 400          | 400          | M      |
| 20210917 | WYNN   | 0                   | 1527         | 4572         | M      |
| 20210917 | X      | 0                   | 4809         | 140545       | M      |
| 20210917 | XAIR   | 0                   | 400          | 400          | M      |
| 20210917 | XBI    | 0                   | 2579         | 13447        | M      |
| 20210917 | XBIT   | 0                   | 33           | 33           | M      |
| 20210917 | XEC    | 0                   | 900          | 1367         | M      |
| 20210917 | XEL    | 0                   | 0            | 316          | M      |
| 20210917 | XENE   | 0                   | 273          | 273          | M      |
| 20210917 | XENT   | 0                   | 0            | 100          | M      |
| 20210917 | XHE    | 0                   | 39           | 39           | M      |
| 20210917 | XLB    | 0                   | 400          | 51517        | M      |
| 20210917 | XLC    | 0                   | 300          | 19100        | M      |
| 20210917 | XLE    | 0                   | 577          | 34292        | M      |
| 20210917 | XLF    | 0                   | 2765         | 345190       | M      |
| 20210917 | XLG    | 0                   | 2            | 2            | M      |
| 20210917 | XLI    | 0                   | 550          | 3751         | M      |
| 20210917 | XLK    | 0                   | 300          | 1082         | M      |
| 20210917 | XLNX   | 0                   | 282          | 747          | M      |
| 20210917 | XLP    | 0                   | 390          | 399          | M      |
| 20210917 | XLRE   | 0                   | 551          | 551          | M      |
| 20210917 | XLRN   | 0                   | 838          | 868          | M      |
| 20210917 | XLU    | 0                   | 1345         | 47895        | M      |
| 20210917 | XLV    | 0                   | 1590         | 3155         | M      |
| 20210917 | XLY    | 0                   | 2819         | 4163         | M      |
| 20210917 | XM     | 0                   | 293          | 542          | M      |
| 20210917 | XMTR   | 0                   | 258          | 352          | M      |
| 20210917 | XNCR   | 0                   | 111          | 221          | M      |
| 20210917 | XOG    | 0                   | 138          | 265          | M      |
| 20210917 | XOM    | 0                   | 1747         | 100262       | M      |
| 20210917 | XOP    | 0                   | 495          | 735          | M      |
| 20210917 | XOS    | 0                   | 221          | 321          | M      |
| 20210917 | XP     | 0                   | 0            | 338          | M      |
| 20210917 | XPDI   | 0                   | 99           | 99           | M      |
| 20210917 | XPEL   | 0                   | 154          | 154          | M      |
| 20210917 | XPER   | 0                   | 74           | 74           | M      |
| 20210917 | XPEV   | 769                 | 2484         | 3489         | M      |
| 20210917 | XPO    | 0                   | 300          | 400          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210917 | XPOF   | 0                   | 39           | 127          | M      |
| 20210917 | XRAY   | 0                   | 290          | 554          | M      |
| 20210917 | XRT    | 0                   | 590          | 590          | M      |
| 20210917 | XRX    | 0                   | 1700         | 1700         | M      |
| 20210917 | XSPA   | 1500                | 3810         | 4110         | M      |
| 20210917 | XYL    | 0                   | 145          | 258          | M      |
| 20210917 | XYLG   | 0                   | 0            | 200          | M      |
| 20210917 | Y      | 0                   | 1            | 78           | M      |
| 20210917 | YALA   | 0                   | 0            | 1603         | M      |
| 20210917 | YCBD   | 0                   | 0            | 299          | M      |
| 20210917 | YELL   | 0                   | 100          | 200          | M      |
| 20210917 | YELP   | 0                   | 1            | 512          | M      |
| 20210917 | YETI   | 0                   | 886          | 1096         | M      |
| 20210917 | YMAB   | 0                   | 104          | 204          | M      |
| 20210917 | YMM    | 0                   | 6378         | 8416         | M      |
| 20210917 | YNDX   | 0                   | 149          | 249          | M      |
| 20210917 | YOU    | 0                   | 1799         | 9445         | M      |
| 20210917 | YSG    | 0                   | 1022         | 1396         | M      |
| 20210917 | YUM    | 0                   | 0            | 2306         | M      |
| 20210917 | YUMC   | 0                   | 391          | 813          | M      |
| 20210917 | YY     | 0                   | 436          | 1658         | M      |
| 20210917 | Z      | 0                   | 1276         | 12135        | M      |
| 20210917 | ZBH    | 0                   | 290          | 544          | M      |
| 20210917 | ZBRA   | 0                   | 33           | 65           | M      |
| 20210917 | ZEN    | 0                   | 270          | 427          | M      |
| 20210917 | ZENV   | 0                   | 300          | 2688         | M      |
| 20210917 | ZETA   | 0                   | 96           | 96           | M      |
| 20210917 | ZEV    | 0                   | 100          | 341          | M      |
| 20210917 | ZGNX   | 0                   | 300          | 711          | M      |
| 20210917 | ZH     | 0                   | 2050         | 2123         | M      |
| 20210917 | ZI     | 0                   | 732          | 1204         | M      |
| 20210917 | ZIM    | 0                   | 1619         | 2514         | M      |
| 20210917 | ZION   | 0                   | 0            | 100          | M      |
| 20210917 | ZIP    | 0                   | 736          | 855          | M      |
| 20210917 | ZIVO   | 0                   | 100          | 100          | M      |
| 20210917 | ZLAB   | 0                   | 138          | 190          | M      |
| 20210917 | ZM     | 54                  | 2428         | 5692         | M      |
| 20210917 | ZNGA   | 0                   | 50           | 3899         | M      |
| 20210917 | ZNTL   | 0                   | 470          | 740          | M      |
| 20210917 | ZOM    | 0                   | 1000         | 1000         | M      |
| 20210917 | ZS     | 0                   | 320          | 632          | M      |
| 20210917 | ZSL    | 0                   | 0            | 800          | M      |
| 20210917 | ZTO    | 0                   | 114          | 114          | M      |
| 20210917 | ZTS    | 0                   | 807          | 1460         | M      |

| <b>Date</b> | <b>Symbol</b> | <b>Short Exempt Volume</b> | <b>Short Volume</b> | <b>Total Volume</b> | <b>Market</b> |
|-------------|---------------|----------------------------|---------------------|---------------------|---------------|
| 20210917    | ZUO           | 0                          | 188                 | 326                 | M             |
| 20210917    | ZVIA          | 0                          | 400                 | 400                 | M             |
| 20210917    | ZY            | 0                          | 200                 | 590                 | M             |
| 20210917    | ZYME          | 0                          | 125                 | 126                 | M             |
| 20210917    | ZYNE          | 0                          | 0                   | 2785                | M             |