

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201119 | AA     | 0                   | 0            | 1400         | M      |
| 20201119 | AAL    | 0                   | 89           | 1993         | M      |
| 20201119 | AAN    | 0                   | 256          | 256          | M      |
| 20201119 | AAON   | 0                   | 103          | 105          | M      |
| 20201119 | AAP    | 0                   | 55           | 156          | M      |
| 20201119 | AAPL   | 0                   | 1733         | 1014582      | M      |
| 20201119 | AAU    | 0                   | 0            | 100          | M      |
| 20201119 | AAWW   | 0                   | 282          | 282          | M      |
| 20201119 | AAXN   | 0                   | 96           | 96           | M      |
| 20201119 | ABBV   | 0                   | 577          | 38246        | M      |
| 20201119 | ABC    | 0                   | 101          | 101          | M      |
| 20201119 | ABCB   | 0                   | 100          | 200          | M      |
| 20201119 | ABEV   | 0                   | 0            | 100          | M      |
| 20201119 | ABG    | 0                   | 80           | 200          | M      |
| 20201119 | ABM    | 0                   | 101          | 145          | M      |
| 20201119 | ABMD   | 0                   | 343          | 495          | M      |
| 20201119 | ABST   | 0                   | 0            | 100          | M      |
| 20201119 | ABT    | 0                   | 253          | 353          | M      |
| 20201119 | ACAD   | 0                   | 0            | 374          | M      |
| 20201119 | ACC    | 0                   | 450          | 450          | M      |
| 20201119 | ACCD   | 0                   | 824          | 919          | M      |
| 20201119 | ACEL   | 0                   | 250          | 250          | M      |
| 20201119 | ACGL   | 0                   | 200          | 300          | M      |
| 20201119 | ACHC   | 0                   | 0            | 132          | M      |
| 20201119 | ACHV   | 0                   | 0            | 195          | M      |
| 20201119 | ACI    | 0                   | 100          | 100          | M      |
| 20201119 | ACIU   | 0                   | 1019         | 1019         | M      |
| 20201119 | ACLS   | 0                   | 0            | 101          | M      |
| 20201119 | ACM    | 0                   | 0            | 75495        | M      |
| 20201119 | ACMR   | 0                   | 400          | 1092         | M      |
| 20201119 | ACN    | 0                   | 216          | 280          | M      |
| 20201119 | ADAP   | 0                   | 24           | 124          | M      |
| 20201119 | ADBE   | 0                   | 201          | 99062        | M      |
| 20201119 | ADC    | 0                   | 100          | 300          | M      |
| 20201119 | ADCT   | 0                   | 1558         | 1558         | M      |
| 20201119 | ADI    | 0                   | 300          | 400          | M      |
| 20201119 | ADM    | 0                   | 252          | 515          | M      |
| 20201119 | ADMS   | 0                   | 415          | 415          | M      |
| 20201119 | ADNT   | 0                   | 39           | 339          | M      |
| 20201119 | ADP    | 0                   | 345          | 445          | M      |
| 20201119 | ADPT   | 0                   | 209          | 404          | M      |
| 20201119 | ADS    | 0                   | 1988         | 1988         | M      |
| 20201119 | ADSK   | 0                   | 377          | 537          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201119 | ADUS   | 0                   | 0            | 100          | M      |
| 20201119 | ADV    | 0                   | 0            | 245          | M      |
| 20201119 | ADVM   | 0                   | 1770         | 2620         | M      |
| 20201119 | AEE    | 0                   | 301          | 451          | M      |
| 20201119 | AEIS   | 0                   | 71           | 71           | M      |
| 20201119 | AEL    | 0                   | 99           | 99           | M      |
| 20201119 | AEM    | 0                   | 0            | 100          | M      |
| 20201119 | AEO    | 0                   | 0            | 33500        | M      |
| 20201119 | AEP    | 0                   | 250          | 1063         | M      |
| 20201119 | AER    | 0                   | 200          | 200          | M      |
| 20201119 | AERI   | 0                   | 49           | 199          | M      |
| 20201119 | AFG    | 0                   | 204          | 204          | M      |
| 20201119 | AFI    | 0                   | 100          | 100          | M      |
| 20201119 | AFIB   | 0                   | 242          | 676          | M      |
| 20201119 | AFL    | 0                   | 0            | 100          | M      |
| 20201119 | AFYA   | 0                   | 300          | 300          | M      |
| 20201119 | AG     | 0                   | 100          | 117          | M      |
| 20201119 | AGCO   | 0                   | 0            | 12574        | M      |
| 20201119 | AGE    | 0                   | 100          | 100          | M      |
| 20201119 | AGG    | 0                   | 80           | 82           | M      |
| 20201119 | AGIO   | 0                   | 295          | 295          | M      |
| 20201119 | AGM    | 0                   | 142          | 154          | M      |
| 20201119 | AGO    | 0                   | 130          | 330          | M      |
| 20201119 | AGR    | 0                   | 100          | 100          | M      |
| 20201119 | AGTC   | 0                   | 45           | 45           | M      |
| 20201119 | AGYS   | 0                   | 0            | 100          | M      |
| 20201119 | AHCO   | 0                   | 0            | 200          | M      |
| 20201119 | AHPI   | 0                   | 1580         | 4545         | M      |
| 20201119 | AIG    | 0                   | 1            | 1            | M      |
| 20201119 | AIMC   | 0                   | 380          | 929          | M      |
| 20201119 | AIR    | 0                   | 0            | 100          | M      |
| 20201119 | AIV    | 0                   | 474          | 636          | M      |
| 20201119 | AIZ    | 0                   | 138          | 350          | M      |
| 20201119 | AJG    | 0                   | 100          | 200          | M      |
| 20201119 | AJRD   | 0                   | 0            | 1            | M      |
| 20201119 | AJX    | 0                   | 0            | 100          | M      |
| 20201119 | AKAM   | 0                   | 116          | 148          | M      |
| 20201119 | AKBA   | 0                   | 0            | 1            | M      |
| 20201119 | AKTS   | 0                   | 397          | 397          | M      |
| 20201119 | AKUS   | 0                   | 171          | 272          | M      |
| 20201119 | AL     | 0                   | 198          | 297          | M      |
| 20201119 | AL PRA | 0                   | 317          | 317          | M      |
| 20201119 | ALB    | 0                   | 849          | 1316         | M      |
| 20201119 | ALC    | 0                   | 100          | 100          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201119 | ALEC   | 0                   | 99           | 99           | M      |
| 20201119 | ALEX   | 0                   | 19           | 19           | M      |
| 20201119 | ALG    | 0                   | 69           | 179          | M      |
| 20201119 | ALGM   | 0                   | 534          | 534          | M      |
| 20201119 | ALGN   | 0                   | 11702        | 18864        | M      |
| 20201119 | ALGS   | 0                   | 100          | 2042         | M      |
| 20201119 | ALGT   | 0                   | 221          | 287          | M      |
| 20201119 | ALK    | 0                   | 35           | 312          | M      |
| 20201119 | ALKS   | 0                   | 100          | 100          | M      |
| 20201119 | ALL    | 0                   | 150          | 3191         | M      |
| 20201119 | ALLE   | 0                   | 141          | 430          | M      |
| 20201119 | ALLK   | 0                   | 0            | 200          | M      |
| 20201119 | ALLO   | 0                   | 200          | 300          | M      |
| 20201119 | ALLY   | 0                   | 175          | 8842         | M      |
| 20201119 | ALNY   | 0                   | 402          | 512          | M      |
| 20201119 | ALRM   | 0                   | 7            | 30           | M      |
| 20201119 | ALT    | 0                   | 100          | 25729        | M      |
| 20201119 | ALTM   | 0                   | 125          | 125          | M      |
| 20201119 | ALTR   | 0                   | 494          | 522          | M      |
| 20201119 | ALV    | 0                   | 41           | 80           | M      |
| 20201119 | ALVR   | 0                   | 104          | 104          | M      |
| 20201119 | ALX    | 0                   | 144          | 158          | M      |
| 20201119 | ALXN   | 0                   | 0            | 162          | M      |
| 20201119 | ALXO   | 0                   | 0            | 203          | M      |
| 20201119 | AMAT   | 0                   | 451          | 617          | M      |
| 20201119 | AMBA   | 0                   | 100          | 200          | M      |
| 20201119 | AMBC   | 0                   | 7860         | 23689        | M      |
| 20201119 | AMCX   | 0                   | 101          | 169          | M      |
| 20201119 | AMD    | 0                   | 100          | 32617        | M      |
| 20201119 | AME    | 0                   | 200          | 300          | M      |
| 20201119 | AMED   | 0                   | 135          | 162          | M      |
| 20201119 | AMG    | 0                   | 403          | 403          | M      |
| 20201119 | AMGN   | 0                   | 242          | 408          | M      |
| 20201119 | AMKR   | 0                   | 38           | 38           | M      |
| 20201119 | AMN    | 0                   | 29           | 29           | M      |
| 20201119 | AMP    | 0                   | 201          | 201          | M      |
| 20201119 | AMPE   | 0                   | 0            | 600          | M      |
| 20201119 | AMRC   | 0                   | 0            | 82           | M      |
| 20201119 | AMRK   | 0                   | 0            | 38           | M      |
| 20201119 | AMRS   | 0                   | 100          | 100          | M      |
| 20201119 | AMSC   | 0                   | 400          | 600          | M      |
| 20201119 | AMSF   | 0                   | 0            | 54           | M      |
| 20201119 | AMT    | 0                   | 307          | 598          | M      |
| 20201119 | AMWD   | 0                   | 100          | 100          | M      |

| Date     | Symbol   | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|----------|---------------------|--------------|--------------|--------|
| 20201119 | AMWL     | 0                   | 254          | 1095         | M      |
| 20201119 | AMZN     | 0                   | 1852         | 51436        | M      |
| 20201119 | AN       | 0                   | 210          | 210          | M      |
| 20201119 | ANAB     | 0                   | 158          | 158          | M      |
| 20201119 | ANAT     | 0                   | 100          | 100          | M      |
| 20201119 | ANET     | 0                   | 200          | 824          | M      |
| 20201119 | ANF      | 0                   | 500          | 500          | M      |
| 20201119 | ANGO     | 0                   | 2            | 2            | M      |
| 20201119 | ANIK     | 0                   | 0            | 100          | M      |
| 20201119 | ANSS     | 0                   | 112          | 112          | M      |
| 20201119 | ANTM     | 0                   | 101          | 774          | M      |
| 20201119 | ANY      | 0                   | 100          | 100          | M      |
| 20201119 | AON      | 0                   | 40           | 514          | M      |
| 20201119 | AOS      | 0                   | 158          | 158          | M      |
| 20201119 | APA      | 0                   | 15098        | 136831       | M      |
| 20201119 | APAM     | 0                   | 50           | 150          | M      |
| 20201119 | APD      | 0                   | 353          | 554          | M      |
| 20201119 | APEI     | 0                   | 161          | 161          | M      |
| 20201119 | APEN     | 0                   | 100          | 100          | M      |
| 20201119 | APG      | 0                   | 170          | 170          | M      |
| 20201119 | APH      | 0                   | 480          | 484          | M      |
| 20201119 | API      | 0                   | 100          | 430          | M      |
| 20201119 | APLE     | 0                   | 200          | 200          | M      |
| 20201119 | APLS     | 0                   | 0            | 5            | M      |
| 20201119 | APLT     | 0                   | 200          | 200          | M      |
| 20201119 | APO      | 0                   | 0            | 100          | M      |
| 20201119 | APPF     | 0                   | 78           | 106          | M      |
| 20201119 | APPN     | 0                   | 4648         | 46370        | M      |
| 20201119 | APPS     | 0                   | 270          | 504          | M      |
| 20201119 | APRE     | 0                   | 0            | 100          | M      |
| 20201119 | APT      | 0                   | 134          | 139          | M      |
| 20201119 | APTS     | 0                   | 336          | 336          | M      |
| 20201119 | APTV     | 0                   | 206          | 306          | M      |
| 20201119 | APTV PRA | 0                   | 4            | 4            | M      |
| 20201119 | APWC     | 0                   | 788          | 888          | M      |
| 20201119 | AQB      | 0                   | 90           | 190          | M      |
| 20201119 | AQN      | 0                   | 0            | 200          | M      |
| 20201119 | AQST     | 0                   | 100          | 100          | M      |
| 20201119 | AR       | 0                   | 16           | 40           | M      |
| 20201119 | ARAV     | 0                   | 0            | 70           | M      |
| 20201119 | ARCB     | 0                   | 0            | 125          | M      |
| 20201119 | ARCE     | 0                   | 20           | 220          | M      |
| 20201119 | ARCH     | 0                   | 0            | 4            | M      |
| 20201119 | ARCT     | 0                   | 0            | 42           | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201119 | ARE    | 0                   | 115          | 315          | M      |
| 20201119 | ARES   | 0                   | 0            | 85           | M      |
| 20201119 | ARGX   | 12                  | 313          | 318          | M      |
| 20201119 | ARLP   | 0                   | 0            | 90           | M      |
| 20201119 | ARMK   | 0                   | 742          | 892          | M      |
| 20201119 | ARNA   | 0                   | 499          | 1100         | M      |
| 20201119 | ARNC   | 0                   | 100          | 180          | M      |
| 20201119 | ARQT   | 0                   | 100          | 100          | M      |
| 20201119 | ARRY   | 0                   | 300          | 1428         | M      |
| 20201119 | ARVN   | 0                   | 1            | 192          | M      |
| 20201119 | ARWR   | 0                   | 87           | 714          | M      |
| 20201119 | ASAN   | 0                   | 100          | 262          | M      |
| 20201119 | ASB    | 0                   | 0            | 1            | M      |
| 20201119 | ASGN   | 0                   | 8            | 108          | M      |
| 20201119 | ASH    | 0                   | 165          | 165          | M      |
| 20201119 | ASHR   | 0                   | 0            | 56000        | M      |
| 20201119 | ASM    | 0                   | 300          | 300          | M      |
| 20201119 | ASMB   | 100                 | 100          | 100          | M      |
| 20201119 | ASML   | 0                   | 0            | 100          | M      |
| 20201119 | ASO    | 0                   | 192          | 192          | M      |
| 20201119 | ASR    | 0                   | 1            | 1            | M      |
| 20201119 | ASUR   | 0                   | 100          | 100          | M      |
| 20201119 | ATCO   | 0                   | 0            | 92           | M      |
| 20201119 | ATEC   | 0                   | 100          | 149          | M      |
| 20201119 | ATEX   | 4                   | 4            | 59           | M      |
| 20201119 | ATGE   | 0                   | 3            | 5            | M      |
| 20201119 | ATH    | 0                   | 156          | 356          | M      |
| 20201119 | ATHA   | 0                   | 8161         | 20809        | M      |
| 20201119 | ATHE   | 100                 | 100          | 100          | M      |
| 20201119 | ATHM   | 0                   | 110          | 190          | M      |
| 20201119 | ATI    | 0                   | 0            | 100          | M      |
| 20201119 | ATKR   | 0                   | 100          | 363          | M      |
| 20201119 | ATNX   | 0                   | 0            | 33           | M      |
| 20201119 | ATO    | 0                   | 180          | 276          | M      |
| 20201119 | ATR    | 0                   | 32           | 34           | M      |
| 20201119 | ATRA   | 0                   | 592          | 771          | M      |
| 20201119 | ATRC   | 0                   | 100          | 300          | M      |
| 20201119 | ATRI   | 0                   | 1            | 6            | M      |
| 20201119 | ATSG   | 0                   | 200          | 200          | M      |
| 20201119 | ATUS   | 0                   | 102          | 302          | M      |
| 20201119 | ATVI   | 0                   | 31           | 8138         | M      |
| 20201119 | AU     | 0                   | 141          | 141          | M      |
| 20201119 | AUB    | 0                   | 100          | 100          | M      |
| 20201119 | AUDC   | 0                   | 0            | 12           | M      |

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|----------|--------|---------------------|--------------|--------------|--------|
| 20201119 | AUVI   | 100                 | 100          | 100          | M      |
| 20201119 | AVA    | 0                   | 0            | 174          | M      |
| 20201119 | AVAV   | 0                   | 67           | 67           | M      |
| 20201119 | AVB    | 0                   | 354          | 654          | M      |
| 20201119 | AVDL   | 0                   | 754          | 754          | M      |
| 20201119 | AVGO   | 1                   | 192          | 13392        | M      |
| 20201119 | AVIR   | 0                   | 6356         | 22986        | M      |
| 20201119 | AVLR   | 0                   | 470          | 594          | M      |
| 20201119 | AVNS   | 0                   | 98           | 101          | M      |
| 20201119 | AVNT   | 0                   | 7            | 107          | M      |
| 20201119 | AVXL   | 0                   | 100          | 200          | M      |
| 20201119 | AVY    | 0                   | 184          | 426          | M      |
| 20201119 | AVYA   | 0                   | 498          | 698          | M      |
| 20201119 | AWI    | 0                   | 400          | 400          | M      |
| 20201119 | AWK    | 0                   | 663          | 663          | M      |
| 20201119 | AXGN   | 0                   | 0            | 172          | M      |
| 20201119 | AXNX   | 0                   | 377          | 377          | M      |
| 20201119 | AXP    | 0                   | 0            | 460          | M      |
| 20201119 | AXS    | 0                   | 0            | 235          | M      |
| 20201119 | AXSM   | 0                   | 2            | 104          | M      |
| 20201119 | AXTA   | 0                   | 100          | 208          | M      |
| 20201119 | AXTI   | 0                   | 3166         | 3946         | M      |
| 20201119 | AY     | 0                   | 112          | 448          | M      |
| 20201119 | AYI    | 0                   | 0            | 310          | M      |
| 20201119 | AYRO   | 0                   | 400          | 600          | M      |
| 20201119 | AYX    | 0                   | 1295         | 1572         | M      |
| 20201119 | AZN    | 0                   | 0            | 3500         | M      |
| 20201119 | AZO    | 0                   | 213          | 1031         | M      |
| 20201119 | AZPN   | 0                   | 102          | 203          | M      |
| 20201119 | AZRE   | 0                   | 0            | 700          | M      |
| 20201119 | AZYO   | 0                   | 200          | 200          | M      |
| 20201119 | AZZ    | 0                   | 19           | 24           | M      |
| 20201119 | BA     | 0                   | 261          | 550290       | M      |
| 20201119 | BABA   | 100                 | 5525         | 833333       | M      |
| 20201119 | BAC    | 0                   | 6278         | 414099       | M      |
| 20201119 | BAM    | 0                   | 1            | 1            | M      |
| 20201119 | BAND   | 0                   | 502          | 602          | M      |
| 20201119 | BAP    | 0                   | 798          | 1004         | M      |
| 20201119 | BATRK  | 0                   | 100          | 200          | M      |
| 20201119 | BAX    | 0                   | 261          | 295          | M      |
| 20201119 | BBD    | 0                   | 15           | 375          | M      |
| 20201119 | BBSI   | 0                   | 4            | 192          | M      |
| 20201119 | BBY    | 0                   | 600          | 898          | M      |
| 20201119 | BC     | 0                   | 119          | 119          | M      |

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|----------|--------|---------------------|--------------|--------------|--------|
| 20201119 | BCC    | 0                   | 142          | 142          | M      |
| 20201119 | BCEL   | 0                   | 24           | 24           | M      |
| 20201119 | BCO    | 0                   | 124          | 124          | M      |
| 20201119 | BCS    | 0                   | 1            | 12           | M      |
| 20201119 | BDC    | 0                   | 82           | 182          | M      |
| 20201119 | BDN    | 0                   | 0            | 100          | M      |
| 20201119 | BDR    | 0                   | 0            | 100          | M      |
| 20201119 | BDSX   | 0                   | 676          | 838          | M      |
| 20201119 | BDTX   | 0                   | 200          | 481          | M      |
| 20201119 | BDX    | 0                   | 301          | 489          | M      |
| 20201119 | BE     | 0                   | 497          | 797          | M      |
| 20201119 | BEAM   | 0                   | 5782         | 9798         | M      |
| 20201119 | BEAT   | 0                   | 70           | 70           | M      |
| 20201119 | BECN   | 0                   | 0            | 100          | M      |
| 20201119 | BEEM   | 0                   | 94           | 254          | M      |
| 20201119 | BEKE   | 102                 | 2188         | 3703         | M      |
| 20201119 | BEN    | 0                   | 0            | 47           | M      |
| 20201119 | BEP    | 0                   | 0            | 101          | M      |
| 20201119 | BEPC   | 0                   | 0            | 200          | M      |
| 20201119 | BERY   | 0                   | 100          | 300          | M      |
| 20201119 | BF B   | 0                   | 0            | 90           | M      |
| 20201119 | BFAM   | 0                   | 1            | 283          | M      |
| 20201119 | BFC    | 0                   | 55           | 55           | M      |
| 20201119 | BFK    | 0                   | 100          | 100          | M      |
| 20201119 | BG     | 0                   | 101          | 121          | M      |
| 20201119 | BGFV   | 0                   | 90           | 90           | M      |
| 20201119 | BGI    | 0                   | 0            | 100          | M      |
| 20201119 | BGNE   | 0                   | 202          | 302          | M      |
| 20201119 | BGS    | 0                   | 126          | 126          | M      |
| 20201119 | BHC    | 0                   | 22           | 263          | M      |
| 20201119 | BHF    | 0                   | 3            | 3            | M      |
| 20201119 | BHLB   | 0                   | 220          | 298          | M      |
| 20201119 | BHP    | 0                   | 0            | 100          | M      |
| 20201119 | BHR    | 0                   | 100          | 100          | M      |
| 20201119 | BHVN   | 0                   | 200          | 200          | M      |
| 20201119 | BIDU   | 0                   | 909          | 2209         | M      |
| 20201119 | BIG    | 0                   | 100          | 505          | M      |
| 20201119 | BIGC   | 0                   | 539          | 1586         | M      |
| 20201119 | BIIB   | 0                   | 4808         | 9570         | M      |
| 20201119 | BILI   | 0                   | 8711         | 11009        | M      |
| 20201119 | BILL   | 0                   | 319          | 591          | M      |
| 20201119 | BIO    | 0                   | 75           | 137          | M      |
| 20201119 | BJ     | 0                   | 1576         | 1576         | M      |
| 20201119 | BJRI   | 0                   | 2            | 102          | M      |

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|----------|--------|---------------------|--------------|--------------|--------|
| 20201119 | BK     | 0                   | 101          | 124          | M      |
| 20201119 | BKD    | 0                   | 0            | 12           | M      |
| 20201119 | BKE    | 0                   | 0            | 125          | M      |
| 20201119 | BKEP   | 0                   | 0            | 100          | M      |
| 20201119 | BKI    | 0                   | 189          | 604          | M      |
| 20201119 | BKLN   | 0                   | 100          | 100          | M      |
| 20201119 | BKNG   | 0                   | 54           | 713          | M      |
| 20201119 | BKR    | 0                   | 11           | 311          | M      |
| 20201119 | BKU    | 0                   | 200          | 211          | M      |
| 20201119 | BL     | 0                   | 0            | 25           | M      |
| 20201119 | BLCM   | 0                   | 3            | 198          | M      |
| 20201119 | BLCT   | 0                   | 0            | 133          | M      |
| 20201119 | BLD    | 0                   | 49           | 69           | M      |
| 20201119 | BLDR   | 0                   | 100          | 100          | M      |
| 20201119 | BLFS   | 0                   | 100          | 100          | M      |
| 20201119 | BLI    | 200                 | 200          | 400          | M      |
| 20201119 | BLK    | 0                   | 535          | 580          | M      |
| 20201119 | BLKB   | 0                   | 100          | 334          | M      |
| 20201119 | BLL    | 0                   | 99           | 347          | M      |
| 20201119 | BLMN   | 0                   | 100          | 100          | M      |
| 20201119 | BLNK   | 0                   | 691          | 1027         | M      |
| 20201119 | BLUE   | 0                   | 100          | 178          | M      |
| 20201119 | BMA    | 0                   | 0            | 100          | M      |
| 20201119 | BMCH   | 0                   | 0            | 119          | M      |
| 20201119 | BMI    | 0                   | 97           | 97           | M      |
| 20201119 | BMO    | 5                   | 130          | 6144         | M      |
| 20201119 | BMRN   | 0                   | 0            | 101          | M      |
| 20201119 | BMY    | 0                   | 104          | 108          | M      |
| 20201119 | BNDX   | 0                   | 0            | 2            | M      |
| 20201119 | BNED   | 0                   | 150          | 150          | M      |
| 20201119 | BNFT   | 0                   | 19           | 119          | M      |
| 20201119 | BNR    | 0                   | 0            | 34           | M      |
| 20201119 | BNS    | 0                   | 99           | 3199         | M      |
| 20201119 | BNTC   | 0                   | 200          | 200          | M      |
| 20201119 | BNTX   | 0                   | 1093         | 1179         | M      |
| 20201119 | BOH    | 0                   | 142          | 333          | M      |
| 20201119 | BOKF   | 0                   | 133          | 158          | M      |
| 20201119 | BOMN   | 0                   | 98           | 98           | M      |
| 20201119 | BOOM   | 0                   | 0            | 400          | M      |
| 20201119 | BOOT   | 0                   | 100          | 100          | M      |
| 20201119 | BPMC   | 0                   | 0            | 200          | M      |
| 20201119 | BPT    | 0                   | 0            | 139          | M      |
| 20201119 | BPY    | 0                   | 1            | 2            | M      |
| 20201119 | BQ     | 0                   | 1445         | 2945         | M      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201119 | BR     | 0                   | 105          | 223          | M      |
| 20201119 | BRBR   | 0                   | 100          | 100          | M      |
| 20201119 | BRC    | 0                   | 0            | 32           | M      |
| 20201119 | BRK B  | 0                   | 778          | 9595         | M      |
| 20201119 | BRKL   | 0                   | 0            | 100          | M      |
| 20201119 | BRKR   | 0                   | 185          | 375          | M      |
| 20201119 | BRKS   | 0                   | 1169         | 1409         | M      |
| 20201119 | BRN    | 0                   | 0            | 8            | M      |
| 20201119 | BRX    | 0                   | 0            | 100          | M      |
| 20201119 | BRY    | 0                   | 100          | 100          | M      |
| 20201119 | BSGM   | 0                   | 100          | 100          | M      |
| 20201119 | BSX    | 0                   | 61           | 403          | M      |
| 20201119 | BSY    | 0                   | 100          | 268          | M      |
| 20201119 | BTAI   | 0                   | 200          | 360          | M      |
| 20201119 | BTBT   | 0                   | 100          | 300          | M      |
| 20201119 | BURL   | 0                   | 497          | 13834        | M      |
| 20201119 | BWA    | 0                   | 438          | 537          | M      |
| 20201119 | BWEN   | 0                   | 200          | 300          | M      |
| 20201119 | BWXT   | 0                   | 100          | 100          | M      |
| 20201119 | BX     | 0                   | 0            | 10296        | M      |
| 20201119 | BXC    | 0                   | 62           | 62           | M      |
| 20201119 | BXP    | 0                   | 200          | 200          | M      |
| 20201119 | BXS    | 0                   | 100          | 200          | M      |
| 20201119 | BYD    | 0                   | 118          | 118          | M      |
| 20201119 | BYND   | 0                   | 489          | 25819        | M      |
| 20201119 | BYSI   | 0                   | 0            | 92           | M      |
| 20201119 | BZUN   | 90                  | 224          | 224          | M      |
| 20201119 | C      | 0                   | 371          | 18456        | M      |
| 20201119 | CABO   | 0                   | 145          | 155          | M      |
| 20201119 | CACC   | 0                   | 9            | 214          | M      |
| 20201119 | CAH    | 0                   | 902          | 1199         | M      |
| 20201119 | CAJ    | 0                   | 100          | 100          | M      |
| 20201119 | CAKE   | 0                   | 498          | 598          | M      |
| 20201119 | CAL    | 0                   | 104          | 104          | M      |
| 20201119 | CALM   | 0                   | 200          | 300          | M      |
| 20201119 | CALX   | 0                   | 0            | 100          | M      |
| 20201119 | CAMT   | 0                   | 100          | 200          | M      |
| 20201119 | CAPR   | 0                   | 1098         | 2188         | M      |
| 20201119 | CAR    | 0                   | 147          | 414          | M      |
| 20201119 | CARG   | 0                   | 100          | 100          | M      |
| 20201119 | CARR   | 0                   | 200          | 200          | M      |
| 20201119 | CARS   | 0                   | 916          | 2483         | M      |
| 20201119 | CASY   | 0                   | 0            | 199          | M      |
| 20201119 | CAT    | 0                   | 418          | 618          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201119 | CATC   | 0                   | 13           | 13           | M      |
| 20201119 | CATY   | 0                   | 93           | 93           | M      |
| 20201119 | CB     | 0                   | 546          | 583          | M      |
| 20201119 | CBAT   | 1700                | 1740         | 2463         | M      |
| 20201119 | CBAY   | 0                   | 1550         | 1650         | M      |
| 20201119 | CBOE   | 0                   | 0            | 201          | M      |
| 20201119 | CBRE   | 0                   | 202          | 328          | M      |
| 20201119 | CBRL   | 0                   | 200          | 432          | M      |
| 20201119 | CBTX   | 0                   | 0            | 16           | M      |
| 20201119 | CC     | 0                   | 191          | 191          | M      |
| 20201119 | CCC    | 0                   | 80           | 367          | M      |
| 20201119 | CCCC   | 0                   | 7618         | 15076        | M      |
| 20201119 | CCEP   | 0                   | 293          | 294          | M      |
| 20201119 | CCF    | 0                   | 30           | 62           | M      |
| 20201119 | CCI    | 0                   | 182          | 281          | M      |
| 20201119 | CCJ    | 0                   | 100          | 100          | M      |
| 20201119 | CCK    | 0                   | 100          | 221          | M      |
| 20201119 | CCL    | 0                   | 7            | 104084       | M      |
| 20201119 | CCMP   | 0                   | 0            | 150          | M      |
| 20201119 | CCOI   | 0                   | 48           | 226          | M      |
| 20201119 | CCR    | 0                   | 0            | 100          | M      |
| 20201119 | CCRN   | 0                   | 100          | 100          | M      |
| 20201119 | CCS    | 0                   | 400          | 590          | M      |
| 20201119 | CCXI   | 0                   | 366          | 599          | M      |
| 20201119 | CD     | 0                   | 0            | 500          | M      |
| 20201119 | CDAY   | 0                   | 7            | 1135         | M      |
| 20201119 | CDK    | 0                   | 0            | 100          | M      |
| 20201119 | CDLX   | 0                   | 905          | 1342         | M      |
| 20201119 | CDNS   | 0                   | 100          | 546          | M      |
| 20201119 | CDW    | 0                   | 151          | 272          | M      |
| 20201119 | CE     | 0                   | 432          | 532          | M      |
| 20201119 | CECE   | 0                   | 0            | 100          | M      |
| 20201119 | CELH   | 0                   | 309          | 715          | M      |
| 20201119 | CELP   | 0                   | 0            | 100          | M      |
| 20201119 | CENX   | 0                   | 100          | 100          | M      |
| 20201119 | CEQP   | 0                   | 0            | 200          | M      |
| 20201119 | CERC   | 0                   | 100          | 100          | M      |
| 20201119 | CERE   | 0                   | 0            | 51           | M      |
| 20201119 | CERN   | 0                   | 0            | 101          | M      |
| 20201119 | CF     | 0                   | 400          | 500          | M      |
| 20201119 | CFG    | 0                   | 1            | 1            | M      |
| 20201119 | CFR    | 0                   | 142          | 142          | M      |
| 20201119 | CFX    | 0                   | 100          | 100          | M      |
| 20201119 | CG     | 0                   | 1            | 1            | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201119 | CGC    | 0                   | 194          | 194          | M      |
| 20201119 | CGNX   | 0                   | 2            | 6            | M      |
| 20201119 | CHCO   | 0                   | 4            | 4            | M      |
| 20201119 | CHCT   | 0                   | 0            | 34           | M      |
| 20201119 | CHD    | 0                   | 254          | 353          | M      |
| 20201119 | CHDN   | 0                   | 130          | 230          | M      |
| 20201119 | CHE    | 0                   | 25           | 44           | M      |
| 20201119 | CHEF   | 0                   | 100          | 188          | M      |
| 20201119 | CHFS   | 0                   | 667          | 1067         | M      |
| 20201119 | CHGG   | 0                   | 51           | 345          | M      |
| 20201119 | CHH    | 0                   | 338          | 338          | M      |
| 20201119 | CHRW   | 0                   | 0            | 40075        | M      |
| 20201119 | CHTR   | 0                   | 8667         | 24243        | M      |
| 20201119 | CHWY   | 0                   | 416          | 588          | M      |
| 20201119 | CHX    | 0                   | 91           | 91           | M      |
| 20201119 | CI     | 0                   | 100          | 664          | M      |
| 20201119 | CIB    | 0                   | 100          | 100          | M      |
| 20201119 | CIEN   | 0                   | 60           | 400          | M      |
| 20201119 | CIIC   | 0                   | 909          | 909          | M      |
| 20201119 | CINF   | 0                   | 359          | 427          | M      |
| 20201119 | CIT    | 0                   | 53           | 453          | M      |
| 20201119 | CKH    | 0                   | 279          | 358          | M      |
| 20201119 | CKPT   | 0                   | 67           | 67           | M      |
| 20201119 | CL     | 0                   | 139          | 139          | M      |
| 20201119 | CLDB   | 0                   | 0            | 34           | M      |
| 20201119 | CLDR   | 0                   | 0            | 38001        | M      |
| 20201119 | CLDX   | 0                   | 130          | 440          | M      |
| 20201119 | CLF    | 0                   | 100          | 158300       | M      |
| 20201119 | CLGX   | 0                   | 100          | 200          | M      |
| 20201119 | CLH    | 0                   | 401          | 439          | M      |
| 20201119 | CLNC   | 0                   | 50           | 50           | M      |
| 20201119 | CLNY   | 0                   | 7            | 12           | M      |
| 20201119 | CLPT   | 0                   | 100          | 100          | M      |
| 20201119 | CLR    | 0                   | 194          | 194          | M      |
| 20201119 | CLSK   | 0                   | 99           | 325          | M      |
| 20201119 | CLW    | 0                   | 2            | 102          | M      |
| 20201119 | CLX    | 0                   | 772          | 1072         | M      |
| 20201119 | CMA    | 0                   | 50           | 150          | M      |
| 20201119 | CMC    | 0                   | 338          | 538          | M      |
| 20201119 | CMCO   | 0                   | 74           | 74           | M      |
| 20201119 | CMCSA  | 0                   | 82           | 35062        | M      |
| 20201119 | CME    | 0                   | 306          | 423          | M      |
| 20201119 | CMG    | 0                   | 121          | 1295         | M      |
| 20201119 | CMI    | 0                   | 598          | 598          | M      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20201119 | CMP     | 0                   | 92           | 92           | M      |
| 20201119 | CMPR    | 0                   | 128          | 128          | M      |
| 20201119 | CMPS    | 0                   | 2            | 109          | M      |
| 20201119 | CNBKA   | 0                   | 2            | 109          | M      |
| 20201119 | CNC     | 0                   | 468          | 1595         | M      |
| 20201119 | CNK     | 0                   | 0            | 300          | M      |
| 20201119 | CNMD    | 0                   | 96           | 96           | M      |
| 20201119 | CNNE    | 0                   | 196          | 196          | M      |
| 20201119 | CNO     | 0                   | 161          | 161          | M      |
| 20201119 | CNP     | 0                   | 588          | 70124        | M      |
| 20201119 | CNR     | 0                   | 0            | 10           | M      |
| 20201119 | CNS     | 0                   | 6            | 46           | M      |
| 20201119 | CNST    | 0                   | 99           | 279          | M      |
| 20201119 | CNTY    | 0                   | 461          | 475          | M      |
| 20201119 | CNX     | 0                   | 62           | 65           | M      |
| 20201119 | CNXN    | 0                   | 200          | 200          | M      |
| 20201119 | CODX    | 0                   | 0            | 200          | M      |
| 20201119 | COF     | 0                   | 0            | 450          | M      |
| 20201119 | COF PRK | 0                   | 0            | 18           | M      |
| 20201119 | COHR    | 0                   | 0            | 135          | M      |
| 20201119 | COHU    | 0                   | 0            | 76           | M      |
| 20201119 | COKE    | 0                   | 10           | 10           | M      |
| 20201119 | COLB    | 0                   | 3            | 9            | M      |
| 20201119 | COLD    | 0                   | 500          | 701          | M      |
| 20201119 | COLM    | 0                   | 99           | 99           | M      |
| 20201119 | CONE    | 0                   | 100          | 400          | M      |
| 20201119 | COO     | 0                   | 921          | 1221         | M      |
| 20201119 | COOP    | 0                   | 118          | 319          | M      |
| 20201119 | COP     | 0                   | 46           | 246          | M      |
| 20201119 | COR     | 0                   | 100          | 201          | M      |
| 20201119 | CORR    | 0                   | 100          | 299          | M      |
| 20201119 | CORT    | 0                   | 426          | 456          | M      |
| 20201119 | COST    | 0                   | 100          | 2311         | M      |
| 20201119 | COTY    | 0                   | 0            | 400          | M      |
| 20201119 | COUP    | 0                   | 2236         | 2543         | M      |
| 20201119 | COWN    | 0                   | 0            | 277          | M      |
| 20201119 | CPA     | 47                  | 220          | 474          | M      |
| 20201119 | CPB     | 0                   | 181          | 181          | M      |
| 20201119 | CPF     | 0                   | 0            | 6            | M      |
| 20201119 | CPRI    | 0                   | 599          | 599          | M      |
| 20201119 | CPRT    | 0                   | 100          | 385          | M      |
| 20201119 | CPS     | 0                   | 0            | 180          | M      |
| 20201119 | CPSH    | 0                   | 300          | 300          | M      |
| 20201119 | CPT     | 0                   | 174          | 174          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201119 | CQP    | 0                   | 24           | 60024        | M      |
| 20201119 | CR     | 0                   | 115          | 115          | M      |
| 20201119 | CRDF   | 0                   | 354          | 354          | M      |
| 20201119 | CREE   | 0                   | 1018         | 2346         | M      |
| 20201119 | CRH    | 0                   | 0            | 100          | M      |
| 20201119 | CRI    | 0                   | 345          | 345          | M      |
| 20201119 | CRL    | 0                   | 235          | 422          | M      |
| 20201119 | CRM    | 0                   | 1737         | 742897       | M      |
| 20201119 | CRMT   | 0                   | 0            | 15           | M      |
| 20201119 | CRNC   | 0                   | 108          | 108          | M      |
| 20201119 | CRNX   | 0                   | 99           | 99           | M      |
| 20201119 | CROX   | 0                   | 0            | 188          | M      |
| 20201119 | CRS    | 0                   | 0            | 99           | M      |
| 20201119 | CRSP   | 0                   | 1            | 286          | M      |
| 20201119 | CRSR   | 0                   | 640          | 866          | M      |
| 20201119 | CRTO   | 0                   | 1050         | 1150         | M      |
| 20201119 | CRTX   | 0                   | 100          | 104          | M      |
| 20201119 | CRUS   | 0                   | 0            | 3            | M      |
| 20201119 | CRVL   | 0                   | 22           | 30           | M      |
| 20201119 | CRWD   | 0                   | 603          | 929          | M      |
| 20201119 | CS     | 0                   | 2            | 2            | M      |
| 20201119 | CSCO   | 0                   | 116          | 378          | M      |
| 20201119 | CSGP   | 100                 | 587          | 859          | M      |
| 20201119 | CSGS   | 0                   | 0            | 100          | M      |
| 20201119 | CSII   | 0                   | 0            | 2            | M      |
| 20201119 | CSIQ   | 0                   | 142          | 37142        | M      |
| 20201119 | CSL    | 0                   | 171          | 271          | M      |
| 20201119 | CSPR   | 0                   | 99           | 99           | M      |
| 20201119 | CSTL   | 0                   | 746          | 746          | M      |
| 20201119 | CSTM   | 0                   | 100          | 500          | M      |
| 20201119 | CSV    | 0                   | 68           | 68           | M      |
| 20201119 | CSWI   | 0                   | 0            | 5            | M      |
| 20201119 | CSX    | 0                   | 148          | 148          | M      |
| 20201119 | CTAS   | 0                   | 119          | 176          | M      |
| 20201119 | CTB    | 0                   | 100          | 100          | M      |
| 20201119 | CTLT   | 0                   | 115          | 278          | M      |
| 20201119 | CTMX   | 0                   | 490          | 623          | M      |
| 20201119 | CTRA   | 0                   | 0            | 100          | M      |
| 20201119 | CTSH   | 0                   | 100          | 100          | M      |
| 20201119 | CTVA   | 0                   | 397          | 547          | M      |
| 20201119 | CTXS   | 0                   | 515          | 515          | M      |
| 20201119 | CUB    | 0                   | 200          | 435          | M      |
| 20201119 | CUBE   | 0                   | 150          | 150          | M      |
| 20201119 | CUE    | 0                   | 610          | 713          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201119 | CUK    | 0                   | 100          | 100          | M      |
| 20201119 | CUTR   | 0                   | 4            | 180          | M      |
| 20201119 | CUZ    | 0                   | 101          | 102          | M      |
| 20201119 | CVAC   | 0                   | 0            | 24949        | M      |
| 20201119 | CVBF   | 0                   | 100          | 188          | M      |
| 20201119 | CVCO   | 0                   | 4            | 18           | M      |
| 20201119 | CVE    | 0                   | 100          | 100          | M      |
| 20201119 | CVET   | 0                   | 200          | 400          | M      |
| 20201119 | CVLT   | 0                   | 200          | 200          | M      |
| 20201119 | CVNA   | 0                   | 10370        | 28518        | M      |
| 20201119 | CVS    | 0                   | 401          | 502          | M      |
| 20201119 | CVV    | 0                   | 100          | 100          | M      |
| 20201119 | CVX    | 0                   | 1408         | 2193         | M      |
| 20201119 | CW     | 0                   | 20           | 25           | M      |
| 20201119 | CWEN   | 0                   | 68           | 174          | M      |
| 20201119 | CWEN A | 0                   | 1            | 1            | M      |
| 20201119 | CWH    | 0                   | 0            | 150          | M      |
| 20201119 | CWST   | 0                   | 0            | 193          | M      |
| 20201119 | CWT    | 0                   | 0            | 60           | M      |
| 20201119 | CXP    | 0                   | 149          | 248          | M      |
| 20201119 | CXW    | 0                   | 4            | 5            | M      |
| 20201119 | CYBE   | 0                   | 300          | 300          | M      |
| 20201119 | CYBR   | 0                   | 100          | 100          | M      |
| 20201119 | CYH    | 0                   | 50           | 50           | M      |
| 20201119 | CYRX   | 0                   | 109          | 307          | M      |
| 20201119 | CYTK   | 0                   | 100          | 100          | M      |
| 20201119 | CZR    | 0                   | 300          | 300          | M      |
| 20201119 | CZZ    | 0                   | 0            | 200          | M      |
| 20201119 | D      | 0                   | 976          | 15397        | M      |
| 20201119 | DAC    | 0                   | 200          | 200          | M      |
| 20201119 | DADA   | 0                   | 3993         | 5573         | M      |
| 20201119 | DAL    | 0                   | 0            | 35200        | M      |
| 20201119 | DAO    | 0                   | 200          | 329          | M      |
| 20201119 | DAR    | 0                   | 101          | 107          | M      |
| 20201119 | DBD    | 0                   | 149          | 849          | M      |
| 20201119 | DBDR   | 0                   | 0            | 200          | M      |
| 20201119 | DCI    | 0                   | 184          | 184          | M      |
| 20201119 | DCOM   | 0                   | 100          | 100          | M      |
| 20201119 | DCP    | 0                   | 118          | 118          | M      |
| 20201119 | DCPH   | 0                   | 41           | 125          | M      |
| 20201119 | DCT    | 0                   | 3            | 26           | M      |
| 20201119 | DDOG   | 200                 | 1145         | 11881        | M      |
| 20201119 | DDS    | 0                   | 879          | 2277         | M      |
| 20201119 | DE     | 0                   | 167          | 272          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201119 | DECK   | 0                   | 979          | 1268         | M      |
| 20201119 | DEI    | 0                   | 282          | 506          | M      |
| 20201119 | DELL   | 0                   | 65           | 265          | M      |
| 20201119 | DEN    | 0                   | 100          | 100          | M      |
| 20201119 | DENN   | 0                   | 296          | 296          | M      |
| 20201119 | DEO    | 0                   | 0            | 123          | M      |
| 20201119 | DESP   | 0                   | 100          | 100          | M      |
| 20201119 | DFS    | 0                   | 323          | 523          | M      |
| 20201119 | DG     | 0                   | 0            | 300          | M      |
| 20201119 | DGII   | 0                   | 100          | 100          | M      |
| 20201119 | DGNS   | 0                   | 0            | 100          | M      |
| 20201119 | DGX    | 0                   | 164          | 321          | M      |
| 20201119 | DHI    | 0                   | 169          | 169          | M      |
| 20201119 | DHR    | 0                   | 506          | 1006         | M      |
| 20201119 | DHX    | 0                   | 0            | 100          | M      |
| 20201119 | DIA    | 0                   | 0            | 7475         | M      |
| 20201119 | DIN    | 0                   | 0            | 102          | M      |
| 20201119 | DIS    | 0                   | 405          | 15153        | M      |
| 20201119 | DISH   | 0                   | 3            | 105          | M      |
| 20201119 | DK     | 0                   | 200          | 300          | M      |
| 20201119 | DKL    | 0                   | 100          | 100          | M      |
| 20201119 | DKNG   | 0                   | 600          | 1387         | M      |
| 20201119 | DKS    | 0                   | 151          | 33484        | M      |
| 20201119 | DLB    | 0                   | 250          | 284          | M      |
| 20201119 | DLNG   | 0                   | 0            | 98           | M      |
| 20201119 | DLR    | 0                   | 241          | 349          | M      |
| 20201119 | DLTH   | 0                   | 237          | 237          | M      |
| 20201119 | DLTR   | 0                   | 142          | 1008         | M      |
| 20201119 | DMRC   | 0                   | 0            | 198          | M      |
| 20201119 | DMTK   | 0                   | 200          | 245          | M      |
| 20201119 | DNB    | 0                   | 200          | 200          | M      |
| 20201119 | DNK    | 0                   | 500          | 1000         | M      |
| 20201119 | DNLI   | 0                   | 0            | 290          | M      |
| 20201119 | DNP    | 0                   | 0            | 36           | M      |
| 20201119 | DOCU   | 0                   | 960          | 1338         | M      |
| 20201119 | DOGZ   | 0                   | 100          | 200          | M      |
| 20201119 | DOOR   | 0                   | 190          | 190          | M      |
| 20201119 | DORM   | 0                   | 46           | 142          | M      |
| 20201119 | DOV    | 0                   | 11           | 11           | M      |
| 20201119 | DOW    | 0                   | 339          | 429          | M      |
| 20201119 | DOYU   | 0                   | 0            | 105          | M      |
| 20201119 | DPZ    | 0                   | 223          | 263          | M      |
| 20201119 | DQ     | 0                   | 100          | 300          | M      |
| 20201119 | DRD    | 0                   | 100          | 100          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201119 | DRE    | 0                   | 207          | 207          | M      |
| 20201119 | DRH    | 0                   | 905          | 905          | M      |
| 20201119 | DRI    | 0                   | 379          | 379          | M      |
| 20201119 | DRIO   | 0                   | 100          | 100          | M      |
| 20201119 | DRNA   | 0                   | 50           | 150          | M      |
| 20201119 | DRQ    | 0                   | 100          | 100          | M      |
| 20201119 | DSKE   | 0                   | 229          | 229          | M      |
| 20201119 | DSM    | 0                   | 100          | 100          | M      |
| 20201119 | DTE    | 0                   | 342          | 342          | M      |
| 20201119 | DTIL   | 0                   | 701          | 830          | M      |
| 20201119 | DUK    | 0                   | 200          | 200          | M      |
| 20201119 | DVA    | 0                   | 284          | 284          | M      |
| 20201119 | DVN    | 0                   | 3            | 4            | M      |
| 20201119 | DXC    | 0                   | 100          | 257          | M      |
| 20201119 | DXCM   | 0                   | 19124        | 40224        | M      |
| 20201119 | DXF    | 0                   | 100          | 100          | M      |
| 20201119 | DY     | 0                   | 36           | 36           | M      |
| 20201119 | DYN    | 0                   | 200          | 330          | M      |
| 20201119 | DZSI   | 0                   | 3            | 3            | M      |
| 20201119 | EA     | 0                   | 31           | 1589         | M      |
| 20201119 | EAR    | 0                   | 1196         | 1496         | M      |
| 20201119 | EAT    | 0                   | 100          | 286          | M      |
| 20201119 | EB     | 0                   | 0            | 590          | M      |
| 20201119 | EBAY   | 0                   | 0            | 12           | M      |
| 20201119 | EBF    | 0                   | 100          | 100          | M      |
| 20201119 | EBIX   | 0                   | 0            | 192          | M      |
| 20201119 | EBON   | 700                 | 700          | 1400         | M      |
| 20201119 | EBS    | 0                   | 713          | 813          | M      |
| 20201119 | ECL    | 0                   | 619          | 679          | M      |
| 20201119 | EDIT   | 0                   | 1339         | 1597         | M      |
| 20201119 | EDSA   | 0                   | 30           | 230          | M      |
| 20201119 | EDU    | 0                   | 804          | 1387         | M      |
| 20201119 | EEFT   | 0                   | 200          | 200          | M      |
| 20201119 | EEM    | 0                   | 2895         | 451522       | M      |
| 20201119 | EFA    | 0                   | 2            | 214502       | M      |
| 20201119 | EFOI   | 0                   | 100          | 100          | M      |
| 20201119 | EFSC   | 0                   | 0            | 9            | M      |
| 20201119 | EFX    | 0                   | 434          | 876          | M      |
| 20201119 | EGAN   | 0                   | 0            | 239          | M      |
| 20201119 | EGHT   | 0                   | 248          | 248          | M      |
| 20201119 | EGOV   | 0                   | 200          | 200          | M      |
| 20201119 | EGP    | 0                   | 235          | 235          | M      |
| 20201119 | EGRX   | 0                   | 0            | 300          | M      |
| 20201119 | EGY    | 0                   | 100          | 100          | M      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201119 | EH     | 0                   | 70           | 171          | M      |
| 20201119 | EHC    | 0                   | 200          | 200          | M      |
| 20201119 | EHTH   | 0                   | 100          | 105          | M      |
| 20201119 | EIDX   | 0                   | 35           | 124          | M      |
| 20201119 | EIGI   | 0                   | 1            | 1            | M      |
| 20201119 | EINC   | 0                   | 8            | 13           | M      |
| 20201119 | EIX    | 0                   | 0            | 239          | M      |
| 20201119 | EL     | 0                   | 572          | 818          | M      |
| 20201119 | ELP    | 0                   | 0            | 100          | M      |
| 20201119 | ELS    | 0                   | 100          | 100          | M      |
| 20201119 | ELY    | 0                   | 225          | 325          | M      |
| 20201119 | EME    | 0                   | 0            | 159          | M      |
| 20201119 | EMN    | 0                   | 300          | 400          | M      |
| 20201119 | EMO    | 0                   | 100          | 100          | M      |
| 20201119 | EMR    | 0                   | 45           | 45           | M      |
| 20201119 | ENB    | 0                   | 2299         | 4526         | M      |
| 20201119 | ENPH   | 0                   | 251          | 1174         | M      |
| 20201119 | ENR    | 0                   | 671          | 871          | M      |
| 20201119 | ENS    | 0                   | 0            | 359          | M      |
| 20201119 | ENSG   | 0                   | 13           | 307          | M      |
| 20201119 | ENTG   | 0                   | 344          | 497          | M      |
| 20201119 | ENV    | 0                   | 45           | 45           | M      |
| 20201119 | ENVA   | 0                   | 300          | 300          | M      |
| 20201119 | EOG    | 0                   | 767          | 1426         | M      |
| 20201119 | EOSE   | 0                   | 417          | 4006         | M      |
| 20201119 | EPAC   | 0                   | 0            | 99           | M      |
| 20201119 | EPAM   | 0                   | 49           | 51           | M      |
| 20201119 | EPC    | 0                   | 148          | 148          | M      |
| 20201119 | EPD    | 0                   | 0            | 100          | M      |
| 20201119 | EPR    | 0                   | 713          | 813          | M      |
| 20201119 | EPRT   | 0                   | 166          | 366          | M      |
| 20201119 | EPZM   | 0                   | 392          | 392          | M      |
| 20201119 | EQ     | 0                   | 4525         | 44850        | M      |
| 20201119 | EQIX   | 0                   | 173          | 367          | M      |
| 20201119 | EQR    | 0                   | 100          | 257          | M      |
| 20201119 | EQT    | 0                   | 700          | 800          | M      |
| 20201119 | ES     | 0                   | 22           | 138          | M      |
| 20201119 | ESGR   | 0                   | 5            | 5            | M      |
| 20201119 | ESLT   | 0                   | 100          | 100          | M      |
| 20201119 | ESNT   | 0                   | 100          | 100          | M      |
| 20201119 | ESQ    | 0                   | 44           | 44           | M      |
| 20201119 | ESS    | 0                   | 474          | 478          | M      |
| 20201119 | ESTC   | 0                   | 505          | 2200         | M      |
| 20201119 | ESTE   | 0                   | 0            | 300          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201119 | ET     | 0                   | 0            | 200          | M      |
| 20201119 | ETG    | 0                   | 300          | 300          | M      |
| 20201119 | ETN    | 0                   | 200          | 254          | M      |
| 20201119 | ETON   | 0                   | 446          | 1037         | M      |
| 20201119 | ETR    | 0                   | 667          | 870          | M      |
| 20201119 | ETSY   | 0                   | 968          | 35233        | M      |
| 20201119 | EVA    | 0                   | 0            | 89           | M      |
| 20201119 | EVBG   | 0                   | 315          | 327          | M      |
| 20201119 | EVER   | 0                   | 0            | 544          | M      |
| 20201119 | EVH    | 0                   | 200          | 200          | M      |
| 20201119 | EVR    | 0                   | 2            | 2            | M      |
| 20201119 | EVRG   | 0                   | 1087         | 1604         | M      |
| 20201119 | EVRI   | 0                   | 500          | 500          | M      |
| 20201119 | EVTC   | 0                   | 85           | 85           | M      |
| 20201119 | EW     | 0                   | 325          | 325          | M      |
| 20201119 | EWBC   | 0                   | 1            | 1            | M      |
| 20201119 | EWJ    | 0                   | 16           | 17           | M      |
| 20201119 | EWZ    | 0                   | 214          | 170214       | M      |
| 20201119 | EXAS   | 0                   | 255          | 355          | M      |
| 20201119 | EXC    | 0                   | 500          | 7347         | M      |
| 20201119 | EXEL   | 0                   | 0            | 103          | M      |
| 20201119 | EXLS   | 0                   | 0            | 42           | M      |
| 20201119 | EXP    | 0                   | 160          | 160          | M      |
| 20201119 | EXPD   | 0                   | 100          | 100          | M      |
| 20201119 | EXPE   | 0                   | 3681         | 4523         | M      |
| 20201119 | EXPI   | 0                   | 300          | 597          | M      |
| 20201119 | EXPO   | 0                   | 200          | 296          | M      |
| 20201119 | EXR    | 0                   | 134          | 339          | M      |
| 20201119 | EYE    | 0                   | 122          | 122          | M      |
| 20201119 | F      | 0                   | 2272         | 312673       | M      |
| 20201119 | FAF    | 0                   | 144          | 144          | M      |
| 20201119 | FARO   | 0                   | 0            | 100          | M      |
| 20201119 | FAST   | 0                   | 197          | 197          | M      |
| 20201119 | FATE   | 0                   | 189          | 189          | M      |
| 20201119 | FB     | 0                   | 552          | 26035        | M      |
| 20201119 | FBC    | 0                   | 0            | 127          | M      |
| 20201119 | FBHS   | 0                   | 400          | 500          | M      |
| 20201119 | FBNC   | 0                   | 30           | 30           | M      |
| 20201119 | FBRX   | 0                   | 20           | 339          | M      |
| 20201119 | FCAP   | 0                   | 0            | 24           | M      |
| 20201119 | FCEL   | 0                   | 100          | 100          | M      |
| 20201119 | FCFS   | 0                   | 152          | 251          | M      |
| 20201119 | FCN    | 0                   | 299          | 299          | M      |
| 20201119 | FCNCA  | 0                   | 11           | 224          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201119 | FCPT   | 0                   | 198          | 198          | M      |
| 20201119 | FCX    | 0                   | 16           | 24           | M      |
| 20201119 | FDS    | 0                   | 228          | 413          | M      |
| 20201119 | FDX    | 0                   | 403          | 942          | M      |
| 20201119 | FEAC   | 0                   | 6500         | 10377        | M      |
| 20201119 | FFBC   | 0                   | 0            | 100          | M      |
| 20201119 | FFIN   | 0                   | 105          | 105          | M      |
| 20201119 | FFIV   | 0                   | 100          | 584          | M      |
| 20201119 | FGEN   | 0                   | 134          | 134          | M      |
| 20201119 | FHB    | 0                   | 0            | 100          | M      |
| 20201119 | FHN    | 0                   | 2            | 2            | M      |
| 20201119 | FIBK   | 0                   | 0            | 102          | M      |
| 20201119 | FICO   | 0                   | 204          | 314          | M      |
| 20201119 | FIS    | 0                   | 200          | 483          | M      |
| 20201119 | FISV   | 0                   | 401          | 701          | M      |
| 20201119 | FITB   | 0                   | 100          | 1100         | M      |
| 20201119 | FIVE   | 0                   | 409          | 489          | M      |
| 20201119 | FIVN   | 0                   | 200          | 200          | M      |
| 20201119 | FIZZ   | 0                   | 1316         | 1728         | M      |
| 20201119 | FL     | 0                   | 296          | 29996        | M      |
| 20201119 | FLGT   | 0                   | 200          | 200          | M      |
| 20201119 | FLIR   | 0                   | 100          | 100          | M      |
| 20201119 | FLO    | 0                   | 0            | 100          | M      |
| 20201119 | FLOW   | 0                   | 0            | 200          | M      |
| 20201119 | FLR    | 0                   | 200          | 300          | M      |
| 20201119 | FLS    | 0                   | 148          | 148          | M      |
| 20201119 | FLT    | 0                   | 1373         | 2264         | M      |
| 20201119 | FLWS   | 0                   | 1470         | 1470         | M      |
| 20201119 | FLXN   | 0                   | 1243         | 2786         | M      |
| 20201119 | FLY    | 0                   | 0            | 1840         | M      |
| 20201119 | FMBI   | 0                   | 100          | 100          | M      |
| 20201119 | FMC    | 0                   | 40           | 40           | M      |
| 20201119 | FMX    | 0                   | 100          | 100          | M      |
| 20201119 | FNKO   | 0                   | 200          | 401          | M      |
| 20201119 | FNV    | 0                   | 0            | 81           | M      |
| 20201119 | FOCS   | 0                   | 0            | 44           | M      |
| 20201119 | FOLD   | 0                   | 491          | 969          | M      |
| 20201119 | FORM   | 0                   | 0            | 200          | M      |
| 20201119 | FOSL   | 0                   | 395          | 495          | M      |
| 20201119 | FOUR   | 0                   | 300          | 501          | M      |
| 20201119 | FOXA   | 0                   | 109          | 20109        | M      |
| 20201119 | FOXF   | 0                   | 1            | 3            | M      |
| 20201119 | FPRX   | 0                   | 0            | 78           | M      |
| 20201119 | FRC    | 0                   | 229          | 329          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201119 | FREE   | 0                   | 413          | 830          | M      |
| 20201119 | FREQ   | 0                   | 2            | 10           | M      |
| 20201119 | FRHC   | 0                   | 0            | 100          | M      |
| 20201119 | FRME   | 0                   | 0            | 44           | M      |
| 20201119 | FROG   | 0                   | 0            | 200          | M      |
| 20201119 | FRPT   | 0                   | 232          | 715          | M      |
| 20201119 | FRT    | 0                   | 697          | 997          | M      |
| 20201119 | FRTA   | 0                   | 100          | 100          | M      |
| 20201119 | FSBW   | 0                   | 0            | 10           | M      |
| 20201119 | FSKR   | 0                   | 0            | 100          | M      |
| 20201119 | FSLR   | 0                   | 323          | 526          | M      |
| 20201119 | FSLY   | 0                   | 2965         | 6250         | M      |
| 20201119 | FSR    | 0                   | 100          | 1191         | M      |
| 20201119 | FTCH   | 0                   | 1063         | 1262         | M      |
| 20201119 | FTDR   | 0                   | 0            | 358          | M      |
| 20201119 | FTFT   | 0                   | 100          | 100          | M      |
| 20201119 | FTNT   | 0                   | 100          | 315          | M      |
| 20201119 | FTS    | 0                   | 109          | 509          | M      |
| 20201119 | FTV    | 0                   | 100          | 400          | M      |
| 20201119 | FUBO   | 0                   | 1214         | 2581         | M      |
| 20201119 | FULC   | 0                   | 0            | 100          | M      |
| 20201119 | FULTP  | 0                   | 0            | 500          | M      |
| 20201119 | FUN    | 0                   | 100          | 237          | M      |
| 20201119 | FUSN   | 0                   | 0            | 80           | M      |
| 20201119 | FUTU   | 0                   | 230          | 530          | M      |
| 20201119 | FUV    | 0                   | 1039         | 1149         | M      |
| 20201119 | FVRR   | 0                   | 5866         | 8305         | M      |
| 20201119 | FWONA  | 0                   | 0            | 100          | M      |
| 20201119 | FWONK  | 0                   | 0            | 99           | M      |
| 20201119 | FXI    | 0                   | 2            | 3            | M      |
| 20201119 | G      | 0                   | 108          | 114          | M      |
| 20201119 | GAN    | 0                   | 182          | 182          | M      |
| 20201119 | GATO   | 0                   | 200          | 1042         | M      |
| 20201119 | GBCI   | 0                   | 0            | 103          | M      |
| 20201119 | GBDC   | 0                   | 0            | 100          | M      |
| 20201119 | GBIO   | 0                   | 117          | 117          | M      |
| 20201119 | GBLI   | 0                   | 349          | 349          | M      |
| 20201119 | GBT    | 0                   | 2597         | 2697         | M      |
| 20201119 | GBX    | 0                   | 100          | 298          | M      |
| 20201119 | GCMG   | 0                   | 110          | 320          | M      |
| 20201119 | GCO    | 0                   | 2            | 202          | M      |
| 20201119 | GD     | 0                   | 100          | 450          | M      |
| 20201119 | GDDY   | 0                   | 663          | 937          | M      |
| 20201119 | GDOT   | 0                   | 0            | 120          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201119 | GDRX   | 0                   | 130          | 156          | M      |
| 20201119 | GDS    | 0                   | 170          | 370          | M      |
| 20201119 | GDX    | 0                   | 5            | 48408        | M      |
| 20201119 | GDXJ   | 0                   | 0            | 207000       | M      |
| 20201119 | GDYN   | 0                   | 0            | 133          | M      |
| 20201119 | GE     | 0                   | 2420         | 386641       | M      |
| 20201119 | GEC    | 0                   | 0            | 36           | M      |
| 20201119 | GEF B  | 0                   | 146          | 275          | M      |
| 20201119 | GEL    | 0                   | 0            | 1454         | M      |
| 20201119 | GES    | 0                   | 201          | 291          | M      |
| 20201119 | GFI    | 0                   | 39           | 44           | M      |
| 20201119 | GGAL   | 0                   | 220          | 220          | M      |
| 20201119 | GGB    | 0                   | 9            | 9            | M      |
| 20201119 | GGG    | 0                   | 22           | 23           | M      |
| 20201119 | GH     | 0                   | 104          | 204          | M      |
| 20201119 | GHC    | 0                   | 19           | 61           | M      |
| 20201119 | GHSI   | 0                   | 15           | 15           | M      |
| 20201119 | GIII   | 0                   | 99           | 99           | M      |
| 20201119 | GILD   | 0                   | 1            | 25001        | M      |
| 20201119 | GIS    | 0                   | 1091         | 1141         | M      |
| 20201119 | GKOS   | 0                   | 29           | 198          | M      |
| 20201119 | GLAD   | 0                   | 0            | 100          | M      |
| 20201119 | GLD    | 0                   | 1            | 166053       | M      |
| 20201119 | GLDM   | 0                   | 5            | 105          | M      |
| 20201119 | GLNG   | 0                   | 10457        | 11659        | M      |
| 20201119 | GLOB   | 0                   | 119          | 119          | M      |
| 20201119 | GLPG   | 0                   | 0            | 100          | M      |
| 20201119 | GLPI   | 0                   | 0            | 291          | M      |
| 20201119 | GLW    | 0                   | 1            | 401          | M      |
| 20201119 | GM     | 0                   | 311          | 116620       | M      |
| 20201119 | GMBL   | 0                   | 100          | 100          | M      |
| 20201119 | GMDA   | 0                   | 100          | 100          | M      |
| 20201119 | GME    | 0                   | 100          | 100          | M      |
| 20201119 | GMED   | 0                   | 147          | 147          | M      |
| 20201119 | GMLP   | 0                   | 0            | 100          | M      |
| 20201119 | GMRE   | 0                   | 0            | 100          | M      |
| 20201119 | GNLN   | 0                   | 100          | 100          | M      |
| 20201119 | GNMK   | 0                   | 159          | 259          | M      |
| 20201119 | GNRC   | 0                   | 453          | 671          | M      |
| 20201119 | GNTX   | 0                   | 0            | 600          | M      |
| 20201119 | GNUS   | 0                   | 0            | 3000         | M      |
| 20201119 | GNW    | 0                   | 46           | 58048        | M      |
| 20201119 | GO     | 0                   | 100          | 490          | M      |
| 20201119 | GOCO   | 0                   | 100          | 200          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201119 | GOGO   | 0                   | 192          | 192          | M      |
| 20201119 | GOLD   | 0                   | 0            | 114006       | M      |
| 20201119 | GOOD   | 0                   | 0            | 50           | M      |
| 20201119 | GOOG   | 0                   | 261          | 168515       | M      |
| 20201119 | GOOGL  | 0                   | 249          | 20079        | M      |
| 20201119 | GOSS   | 0                   | 135          | 435          | M      |
| 20201119 | GP     | 0                   | 400          | 628          | M      |
| 20201119 | GPC    | 0                   | 400          | 400          | M      |
| 20201119 | GPI    | 0                   | 288          | 677          | M      |
| 20201119 | GPMT   | 0                   | 47           | 47           | M      |
| 20201119 | GPN    | 0                   | 935          | 1092         | M      |
| 20201119 | GPRE   | 0                   | 0            | 100          | M      |
| 20201119 | GPRO   | 0                   | 31           | 38           | M      |
| 20201119 | GPS    | 0                   | 0            | 2            | M      |
| 20201119 | GRA    | 0                   | 250          | 250          | M      |
| 20201119 | GRBK   | 0                   | 0            | 100          | M      |
| 20201119 | GRMN   | 0                   | 69           | 190          | M      |
| 20201119 | GRPN   | 0                   | 49           | 49           | M      |
| 20201119 | GRTS   | 0                   | 470          | 470          | M      |
| 20201119 | GRUB   | 0                   | 199          | 199          | M      |
| 20201119 | GRWG   | 0                   | 2389         | 5234         | M      |
| 20201119 | GS     | 0                   | 607          | 1620         | M      |
| 20201119 | GSHD   | 0                   | 178          | 178          | M      |
| 20201119 | GSM    | 0                   | 100          | 100          | M      |
| 20201119 | GSX    | 0                   | 500          | 749          | M      |
| 20201119 | GT     | 0                   | 0            | 125          | M      |
| 20201119 | GTEC   | 0                   | 0            | 600          | M      |
| 20201119 | GTHX   | 0                   | 0            | 324          | M      |
| 20201119 | GTLS   | 0                   | 132          | 232          | M      |
| 20201119 | GTN    | 0                   | 200          | 200          | M      |
| 20201119 | GVA    | 0                   | 100          | 104          | M      |
| 20201119 | GWPH   | 0                   | 200          | 200          | M      |
| 20201119 | GWRE   | 0                   | 166          | 188          | M      |
| 20201119 | GWW    | 0                   | 581          | 781          | M      |
| 20201119 | H      | 0                   | 500          | 1308         | M      |
| 20201119 | HA     | 0                   | 226          | 226          | M      |
| 20201119 | HAE    | 0                   | 503          | 503          | M      |
| 20201119 | HAIN   | 0                   | 250          | 250          | M      |
| 20201119 | HALO   | 0                   | 1111         | 1411         | M      |
| 20201119 | HAS    | 0                   | 240          | 240          | M      |
| 20201119 | HASI   | 0                   | 100          | 201          | M      |
| 20201119 | HAYN   | 0                   | 86           | 86           | M      |
| 20201119 | HBB    | 0                   | 22           | 22           | M      |
| 20201119 | HCA    | 0                   | 715          | 1306         | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201119 | HCAT   | 0                   | 35           | 107          | M      |
| 20201119 | HCI    | 0                   | 10           | 10           | M      |
| 20201119 | HCSG   | 0                   | 0            | 100          | M      |
| 20201119 | HD     | 0                   | 622          | 1638         | M      |
| 20201119 | HDB    | 0                   | 0            | 70           | M      |
| 20201119 | HE     | 0                   | 100          | 100          | M      |
| 20201119 | HEAR   | 0                   | 0            | 19           | M      |
| 20201119 | HEES   | 0                   | 40           | 137          | M      |
| 20201119 | HEI    | 0                   | 132          | 208          | M      |
| 20201119 | HEI A  | 0                   | 101          | 301          | M      |
| 20201119 | HELE   | 0                   | 0            | 100          | M      |
| 20201119 | HEP    | 0                   | 197          | 197          | M      |
| 20201119 | HES    | 0                   | 400          | 400          | M      |
| 20201119 | HFC    | 0                   | 250          | 250          | M      |
| 20201119 | HGBL   | 0                   | 100          | 100          | M      |
| 20201119 | HGEN   | 0                   | 120          | 120          | M      |
| 20201119 | HGLB   | 0                   | 100          | 299          | M      |
| 20201119 | HGV    | 0                   | 100          | 100          | M      |
| 20201119 | HHC    | 0                   | 0            | 5            | M      |
| 20201119 | HHR    | 0                   | 90           | 90           | M      |
| 20201119 | HIBB   | 0                   | 503          | 512          | M      |
| 20201119 | HIG    | 0                   | 352          | 541          | M      |
| 20201119 | HII    | 0                   | 129          | 323          | M      |
| 20201119 | HIL    | 0                   | 0            | 100          | M      |
| 20201119 | HIW    | 0                   | 97           | 197          | M      |
| 20201119 | HLIO   | 0                   | 25           | 33           | M      |
| 20201119 | HLNE   | 0                   | 93           | 93           | M      |
| 20201119 | HLT    | 0                   | 93           | 744          | M      |
| 20201119 | HMI    | 0                   | 1553         | 1553         | M      |
| 20201119 | HMN    | 0                   | 104          | 104          | M      |
| 20201119 | HMST   | 0                   | 0            | 17           | M      |
| 20201119 | HMSY   | 0                   | 100          | 100          | M      |
| 20201119 | HOG    | 0                   | 92           | 92           | M      |
| 20201119 | HOLI   | 0                   | 1            | 1            | M      |
| 20201119 | HOLX   | 0                   | 112          | 245205       | M      |
| 20201119 | HOME   | 0                   | 143          | 1043         | M      |
| 20201119 | HON    | 0                   | 791          | 891          | M      |
| 20201119 | HOV    | 0                   | 0            | 101          | M      |
| 20201119 | HP     | 0                   | 767          | 1067         | M      |
| 20201119 | HPE    | 0                   | 0            | 1            | M      |
| 20201119 | HPP    | 0                   | 300          | 500          | M      |
| 20201119 | HPR    | 0                   | 200          | 200          | M      |
| 20201119 | HQY    | 0                   | 308          | 309          | M      |
| 20201119 | HRC    | 0                   | 128          | 128          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201119 | HRI    | 0                   | 0            | 100          | M      |
| 20201119 | HRL    | 12                  | 5120         | 8195         | M      |
| 20201119 | HRMY   | 0                   | 479          | 610          | M      |
| 20201119 | HRTX   | 0                   | 300          | 400          | M      |
| 20201119 | HSBC   | 0                   | 1            | 5            | M      |
| 20201119 | HSC    | 0                   | 100          | 100          | M      |
| 20201119 | HSIC   | 0                   | 6            | 256          | M      |
| 20201119 | HSKA   | 0                   | 0            | 104          | M      |
| 20201119 | HST    | 0                   | 1            | 1            | M      |
| 20201119 | HSY    | 0                   | 205          | 268          | M      |
| 20201119 | HTA    | 0                   | 200          | 300          | M      |
| 20201119 | HTH    | 0                   | 141          | 204          | M      |
| 20201119 | HTHT   | 0                   | 200          | 200          | M      |
| 20201119 | HUBB   | 0                   | 48           | 629          | M      |
| 20201119 | HUBS   | 0                   | 581          | 1551         | M      |
| 20201119 | HUM    | 0                   | 387          | 1544         | M      |
| 20201119 | HUN    | 0                   | 156          | 256          | M      |
| 20201119 | HUYA   | 0                   | 295          | 372          | M      |
| 20201119 | HWBK   | 0                   | 0            | 9            | M      |
| 20201119 | HWC    | 0                   | 100          | 100          | M      |
| 20201119 | HWM    | 0                   | 196          | 910          | M      |
| 20201119 | HXL    | 0                   | 202          | 243          | M      |
| 20201119 | HYG    | 0                   | 8398         | 108094       | M      |
| 20201119 | HYLN   | 0                   | 11           | 547          | M      |
| 20201119 | HYMC   | 0                   | 900          | 900          | M      |
| 20201119 | HYRE   | 0                   | 100          | 100          | M      |
| 20201119 | HZNP   | 0                   | 100          | 100          | M      |
| 20201119 | IAA    | 0                   | 939          | 939          | M      |
| 20201119 | IAC    | 0                   | 942          | 942          | M      |
| 20201119 | IART   | 0                   | 101          | 101          | M      |
| 20201119 | IBB    | 0                   | 0            | 10500        | M      |
| 20201119 | IBEX   | 0                   | 0            | 194          | M      |
| 20201119 | IBKR   | 0                   | 200          | 200          | M      |
| 20201119 | IBM    | 0                   | 3            | 3            | M      |
| 20201119 | IBN    | 0                   | 3            | 103          | M      |
| 20201119 | IBP    | 0                   | 226          | 306          | M      |
| 20201119 | IBTX   | 0                   | 0            | 82           | M      |
| 20201119 | ICE    | 0                   | 41           | 92           | M      |
| 20201119 | ICFI   | 0                   | 0            | 200          | M      |
| 20201119 | ICHR   | 0                   | 100          | 100          | M      |
| 20201119 | ICLR   | 0                   | 126          | 142          | M      |
| 20201119 | ICPT   | 0                   | 0            | 180          | M      |
| 20201119 | IDA    | 0                   | 100          | 100          | M      |
| 20201119 | IDXX   | 0                   | 591          | 672          | M      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201119 | IEA    | 0                   | 100          | 578          | M      |
| 20201119 | IEF    | 0                   | 0            | 210000       | M      |
| 20201119 | IEP    | 0                   | 0            | 162          | M      |
| 20201119 | IEV    | 0                   | 1            | 1            | M      |
| 20201119 | IEX    | 0                   | 418          | 430          | M      |
| 20201119 | IFF    | 0                   | 468          | 1270         | M      |
| 20201119 | IFS    | 0                   | 100          | 100          | M      |
| 20201119 | IGMS   | 0                   | 298          | 298          | M      |
| 20201119 | IGV    | 0                   | 0            | 800          | M      |
| 20201119 | IH     | 0                   | 0            | 100          | M      |
| 20201119 | IHG    | 0                   | 0            | 100          | M      |
| 20201119 | IHRT   | 0                   | 500          | 1000         | M      |
| 20201119 | IHT    | 0                   | 100          | 100          | M      |
| 20201119 | IIPR   | 0                   | 58           | 366          | M      |
| 20201119 | IIVI   | 0                   | 343          | 537          | M      |
| 20201119 | IJR    | 0                   | 0            | 1            | M      |
| 20201119 | ILMN   | 0                   | 400          | 417          | M      |
| 20201119 | ILPT   | 0                   | 100          | 100          | M      |
| 20201119 | IMAX   | 0                   | 0            | 500          | M      |
| 20201119 | IMKTA  | 0                   | 1084         | 1931         | M      |
| 20201119 | IMMR   | 0                   | 100          | 100          | M      |
| 20201119 | IMVT   | 0                   | 1            | 1015         | M      |
| 20201119 | IMXI   | 0                   | 0            | 3            | M      |
| 20201119 | INCY   | 0                   | 190          | 190          | M      |
| 20201119 | INDB   | 0                   | 102          | 102          | M      |
| 20201119 | INFO   | 0                   | 198          | 224          | M      |
| 20201119 | ING    | 0                   | 0            | 12           | M      |
| 20201119 | INGR   | 0                   | 200          | 300          | M      |
| 20201119 | INN    | 0                   | 0            | 1            | M      |
| 20201119 | INO    | 0                   | 100          | 200          | M      |
| 20201119 | INOD   | 0                   | 99           | 99           | M      |
| 20201119 | INSE   | 0                   | 100          | 100          | M      |
| 20201119 | INSM   | 0                   | 339          | 339          | M      |
| 20201119 | INSP   | 0                   | 0            | 204          | M      |
| 20201119 | INTC   | 88                  | 6595         | 1008354      | M      |
| 20201119 | INTU   | 0                   | 539          | 1039         | M      |
| 20201119 | INVH   | 0                   | 0            | 600          | M      |
| 20201119 | INVO   | 0                   | 0            | 100          | M      |
| 20201119 | INZY   | 0                   | 58           | 58           | M      |
| 20201119 | IONS   | 0                   | 99           | 158          | M      |
| 20201119 | IOSP   | 0                   | 169          | 178          | M      |
| 20201119 | IOVA   | 0                   | 0            | 351          | M      |
| 20201119 | IP     | 0                   | 0            | 70000        | M      |
| 20201119 | IPAR   | 0                   | 0            | 100          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201119 | IPGP   | 0                   | 0            | 126          | M      |
| 20201119 | IPHI   | 0                   | 100          | 100          | M      |
| 20201119 | IPI    | 0                   | 78           | 78           | M      |
| 20201119 | IQ     | 0                   | 100          | 200          | M      |
| 20201119 | IQV    | 0                   | 500          | 674          | M      |
| 20201119 | IRBT   | 0                   | 213          | 213          | M      |
| 20201119 | IRDM   | 0                   | 60           | 160          | M      |
| 20201119 | IRM    | 0                   | 0            | 140          | M      |
| 20201119 | IRT    | 0                   | 0            | 100          | M      |
| 20201119 | IRTC   | 0                   | 112          | 769          | M      |
| 20201119 | IRWD   | 0                   | 26           | 26           | M      |
| 20201119 | ISEE   | 0                   | 200          | 300          | M      |
| 20201119 | ISRG   | 0                   | 239          | 2136         | M      |
| 20201119 | IT     | 0                   | 180          | 180          | M      |
| 20201119 | ITCI   | 0                   | 10           | 10           | M      |
| 20201119 | ITT    | 0                   | 302          | 307          | M      |
| 20201119 | ITUB   | 0                   | 500          | 1500         | M      |
| 20201119 | ITW    | 0                   | 100          | 248          | M      |
| 20201119 | IUSG   | 0                   | 1            | 1            | M      |
| 20201119 | IWM    | 0                   | 31           | 875609       | M      |
| 20201119 | IYR    | 0                   | 0            | 6000         | M      |
| 20201119 | J      | 0                   | 0            | 183          | M      |
| 20201119 | JACK   | 0                   | 42           | 172          | M      |
| 20201119 | JAMF   | 0                   | 0            | 166          | M      |
| 20201119 | JAZZ   | 0                   | 152          | 252          | M      |
| 20201119 | JBGS   | 0                   | 300          | 400          | M      |
| 20201119 | JBHT   | 0                   | 96           | 96           | M      |
| 20201119 | JBT    | 0                   | 200          | 210          | M      |
| 20201119 | JCI    | 0                   | 615          | 2080         | M      |
| 20201119 | JCOM   | 0                   | 772          | 772          | M      |
| 20201119 | JD     | 0                   | 1157         | 92888        | M      |
| 20201119 | JEF    | 0                   | 168          | 168          | M      |
| 20201119 | JETS   | 0                   | 0            | 1            | M      |
| 20201119 | JG     | 0                   | 0            | 300          | M      |
| 20201119 | JHG    | 0                   | 150          | 150          | M      |
| 20201119 | JJSF   | 0                   | 115          | 131          | M      |
| 20201119 | JKHY   | 0                   | 200          | 400          | M      |
| 20201119 | JKS    | 0                   | 364          | 564          | M      |
| 20201119 | JLL    | 0                   | 339          | 341          | M      |
| 20201119 | JMIA   | 0                   | 652          | 306092       | M      |
| 20201119 | JNCE   | 0                   | 100          | 100          | M      |
| 20201119 | JNJ    | 0                   | 569          | 80569        | M      |
| 20201119 | JOBS   | 0                   | 357          | 357          | M      |
| 20201119 | JPM    | 0                   | 2472         | 3907         | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201119 | JRVR   | 0                   | 0            | 177          | M      |
| 20201119 | JWN    | 100                 | 100          | 2200         | M      |
| 20201119 | JYAC   | 0                   | 0            | 600          | M      |
| 20201119 | JYNT   | 0                   | 0            | 2            | M      |
| 20201119 | K      | 0                   | 200          | 200          | M      |
| 20201119 | KAI    | 0                   | 96           | 242          | M      |
| 20201119 | KALU   | 0                   | 3            | 3            | M      |
| 20201119 | KALV   | 0                   | 26           | 41           | M      |
| 20201119 | KAMN   | 0                   | 59           | 131          | M      |
| 20201119 | KAR    | 0                   | 100          | 100          | M      |
| 20201119 | KB     | 0                   | 0            | 194          | M      |
| 20201119 | KBH    | 0                   | 0            | 200          | M      |
| 20201119 | KBR    | 0                   | 1            | 1            | M      |
| 20201119 | KC     | 0                   | 0            | 155          | M      |
| 20201119 | KDNY   | 0                   | 78           | 151          | M      |
| 20201119 | KDP    | 0                   | 1            | 1301         | M      |
| 20201119 | KERN   | 0                   | 100          | 200          | M      |
| 20201119 | KEX    | 0                   | 100          | 190          | M      |
| 20201119 | KEY    | 0                   | 4            | 4            | M      |
| 20201119 | KEYS   | 0                   | 170          | 4616         | M      |
| 20201119 | KFY    | 0                   | 0            | 100          | M      |
| 20201119 | KGC    | 0                   | 700          | 700          | M      |
| 20201119 | KHC    | 0                   | 901          | 901          | M      |
| 20201119 | KIDS   | 0                   | 0            | 57           | M      |
| 20201119 | KIM    | 0                   | 0            | 1            | M      |
| 20201119 | KIRK   | 0                   | 124          | 124          | M      |
| 20201119 | KKR    | 0                   | 106          | 206          | M      |
| 20201119 | KL     | 0                   | 0            | 100          | M      |
| 20201119 | KLAC   | 0                   | 215          | 215          | M      |
| 20201119 | KLIC   | 0                   | 100          | 100          | M      |
| 20201119 | KMB    | 0                   | 300          | 600          | M      |
| 20201119 | KMI    | 0                   | 0            | 116          | M      |
| 20201119 | KMPR   | 0                   | 100          | 100          | M      |
| 20201119 | KMT    | 0                   | 0            | 100          | M      |
| 20201119 | KMX    | 0                   | 427          | 591          | M      |
| 20201119 | KNDI   | 0                   | 5574         | 8473         | M      |
| 20201119 | KNL    | 0                   | 553          | 859          | M      |
| 20201119 | KNSL   | 0                   | 311          | 313          | M      |
| 20201119 | KNX    | 0                   | 305          | 305          | M      |
| 20201119 | KO     | 0                   | 15           | 11058        | M      |
| 20201119 | KOD    | 0                   | 0            | 364          | M      |
| 20201119 | KPTI   | 0                   | 399          | 597          | M      |
| 20201119 | KRA    | 0                   | 0            | 27           | M      |
| 20201119 | KRC    | 0                   | 195          | 195          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201119 | KRNT   | 0                   | 910          | 1011         | M      |
| 20201119 | KRNY   | 0                   | 0            | 173          | M      |
| 20201119 | KRON   | 0                   | 100          | 100          | M      |
| 20201119 | KROS   | 0                   | 400          | 669          | M      |
| 20201119 | KRTX   | 0                   | 159          | 348          | M      |
| 20201119 | KSS    | 0                   | 110          | 124          | M      |
| 20201119 | KSU    | 0                   | 0            | 51           | M      |
| 20201119 | KTB    | 0                   | 100          | 200          | M      |
| 20201119 | KTOS   | 0                   | 100          | 100          | M      |
| 20201119 | KTRA   | 0                   | 0            | 100          | M      |
| 20201119 | KURA   | 0                   | 0            | 70           | M      |
| 20201119 | KWEB   | 0                   | 1            | 1            | M      |
| 20201119 | KWR    | 0                   | 186          | 186          | M      |
| 20201119 | KZIA   | 0                   | 100          | 100          | M      |
| 20201119 | LAC    | 0                   | 196          | 296          | M      |
| 20201119 | LAD    | 0                   | 194          | 194          | M      |
| 20201119 | LADR   | 0                   | 100          | 100          | M      |
| 20201119 | LAMR   | 0                   | 183          | 29433        | M      |
| 20201119 | LANC   | 0                   | 6            | 194          | M      |
| 20201119 | LASR   | 0                   | 382          | 382          | M      |
| 20201119 | LAUR   | 0                   | 0            | 100          | M      |
| 20201119 | LAWS   | 0                   | 0            | 12           | M      |
| 20201119 | LAZ    | 0                   | 0            | 198          | M      |
| 20201119 | LB     | 0                   | 91           | 597          | M      |
| 20201119 | LBRDA  | 0                   | 87           | 182          | M      |
| 20201119 | LBRDK  | 0                   | 24           | 124          | M      |
| 20201119 | LBRT   | 0                   | 100          | 100          | M      |
| 20201119 | LBTYA  | 0                   | 500          | 500          | M      |
| 20201119 | LC     | 0                   | 0            | 100          | M      |
| 20201119 | LCA    | 0                   | 550          | 550          | M      |
| 20201119 | LCII   | 0                   | 368          | 368          | M      |
| 20201119 | LDOS   | 0                   | 237          | 237          | M      |
| 20201119 | LE     | 0                   | 2            | 2            | M      |
| 20201119 | LEA    | 0                   | 408          | 425          | M      |
| 20201119 | LECO   | 0                   | 186          | 286          | M      |
| 20201119 | LEG    | 0                   | 158          | 158          | M      |
| 20201119 | LEN    | 0                   | 90           | 161          | M      |
| 20201119 | LEN B  | 0                   | 3            | 29           | M      |
| 20201119 | LESL   | 0                   | 100          | 100          | M      |
| 20201119 | LEVI   | 0                   | 0            | 204          | M      |
| 20201119 | LFUS   | 0                   | 95           | 195          | M      |
| 20201119 | LFVN   | 0                   | 100          | 100          | M      |
| 20201119 | LGIH   | 0                   | 1            | 101          | M      |
| 20201119 | LGND   | 0                   | 319          | 420          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201119 | LH     | 0                   | 175          | 507          | M      |
| 20201119 | LHCG   | 0                   | 296          | 396          | M      |
| 20201119 | LHX    | 0                   | 537          | 32130        | M      |
| 20201119 | LI     | 309                 | 5379         | 9705         | M      |
| 20201119 | LII    | 1                   | 1            | 3            | M      |
| 20201119 | LILA   | 0                   | 100          | 100          | M      |
| 20201119 | LIN    | 0                   | 350          | 350          | M      |
| 20201119 | LINC   | 0                   | 808          | 908          | M      |
| 20201119 | LIT    | 0                   | 0            | 3            | M      |
| 20201119 | LITB   | 0                   | 100          | 300          | M      |
| 20201119 | LITE   | 0                   | 300          | 639          | M      |
| 20201119 | LIZI   | 0                   | 100          | 100          | M      |
| 20201119 | LL     | 0                   | 270          | 559          | M      |
| 20201119 | LLIT   | 0                   | 0            | 6686         | M      |
| 20201119 | LLY    | 0                   | 380          | 380          | M      |
| 20201119 | LMAT   | 0                   | 0            | 42           | M      |
| 20201119 | LMND   | 0                   | 200          | 427          | M      |
| 20201119 | LMNL   | 0                   | 400          | 600          | M      |
| 20201119 | LMNX   | 0                   | 69           | 101          | M      |
| 20201119 | LMPX   | 0                   | 200          | 200          | M      |
| 20201119 | LMT    | 0                   | 120          | 360          | M      |
| 20201119 | LNC    | 0                   | 112          | 112          | M      |
| 20201119 | LNFA U | 0                   | 100          | 235          | M      |
| 20201119 | LNG    | 0                   | 100          | 1115         | M      |
| 20201119 | LNT    | 0                   | 100          | 294          | M      |
| 20201119 | LNTH   | 0                   | 54           | 204          | M      |
| 20201119 | LOB    | 0                   | 100          | 100          | M      |
| 20201119 | LODE   | 0                   | 0            | 30           | M      |
| 20201119 | LOGI   | 0                   | 200          | 503          | M      |
| 20201119 | LOOP   | 0                   | 83           | 83           | M      |
| 20201119 | LOPE   | 0                   | 0            | 100          | M      |
| 20201119 | LOVE   | 0                   | 305          | 379          | M      |
| 20201119 | LOW    | 0                   | 519          | 841          | M      |
| 20201119 | LPG    | 0                   | 20           | 20           | M      |
| 20201119 | LPI    | 0                   | 90           | 90           | M      |
| 20201119 | LPLA   | 0                   | 450          | 451          | M      |
| 20201119 | LPRO   | 99                  | 305          | 905          | M      |
| 20201119 | LPSN   | 0                   | 171          | 171          | M      |
| 20201119 | LPX    | 0                   | 600          | 684          | M      |
| 20201119 | LQD    | 0                   | 15670        | 614724       | M      |
| 20201119 | LQDT   | 0                   | 0            | 100          | M      |
| 20201119 | LRCX   | 0                   | 5956         | 7866         | M      |
| 20201119 | LRN    | 0                   | 0            | 169          | M      |
| 20201119 | LSCC   | 0                   | 100          | 122          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201119 | LSF    | 0                   | 100          | 179          | M      |
| 20201119 | LSI    | 0                   | 0            | 103          | M      |
| 20201119 | LSTR   | 0                   | 101          | 191          | M      |
| 20201119 | LSXMA  | 0                   | 100          | 200          | M      |
| 20201119 | LTBR   | 0                   | 200          | 340          | M      |
| 20201119 | LTC    | 0                   | 100          | 100          | M      |
| 20201119 | LTHM   | 0                   | 367          | 417          | M      |
| 20201119 | LU     | 0                   | 1361         | 2710         | M      |
| 20201119 | LULU   | 0                   | 3740         | 11664        | M      |
| 20201119 | LUMN   | 0                   | 0            | 30           | M      |
| 20201119 | LUNG   | 0                   | 0            | 97           | M      |
| 20201119 | LUV    | 0                   | 200          | 300          | M      |
| 20201119 | LVS    | 0                   | 85           | 789          | M      |
| 20201119 | LW     | 0                   | 150          | 155          | M      |
| 20201119 | LXP    | 0                   | 2641         | 3942         | M      |
| 20201119 | LYB    | 0                   | 0            | 205          | M      |
| 20201119 | LYFT   | 0                   | 930          | 1030         | M      |
| 20201119 | LYG    | 0                   | 0            | 1800         | M      |
| 20201119 | LYL    | 0                   | 200          | 860          | M      |
| 20201119 | LYV    | 0                   | 548          | 548          | M      |
| 20201119 | M      | 0                   | 0            | 100          | M      |
| 20201119 | MA     | 0                   | 805          | 2336         | M      |
| 20201119 | MAA    | 0                   | 104          | 204          | M      |
| 20201119 | MAC    | 0                   | 0            | 1            | M      |
| 20201119 | MAIN   | 0                   | 299          | 499          | M      |
| 20201119 | MAN    | 0                   | 603          | 603          | M      |
| 20201119 | MANH   | 0                   | 100          | 394          | M      |
| 20201119 | MAR    | 124                 | 624          | 1518         | M      |
| 20201119 | MAS    | 23                  | 23           | 23           | M      |
| 20201119 | MASI   | 0                   | 151          | 290          | M      |
| 20201119 | MATW   | 0                   | 100          | 100          | M      |
| 20201119 | MATX   | 0                   | 0            | 200          | M      |
| 20201119 | MAX    | 0                   | 8441         | 11371        | M      |
| 20201119 | MAXN   | 0                   | 43           | 143          | M      |
| 20201119 | MAXR   | 0                   | 100          | 200          | M      |
| 20201119 | MBNKP  | 0                   | 99           | 99           | M      |
| 20201119 | MBUU   | 0                   | 2            | 102          | M      |
| 20201119 | MCB    | 0                   | 0            | 36           | M      |
| 20201119 | MCD    | 0                   | 795          | 995          | M      |
| 20201119 | MCFE   | 0                   | 0            | 90           | M      |
| 20201119 | MCHI   | 0                   | 1            | 1            | M      |
| 20201119 | MCHP   | 0                   | 253          | 515          | M      |
| 20201119 | MCK    | 0                   | 170          | 226          | M      |
| 20201119 | MCO    | 0                   | 296          | 296          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201119 | MCRB   | 0                   | 250          | 430          | M      |
| 20201119 | MCS    | 0                   | 100          | 750          | M      |
| 20201119 | MCY    | 0                   | 0            | 107          | M      |
| 20201119 | MD     | 0                   | 2129         | 2495         | M      |
| 20201119 | MDB    | 0                   | 545          | 1100         | M      |
| 20201119 | MDC    | 0                   | 100          | 146          | M      |
| 20201119 | MDCA   | 0                   | 0            | 600          | M      |
| 20201119 | MDGL   | 0                   | 184          | 185          | M      |
| 20201119 | MDGS   | 0                   | 200          | 400          | M      |
| 20201119 | MDLA   | 0                   | 100          | 300          | M      |
| 20201119 | MDLY   | 0                   | 0            | 400          | M      |
| 20201119 | MDLZ   | 0                   | 169          | 11419        | M      |
| 20201119 | MDP    | 0                   | 0            | 100          | M      |
| 20201119 | MDT    | 0                   | 185          | 185          | M      |
| 20201119 | MDU    | 0                   | 299          | 299          | M      |
| 20201119 | MDXG   | 0                   | 48           | 48           | M      |
| 20201119 | MED    | 0                   | 8            | 8            | M      |
| 20201119 | MEDS   | 0                   | 0            | 595          | M      |
| 20201119 | MEG    | 0                   | 66           | 66           | M      |
| 20201119 | MELI   | 0                   | 336          | 4968         | M      |
| 20201119 | MESO   | 0                   | 100          | 100          | M      |
| 20201119 | MET    | 0                   | 100          | 110110       | M      |
| 20201119 | MFC    | 0                   | 1            | 6900059      | M      |
| 20201119 | MFIN   | 0                   | 1            | 1            | M      |
| 20201119 | MG     | 0                   | 79           | 79           | M      |
| 20201119 | MGA    | 0                   | 4628         | 7032         | M      |
| 20201119 | MGEN   | 0                   | 742          | 16384        | M      |
| 20201119 | MGI    | 0                   | 0            | 100          | M      |
| 20201119 | MGLN   | 0                   | 300          | 459          | M      |
| 20201119 | MGM    | 0                   | 4            | 51206        | M      |
| 20201119 | MGNI   | 100                 | 707          | 707          | M      |
| 20201119 | MGNX   | 0                   | 0            | 2438         | M      |
| 20201119 | MGP    | 0                   | 200          | 301          | M      |
| 20201119 | MHK    | 0                   | 152          | 424          | M      |
| 20201119 | MHO    | 0                   | 0            | 1            | M      |
| 20201119 | MIC    | 0                   | 100          | 603          | M      |
| 20201119 | MIDD   | 0                   | 567          | 567          | M      |
| 20201119 | MIME   | 0                   | 0            | 100          | M      |
| 20201119 | MIRM   | 0                   | 130          | 130          | M      |
| 20201119 | MIST   | 0                   | 628          | 1128         | M      |
| 20201119 | MKC    | 0                   | 20           | 120          | M      |
| 20201119 | MKL    | 0                   | 15           | 35           | M      |
| 20201119 | MKSI   | 0                   | 110          | 110          | M      |
| 20201119 | MKTX   | 0                   | 208          | 971          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201119 | MLAB   | 0                   | 121          | 132          | M      |
| 20201119 | MLCO   | 0                   | 1            | 1            | M      |
| 20201119 | MLHR   | 0                   | 0            | 1            | M      |
| 20201119 | MLI    | 0                   | 100          | 100          | M      |
| 20201119 | MLM    | 0                   | 410          | 1087         | M      |
| 20201119 | MMC    | 0                   | 0            | 300          | M      |
| 20201119 | MMI    | 0                   | 0            | 99           | M      |
| 20201119 | MMM    | 0                   | 100          | 420          | M      |
| 20201119 | MMP    | 0                   | 100          | 110          | M      |
| 20201119 | MMSI   | 0                   | 200          | 200          | M      |
| 20201119 | MMYT   | 0                   | 0            | 300          | M      |
| 20201119 | MNSO   | 0                   | 414          | 914          | M      |
| 20201119 | MNST   | 0                   | 0            | 600          | M      |
| 20201119 | MO     | 0                   | 1            | 201          | M      |
| 20201119 | MOBL   | 0                   | 100          | 1200         | M      |
| 20201119 | MOD    | 0                   | 0            | 91           | M      |
| 20201119 | MODN   | 0                   | 50           | 159          | M      |
| 20201119 | MOG A  | 0                   | 0            | 7            | M      |
| 20201119 | MOGO   | 0                   | 20           | 20           | M      |
| 20201119 | MOH    | 0                   | 200          | 517          | M      |
| 20201119 | MOM    | 0                   | 8            | 62           | M      |
| 20201119 | MORN   | 0                   | 115          | 215          | M      |
| 20201119 | MPC    | 0                   | 100          | 75200        | M      |
| 20201119 | MPLN   | 14                  | 14           | 14           | M      |
| 20201119 | MPLX   | 0                   | 200          | 200          | M      |
| 20201119 | MPWR   | 0                   | 309          | 1076         | M      |
| 20201119 | MRCY   | 0                   | 0            | 101          | M      |
| 20201119 | MRK    | 0                   | 1291         | 1690         | M      |
| 20201119 | MRNA   | 0                   | 1868         | 3461         | M      |
| 20201119 | MRNS   | 0                   | 733          | 2026         | M      |
| 20201119 | MRO    | 0                   | 100          | 102          | M      |
| 20201119 | MRSN   | 0                   | 0            | 200          | M      |
| 20201119 | MRTX   | 0                   | 162          | 240          | M      |
| 20201119 | MRVL   | 0                   | 103          | 203          | M      |
| 20201119 | MS     | 0                   | 114          | 146399       | M      |
| 20201119 | MSA    | 0                   | 100          | 295          | M      |
| 20201119 | MSCI   | 0                   | 181          | 278          | M      |
| 20201119 | MSFT   | 0                   | 519          | 1303261      | M      |
| 20201119 | MSGE   | 0                   | 20           | 20           | M      |
| 20201119 | MSGS   | 0                   | 0            | 93           | M      |
| 20201119 | MSI    | 0                   | 105          | 105          | M      |
| 20201119 | MSM    | 0                   | 196          | 196          | M      |
| 20201119 | MSP    | 0                   | 0            | 36           | M      |
| 20201119 | MSTR   | 0                   | 294          | 14048        | M      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201119 | MTB    | 0                   | 100          | 250          | M      |
| 20201119 | MTCH   | 0                   | 665          | 852          | M      |
| 20201119 | MTD    | 0                   | 48           | 571          | M      |
| 20201119 | MTDR   | 0                   | 4            | 7            | M      |
| 20201119 | MTEM   | 0                   | 808          | 1316         | M      |
| 20201119 | MTG    | 0                   | 23           | 23           | M      |
| 20201119 | MTH    | 0                   | 0            | 200          | M      |
| 20201119 | MTLS   | 0                   | 0            | 271          | M      |
| 20201119 | MTN    | 0                   | 284          | 384          | M      |
| 20201119 | MTRN   | 0                   | 99           | 99           | M      |
| 20201119 | MTSC   | 0                   | 200          | 274          | M      |
| 20201119 | MTSI   | 0                   | 124          | 193          | M      |
| 20201119 | MTZ    | 0                   | 198          | 204          | M      |
| 20201119 | MU     | 0                   | 3608         | 89008        | M      |
| 20201119 | MUSA   | 0                   | 136          | 218          | M      |
| 20201119 | MWK    | 0                   | 600          | 600          | M      |
| 20201119 | MXIM   | 0                   | 13           | 13           | M      |
| 20201119 | MXL    | 0                   | 84           | 84           | M      |
| 20201119 | MYGN   | 0                   | 298          | 748          | M      |
| 20201119 | MYOV   | 0                   | 55           | 136          | M      |
| 20201119 | MYRG   | 0                   | 200          | 200          | M      |
| 20201119 | NAKD   | 0                   | 200000       | 200000       | M      |
| 20201119 | NARI   | 0                   | 4            | 104          | M      |
| 20201119 | NATH   | 100                 | 340          | 379          | M      |
| 20201119 | NBIX   | 0                   | 159          | 159          | M      |
| 20201119 | NBLX   | 0                   | 150          | 150          | M      |
| 20201119 | NBR    | 0                   | 595          | 1090         | M      |
| 20201119 | NBTB   | 0                   | 100          | 100          | M      |
| 20201119 | NCBS   | 95                  | 403          | 403          | M      |
| 20201119 | NCNO   | 0                   | 6            | 7            | M      |
| 20201119 | NCR    | 0                   | 193          | 200          | M      |
| 20201119 | NDAQ   | 0                   | 60           | 80           | M      |
| 20201119 | NDLS   | 0                   | 100          | 100          | M      |
| 20201119 | NDSN   | 0                   | 0            | 462          | M      |
| 20201119 | NEE    | 0                   | 699          | 980          | M      |
| 20201119 | NEM    | 0                   | 300          | 17694        | M      |
| 20201119 | NEO    | 0                   | 249          | 249          | M      |
| 20201119 | NEOG   | 0                   | 100          | 100          | M      |
| 20201119 | NET    | 100                 | 702          | 2241         | M      |
| 20201119 | NETE   | 300                 | 355          | 735          | M      |
| 20201119 | NEU    | 0                   | 28           | 64           | M      |
| 20201119 | NEW    | 0                   | 600          | 1000         | M      |
| 20201119 | NEWR   | 22                  | 22           | 121          | M      |
| 20201119 | NFBK   | 0                   | 0            | 99           | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201119 | NFE    | 0                   | 308          | 409          | M      |
| 20201119 | NFLX   | 0                   | 909          | 13877        | M      |
| 20201119 | NGG    | 0                   | 0            | 60           | M      |
| 20201119 | NGL    | 0                   | 64           | 64           | M      |
| 20201119 | NGMS   | 0                   | 1            | 1            | M      |
| 20201119 | NHC    | 0                   | 1            | 100          | M      |
| 20201119 | NHI    | 0                   | 161          | 236          | M      |
| 20201119 | NICE   | 0                   | 200          | 200          | M      |
| 20201119 | NIO    | 0                   | 2453         | 52963        | M      |
| 20201119 | NIU    | 0                   | 100          | 150          | M      |
| 20201119 | NJR    | 0                   | 95           | 95           | M      |
| 20201119 | NKE    | 0                   | 530          | 7830         | M      |
| 20201119 | NKLA   | 0                   | 501          | 801          | M      |
| 20201119 | NKTR   | 0                   | 100          | 100          | M      |
| 20201119 | NLS    | 0                   | 250          | 350          | M      |
| 20201119 | NLY    | 0                   | 0            | 6            | M      |
| 20201119 | NMIH   | 0                   | 0            | 174          | M      |
| 20201119 | NMTR   | 0                   | 0            | 90           | M      |
| 20201119 | NNDM   | 0                   | 300          | 600          | M      |
| 20201119 | NNI    | 0                   | 0            | 1            | M      |
| 20201119 | NNN    | 0                   | 273          | 273          | M      |
| 20201119 | NNOX   | 0                   | 92           | 823          | M      |
| 20201119 | NOAH   | 90                  | 90           | 90           | M      |
| 20201119 | NOC    | 0                   | 3071         | 6934         | M      |
| 20201119 | NOK    | 0                   | 2            | 4003         | M      |
| 20201119 | NOV    | 0                   | 52           | 52           | M      |
| 20201119 | NOVA   | 0                   | 416          | 1735         | M      |
| 20201119 | NOW    | 0                   | 36779        | 44324        | M      |
| 20201119 | NP     | 0                   | 53           | 53           | M      |
| 20201119 | NPK    | 0                   | 4            | 4            | M      |
| 20201119 | NRG    | 0                   | 500          | 500          | M      |
| 20201119 | NRGX   | 0                   | 100          | 100          | M      |
| 20201119 | NRIX   | 0                   | 0            | 698          | M      |
| 20201119 | NS     | 0                   | 100          | 200          | M      |
| 20201119 | NSA    | 0                   | 1            | 201          | M      |
| 20201119 | NSC    | 0                   | 298          | 766          | M      |
| 20201119 | NSIT   | 0                   | 200          | 200          | M      |
| 20201119 | NSP    | 0                   | 74           | 169          | M      |
| 20201119 | NSTG   | 0                   | 126          | 126          | M      |
| 20201119 | NTAP   | 0                   | 34           | 134          | M      |
| 20201119 | NTEC   | 0                   | 100          | 100          | M      |
| 20201119 | NTES   | 0                   | 0            | 230          | M      |
| 20201119 | NTGR   | 0                   | 199          | 199          | M      |
| 20201119 | NTNX   | 0                   | 200          | 894          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201119 | NTR    | 0                   | 50           | 25050        | M      |
| 20201119 | NTRA   | 0                   | 58           | 58           | M      |
| 20201119 | NUAN   | 0                   | 2300         | 2300         | M      |
| 20201119 | NUS    | 0                   | 377          | 479          | M      |
| 20201119 | NVAX   | 0                   | 186          | 186          | M      |
| 20201119 | NVCR   | 0                   | 100          | 817          | M      |
| 20201119 | NVDA   | 55                  | 4511         | 17206        | M      |
| 20201119 | NVO    | 0                   | 100          | 12200        | M      |
| 20201119 | NVR    | 0                   | 27           | 62           | M      |
| 20201119 | NVRO   | 0                   | 200          | 436          | M      |
| 20201119 | NVST   | 0                   | 1133         | 1233         | M      |
| 20201119 | NVT    | 0                   | 159          | 259          | M      |
| 20201119 | NVTA   | 0                   | 362          | 949          | M      |
| 20201119 | NWE    | 0                   | 117          | 117          | M      |
| 20201119 | NWLI   | 0                   | 38           | 108          | M      |
| 20201119 | NWSA   | 0                   | 0            | 100          | M      |
| 20201119 | NX     | 0                   | 6            | 106          | M      |
| 20201119 | NXPI   | 0                   | 304          | 404          | M      |
| 20201119 | NXST   | 0                   | 100          | 483          | M      |
| 20201119 | NXTC   | 0                   | 0            | 51           | M      |
| 20201119 | NYT    | 0                   | 163          | 448          | M      |
| 20201119 | OC     | 0                   | 157          | 157          | M      |
| 20201119 | OCFT   | 0                   | 406          | 456          | M      |
| 20201119 | OCUP   | 0                   | 0            | 5            | M      |
| 20201119 | ODFL   | 0                   | 1            | 101          | M      |
| 20201119 | ODP    | 0                   | 0            | 300          | M      |
| 20201119 | ODT    | 0                   | 1            | 984          | M      |
| 20201119 | OFC    | 0                   | 0            | 79           | M      |
| 20201119 | OFG    | 0                   | 99           | 99           | M      |
| 20201119 | OFLX   | 0                   | 68           | 80           | M      |
| 20201119 | OHI    | 0                   | 100          | 601          | M      |
| 20201119 | OIIM   | 0                   | 0            | 28           | M      |
| 20201119 | OIS    | 0                   | 200          | 200          | M      |
| 20201119 | OKE    | 0                   | 34           | 34           | M      |
| 20201119 | OKTA   | 0                   | 327          | 427          | M      |
| 20201119 | OLED   | 0                   | 49           | 244          | M      |
| 20201119 | OLLI   | 0                   | 0            | 100          | M      |
| 20201119 | OLMA   | 0                   | 0            | 100          | M      |
| 20201119 | OLN    | 0                   | 100          | 300          | M      |
| 20201119 | OM     | 0                   | 100          | 290          | M      |
| 20201119 | OMC    | 0                   | 133          | 218          | M      |
| 20201119 | OMCL   | 0                   | 254          | 454          | M      |
| 20201119 | OMF    | 0                   | 1            | 1            | M      |
| 20201119 | ON     | 0                   | 250          | 251          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201119 | ONEM   | 0                   | 900          | 1245         | M      |
| 20201119 | ONTO   | 0                   | 0            | 200          | M      |
| 20201119 | OOMA   | 0                   | 0            | 100          | M      |
| 20201119 | OPGN   | 0                   | 60           | 60           | M      |
| 20201119 | OPTT   | 0                   | 400          | 400          | M      |
| 20201119 | OR     | 0                   | 300          | 300          | M      |
| 20201119 | ORA    | 0                   | 200          | 200          | M      |
| 20201119 | ORCL   | 0                   | 117          | 117          | M      |
| 20201119 | ORIC   | 0                   | 116          | 288          | M      |
| 20201119 | ORLY   | 0                   | 527          | 727          | M      |
| 20201119 | ORN    | 0                   | 0            | 100          | M      |
| 20201119 | OSH    | 0                   | 56           | 56           | M      |
| 20201119 | OSIS   | 0                   | 100          | 100          | M      |
| 20201119 | OSTK   | 0                   | 121          | 2321         | M      |
| 20201119 | OSUR   | 0                   | 100          | 240          | M      |
| 20201119 | OTEX   | 0                   | 132          | 132          | M      |
| 20201119 | OTIC   | 0                   | 0            | 100          | M      |
| 20201119 | OTIS   | 0                   | 0            | 106          | M      |
| 20201119 | OTRK   | 0                   | 92           | 192          | M      |
| 20201119 | OUT    | 0                   | 0            | 199          | M      |
| 20201119 | OVID   | 0                   | 99           | 167          | M      |
| 20201119 | OXY    | 0                   | 100          | 300          | M      |
| 20201119 | OYST   | 0                   | 7            | 7            | M      |
| 20201119 | OZK    | 0                   | 100          | 100          | M      |
| 20201119 | PAAS   | 0                   | 100          | 250          | M      |
| 20201119 | PACB   | 0                   | 700          | 1500         | M      |
| 20201119 | PACW   | 0                   | 100          | 100          | M      |
| 20201119 | PAE    | 0                   | 966          | 1066         | M      |
| 20201119 | PAG    | 0                   | 268          | 268          | M      |
| 20201119 | PAGS   | 0                   | 542          | 642          | M      |
| 20201119 | PAHC   | 0                   | 96           | 96           | M      |
| 20201119 | PAND   | 0                   | 1            | 101          | M      |
| 20201119 | PANW   | 0                   | 1511         | 7064         | M      |
| 20201119 | PARR   | 0                   | 70           | 70           | M      |
| 20201119 | PASG   | 0                   | 100          | 100          | M      |
| 20201119 | PATK   | 0                   | 326          | 326          | M      |
| 20201119 | PAYC   | 0                   | 525          | 752          | M      |
| 20201119 | PAYS   | 0                   | 100          | 100          | M      |
| 20201119 | PAYX   | 0                   | 4            | 4            | M      |
| 20201119 | PB     | 0                   | 55           | 267          | M      |
| 20201119 | PBA    | 0                   | 200          | 300          | M      |
| 20201119 | PBCT   | 0                   | 401          | 501          | M      |
| 20201119 | PBFX   | 0                   | 0            | 98           | M      |
| 20201119 | PBR    | 0                   | 85           | 713085       | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201119 | PBYI   | 0                   | 100          | 100          | M      |
| 20201119 | PCAR   | 0                   | 112          | 112          | M      |
| 20201119 | PCG    | 0                   | 434          | 780          | M      |
| 20201119 | PCH    | 0                   | 0            | 84           | M      |
| 20201119 | PCRX   | 0                   | 131          | 131          | M      |
| 20201119 | PCTY   | 0                   | 96           | 385          | M      |
| 20201119 | PCVX   | 0                   | 78           | 569          | M      |
| 20201119 | PD     | 19                  | 216          | 276          | M      |
| 20201119 | PDCE   | 0                   | 300          | 400          | M      |
| 20201119 | PDCO   | 0                   | 281          | 281          | M      |
| 20201119 | PDD    | 0                   | 612          | 264209       | M      |
| 20201119 | PDM    | 0                   | 100          | 100          | M      |
| 20201119 | PEAK   | 0                   | 202          | 202          | M      |
| 20201119 | PEB    | 0                   | 100          | 100          | M      |
| 20201119 | PECK   | 0                   | 700          | 700          | M      |
| 20201119 | PEGA   | 0                   | 175          | 175          | M      |
| 20201119 | PEN    | 0                   | 489          | 590          | M      |
| 20201119 | PENN   | 0                   | 3473         | 4189         | M      |
| 20201119 | PEP    | 0                   | 231          | 331          | M      |
| 20201119 | PETQ   | 0                   | 100          | 100          | M      |
| 20201119 | PETS   | 0                   | 93           | 268          | M      |
| 20201119 | PFBC   | 0                   | 0            | 11           | M      |
| 20201119 | PFC    | 0                   | 0            | 1            | M      |
| 20201119 | PFE    | 0                   | 102          | 223          | M      |
| 20201119 | PFG    | 0                   | 150          | 239          | M      |
| 20201119 | PFGC   | 0                   | 243          | 343          | M      |
| 20201119 | PFLT   | 0                   | 0            | 100          | M      |
| 20201119 | PFMT   | 0                   | 200          | 200          | M      |
| 20201119 | PFPT   | 0                   | 65           | 65           | M      |
| 20201119 | PFSI   | 0                   | 3            | 142          | M      |
| 20201119 | PG     | 0                   | 146          | 23100        | M      |
| 20201119 | PGEN   | 0                   | 185          | 185          | M      |
| 20201119 | PGNY   | 0                   | 409          | 409          | M      |
| 20201119 | PGR    | 0                   | 400          | 873          | M      |
| 20201119 | PGTI   | 0                   | 100          | 325          | M      |
| 20201119 | PH     | 0                   | 412          | 624          | M      |
| 20201119 | PHAT   | 0                   | 231          | 331          | M      |
| 20201119 | PHK    | 0                   | 0            | 20           | M      |
| 20201119 | PHM    | 0                   | 442          | 742          | M      |
| 20201119 | PIC    | 0                   | 5949         | 15467        | M      |
| 20201119 | PINC   | 0                   | 41           | 141          | M      |
| 20201119 | PING   | 0                   | 697          | 850          | M      |
| 20201119 | PINS   | 0                   | 725          | 175119       | M      |
| 20201119 | PIRS   | 0                   | 0            | 74           | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201119 | PJT    | 0                   | 0            | 90           | M      |
| 20201119 | PK     | 0                   | 100          | 100          | M      |
| 20201119 | PKG    | 0                   | 10           | 81           | M      |
| 20201119 | PKI    | 0                   | 200          | 200          | M      |
| 20201119 | PLAN   | 0                   | 809          | 2870         | M      |
| 20201119 | PLAY   | 0                   | 1            | 1            | M      |
| 20201119 | PLCE   | 0                   | 1000         | 1100         | M      |
| 20201119 | PLD    | 0                   | 170          | 270          | M      |
| 20201119 | PLIN   | 0                   | 0            | 100          | M      |
| 20201119 | PLL    | 0                   | 408          | 508          | M      |
| 20201119 | PLMR   | 0                   | 313          | 313          | M      |
| 20201119 | PLNT   | 0                   | 101          | 201          | M      |
| 20201119 | PLRX   | 0                   | 2            | 3            | M      |
| 20201119 | PLT    | 0                   | 200          | 201          | M      |
| 20201119 | PLTR   | 0                   | 139          | 325336       | M      |
| 20201119 | PLUS   | 0                   | 1            | 1            | M      |
| 20201119 | PLXS   | 0                   | 44           | 244          | M      |
| 20201119 | PM     | 0                   | 366          | 681          | M      |
| 20201119 | PMT    | 0                   | 0            | 100          | M      |
| 20201119 | PMVP   | 0                   | 0            | 100          | M      |
| 20201119 | PNC    | 0                   | 669          | 968          | M      |
| 20201119 | PNR    | 0                   | 0            | 100          | M      |
| 20201119 | PNTG   | 0                   | 300          | 300          | M      |
| 20201119 | PNW    | 0                   | 189          | 289          | M      |
| 20201119 | PODD   | 0                   | 287          | 387          | M      |
| 20201119 | POLA   | 0                   | 586          | 1086         | M      |
| 20201119 | POOL   | 0                   | 157          | 357          | M      |
| 20201119 | POST   | 0                   | 633          | 635          | M      |
| 20201119 | POWI   | 0                   | 9            | 66           | M      |
| 20201119 | PPD    | 0                   | 100          | 109          | M      |
| 20201119 | PPG    | 0                   | 391          | 391          | M      |
| 20201119 | PPSI   | 0                   | 100          | 100          | M      |
| 20201119 | PQG    | 0                   | 100          | 100          | M      |
| 20201119 | PRA    | 0                   | 0            | 100          | M      |
| 20201119 | PRAA   | 0                   | 200          | 200          | M      |
| 20201119 | PRAH   | 0                   | 351          | 579          | M      |
| 20201119 | PRAX   | 0                   | 0            | 58           | M      |
| 20201119 | PRGO   | 0                   | 0            | 302          | M      |
| 20201119 | PRI    | 0                   | 214          | 214          | M      |
| 20201119 | PRIM   | 0                   | 90           | 90           | M      |
| 20201119 | PRK    | 0                   | 101          | 101          | M      |
| 20201119 | PRLB   | 0                   | 10           | 10           | M      |
| 20201119 | PRLD   | 0                   | 100          | 200          | M      |
| 20201119 | PRO    | 0                   | 310          | 410          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201119 | PRPL   | 0                   | 165          | 885          | M      |
| 20201119 | PRPO   | 0                   | 0            | 200          | M      |
| 20201119 | PRSC   | 0                   | 114          | 155          | M      |
| 20201119 | PRSP   | 0                   | 200          | 297          | M      |
| 20201119 | PRTS   | 0                   | 200          | 200          | M      |
| 20201119 | PRU    | 0                   | 111          | 25847        | M      |
| 20201119 | PRVB   | 0                   | 201          | 201          | M      |
| 20201119 | PS     | 0                   | 316          | 316          | M      |
| 20201119 | PSA    | 0                   | 133          | 334          | M      |
| 20201119 | PSB    | 0                   | 117          | 216          | M      |
| 20201119 | PSEC   | 0                   | 100          | 100          | M      |
| 20201119 | PSN    | 0                   | 198          | 198          | M      |
| 20201119 | PSNL   | 0                   | 516          | 516          | M      |
| 20201119 | PSX    | 0                   | 220          | 1890         | M      |
| 20201119 | PSXP   | 0                   | 321          | 321          | M      |
| 20201119 | PTC    | 0                   | 128          | 128          | M      |
| 20201119 | PTCT   | 0                   | 0            | 200          | M      |
| 20201119 | PTON   | 0                   | 754          | 2538         | M      |
| 20201119 | PTVE   | 0                   | 76           | 176          | M      |
| 20201119 | PVG    | 0                   | 0            | 100          | M      |
| 20201119 | PVH    | 0                   | 454          | 654          | M      |
| 20201119 | PVL    | 0                   | 0            | 99           | M      |
| 20201119 | PWR    | 0                   | 236          | 243          | M      |
| 20201119 | PXD    | 0                   | 299          | 9991         | M      |
| 20201119 | PYPL   | 0                   | 806          | 27806        | M      |
| 20201119 | PZZA   | 0                   | 172          | 172          | M      |
| 20201119 | QCOM   | 0                   | 100          | 386          | M      |
| 20201119 | QDEL   | 0                   | 76304        | 111872       | M      |
| 20201119 | QEP    | 0                   | 0            | 4395         | M      |
| 20201119 | QGEN   | 0                   | 300          | 500          | M      |
| 20201119 | QIWI   | 0                   | 0            | 111          | M      |
| 20201119 | QLGN   | 0                   | 0            | 99           | M      |
| 20201119 | QLYS   | 0                   | 0            | 102          | M      |
| 20201119 | QQQ    | 0                   | 16           | 355116       | M      |
| 20201119 | QRVO   | 0                   | 200          | 334          | M      |
| 20201119 | QTNT   | 0                   | 0            | 100          | M      |
| 20201119 | QTRX   | 0                   | 100          | 100          | M      |
| 20201119 | QTWO   | 0                   | 54           | 76           | M      |
| 20201119 | QURE   | 0                   | 497          | 1050         | M      |
| 20201119 | R      | 0                   | 0            | 99           | M      |
| 20201119 | RACE   | 0                   | 200          | 385          | M      |
| 20201119 | RAMP   | 0                   | 100          | 388          | M      |
| 20201119 | RAPT   | 0                   | 100          | 100          | M      |
| 20201119 | RARE   | 0                   | 82           | 182          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201119 | RBA    | 0                   | 0            | 200          | M      |
| 20201119 | RC     | 0                   | 87           | 87           | M      |
| 20201119 | RCI    | 0                   | 1            | 201          | M      |
| 20201119 | RCII   | 0                   | 348          | 526          | M      |
| 20201119 | RCL    | 0                   | 200          | 573          | M      |
| 20201119 | RCUS   | 0                   | 10           | 10           | M      |
| 20201119 | RDFN   | 0                   | 200          | 200          | M      |
| 20201119 | RDI    | 0                   | 0            | 100          | M      |
| 20201119 | RDN    | 0                   | 17           | 167          | M      |
| 20201119 | RDUS   | 0                   | 279          | 279          | M      |
| 20201119 | RDY    | 0                   | 100          | 100          | M      |
| 20201119 | RE     | 0                   | 164          | 164          | M      |
| 20201119 | REAL   | 0                   | 135          | 135          | M      |
| 20201119 | REG    | 0                   | 100          | 100          | M      |
| 20201119 | REGI   | 0                   | 2014         | 3972         | M      |
| 20201119 | REGN   | 0                   | 1563         | 4304         | M      |
| 20201119 | REKR   | 0                   | 2781         | 4281         | M      |
| 20201119 | REPL   | 0                   | 3380         | 3483         | M      |
| 20201119 | RETA   | 0                   | 440          | 833          | M      |
| 20201119 | REV    | 0                   | 50           | 115          | M      |
| 20201119 | REXR   | 0                   | 4            | 104          | M      |
| 20201119 | REYN   | 0                   | 0            | 172          | M      |
| 20201119 | RF     | 0                   | 100          | 101          | M      |
| 20201119 | RGA    | 0                   | 78           | 112          | M      |
| 20201119 | RGEN   | 0                   | 210          | 345          | M      |
| 20201119 | RGLD   | 0                   | 264          | 464          | M      |
| 20201119 | RGLS   | 0                   | 100          | 100          | M      |
| 20201119 | RGNX   | 0                   | 73           | 73           | M      |
| 20201119 | RGR    | 0                   | 91           | 91           | M      |
| 20201119 | RH     | 0                   | 2124         | 3334         | M      |
| 20201119 | RHI    | 0                   | 204          | 204          | M      |
| 20201119 | RHP    | 0                   | 58           | 258          | M      |
| 20201119 | RIDE   | 0                   | 1253         | 1633         | M      |
| 20201119 | RIG    | 0                   | 1700         | 4100         | M      |
| 20201119 | RJF    | 0                   | 183          | 183          | M      |
| 20201119 | RKDA   | 0                   | 0            | 100          | M      |
| 20201119 | RKT    | 0                   | 9            | 109          | M      |
| 20201119 | RL     | 0                   | 519          | 795          | M      |
| 20201119 | RLAY   | 0                   | 1245         | 1664         | M      |
| 20201119 | RLGY   | 0                   | 0            | 100          | M      |
| 20201119 | RMAX   | 0                   | 1121         | 1190         | M      |
| 20201119 | RMD    | 0                   | 109          | 200          | M      |
| 20201119 | RNA    | 0                   | 507          | 507          | M      |
| 20201119 | RNET   | 0                   | 0            | 100          | M      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201119 | RNG    | 0                   | 65930        | 136784       | M      |
| 20201119 | RNR    | 0                   | 258          | 258          | M      |
| 20201119 | ROG    | 0                   | 100          | 100          | M      |
| 20201119 | ROK    | 0                   | 501          | 767          | M      |
| 20201119 | ROKU   | 0                   | 1477         | 1878         | M      |
| 20201119 | ROL    | 0                   | 375          | 375          | M      |
| 20201119 | ROLL   | 0                   | 767          | 1017         | M      |
| 20201119 | ROOT   | 348                 | 648          | 1198         | M      |
| 20201119 | ROP    | 0                   | 386          | 606          | M      |
| 20201119 | ROST   | 0                   | 297          | 6583         | M      |
| 20201119 | RP     | 0                   | 0            | 97           | M      |
| 20201119 | RPAY   | 0                   | 100          | 500          | M      |
| 20201119 | RPD    | 0                   | 175          | 175          | M      |
| 20201119 | RPM    | 0                   | 101          | 101          | M      |
| 20201119 | RPRX   | 0                   | 800          | 1836         | M      |
| 20201119 | RRBI   | 0                   | 50           | 50           | M      |
| 20201119 | RRGB   | 0                   | 100          | 100          | M      |
| 20201119 | RRR    | 0                   | 100          | 200          | M      |
| 20201119 | RS     | 0                   | 115          | 115          | M      |
| 20201119 | RSG    | 0                   | 578          | 680          | M      |
| 20201119 | RSX    | 0                   | 1            | 1            | M      |
| 20201119 | RTPZ U | 2300                | 6000         | 12500        | M      |
| 20201119 | RTX    | 0                   | 673          | 923          | M      |
| 20201119 | RUBY   | 0                   | 100          | 100          | M      |
| 20201119 | RUN    | 0                   | 1149         | 4406         | M      |
| 20201119 | RUSHA  | 0                   | 0            | 100          | M      |
| 20201119 | RUTH   | 0                   | 0            | 26           | M      |
| 20201119 | RVLV   | 0                   | 270          | 470          | M      |
| 20201119 | RVMD   | 0                   | 2            | 2            | M      |
| 20201119 | RVNC   | 0                   | 193          | 393          | M      |
| 20201119 | RXN    | 0                   | 100          | 100          | M      |
| 20201119 | RXT    | 0                   | 90           | 91           | M      |
| 20201119 | RY     | 0                   | 170          | 297          | M      |
| 20201119 | RYAAY  | 0                   | 0            | 200          | M      |
| 20201119 | RYN    | 0                   | 0            | 67           | M      |
| 20201119 | RYTM   | 0                   | 0            | 150          | M      |
| 20201119 | SA     | 0                   | 0            | 1            | M      |
| 20201119 | SAFE   | 0                   | 109          | 109          | M      |
| 20201119 | SAFM   | 0                   | 508          | 588          | M      |
| 20201119 | SAGE   | 0                   | 291          | 396          | M      |
| 20201119 | SAH    | 0                   | 0            | 100          | M      |
| 20201119 | SAIA   | 0                   | 597          | 797          | M      |
| 20201119 | SAIL   | 0                   | 116          | 116          | M      |
| 20201119 | SAM    | 0                   | 639          | 1588         | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201119 | SANM   | 0                   | 0            | 170          | M      |
| 20201119 | SAP    | 0                   | 73           | 73           | M      |
| 20201119 | SAR    | 0                   | 100          | 100          | M      |
| 20201119 | SATS   | 0                   | 100          | 211          | M      |
| 20201119 | SAVA   | 0                   | 0            | 1600         | M      |
| 20201119 | SAVE   | 0                   | 200          | 200          | M      |
| 20201119 | SBAC   | 0                   | 406          | 406          | M      |
| 20201119 | SBBP   | 0                   | 30           | 130          | M      |
| 20201119 | SBGI   | 0                   | 1800         | 1800         | M      |
| 20201119 | SBH    | 0                   | 100          | 100          | M      |
| 20201119 | SBNY   | 0                   | 244          | 244          | M      |
| 20201119 | SBRA   | 0                   | 0            | 100          | M      |
| 20201119 | SBSW   | 0                   | 0            | 1            | M      |
| 20201119 | SBT    | 0                   | 0            | 100          | M      |
| 20201119 | SBUX   | 0                   | 340          | 342          | M      |
| 20201119 | SC     | 0                   | 100          | 100          | M      |
| 20201119 | SCCO   | 0                   | 167          | 167          | M      |
| 20201119 | SCHN   | 0                   | 100          | 100          | M      |
| 20201119 | SCHW   | 0                   | 301          | 622          | M      |
| 20201119 | SCL    | 0                   | 300          | 406          | M      |
| 20201119 | SCPL   | 0                   | 100          | 704          | M      |
| 20201119 | SCU    | 0                   | 2            | 2            | M      |
| 20201119 | SCYX   | 0                   | 100          | 200          | M      |
| 20201119 | SDC    | 0                   | 0            | 95           | M      |
| 20201119 | SDGR   | 0                   | 1800         | 4415         | M      |
| 20201119 | SE     | 0                   | 3075         | 4420         | M      |
| 20201119 | SEAS   | 0                   | 0            | 410          | M      |
| 20201119 | SEB    | 0                   | 1            | 7            | M      |
| 20201119 | SEDG   | 0                   | 2702         | 6812         | M      |
| 20201119 | SEE    | 0                   | 6            | 6            | M      |
| 20201119 | SEM    | 0                   | 4            | 104          | M      |
| 20201119 | SFBS   | 0                   | 0            | 100          | M      |
| 20201119 | SFIX   | 0                   | 359          | 14959        | M      |
| 20201119 | SFT    | 0                   | 0            | 200          | M      |
| 20201119 | SGBX   | 0                   | 0            | 3            | M      |
| 20201119 | SGEN   | 106                 | 217          | 223          | M      |
| 20201119 | SGMS   | 0                   | 1889         | 2123         | M      |
| 20201119 | SGRY   | 0                   | 600          | 600          | M      |
| 20201119 | SHAK   | 0                   | 181          | 275          | M      |
| 20201119 | SHEN   | 0                   | 100          | 100          | M      |
| 20201119 | SHO    | 0                   | 200          | 200          | M      |
| 20201119 | SHOO   | 0                   | 124          | 411          | M      |
| 20201119 | SHOP   | 0                   | 507          | 1853         | M      |
| 20201119 | SHW    | 0                   | 179          | 567          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201119 | SI     | 0                   | 118          | 177          | M      |
| 20201119 | SIBN   | 0                   | 384          | 584          | M      |
| 20201119 | SIG    | 0                   | 0            | 63           | M      |
| 20201119 | SIGI   | 0                   | 0            | 100          | M      |
| 20201119 | SILK   | 0                   | 205          | 305          | M      |
| 20201119 | SIMO   | 0                   | 108          | 108          | M      |
| 20201119 | SIRI   | 0                   | 0            | 6            | M      |
| 20201119 | SITC   | 0                   | 0            | 1            | M      |
| 20201119 | SITE   | 0                   | 518          | 558          | M      |
| 20201119 | SITM   | 0                   | 184          | 579          | M      |
| 20201119 | SIVB   | 0                   | 76           | 117          | M      |
| 20201119 | SIX    | 0                   | 229          | 329          | M      |
| 20201119 | SJM    | 0                   | 103          | 103          | M      |
| 20201119 | SKY    | 0                   | 100          | 100          | M      |
| 20201119 | SKYW   | 0                   | 0            | 154          | M      |
| 20201119 | SLAB   | 0                   | 100          | 100          | M      |
| 20201119 | SLB    | 0                   | 0            | 143553       | M      |
| 20201119 | SLG    | 0                   | 692          | 842          | M      |
| 20201119 | SLGN   | 0                   | 0            | 100          | M      |
| 20201119 | SLQT   | 106                 | 322          | 322          | M      |
| 20201119 | SLV    | 0                   | 15           | 14063        | M      |
| 20201119 | SMAR   | 0                   | 343          | 343          | M      |
| 20201119 | SMG    | 0                   | 138          | 238          | M      |
| 20201119 | SMHI   | 0                   | 0            | 100          | M      |
| 20201119 | SMLP   | 0                   | 100          | 600          | M      |
| 20201119 | SMMC   | 0                   | 100          | 2000         | M      |
| 20201119 | SNA    | 0                   | 227          | 653          | M      |
| 20201119 | SNAP   | 0                   | 200          | 400          | M      |
| 20201119 | SNBR   | 0                   | 92           | 192          | M      |
| 20201119 | SNDL   | 0                   | 55           | 55           | M      |
| 20201119 | SNEX   | 0                   | 203          | 203          | M      |
| 20201119 | SNOW   | 0                   | 1508         | 1723         | M      |
| 20201119 | SNPS   | 0                   | 0            | 364          | M      |
| 20201119 | SNSR   | 0                   | 0            | 9            | M      |
| 20201119 | SNX    | 0                   | 0            | 13402        | M      |
| 20201119 | SO     | 0                   | 324          | 1008         | M      |
| 20201119 | SOI    | 0                   | 100          | 100          | M      |
| 20201119 | SOL    | 0                   | 375          | 495          | M      |
| 20201119 | SON    | 0                   | 152          | 152          | M      |
| 20201119 | SONO   | 0                   | 100          | 28300        | M      |
| 20201119 | SP     | 0                   | 0            | 105          | M      |
| 20201119 | SPB    | 0                   | 31           | 201          | M      |
| 20201119 | SPCE   | 0                   | 26           | 34526        | M      |
| 20201119 | SPG    | 0                   | 250          | 15850        | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201119 | SPGI   | 0                   | 544          | 833          | M      |
| 20201119 | SPI    | 0                   | 0            | 200          | M      |
| 20201119 | SPLK   | 0                   | 291          | 491          | M      |
| 20201119 | SPOT   | 99                  | 22129        | 42305        | M      |
| 20201119 | SPR    | 0                   | 100          | 954          | M      |
| 20201119 | SPRO   | 0                   | 1500         | 1500         | M      |
| 20201119 | SPSC   | 0                   | 55           | 159          | M      |
| 20201119 | SPT    | 98                  | 198          | 198          | M      |
| 20201119 | SPWH   | 0                   | 11           | 63           | M      |
| 20201119 | SPWR   | 0                   | 1462         | 1600         | M      |
| 20201119 | SPXC   | 0                   | 100          | 100          | M      |
| 20201119 | SPY    | 0                   | 121          | 1438293      | M      |
| 20201119 | SQ     | 0                   | 1167         | 502658       | M      |
| 20201119 | SQBG   | 0                   | 137          | 137          | M      |
| 20201119 | SQM    | 0                   | 100          | 100          | M      |
| 20201119 | SQNS   | 0                   | 0            | 100          | M      |
| 20201119 | SQZ    | 0                   | 0            | 2            | M      |
| 20201119 | SR     | 0                   | 126          | 126          | M      |
| 20201119 | SRC    | 0                   | 100          | 600          | M      |
| 20201119 | SRCL   | 0                   | 112          | 112          | M      |
| 20201119 | SRDX   | 0                   | 1            | 2            | M      |
| 20201119 | SRE    | 0                   | 252          | 306          | M      |
| 20201119 | SRPT   | 0                   | 88           | 458          | M      |
| 20201119 | SRRK   | 0                   | 0            | 558          | M      |
| 20201119 | SSB    | 0                   | 102          | 207          | M      |
| 20201119 | SSP    | 0                   | 1100         | 1100         | M      |
| 20201119 | SSSS   | 0                   | 0            | 100          | M      |
| 20201119 | SSTK   | 0                   | 1            | 4            | M      |
| 20201119 | SSYS   | 0                   | 106          | 206          | M      |
| 20201119 | STAA   | 0                   | 180          | 180          | M      |
| 20201119 | STAY   | 0                   | 150          | 391          | M      |
| 20201119 | STC    | 0                   | 0            | 219          | M      |
| 20201119 | STE    | 0                   | 199          | 199          | M      |
| 20201119 | STEP   | 0                   | 0            | 302          | M      |
| 20201119 | STIM   | 0                   | 3056         | 4517         | M      |
| 20201119 | STL    | 0                   | 0            | 100          | M      |
| 20201119 | STMP   | 0                   | 105          | 738          | M      |
| 20201119 | STNE   | 0                   | 0            | 160          | M      |
| 20201119 | STOK   | 0                   | 564          | 1191         | M      |
| 20201119 | STOR   | 0                   | 165          | 765          | M      |
| 20201119 | STRO   | 0                   | 2            | 302          | M      |
| 20201119 | STSA   | 0                   | 137          | 137          | M      |
| 20201119 | STT    | 0                   | 149          | 249          | M      |
| 20201119 | STTK   | 0                   | 47           | 662          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201119 | STX    | 0                   | 0            | 200          | M      |
| 20201119 | STZ    | 0                   | 109          | 202          | M      |
| 20201119 | SU     | 0                   | 0            | 400          | M      |
| 20201119 | SUI    | 0                   | 0            | 241          | M      |
| 20201119 | SUMO   | 54                  | 908          | 1208         | M      |
| 20201119 | SUN    | 0                   | 198          | 198          | M      |
| 20201119 | SUP    | 0                   | 4004         | 5786         | M      |
| 20201119 | SURF   | 0                   | 376          | 2628         | M      |
| 20201119 | SVC    | 0                   | 500          | 1626         | M      |
| 20201119 | SWAV   | 200                 | 2367         | 2970         | M      |
| 20201119 | SWBI   | 0                   | 92           | 92           | M      |
| 20201119 | SWCH   | 0                   | 6            | 6            | M      |
| 20201119 | SWK    | 0                   | 395          | 658          | M      |
| 20201119 | SWKS   | 0                   | 340          | 855          | M      |
| 20201119 | SWM    | 0                   | 100          | 100          | M      |
| 20201119 | SWN    | 0                   | 0            | 253          | M      |
| 20201119 | SWTX   | 0                   | 164          | 164          | M      |
| 20201119 | SWX    | 0                   | 100          | 200          | M      |
| 20201119 | SXI    | 0                   | 0            | 105          | M      |
| 20201119 | SXT    | 0                   | 1            | 1            | M      |
| 20201119 | SY     | 0                   | 100          | 100          | M      |
| 20201119 | SYK    | 0                   | 305          | 505          | M      |
| 20201119 | SYKE   | 0                   | 0            | 100          | M      |
| 20201119 | SYNA   | 0                   | 0            | 95           | M      |
| 20201119 | SYNH   | 0                   | 500          | 500          | M      |
| 20201119 | SYPR   | 0                   | 0            | 100          | M      |
| 20201119 | SYT    | 0                   | 155          | 255          | M      |
| 20201119 | T      | 0                   | 3549         | 255683       | M      |
| 20201119 | TAL    | 0                   | 100          | 200          | M      |
| 20201119 | TALO   | 0                   | 50           | 150          | M      |
| 20201119 | TAN    | 0                   | 0            | 8400         | M      |
| 20201119 | TANH   | 0                   | 100          | 400          | M      |
| 20201119 | TAP    | 0                   | 200          | 514          | M      |
| 20201119 | TARA   | 0                   | 0            | 100          | M      |
| 20201119 | TARS   | 0                   | 600          | 796          | M      |
| 20201119 | TAST   | 0                   | 0            | 129          | M      |
| 20201119 | TBK    | 0                   | 0            | 9            | M      |
| 20201119 | TBPH   | 0                   | 100          | 200          | M      |
| 20201119 | TCDA   | 0                   | 0            | 100          | M      |
| 20201119 | TCF    | 0                   | 198          | 316          | M      |
| 20201119 | TCMD   | 0                   | 98           | 98           | M      |
| 20201119 | TCO    | 0                   | 14           | 18           | M      |
| 20201119 | TCOM   | 0                   | 510          | 737          | M      |
| 20201119 | TCP    | 0                   | 0            | 131          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201119 | TCS    | 0                   | 653          | 742          | M      |
| 20201119 | TCX    | 0                   | 43           | 43           | M      |
| 20201119 | TD     | 0                   | 1            | 46001        | M      |
| 20201119 | TDG    | 100                 | 425          | 865          | M      |
| 20201119 | TDOC   | 0                   | 491          | 891          | M      |
| 20201119 | TDS    | 0                   | 0            | 75           | M      |
| 20201119 | TDY    | 0                   | 186          | 286          | M      |
| 20201119 | TEAM   | 95                  | 1013         | 1409         | M      |
| 20201119 | TECH   | 0                   | 58           | 58           | M      |
| 20201119 | TECK   | 0                   | 0            | 300          | M      |
| 20201119 | TEL    | 0                   | 200          | 447          | M      |
| 20201119 | TEN    | 0                   | 100          | 100          | M      |
| 20201119 | TENB   | 0                   | 301          | 306          | M      |
| 20201119 | TENX   | 0                   | 0            | 100          | M      |
| 20201119 | TER    | 0                   | 49           | 143          | M      |
| 20201119 | TEVA   | 0                   | 34           | 55           | M      |
| 20201119 | TEX    | 0                   | 0            | 97           | M      |
| 20201119 | TFC    | 0                   | 405          | 2277         | M      |
| 20201119 | TFFP   | 0                   | 701          | 901          | M      |
| 20201119 | TFX    | 0                   | 223          | 352          | M      |
| 20201119 | TGH    | 0                   | 1000         | 1000         | M      |
| 20201119 | TGI    | 0                   | 95           | 295          | M      |
| 20201119 | TGT    | 0                   | 438          | 1038         | M      |
| 20201119 | TGTX   | 0                   | 100          | 200          | M      |
| 20201119 | TH     | 0                   | 0            | 100          | M      |
| 20201119 | THC    | 0                   | 416          | 2449         | M      |
| 20201119 | THD    | 0                   | 7876         | 7876         | M      |
| 20201119 | THG    | 0                   | 114          | 286          | M      |
| 20201119 | THO    | 0                   | 119          | 159          | M      |
| 20201119 | THR    | 0                   | 300          | 300          | M      |
| 20201119 | THRM   | 0                   | 0            | 201          | M      |
| 20201119 | THS    | 0                   | 200          | 200          | M      |
| 20201119 | TIG    | 0                   | 4            | 4            | M      |
| 20201119 | TIGR   | 0                   | 200          | 200          | M      |
| 20201119 | TISI   | 0                   | 100          | 679          | M      |
| 20201119 | TJX    | 0                   | 1025         | 1061         | M      |
| 20201119 | TKR    | 0                   | 9            | 9            | M      |
| 20201119 | TLMD   | 0                   | 0            | 100          | M      |
| 20201119 | TLND   | 0                   | 0            | 100          | M      |
| 20201119 | TLS    | 0                   | 0            | 1696         | M      |
| 20201119 | TLT    | 0                   | 0            | 209000       | M      |
| 20201119 | TM     | 0                   | 60           | 60           | M      |
| 20201119 | TME    | 0                   | 300          | 300          | M      |
| 20201119 | TMO    | 200                 | 758          | 3947         | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201119 | TMP    | 0                   | 99           | 99           | M      |
| 20201119 | TMUS   | 0                   | 434          | 524          | M      |
| 20201119 | TMX    | 0                   | 76           | 76           | M      |
| 20201119 | TNDM   | 0                   | 348          | 495          | M      |
| 20201119 | TNET   | 0                   | 0            | 164          | M      |
| 20201119 | TOL    | 0                   | 23           | 223          | M      |
| 20201119 | TPC    | 0                   | 2600         | 3150         | M      |
| 20201119 | TPCO   | 0                   | 100          | 100          | M      |
| 20201119 | TPIC   | 53                  | 53           | 53           | M      |
| 20201119 | TPL    | 0                   | 8            | 38           | M      |
| 20201119 | TPR    | 0                   | 0            | 2            | M      |
| 20201119 | TPTX   | 0                   | 79           | 79           | M      |
| 20201119 | TPX    | 0                   | 58           | 63           | M      |
| 20201119 | TREE   | 0                   | 40           | 181          | M      |
| 20201119 | TREX   | 0                   | 714          | 1105         | M      |
| 20201119 | TRGP   | 0                   | 796          | 1096         | M      |
| 20201119 | TRIP   | 0                   | 0            | 200          | M      |
| 20201119 | TRIT   | 0                   | 200          | 353          | M      |
| 20201119 | TRMK   | 0                   | 99           | 99           | M      |
| 20201119 | TRN    | 0                   | 99           | 99           | M      |
| 20201119 | TROW   | 0                   | 197          | 197          | M      |
| 20201119 | TRS    | 0                   | 0            | 13           | M      |
| 20201119 | TRTX   | 0                   | 100          | 100          | M      |
| 20201119 | TRU    | 0                   | 1122         | 1884         | M      |
| 20201119 | TRUP   | 0                   | 193          | 287          | M      |
| 20201119 | TRV    | 0                   | 300          | 300          | M      |
| 20201119 | TSCO   | 0                   | 200          | 250          | M      |
| 20201119 | TSE    | 0                   | 100          | 200          | M      |
| 20201119 | TSEM   | 0                   | 0            | 101          | M      |
| 20201119 | TSLA   | 100                 | 715          | 43718        | M      |
| 20201119 | TSM    | 0                   | 1108         | 26468        | M      |
| 20201119 | TT     | 0                   | 583          | 943          | M      |
| 20201119 | TTC    | 0                   | 184          | 184          | M      |
| 20201119 | TTCF   | 0                   | 168          | 499          | M      |
| 20201119 | TTCFW  | 100                 | 100          | 100          | M      |
| 20201119 | TTD    | 0                   | 999          | 1217         | M      |
| 20201119 | TTEC   | 0                   | 0            | 100          | M      |
| 20201119 | TTEK   | 0                   | 635          | 740          | M      |
| 20201119 | TTGT   | 0                   | 0            | 124          | M      |
| 20201119 | TTM    | 0                   | 0            | 300          | M      |
| 20201119 | TTWO   | 0                   | 459          | 527          | M      |
| 20201119 | TUP    | 0                   | 576          | 676          | M      |
| 20201119 | TVTX   | 0                   | 167          | 168          | M      |
| 20201119 | TVTY   | 0                   | 88           | 114          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201119 | TW     | 0                   | 100          | 100          | M      |
| 20201119 | TWI    | 0                   | 80           | 360          | M      |
| 20201119 | TWLO   | 0                   | 862          | 1497         | M      |
| 20201119 | TWOU   | 0                   | 325          | 825          | M      |
| 20201119 | TWST   | 0                   | 302          | 367          | M      |
| 20201119 | TWTR   | 0                   | 360          | 1860         | M      |
| 20201119 | TX     | 0                   | 0            | 150          | M      |
| 20201119 | TXG    | 0                   | 110          | 152          | M      |
| 20201119 | TXN    | 0                   | 322          | 8522         | M      |
| 20201119 | TXRH   | 0                   | 150          | 250          | M      |
| 20201119 | TXT    | 0                   | 0            | 10           | M      |
| 20201119 | TYL    | 0                   | 108          | 113          | M      |
| 20201119 | U      | 0                   | 345          | 1025         | M      |
| 20201119 | UAL    | 0                   | 100          | 25900        | M      |
| 20201119 | UAN    | 0                   | 100          | 100          | M      |
| 20201119 | UAVS   | 0                   | 752          | 802          | M      |
| 20201119 | UBA    | 0                   | 889          | 1289         | M      |
| 20201119 | UBER   | 0                   | 47           | 110667       | M      |
| 20201119 | UBS    | 0                   | 0            | 100          | M      |
| 20201119 | UBX    | 0                   | 0            | 100          | M      |
| 20201119 | UCBI   | 0                   | 0            | 121          | M      |
| 20201119 | UDR    | 0                   | 268          | 382          | M      |
| 20201119 | UFCS   | 0                   | 40           | 40           | M      |
| 20201119 | UFS    | 0                   | 0            | 226          | M      |
| 20201119 | UGI    | 0                   | 13           | 13           | M      |
| 20201119 | UHAL   | 0                   | 29           | 62           | M      |
| 20201119 | UHS    | 0                   | 493          | 493          | M      |
| 20201119 | UHT    | 0                   | 35           | 140          | M      |
| 20201119 | UI     | 0                   | 200          | 200          | M      |
| 20201119 | UIS    | 0                   | 1            | 1            | M      |
| 20201119 | UK     | 0                   | 0            | 600          | M      |
| 20201119 | ULTA   | 0                   | 5067         | 7684         | M      |
| 20201119 | UMBF   | 0                   | 71           | 71           | M      |
| 20201119 | UMPQ   | 0                   | 100          | 100          | M      |
| 20201119 | UNF    | 0                   | 197          | 197          | M      |
| 20201119 | UNFI   | 0                   | 2            | 2            | M      |
| 20201119 | UNG    | 0                   | 5            | 10           | M      |
| 20201119 | UNH    | 0                   | 711          | 927          | M      |
| 20201119 | UNP    | 0                   | 1052         | 1252         | M      |
| 20201119 | UNVR   | 0                   | 100          | 100          | M      |
| 20201119 | UPLD   | 0                   | 84           | 84           | M      |
| 20201119 | UPS    | 0                   | 100          | 533          | M      |
| 20201119 | UPWK   | 0                   | 0            | 200          | M      |
| 20201119 | URBN   | 0                   | 0            | 102          | M      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201119 | URI    | 0                   | 803          | 1119         | M      |
| 20201119 | USAC   | 0                   | 0            | 100          | M      |
| 20201119 | USB    | 0                   | 199          | 199          | M      |
| 20201119 | USFD   | 0                   | 100          | 800          | M      |
| 20201119 | USLM   | 0                   | 3            | 4            | M      |
| 20201119 | USNA   | 0                   | 200          | 201          | M      |
| 20201119 | USPH   | 0                   | 1            | 1            | M      |
| 20201119 | USX    | 0                   | 601          | 601          | M      |
| 20201119 | UTG    | 0                   | 0            | 100          | M      |
| 20201119 | UTHR   | 0                   | 100          | 107          | M      |
| 20201119 | UTMD   | 0                   | 11           | 75           | M      |
| 20201119 | UTSI   | 0                   | 43           | 43           | M      |
| 20201119 | UTZ    | 0                   | 100          | 100          | M      |
| 20201119 | UVSP   | 0                   | 27           | 27           | M      |
| 20201119 | V      | 0                   | 300          | 66596        | M      |
| 20201119 | VAC    | 0                   | 200          | 259          | M      |
| 20201119 | VALE   | 100                 | 400          | 501          | M      |
| 20201119 | VAPO   | 0                   | 99           | 99           | M      |
| 20201119 | VBTX   | 0                   | 0            | 39           | M      |
| 20201119 | VC     | 0                   | 256          | 360          | M      |
| 20201119 | VCEL   | 0                   | 596          | 743          | M      |
| 20201119 | VCYT   | 0                   | 270          | 270          | M      |
| 20201119 | VEDL   | 0                   | 0            | 1            | M      |
| 20201119 | VEEV   | 0                   | 365          | 561          | M      |
| 20201119 | VEL    | 0                   | 2            | 2            | M      |
| 20201119 | VERI   | 0                   | 1343         | 1443         | M      |
| 20201119 | VERX   | 0                   | 1            | 176          | M      |
| 20201119 | VFC    | 0                   | 740          | 740          | M      |
| 20201119 | VG     | 0                   | 1            | 101          | M      |
| 20201119 | VIAC   | 0                   | 202          | 22913        | M      |
| 20201119 | VICR   | 0                   | 3            | 3            | M      |
| 20201119 | VIE    | 0                   | 891          | 1093         | M      |
| 20201119 | VIG    | 0                   | 0            | 11           | M      |
| 20201119 | VIPS   | 0                   | 104          | 210          | M      |
| 20201119 | VIR    | 0                   | 100          | 200          | M      |
| 20201119 | VIRT   | 0                   | 100          | 100          | M      |
| 20201119 | VIST   | 0                   | 100          | 100          | M      |
| 20201119 | VITL   | 0                   | 233          | 368          | M      |
| 20201119 | VLDR   | 0                   | 2225         | 3759         | M      |
| 20201119 | VLO    | 0                   | 250          | 2730         | M      |
| 20201119 | VLRS   | 0                   | 0            | 100          | M      |
| 20201119 | VMBS   | 0                   | 100          | 100          | M      |
| 20201119 | VMC    | 0                   | 614          | 615          | M      |
| 20201119 | VMI    | 0                   | 38           | 39           | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201119 | VMW    | 0                   | 100          | 1800         | M      |
| 20201119 | VNDA   | 0                   | 0            | 100          | M      |
| 20201119 | VNET   | 0                   | 2177         | 2357         | M      |
| 20201119 | VNO    | 0                   | 627          | 1728         | M      |
| 20201119 | VNOM   | 0                   | 100          | 195          | M      |
| 20201119 | VNT    | 0                   | 369          | 1953         | M      |
| 20201119 | VOD    | 0                   | 2            | 2            | M      |
| 20201119 | VOYA   | 0                   | 123          | 123          | M      |
| 20201119 | VREX   | 0                   | 626          | 2036         | M      |
| 20201119 | VRM    | 0                   | 100          | 400          | M      |
| 20201119 | VRME   | 0                   | 300          | 1000         | M      |
| 20201119 | VRNS   | 0                   | 180          | 295          | M      |
| 20201119 | VRNT   | 0                   | 76           | 175          | M      |
| 20201119 | VRRM   | 0                   | 55           | 73           | M      |
| 20201119 | VRSK   | 0                   | 896          | 903          | M      |
| 20201119 | VRSN   | 0                   | 217          | 391          | M      |
| 20201119 | VRTS   | 0                   | 81           | 339          | M      |
| 20201119 | VRTU   | 0                   | 0            | 100          | M      |
| 20201119 | VRTX   | 0                   | 272          | 1150         | M      |
| 20201119 | VST    | 0                   | 400          | 400          | M      |
| 20201119 | VSTA   | 0                   | 1689         | 1799         | M      |
| 20201119 | VSTO   | 0                   | 160          | 185          | M      |
| 20201119 | VT     | 0                   | 0            | 100          | M      |
| 20201119 | VTR    | 0                   | 7209         | 7940         | M      |
| 20201119 | VTRS   | 0                   | 201          | 5204         | M      |
| 20201119 | VVPR   | 0                   | 1400         | 1500         | M      |
| 20201119 | VVV    | 0                   | 100          | 100          | M      |
| 20201119 | VXX    | 0                   | 0            | 22500        | M      |
| 20201119 | VZ     | 0                   | 3            | 3            | M      |
| 20201119 | W      | 0                   | 9228         | 10293        | M      |
| 20201119 | WAB    | 0                   | 177          | 299          | M      |
| 20201119 | WAL    | 0                   | 203          | 323          | M      |
| 20201119 | WASH   | 0                   | 10           | 10           | M      |
| 20201119 | WAT    | 0                   | 200          | 208          | M      |
| 20201119 | WB     | 0                   | 154          | 154          | M      |
| 20201119 | WBA    | 0                   | 100          | 300          | M      |
| 20201119 | WBS    | 0                   | 300          | 300          | M      |
| 20201119 | WBT    | 0                   | 0            | 5            | M      |
| 20201119 | WCC    | 0                   | 188          | 188          | M      |
| 20201119 | WCN    | 0                   | 35           | 35           | M      |
| 20201119 | WD     | 0                   | 663          | 663          | M      |
| 20201119 | WDAY   | 0                   | 1074         | 1997         | M      |
| 20201119 | WDC    | 0                   | 197          | 27703        | M      |
| 20201119 | WDFC   | 0                   | 0            | 100          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201119 | WEC    | 0                   | 425          | 425          | M      |
| 20201119 | WELL   | 0                   | 21           | 182          | M      |
| 20201119 | WERN   | 0                   | 0            | 179          | M      |
| 20201119 | WES    | 0                   | 300          | 500          | M      |
| 20201119 | WEX    | 0                   | 219          | 319          | M      |
| 20201119 | WFC    | 0                   | 514          | 22337        | M      |
| 20201119 | WGO    | 0                   | 124          | 124          | M      |
| 20201119 | WH     | 0                   | 194          | 194          | M      |
| 20201119 | WHD    | 0                   | 251          | 251          | M      |
| 20201119 | WHR    | 0                   | 400          | 400          | M      |
| 20201119 | WIFI   | 0                   | 100          | 100          | M      |
| 20201119 | WIMI   | 0                   | 50           | 50           | M      |
| 20201119 | WING   | 0                   | 96           | 363          | M      |
| 20201119 | WISA   | 0                   | 0            | 100          | M      |
| 20201119 | WIX    | 0                   | 18327        | 31969        | M      |
| 20201119 | WK     | 0                   | 0            | 186          | M      |
| 20201119 | WKHS   | 0                   | 780          | 980          | M      |
| 20201119 | WLK    | 0                   | 117          | 117          | M      |
| 20201119 | WLTW   | 0                   | 645          | 745          | M      |
| 20201119 | WM     | 0                   | 197          | 197          | M      |
| 20201119 | WMB    | 0                   | 0            | 101          | M      |
| 20201119 | WMG    | 0                   | 110          | 509          | M      |
| 20201119 | WMK    | 0                   | 92           | 92           | M      |
| 20201119 | WMS    | 0                   | 152          | 152          | M      |
| 20201119 | WMT    | 0                   | 900          | 18032        | M      |
| 20201119 | WNC    | 0                   | 0            | 32           | M      |
| 20201119 | WNS    | 0                   | 0            | 100          | M      |
| 20201119 | WOR    | 0                   | 0            | 11           | M      |
| 20201119 | WORK   | 0                   | 20           | 22460        | M      |
| 20201119 | WPC    | 0                   | 200          | 290          | M      |
| 20201119 | WPM    | 0                   | 100          | 100          | M      |
| 20201119 | WRB    | 0                   | 106          | 106          | M      |
| 20201119 | WRE    | 0                   | 1            | 1            | M      |
| 20201119 | WRI    | 0                   | 0            | 1            | M      |
| 20201119 | WRTC   | 0                   | 0            | 50           | M      |
| 20201119 | WSC    | 0                   | 200          | 200          | M      |
| 20201119 | WSFS   | 0                   | 0            | 100          | M      |
| 20201119 | WSM    | 0                   | 111          | 111          | M      |
| 20201119 | WSR    | 0                   | 100          | 100          | M      |
| 20201119 | WST    | 0                   | 54           | 59           | M      |
| 20201119 | WTFC   | 0                   | 294          | 694          | M      |
| 20201119 | WTM    | 0                   | 72           | 72           | M      |
| 20201119 | WTRG   | 0                   | 70           | 70           | M      |
| 20201119 | WU     | 0                   | 4            | 10           | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201119 | WVE    | 0                   | 594          | 4992         | M      |
| 20201119 | WWD    | 0                   | 309          | 309          | M      |
| 20201119 | WWE    | 0                   | 303          | 1031         | M      |
| 20201119 | WWR    | 0                   | 1600         | 1800         | M      |
| 20201119 | WWW    | 0                   | 100          | 127          | M      |
| 20201119 | WYND   | 0                   | 100          | 100          | M      |
| 20201119 | WYNN   | 0                   | 2313         | 3365         | M      |
| 20201119 | X      | 0                   | 216          | 518          | M      |
| 20201119 | XBI    | 0                   | 200          | 200          | M      |
| 20201119 | XBIT   | 0                   | 0            | 31           | M      |
| 20201119 | XCUR   | 0                   | 0            | 100          | M      |
| 20201119 | XDIV   | 0                   | 3            | 3            | M      |
| 20201119 | XEC    | 0                   | 190          | 190          | M      |
| 20201119 | XEL    | 0                   | 0            | 200          | M      |
| 20201119 | XERS   | 0                   | 100          | 100          | M      |
| 20201119 | XES    | 0                   | 0            | 1            | M      |
| 20201119 | XGN    | 0                   | 0            | 2            | M      |
| 20201119 | XHR    | 0                   | 300          | 400          | M      |
| 20201119 | XLE    | 0                   | 3602         | 4402         | M      |
| 20201119 | XLF    | 0                   | 1825         | 432977       | M      |
| 20201119 | XLK    | 0                   | 0            | 684000       | M      |
| 20201119 | XLNX   | 0                   | 1088         | 1247         | M      |
| 20201119 | XLP    | 0                   | 0            | 1            | M      |
| 20201119 | XLRE   | 0                   | 44           | 44           | M      |
| 20201119 | XLRN   | 0                   | 801          | 1182         | M      |
| 20201119 | XLU    | 0                   | 1            | 61006        | M      |
| 20201119 | XLV    | 0                   | 0            | 11000        | M      |
| 20201119 | XLY    | 0                   | 0            | 27000        | M      |
| 20201119 | XNET   | 0                   | 0            | 100          | M      |
| 20201119 | XOM    | 1                   | 130          | 2369307      | M      |
| 20201119 | XONE   | 0                   | 18198        | 24883        | M      |
| 20201119 | XP     | 0                   | 922          | 1022         | M      |
| 20201119 | XPER   | 0                   | 160          | 160          | M      |
| 20201119 | XPEV   | 2007                | 20875        | 46988        | M      |
| 20201119 | XPO    | 0                   | 350          | 350          | M      |
| 20201119 | XRAY   | 0                   | 254          | 452          | M      |
| 20201119 | XRT    | 0                   | 0            | 200          | M      |
| 20201119 | XRX    | 0                   | 212          | 212          | M      |
| 20201119 | XYL    | 0                   | 121          | 121          | M      |
| 20201119 | Y      | 0                   | 7            | 150          | M      |
| 20201119 | YALA   | 0                   | 0            | 300          | M      |
| 20201119 | YELP   | 0                   | 100          | 200          | M      |
| 20201119 | YETI   | 0                   | 276          | 276          | M      |
| 20201119 | YGYI   | 0                   | 100          | 100          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201119 | YI     | 0                   | 0            | 200          | M      |
| 20201119 | YMAB   | 0                   | 275          | 275          | M      |
| 20201119 | YNDX   | 0                   | 0            | 100          | M      |
| 20201119 | YSG    | 0                   | 1260         | 5533         | M      |
| 20201119 | YUM    | 0                   | 181          | 181          | M      |
| 20201119 | YUMC   | 0                   | 484          | 13042        | M      |
| 20201119 | YY     | 200                 | 600          | 3334         | M      |
| 20201119 | Z      | 0                   | 1118         | 1183         | M      |
| 20201119 | ZBH    | 0                   | 0            | 520          | M      |
| 20201119 | ZBRA   | 0                   | 166          | 576          | M      |
| 20201119 | ZDGE   | 0                   | 0            | 100          | M      |
| 20201119 | ZEN    | 0                   | 50           | 538          | M      |
| 20201119 | ZG     | 0                   | 0            | 100          | M      |
| 20201119 | ZGNX   | 0                   | 297          | 297          | M      |
| 20201119 | ZI     | 0                   | 564          | 564          | M      |
| 20201119 | ZION   | 0                   | 100          | 100          | M      |
| 20201119 | ZLAB   | 0                   | 4            | 83           | M      |
| 20201119 | ZM     | 0                   | 1623         | 5039         | M      |
| 20201119 | ZNGA   | 0                   | 127          | 237          | M      |
| 20201119 | ZNTL   | 0                   | 613          | 739          | M      |
| 20201119 | ZS     | 0                   | 673          | 950          | M      |
| 20201119 | ZTO    | 0                   | 200          | 200          | M      |
| 20201119 | ZTS    | 0                   | 539          | 939          | M      |
| 20201119 | ZUMZ   | 0                   | 118          | 118          | M      |
| 20201119 | ZUO    | 0                   | 0            | 100          | M      |
| 20201119 | ZYME   | 0                   | 800          | 1100         | M      |
| 20201119 | ZYXI   | 0                   | 99           | 99           | M      |