

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210423 | AA     | 0                   | 362          | 842          | M      |
| 20210423 | AAL    | 0                   | 602          | 45505        | M      |
| 20210423 | AAME   | 0                   | 0            | 41           | M      |
| 20210423 | AAP    | 0                   | 580          | 580          | M      |
| 20210423 | AAPL   | 0                   | 1725         | 157276       | M      |
| 20210423 | AAWW   | 0                   | 100          | 100          | M      |
| 20210423 | AB     | 0                   | 430          | 430          | M      |
| 20210423 | ABBV   | 0                   | 1359         | 2875         | M      |
| 20210423 | ABC    | 0                   | 100          | 100          | M      |
| 20210423 | ABCL   | 0                   | 352          | 852          | M      |
| 20210423 | ABCM   | 0                   | 301          | 301          | M      |
| 20210423 | ABEV   | 0                   | 134          | 134          | M      |
| 20210423 | ABG    | 0                   | 24           | 24           | M      |
| 20210423 | ABMD   | 0                   | 838          | 1246         | M      |
| 20210423 | ABNB   | 0                   | 603          | 5418         | M      |
| 20210423 | ABR    | 0                   | 100          | 100          | M      |
| 20210423 | ABT    | 0                   | 2360         | 88315        | M      |
| 20210423 | ABTX   | 0                   | 100          | 100          | M      |
| 20210423 | AC     | 0                   | 1            | 1            | M      |
| 20210423 | ACA    | 0                   | 9            | 109          | M      |
| 20210423 | ACAD   | 0                   | 0            | 194          | M      |
| 20210423 | ACB    | 0                   | 100          | 100          | M      |
| 20210423 | ACCD   | 0                   | 0            | 179          | M      |
| 20210423 | ACGL   | 0                   | 200          | 200          | M      |
| 20210423 | ACHC   | 0                   | 0            | 38           | M      |
| 20210423 | ACI    | 0                   | 200          | 200          | M      |
| 20210423 | ACLS   | 0                   | 100          | 200          | M      |
| 20210423 | ACMR   | 0                   | 98           | 163          | M      |
| 20210423 | ACN    | 0                   | 100          | 342          | M      |
| 20210423 | ACRS   | 0                   | 0            | 733          | M      |
| 20210423 | ACTG   | 0                   | 24           | 83           | M      |
| 20210423 | ACY    | 0                   | 0            | 100          | M      |
| 20210423 | ADBE   | 0                   | 261          | 1405         | M      |
| 20210423 | ADCT   | 0                   | 4638         | 5038         | M      |
| 20210423 | ADI    | 0                   | 263          | 263          | M      |
| 20210423 | ADNT   | 0                   | 200          | 508          | M      |
| 20210423 | ADP    | 0                   | 0            | 189          | M      |
| 20210423 | ADPT   | 0                   | 468          | 568          | M      |
| 20210423 | ADSK   | 0                   | 491          | 1048         | M      |
| 20210423 | ADT    | 0                   | 14           | 15           | M      |
| 20210423 | ADUS   | 0                   | 0            | 52           | M      |
| 20210423 | ADVM   | 0                   | 0            | 160          | M      |
| 20210423 | AEE    | 0                   | 0            | 98           | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210423 | AEFC   | 0                   | 0            | 15           | M      |
| 20210423 | AEGN   | 0                   | 0            | 100          | M      |
| 20210423 | AEI    | 0                   | 1922         | 3260         | M      |
| 20210423 | AEIS   | 0                   | 0            | 85           | M      |
| 20210423 | AEM    | 0                   | 161          | 294          | M      |
| 20210423 | AEO    | 0                   | 419          | 1019         | M      |
| 20210423 | AEP    | 0                   | 1            | 1            | M      |
| 20210423 | AER    | 0                   | 10           | 209          | M      |
| 20210423 | AEVA   | 0                   | 99           | 186          | M      |
| 20210423 | AFIB   | 0                   | 0            | 300          | M      |
| 20210423 | AFL    | 0                   | 0            | 3000         | M      |
| 20210423 | AFMD   | 0                   | 110          | 436          | M      |
| 20210423 | AFRM   | 0                   | 3430         | 4190         | M      |
| 20210423 | AG     | 0                   | 2640         | 2641         | M      |
| 20210423 | AGC    | 0                   | 400          | 1099         | M      |
| 20210423 | AGCO   | 0                   | 5            | 105          | M      |
| 20210423 | AGFY   | 0                   | 400          | 600          | M      |
| 20210423 | AGIO   | 0                   | 274          | 274          | M      |
| 20210423 | AGL    | 0                   | 100          | 100          | M      |
| 20210423 | AGLE   | 0                   | 391          | 391          | M      |
| 20210423 | AGR    | 0                   | 50           | 50           | M      |
| 20210423 | AGTI   | 0                   | 200          | 1200         | M      |
| 20210423 | AGYS   | 0                   | 100          | 203          | M      |
| 20210423 | AHCO   | 0                   | 4000         | 4712         | M      |
| 20210423 | AI     | 0                   | 178          | 40278        | M      |
| 20210423 | AIKI   | 0                   | 100          | 100          | M      |
| 20210423 | AIN    | 0                   | 0            | 72           | M      |
| 20210423 | AIR    | 0                   | 0            | 69           | M      |
| 20210423 | AIRC   | 0                   | 200          | 200          | M      |
| 20210423 | AIRG   | 0                   | 280          | 280          | M      |
| 20210423 | AIV    | 0                   | 30           | 145          | M      |
| 20210423 | AIZ    | 0                   | 145          | 255          | M      |
| 20210423 | AJRD   | 0                   | 222          | 268          | M      |
| 20210423 | AJX    | 0                   | 0            | 100          | M      |
| 20210423 | AKAM   | 0                   | 300          | 300          | M      |
| 20210423 | ALB    | 0                   | 400          | 400          | M      |
| 20210423 | ALBO   | 0                   | 275          | 275          | M      |
| 20210423 | ALEC   | 0                   | 50           | 148          | M      |
| 20210423 | ALG    | 0                   | 5            | 26           | M      |
| 20210423 | ALGN   | 0                   | 283          | 438          | M      |
| 20210423 | ALGS   | 0                   | 110          | 178          | M      |
| 20210423 | ALK    | 0                   | 309          | 459          | M      |
| 20210423 | ALKS   | 0                   | 0            | 100          | M      |
| 20210423 | ALKT   | 0                   | 0            | 100          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210423 | ALL    | 0                   | 336          | 551          | M      |
| 20210423 | ALLE   | 0                   | 167          | 167          | M      |
| 20210423 | ALLK   | 0                   | 0            | 175          | M      |
| 20210423 | ALLO   | 0                   | 60           | 259          | M      |
| 20210423 | ALLT   | 0                   | 0            | 129          | M      |
| 20210423 | ALLY   | 0                   | 157          | 160          | M      |
| 20210423 | ALNY   | 0                   | 204          | 307          | M      |
| 20210423 | ALTM   | 0                   | 0            | 100          | M      |
| 20210423 | ALTR   | 0                   | 75           | 183          | M      |
| 20210423 | ALV    | 0                   | 109          | 309          | M      |
| 20210423 | ALVR   | 0                   | 1050         | 1422         | M      |
| 20210423 | ALX    | 10                  | 13           | 30           | M      |
| 20210423 | ALXN   | 0                   | 100          | 200          | M      |
| 20210423 | ALXO   | 0                   | 782          | 1218         | M      |
| 20210423 | AMAT   | 0                   | 247          | 9247         | M      |
| 20210423 | AMBA   | 0                   | 300          | 498          | M      |
| 20210423 | AMC    | 0                   | 0            | 51           | M      |
| 20210423 | AMCR   | 0                   | 15           | 30           | M      |
| 20210423 | AMCX   | 0                   | 0            | 100          | M      |
| 20210423 | AMD    | 0                   | 134          | 84184        | M      |
| 20210423 | AMG    | 0                   | 51           | 51           | M      |
| 20210423 | AMGN   | 0                   | 684          | 784          | M      |
| 20210423 | AMN    | 0                   | 197          | 200          | M      |
| 20210423 | AMOT   | 0                   | 110          | 110          | M      |
| 20210423 | AMP    | 0                   | 40           | 196          | M      |
| 20210423 | AMPG   | 0                   | 0            | 100          | M      |
| 20210423 | AMRB   | 0                   | 304          | 704          | M      |
| 20210423 | AMRC   | 0                   | 0            | 100          | M      |
| 20210423 | AMRN   | 0                   | 117          | 246          | M      |
| 20210423 | AMRS   | 0                   | 99           | 199          | M      |
| 20210423 | AMT    | 0                   | 397          | 712          | M      |
| 20210423 | AMTI   | 0                   | 100          | 100          | M      |
| 20210423 | AMTX   | 0                   | 4            | 104          | M      |
| 20210423 | AMWL   | 0                   | 85           | 337          | M      |
| 20210423 | AMZN   | 0                   | 1227         | 111185       | M      |
| 20210423 | ANAB   | 0                   | 600          | 700          | M      |
| 20210423 | ANAT   | 0                   | 0            | 74           | M      |
| 20210423 | ANGI   | 0                   | 78           | 265          | M      |
| 20210423 | ANGO   | 0                   | 0            | 100          | M      |
| 20210423 | ANIK   | 0                   | 2            | 2            | M      |
| 20210423 | ANNX   | 0                   | 21           | 21           | M      |
| 20210423 | ANSS   | 10                  | 70           | 70           | M      |
| 20210423 | ANTM   | 0                   | 543          | 1042         | M      |
| 20210423 | AON    | 0                   | 132          | 228          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210423 | AOS    | 0                   | 0            | 100          | M      |
| 20210423 | AOUT   | 0                   | 0            | 5            | M      |
| 20210423 | APA    | 0                   | 0            | 36720        | M      |
| 20210423 | APAM   | 0                   | 12           | 148          | M      |
| 20210423 | APD    | 0                   | 0            | 805          | M      |
| 20210423 | APG    | 0                   | 0            | 100          | M      |
| 20210423 | APH    | 0                   | 0            | 60           | M      |
| 20210423 | APHA   | 0                   | 1            | 1            | M      |
| 20210423 | API    | 0                   | 73           | 173          | M      |
| 20210423 | APLS   | 0                   | 100          | 200          | M      |
| 20210423 | APO    | 0                   | 150          | 66150        | M      |
| 20210423 | APP    | 0                   | 100          | 200          | M      |
| 20210423 | APPF   | 0                   | 99           | 179          | M      |
| 20210423 | APPH   | 0                   | 80           | 171          | M      |
| 20210423 | APPN   | 0                   | 399          | 700          | M      |
| 20210423 | APPS   | 0                   | 272          | 526          | M      |
| 20210423 | APTV   | 0                   | 1271         | 1789         | M      |
| 20210423 | AQB    | 0                   | 71           | 71           | M      |
| 20210423 | ARCB   | 0                   | 100          | 100          | M      |
| 20210423 | ARCH   | 0                   | 191          | 1391         | M      |
| 20210423 | ARCO   | 0                   | 0            | 24           | M      |
| 20210423 | ARCT   | 0                   | 199          | 3957         | M      |
| 20210423 | ARDX   | 0                   | 100          | 300          | M      |
| 20210423 | ARES   | 0                   | 0            | 212          | M      |
| 20210423 | ARKF   | 0                   | 0            | 99           | M      |
| 20210423 | ARKK   | 0                   | 0            | 2971         | M      |
| 20210423 | ARKW   | 0                   | 0            | 26           | M      |
| 20210423 | ARMK   | 0                   | 0            | 26           | M      |
| 20210423 | ARNA   | 0                   | 20           | 118          | M      |
| 20210423 | ARNC   | 0                   | 97           | 97           | M      |
| 20210423 | ARQT   | 0                   | 0            | 99           | M      |
| 20210423 | ARRY   | 0                   | 1267         | 1896         | M      |
| 20210423 | ARVL   | 0                   | 200          | 300          | M      |
| 20210423 | ARW    | 0                   | 100          | 100          | M      |
| 20210423 | ARWR   | 0                   | 203          | 203          | M      |
| 20210423 | ASAI   | 0                   | 0            | 200          | M      |
| 20210423 | ASAN   | 0                   | 61           | 61           | M      |
| 20210423 | ASGN   | 0                   | 100          | 100          | M      |
| 20210423 | ASH    | 0                   | 0            | 100          | M      |
| 20210423 | ASLN   | 0                   | 0            | 99           | M      |
| 20210423 | ASML   | 0                   | 534          | 534          | M      |
| 20210423 | ASND   | 0                   | 606          | 631          | M      |
| 20210423 | ASO    | 0                   | 0            | 200          | M      |
| 20210423 | ASPN   | 0                   | 0            | 100          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210423 | ASR    | 0                   | 100          | 101          | M      |
| 20210423 | ASTE   | 0                   | 0            | 100          | M      |
| 20210423 | ASTS   | 0                   | 100          | 226          | M      |
| 20210423 | ASX    | 0                   | 100          | 100          | M      |
| 20210423 | ATC    | 0                   | 200          | 200          | M      |
| 20210423 | ATEN   | 0                   | 9            | 9            | M      |
| 20210423 | ATHM   | 0                   | 10           | 109          | M      |
| 20210423 | ATKR   | 0                   | 0            | 101          | M      |
| 20210423 | ATLC   | 100                 | 993          | 1216         | M      |
| 20210423 | ATNF   | 0                   | 381          | 1331         | M      |
| 20210423 | ATNI   | 0                   | 10           | 27           | M      |
| 20210423 | ATO    | 0                   | 100          | 100          | M      |
| 20210423 | ATOM   | 0                   | 200          | 200          | M      |
| 20210423 | ATR    | 0                   | 0            | 59           | M      |
| 20210423 | ATRI   | 0                   | 50           | 299          | M      |
| 20210423 | ATSG   | 0                   | 52           | 252          | M      |
| 20210423 | ATVI   | 0                   | 0            | 1            | M      |
| 20210423 | AU     | 0                   | 0            | 26808        | M      |
| 20210423 | AUD    | 0                   | 136          | 136          | M      |
| 20210423 | AUS U  | 0                   | 200          | 200          | M      |
| 20210423 | AUUD   | 0                   | 0            | 60           | M      |
| 20210423 | AUY    | 0                   | 1            | 1            | M      |
| 20210423 | AVA    | 0                   | 100          | 282          | M      |
| 20210423 | AVB    | 100                 | 356          | 356          | M      |
| 20210423 | AVDL   | 0                   | 200          | 200          | M      |
| 20210423 | AVGO   | 0                   | 749          | 12650        | M      |
| 20210423 | AVIR   | 0                   | 201          | 1145         | M      |
| 20210423 | AVLR   | 0                   | 248          | 348          | M      |
| 20210423 | AVNS   | 0                   | 73           | 73           | M      |
| 20210423 | AVNT   | 0                   | 95           | 192          | M      |
| 20210423 | AVNW   | 0                   | 138          | 238          | M      |
| 20210423 | AVTR   | 0                   | 0            | 800          | M      |
| 20210423 | AVY    | 0                   | 19           | 104          | M      |
| 20210423 | AVYA   | 0                   | 0            | 160          | M      |
| 20210423 | AWH    | 0                   | 291          | 291          | M      |
| 20210423 | AWK    | 0                   | 18           | 118          | M      |
| 20210423 | AWR    | 0                   | 100          | 101          | M      |
| 20210423 | AXGN   | 0                   | 100          | 100          | M      |
| 20210423 | AXNX   | 0                   | 100          | 355          | M      |
| 20210423 | AXON   | 0                   | 0            | 270          | M      |
| 20210423 | AXP    | 0                   | 66           | 66           | M      |
| 20210423 | AXSM   | 0                   | 364          | 864          | M      |
| 20210423 | AY     | 0                   | 100          | 200          | M      |
| 20210423 | AYI    | 0                   | 100          | 224          | M      |

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|----------|--------|---------------------|--------------|--------------|--------|
| 20210423 | AYX    | 0                   | 2            | 2            | M      |
| 20210423 | AZEK   | 0                   | 0            | 98           | M      |
| 20210423 | AZN    | 0                   | 11237        | 15150        | M      |
| 20210423 | AZO    | 0                   | 0            | 182          | M      |
| 20210423 | AZPN   | 0                   | 0            | 62           | M      |
| 20210423 | AZRE   | 0                   | 7            | 7            | M      |
| 20210423 | AZZ    | 0                   | 0            | 26           | M      |
| 20210423 | BA     | 0                   | 202          | 2832         | M      |
| 20210423 | BABA   | 0                   | 2            | 23913        | M      |
| 20210423 | BAC    | 0                   | 37           | 846760       | M      |
| 20210423 | BAH    | 0                   | 50           | 50           | M      |
| 20210423 | BALY   | 0                   | 0            | 120          | M      |
| 20210423 | BANC   | 0                   | 0            | 94           | M      |
| 20210423 | BAND   | 0                   | 300          | 1046         | M      |
| 20210423 | BAP    | 0                   | 0            | 300          | M      |
| 20210423 | BAX    | 0                   | 99           | 99           | M      |
| 20210423 | BBBY   | 0                   | 273          | 420          | M      |
| 20210423 | BBD    | 0                   | 100          | 903          | M      |
| 20210423 | BBIG   | 0                   | 600          | 700          | M      |
| 20210423 | BBIO   | 0                   | 39           | 139          | M      |
| 20210423 | BBQ    | 0                   | 200          | 200          | M      |
| 20210423 | BBSI   | 0                   | 11           | 11           | M      |
| 20210423 | BBW    | 0                   | 691          | 799          | M      |
| 20210423 | BC     | 0                   | 915          | 1725         | M      |
| 20210423 | BCC    | 0                   | 100          | 100          | M      |
| 20210423 | BCE    | 0                   | 1183         | 2226         | M      |
| 20210423 | BCEI   | 0                   | 0            | 100          | M      |
| 20210423 | BCH    | 0                   | 100          | 100          | M      |
| 20210423 | BCI    | 0                   | 290          | 290          | M      |
| 20210423 | BCML   | 0                   | 15           | 15           | M      |
| 20210423 | BCO    | 0                   | 0            | 40           | M      |
| 20210423 | BCOV   | 0                   | 0            | 100          | M      |
| 20210423 | BCPC   | 0                   | 0            | 100          | M      |
| 20210423 | BCRX   | 0                   | 0            | 100          | M      |
| 20210423 | BCTX   | 0                   | 0            | 478          | M      |
| 20210423 | BCYC   | 0                   | 0            | 100          | M      |
| 20210423 | BDTX   | 0                   | 194          | 994          | M      |
| 20210423 | BDX    | 0                   | 200          | 372          | M      |
| 20210423 | BE     | 0                   | 350          | 603          | M      |
| 20210423 | BEAM   | 0                   | 82           | 83           | M      |
| 20210423 | BEEM   | 0                   | 491          | 491          | M      |
| 20210423 | BEKE   | 0                   | 100          | 400          | M      |
| 20210423 | BELFB  | 0                   | 0            | 31           | M      |
| 20210423 | BERY   | 0                   | 100          | 240          | M      |

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|----------|---------|---------------------|--------------|--------------|--------|
| 20210423 | BEST    | 0                   | 0            | 20           | M      |
| 20210423 | BF A    | 0                   | 200          | 200          | M      |
| 20210423 | BF B    | 0                   | 69           | 92           | M      |
| 20210423 | BFAM    | 0                   | 180          | 249          | M      |
| 20210423 | BFC     | 0                   | 37           | 37           | M      |
| 20210423 | BFLY    | 0                   | 139          | 444          | M      |
| 20210423 | BGCP    | 0                   | 13           | 27           | M      |
| 20210423 | BGI     | 0                   | 800          | 1100         | M      |
| 20210423 | BGNE    | 0                   | 200          | 200          | M      |
| 20210423 | BGS     | 0                   | 100          | 100          | M      |
| 20210423 | BGX     | 0                   | 0            | 74           | M      |
| 20210423 | BH      | 0                   | 1            | 20           | M      |
| 20210423 | BH A    | 0                   | 1            | 1            | M      |
| 20210423 | BHC     | 0                   | 300          | 418          | M      |
| 20210423 | BHLB    | 0                   | 100          | 100          | M      |
| 20210423 | BHR     | 0                   | 0            | 100          | M      |
| 20210423 | BHR PRB | 0                   | 2170         | 2270         | M      |
| 20210423 | BHVN    | 0                   | 195          | 295          | M      |
| 20210423 | BIDU    | 0                   | 792          | 5173         | M      |
| 20210423 | BIG     | 0                   | 412          | 571          | M      |
| 20210423 | BIGC    | 0                   | 205          | 205          | M      |
| 20210423 | BIIB    | 0                   | 492          | 671          | M      |
| 20210423 | BILI    | 0                   | 403          | 403          | M      |
| 20210423 | BILL    | 0                   | 123          | 123          | M      |
| 20210423 | BIO     | 0                   | 100          | 101          | M      |
| 20210423 | BIP     | 0                   | 100          | 100          | M      |
| 20210423 | BIPC    | 0                   | 197          | 297          | M      |
| 20210423 | BIVI    | 0                   | 100          | 100          | M      |
| 20210423 | BJ      | 0                   | 97           | 97           | M      |
| 20210423 | BJRI    | 0                   | 687          | 788          | M      |
| 20210423 | BK      | 0                   | 66           | 68           | M      |
| 20210423 | BKI     | 0                   | 50           | 200          | M      |
| 20210423 | BKLN    | 0                   | 200          | 200          | M      |
| 20210423 | BKNG    | 0                   | 576          | 676          | M      |
| 20210423 | BKR     | 0                   | 20           | 20           | M      |
| 20210423 | BL      | 0                   | 100          | 208          | M      |
| 20210423 | BLD     | 0                   | 209          | 396          | M      |
| 20210423 | BLDP    | 0                   | 100          | 100          | M      |
| 20210423 | BLDR    | 0                   | 400          | 400          | M      |
| 20210423 | BLFS    | 0                   | 82           | 181          | M      |
| 20210423 | BLI     | 0                   | 300          | 400          | M      |
| 20210423 | BLK     | 0                   | 499          | 922          | M      |
| 20210423 | BLL     | 0                   | 400          | 400          | M      |
| 20210423 | BLMN    | 0                   | 101          | 102          | M      |

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|----------|--------|---------------------|--------------|--------------|--------|
| 20210423 | BLNK   | 0                   | 200          | 400          | M      |
| 20210423 | BLPH   | 0                   | 17           | 17           | M      |
| 20210423 | BLUE   | 0                   | 100          | 100          | M      |
| 20210423 | BMBL   | 0                   | 400          | 581          | M      |
| 20210423 | BMI    | 0                   | 0            | 100          | M      |
| 20210423 | BMO    | 0                   | 200          | 200          | M      |
| 20210423 | BMRN   | 0                   | 0            | 400          | M      |
| 20210423 | BMTC   | 0                   | 0            | 449          | M      |
| 20210423 | BMV    | 0                   | 2            | 204          | M      |
| 20210423 | BNFT   | 0                   | 9            | 9            | M      |
| 20210423 | BNGO   | 0                   | 0            | 200          | M      |
| 20210423 | BNR    | 0                   | 423          | 2123         | M      |
| 20210423 | BNS    | 0                   | 2            | 9            | M      |
| 20210423 | BNTX   | 0                   | 366          | 1199         | M      |
| 20210423 | BOKF   | 0                   | 80           | 80           | M      |
| 20210423 | BOLT   | 0                   | 156          | 156          | M      |
| 20210423 | BOMN   | 0                   | 295          | 394          | M      |
| 20210423 | BOOM   | 0                   | 30           | 30           | M      |
| 20210423 | BOOT   | 0                   | 100          | 800          | M      |
| 20210423 | BOWX   | 0                   | 380          | 480          | M      |
| 20210423 | BOX    | 0                   | 0            | 103          | M      |
| 20210423 | BP     | 0                   | 11           | 20           | M      |
| 20210423 | BPMC   | 0                   | 111          | 111          | M      |
| 20210423 | BPMP   | 0                   | 166          | 166          | M      |
| 20210423 | BPOP   | 0                   | 82           | 82           | M      |
| 20210423 | BR     | 0                   | 262          | 262          | M      |
| 20210423 | BRC    | 0                   | 4            | 4            | M      |
| 20210423 | BRG    | 0                   | 200          | 200          | M      |
| 20210423 | BRK B  | 0                   | 363          | 463          | M      |
| 20210423 | BRKR   | 0                   | 360          | 660          | M      |
| 20210423 | BRKS   | 0                   | 66           | 66           | M      |
| 20210423 | BRX    | 0                   | 1700         | 1875         | M      |
| 20210423 | BRY    | 0                   | 100          | 398          | M      |
| 20210423 | BSET   | 0                   | 0            | 190          | M      |
| 20210423 | BSGM   | 0                   | 98           | 98           | M      |
| 20210423 | BSQR   | 0                   | 200          | 200          | M      |
| 20210423 | BSRR   | 0                   | 0            | 29           | M      |
| 20210423 | BSX    | 0                   | 1512         | 1513         | M      |
| 20210423 | BSY    | 0                   | 100          | 100          | M      |
| 20210423 | BTAI   | 0                   | 300          | 600          | M      |
| 20210423 | BTBT   | 0                   | 0            | 2008         | M      |
| 20210423 | BTG    | 0                   | 70           | 70           | M      |
| 20210423 | BTI    | 0                   | 0            | 1            | M      |
| 20210423 | BTN    | 0                   | 400          | 600          | M      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210423 | BTX    | 0                   | 420          | 520          | M      |
| 20210423 | BUD    | 0                   | 84           | 84           | M      |
| 20210423 | BURL   | 0                   | 640          | 640          | M      |
| 20210423 | BW     | 0                   | 100          | 100          | M      |
| 20210423 | BWA    | 0                   | 0            | 259          | M      |
| 20210423 | BWEN   | 0                   | 0            | 199          | M      |
| 20210423 | BWXT   | 0                   | 4            | 6            | M      |
| 20210423 | BX     | 0                   | 0            | 62000        | M      |
| 20210423 | BXC    | 0                   | 0            | 176          | M      |
| 20210423 | BXMT   | 0                   | 2            | 2            | M      |
| 20210423 | BXP    | 0                   | 100          | 120          | M      |
| 20210423 | BXS    | 0                   | 0            | 100          | M      |
| 20210423 | BYD    | 0                   | 99           | 99           | M      |
| 20210423 | BYND   | 0                   | 1120         | 41655        | M      |
| 20210423 | BZH    | 0                   | 56           | 56           | M      |
| 20210423 | BZUN   | 0                   | 100          | 100          | M      |
| 20210423 | C      | 0                   | 6041         | 11836        | M      |
| 20210423 | CAAS   | 0                   | 96           | 96           | M      |
| 20210423 | CABO   | 0                   | 63           | 126          | M      |
| 20210423 | CACC   | 0                   | 154          | 364          | M      |
| 20210423 | CACI   | 0                   | 183          | 183          | M      |
| 20210423 | CADE   | 0                   | 100          | 100          | M      |
| 20210423 | CAG    | 0                   | 0            | 3            | M      |
| 20210423 | CAH    | 0                   | 476          | 476          | M      |
| 20210423 | CAKE   | 0                   | 100          | 200          | M      |
| 20210423 | CAL    | 0                   | 183          | 383          | M      |
| 20210423 | CALM   | 0                   | 0            | 600          | M      |
| 20210423 | CALT   | 0                   | 0            | 40           | M      |
| 20210423 | CALX   | 0                   | 200          | 300          | M      |
| 20210423 | CAMT   | 0                   | 100          | 299          | M      |
| 20210423 | CAN    | 0                   | 500          | 700          | M      |
| 20210423 | CANG   | 0                   | 149          | 149          | M      |
| 20210423 | CARA   | 0                   | 0            | 200          | M      |
| 20210423 | CARG   | 0                   | 66           | 67           | M      |
| 20210423 | CARR   | 0                   | 0            | 4101         | M      |
| 20210423 | CARS   | 0                   | 0            | 2            | M      |
| 20210423 | CARV   | 0                   | 95           | 95           | M      |
| 20210423 | CAT    | 0                   | 1365         | 5825         | M      |
| 20210423 | CATC   | 0                   | 203          | 203          | M      |
| 20210423 | CATY   | 0                   | 0            | 100          | M      |
| 20210423 | CB     | 0                   | 129          | 129          | M      |
| 20210423 | CBAT   | 0                   | 53           | 53           | M      |
| 20210423 | CBOE   | 0                   | 48           | 48           | M      |
| 20210423 | CBRE   | 0                   | 200          | 291          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210423 | CBRL   | 0                   | 300          | 2000         | M      |
| 20210423 | CBTX   | 0                   | 0            | 100          | M      |
| 20210423 | CCEP   | 0                   | 5            | 7699         | M      |
| 20210423 | CCF    | 0                   | 80           | 124          | M      |
| 20210423 | CCI    | 0                   | 459          | 559          | M      |
| 20210423 | CCIV   | 0                   | 406          | 450891       | M      |
| 20210423 | CCK    | 0                   | 165          | 425          | M      |
| 20210423 | CCL    | 0                   | 3632         | 32840        | M      |
| 20210423 | CCLP   | 0                   | 100          | 100          | M      |
| 20210423 | CCMP   | 0                   | 0            | 89           | M      |
| 20210423 | CCOI   | 0                   | 26           | 26           | M      |
| 20210423 | CCXI   | 0                   | 507          | 907          | M      |
| 20210423 | CD     | 0                   | 300          | 300          | M      |
| 20210423 | CDAK   | 0                   | 285          | 385          | M      |
| 20210423 | CDAY   | 0                   | 231          | 231          | M      |
| 20210423 | CDE    | 0                   | 1            | 3            | M      |
| 20210423 | CDLX   | 0                   | 260          | 669          | M      |
| 20210423 | CDNA   | 0                   | 100          | 298          | M      |
| 20210423 | CDNS   | 0                   | 202          | 608          | M      |
| 20210423 | CDW    | 0                   | 258          | 813          | M      |
| 20210423 | CDXC   | 0                   | 0            | 50           | M      |
| 20210423 | CE     | 0                   | 100          | 129          | M      |
| 20210423 | CELH   | 0                   | 355          | 355          | M      |
| 20210423 | CENX   | 0                   | 349          | 449          | M      |
| 20210423 | CERN   | 0                   | 0            | 253          | M      |
| 20210423 | CERT   | 0                   | 0            | 300          | M      |
| 20210423 | CFG    | 0                   | 5130         | 8015         | M      |
| 20210423 | CFR    | 0                   | 104          | 126          | M      |
| 20210423 | CFX    | 0                   | 33           | 33           | M      |
| 20210423 | CGA    | 0                   | 1139         | 1439         | M      |
| 20210423 | CGC    | 0                   | 400          | 400          | M      |
| 20210423 | CGEM   | 0                   | 56           | 146          | M      |
| 20210423 | CGNT   | 0                   | 100          | 198          | M      |
| 20210423 | CGNX   | 0                   | 100          | 100          | M      |
| 20210423 | CGRN   | 0                   | 0            | 100          | M      |
| 20210423 | CHDN   | 0                   | 99           | 99           | M      |
| 20210423 | CHE    | 0                   | 0            | 114          | M      |
| 20210423 | CHEF   | 0                   | 135          | 135          | M      |
| 20210423 | CHFS   | 0                   | 300          | 300          | M      |
| 20210423 | CHPT   | 0                   | 3355         | 3939         | M      |
| 20210423 | CHRS   | 0                   | 0            | 100          | M      |
| 20210423 | CHRW   | 0                   | 0            | 199          | M      |
| 20210423 | CHTR   | 0                   | 170          | 197          | M      |
| 20210423 | CHUY   | 0                   | 0            | 100          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210423 | CHWY   | 0                   | 200          | 388          | M      |
| 20210423 | CI     | 0                   | 293          | 469          | M      |
| 20210423 | CIB    | 0                   | 99           | 99           | M      |
| 20210423 | CIDM   | 0                   | 0            | 9700         | M      |
| 20210423 | CIG    | 0                   | 0            | 400          | M      |
| 20210423 | CIM    | 0                   | 2340         | 2772         | M      |
| 20210423 | CINF   | 0                   | 65           | 65           | M      |
| 20210423 | CIT    | 0                   | 0            | 78711        | M      |
| 20210423 | CL     | 0                   | 108          | 75208        | M      |
| 20210423 | CLAR   | 0                   | 0            | 100          | M      |
| 20210423 | CLB    | 0                   | 120          | 120          | M      |
| 20210423 | CLDX   | 0                   | 0            | 458          | M      |
| 20210423 | CLFD   | 0                   | 100          | 100          | M      |
| 20210423 | CLH    | 0                   | 0            | 200          | M      |
| 20210423 | CLI    | 0                   | 72           | 72           | M      |
| 20210423 | CLLS   | 0                   | 85           | 85           | M      |
| 20210423 | CLNC   | 0                   | 0            | 100          | M      |
| 20210423 | CLNY   | 0                   | 0            | 5            | M      |
| 20210423 | CLOU   | 0                   | 50           | 200          | M      |
| 20210423 | CLOV   | 0                   | 207          | 394          | M      |
| 20210423 | CLPT   | 0                   | 98           | 598          | M      |
| 20210423 | CLR    | 0                   | 100          | 200          | M      |
| 20210423 | CLSK   | 0                   | 0            | 91           | M      |
| 20210423 | CLVR   | 0                   | 600          | 700          | M      |
| 20210423 | CLVT   | 0                   | 0            | 500          | M      |
| 20210423 | CLW    | 0                   | 49           | 252          | M      |
| 20210423 | CLX    | 0                   | 300          | 488          | M      |
| 20210423 | CMA    | 0                   | 100          | 2500         | M      |
| 20210423 | CMBM   | 0                   | 1511         | 7634         | M      |
| 20210423 | CMCO   | 0                   | 0            | 11           | M      |
| 20210423 | CMCSA  | 0                   | 12           | 93759        | M      |
| 20210423 | CMD    | 0                   | 0            | 101          | M      |
| 20210423 | CME    | 0                   | 166          | 166          | M      |
| 20210423 | CMG    | 0                   | 600          | 4213         | M      |
| 20210423 | CMI    | 0                   | 340          | 917          | M      |
| 20210423 | CMII   | 0                   | 0            | 20           | M      |
| 20210423 | CMIIU  | 0                   | 0            | 100          | M      |
| 20210423 | CMLF   | 0                   | 149          | 249          | M      |
| 20210423 | CMMB   | 0                   | 20           | 20           | M      |
| 20210423 | CMPI   | 0                   | 1            | 1            | M      |
| 20210423 | CMPS   | 0                   | 404          | 1110         | M      |
| 20210423 | CMS    | 0                   | 0            | 66           | M      |
| 20210423 | CNBKA  | 0                   | 135          | 534          | M      |
| 20210423 | CNC    | 0                   | 162          | 262          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210423 | CNI    | 0                   | 703          | 1343         | M      |
| 20210423 | CNK    | 0                   | 215          | 415          | M      |
| 20210423 | CNMD   | 0                   | 279          | 379          | M      |
| 20210423 | CNNE   | 0                   | 0            | 113          | M      |
| 20210423 | CNO    | 0                   | 100          | 100          | M      |
| 20210423 | CNR    | 0                   | 99           | 99           | M      |
| 20210423 | CNTG   | 0                   | 0            | 100          | M      |
| 20210423 | CNTY   | 0                   | 0            | 100          | M      |
| 20210423 | CNXC   | 0                   | 123          | 215          | M      |
| 20210423 | CODX   | 0                   | 308          | 308          | M      |
| 20210423 | COF    | 0                   | 0            | 7700         | M      |
| 20210423 | COHR   | 0                   | 0            | 200          | M      |
| 20210423 | COHU   | 0                   | 0            | 117          | M      |
| 20210423 | COIN   | 0                   | 1570         | 2112         | M      |
| 20210423 | COKE   | 0                   | 184          | 269          | M      |
| 20210423 | COLB   | 0                   | 199          | 199          | M      |
| 20210423 | COLL   | 0                   | 100          | 100          | M      |
| 20210423 | COLM   | 0                   | 233          | 334          | M      |
| 20210423 | CONE   | 0                   | 199          | 199          | M      |
| 20210423 | COO    | 0                   | 337          | 547          | M      |
| 20210423 | COOP   | 0                   | 229          | 358          | M      |
| 20210423 | COP    | 0                   | 2            | 3            | M      |
| 20210423 | COR    | 0                   | 100          | 414          | M      |
| 20210423 | CORR   | 0                   | 100          | 100          | M      |
| 20210423 | CORT   | 0                   | 252          | 252          | M      |
| 20210423 | COST   | 0                   | 509          | 1387         | M      |
| 20210423 | COTY   | 0                   | 85           | 10587        | M      |
| 20210423 | COUP   | 0                   | 400          | 770          | M      |
| 20210423 | COUR   | 0                   | 233          | 233          | M      |
| 20210423 | COWN   | 0                   | 600          | 761          | M      |
| 20210423 | CP     | 0                   | 307          | 307          | M      |
| 20210423 | CPA    | 0                   | 0            | 310          | M      |
| 20210423 | CPB    | 0                   | 100          | 100          | M      |
| 20210423 | CPE    | 0                   | 79           | 79           | M      |
| 20210423 | CPER   | 0                   | 0            | 300          | M      |
| 20210423 | CPNG   | 0                   | 1271         | 1876         | M      |
| 20210423 | CPRI   | 0                   | 100          | 457          | M      |
| 20210423 | CPRT   | 0                   | 158          | 1393         | M      |
| 20210423 | CPSH   | 0                   | 100          | 104          | M      |
| 20210423 | CR     | 0                   | 0            | 17           | M      |
| 20210423 | CRAI   | 0                   | 60           | 182          | M      |
| 20210423 | CRC    | 0                   | 100          | 290          | M      |
| 20210423 | CRDF   | 0                   | 0            | 100          | M      |
| 20210423 | CREE   | 0                   | 329          | 493          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210423 | CREG   | 0                   | 55           | 55           | M      |
| 20210423 | CRESY  | 0                   | 20           | 20           | M      |
| 20210423 | CRF    | 0                   | 100          | 100          | M      |
| 20210423 | CRIS   | 0                   | 100          | 280          | M      |
| 20210423 | CRL    | 0                   | 195          | 398          | M      |
| 20210423 | CRM    | 0                   | 9            | 114          | M      |
| 20210423 | CRMD   | 0                   | 164          | 164          | M      |
| 20210423 | CRMT   | 0                   | 6            | 6            | M      |
| 20210423 | CRNC   | 0                   | 233          | 315          | M      |
| 20210423 | CROX   | 0                   | 200          | 289          | M      |
| 20210423 | CRSP   | 0                   | 2688         | 10011        | M      |
| 20210423 | CRSR   | 0                   | 116          | 116          | M      |
| 20210423 | CRTX   | 0                   | 99           | 99           | M      |
| 20210423 | CRUS   | 0                   | 100          | 157          | M      |
| 20210423 | CRVL   | 0                   | 37           | 37           | M      |
| 20210423 | CRVS   | 0                   | 0            | 100          | M      |
| 20210423 | CRWD   | 0                   | 583          | 2917         | M      |
| 20210423 | CSCO   | 0                   | 273          | 471          | M      |
| 20210423 | CSGP   | 0                   | 0            | 42           | M      |
| 20210423 | CSII   | 0                   | 5            | 5            | M      |
| 20210423 | CSIQ   | 0                   | 817          | 917          | M      |
| 20210423 | CSL    | 0                   | 500          | 500          | M      |
| 20210423 | CSOD   | 0                   | 97           | 98           | M      |
| 20210423 | CSPR   | 0                   | 0            | 63           | M      |
| 20210423 | CSR    | 0                   | 178          | 187          | M      |
| 20210423 | CSSE   | 0                   | 300          | 600          | M      |
| 20210423 | CSTM   | 0                   | 0            | 80           | M      |
| 20210423 | CTAS   | 0                   | 100          | 493          | M      |
| 20210423 | CTG    | 0                   | 87           | 87           | M      |
| 20210423 | CTLT   | 0                   | 120          | 120          | M      |
| 20210423 | CTMX   | 0                   | 3            | 103          | M      |
| 20210423 | CTRN   | 0                   | 0            | 199          | M      |
| 20210423 | CTS    | 0                   | 0            | 100          | M      |
| 20210423 | CTVA   | 0                   | 100          | 100          | M      |
| 20210423 | CTXS   | 0                   | 0            | 108          | M      |
| 20210423 | CURI   | 0                   | 100          | 100          | M      |
| 20210423 | CUTR   | 0                   | 100          | 100          | M      |
| 20210423 | CVAC   | 0                   | 312          | 1130         | M      |
| 20210423 | CVCO   | 0                   | 10           | 15           | M      |
| 20210423 | CVE    | 0                   | 45           | 45           | M      |
| 20210423 | CVET   | 0                   | 506          | 506          | M      |
| 20210423 | CVGI   | 0                   | 0            | 6            | M      |
| 20210423 | CVGW   | 0                   | 4            | 7            | M      |
| 20210423 | CVI    | 0                   | 0            | 98           | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210423 | CVM    | 0                   | 177          | 377          | M      |
| 20210423 | CVNA   | 0                   | 1104         | 1109         | M      |
| 20210423 | CVS    | 0                   | 1543         | 122309       | M      |
| 20210423 | CVX    | 0                   | 77           | 571          | M      |
| 20210423 | CWEB   | 0                   | 0            | 74           | M      |
| 20210423 | CWH    | 0                   | 174          | 274          | M      |
| 20210423 | CWT    | 0                   | 10           | 17           | M      |
| 20210423 | CX     | 0                   | 5            | 13           | M      |
| 20210423 | CXP    | 0                   | 0            | 100          | M      |
| 20210423 | CXW    | 0                   | 0            | 500          | M      |
| 20210423 | CYAN   | 0                   | 300          | 300          | M      |
| 20210423 | CYBE   | 0                   | 100          | 100          | M      |
| 20210423 | CYBR   | 0                   | 0            | 112          | M      |
| 20210423 | CYCN   | 0                   | 100          | 100          | M      |
| 20210423 | CYRX   | 0                   | 0            | 160          | M      |
| 20210423 | CYTH   | 0                   | 100          | 101          | M      |
| 20210423 | CYTK   | 0                   | 561          | 761          | M      |
| 20210423 | CZR    | 0                   | 820          | 868          | M      |
| 20210423 | D      | 0                   | 469          | 14675        | M      |
| 20210423 | DAC    | 0                   | 0            | 100          | M      |
| 20210423 | DADA   | 0                   | 590          | 590          | M      |
| 20210423 | DAL    | 0                   | 1            | 1            | M      |
| 20210423 | DAR    | 0                   | 320          | 320          | M      |
| 20210423 | DASH   | 0                   | 1534         | 1806         | M      |
| 20210423 | DAVA   | 0                   | 486          | 486          | M      |
| 20210423 | DBC    | 0                   | 0            | 1000         | M      |
| 20210423 | DCI    | 0                   | 200          | 225          | M      |
| 20210423 | DCO    | 400                 | 501          | 501          | M      |
| 20210423 | DCPH   | 0                   | 1222         | 1222         | M      |
| 20210423 | DCT    | 0                   | 301          | 1201         | M      |
| 20210423 | DCTH   | 0                   | 200          | 200          | M      |
| 20210423 | DD     | 0                   | 12           | 13           | M      |
| 20210423 | DDD    | 0                   | 340          | 368          | M      |
| 20210423 | DDOG   | 200                 | 401          | 2071         | M      |
| 20210423 | DDS    | 0                   | 200          | 200          | M      |
| 20210423 | DE     | 0                   | 300          | 546          | M      |
| 20210423 | DECK   | 0                   | 0            | 148          | M      |
| 20210423 | DELL   | 0                   | 200          | 318          | M      |
| 20210423 | DEN    | 0                   | 200          | 700          | M      |
| 20210423 | DFS    | 0                   | 2117         | 2589         | M      |
| 20210423 | DG     | 0                   | 577          | 2506         | M      |
| 20210423 | DGX    | 0                   | 142          | 142          | M      |
| 20210423 | DHI    | 0                   | 300          | 624          | M      |
| 20210423 | DHIL   | 0                   | 1            | 1            | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210423 | DHR    | 0                   | 2570         | 3723         | M      |
| 20210423 | DIA    | 0                   | 0            | 300000       | M      |
| 20210423 | DIN    | 0                   | 0            | 200          | M      |
| 20210423 | DIS    | 0                   | 100          | 225          | M      |
| 20210423 | DISCA  | 0                   | 0            | 200          | M      |
| 20210423 | DISCK  | 0                   | 580          | 580          | M      |
| 20210423 | DJP    | 0                   | 90           | 90           | M      |
| 20210423 | DKL    | 0                   | 100          | 100          | M      |
| 20210423 | DKNG   | 0                   | 1200         | 1377         | M      |
| 20210423 | DKS    | 0                   | 116          | 214          | M      |
| 20210423 | DLPN   | 0                   | 440          | 940          | M      |
| 20210423 | DLR    | 0                   | 29           | 204          | M      |
| 20210423 | DLTH   | 0                   | 83           | 83           | M      |
| 20210423 | DLTR   | 0                   | 200          | 200          | M      |
| 20210423 | DLX    | 0                   | 0            | 100          | M      |
| 20210423 | DM     | 0                   | 90           | 90           | M      |
| 20210423 | DMRC   | 0                   | 20           | 20           | M      |
| 20210423 | DMRL   | 0                   | 2            | 2            | M      |
| 20210423 | DMRS   | 0                   | 2            | 2            | M      |
| 20210423 | DMTK   | 0                   | 0            | 709          | M      |
| 20210423 | DNB    | 0                   | 852          | 1018         | M      |
| 20210423 | DNLI   | 0                   | 75           | 275          | M      |
| 20210423 | DNMR   | 0                   | 100          | 200          | M      |
| 20210423 | DOC    | 0                   | 1            | 21           | M      |
| 20210423 | DOCN   | 0                   | 2041         | 2858         | M      |
| 20210423 | DOCU   | 0                   | 4            | 178          | M      |
| 20210423 | DOMO   | 0                   | 98           | 298          | M      |
| 20210423 | DOOR   | 0                   | 0            | 70           | M      |
| 20210423 | DORM   | 0                   | 100          | 100          | M      |
| 20210423 | DOW    | 0                   | 825          | 104257       | M      |
| 20210423 | DOX    | 0                   | 100          | 196          | M      |
| 20210423 | DOYU   | 0                   | 0            | 78           | M      |
| 20210423 | DPZ    | 0                   | 100          | 200          | M      |
| 20210423 | DQ     | 0                   | 561          | 3033         | M      |
| 20210423 | DRI    | 0                   | 80           | 80           | M      |
| 20210423 | DRIO   | 0                   | 100          | 100          | M      |
| 20210423 | DRNA   | 0                   | 0            | 100          | M      |
| 20210423 | DRV    | 0                   | 400          | 400          | M      |
| 20210423 | DRVN   | 0                   | 142          | 142          | M      |
| 20210423 | DSEY   | 0                   | 0            | 299          | M      |
| 20210423 | DSGN   | 0                   | 0            | 1346         | M      |
| 20210423 | DSL    | 0                   | 0            | 100          | M      |
| 20210423 | DSP    | 0                   | 115          | 315          | M      |
| 20210423 | DSSI   | 0                   | 411          | 700          | M      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20210423 | DT      | 0                   | 96           | 96           | M      |
| 20210423 | DTE     | 0                   | 0            | 341          | M      |
| 20210423 | DTEA    | 0                   | 99           | 99           | M      |
| 20210423 | DTIL    | 0                   | 102          | 202          | M      |
| 20210423 | DTLA PR | 0                   | 500          | 500          | M      |
| 20210423 | DUK     | 0                   | 200          | 1580         | M      |
| 20210423 | DXCM    | 0                   | 388          | 548          | M      |
| 20210423 | DXPE    | 0                   | 0            | 30           | M      |
| 20210423 | DY      | 0                   | 675          | 675          | M      |
| 20210423 | DYN     | 0                   | 10           | 410          | M      |
| 20210423 | EA      | 0                   | 342          | 3232         | M      |
| 20210423 | EAR     | 0                   | 172          | 172          | M      |
| 20210423 | EARS    | 0                   | 500          | 1200         | M      |
| 20210423 | EAT     | 0                   | 10           | 10           | M      |
| 20210423 | EB      | 0                   | 100          | 100          | M      |
| 20210423 | EBAY    | 0                   | 0            | 200          | M      |
| 20210423 | EBET    | 0                   | 0            | 566          | M      |
| 20210423 | EBIX    | 0                   | 98           | 98           | M      |
| 20210423 | EBS     | 0                   | 87           | 758          | M      |
| 20210423 | ECHO    | 0                   | 0            | 127          | M      |
| 20210423 | ECOL    | 0                   | 123          | 123          | M      |
| 20210423 | ECPG    | 0                   | 100          | 100          | M      |
| 20210423 | ED      | 0                   | 290          | 390          | M      |
| 20210423 | EDAP    | 0                   | 0            | 100          | M      |
| 20210423 | EDIT    | 0                   | 100          | 275          | M      |
| 20210423 | EDSA    | 0                   | 0            | 75           | M      |
| 20210423 | EDU     | 0                   | 0            | 202          | M      |
| 20210423 | EEFT    | 0                   | 100          | 425          | M      |
| 20210423 | EEIQ    | 0                   | 0            | 400          | M      |
| 20210423 | EEM     | 0                   | 4138         | 666154       | M      |
| 20210423 | EFA     | 0                   | 201          | 286072       | M      |
| 20210423 | EFAV    | 200                 | 400          | 813          | M      |
| 20210423 | EFSC    | 0                   | 0            | 147          | M      |
| 20210423 | EFX     | 0                   | 200          | 500          | M      |
| 20210423 | EGAN    | 0                   | 0            | 199          | M      |
| 20210423 | EGBN    | 0                   | 467          | 467          | M      |
| 20210423 | EGHT    | 0                   | 130          | 130          | M      |
| 20210423 | EGLE    | 0                   | 0            | 52           | M      |
| 20210423 | EGLX    | 0                   | 100          | 300          | M      |
| 20210423 | EH      | 0                   | 190          | 1310         | M      |
| 20210423 | EHC     | 0                   | 288          | 409          | M      |
| 20210423 | EHTH    | 0                   | 57           | 276          | M      |
| 20210423 | EINC    | 0                   | 1            | 1            | M      |
| 20210423 | EIX     | 0                   | 200          | 200          | M      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210423 | EKSO   | 0                   | 97           | 97           | M      |
| 20210423 | EL     | 0                   | 223          | 330          | M      |
| 20210423 | ELAN   | 0                   | 500          | 904          | M      |
| 20210423 | ELP    | 400                 | 1200         | 1600         | M      |
| 20210423 | ELY    | 0                   | 1749         | 2810         | M      |
| 20210423 | EMB    | 0                   | 200          | 200          | M      |
| 20210423 | EME    | 0                   | 135          | 135          | M      |
| 20210423 | EMKR   | 0                   | 0            | 100          | M      |
| 20210423 | EMN    | 0                   | 349          | 513          | M      |
| 20210423 | EMR    | 0                   | 9            | 10           | M      |
| 20210423 | ENB    | 0                   | 3416         | 4081         | M      |
| 20210423 | ENG    | 0                   | 0            | 100          | M      |
| 20210423 | ENLV   | 0                   | 100          | 100          | M      |
| 20210423 | ENPH   | 0                   | 634          | 847          | M      |
| 20210423 | ENSG   | 0                   | 171          | 171          | M      |
| 20210423 | ENTA   | 0                   | 100          | 160          | M      |
| 20210423 | ENTG   | 0                   | 0            | 2572         | M      |
| 20210423 | ENTX   | 0                   | 400          | 400          | M      |
| 20210423 | EOG    | 0                   | 875          | 1904         | M      |
| 20210423 | EOSE   | 0                   | 200          | 200          | M      |
| 20210423 | EPAM   | 0                   | 310          | 746          | M      |
| 20210423 | EPAY   | 0                   | 1162         | 2915         | M      |
| 20210423 | EPC    | 0                   | 0            | 100          | M      |
| 20210423 | EPD    | 0                   | 17           | 94           | M      |
| 20210423 | EPIX   | 0                   | 100          | 100          | M      |
| 20210423 | EPR    | 0                   | 100          | 25100        | M      |
| 20210423 | EPZM   | 0                   | 19           | 89           | M      |
| 20210423 | EQH    | 0                   | 200          | 200          | M      |
| 20210423 | EQIX   | 0                   | 104          | 3054         | M      |
| 20210423 | EQOS   | 0                   | 200          | 480          | M      |
| 20210423 | ERIC   | 0                   | 0            | 200          | M      |
| 20210423 | ERIE   | 0                   | 33           | 33           | M      |
| 20210423 | ERII   | 0                   | 0            | 1            | M      |
| 20210423 | ES     | 0                   | 100          | 100          | M      |
| 20210423 | ESGC   | 0                   | 100          | 100          | M      |
| 20210423 | ESNT   | 0                   | 200          | 200          | M      |
| 20210423 | ESRT   | 0                   | 38           | 143          | M      |
| 20210423 | ESS    | 0                   | 0            | 2            | M      |
| 20210423 | ESTA   | 0                   | 296          | 421          | M      |
| 20210423 | ESTC   | 0                   | 0            | 334          | M      |
| 20210423 | ET     | 0                   | 2            | 105          | M      |
| 20210423 | ETH    | 0                   | 0            | 100          | M      |
| 20210423 | ETN    | 0                   | 172          | 172          | M      |
| 20210423 | ETR    | 0                   | 100          | 200          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210423 | ETRN   | 0                   | 0            | 25           | M      |
| 20210423 | ETSY   | 0                   | 1331         | 1864         | M      |
| 20210423 | EVBG   | 0                   | 421          | 1924         | M      |
| 20210423 | EVBN   | 0                   | 100          | 100          | M      |
| 20210423 | EVER   | 0                   | 0            | 50           | M      |
| 20210423 | EVGN   | 100                 | 278          | 278          | M      |
| 20210423 | EVH    | 0                   | 100          | 200          | M      |
| 20210423 | EVI    | 0                   | 4            | 4            | M      |
| 20210423 | EVK    | 0                   | 0            | 100          | M      |
| 20210423 | EVOP   | 0                   | 1            | 1            | M      |
| 20210423 | EVR    | 0                   | 216          | 416          | M      |
| 20210423 | EVTC   | 0                   | 0            | 99           | M      |
| 20210423 | EWBC   | 0                   | 37           | 175          | M      |
| 20210423 | EWG    | 0                   | 0            | 100          | M      |
| 20210423 | EWI    | 0                   | 100          | 100          | M      |
| 20210423 | EWO    | 0                   | 200          | 200          | M      |
| 20210423 | EWT    | 0                   | 0            | 2            | M      |
| 20210423 | EWTX   | 0                   | 406          | 2414         | M      |
| 20210423 | EWZ    | 0                   | 0            | 780350       | M      |
| 20210423 | EXAS   | 0                   | 318          | 1153         | M      |
| 20210423 | EXEL   | 0                   | 214          | 314          | M      |
| 20210423 | EXP    | 0                   | 1            | 97           | M      |
| 20210423 | EXPD   | 0                   | 375          | 423          | M      |
| 20210423 | EXPE   | 0                   | 441          | 541          | M      |
| 20210423 | EXPI   | 0                   | 0            | 814          | M      |
| 20210423 | EXPO   | 0                   | 0            | 4            | M      |
| 20210423 | EXR    | 0                   | 100          | 100          | M      |
| 20210423 | EYE    | 0                   | 18           | 18           | M      |
| 20210423 | EYES   | 0                   | 1600         | 2007         | M      |
| 20210423 | EYPT   | 0                   | 40           | 40           | M      |
| 20210423 | F      | 0                   | 328          | 625112       | M      |
| 20210423 | FAF    | 0                   | 0            | 406          | M      |
| 20210423 | FANG   | 0                   | 287          | 398          | M      |
| 20210423 | FARM   | 0                   | 105          | 105          | M      |
| 20210423 | FARO   | 0                   | 89           | 89           | M      |
| 20210423 | FAST   | 0                   | 190          | 320          | M      |
| 20210423 | FATE   | 0                   | 799          | 1328         | M      |
| 20210423 | FB     | 0                   | 100          | 26145        | M      |
| 20210423 | FBHS   | 0                   | 47           | 47           | M      |
| 20210423 | FBRX   | 0                   | 5            | 5            | M      |
| 20210423 | FCEL   | 0                   | 11841        | 11931        | M      |
| 20210423 | FCFS   | 0                   | 0            | 23           | M      |
| 20210423 | FCN    | 0                   | 0            | 269          | M      |
| 20210423 | FCNCA  | 0                   | 525          | 656          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210423 | FCX    | 0                   | 202          | 172103       | M      |
| 20210423 | FDBC   | 0                   | 2            | 10           | M      |
| 20210423 | FDMT   | 0                   | 116          | 533          | M      |
| 20210423 | FDP    | 0                   | 47           | 48           | M      |
| 20210423 | FDS    | 0                   | 3051         | 4023         | M      |
| 20210423 | FDUS   | 0                   | 96           | 196          | M      |
| 20210423 | FDX    | 0                   | 283          | 3426         | M      |
| 20210423 | FE     | 0                   | 99           | 168          | M      |
| 20210423 | FF     | 0                   | 0            | 100          | M      |
| 20210423 | FFBC   | 0                   | 100          | 100          | M      |
| 20210423 | FFIV   | 0                   | 71           | 71           | M      |
| 20210423 | FGEN   | 0                   | 400          | 700          | M      |
| 20210423 | FICO   | 0                   | 361          | 361          | M      |
| 20210423 | FIS    | 0                   | 12           | 121          | M      |
| 20210423 | FISI   | 0                   | 0            | 181          | M      |
| 20210423 | FISV   | 0                   | 400          | 500          | M      |
| 20210423 | FIVE   | 0                   | 104          | 104          | M      |
| 20210423 | FIVN   | 0                   | 168          | 479          | M      |
| 20210423 | FIX    | 0                   | 0            | 8            | M      |
| 20210423 | FIZZ   | 0                   | 100          | 1008         | M      |
| 20210423 | FKWL   | 0                   | 0            | 100          | M      |
| 20210423 | FL     | 0                   | 0            | 205          | M      |
| 20210423 | FLGT   | 0                   | 100          | 230          | M      |
| 20210423 | FLL    | 0                   | 0            | 100          | M      |
| 20210423 | FLT    | 0                   | 100          | 100          | M      |
| 20210423 | FMBH   | 0                   | 758          | 758          | M      |
| 20210423 | FMC    | 0                   | 0            | 32           | M      |
| 20210423 | FMX    | 0                   | 0            | 300          | M      |
| 20210423 | FNB    | 0                   | 0            | 10           | M      |
| 20210423 | FND    | 0                   | 350          | 747          | M      |
| 20210423 | FNDE   | 0                   | 400          | 400          | M      |
| 20210423 | FNDF   | 0                   | 900          | 900          | M      |
| 20210423 | FNKO   | 0                   | 58           | 158          | M      |
| 20210423 | FNV    | 0                   | 3            | 28           | M      |
| 20210423 | FOUR   | 0                   | 300          | 400          | M      |
| 20210423 | FOXA   | 0                   | 59           | 59           | M      |
| 20210423 | FOXF   | 0                   | 205          | 205          | M      |
| 20210423 | FR     | 0                   | 99           | 278          | M      |
| 20210423 | FRC    | 0                   | 287          | 287          | M      |
| 20210423 | FREQ   | 0                   | 100          | 200          | M      |
| 20210423 | FRG    | 0                   | 0            | 3            | M      |
| 20210423 | FRHC   | 0                   | 400          | 836          | M      |
| 20210423 | FROG   | 0                   | 0            | 100          | M      |
| 20210423 | FRPT   | 0                   | 29           | 1584         | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210423 | FRSX   | 0                   | 200          | 300          | M      |
| 20210423 | FRT    | 0                   | 100          | 100          | M      |
| 20210423 | FSBW   | 0                   | 3            | 3            | M      |
| 20210423 | FSLR   | 0                   | 1023         | 1290         | M      |
| 20210423 | FSLY   | 0                   | 0            | 388          | M      |
| 20210423 | FSR    | 75                  | 75           | 145          | M      |
| 20210423 | FSRV   | 0                   | 100          | 100          | M      |
| 20210423 | FSS    | 0                   | 100          | 100          | M      |
| 20210423 | FST    | 0                   | 1            | 1            | M      |
| 20210423 | FTAI   | 0                   | 5            | 605          | M      |
| 20210423 | FTCH   | 0                   | 0            | 80894        | M      |
| 20210423 | FTCV   | 0                   | 305          | 505          | M      |
| 20210423 | FTGC   | 0                   | 900          | 1203         | M      |
| 20210423 | FTHM   | 0                   | 501          | 501          | M      |
| 20210423 | FTI    | 0                   | 21           | 28           | M      |
| 20210423 | FTNT   | 0                   | 94           | 494          | M      |
| 20210423 | FTOC   | 0                   | 0            | 500          | M      |
| 20210423 | FTSI   | 0                   | 457          | 517          | M      |
| 20210423 | FTV    | 0                   | 500          | 800          | M      |
| 20210423 | FUBO   | 0                   | 80           | 409          | M      |
| 20210423 | FUL    | 0                   | 100          | 100          | M      |
| 20210423 | FULC   | 0                   | 100          | 100          | M      |
| 20210423 | FUN    | 0                   | 100          | 100          | M      |
| 20210423 | FUTU   | 0                   | 1510         | 1900         | M      |
| 20210423 | FUV    | 0                   | 200          | 300          | M      |
| 20210423 | FVRR   | 0                   | 200          | 432          | M      |
| 20210423 | FWAA   | 0                   | 100          | 100          | M      |
| 20210423 | FWONK  | 0                   | 100          | 400          | M      |
| 20210423 | FWRD   | 0                   | 74           | 76           | M      |
| 20210423 | FXI    | 0                   | 5400         | 435804       | M      |
| 20210423 | G      | 0                   | 200          | 200          | M      |
| 20210423 | GABC   | 0                   | 12           | 12           | M      |
| 20210423 | GALT   | 0                   | 100          | 200          | M      |
| 20210423 | GAN    | 0                   | 1000         | 1396         | M      |
| 20210423 | GATO   | 0                   | 200          | 300          | M      |
| 20210423 | GATX   | 0                   | 0            | 95           | M      |
| 20210423 | GBCI   | 0                   | 200          | 200          | M      |
| 20210423 | GBIO   | 0                   | 201          | 361          | M      |
| 20210423 | GBOX   | 0                   | 570          | 670          | M      |
| 20210423 | GBR    | 0                   | 100          | 150          | M      |
| 20210423 | GBT    | 0                   | 487          | 492          | M      |
| 20210423 | GCC    | 0                   | 60           | 60           | M      |
| 20210423 | GCMG   | 0                   | 0            | 181          | M      |
| 20210423 | GCO    | 0                   | 0            | 200          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210423 | GD     | 100                 | 278          | 278          | M      |
| 20210423 | GDDY   | 0                   | 0            | 2103         | M      |
| 20210423 | GDEN   | 0                   | 111          | 111          | M      |
| 20210423 | GDOT   | 0                   | 0            | 100          | M      |
| 20210423 | GDRX   | 0                   | 114          | 114          | M      |
| 20210423 | GDS    | 0                   | 357          | 567          | M      |
| 20210423 | GDYN   | 0                   | 0            | 100          | M      |
| 20210423 | GE     | 7                   | 6039         | 8951         | M      |
| 20210423 | GECC   | 0                   | 0            | 139          | M      |
| 20210423 | GEF    | 0                   | 330          | 330          | M      |
| 20210423 | GENE   | 0                   | 100          | 100          | M      |
| 20210423 | GERN   | 0                   | 2            | 2            | M      |
| 20210423 | GES    | 0                   | 300          | 300          | M      |
| 20210423 | GFI    | 0                   | 0            | 3            | M      |
| 20210423 | GGG    | 0                   | 100          | 100          | M      |
| 20210423 | GH     | 0                   | 390          | 6093         | M      |
| 20210423 | GHC    | 0                   | 82           | 197          | M      |
| 20210423 | GHVI   | 0                   | 0            | 100          | M      |
| 20210423 | GIK    | 0                   | 0            | 102          | M      |
| 20210423 | GILD   | 0                   | 364          | 1435         | M      |
| 20210423 | GIS    | 0                   | 195          | 195          | M      |
| 20210423 | GL     | 0                   | 75           | 259          | M      |
| 20210423 | GLBS   | 0                   | 200          | 384          | M      |
| 20210423 | GLD    | 0                   | 3391         | 8468         | M      |
| 20210423 | GLNG   | 0                   | 100          | 100          | M      |
| 20210423 | GLOB   | 0                   | 128          | 206          | M      |
| 20210423 | GLOP   | 0                   | 0            | 96           | M      |
| 20210423 | GLPG   | 0                   | 100          | 100          | M      |
| 20210423 | GLSI   | 0                   | 95           | 105          | M      |
| 20210423 | GLW    | 0                   | 0            | 100          | M      |
| 20210423 | GMBL   | 0                   | 0            | 100          | M      |
| 20210423 | GMDA   | 0                   | 198          | 198          | M      |
| 20210423 | GME    | 0                   | 494          | 857          | M      |
| 20210423 | GMED   | 0                   | 400          | 400          | M      |
| 20210423 | GMRE   | 0                   | 0            | 100          | M      |
| 20210423 | GMS    | 0                   | 1            | 1            | M      |
| 20210423 | GNCA   | 0                   | 196          | 196          | M      |
| 20210423 | GNL    | 0                   | 8            | 15           | M      |
| 20210423 | GNLN   | 0                   | 100          | 200          | M      |
| 20210423 | GNOG   | 0                   | 594          | 594          | M      |
| 20210423 | GNRC   | 80                  | 417          | 569          | M      |
| 20210423 | GNSS   | 0                   | 0            | 99           | M      |
| 20210423 | GNW    | 0                   | 18           | 58           | M      |
| 20210423 | GOCO   | 0                   | 0            | 100          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210423 | GOEV   | 0                   | 130          | 130          | M      |
| 20210423 | GOGO   | 0                   | 0            | 60           | M      |
| 20210423 | GOLD   | 0                   | 63           | 815          | M      |
| 20210423 | GOOG   | 18                  | 1602         | 4185         | M      |
| 20210423 | GOOGL  | 0                   | 1323         | 5058         | M      |
| 20210423 | GOVX   | 0                   | 420          | 420          | M      |
| 20210423 | GOVXW  | 2000                | 2000         | 2000         | M      |
| 20210423 | GPC    | 0                   | 379          | 379          | M      |
| 20210423 | GPI    | 0                   | 109          | 109          | M      |
| 20210423 | GPK    | 0                   | 0            | 300          | M      |
| 20210423 | GPN    | 0                   | 903          | 1024         | M      |
| 20210423 | GPRE   | 0                   | 546          | 846          | M      |
| 20210423 | GPS    | 0                   | 301          | 306          | M      |
| 20210423 | GRA    | 0                   | 100          | 100          | M      |
| 20210423 | GRCL   | 0                   | 0            | 300          | M      |
| 20210423 | GRMN   | 0                   | 100          | 100          | M      |
| 20210423 | GROW   | 0                   | 0            | 26           | M      |
| 20210423 | GRTS   | 0                   | 17           | 105          | M      |
| 20210423 | GRUB   | 0                   | 178          | 178          | M      |
| 20210423 | GRVY   | 0                   | 0            | 115          | M      |
| 20210423 | GRWG   | 0                   | 100          | 500          | M      |
| 20210423 | GS     | 0                   | 254          | 656          | M      |
| 20210423 | GSAH   | 0                   | 13           | 43           | M      |
| 20210423 | GSG    | 0                   | 0            | 500          | M      |
| 20210423 | GSK    | 0                   | 15           | 25           | M      |
| 20210423 | GSX    | 0                   | 0            | 44812        | M      |
| 20210423 | GT     | 0                   | 368          | 379          | M      |
| 20210423 | GTBP   | 0                   | 86           | 386          | M      |
| 20210423 | GTH    | 0                   | 2            | 2            | M      |
| 20210423 | GTHX   | 0                   | 0            | 100          | M      |
| 20210423 | GTLS   | 0                   | 211          | 628          | M      |
| 20210423 | GVA    | 0                   | 0            | 400          | M      |
| 20210423 | GWPH   | 0                   | 0            | 200          | M      |
| 20210423 | GWW    | 0                   | 106          | 232          | M      |
| 20210423 | H      | 0                   | 200          | 201          | M      |
| 20210423 | HA     | 0                   | 100          | 100          | M      |
| 20210423 | HAE    | 0                   | 100          | 100          | M      |
| 20210423 | HAIN   | 0                   | 100          | 100          | M      |
| 20210423 | HAL    | 0                   | 0            | 59           | M      |
| 20210423 | HALO   | 0                   | 105          | 105          | M      |
| 20210423 | HAS    | 0                   | 309          | 409          | M      |
| 20210423 | HAYW   | 0                   | 0            | 1105         | M      |
| 20210423 | HBAN   | 0                   | 400          | 42774        | M      |
| 20210423 | HBI    | 0                   | 5            | 21           | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210423 | HCA    | 0                   | 190          | 315          | M      |
| 20210423 | HCAT   | 0                   | 100          | 287          | M      |
| 20210423 | HCC    | 0                   | 0            | 94           | M      |
| 20210423 | HCDI   | 0                   | 200          | 200          | M      |
| 20210423 | HCM    | 0                   | 90           | 90           | M      |
| 20210423 | HD     | 0                   | 891          | 2105         | M      |
| 20210423 | HDB    | 0                   | 198          | 372          | M      |
| 20210423 | HDSN   | 0                   | 0            | 100          | M      |
| 20210423 | HE     | 0                   | 32           | 32           | M      |
| 20210423 | HEES   | 0                   | 0            | 58           | M      |
| 20210423 | HEI A  | 0                   | 5            | 105          | M      |
| 20210423 | HELE   | 0                   | 20           | 216          | M      |
| 20210423 | HES    | 0                   | 1            | 10801        | M      |
| 20210423 | HGEN   | 0                   | 222          | 230          | M      |
| 20210423 | HGV    | 0                   | 300          | 765          | M      |
| 20210423 | HHR    | 0                   | 1            | 69           | M      |
| 20210423 | HI     | 0                   | 300          | 369          | M      |
| 20210423 | HIBB   | 0                   | 163          | 612          | M      |
| 20210423 | HII    | 0                   | 0            | 100          | M      |
| 20210423 | HIMX   | 0                   | 110          | 210          | M      |
| 20210423 | HL     | 0                   | 19           | 72           | M      |
| 20210423 | HLG    | 0                   | 0            | 200          | M      |
| 20210423 | HLI    | 0                   | 0            | 100          | M      |
| 20210423 | HLIO   | 0                   | 0            | 99           | M      |
| 20210423 | HLNE   | 0                   | 89           | 89           | M      |
| 20210423 | HLT    | 0                   | 207          | 214          | M      |
| 20210423 | HMLP   | 0                   | 0            | 199          | M      |
| 20210423 | HMY    | 0                   | 1            | 4            | M      |
| 20210423 | HOFV   | 0                   | 0            | 400          | M      |
| 20210423 | HOG    | 0                   | 0            | 155          | M      |
| 20210423 | HOLX   | 0                   | 0            | 334          | M      |
| 20210423 | HOME   | 0                   | 895          | 895          | M      |
| 20210423 | HON    | 0                   | 461          | 4180         | M      |
| 20210423 | HOV    | 0                   | 71           | 271          | M      |
| 20210423 | HP     | 0                   | 0            | 150          | M      |
| 20210423 | HPQ    | 0                   | 0            | 20           | M      |
| 20210423 | HRB    | 0                   | 0            | 2            | M      |
| 20210423 | HRC    | 0                   | 195          | 195          | M      |
| 20210423 | HRI    | 0                   | 200          | 338          | M      |
| 20210423 | HRL    | 0                   | 200          | 200          | M      |
| 20210423 | HRTX   | 0                   | 100          | 698          | M      |
| 20210423 | HSC    | 0                   | 0            | 100          | M      |
| 20210423 | HSKA   | 0                   | 17           | 130          | M      |
| 20210423 | HSY    | 0                   | 100          | 107          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210423 | HTBX   | 0                   | 333          | 398          | M      |
| 20210423 | HTOO   | 0                   | 100          | 100          | M      |
| 20210423 | HUBB   | 100                 | 300          | 300          | M      |
| 20210423 | HUBS   | 0                   | 566          | 668          | M      |
| 20210423 | HUIZ   | 0                   | 0            | 103          | M      |
| 20210423 | HUM    | 0                   | 402          | 504          | M      |
| 20210423 | HUN    | 0                   | 300          | 300          | M      |
| 20210423 | HUYA   | 0                   | 26           | 465          | M      |
| 20210423 | HWKN   | 0                   | 0            | 1            | M      |
| 20210423 | HWM    | 0                   | 608          | 1096         | M      |
| 20210423 | HXL    | 0                   | 0            | 86           | M      |
| 20210423 | HY     | 0                   | 0            | 2            | M      |
| 20210423 | HYFM   | 0                   | 788          | 1281         | M      |
| 20210423 | HYG    | 0                   | 4567         | 154876       | M      |
| 20210423 | HYRE   | 0                   | 0            | 99           | M      |
| 20210423 | HZNP   | 0                   | 100          | 100          | M      |
| 20210423 | HZO    | 0                   | 220          | 220          | M      |
| 20210423 | IAA    | 0                   | 0            | 121          | M      |
| 20210423 | IAC    | 0                   | 388          | 1124         | M      |
| 20210423 | IAG    | 0                   | 1            | 1            | M      |
| 20210423 | IART   | 0                   | 56           | 145          | M      |
| 20210423 | IAU    | 0                   | 0            | 1            | M      |
| 20210423 | IBEX   | 0                   | 303          | 303          | M      |
| 20210423 | IBKR   | 0                   | 0            | 100          | M      |
| 20210423 | IBM    | 0                   | 7            | 71           | M      |
| 20210423 | IBN    | 0                   | 0            | 15           | M      |
| 20210423 | IBRX   | 0                   | 0            | 100          | M      |
| 20210423 | ICAD   | 0                   | 0            | 1            | M      |
| 20210423 | ICD    | 0                   | 12           | 91           | M      |
| 20210423 | ICFI   | 0                   | 27           | 110          | M      |
| 20210423 | ICHR   | 0                   | 0            | 200          | M      |
| 20210423 | ICLN   | 0                   | 1            | 4            | M      |
| 20210423 | ICLR   | 0                   | 22           | 122          | M      |
| 20210423 | IDA    | 0                   | 0            | 100          | M      |
| 20210423 | IDEV   | 0                   | 200          | 200          | M      |
| 20210423 | IDLV   | 0                   | 0            | 100          | M      |
| 20210423 | IDN    | 0                   | 76           | 76           | M      |
| 20210423 | IDV    | 0                   | 22           | 22           | M      |
| 20210423 | IDXX   | 0                   | 50           | 80           | M      |
| 20210423 | IEA    | 0                   | 200          | 355          | M      |
| 20210423 | IEF    | 0                   | 0            | 3714         | M      |
| 20210423 | IEFA   | 0                   | 2900         | 2900         | M      |
| 20210423 | IEP    | 0                   | 100          | 100          | M      |
| 20210423 | IEX    | 0                   | 5            | 5            | M      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210423 | IFF    | 0                   | 109          | 353          | M      |
| 20210423 | IFMK   | 0                   | 52           | 52           | M      |
| 20210423 | IGMS   | 0                   | 711          | 861          | M      |
| 20210423 | IGT    | 0                   | 0            | 200          | M      |
| 20210423 | IHC    | 0                   | 18           | 212          | M      |
| 20210423 | IIIV   | 0                   | 0            | 5            | M      |
| 20210423 | IIPR   | 0                   | 90           | 383          | M      |
| 20210423 | IIVI   | 0                   | 345          | 527          | M      |
| 20210423 | IKNA   | 0                   | 20           | 20           | M      |
| 20210423 | ILMN   | 0                   | 500          | 2642         | M      |
| 20210423 | ILPT   | 0                   | 0            | 100          | M      |
| 20210423 | IMAB   | 0                   | 200          | 200          | M      |
| 20210423 | IMAX   | 0                   | 100          | 100          | M      |
| 20210423 | IMCR   | 0                   | 280          | 330          | M      |
| 20210423 | IMMR   | 0                   | 96           | 96           | M      |
| 20210423 | IMTX   | 0                   | 0            | 100          | M      |
| 20210423 | IMUX   | 100                 | 100          | 100          | M      |
| 20210423 | IMVT   | 0                   | 99           | 196          | M      |
| 20210423 | INBX   | 0                   | 30           | 30           | M      |
| 20210423 | INCY   | 0                   | 135          | 437          | M      |
| 20210423 | INDB   | 0                   | 918          | 1418         | M      |
| 20210423 | INFN   | 0                   | 13           | 26           | M      |
| 20210423 | INFO   | 0                   | 799          | 2200         | M      |
| 20210423 | INFY   | 0                   | 400          | 800          | M      |
| 20210423 | INGR   | 0                   | 100          | 100          | M      |
| 20210423 | INMD   | 0                   | 538          | 605          | M      |
| 20210423 | INOV   | 0                   | 1            | 1            | M      |
| 20210423 | INSG   | 0                   | 85           | 85           | M      |
| 20210423 | INSM   | 0                   | 80           | 80           | M      |
| 20210423 | INSP   | 0                   | 203          | 203          | M      |
| 20210423 | INT    | 0                   | 300          | 400          | M      |
| 20210423 | INTC   | 100                 | 104          | 263977       | M      |
| 20210423 | INTU   | 0                   | 297          | 11512        | M      |
| 20210423 | INTZ   | 0                   | 6            | 6            | M      |
| 20210423 | INVA   | 0                   | 0            | 89           | M      |
| 20210423 | INVO   | 0                   | 100          | 200          | M      |
| 20210423 | INVZ   | 0                   | 1604         | 1904         | M      |
| 20210423 | IO     | 0                   | 0            | 477          | M      |
| 20210423 | IONS   | 0                   | 100          | 100          | M      |
| 20210423 | IOVA   | 0                   | 52           | 152          | M      |
| 20210423 | IPDN   | 0                   | 90           | 90           | M      |
| 20210423 | IPGP   | 0                   | 204          | 204          | M      |
| 20210423 | IPO    | 0                   | 0            | 2            | M      |
| 20210423 | IPOD   | 0                   | 10           | 133          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210423 | IPOE   | 100                 | 491          | 792          | M      |
| 20210423 | IPWR   | 0                   | 100          | 100          | M      |
| 20210423 | IQ     | 0                   | 0            | 14796        | M      |
| 20210423 | IQLT   | 0                   | 200          | 226          | M      |
| 20210423 | IQV    | 0                   | 491          | 2270         | M      |
| 20210423 | IRBT   | 0                   | 183          | 183          | M      |
| 20210423 | IRTC   | 0                   | 397          | 1359         | M      |
| 20210423 | ISRG   | 0                   | 1671         | 2500         | M      |
| 20210423 | ISTR   | 0                   | 100          | 100          | M      |
| 20210423 | IT     | 0                   | 0            | 146          | M      |
| 20210423 | ITCI   | 0                   | 200          | 200          | M      |
| 20210423 | ITMR   | 0                   | 1            | 1            | M      |
| 20210423 | ITOS   | 0                   | 507          | 1007         | M      |
| 20210423 | ITRI   | 0                   | 0            | 97           | M      |
| 20210423 | ITT    | 0                   | 0            | 69           | M      |
| 20210423 | ITUB   | 0                   | 246          | 132748       | M      |
| 20210423 | ITW    | 0                   | 424          | 851          | M      |
| 20210423 | IVZ    | 0                   | 1            | 1            | M      |
| 20210423 | IWM    | 0                   | 892          | 2336660      | M      |
| 20210423 | IWN    | 0                   | 200          | 200          | M      |
| 20210423 | IWO    | 0                   | 0            | 120          | M      |
| 20210423 | IYR    | 0                   | 0            | 1371000      | M      |
| 20210423 | J      | 0                   | 0            | 100          | M      |
| 20210423 | JAMF   | 0                   | 0            | 300          | M      |
| 20210423 | JAN    | 0                   | 106          | 106          | M      |
| 20210423 | JAZZ   | 0                   | 248          | 75248        | M      |
| 20210423 | JBHT   | 0                   | 0            | 95           | M      |
| 20210423 | JBL    | 0                   | 0            | 234          | M      |
| 20210423 | JBLU   | 0                   | 2            | 58003        | M      |
| 20210423 | JBSS   | 0                   | 36           | 58           | M      |
| 20210423 | JBT    | 0                   | 11           | 647          | M      |
| 20210423 | JCI    | 0                   | 369          | 880          | M      |
| 20210423 | JD     | 0                   | 299          | 299          | M      |
| 20210423 | JEF    | 0                   | 100          | 1710         | M      |
| 20210423 | JELD   | 0                   | 200          | 298          | M      |
| 20210423 | JETS   | 0                   | 1            | 1            | M      |
| 20210423 | JFIN   | 0                   | 97           | 97           | M      |
| 20210423 | JHG    | 0                   | 0            | 1            | M      |
| 20210423 | JIH    | 0                   | 47           | 47           | M      |
| 20210423 | JJSF   | 0                   | 23           | 23           | M      |
| 20210423 | JKHY   | 0                   | 232          | 242          | M      |
| 20210423 | JKS    | 0                   | 183          | 783          | M      |
| 20210423 | JLL    | 0                   | 1            | 173          | M      |
| 20210423 | JMIA   | 0                   | 201          | 501          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210423 | JNJ    | 0                   | 416          | 3153         | M      |
| 20210423 | JNPR   | 0                   | 5            | 9            | M      |
| 20210423 | JOAN   | 0                   | 300          | 300          | M      |
| 20210423 | JOE    | 0                   | 0            | 71           | M      |
| 20210423 | JOUT   | 0                   | 0            | 22           | M      |
| 20210423 | JPM    | 0                   | 1763         | 14875        | M      |
| 20210423 | JWN    | 0                   | 198          | 572          | M      |
| 20210423 | K      | 0                   | 722          | 936          | M      |
| 20210423 | KAI    | 0                   | 17           | 17           | M      |
| 20210423 | KALA   | 0                   | 100          | 100          | M      |
| 20210423 | KALU   | 0                   | 0            | 162          | M      |
| 20210423 | KALV   | 0                   | 2            | 102          | M      |
| 20210423 | KAMN   | 0                   | 0            | 50           | M      |
| 20210423 | KARO   | 0                   | 456          | 566          | M      |
| 20210423 | KBAL   | 0                   | 0            | 11           | M      |
| 20210423 | KBH    | 0                   | 200          | 271          | M      |
| 20210423 | KBNT   | 0                   | 300          | 300          | M      |
| 20210423 | KC     | 0                   | 405          | 409          | M      |
| 20210423 | KERN   | 0                   | 80           | 278          | M      |
| 20210423 | KEY    | 0                   | 0            | 200          | M      |
| 20210423 | KEYS   | 0                   | 196          | 196          | M      |
| 20210423 | KFY    | 0                   | 24           | 24           | M      |
| 20210423 | KGC    | 0                   | 0            | 90           | M      |
| 20210423 | KHC    | 0                   | 3            | 605          | M      |
| 20210423 | KIDS   | 0                   | 5            | 70           | M      |
| 20210423 | KIM    | 0                   | 5            | 5            | M      |
| 20210423 | KIRK   | 100                 | 100          | 105          | M      |
| 20210423 | KKR    | 0                   | 200          | 310          | M      |
| 20210423 | KLAC   | 0                   | 400          | 500          | M      |
| 20210423 | KLIC   | 0                   | 0            | 124          | M      |
| 20210423 | KMB    | 0                   | 400          | 494          | M      |
| 20210423 | KMI    | 0                   | 6            | 80478        | M      |
| 20210423 | KMT    | 0                   | 100          | 100          | M      |
| 20210423 | KMX    | 0                   | 239          | 339          | M      |
| 20210423 | KNBE   | 0                   | 0            | 200          | M      |
| 20210423 | KNL    | 0                   | 837          | 1663         | M      |
| 20210423 | KNSL   | 0                   | 0            | 100          | M      |
| 20210423 | KNTE   | 0                   | 0            | 300          | M      |
| 20210423 | KNX    | 0                   | 0            | 100          | M      |
| 20210423 | KO     | 0                   | 16           | 40           | M      |
| 20210423 | KOD    | 0                   | 106          | 984          | M      |
| 20210423 | KOPN   | 0                   | 200          | 300          | M      |
| 20210423 | KOSS   | 0                   | 0            | 100          | M      |
| 20210423 | KR     | 0                   | 67           | 182          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210423 | KRA    | 0                   | 100          | 100          | M      |
| 20210423 | KRE    | 0                   | 1623         | 463769       | M      |
| 20210423 | KRMD   | 0                   | 8            | 8            | M      |
| 20210423 | KRON   | 0                   | 348          | 348          | M      |
| 20210423 | KROS   | 0                   | 249          | 523          | M      |
| 20210423 | KRTX   | 0                   | 200          | 200          | M      |
| 20210423 | KRYS   | 0                   | 136          | 368          | M      |
| 20210423 | KSPN   | 0                   | 0            | 13           | M      |
| 20210423 | KSU    | 0                   | 1408         | 1809         | M      |
| 20210423 | KTB    | 0                   | 100          | 100          | M      |
| 20210423 | KWEB   | 0                   | 2655         | 3874         | M      |
| 20210423 | KWR    | 0                   | 0            | 200          | M      |
| 20210423 | KYMR   | 0                   | 405          | 705          | M      |
| 20210423 | KZIA   | 0                   | 0            | 78           | M      |
| 20210423 | L      | 0                   | 100          | 100          | M      |
| 20210423 | LABU   | 0                   | 100          | 100          | M      |
| 20210423 | LAD    | 0                   | 50           | 150          | M      |
| 20210423 | LAMR   | 0                   | 100          | 328          | M      |
| 20210423 | LASR   | 0                   | 100          | 1967         | M      |
| 20210423 | LAZR   | 0                   | 4043         | 64766        | M      |
| 20210423 | LAZY   | 0                   | 100          | 100          | M      |
| 20210423 | LB     | 0                   | 145          | 545          | M      |
| 20210423 | LBRDA  | 0                   | 0            | 100          | M      |
| 20210423 | LBRDK  | 0                   | 164          | 164          | M      |
| 20210423 | LBRT   | 0                   | 101          | 107          | M      |
| 20210423 | LBTYK  | 0                   | 5            | 5            | M      |
| 20210423 | LC     | 0                   | 400          | 400          | M      |
| 20210423 | LCII   | 0                   | 100          | 100          | M      |
| 20210423 | LDI    | 0                   | 100          | 100          | M      |
| 20210423 | LDOS   | 0                   | 458          | 650          | M      |
| 20210423 | LE     | 0                   | 0            | 100          | M      |
| 20210423 | LEA    | 0                   | 117          | 217          | M      |
| 20210423 | LEAF   | 0                   | 0            | 100          | M      |
| 20210423 | LEDS   | 0                   | 99           | 99           | M      |
| 20210423 | LEG    | 0                   | 100          | 100          | M      |
| 20210423 | LEGN   | 0                   | 300          | 300          | M      |
| 20210423 | LEN    | 0                   | 100          | 244          | M      |
| 20210423 | LEN B  | 0                   | 0            | 200          | M      |
| 20210423 | LEO    | 0                   | 100          | 100          | M      |
| 20210423 | LESL   | 0                   | 311          | 311          | M      |
| 20210423 | LEVI   | 0                   | 299          | 598          | M      |
| 20210423 | LFMD   | 0                   | 100          | 189          | M      |
| 20210423 | LFUS   | 0                   | 22           | 119          | M      |
| 20210423 | LGIH   | 0                   | 2            | 2            | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210423 | LGND   | 0                   | 0            | 1            | M      |
| 20210423 | LGVN   | 0                   | 5499         | 8539         | M      |
| 20210423 | LH     | 0                   | 99           | 99           | M      |
| 20210423 | LHCG   | 0                   | 14           | 14           | M      |
| 20210423 | LHDX   | 0                   | 219          | 1661         | M      |
| 20210423 | LI     | 0                   | 170          | 285          | M      |
| 20210423 | LII    | 0                   | 493          | 716          | M      |
| 20210423 | LIN    | 0                   | 193          | 664          | M      |
| 20210423 | LIQT   | 0                   | 77           | 77           | M      |
| 20210423 | LITB   | 0                   | 0            | 175          | M      |
| 20210423 | LITE   | 0                   | 110          | 2614         | M      |
| 20210423 | LIZI   | 0                   | 45           | 245          | M      |
| 20210423 | LKFN   | 0                   | 0            | 100          | M      |
| 20210423 | LLY    | 0                   | 1            | 103          | M      |
| 20210423 | LMAT   | 0                   | 0            | 98           | M      |
| 20210423 | LMND   | 0                   | 430          | 8994         | M      |
| 20210423 | LMT    | 0                   | 0            | 668          | M      |
| 20210423 | LNC    | 0                   | 100          | 100          | M      |
| 20210423 | LNG    | 0                   | 112          | 112          | M      |
| 20210423 | LNT    | 0                   | 0            | 200          | M      |
| 20210423 | LOB    | 0                   | 467          | 467          | M      |
| 20210423 | LODE   | 0                   | 200          | 200          | M      |
| 20210423 | LOGI   | 0                   | 0            | 12900        | M      |
| 20210423 | LOVE   | 0                   | 111          | 175          | M      |
| 20210423 | LOW    | 0                   | 100          | 381          | M      |
| 20210423 | LPG    | 0                   | 240          | 1174         | M      |
| 20210423 | LPLA   | 0                   | 352          | 1805         | M      |
| 20210423 | LQD    | 0                   | 3            | 233          | M      |
| 20210423 | LRCX   | 0                   | 207          | 495          | M      |
| 20210423 | LRMR   | 0                   | 0            | 1            | M      |
| 20210423 | LSCC   | 0                   | 300          | 389          | M      |
| 20210423 | LSEA   | 0                   | 100          | 183          | M      |
| 20210423 | LSF    | 0                   | 100          | 100          | M      |
| 20210423 | LSI    | 0                   | 604          | 904          | M      |
| 20210423 | LSPD   | 0                   | 100          | 100          | M      |
| 20210423 | LSTR   | 0                   | 62           | 62           | M      |
| 20210423 | LTHM   | 0                   | 0            | 200          | M      |
| 20210423 | LULU   | 0                   | 706          | 4613         | M      |
| 20210423 | LUMN   | 0                   | 25           | 37           | M      |
| 20210423 | LUNG   | 0                   | 505          | 513          | M      |
| 20210423 | LUV    | 0                   | 200          | 200          | M      |
| 20210423 | LVS    | 0                   | 7            | 119          | M      |
| 20210423 | LW     | 0                   | 903          | 1924         | M      |
| 20210423 | LX     | 0                   | 69           | 69           | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210423 | LYB    | 0                   | 150          | 282          | M      |
| 20210423 | LYFT   | 0                   | 1198         | 38998        | M      |
| 20210423 | LYV    | 0                   | 200          | 200          | M      |
| 20210423 | M      | 0                   | 3            | 6            | M      |
| 20210423 | MA     | 0                   | 7            | 648          | M      |
| 20210423 | MAC    | 0                   | 15           | 17           | M      |
| 20210423 | MACK   | 0                   | 42           | 42           | M      |
| 20210423 | MAIN   | 0                   | 97           | 196          | M      |
| 20210423 | MANH   | 0                   | 210          | 210          | M      |
| 20210423 | MANT   | 0                   | 0            | 77           | M      |
| 20210423 | MANU   | 0                   | 95           | 95           | M      |
| 20210423 | MAR    | 0                   | 195          | 195          | M      |
| 20210423 | MARA   | 0                   | 100          | 1534         | M      |
| 20210423 | MASI   | 0                   | 100          | 826          | M      |
| 20210423 | MAT    | 0                   | 100          | 110          | M      |
| 20210423 | MAX    | 0                   | 397          | 444          | M      |
| 20210423 | MAXN   | 0                   | 481          | 628          | M      |
| 20210423 | MAXR   | 100                 | 635          | 835          | M      |
| 20210423 | MBUU   | 0                   | 0            | 100          | M      |
| 20210423 | MC     | 0                   | 200          | 200          | M      |
| 20210423 | MCB    | 0                   | 200          | 200          | M      |
| 20210423 | MCD    | 0                   | 1            | 2            | M      |
| 20210423 | MCFT   | 0                   | 100          | 100          | M      |
| 20210423 | MCHP   | 0                   | 107          | 1772         | M      |
| 20210423 | MCO    | 0                   | 388          | 1518         | M      |
| 20210423 | MCRB   | 0                   | 181          | 281          | M      |
| 20210423 | MCRI   | 0                   | 48           | 186          | M      |
| 20210423 | MCS    | 0                   | 3            | 5            | M      |
| 20210423 | MD     | 0                   | 0            | 90           | M      |
| 20210423 | MDB    | 0                   | 360          | 633          | M      |
| 20210423 | MDLA   | 0                   | 176          | 176          | M      |
| 20210423 | MDLY   | 0                   | 103          | 410          | M      |
| 20210423 | MDLZ   | 0                   | 1            | 1            | M      |
| 20210423 | MDT    | 0                   | 145          | 145          | M      |
| 20210423 | MDXG   | 0                   | 0            | 100          | M      |
| 20210423 | MED    | 0                   | 4            | 50           | M      |
| 20210423 | MEDP   | 0                   | 40           | 40           | M      |
| 20210423 | MEG    | 0                   | 418          | 618          | M      |
| 20210423 | MELI   | 0                   | 2094         | 2764         | M      |
| 20210423 | MERC   | 0                   | 0            | 400          | M      |
| 20210423 | MET    | 0                   | 103          | 106          | M      |
| 20210423 | METX   | 0                   | 0            | 100          | M      |
| 20210423 | MFC    | 0                   | 909          | 1895         | M      |
| 20210423 | MFNC   | 0                   | 21270        | 53625        | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210423 | MGA    | 829                 | 13938        | 21626        | M      |
| 20210423 | MGI    | 0                   | 37           | 37           | M      |
| 20210423 | MGM    | 0                   | 0            | 9259         | M      |
| 20210423 | MGNI   | 0                   | 0            | 386          | M      |
| 20210423 | MGNX   | 0                   | 346          | 446          | M      |
| 20210423 | MHK    | 0                   | 100          | 210          | M      |
| 20210423 | MIDD   | 0                   | 178          | 262          | M      |
| 20210423 | MILE   | 0                   | 238          | 238          | M      |
| 20210423 | MIME   | 0                   | 0            | 4            | M      |
| 20210423 | MITK   | 0                   | 21           | 21           | M      |
| 20210423 | MKC    | 0                   | 300          | 300          | M      |
| 20210423 | MKGI   | 0                   | 81           | 81           | M      |
| 20210423 | MKL    | 0                   | 40           | 65           | M      |
| 20210423 | MKSI   | 0                   | 190          | 1110         | M      |
| 20210423 | MKTX   | 0                   | 154          | 250          | M      |
| 20210423 | MLAB   | 0                   | 213          | 214          | M      |
| 20210423 | MLCO   | 0                   | 0            | 3            | M      |
| 20210423 | MLHR   | 0                   | 109          | 109          | M      |
| 20210423 | MLM    | 0                   | 255          | 255          | M      |
| 20210423 | MMAC   | 0                   | 50           | 50           | M      |
| 20210423 | MMC    | 0                   | 6079         | 10007        | M      |
| 20210423 | MMM    | 0                   | 209          | 289          | M      |
| 20210423 | MMP    | 0                   | 24           | 124          | M      |
| 20210423 | MMS    | 0                   | 200          | 400          | M      |
| 20210423 | MMSI   | 0                   | 154          | 154          | M      |
| 20210423 | MMYT   | 0                   | 0            | 310          | M      |
| 20210423 | MNRO   | 0                   | 6            | 6            | M      |
| 20210423 | MNST   | 0                   | 60           | 148          | M      |
| 20210423 | MO     | 0                   | 90           | 90           | M      |
| 20210423 | MOD    | 0                   | 100          | 100          | M      |
| 20210423 | MODV   | 0                   | 22           | 47           | M      |
| 20210423 | MOGO   | 0                   | 0            | 193          | M      |
| 20210423 | MOH    | 0                   | 0            | 256          | M      |
| 20210423 | MORF   | 0                   | 49           | 200          | M      |
| 20210423 | MOS    | 0                   | 100          | 200          | M      |
| 20210423 | MOSY   | 0                   | 1250         | 1250         | M      |
| 20210423 | MOXC   | 0                   | 300          | 300          | M      |
| 20210423 | MP     | 0                   | 200          | 500          | M      |
| 20210423 | MPW    | 0                   | 420          | 800          | M      |
| 20210423 | MPWR   | 0                   | 124          | 124          | M      |
| 20210423 | MRCY   | 0                   | 168          | 213          | M      |
| 20210423 | MRK    | 0                   | 2579         | 4785         | M      |
| 20210423 | MRNA   | 0                   | 350          | 1049         | M      |
| 20210423 | MRO    | 0                   | 18           | 31           | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210423 | MRSN   | 0                   | 245          | 545          | M      |
| 20210423 | MRTX   | 0                   | 211          | 224          | M      |
| 20210423 | MRUS   | 0                   | 0            | 600          | M      |
| 20210423 | MRVI   | 0                   | 310          | 517          | M      |
| 20210423 | MRVL   | 0                   | 4            | 59           | M      |
| 20210423 | MS     | 0                   | 102          | 4302         | M      |
| 20210423 | MSA    | 0                   | 74           | 74           | M      |
| 20210423 | MSCI   | 0                   | 534          | 634          | M      |
| 20210423 | MSEX   | 0                   | 0            | 90           | M      |
| 20210423 | MSFT   | 0                   | 1212         | 24330        | M      |
| 20210423 | MSGE   | 0                   | 414          | 600          | M      |
| 20210423 | MSGN   | 0                   | 100          | 100          | M      |
| 20210423 | MSGS   | 0                   | 115          | 115          | M      |
| 20210423 | MSI    | 0                   | 275          | 275          | M      |
| 20210423 | MSOS   | 0                   | 0            | 7500         | M      |
| 20210423 | MSP    | 0                   | 302          | 302          | M      |
| 20210423 | MSTR   | 0                   | 3095         | 3713         | M      |
| 20210423 | MTB    | 0                   | 89           | 122          | M      |
| 20210423 | MTCH   | 0                   | 436          | 28980        | M      |
| 20210423 | MTD    | 0                   | 617          | 760          | M      |
| 20210423 | MTEM   | 0                   | 30           | 30           | M      |
| 20210423 | MTH    | 0                   | 100          | 100          | M      |
| 20210423 | MTN    | 0                   | 603          | 619          | M      |
| 20210423 | MTSI   | 0                   | 0            | 100          | M      |
| 20210423 | MTW    | 0                   | 324          | 324          | M      |
| 20210423 | MTZ    | 0                   | 185          | 285          | M      |
| 20210423 | MU     | 0                   | 401          | 30301        | M      |
| 20210423 | MUDS   | 0                   | 0            | 259          | M      |
| 20210423 | MUSA   | 0                   | 0            | 130          | M      |
| 20210423 | MVIS   | 0                   | 771          | 90621        | M      |
| 20210423 | MWK    | 0                   | 111          | 2110         | M      |
| 20210423 | MXL    | 0                   | 99           | 99           | M      |
| 20210423 | MYMD   | 0                   | 165          | 165          | M      |
| 20210423 | MYOV   | 0                   | 0            | 100          | M      |
| 20210423 | MYRG   | 0                   | 0            | 54           | M      |
| 20210423 | MYTE   | 0                   | 424          | 424          | M      |
| 20210423 | NAKD   | 0                   | 1800         | 1800         | M      |
| 20210423 | NAOV   | 0                   | 100          | 100          | M      |
| 20210423 | NAPA   | 0                   | 0            | 100          | M      |
| 20210423 | NARI   | 0                   | 10           | 99           | M      |
| 20210423 | NATH   | 0                   | 85           | 185          | M      |
| 20210423 | NATI   | 0                   | 100          | 184          | M      |
| 20210423 | NBIX   | 0                   | 300          | 334          | M      |
| 20210423 | NBN    | 0                   | 100          | 100          | M      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210423 | NBR    | 0                   | 23           | 23           | M      |
| 20210423 | NCLH   | 0                   | 8            | 8            | M      |
| 20210423 | NCNO   | 0                   | 96           | 767          | M      |
| 20210423 | NCR    | 0                   | 80           | 480          | M      |
| 20210423 | NCTY   | 0                   | 1063         | 1170         | M      |
| 20210423 | NDAQ   | 0                   | 141          | 141          | M      |
| 20210423 | NDLS   | 0                   | 100          | 100          | M      |
| 20210423 | NEE    | 0                   | 4711         | 5839         | M      |
| 20210423 | NEM    | 0                   | 100          | 1612         | M      |
| 20210423 | NEO    | 0                   | 20           | 77           | M      |
| 20210423 | NEOG   | 0                   | 126          | 126          | M      |
| 20210423 | NEP    | 0                   | 200          | 498          | M      |
| 20210423 | NET    | 0                   | 3278         | 3328         | M      |
| 20210423 | NEWR   | 0                   | 8            | 12           | M      |
| 20210423 | NEXA   | 0                   | 0            | 86           | M      |
| 20210423 | NFE    | 0                   | 0            | 254          | M      |
| 20210423 | NFG    | 0                   | 200          | 200          | M      |
| 20210423 | NFLX   | 0                   | 878          | 59327        | M      |
| 20210423 | NG     | 0                   | 4            | 7            | M      |
| 20210423 | NGM    | 0                   | 122          | 122          | M      |
| 20210423 | NGMS   | 0                   | 160          | 250          | M      |
| 20210423 | NHC    | 0                   | 90           | 90           | M      |
| 20210423 | NIO    | 0                   | 200          | 60542        | M      |
| 20210423 | NIU    | 0                   | 100          | 100          | M      |
| 20210423 | NJR    | 0                   | 115          | 115          | M      |
| 20210423 | NKE    | 0                   | 1            | 1763         | M      |
| 20210423 | NKLA   | 0                   | 780          | 1389         | M      |
| 20210423 | NKSH   | 0                   | 32           | 64           | M      |
| 20210423 | NKTR   | 0                   | 231          | 231          | M      |
| 20210423 | NKTX   | 0                   | 100          | 200          | M      |
| 20210423 | NLOK   | 0                   | 204          | 206          | M      |
| 20210423 | NLS    | 0                   | 100          | 187          | M      |
| 20210423 | NLY    | 0                   | 199          | 217          | M      |
| 20210423 | NM     | 0                   | 0            | 121          | M      |
| 20210423 | NMM    | 0                   | 954          | 1155         | M      |
| 20210423 | NNI    | 0                   | 0            | 100          | M      |
| 20210423 | NNOX   | 0                   | 1613         | 1913         | M      |
| 20210423 | NOC    | 123                 | 204          | 304          | M      |
| 20210423 | NOK    | 0                   | 20           | 3840         | M      |
| 20210423 | NOMD   | 0                   | 0            | 100          | M      |
| 20210423 | NOTV   | 0                   | 0            | 100          | M      |
| 20210423 | NOV    | 0                   | 0            | 10           | M      |
| 20210423 | NOVA   | 0                   | 473          | 516          | M      |
| 20210423 | NOVT   | 0                   | 0            | 96           | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210423 | NOW    | 0                   | 703          | 1073         | M      |
| 20210423 | NPCE   | 0                   | 400          | 1372         | M      |
| 20210423 | NPK    | 0                   | 10           | 10           | M      |
| 20210423 | NPO    | 0                   | 60           | 60           | M      |
| 20210423 | NREF   | 0                   | 0            | 48           | M      |
| 20210423 | NRG    | 0                   | 164          | 517          | M      |
| 20210423 | NRIM   | 0                   | 0            | 44           | M      |
| 20210423 | NRIX   | 0                   | 1683         | 3415         | M      |
| 20210423 | NRZ    | 0                   | 29           | 262          | M      |
| 20210423 | NSA    | 0                   | 181          | 236          | M      |
| 20210423 | NSC    | 0                   | 206          | 302          | M      |
| 20210423 | NSP    | 0                   | 0            | 70           | M      |
| 20210423 | NSSC   | 0                   | 0            | 200          | M      |
| 20210423 | NSTG   | 0                   | 140          | 159          | M      |
| 20210423 | NTAP   | 0                   | 100          | 122          | M      |
| 20210423 | NTB    | 0                   | 0            | 100          | M      |
| 20210423 | NTCT   | 0                   | 99           | 214          | M      |
| 20210423 | NTEC   | 0                   | 104          | 104          | M      |
| 20210423 | NTES   | 0                   | 273          | 499          | M      |
| 20210423 | NTLA   | 0                   | 243          | 243          | M      |
| 20210423 | NTNX   | 0                   | 68           | 168          | M      |
| 20210423 | NTRA   | 0                   | 302          | 1511         | M      |
| 20210423 | NTRS   | 0                   | 100          | 143          | M      |
| 20210423 | NUE    | 0                   | 100          | 965          | M      |
| 20210423 | NUVB   | 0                   | 15           | 15           | M      |
| 20210423 | NVAX   | 0                   | 350          | 4972         | M      |
| 20210423 | NVCR   | 0                   | 651          | 1217         | M      |
| 20210423 | NVDA   | 0                   | 134          | 2487         | M      |
| 20210423 | NVEE   | 0                   | 0            | 237          | M      |
| 20210423 | NVGS   | 0                   | 0            | 100          | M      |
| 20210423 | NVMI   | 0                   | 0            | 186          | M      |
| 20210423 | NVOS   | 0                   | 0            | 200          | M      |
| 20210423 | NVR    | 0                   | 21           | 27           | M      |
| 20210423 | NVRO   | 0                   | 209          | 509          | M      |
| 20210423 | NVST   | 0                   | 192          | 192          | M      |
| 20210423 | NVTA   | 0                   | 326          | 426          | M      |
| 20210423 | NVVE   | 0                   | 500          | 894          | M      |
| 20210423 | NWL    | 0                   | 119          | 137          | M      |
| 20210423 | NWLI   | 0                   | 91           | 126          | M      |
| 20210423 | NXPI   | 0                   | 106          | 301          | M      |
| 20210423 | NXRT   | 0                   | 0            | 82           | M      |
| 20210423 | NXST   | 0                   | 16           | 110          | M      |
| 20210423 | NYCB   | 0                   | 0            | 145          | M      |
| 20210423 | NYMT   | 0                   | 30           | 30           | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210423 | NYT    | 0                   | 99           | 99           | M      |
| 20210423 | OAS    | 0                   | 0            | 91           | M      |
| 20210423 | OBLN   | 0                   | 200          | 200          | M      |
| 20210423 | OBNK   | 0                   | 305          | 305          | M      |
| 20210423 | OCCI   | 0                   | 0            | 1            | M      |
| 20210423 | OCDX   | 0                   | 300          | 673          | M      |
| 20210423 | OCG    | 0                   | 100          | 100          | M      |
| 20210423 | OCGN   | 0                   | 500          | 520          | M      |
| 20210423 | OCUL   | 0                   | 300          | 500          | M      |
| 20210423 | ODFL   | 0                   | 761          | 961          | M      |
| 20210423 | ODP    | 0                   | 5            | 505          | M      |
| 20210423 | ODT    | 0                   | 0            | 100          | M      |
| 20210423 | OEC    | 0                   | 0            | 200          | M      |
| 20210423 | OFG    | 0                   | 97           | 97           | M      |
| 20210423 | OFLX   | 0                   | 100          | 212          | M      |
| 20210423 | OGE    | 0                   | 700          | 900          | M      |
| 20210423 | OGS    | 0                   | 72           | 72           | M      |
| 20210423 | OHI    | 0                   | 300          | 613          | M      |
| 20210423 | OII    | 0                   | 187          | 429          | M      |
| 20210423 | OIIM   | 0                   | 300          | 300          | M      |
| 20210423 | OKTA   | 0                   | 984          | 1364         | M      |
| 20210423 | OLLI   | 0                   | 71           | 71           | M      |
| 20210423 | OLMA   | 0                   | 79           | 289          | M      |
| 20210423 | OLN    | 0                   | 200          | 200          | M      |
| 20210423 | OLO    | 0                   | 194          | 194          | M      |
| 20210423 | OM     | 0                   | 139          | 633          | M      |
| 20210423 | OMAB   | 0                   | 100          | 120          | M      |
| 20210423 | OMC    | 0                   | 133          | 153          | M      |
| 20210423 | OMCL   | 0                   | 100          | 673          | M      |
| 20210423 | OMER   | 0                   | 100          | 100          | M      |
| 20210423 | OMF    | 0                   | 255          | 355          | M      |
| 20210423 | ONB    | 0                   | 85           | 85           | M      |
| 20210423 | ONCT   | 0                   | 0            | 100          | M      |
| 20210423 | ONDS   | 0                   | 200          | 201          | M      |
| 20210423 | ONEM   | 0                   | 0            | 142          | M      |
| 20210423 | ONEW   | 0                   | 46           | 46           | M      |
| 20210423 | ONTO   | 0                   | 0            | 480          | M      |
| 20210423 | OPRT   | 0                   | 0            | 100          | M      |
| 20210423 | ORA    | 0                   | 379          | 480          | M      |
| 20210423 | ORC    | 0                   | 1            | 1            | M      |
| 20210423 | ORCL   | 0                   | 302          | 556          | M      |
| 20210423 | ORGO   | 0                   | 0            | 100          | M      |
| 20210423 | ORIC   | 0                   | 90           | 90           | M      |
| 20210423 | ORLY   | 0                   | 8            | 96           | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210423 | ORMP   | 0                   | 200          | 345          | M      |
| 20210423 | ORTX   | 0                   | 99           | 99           | M      |
| 20210423 | OSCR   | 0                   | 532          | 662          | M      |
| 20210423 | OSIS   | 0                   | 0            | 9            | M      |
| 20210423 | OSK    | 0                   | 265          | 404          | M      |
| 20210423 | OSTK   | 0                   | 504          | 1320         | M      |
| 20210423 | OSUR   | 0                   | 200          | 200          | M      |
| 20210423 | OSW    | 0                   | 0            | 7            | M      |
| 20210423 | OTIC   | 0                   | 0            | 300          | M      |
| 20210423 | OTIS   | 0                   | 31           | 31           | M      |
| 20210423 | OTRK   | 0                   | 100          | 100          | M      |
| 20210423 | OTTR   | 0                   | 0            | 1            | M      |
| 20210423 | OUST   | 0                   | 0            | 64           | M      |
| 20210423 | OUT    | 0                   | 100          | 300          | M      |
| 20210423 | OVLY   | 0                   | 930          | 930          | M      |
| 20210423 | OVV    | 0                   | 0            | 100          | M      |
| 20210423 | OXBR   | 0                   | 0            | 100          | M      |
| 20210423 | OXM    | 0                   | 5            | 377          | M      |
| 20210423 | OXY    | 0                   | 13           | 965          | M      |
| 20210423 | OYST   | 0                   | 64           | 92           | M      |
| 20210423 | OZK    | 0                   | 0            | 99           | M      |
| 20210423 | OZON   | 0                   | 100          | 100          | M      |
| 20210423 | PAA    | 0                   | 20           | 20           | M      |
| 20210423 | PACB   | 0                   | 360          | 360          | M      |
| 20210423 | PAE    | 0                   | 0            | 101          | M      |
| 20210423 | PAG    | 0                   | 0            | 99           | M      |
| 20210423 | PAGS   | 0                   | 199          | 399          | M      |
| 20210423 | PAM    | 0                   | 100          | 197          | M      |
| 20210423 | PANL   | 0                   | 0            | 400          | M      |
| 20210423 | PANW   | 0                   | 240          | 527          | M      |
| 20210423 | PAR    | 0                   | 100          | 199          | M      |
| 20210423 | PARR   | 0                   | 0            | 200          | M      |
| 20210423 | PATK   | 0                   | 0            | 96           | M      |
| 20210423 | PAYC   | 0                   | 115          | 297          | M      |
| 20210423 | PAYX   | 0                   | 119          | 119          | M      |
| 20210423 | PB     | 0                   | 0            | 89           | M      |
| 20210423 | PBF    | 0                   | 100          | 100          | M      |
| 20210423 | PBR    | 0                   | 208          | 521          | M      |
| 20210423 | PBR A  | 0                   | 0            | 7900         | M      |
| 20210423 | PCAR   | 96                  | 140          | 252          | M      |
| 20210423 | PCG    | 0                   | 80           | 203          | M      |
| 20210423 | PCH    | 0                   | 0            | 173          | M      |
| 20210423 | PCRX   | 0                   | 48           | 148          | M      |
| 20210423 | PCT    | 0                   | 1650         | 1840         | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210423 | PCTY   | 0                   | 0            | 54           | M      |
| 20210423 | PCVX   | 0                   | 0            | 300          | M      |
| 20210423 | PD     | 0                   | 233          | 233          | M      |
| 20210423 | PDCE   | 0                   | 100          | 100          | M      |
| 20210423 | PDCO   | 0                   | 11           | 11           | M      |
| 20210423 | PDD    | 0                   | 988          | 104740       | M      |
| 20210423 | PEAK   | 0                   | 100          | 103          | M      |
| 20210423 | PEGA   | 0                   | 148          | 148          | M      |
| 20210423 | PEN    | 0                   | 111          | 111          | M      |
| 20210423 | PENN   | 0                   | 188          | 445          | M      |
| 20210423 | PEP    | 0                   | 529          | 1730         | M      |
| 20210423 | PERI   | 0                   | 150          | 228          | M      |
| 20210423 | PETQ   | 0                   | 194          | 222          | M      |
| 20210423 | PETS   | 0                   | 198          | 198          | M      |
| 20210423 | PFBC   | 0                   | 71           | 105          | M      |
| 20210423 | PFE    | 0                   | 538          | 4520         | M      |
| 20210423 | PFG    | 0                   | 0            | 8100         | M      |
| 20210423 | PFGC   | 0                   | 199          | 578          | M      |
| 20210423 | PFS    | 0                   | 0            | 100          | M      |
| 20210423 | PG     | 0                   | 1325         | 175822       | M      |
| 20210423 | PGEN   | 0                   | 152          | 152          | M      |
| 20210423 | PGM    | 0                   | 10           | 11           | M      |
| 20210423 | PGNY   | 0                   | 724          | 892          | M      |
| 20210423 | PGR    | 0                   | 156          | 240          | M      |
| 20210423 | PH     | 0                   | 100          | 510          | M      |
| 20210423 | PHAT   | 0                   | 11           | 120          | M      |
| 20210423 | PHM    | 73                  | 575          | 1436         | M      |
| 20210423 | PI     | 0                   | 0            | 100          | M      |
| 20210423 | PII    | 0                   | 308          | 308          | M      |
| 20210423 | PINC   | 0                   | 0            | 5            | M      |
| 20210423 | PINS   | 0                   | 842          | 1342         | M      |
| 20210423 | PK     | 0                   | 1971         | 4368         | M      |
| 20210423 | PKI    | 0                   | 100          | 173          | M      |
| 20210423 | PKX    | 0                   | 200          | 200          | M      |
| 20210423 | PLAB   | 0                   | 0            | 100          | M      |
| 20210423 | PLAN   | 0                   | 0            | 1033         | M      |
| 20210423 | PLAY   | 0                   | 881          | 981          | M      |
| 20210423 | PLBY   | 366                 | 10490        | 24209        | M      |
| 20210423 | PLCE   | 0                   | 191          | 191          | M      |
| 20210423 | PLD    | 0                   | 100          | 272          | M      |
| 20210423 | PLL    | 0                   | 224          | 324          | M      |
| 20210423 | PLM    | 0                   | 0            | 99           | M      |
| 20210423 | PLMR   | 0                   | 1500         | 4586         | M      |
| 20210423 | PLNT   | 0                   | 0            | 200          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210423 | PLRX   | 0                   | 112          | 112          | M      |
| 20210423 | PLT    | 0                   | 0            | 100          | M      |
| 20210423 | PLTK   | 0                   | 0            | 3            | M      |
| 20210423 | PLTR   | 0                   | 197          | 450          | M      |
| 20210423 | PLUG   | 0                   | 900          | 1100         | M      |
| 20210423 | PLX    | 0                   | 900          | 1200         | M      |
| 20210423 | PLXS   | 0                   | 100          | 100          | M      |
| 20210423 | PM     | 0                   | 194          | 1144         | M      |
| 20210423 | PMBC   | 0                   | 76           | 176          | M      |
| 20210423 | PMT    | 0                   | 100          | 100          | M      |
| 20210423 | PMVP   | 0                   | 192          | 192          | M      |
| 20210423 | PNC    | 0                   | 114          | 119          | M      |
| 20210423 | PNFP   | 0                   | 0            | 115          | M      |
| 20210423 | PNR    | 0                   | 93           | 193          | M      |
| 20210423 | PNTG   | 0                   | 3            | 29           | M      |
| 20210423 | PODD   | 0                   | 1800         | 2030         | M      |
| 20210423 | POOL   | 0                   | 115          | 1094         | M      |
| 20210423 | POR    | 0                   | 130          | 130          | M      |
| 20210423 | POSH   | 0                   | 401          | 408          | M      |
| 20210423 | POST   | 0                   | 8            | 8            | M      |
| 20210423 | POWL   | 0                   | 100          | 614          | M      |
| 20210423 | POWW   | 0                   | 200          | 200          | M      |
| 20210423 | PPBT   | 0                   | 0            | 100          | M      |
| 20210423 | PPG    | 0                   | 100          | 101          | M      |
| 20210423 | PPL    | 0                   | 0            | 1957         | M      |
| 20210423 | PPSI   | 0                   | 0            | 17           | M      |
| 20210423 | PRAH   | 0                   | 200          | 2598         | M      |
| 20210423 | PRFT   | 0                   | 100          | 100          | M      |
| 20210423 | PRFX   | 0                   | 290          | 1018         | M      |
| 20210423 | PRGO   | 0                   | 0            | 4301         | M      |
| 20210423 | PRGS   | 0                   | 0            | 80           | M      |
| 20210423 | PRI    | 0                   | 115          | 204          | M      |
| 20210423 | PRK    | 0                   | 465          | 565          | M      |
| 20210423 | PRLB   | 0                   | 98           | 194          | M      |
| 20210423 | PRLD   | 0                   | 1            | 1            | M      |
| 20210423 | PRO    | 0                   | 100          | 100          | M      |
| 20210423 | PRPL   | 0                   | 85           | 85           | M      |
| 20210423 | PRQR   | 0                   | 100          | 100          | M      |
| 20210423 | PRSP   | 0                   | 0            | 22           | M      |
| 20210423 | PRTY   | 0                   | 0            | 46250        | M      |
| 20210423 | PRU    | 0                   | 100          | 100          | M      |
| 20210423 | PRVB   | 0                   | 100          | 100          | M      |
| 20210423 | PSA    | 0                   | 220          | 220          | M      |
| 20210423 | PSAC   | 0                   | 0            | 500          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210423 | PSB    | 0                   | 100          | 100          | M      |
| 20210423 | PSFE   | 0                   | 219          | 319          | M      |
| 20210423 | PSMT   | 0                   | 99           | 119          | M      |
| 20210423 | PSN    | 0                   | 220          | 920          | M      |
| 20210423 | PSTH   | 0                   | 445          | 486          | M      |
| 20210423 | PSX    | 0                   | 170          | 170          | M      |
| 20210423 | PSXP   | 0                   | 196          | 196          | M      |
| 20210423 | PTC    | 0                   | 84           | 84           | M      |
| 20210423 | PTCT   | 0                   | 600          | 783          | M      |
| 20210423 | PTGX   | 0                   | 100          | 100          | M      |
| 20210423 | PTON   | 0                   | 0            | 13005        | M      |
| 20210423 | PTVE   | 0                   | 0            | 100          | M      |
| 20210423 | PUBM   | 0                   | 832          | 850          | M      |
| 20210423 | PULM   | 0                   | 0            | 100          | M      |
| 20210423 | PUMP   | 0                   | 100          | 100          | M      |
| 20210423 | PVAC   | 0                   | 100          | 100          | M      |
| 20210423 | PVH    | 0                   | 415          | 440          | M      |
| 20210423 | PWR    | 0                   | 0            | 107          | M      |
| 20210423 | PXD    | 0                   | 152          | 171          | M      |
| 20210423 | PYPL   | 0                   | 511          | 966          | M      |
| 20210423 | PYR    | 0                   | 500          | 500          | M      |
| 20210423 | PZZA   | 0                   | 100          | 100          | M      |
| 20210423 | QADA   | 0                   | 7            | 12           | M      |
| 20210423 | QCOM   | 0                   | 0            | 42704        | M      |
| 20210423 | QDEL   | 0                   | 400          | 500          | M      |
| 20210423 | QFIN   | 0                   | 100          | 132          | M      |
| 20210423 | QGEN   | 0                   | 100          | 100          | M      |
| 20210423 | QLGN   | 0                   | 100          | 100          | M      |
| 20210423 | QQQ    | 0                   | 3376         | 2433411      | M      |
| 20210423 | QRHC   | 0                   | 0            | 100          | M      |
| 20210423 | QRTEA  | 100                 | 100          | 15998        | M      |
| 20210423 | QRVO   | 0                   | 300          | 400          | M      |
| 20210423 | QS     | 0                   | 9027         | 9851         | M      |
| 20210423 | QTRX   | 0                   | 0            | 200          | M      |
| 20210423 | QTS    | 0                   | 101          | 201          | M      |
| 20210423 | QTWO   | 0                   | 82           | 157          | M      |
| 20210423 | QUAD   | 0                   | 95           | 195          | M      |
| 20210423 | QURE   | 0                   | 129          | 129          | M      |
| 20210423 | R      | 0                   | 110          | 110          | M      |
| 20210423 | RAAC   | 0                   | 300          | 300          | M      |
| 20210423 | RACE   | 0                   | 201          | 216          | M      |
| 20210423 | RAD    | 0                   | 1150         | 1250         | M      |
| 20210423 | RADI   | 0                   | 40           | 40           | M      |
| 20210423 | RAIL   | 0                   | 447          | 547          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210423 | RARE   | 0                   | 704          | 811          | M      |
| 20210423 | RAVN   | 0                   | 104          | 301          | M      |
| 20210423 | RBLX   | 0                   | 180          | 542          | M      |
| 20210423 | RCI    | 0                   | 1204         | 1660         | M      |
| 20210423 | RCII   | 0                   | 0            | 100          | M      |
| 20210423 | RCKT   | 0                   | 29           | 229          | M      |
| 20210423 | RCON   | 0                   | 300          | 1400         | M      |
| 20210423 | RCUS   | 0                   | 100          | 100          | M      |
| 20210423 | RDFN   | 0                   | 278          | 278          | M      |
| 20210423 | RDIB   | 0                   | 0            | 5            | M      |
| 20210423 | RDS A  | 0                   | 4110         | 5914         | M      |
| 20210423 | RDS B  | 0                   | 430          | 1110         | M      |
| 20210423 | RDVT   | 0                   | 83           | 83           | M      |
| 20210423 | RDY    | 0                   | 88           | 88           | M      |
| 20210423 | RE     | 0                   | 125          | 295          | M      |
| 20210423 | REAL   | 0                   | 194          | 294          | M      |
| 20210423 | REED   | 0                   | 0            | 100          | M      |
| 20210423 | REG    | 0                   | 100          | 100          | M      |
| 20210423 | REGI   | 0                   | 100          | 461          | M      |
| 20210423 | REGN   | 0                   | 2            | 419          | M      |
| 20210423 | REKR   | 0                   | 100          | 287          | M      |
| 20210423 | RELI   | 0                   | 0            | 100          | M      |
| 20210423 | REPL   | 0                   | 277          | 354          | M      |
| 20210423 | RETA   | 0                   | 473          | 659          | M      |
| 20210423 | REV    | 0                   | 80           | 80           | M      |
| 20210423 | REVG   | 0                   | 0            | 100          | M      |
| 20210423 | REX    | 0                   | 5            | 5            | M      |
| 20210423 | REXR   | 0                   | 0            | 200          | M      |
| 20210423 | REYN   | 0                   | 0            | 100          | M      |
| 20210423 | RF     | 0                   | 1602         | 1604         | M      |
| 20210423 | RFL    | 0                   | 2            | 82           | M      |
| 20210423 | RFP    | 0                   | 0            | 200          | M      |
| 20210423 | RGA    | 0                   | 0            | 109          | M      |
| 20210423 | RGEN   | 0                   | 103          | 224          | M      |
| 20210423 | RGNX   | 0                   | 0            | 400          | M      |
| 20210423 | RGS    | 0                   | 33           | 33           | M      |
| 20210423 | RH     | 0                   | 205          | 13148        | M      |
| 20210423 | RHI    | 0                   | 300          | 512          | M      |
| 20210423 | RHP    | 0                   | 0            | 128          | M      |
| 20210423 | RICE   | 0                   | 603          | 603          | M      |
| 20210423 | RICK   | 0                   | 875          | 975          | M      |
| 20210423 | RIDE   | 0                   | 50           | 250          | M      |
| 20210423 | RIG    | 0                   | 95           | 102          | M      |
| 20210423 | RILY   | 0                   | 200          | 293          | M      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210423 | RIOT   | 3218                | 7272         | 37675        | M      |
| 20210423 | RJA    | 0                   | 0            | 1982         | M      |
| 20210423 | RJF    | 0                   | 0            | 619          | M      |
| 20210423 | RJI    | 0                   | 1200         | 1200         | M      |
| 20210423 | RKT    | 0                   | 6            | 7            | M      |
| 20210423 | RL     | 0                   | 118          | 773          | M      |
| 20210423 | RLAY   | 0                   | 1            | 3            | M      |
| 20210423 | RLJ    | 0                   | 100          | 100          | M      |
| 20210423 | RLX    | 0                   | 100          | 100          | M      |
| 20210423 | RM     | 0                   | 0            | 200          | M      |
| 20210423 | RMAX   | 0                   | 30           | 30           | M      |
| 20210423 | RMBL   | 0                   | 0            | 1            | M      |
| 20210423 | RMD    | 0                   | 181          | 278          | M      |
| 20210423 | RMO    | 0                   | 100          | 199          | M      |
| 20210423 | RNA    | 0                   | 0            | 100          | M      |
| 20210423 | RNG    | 0                   | 261          | 4399         | M      |
| 20210423 | RNLX   | 0                   | 783          | 1590         | M      |
| 20210423 | RNR    | 0                   | 51           | 112          | M      |
| 20210423 | ROAD   | 0                   | 140          | 140          | M      |
| 20210423 | ROG    | 0                   | 60           | 60           | M      |
| 20210423 | ROIC   | 0                   | 3            | 3            | M      |
| 20210423 | ROK    | 0                   | 200          | 302          | M      |
| 20210423 | ROKU   | 0                   | 228          | 766          | M      |
| 20210423 | ROL    | 0                   | 500          | 550          | M      |
| 20210423 | ROLL   | 0                   | 20           | 20           | M      |
| 20210423 | ROOT   | 0                   | 701          | 851          | M      |
| 20210423 | ROP    | 0                   | 51           | 174          | M      |
| 20210423 | RPD    | 0                   | 100          | 100          | M      |
| 20210423 | RPM    | 0                   | 0            | 20           | M      |
| 20210423 | RPRX   | 0                   | 282          | 282          | M      |
| 20210423 | RPTX   | 0                   | 171          | 171          | M      |
| 20210423 | RQI    | 0                   | 0            | 100          | M      |
| 20210423 | RRR    | 0                   | 0            | 100          | M      |
| 20210423 | RS     | 0                   | 41           | 41           | M      |
| 20210423 | RSG    | 0                   | 200          | 356          | M      |
| 20210423 | RSI    | 0                   | 50           | 50           | M      |
| 20210423 | RUBY   | 0                   | 0            | 182          | M      |
| 20210423 | RUN    | 0                   | 506          | 640          | M      |
| 20210423 | RUSHA  | 0                   | 0            | 100          | M      |
| 20210423 | RVLV   | 0                   | 166          | 166          | M      |
| 20210423 | RVMD   | 0                   | 100          | 181          | M      |
| 20210423 | RVNC   | 0                   | 345          | 392          | M      |
| 20210423 | RXDX   | 0                   | 0            | 100          | M      |
| 20210423 | RXN    | 0                   | 5            | 105          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210423 | RY     | 0                   | 9            | 11           | M      |
| 20210423 | RYI    | 0                   | 50           | 150          | M      |
| 20210423 | RYTM   | 0                   | 100          | 100          | M      |
| 20210423 | SABR   | 0                   | 46           | 341063       | M      |
| 20210423 | SAFE   | 0                   | 99           | 299          | M      |
| 20210423 | SAFM   | 0                   | 0            | 225          | M      |
| 20210423 | SAGE   | 0                   | 455          | 553          | M      |
| 20210423 | SAIA   | 0                   | 100          | 103          | M      |
| 20210423 | SAIC   | 0                   | 0            | 59           | M      |
| 20210423 | SAIL   | 0                   | 0            | 348          | M      |
| 20210423 | SAM    | 0                   | 961          | 1120         | M      |
| 20210423 | SANA   | 0                   | 82           | 82           | M      |
| 20210423 | SANM   | 0                   | 0            | 176          | M      |
| 20210423 | SANW   | 0                   | 0            | 26           | M      |
| 20210423 | SASR   | 0                   | 0            | 126          | M      |
| 20210423 | SATS   | 0                   | 0            | 100          | M      |
| 20210423 | SAVA   | 0                   | 411          | 1111         | M      |
| 20210423 | SAVE   | 0                   | 739          | 739          | M      |
| 20210423 | SBAC   | 0                   | 106          | 219          | M      |
| 20210423 | SBCF   | 0                   | 0            | 92           | M      |
| 20210423 | SBG    | 0                   | 82           | 82           | M      |
| 20210423 | SBLK   | 61                  | 61           | 274          | M      |
| 20210423 | SBNY   | 0                   | 264          | 452          | M      |
| 20210423 | SBSW   | 0                   | 0            | 129          | M      |
| 20210423 | SBTX   | 0                   | 56           | 57           | M      |
| 20210423 | SBUX   | 0                   | 1455         | 2200         | M      |
| 20210423 | SC     | 0                   | 100          | 100          | M      |
| 20210423 | SCHC   | 0                   | 1            | 1            | M      |
| 20210423 | SCHL   | 0                   | 273          | 273          | M      |
| 20210423 | SCHW   | 0                   | 0            | 5            | M      |
| 20210423 | SCI    | 0                   | 0            | 100          | M      |
| 20210423 | SCL    | 0                   | 0            | 4            | M      |
| 20210423 | SCPH   | 0                   | 0            | 300          | M      |
| 20210423 | SCPL   | 0                   | 0            | 100          | M      |
| 20210423 | SCU    | 0                   | 100          | 100          | M      |
| 20210423 | SCVL   | 0                   | 100          | 397          | M      |
| 20210423 | SCZ    | 0                   | 425          | 425          | M      |
| 20210423 | SD     | 0                   | 99           | 99           | M      |
| 20210423 | SDGR   | 0                   | 513          | 715          | M      |
| 20210423 | SDPI   | 0                   | 0            | 100          | M      |
| 20210423 | SE     | 0                   | 1405         | 2233         | M      |
| 20210423 | SEAH   | 0                   | 75           | 75           | M      |
| 20210423 | SEAS   | 0                   | 48           | 94           | M      |
| 20210423 | SEDG   | 0                   | 1164         | 1348         | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210423 | SEE    | 0                   | 102          | 202          | M      |
| 20210423 | SEEL   | 0                   | 100          | 200          | M      |
| 20210423 | SEER   | 0                   | 1177         | 1763         | M      |
| 20210423 | SENEA  | 0                   | 0            | 40           | M      |
| 20210423 | SF     | 0                   | 99           | 99           | M      |
| 20210423 | SFIX   | 0                   | 300          | 740          | M      |
| 20210423 | SGA    | 0                   | 104          | 228          | M      |
| 20210423 | SGEN   | 0                   | 0            | 134          | M      |
| 20210423 | SGFY   | 0                   | 190          | 190          | M      |
| 20210423 | SGH    | 0                   | 0            | 309          | M      |
| 20210423 | SGLB   | 0                   | 100          | 100          | M      |
| 20210423 | SGMS   | 0                   | 563          | 602          | M      |
| 20210423 | SGRY   | 0                   | 0            | 100          | M      |
| 20210423 | SGTX   | 0                   | 1            | 6            | M      |
| 20210423 | SH     | 0                   | 0            | 200          | M      |
| 20210423 | SHAK   | 0                   | 100          | 200          | M      |
| 20210423 | SHLS   | 0                   | 159          | 159          | M      |
| 20210423 | SHO    | 0                   | 0            | 10           | M      |
| 20210423 | SHOO   | 0                   | 8            | 8            | M      |
| 20210423 | SHOP   | 100                 | 976          | 10323        | M      |
| 20210423 | SHW    | 0                   | 271          | 579          | M      |
| 20210423 | SHYF   | 0                   | 101          | 101          | M      |
| 20210423 | SI     | 285                 | 6697         | 11831        | M      |
| 20210423 | SIBN   | 0                   | 88           | 188          | M      |
| 20210423 | SIFY   | 0                   | 84           | 84           | M      |
| 20210423 | SIG    | 0                   | 123          | 123          | M      |
| 20210423 | SIGA   | 0                   | 200          | 200          | M      |
| 20210423 | SIGI   | 0                   | 0            | 27           | M      |
| 20210423 | SINO   | 0                   | 200          | 200          | M      |
| 20210423 | SIVB   | 0                   | 25           | 125          | M      |
| 20210423 | SJI    | 0                   | 94           | 94           | M      |
| 20210423 | SJM    | 0                   | 100          | 271          | M      |
| 20210423 | SKLZ   | 0                   | 2412         | 2876         | M      |
| 20210423 | SKT    | 0                   | 100          | 103          | M      |
| 20210423 | SKX    | 0                   | 100          | 270          | M      |
| 20210423 | SKY    | 0                   | 322          | 822          | M      |
| 20210423 | SKYT   | 0                   | 1467         | 2267         | M      |
| 20210423 | SLAB   | 0                   | 157          | 295          | M      |
| 20210423 | SLB    | 0                   | 0            | 23404        | M      |
| 20210423 | SLF    | 0                   | 150          | 635          | M      |
| 20210423 | SLG    | 0                   | 904          | 1180         | M      |
| 20210423 | SLGG   | 0                   | 100          | 100          | M      |
| 20210423 | SLGL   | 0                   | 0            | 200          | M      |
| 20210423 | SLM    | 0                   | 8100         | 14418        | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210423 | SLP    | 0                   | 133          | 290          | M      |
| 20210423 | SLQT   | 0                   | 627          | 627          | M      |
| 20210423 | SLS    | 0                   | 0            | 200          | M      |
| 20210423 | SLV    | 0                   | 120          | 1251419      | M      |
| 20210423 | SM     | 0                   | 600          | 700          | M      |
| 20210423 | SMBC   | 0                   | 50           | 50           | M      |
| 20210423 | SMCI   | 0                   | 0            | 200          | M      |
| 20210423 | SMG    | 0                   | 0            | 300          | M      |
| 20210423 | SMH    | 0                   | 0            | 23250        | M      |
| 20210423 | SMSI   | 0                   | 0            | 86           | M      |
| 20210423 | SMTC   | 0                   | 25           | 125          | M      |
| 20210423 | SNA    | 0                   | 140          | 142          | M      |
| 20210423 | SNAP   | 0                   | 2162         | 37627        | M      |
| 20210423 | SNBR   | 0                   | 100          | 254          | M      |
| 20210423 | SNCY   | 0                   | 1310         | 2509         | M      |
| 20210423 | SNDR   | 0                   | 47           | 47           | M      |
| 20210423 | SNDX   | 0                   | 830          | 900          | M      |
| 20210423 | SNEX   | 0                   | 50           | 50           | M      |
| 20210423 | SNN    | 0                   | 100          | 100          | M      |
| 20210423 | SNOW   | 0                   | 269          | 5067         | M      |
| 20210423 | SNPS   | 0                   | 434          | 490          | M      |
| 20210423 | SNV    | 0                   | 385          | 385          | M      |
| 20210423 | SO     | 0                   | 3328         | 6952         | M      |
| 20210423 | SOGO   | 0                   | 0            | 100          | M      |
| 20210423 | SOHU   | 0                   | 0            | 200          | M      |
| 20210423 | SOL    | 0                   | 266          | 308          | M      |
| 20210423 | SON    | 0                   | 723          | 823          | M      |
| 20210423 | SONN   | 0                   | 61           | 61           | M      |
| 20210423 | SONO   | 0                   | 14           | 14           | M      |
| 20210423 | SONY   | 0                   | 380          | 493          | M      |
| 20210423 | SPB    | 0                   | 300          | 300          | M      |
| 20210423 | SPCE   | 0                   | 100          | 323          | M      |
| 20210423 | SPDN   | 0                   | 100          | 100          | M      |
| 20210423 | SPDW   | 0                   | 0            | 100          | M      |
| 20210423 | SPG    | 0                   | 355          | 1539         | M      |
| 20210423 | SPGI   | 0                   | 142          | 158          | M      |
| 20210423 | SPKB   | 0                   | 0            | 24           | M      |
| 20210423 | SPLK   | 0                   | 178          | 1458         | M      |
| 20210423 | SPMB   | 0                   | 100          | 100          | M      |
| 20210423 | SPNE   | 0                   | 84           | 84           | M      |
| 20210423 | SPOT   | 0                   | 1952         | 4601         | M      |
| 20210423 | SPR    | 0                   | 0            | 200          | M      |
| 20210423 | SPRB   | 0                   | 200          | 300          | M      |
| 20210423 | SPRO   | 0                   | 10           | 10           | M      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20210423 | SPRT    | 0                   | 0            | 199          | M      |
| 20210423 | SPSC    | 0                   | 226          | 265          | M      |
| 20210423 | SPT     | 0                   | 330          | 432          | M      |
| 20210423 | SPWR    | 0                   | 1871         | 1871         | M      |
| 20210423 | SPY     | 0                   | 1265         | 2304288      | M      |
| 20210423 | SQ      | 0                   | 861          | 57345        | M      |
| 20210423 | SQQQ    | 0                   | 184          | 296          | M      |
| 20210423 | SRAC    | 0                   | 500          | 500          | M      |
| 20210423 | SRC     | 0                   | 0            | 106          | M      |
| 20210423 | SRCL    | 0                   | 84           | 196          | M      |
| 20210423 | SRDX    | 0                   | 111          | 164          | M      |
| 20210423 | SRI     | 0                   | 80           | 80           | M      |
| 20210423 | SRPT    | 0                   | 60           | 160          | M      |
| 20210423 | SRRK    | 0                   | 214          | 314          | M      |
| 20210423 | SSB     | 0                   | 12           | 12           | M      |
| 20210423 | SSNT    | 0                   | 100          | 100          | M      |
| 20210423 | SSPK    | 0                   | 50           | 50           | M      |
| 20210423 | SSRM    | 0                   | 6            | 25           | M      |
| 20210423 | SSTK    | 0                   | 82           | 82           | M      |
| 20210423 | SSYS    | 0                   | 128          | 328          | M      |
| 20210423 | ST      | 0                   | 100          | 100          | M      |
| 20210423 | STAA    | 0                   | 104          | 271          | M      |
| 20210423 | STAG    | 0                   | 0            | 99           | M      |
| 20210423 | STAY    | 0                   | 25           | 37           | M      |
| 20210423 | STBA    | 0                   | 0            | 83           | M      |
| 20210423 | STE     | 0                   | 202          | 202          | M      |
| 20210423 | STEP    | 0                   | 100          | 2400         | M      |
| 20210423 | STIM    | 0                   | 100          | 100          | M      |
| 20210423 | STKS    | 0                   | 0            | 97           | M      |
| 20210423 | STLA    | 0                   | 0            | 100          | M      |
| 20210423 | STMP    | 0                   | 0            | 120          | M      |
| 20210423 | STNE    | 0                   | 50           | 189          | M      |
| 20210423 | STOK    | 0                   | 300          | 311          | M      |
| 20210423 | STRA    | 0                   | 5            | 5            | M      |
| 20210423 | STRL    | 0                   | 0            | 300          | M      |
| 20210423 | STRM    | 0                   | 100          | 200          | M      |
| 20210423 | STRS    | 0                   | 0            | 74           | M      |
| 20210423 | STRT    | 0                   | 0            | 100          | M      |
| 20210423 | STT     | 0                   | 100          | 200          | M      |
| 20210423 | STT PRD | 0                   | 0            | 20           | M      |
| 20210423 | STX     | 0                   | 200          | 20450        | M      |
| 20210423 | STXS    | 0                   | 3            | 3            | M      |
| 20210423 | STZ     | 0                   | 246          | 1846         | M      |
| 20210423 | SU      | 0                   | 2735         | 3412         | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210423 | SUI    | 0                   | 94           | 94           | M      |
| 20210423 | SUM    | 0                   | 2            | 100002       | M      |
| 20210423 | SUMO   | 0                   | 300          | 300          | M      |
| 20210423 | SUNW   | 0                   | 180          | 370          | M      |
| 20210423 | SUPN   | 0                   | 10           | 108          | M      |
| 20210423 | SURF   | 0                   | 94           | 139          | M      |
| 20210423 | SVAC   | 0                   | 0            | 1130         | M      |
| 20210423 | SVC    | 0                   | 49           | 149          | M      |
| 20210423 | SWAV   | 0                   | 607          | 2563         | M      |
| 20210423 | SWBI   | 0                   | 138          | 141          | M      |
| 20210423 | SWI    | 0                   | 100          | 100          | M      |
| 20210423 | SWIM   | 0                   | 0            | 300          | M      |
| 20210423 | SWK    | 0                   | 100          | 100          | M      |
| 20210423 | SWKS   | 0                   | 351          | 551          | M      |
| 20210423 | SWM    | 0                   | 0            | 126          | M      |
| 20210423 | SWN    | 0                   | 3000         | 4600         | M      |
| 20210423 | SWTX   | 0                   | 66           | 66           | M      |
| 20210423 | SWX    | 0                   | 87           | 87           | M      |
| 20210423 | SXI    | 0                   | 143          | 143          | M      |
| 20210423 | SXT    | 0                   | 39           | 139          | M      |
| 20210423 | SXTC   | 0                   | 100          | 100          | M      |
| 20210423 | SYBT   | 0                   | 10           | 10           | M      |
| 20210423 | SYF    | 0                   | 120          | 7280         | M      |
| 20210423 | SYK    | 0                   | 159          | 526          | M      |
| 20210423 | SYKE   | 0                   | 0            | 188          | M      |
| 20210423 | SYNA   | 0                   | 100          | 200          | M      |
| 20210423 | SYNH   | 0                   | 316          | 862          | M      |
| 20210423 | SYRS   | 0                   | 215          | 215          | M      |
| 20210423 | SYT    | 0                   | 300          | 1788         | M      |
| 20210423 | T      | 0                   | 2626         | 526886       | M      |
| 20210423 | TA     | 0                   | 0            | 97           | M      |
| 20210423 | TAL    | 0                   | 300          | 17500        | M      |
| 20210423 | TAN    | 0                   | 0            | 145200       | M      |
| 20210423 | TAP    | 0                   | 3            | 103          | M      |
| 20210423 | TARA   | 0                   | 270          | 370          | M      |
| 20210423 | TARO   | 0                   | 262          | 485          | M      |
| 20210423 | TARS   | 0                   | 907          | 1007         | M      |
| 20210423 | TAST   | 0                   | 99           | 198          | M      |
| 20210423 | TBBK   | 0                   | 135          | 234          | M      |
| 20210423 | TBIO   | 0                   | 488          | 688          | M      |
| 20210423 | TBK    | 0                   | 62           | 62           | M      |
| 20210423 | TBPH   | 0                   | 100          | 100          | M      |
| 20210423 | TCBI   | 0                   | 0            | 204          | M      |
| 20210423 | TCRR   | 0                   | 0            | 39           | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210423 | TCS    | 0                   | 200          | 200          | M      |
| 20210423 | TCX    | 0                   | 21           | 89           | M      |
| 20210423 | TDC    | 0                   | 198          | 398          | M      |
| 20210423 | TDG    | 100                 | 532          | 781          | M      |
| 20210423 | TDOC   | 0                   | 299          | 454          | M      |
| 20210423 | TDW    | 0                   | 100          | 100          | M      |
| 20210423 | TDY    | 0                   | 200          | 200          | M      |
| 20210423 | TEAM   | 110                 | 273          | 681          | M      |
| 20210423 | TECH   | 0                   | 0            | 100          | M      |
| 20210423 | TECK   | 0                   | 36           | 115          | M      |
| 20210423 | TEL    | 0                   | 0            | 80           | M      |
| 20210423 | TELL   | 0                   | 1            | 1            | M      |
| 20210423 | TENB   | 0                   | 100          | 388          | M      |
| 20210423 | TENX   | 0                   | 0            | 100          | M      |
| 20210423 | TER    | 0                   | 49           | 332          | M      |
| 20210423 | TEVA   | 0                   | 1649         | 3035         | M      |
| 20210423 | TFC    | 0                   | 100          | 100          | M      |
| 20210423 | TFII   | 0                   | 0            | 100          | M      |
| 20210423 | TFX    | 0                   | 0            | 130          | M      |
| 20210423 | TGH    | 0                   | 0            | 100          | M      |
| 20210423 | TGNA   | 0                   | 100          | 627          | M      |
| 20210423 | TGT    | 0                   | 593          | 171911       | M      |
| 20210423 | TGTX   | 0                   | 496          | 584          | M      |
| 20210423 | TH     | 0                   | 200          | 200          | M      |
| 20210423 | THC    | 0                   | 503          | 915          | M      |
| 20210423 | THO    | 0                   | 164          | 164          | M      |
| 20210423 | THRM   | 0                   | 0            | 9            | M      |
| 20210423 | THRY   | 0                   | 124          | 124          | M      |
| 20210423 | THS    | 0                   | 0            | 78           | M      |
| 20210423 | TIGR   | 0                   | 2001         | 2614         | M      |
| 20210423 | TIPT   | 0                   | 100          | 100          | M      |
| 20210423 | TIXT   | 0                   | 100          | 100          | M      |
| 20210423 | TJX    | 0                   | 31           | 52           | M      |
| 20210423 | TKAT   | 0                   | 917          | 1423         | M      |
| 20210423 | TLIS   | 0                   | 90           | 90           | M      |
| 20210423 | TLS    | 0                   | 719          | 719          | M      |
| 20210423 | TLSA   | 0                   | 99           | 99           | M      |
| 20210423 | TLT    | 0                   | 0            | 164          | M      |
| 20210423 | TM     | 0                   | 0            | 12892        | M      |
| 20210423 | TMDX   | 0                   | 1            | 12           | M      |
| 20210423 | TME    | 0                   | 0            | 1            | M      |
| 20210423 | TMHC   | 0                   | 100          | 100          | M      |
| 20210423 | TMO    | 0                   | 1378         | 3351         | M      |
| 20210423 | TMP    | 0                   | 115          | 200          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210423 | TMUS   | 0                   | 498          | 511          | M      |
| 20210423 | TNDM   | 0                   | 642          | 1685         | M      |
| 20210423 | TNK    | 0                   | 0            | 100          | M      |
| 20210423 | TNL    | 0                   | 40           | 40           | M      |
| 20210423 | TNP    | 0                   | 128          | 128          | M      |
| 20210423 | TOL    | 0                   | 215          | 13426        | M      |
| 20210423 | TOMZ   | 0                   | 0            | 1            | M      |
| 20210423 | TPC    | 0                   | 0            | 52           | M      |
| 20210423 | TPIC   | 0                   | 88           | 188          | M      |
| 20210423 | TPL    | 0                   | 109          | 159          | M      |
| 20210423 | TPR    | 0                   | 584          | 1131         | M      |
| 20210423 | TPTX   | 0                   | 170          | 170          | M      |
| 20210423 | TPX    | 0                   | 0            | 8            | M      |
| 20210423 | TRC    | 0                   | 0            | 100          | M      |
| 20210423 | TREE   | 0                   | 472          | 1038         | M      |
| 20210423 | TREX   | 0                   | 452          | 460          | M      |
| 20210423 | TRHC   | 0                   | 20           | 20           | M      |
| 20210423 | TRIL   | 0                   | 0            | 300          | M      |
| 20210423 | TRIP   | 0                   | 0            | 200          | M      |
| 20210423 | TRIT   | 0                   | 140          | 140          | M      |
| 20210423 | TRMB   | 0                   | 188          | 339          | M      |
| 20210423 | TRN    | 0                   | 97           | 97           | M      |
| 20210423 | TRNO   | 0                   | 100          | 100          | M      |
| 20210423 | TROW   | 0                   | 113          | 113          | M      |
| 20210423 | TROX   | 0                   | 100          | 100          | M      |
| 20210423 | TRQ    | 0                   | 100          | 200          | M      |
| 20210423 | TRU    | 0                   | 0            | 200          | M      |
| 20210423 | TRUP   | 0                   | 293          | 326          | M      |
| 20210423 | TRV    | 0                   | 0            | 200          | M      |
| 20210423 | TSCO   | 0                   | 666          | 809          | M      |
| 20210423 | TSE    | 0                   | 529          | 529          | M      |
| 20210423 | TSEM   | 0                   | 100          | 448          | M      |
| 20210423 | TSLA   | 0                   | 324          | 31417        | M      |
| 20210423 | TSLX   | 0                   | 80           | 80           | M      |
| 20210423 | TSM    | 0                   | 1            | 3            | M      |
| 20210423 | TSN    | 0                   | 115          | 215          | M      |
| 20210423 | TSP    | 0                   | 403          | 754          | M      |
| 20210423 | TT     | 0                   | 300          | 600          | M      |
| 20210423 | TTC    | 0                   | 0            | 193          | M      |
| 20210423 | TTCF   | 0                   | 150          | 150          | M      |
| 20210423 | TTD    | 0                   | 189          | 189          | M      |
| 20210423 | TTEC   | 0                   | 0            | 7            | M      |
| 20210423 | TTEK   | 0                   | 100          | 109          | M      |
| 20210423 | TTGT   | 0                   | 9            | 9            | M      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210423 | TTNP   | 0                   | 84           | 154          | M      |
| 20210423 | TTWO   | 0                   | 201          | 1015         | M      |
| 20210423 | TU     | 0                   | 0            | 10           | M      |
| 20210423 | TUP    | 0                   | 0            | 200          | M      |
| 20210423 | TUR    | 0                   | 320          | 737          | M      |
| 20210423 | TUYA   | 0                   | 200          | 200          | M      |
| 20210423 | TVTX   | 0                   | 100          | 100          | M      |
| 20210423 | TWLO   | 0                   | 2551         | 5635         | M      |
| 20210423 | TWO    | 0                   | 10           | 10           | M      |
| 20210423 | TWOA   | 0                   | 0            | 100          | M      |
| 20210423 | TWOU   | 0                   | 200          | 200          | M      |
| 20210423 | TWST   | 0                   | 3279         | 10818        | M      |
| 20210423 | TWTR   | 0                   | 1322         | 1822         | M      |
| 20210423 | TX     | 0                   | 18           | 400          | M      |
| 20210423 | TXG    | 103                 | 517          | 1202         | M      |
| 20210423 | TXMD   | 0                   | 100          | 100          | M      |
| 20210423 | TXRH   | 0                   | 82           | 180          | M      |
| 20210423 | TXT    | 0                   | 107          | 203          | M      |
| 20210423 | TYHT   | 0                   | 90           | 90           | M      |
| 20210423 | U      | 0                   | 310          | 17413        | M      |
| 20210423 | UAA    | 0                   | 1            | 1            | M      |
| 20210423 | UAL    | 0                   | 200          | 11601        | M      |
| 20210423 | UAN    | 0                   | 0            | 71           | M      |
| 20210423 | UAVS   | 0                   | 100          | 200          | M      |
| 20210423 | UBER   | 0                   | 629          | 4711         | M      |
| 20210423 | UBFO   | 0                   | 200          | 200          | M      |
| 20210423 | UBX    | 0                   | 300          | 300          | M      |
| 20210423 | UCBI   | 0                   | 0            | 300          | M      |
| 20210423 | UCTT   | 0                   | 296          | 296          | M      |
| 20210423 | UDR    | 0                   | 100          | 300          | M      |
| 20210423 | UEC    | 0                   | 0            | 100          | M      |
| 20210423 | UEIC   | 0                   | 0            | 4            | M      |
| 20210423 | UFCS   | 0                   | 0            | 92           | M      |
| 20210423 | UFPI   | 0                   | 291          | 291          | M      |
| 20210423 | UFPT   | 0                   | 5            | 5            | M      |
| 20210423 | UGI    | 0                   | 2            | 2            | M      |
| 20210423 | UHAL   | 0                   | 192          | 248          | M      |
| 20210423 | UHS    | 0                   | 264          | 474          | M      |
| 20210423 | UI     | 0                   | 224          | 483          | M      |
| 20210423 | ULTA   | 400                 | 1100         | 1200         | M      |
| 20210423 | UMBF   | 0                   | 100          | 130          | M      |
| 20210423 | UMC    | 0                   | 0            | 100          | M      |
| 20210423 | UNF    | 0                   | 10           | 30           | M      |
| 20210423 | UNFI   | 0                   | 123          | 323          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210423 | UNG    | 0                   | 4867         | 6941         | M      |
| 20210423 | UNH    | 0                   | 1543         | 1892         | M      |
| 20210423 | UNM    | 0                   | 116          | 11766        | M      |
| 20210423 | UNP    | 0                   | 1231         | 1401         | M      |
| 20210423 | UNVR   | 0                   | 100          | 137          | M      |
| 20210423 | UONE   | 0                   | 126          | 126          | M      |
| 20210423 | UONEK  | 0                   | 100          | 100          | M      |
| 20210423 | UPLD   | 0                   | 200          | 200          | M      |
| 20210423 | UPS    | 0                   | 1139         | 1240         | M      |
| 20210423 | UPST   | 400                 | 10029        | 11902        | M      |
| 20210423 | UPWK   | 0                   | 400          | 587          | M      |
| 20210423 | URBN   | 0                   | 198          | 298          | M      |
| 20210423 | URI    | 0                   | 100          | 369          | M      |
| 20210423 | USAP   | 0                   | 0            | 355          | M      |
| 20210423 | USB    | 0                   | 44           | 244          | M      |
| 20210423 | USCI   | 0                   | 0            | 200          | M      |
| 20210423 | USLM   | 0                   | 100          | 100          | M      |
| 20210423 | USMV   | 0                   | 15           | 35           | M      |
| 20210423 | USPH   | 0                   | 8            | 108          | M      |
| 20210423 | USX    | 100                 | 100          | 100          | M      |
| 20210423 | UTHR   | 0                   | 117          | 388          | M      |
| 20210423 | UTMD   | 0                   | 52           | 52           | M      |
| 20210423 | UTSI   | 0                   | 0            | 1            | M      |
| 20210423 | UTZ    | 0                   | 0            | 100          | M      |
| 20210423 | UUU    | 0                   | 876          | 2875         | M      |
| 20210423 | UVSP   | 0                   | 33           | 33           | M      |
| 20210423 | UVV    | 0                   | 2            | 2            | M      |
| 20210423 | V      | 0                   | 721          | 86208        | M      |
| 20210423 | VABK   | 0                   | 22           | 22           | M      |
| 20210423 | VAC    | 0                   | 67           | 167          | M      |
| 20210423 | VALE   | 0                   | 3            | 3            | M      |
| 20210423 | VAPO   | 0                   | 0            | 100          | M      |
| 20210423 | VBTX   | 0                   | 37           | 37           | M      |
| 20210423 | VCEL   | 0                   | 167          | 467          | M      |
| 20210423 | VCRA   | 0                   | 400          | 518          | M      |
| 20210423 | VCYT   | 0                   | 200          | 200          | M      |
| 20210423 | VEA    | 0                   | 791          | 1191         | M      |
| 20210423 | VEC    | 0                   | 0            | 94           | M      |
| 20210423 | VECO   | 0                   | 300          | 300          | M      |
| 20210423 | VEEV   | 0                   | 131          | 231          | M      |
| 20210423 | VERI   | 0                   | 364          | 540          | M      |
| 20210423 | VERX   | 0                   | 406          | 1580         | M      |
| 20210423 | VEU    | 0                   | 400          | 400          | M      |
| 20210423 | VFF    | 0                   | 0            | 100          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210423 | VG     | 0                   | 0            | 4            | M      |
| 20210423 | VIAC   | 0                   | 11           | 9251         | M      |
| 20210423 | VIAV   | 0                   | 1338         | 1734         | M      |
| 20210423 | VICI   | 0                   | 2400         | 2700         | M      |
| 20210423 | VICR   | 0                   | 378          | 590          | M      |
| 20210423 | VIEW   | 0                   | 1167         | 1167         | M      |
| 20210423 | VIH    | 0                   | 552          | 612          | M      |
| 20210423 | VIOT   | 0                   | 0            | 200          | M      |
| 20210423 | VIPS   | 0                   | 20           | 26424        | M      |
| 20210423 | VIR    | 0                   | 0            | 200          | M      |
| 20210423 | VIRX   | 0                   | 50           | 586          | M      |
| 20210423 | VIST   | 0                   | 20           | 20           | M      |
| 20210423 | VITL   | 0                   | 100          | 100          | M      |
| 20210423 | VIVE   | 0                   | 135          | 135          | M      |
| 20210423 | VIVO   | 0                   | 0            | 227          | M      |
| 20210423 | VLDR   | 0                   | 147          | 471          | M      |
| 20210423 | VLO    | 0                   | 51           | 151          | M      |
| 20210423 | VLRS   | 0                   | 0            | 9            | M      |
| 20210423 | VLY    | 0                   | 0            | 5            | M      |
| 20210423 | VMC    | 0                   | 11           | 11           | M      |
| 20210423 | VMW    | 0                   | 210          | 359          | M      |
| 20210423 | VNOM   | 0                   | 130          | 130          | M      |
| 20210423 | VNQ    | 0                   | 0            | 25           | M      |
| 20210423 | VNT    | 0                   | 0            | 49           | M      |
| 20210423 | VOD    | 0                   | 1740         | 1777         | M      |
| 20210423 | VOR    | 0                   | 0            | 100          | M      |
| 20210423 | VOXX   | 0                   | 6            | 206          | M      |
| 20210423 | VOYA   | 0                   | 0            | 100          | M      |
| 20210423 | VRA    | 0                   | 0            | 100          | M      |
| 20210423 | VREX   | 0                   | 0            | 100          | M      |
| 20210423 | VRM    | 0                   | 110          | 43684        | M      |
| 20210423 | VRNS   | 0                   | 105          | 120          | M      |
| 20210423 | VRNT   | 0                   | 0            | 6            | M      |
| 20210423 | VRRM   | 0                   | 34           | 74           | M      |
| 20210423 | VRSK   | 0                   | 19           | 33           | M      |
| 20210423 | VRSN   | 0                   | 297          | 404          | M      |
| 20210423 | VRT    | 0                   | 300          | 300          | M      |
| 20210423 | VRTS   | 0                   | 12           | 13           | M      |
| 20210423 | VRTV   | 0                   | 100          | 231          | M      |
| 20210423 | VRTX   | 0                   | 298          | 100298       | M      |
| 20210423 | VSAT   | 0                   | 0            | 198          | M      |
| 20210423 | VSEC   | 0                   | 300          | 300          | M      |
| 20210423 | VSGX   | 200                 | 200          | 255          | M      |
| 20210423 | VSTO   | 0                   | 5            | 5            | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210423 | VTR    | 0                   | 99           | 99           | M      |
| 20210423 | VTRS   | 0                   | 86           | 105          | M      |
| 20210423 | VTSI   | 0                   | 200          | 200          | M      |
| 20210423 | VUG    | 0                   | 0            | 112          | M      |
| 20210423 | VUZI   | 0                   | 750          | 1181         | M      |
| 20210423 | VVOS   | 0                   | 0            | 100          | M      |
| 20210423 | VVPR   | 0                   | 0            | 100          | M      |
| 20210423 | VVV    | 0                   | 0            | 103          | M      |
| 20210423 | VYNT   | 0                   | 100          | 200          | M      |
| 20210423 | VZ     | 0                   | 906          | 2308         | M      |
| 20210423 | VZIO   | 0                   | 300          | 400          | M      |
| 20210423 | W      | 0                   | 1186         | 1567         | M      |
| 20210423 | WAB    | 0                   | 1121         | 1234         | M      |
| 20210423 | WABC   | 0                   | 62           | 62           | M      |
| 20210423 | WAL    | 0                   | 614          | 1141         | M      |
| 20210423 | WASH   | 0                   | 0            | 100          | M      |
| 20210423 | WAT    | 0                   | 138          | 533          | M      |
| 20210423 | WB     | 0                   | 69           | 184          | M      |
| 20210423 | WBA    | 0                   | 418          | 998          | M      |
| 20210423 | WCN    | 0                   | 100          | 385          | M      |
| 20210423 | WD     | 0                   | 81           | 81           | M      |
| 20210423 | WDAY   | 0                   | 150          | 213          | M      |
| 20210423 | WDC    | 0                   | 200          | 200          | M      |
| 20210423 | WDFC   | 0                   | 100          | 105          | M      |
| 20210423 | WEAT   | 0                   | 0            | 300          | M      |
| 20210423 | WEC    | 0                   | 3            | 3            | M      |
| 20210423 | WES    | 0                   | 242          | 342          | M      |
| 20210423 | WEX    | 0                   | 201          | 201          | M      |
| 20210423 | WFC    | 0                   | 551          | 91299        | M      |
| 20210423 | WFG    | 0                   | 100          | 100          | M      |
| 20210423 | WGO    | 0                   | 97           | 191          | M      |
| 20210423 | WH     | 100                 | 200          | 339          | M      |
| 20210423 | WHLM   | 0                   | 100          | 100          | M      |
| 20210423 | WHR    | 0                   | 230          | 230          | M      |
| 20210423 | WIMI   | 0                   | 100          | 100          | M      |
| 20210423 | WINA   | 0                   | 1            | 41           | M      |
| 20210423 | WING   | 0                   | 100          | 129          | M      |
| 20210423 | WISH   | 0                   | 289          | 439          | M      |
| 20210423 | WIX    | 0                   | 628          | 1687         | M      |
| 20210423 | WK     | 0                   | 11           | 110          | M      |
| 20210423 | WKEY   | 300                 | 2988         | 2988         | M      |
| 20210423 | WKHS   | 0                   | 540          | 540          | M      |
| 20210423 | WLDN   | 0                   | 191          | 191          | M      |
| 20210423 | WLK    | 0                   | 113          | 113          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210423 | WLL    | 0                   | 185          | 284          | M      |
| 20210423 | WLTW   | 0                   | 1029         | 1697         | M      |
| 20210423 | WM     | 0                   | 137          | 137          | M      |
| 20210423 | WMB    | 0                   | 1            | 3            | M      |
| 20210423 | WMC    | 0                   | 3988         | 4195         | M      |
| 20210423 | WMG    | 0                   | 100          | 100          | M      |
| 20210423 | WMK    | 0                   | 0            | 13           | M      |
| 20210423 | WMS    | 0                   | 94           | 242          | M      |
| 20210423 | WMT    | 0                   | 212          | 4357         | M      |
| 20210423 | WNC    | 0                   | 0            | 100          | M      |
| 20210423 | WNW    | 0                   | 0            | 100          | M      |
| 20210423 | WOOF   | 0                   | 200          | 200          | M      |
| 20210423 | WORK   | 0                   | 14           | 15           | M      |
| 20210423 | WPC    | 0                   | 0            | 100          | M      |
| 20210423 | WPM    | 0                   | 100          | 139          | M      |
| 20210423 | WRAP   | 0                   | 500          | 500          | M      |
| 20210423 | WRB    | 0                   | 0            | 28           | M      |
| 20210423 | WRI    | 0                   | 100          | 100          | M      |
| 20210423 | WRK    | 0                   | 400          | 400          | M      |
| 20210423 | WRLD   | 0                   | 8            | 9            | M      |
| 20210423 | WSBC   | 0                   | 0            | 186          | M      |
| 20210423 | WSC    | 0                   | 664          | 1013         | M      |
| 20210423 | WSFS   | 0                   | 0            | 122          | M      |
| 20210423 | WSM    | 0                   | 399          | 499          | M      |
| 20210423 | WSO    | 0                   | 12           | 30           | M      |
| 20210423 | WST    | 0                   | 280          | 646          | M      |
| 20210423 | WTBA   | 0                   | 15           | 115          | M      |
| 20210423 | WTFC   | 0                   | 135          | 162          | M      |
| 20210423 | WTM    | 0                   | 207          | 263          | M      |
| 20210423 | WTTR   | 0                   | 7            | 7            | M      |
| 20210423 | WW     | 0                   | 297          | 572          | M      |
| 20210423 | WWE    | 0                   | 210          | 210          | M      |
| 20210423 | WY     | 0                   | 100          | 101          | M      |
| 20210423 | WYNN   | 0                   | 39           | 9031         | M      |
| 20210423 | X      | 0                   | 0            | 65           | M      |
| 20210423 | XAIR   | 0                   | 100          | 100          | M      |
| 20210423 | XDIV   | 0                   | 0            | 5            | M      |
| 20210423 | XEC    | 0                   | 100          | 200          | M      |
| 20210423 | XEL    | 0                   | 100          | 150          | M      |
| 20210423 | XGN    | 0                   | 79           | 79           | M      |
| 20210423 | XHR    | 0                   | 80           | 80           | M      |
| 20210423 | XL     | 0                   | 57           | 57           | M      |
| 20210423 | XLE    | 0                   | 2349         | 3069         | M      |
| 20210423 | XLF    | 0                   | 403          | 5535         | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20210423 | XLK    | 0                   | 345          | 21440        | M      |
| 20210423 | XLP    | 0                   | 0            | 90           | M      |
| 20210423 | XLRN   | 0                   | 99           | 99           | M      |
| 20210423 | XLY    | 0                   | 0            | 21000        | M      |
| 20210423 | XM     | 0                   | 1366         | 1657         | M      |
| 20210423 | XNET   | 0                   | 0            | 156          | M      |
| 20210423 | XOM    | 0                   | 169          | 350          | M      |
| 20210423 | XONE   | 0                   | 0            | 2            | M      |
| 20210423 | XP     | 0                   | 0            | 66           | M      |
| 20210423 | XPEV   | 900                 | 10211        | 54510        | M      |
| 20210423 | XPO    | 0                   | 145          | 145          | M      |
| 20210423 | XRAY   | 0                   | 0            | 202          | M      |
| 20210423 | XRT    | 0                   | 343          | 343          | M      |
| 20210423 | XYL    | 0                   | 100          | 100          | M      |
| 20210423 | Y      | 0                   | 29           | 120          | M      |
| 20210423 | YALA   | 0                   | 50           | 1907         | M      |
| 20210423 | YELL   | 0                   | 58           | 58           | M      |
| 20210423 | YETI   | 0                   | 282          | 409          | M      |
| 20210423 | YGMZ   | 100                 | 100          | 200          | M      |
| 20210423 | YI     | 0                   | 906          | 998          | M      |
| 20210423 | YMAB   | 0                   | 300          | 300          | M      |
| 20210423 | YPF    | 0                   | 0            | 200          | M      |
| 20210423 | YQ     | 0                   | 100          | 200          | M      |
| 20210423 | YSG    | 0                   | 247          | 347          | M      |
| 20210423 | YTRA   | 0                   | 0            | 100          | M      |
| 20210423 | YUM    | 0                   | 200          | 200          | M      |
| 20210423 | YUMC   | 0                   | 4288         | 5091         | M      |
| 20210423 | YVR    | 0                   | 0            | 100          | M      |
| 20210423 | YY     | 0                   | 209          | 299          | M      |
| 20210423 | Z      | 0                   | 37           | 252          | M      |
| 20210423 | ZBH    | 0                   | 100          | 192          | M      |
| 20210423 | ZBRA   | 0                   | 120          | 120          | M      |
| 20210423 | ZDGE   | 0                   | 1387         | 1387         | M      |
| 20210423 | ZEN    | 0                   | 100          | 802          | M      |
| 20210423 | ZEPP   | 0                   | 100          | 100          | M      |
| 20210423 | ZG     | 0                   | 199          | 199          | M      |
| 20210423 | ZGNX   | 0                   | 310          | 310          | M      |
| 20210423 | ZH     | 0                   | 356          | 356          | M      |
| 20210423 | ZI     | 0                   | 144          | 14814        | M      |
| 20210423 | ZIM    | 0                   | 200          | 7966         | M      |
| 20210423 | ZLAB   | 0                   | 446          | 576          | M      |
| 20210423 | ZM     | 0                   | 2612         | 7676         | M      |
| 20210423 | ZNGA   | 0                   | 30           | 83           | M      |
| 20210423 | ZNTL   | 0                   | 100          | 100          | M      |

| <b>Date</b> | <b>Symbol</b> | <b>Short Exempt Volume</b> | <b>Short Volume</b> | <b>Total Volume</b> | <b>Market</b> |
|-------------|---------------|----------------------------|---------------------|---------------------|---------------|
| 20210423    | ZS            | 0                          | 275                 | 872                 | M             |
| 20210423    | ZTO           | 0                          | 82                  | 38082               | M             |
| 20210423    | ZTS           | 0                          | 50                  | 450                 | M             |
| 20210423    | ZUMZ          | 0                          | 66                  | 66                  | M             |
| 20210423    | ZUO           | 0                          | 100                 | 194                 | M             |
| 20210423    | ZY            | 0                          | 0                   | 100                 | M             |
| 20210423    | ZYME          | 0                          | 0                   | 100                 | M             |
| 20210423    | ZYXI          | 0                          | 98                  | 98                  | M             |