

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201030 | AA     | 0                   | 1            | 101          | M      |
| 20201030 | AAL    | 0                   | 8            | 16627        | M      |
| 20201030 | AAN    | 0                   | 795          | 859          | M      |
| 20201030 | AAOI   | 0                   | 100          | 100          | M      |
| 20201030 | AAON   | 0                   | 202          | 202          | M      |
| 20201030 | AAP    | 0                   | 70           | 267          | M      |
| 20201030 | AAPL   | 0                   | 4384         | 636452       | M      |
| 20201030 | AAWW   | 0                   | 382          | 482          | M      |
| 20201030 | AAXJ   | 0                   | 1            | 1            | M      |
| 20201030 | AAXN   | 0                   | 154          | 346          | M      |
| 20201030 | ABBV   | 0                   | 3404         | 3604         | M      |
| 20201030 | ABC    | 0                   | 566          | 766          | M      |
| 20201030 | ABEV   | 0                   | 1            | 301          | M      |
| 20201030 | ABG    | 0                   | 603          | 652          | M      |
| 20201030 | ABMD   | 0                   | 0            | 208          | M      |
| 20201030 | ABR    | 0                   | 100          | 200          | M      |
| 20201030 | ABT    | 80                  | 1141         | 1482         | M      |
| 20201030 | AC     | 0                   | 1            | 1            | M      |
| 20201030 | ACA    | 0                   | 102          | 102          | M      |
| 20201030 | ACAD   | 0                   | 1611         | 1613         | M      |
| 20201030 | ACB    | 0                   | 100          | 100          | M      |
| 20201030 | ACC    | 0                   | 100          | 200          | M      |
| 20201030 | ACCD   | 0                   | 39           | 39           | M      |
| 20201030 | ACGL   | 0                   | 948          | 948          | M      |
| 20201030 | ACHC   | 0                   | 670          | 1667         | M      |
| 20201030 | ACHV   | 0                   | 100          | 100          | M      |
| 20201030 | ACI    | 0                   | 0            | 6899         | M      |
| 20201030 | ACIA   | 0                   | 100          | 100          | M      |
| 20201030 | ACIU   | 0                   | 0            | 100          | M      |
| 20201030 | ACIW   | 0                   | 0            | 100          | M      |
| 20201030 | ACM    | 0                   | 800          | 800          | M      |
| 20201030 | ACMR   | 0                   | 332          | 1495         | M      |
| 20201030 | ACN    | 0                   | 499          | 549          | M      |
| 20201030 | ADAP   | 0                   | 0            | 100          | M      |
| 20201030 | ADBE   | 0                   | 330          | 3853         | M      |
| 20201030 | ADC    | 0                   | 7            | 127          | M      |
| 20201030 | ADI    | 0                   | 689          | 978          | M      |
| 20201030 | ADM    | 0                   | 0            | 700          | M      |
| 20201030 | ADMS   | 0                   | 0            | 200          | M      |
| 20201030 | ADP    | 0                   | 919          | 920          | M      |
| 20201030 | ADPT   | 0                   | 1199         | 1269         | M      |
| 20201030 | ADS    | 0                   | 94           | 165          | M      |
| 20201030 | ADSK   | 0                   | 1031         | 1315         | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201030 | ADV    | 0                   | 429          | 429          | M      |
| 20201030 | ADVM   | 0                   | 100          | 100          | M      |
| 20201030 | AEE    | 0                   | 970          | 970          | M      |
| 20201030 | AEHL   | 0                   | 300          | 300          | M      |
| 20201030 | AEIS   | 0                   | 73           | 73           | M      |
| 20201030 | AEL    | 0                   | 200          | 200          | M      |
| 20201030 | AEM    | 0                   | 100          | 100          | M      |
| 20201030 | AEP    | 0                   | 100          | 462          | M      |
| 20201030 | AER    | 0                   | 258          | 458          | M      |
| 20201030 | AERI   | 0                   | 316          | 316          | M      |
| 20201030 | AFG    | 0                   | 284          | 284          | M      |
| 20201030 | AFIN   | 0                   | 0            | 100          | M      |
| 20201030 | AFYA   | 0                   | 1            | 1            | M      |
| 20201030 | AGCO   | 0                   | 169          | 373          | M      |
| 20201030 | AGI    | 0                   | 0            | 100          | M      |
| 20201030 | AGIO   | 0                   | 866          | 1066         | M      |
| 20201030 | AGLE   | 0                   | 4            | 105          | M      |
| 20201030 | AGR    | 0                   | 50           | 50           | M      |
| 20201030 | AGRX   | 200                 | 200          | 200          | M      |
| 20201030 | AGS    | 0                   | 0            | 108          | M      |
| 20201030 | AGX    | 0                   | 100          | 100          | M      |
| 20201030 | AGYS   | 0                   | 0            | 100          | M      |
| 20201030 | AHPI   | 0                   | 60           | 60           | M      |
| 20201030 | AIMC   | 0                   | 100          | 100          | M      |
| 20201030 | AIO    | 0                   | 60           | 60           | M      |
| 20201030 | AIR    | 0                   | 0            | 100          | M      |
| 20201030 | AIT    | 0                   | 26           | 26           | M      |
| 20201030 | AIV    | 0                   | 506          | 1400         | M      |
| 20201030 | AIZ    | 0                   | 273          | 273          | M      |
| 20201030 | AJG    | 0                   | 692          | 692          | M      |
| 20201030 | AKAM   | 0                   | 351          | 47358        | M      |
| 20201030 | AKR    | 0                   | 0            | 96           | M      |
| 20201030 | AKRO   | 0                   | 100          | 100          | M      |
| 20201030 | AKTS   | 0                   | 100          | 100          | M      |
| 20201030 | AKUS   | 0                   | 98           | 98           | M      |
| 20201030 | AL     | 0                   | 2699         | 5006         | M      |
| 20201030 | ALB    | 0                   | 100          | 5252         | M      |
| 20201030 | ALBO   | 0                   | 99           | 99           | M      |
| 20201030 | ALC    | 0                   | 50           | 50           | M      |
| 20201030 | ALDX   | 96                  | 196          | 196          | M      |
| 20201030 | ALE    | 0                   | 163          | 163          | M      |
| 20201030 | ALEC   | 0                   | 91           | 166          | M      |
| 20201030 | ALGN   | 0                   | 854          | 2820         | M      |
| 20201030 | ALGS   | 0                   | 177          | 436          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201030 | ALGT   | 0                   | 292          | 292          | M      |
| 20201030 | ALK    | 0                   | 200          | 200          | M      |
| 20201030 | ALKS   | 0                   | 200          | 300          | M      |
| 20201030 | ALL    | 0                   | 200          | 301          | M      |
| 20201030 | ALLE   | 0                   | 369          | 525          | M      |
| 20201030 | ALLK   | 0                   | 1453         | 1861         | M      |
| 20201030 | ALLO   | 0                   | 181          | 181          | M      |
| 20201030 | ALLY   | 0                   | 3796         | 7892         | M      |
| 20201030 | ALNY   | 160                 | 272          | 372          | M      |
| 20201030 | ALRM   | 0                   | 202          | 203          | M      |
| 20201030 | ALRS   | 0                   | 124          | 124          | M      |
| 20201030 | ALSN   | 0                   | 0            | 181          | M      |
| 20201030 | ALT    | 0                   | 200          | 302          | M      |
| 20201030 | ALV    | 0                   | 791          | 1089         | M      |
| 20201030 | ALVR   | 0                   | 98           | 98           | M      |
| 20201030 | ALX    | 0                   | 30           | 30           | M      |
| 20201030 | ALXN   | 0                   | 639          | 940          | M      |
| 20201030 | AM     | 0                   | 0            | 100          | M      |
| 20201030 | AMAT   | 0                   | 1200         | 20100        | M      |
| 20201030 | AMBA   | 0                   | 10           | 184          | M      |
| 20201030 | AMBC   | 0                   | 0            | 3            | M      |
| 20201030 | AMBO   | 0                   | 0            | 100          | M      |
| 20201030 | AMC    | 0                   | 3900         | 3901         | M      |
| 20201030 | AMCX   | 0                   | 8020         | 31902        | M      |
| 20201030 | AMD    | 0                   | 0            | 100          | M      |
| 20201030 | AME    | 0                   | 3            | 103          | M      |
| 20201030 | AMED   | 0                   | 15           | 15           | M      |
| 20201030 | AMG    | 0                   | 5            | 5            | M      |
| 20201030 | AMGN   | 0                   | 1105         | 1419         | M      |
| 20201030 | AMH    | 0                   | 97           | 197          | M      |
| 20201030 | AMK    | 0                   | 4            | 12           | M      |
| 20201030 | AMN    | 0                   | 111          | 111          | M      |
| 20201030 | AMP    | 0                   | 549          | 573          | M      |
| 20201030 | AMRH   | 0                   | 0            | 100          | M      |
| 20201030 | AMRX   | 0                   | 8            | 100          | M      |
| 20201030 | AMT    | 0                   | 2261         | 10609        | M      |
| 20201030 | AMTI   | 0                   | 204          | 204          | M      |
| 20201030 | AMTX   | 0                   | 200          | 200          | M      |
| 20201030 | AMWD   | 0                   | 100          | 100          | M      |
| 20201030 | AMWL   | 0                   | 1557         | 1657         | M      |
| 20201030 | AMX    | 0                   | 0            | 3            | M      |
| 20201030 | AMZN   | 0                   | 8689         | 58993        | M      |
| 20201030 | AN     | 0                   | 387          | 387          | M      |
| 20201030 | ANAB   | 0                   | 36           | 101          | M      |

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|----------|--------|---------------------|--------------|--------------|--------|
| 20201030 | ANET   | 0                   | 100          | 12963        | M      |
| 20201030 | ANF    | 0                   | 100          | 200          | M      |
| 20201030 | ANIX   | 0                   | 2562         | 4473         | M      |
| 20201030 | ANSS   | 0                   | 184          | 284          | M      |
| 20201030 | ANTM   | 0                   | 0            | 275          | M      |
| 20201030 | AON    | 0                   | 5657         | 6608         | M      |
| 20201030 | AOS    | 0                   | 22           | 122          | M      |
| 20201030 | AOUT   | 0                   | 0            | 164          | M      |
| 20201030 | APA    | 0                   | 28148        | 57104        | M      |
| 20201030 | APAM   | 0                   | 0            | 184          | M      |
| 20201030 | APD    | 0                   | 0            | 105          | M      |
| 20201030 | APDN   | 0                   | 147          | 147          | M      |
| 20201030 | APEI   | 0                   | 200          | 200          | M      |
| 20201030 | APG    | 0                   | 217          | 311          | M      |
| 20201030 | APH    | 0                   | 232          | 232          | M      |
| 20201030 | API    | 0                   | 300          | 369          | M      |
| 20201030 | APLS   | 0                   | 100          | 100          | M      |
| 20201030 | APM    | 0                   | 100          | 100          | M      |
| 20201030 | APO    | 0                   | 1698         | 2098         | M      |
| 20201030 | APOG   | 0                   | 54           | 54           | M      |
| 20201030 | APPF   | 0                   | 195          | 195          | M      |
| 20201030 | APPN   | 0                   | 100          | 129          | M      |
| 20201030 | APPS   | 0                   | 100          | 101          | M      |
| 20201030 | APRN   | 0                   | 200          | 631          | M      |
| 20201030 | APT    | 0                   | 381          | 381          | M      |
| 20201030 | APTV   | 0                   | 1847         | 1962         | M      |
| 20201030 | APTX   | 0                   | 100          | 100          | M      |
| 20201030 | AR     | 0                   | 42           | 43           | M      |
| 20201030 | ARA    | 0                   | 0            | 200          | M      |
| 20201030 | ARCB   | 0                   | 77           | 77           | M      |
| 20201030 | ARCH   | 0                   | 200          | 328          | M      |
| 20201030 | ARCT   | 0                   | 0            | 39           | M      |
| 20201030 | ARDX   | 0                   | 0            | 100          | M      |
| 20201030 | ARE    | 0                   | 5            | 5            | M      |
| 20201030 | ARES   | 0                   | 200          | 200          | M      |
| 20201030 | ARGO   | 0                   | 1            | 1            | M      |
| 20201030 | ARLO   | 0                   | 0            | 100          | M      |
| 20201030 | ARMK   | 0                   | 828          | 828          | M      |
| 20201030 | ARNA   | 0                   | 277          | 681          | M      |
| 20201030 | ARNC   | 300                 | 407          | 602          | M      |
| 20201030 | ARPO   | 0                   | 100          | 100          | M      |
| 20201030 | ARRY   | 846                 | 1153         | 3275         | M      |
| 20201030 | ARVN   | 0                   | 168          | 168          | M      |
| 20201030 | ARW    | 0                   | 145          | 245          | M      |

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|----------|--------|---------------------|--------------|--------------|--------|
| 20201030 | ARWR   | 0                   | 200          | 348          | M      |
| 20201030 | ASAN   | 0                   | 1072         | 1072         | M      |
| 20201030 | ASB    | 0                   | 0            | 100          | M      |
| 20201030 | ASH    | 0                   | 317          | 388          | M      |
| 20201030 | ASIX   | 0                   | 200          | 291          | M      |
| 20201030 | ASMB   | 0                   | 31           | 31           | M      |
| 20201030 | ASML   | 0                   | 200          | 200          | M      |
| 20201030 | ASND   | 0                   | 126          | 126          | M      |
| 20201030 | ASO    | 0                   | 0            | 100          | M      |
| 20201030 | ASR    | 0                   | 101          | 163          | M      |
| 20201030 | ASTE   | 0                   | 4            | 4            | M      |
| 20201030 | ATCO   | 0                   | 0            | 368          | M      |
| 20201030 | ATEX   | 0                   | 210          | 371          | M      |
| 20201030 | ATH    | 0                   | 100          | 267          | M      |
| 20201030 | ATHA   | 0                   | 0            | 200          | M      |
| 20201030 | ATHE   | 0                   | 0            | 100          | M      |
| 20201030 | ATHM   | 0                   | 100          | 100          | M      |
| 20201030 | ATIF   | 0                   | 600          | 600          | M      |
| 20201030 | ATKR   | 0                   | 55           | 55           | M      |
| 20201030 | ATO    | 0                   | 103          | 110          | M      |
| 20201030 | ATOM   | 0                   | 0            | 7            | M      |
| 20201030 | ATR    | 0                   | 100          | 200          | M      |
| 20201030 | ATRA   | 0                   | 100          | 100          | M      |
| 20201030 | ATRC   | 0                   | 2            | 102          | M      |
| 20201030 | ATRI   | 0                   | 74           | 76           | M      |
| 20201030 | ATRO   | 0                   | 0            | 100          | M      |
| 20201030 | ATSG   | 0                   | 497          | 530          | M      |
| 20201030 | ATUS   | 0                   | 100          | 112          | M      |
| 20201030 | ATVI   | 0                   | 5100         | 5200         | M      |
| 20201030 | AUDC   | 0                   | 200          | 200          | M      |
| 20201030 | AUPH   | 0                   | 100          | 250          | M      |
| 20201030 | AUVI   | 0                   | 100          | 200          | M      |
| 20201030 | AUY    | 0                   | 0            | 3            | M      |
| 20201030 | AVA    | 0                   | 0            | 176          | M      |
| 20201030 | AVB    | 0                   | 259          | 259          | M      |
| 20201030 | AVEO   | 0                   | 0            | 102          | M      |
| 20201030 | AVGO   | 0                   | 1626         | 2114         | M      |
| 20201030 | AVLR   | 0                   | 1046         | 1658         | M      |
| 20201030 | AVNS   | 0                   | 309          | 315          | M      |
| 20201030 | AVNT   | 88                  | 188          | 188          | M      |
| 20201030 | AVO    | 0                   | 56           | 122          | M      |
| 20201030 | AVRO   | 0                   | 100          | 700          | M      |
| 20201030 | AVT    | 0                   | 0            | 90           | M      |
| 20201030 | AVTR   | 0                   | 903          | 1762         | M      |

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|----------|--------|---------------------|--------------|--------------|--------|
| 20201030 | AVXL   | 0                   | 0            | 100          | M      |
| 20201030 | AVY    | 0                   | 390          | 472          | M      |
| 20201030 | AVYA   | 0                   | 0            | 15           | M      |
| 20201030 | AWI    | 0                   | 0            | 100          | M      |
| 20201030 | AWK    | 0                   | 240          | 340          | M      |
| 20201030 | AWR    | 0                   | 0            | 81           | M      |
| 20201030 | AXAS   | 0                   | 100          | 100          | M      |
| 20201030 | AXL    | 0                   | 0            | 300          | M      |
| 20201030 | AXNX   | 0                   | 100          | 100          | M      |
| 20201030 | AXP    | 0                   | 2232         | 2411         | M      |
| 20201030 | AXS    | 0                   | 0            | 163          | M      |
| 20201030 | AXSM   | 0                   | 20           | 397          | M      |
| 20201030 | AXTA   | 0                   | 2100         | 2201         | M      |
| 20201030 | AY     | 0                   | 0            | 157          | M      |
| 20201030 | AYI    | 0                   | 20           | 16066        | M      |
| 20201030 | AYX    | 0                   | 650          | 828          | M      |
| 20201030 | AZEK   | 0                   | 41           | 41           | M      |
| 20201030 | AZN    | 0                   | 0            | 21700        | M      |
| 20201030 | AZO    | 0                   | 487          | 746          | M      |
| 20201030 | AZPN   | 0                   | 60           | 61           | M      |
| 20201030 | AZRE   | 0                   | 100          | 100          | M      |
| 20201030 | AZRX   | 0                   | 0            | 300          | M      |
| 20201030 | AZZ    | 0                   | 11           | 11           | M      |
| 20201030 | BA     | 0                   | 3201         | 11181        | M      |
| 20201030 | BABA   | 0                   | 2538         | 195773       | M      |
| 20201030 | BAC    | 0                   | 6694         | 34786        | M      |
| 20201030 | BAH    | 0                   | 552          | 1063         | M      |
| 20201030 | BAM    | 0                   | 804          | 804          | M      |
| 20201030 | BAMH   | 0                   | 0            | 100          | M      |
| 20201030 | BAND   | 0                   | 348          | 366          | M      |
| 20201030 | BANF   | 0                   | 1            | 1            | M      |
| 20201030 | BANR   | 0                   | 66           | 66           | M      |
| 20201030 | BAP    | 0                   | 218          | 374          | M      |
| 20201030 | BAX    | 34                  | 641          | 851          | M      |
| 20201030 | BB     | 0                   | 0            | 3            | M      |
| 20201030 | BBBY   | 0                   | 0            | 104          | M      |
| 20201030 | BBD    | 0                   | 213          | 325          | M      |
| 20201030 | BBVA   | 0                   | 500          | 1300         | M      |
| 20201030 | BBY    | 0                   | 321          | 321          | M      |
| 20201030 | BC     | 0                   | 110          | 132          | M      |
| 20201030 | BCC    | 0                   | 595          | 33928        | M      |
| 20201030 | BCLI   | 0                   | 90           | 190          | M      |
| 20201030 | BCSF   | 0                   | 62           | 62           | M      |
| 20201030 | BDC    | 0                   | 0            | 96           | M      |

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|----------|--------|---------------------|--------------|--------------|--------|
| 20201030 | BDN    | 0                   | 1            | 1            | M      |
| 20201030 | BDTX   | 0                   | 94           | 94           | M      |
| 20201030 | BDX    | 0                   | 200          | 400          | M      |
| 20201030 | BE     | 0                   | 0            | 200          | M      |
| 20201030 | BEAM   | 0                   | 100          | 372          | M      |
| 20201030 | BEAT   | 0                   | 600          | 600          | M      |
| 20201030 | BECN   | 0                   | 4            | 4            | M      |
| 20201030 | BEEM   | 0                   | 74           | 74           | M      |
| 20201030 | BEKE   | 0                   | 1824         | 13763        | M      |
| 20201030 | BEN    | 0                   | 100          | 100          | M      |
| 20201030 | BEPC   | 100                 | 199          | 199          | M      |
| 20201030 | BERY   | 0                   | 208          | 208          | M      |
| 20201030 | BF B   | 0                   | 426          | 427          | M      |
| 20201030 | BFAM   | 0                   | 274          | 387          | M      |
| 20201030 | BFC    | 0                   | 120          | 126          | M      |
| 20201030 | BFS    | 0                   | 0            | 100          | M      |
| 20201030 | BG     | 0                   | 100          | 300          | M      |
| 20201030 | BGFV   | 0                   | 200          | 200          | M      |
| 20201030 | BGNE   | 0                   | 118          | 118          | M      |
| 20201030 | BGS    | 0                   | 150          | 150          | M      |
| 20201030 | BHC    | 0                   | 0            | 20100        | M      |
| 20201030 | BHF    | 0                   | 494          | 594          | M      |
| 20201030 | BHLB   | 0                   | 50           | 50           | M      |
| 20201030 | BHP    | 0                   | 100          | 100          | M      |
| 20201030 | BHVN   | 0                   | 302          | 23802        | M      |
| 20201030 | BIDU   | 0                   | 1847         | 2320         | M      |
| 20201030 | BIG    | 0                   | 100          | 100          | M      |
| 20201030 | BIGC   | 0                   | 100          | 300          | M      |
| 20201030 | BIIB   | 0                   | 200          | 712          | M      |
| 20201030 | BILI   | 0                   | 230          | 1040         | M      |
| 20201030 | BILL   | 0                   | 350          | 450          | M      |
| 20201030 | BIO    | 0                   | 1718         | 2079         | M      |
| 20201030 | BJ     | 0                   | 200          | 418          | M      |
| 20201030 | BJRI   | 0                   | 5            | 271          | M      |
| 20201030 | BK     | 0                   | 0            | 100          | M      |
| 20201030 | BKE    | 0                   | 236          | 435          | M      |
| 20201030 | BKH    | 0                   | 2            | 2            | M      |
| 20201030 | BKI    | 0                   | 40           | 180          | M      |
| 20201030 | BKNG   | 0                   | 218          | 654          | M      |
| 20201030 | BKR    | 0                   | 402          | 605          | M      |
| 20201030 | BKU    | 0                   | 8            | 108          | M      |
| 20201030 | BL     | 0                   | 935          | 1587         | M      |
| 20201030 | BLD    | 0                   | 294          | 307          | M      |
| 20201030 | BLDR   | 0                   | 200          | 200          | M      |

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|----------|--------|---------------------|--------------|--------------|--------|
| 20201030 | BLI    | 0                   | 1012         | 1012         | M      |
| 20201030 | BLK    | 0                   | 1747         | 2453         | M      |
| 20201030 | BLKB   | 0                   | 300          | 597          | M      |
| 20201030 | BLL    | 0                   | 154          | 154          | M      |
| 20201030 | BLNK   | 0                   | 0            | 150          | M      |
| 20201030 | BLRX   | 0                   | 0            | 100          | M      |
| 20201030 | BLSA   | 0                   | 2            | 477          | M      |
| 20201030 | BLUE   | 0                   | 390          | 390          | M      |
| 20201030 | BLX    | 0                   | 0            | 100          | M      |
| 20201030 | BMCH   | 0                   | 826          | 998          | M      |
| 20201030 | BMO    | 0                   | 1126         | 2809         | M      |
| 20201030 | BMRA   | 0                   | 2300         | 2800         | M      |
| 20201030 | BMRN   | 0                   | 405          | 607          | M      |
| 20201030 | BMTC   | 0                   | 0            | 10           | M      |
| 20201030 | BMY    | 0                   | 258          | 51384        | M      |
| 20201030 | BND    | 0                   | 0            | 8604         | M      |
| 20201030 | BNL    | 0                   | 0            | 12           | M      |
| 20201030 | BNS    | 0                   | 2339         | 3976         | M      |
| 20201030 | BNTX   | 0                   | 801          | 1101         | M      |
| 20201030 | BOKF   | 0                   | 100          | 100          | M      |
| 20201030 | BOOM   | 0                   | 99           | 99           | M      |
| 20201030 | BOOT   | 0                   | 189          | 289          | M      |
| 20201030 | BOX    | 0                   | 69           | 69           | M      |
| 20201030 | BP     | 0                   | 7            | 29           | M      |
| 20201030 | BPMC   | 0                   | 0            | 207          | M      |
| 20201030 | BPOP   | 0                   | 43           | 65           | M      |
| 20201030 | BPY    | 0                   | 5            | 15           | M      |
| 20201030 | BR     | 0                   | 200          | 200          | M      |
| 20201030 | BRBR   | 0                   | 0            | 100          | M      |
| 20201030 | BRC    | 0                   | 0            | 11           | M      |
| 20201030 | BRK B  | 0                   | 315          | 11917        | M      |
| 20201030 | BRKL   | 0                   | 0            | 100          | M      |
| 20201030 | BRKR   | 0                   | 100          | 100          | M      |
| 20201030 | BRKS   | 0                   | 154          | 333          | M      |
| 20201030 | BRO    | 0                   | 0            | 200          | M      |
| 20201030 | BSAC   | 0                   | 0            | 350          | M      |
| 20201030 | BSET   | 0                   | 0            | 67           | M      |
| 20201030 | BSTZ   | 0                   | 0            | 100          | M      |
| 20201030 | BSX    | 0                   | 711          | 55462        | M      |
| 20201030 | BTAI   | 0                   | 115          | 215          | M      |
| 20201030 | BUD    | 0                   | 0            | 198          | M      |
| 20201030 | BURL   | 0                   | 160          | 160          | M      |
| 20201030 | BUSE   | 0                   | 100          | 100          | M      |
| 20201030 | BW     | 0                   | 0            | 90           | M      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201030 | BWA    | 0                   | 1845         | 1995         | M      |
| 20201030 | BWEN   | 0                   | 650          | 1300         | M      |
| 20201030 | BWG    | 0                   | 0            | 100          | M      |
| 20201030 | BWXT   | 0                   | 110          | 110          | M      |
| 20201030 | BX     | 0                   | 0            | 6150         | M      |
| 20201030 | BXMT   | 0                   | 0            | 99           | M      |
| 20201030 | BXP    | 0                   | 200          | 422          | M      |
| 20201030 | BYD    | 0                   | 462          | 662          | M      |
| 20201030 | BYND   | 0                   | 1093         | 17392        | M      |
| 20201030 | BZUN   | 0                   | 300          | 300          | M      |
| 20201030 | C      | 0                   | 51           | 233817       | M      |
| 20201030 | CABO   | 0                   | 226          | 410          | M      |
| 20201030 | CACC   | 0                   | 678          | 1350         | M      |
| 20201030 | CACI   | 0                   | 113          | 119          | M      |
| 20201030 | CAG    | 0                   | 0            | 200          | M      |
| 20201030 | CAH    | 0                   | 0            | 46           | M      |
| 20201030 | CAI    | 0                   | 200          | 204          | M      |
| 20201030 | CALX   | 0                   | 0            | 139          | M      |
| 20201030 | CAMT   | 0                   | 0            | 100          | M      |
| 20201030 | CAPR   | 0                   | 0            | 100          | M      |
| 20201030 | CAR    | 0                   | 404          | 722          | M      |
| 20201030 | CARG   | 0                   | 0            | 540          | M      |
| 20201030 | CARR   | 0                   | 2662         | 2662         | M      |
| 20201030 | CARS   | 0                   | 100          | 200          | M      |
| 20201030 | CASA   | 0                   | 0            | 95           | M      |
| 20201030 | CASH   | 0                   | 30           | 250          | M      |
| 20201030 | CASI   | 0                   | 300          | 300          | M      |
| 20201030 | CASS   | 0                   | 27           | 118          | M      |
| 20201030 | CASY   | 0                   | 212          | 370          | M      |
| 20201030 | CAT    | 0                   | 1180         | 1574         | M      |
| 20201030 | CATC   | 0                   | 22           | 22           | M      |
| 20201030 | CATM   | 0                   | 0            | 38           | M      |
| 20201030 | CB     | 0                   | 501          | 701          | M      |
| 20201030 | CBAY   | 0                   | 179          | 279          | M      |
| 20201030 | CBLI   | 0                   | 92           | 92           | M      |
| 20201030 | CBOE   | 0                   | 200          | 404          | M      |
| 20201030 | CBPO   | 0                   | 100          | 100          | M      |
| 20201030 | CBRE   | 0                   | 105          | 105          | M      |
| 20201030 | CBRL   | 0                   | 302          | 330          | M      |
| 20201030 | CBSH   | 0                   | 47           | 47           | M      |
| 20201030 | CC     | 0                   | 0            | 100          | M      |
| 20201030 | CCC    | 0                   | 100          | 100          | M      |
| 20201030 | CCCC   | 0                   | 9267         | 12609        | M      |
| 20201030 | CCEP   | 0                   | 0            | 192          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201030 | CCF    | 0                   | 10           | 45           | M      |
| 20201030 | CCI    | 6                   | 710          | 1307         | M      |
| 20201030 | CCJ    | 0                   | 94           | 94           | M      |
| 20201030 | CCK    | 0                   | 0            | 401          | M      |
| 20201030 | CCL    | 0                   | 2331         | 3839         | M      |
| 20201030 | CCMP   | 0                   | 75           | 205          | M      |
| 20201030 | CCS    | 0                   | 170          | 170          | M      |
| 20201030 | CCU    | 0                   | 35           | 435          | M      |
| 20201030 | CCXI   | 0                   | 477          | 477          | M      |
| 20201030 | CD     | 0                   | 879          | 1579         | M      |
| 20201030 | CDAY   | 0                   | 0            | 200          | M      |
| 20201030 | CDK    | 0                   | 249          | 249          | M      |
| 20201030 | CDLX   | 0                   | 301          | 499          | M      |
| 20201030 | CDNA   | 0                   | 100          | 289          | M      |
| 20201030 | CDNS   | 0                   | 102          | 102          | M      |
| 20201030 | CDW    | 0                   | 194          | 194          | M      |
| 20201030 | CDZI   | 0                   | 200          | 200          | M      |
| 20201030 | CE     | 0                   | 121          | 235          | M      |
| 20201030 | CEIX   | 0                   | 146          | 346          | M      |
| 20201030 | CELH   | 0                   | 192          | 192          | M      |
| 20201030 | CENTA  | 0                   | 75           | 76           | M      |
| 20201030 | CENX   | 0                   | 0            | 1            | M      |
| 20201030 | CEPU   | 0                   | 90           | 90           | M      |
| 20201030 | CEQP   | 0                   | 231          | 231          | M      |
| 20201030 | CERE   | 0                   | 0            | 108          | M      |
| 20201030 | CERN   | 0                   | 290          | 31482        | M      |
| 20201030 | CF     | 0                   | 55           | 55           | M      |
| 20201030 | CFR    | 0                   | 199          | 199          | M      |
| 20201030 | CFX    | 0                   | 368          | 468          | M      |
| 20201030 | CG     | 0                   | 0            | 100          | M      |
| 20201030 | CGC    | 0                   | 100          | 100          | M      |
| 20201030 | CGEN   | 0                   | 199          | 199          | M      |
| 20201030 | CGNX   | 0                   | 500          | 595          | M      |
| 20201030 | CHD    | 0                   | 350          | 354          | M      |
| 20201030 | CHDN   | 0                   | 74           | 82           | M      |
| 20201030 | CHE    | 0                   | 133          | 320          | M      |
| 20201030 | CHEF   | 0                   | 0            | 140          | M      |
| 20201030 | CHEP   | 0                   | 2            | 2            | M      |
| 20201030 | CHFS   | 0                   | 100          | 200          | M      |
| 20201030 | CHGG   | 0                   | 40           | 140          | M      |
| 20201030 | CHH    | 0                   | 100          | 100          | M      |
| 20201030 | CHKP   | 0                   | 239          | 339          | M      |
| 20201030 | CHMA   | 0                   | 85           | 85           | M      |
| 20201030 | CHNG   | 0                   | 8            | 28           | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201030 | CHNR   | 0                   | 0            | 100          | M      |
| 20201030 | CHRS   | 0                   | 886          | 886          | M      |
| 20201030 | CHRW   | 0                   | 475          | 609          | M      |
| 20201030 | CHTR   | 0                   | 1151         | 2746         | M      |
| 20201030 | CHUY   | 0                   | 0            | 100          | M      |
| 20201030 | CHWY   | 0                   | 0            | 365          | M      |
| 20201030 | CHX    | 0                   | 0            | 200          | M      |
| 20201030 | CHY    | 0                   | 100          | 200          | M      |
| 20201030 | CI     | 0                   | 184          | 388          | M      |
| 20201030 | CIEN   | 0                   | 195          | 195          | M      |
| 20201030 | CINF   | 0                   | 100          | 260          | M      |
| 20201030 | CIT    | 0                   | 68           | 1278         | M      |
| 20201030 | CKH    | 0                   | 0            | 11           | M      |
| 20201030 | CL     | 0                   | 368          | 63409        | M      |
| 20201030 | CLAR   | 0                   | 0            | 188          | M      |
| 20201030 | CLCT   | 0                   | 0            | 128          | M      |
| 20201030 | CLDX   | 0                   | 5            | 104          | M      |
| 20201030 | CLF    | 0                   | 0            | 7            | M      |
| 20201030 | CLGX   | 0                   | 28           | 28           | M      |
| 20201030 | CLH    | 0                   | 0            | 64           | M      |
| 20201030 | CLI    | 0                   | 0            | 178          | M      |
| 20201030 | CLLS   | 0                   | 0            | 100          | M      |
| 20201030 | CLNY   | 0                   | 1            | 2            | M      |
| 20201030 | CLPT   | 0                   | 0            | 100          | M      |
| 20201030 | CLR    | 0                   | 100          | 18100        | M      |
| 20201030 | CLSD   | 0                   | 100          | 100          | M      |
| 20201030 | CLSK   | 0                   | 160          | 160          | M      |
| 20201030 | CLW    | 0                   | 2            | 2            | M      |
| 20201030 | CLX    | 0                   | 491          | 491          | M      |
| 20201030 | CMA    | 0                   | 0            | 100          | M      |
| 20201030 | CMBM   | 0                   | 900          | 1200         | M      |
| 20201030 | CMC    | 0                   | 99           | 199          | M      |
| 20201030 | CMCSA  | 0                   | 20           | 70223        | M      |
| 20201030 | CME    | 0                   | 2014         | 2245         | M      |
| 20201030 | CMG    | 0                   | 1795         | 5515         | M      |
| 20201030 | CMI    | 0                   | 133          | 133          | M      |
| 20201030 | CMP    | 0                   | 0            | 200          | M      |
| 20201030 | CMPR   | 0                   | 180          | 180          | M      |
| 20201030 | CMPS   | 100                 | 542          | 542          | M      |
| 20201030 | CMS    | 0                   | 150          | 150          | M      |
| 20201030 | CMTL   | 0                   | 100          | 200          | M      |
| 20201030 | CMU    | 0                   | 0            | 100          | M      |
| 20201030 | CNC    | 0                   | 550          | 750          | M      |
| 20201030 | CNMD   | 0                   | 184          | 184          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201030 | CNP    | 0                   | 0            | 200          | M      |
| 20201030 | CNQ    | 0                   | 100          | 100          | M      |
| 20201030 | CNR    | 0                   | 0            | 200          | M      |
| 20201030 | CNS    | 0                   | 0            | 148          | M      |
| 20201030 | CNST   | 0                   | 100          | 199          | M      |
| 20201030 | CNTG   | 0                   | 300          | 395          | M      |
| 20201030 | CNTY   | 0                   | 0            | 200          | M      |
| 20201030 | CNX    | 0                   | 1            | 1            | M      |
| 20201030 | CODX   | 0                   | 0            | 15           | M      |
| 20201030 | COF    | 0                   | 0            | 226          | M      |
| 20201030 | COG    | 0                   | 100          | 100          | M      |
| 20201030 | COGT   | 0                   | 200          | 400          | M      |
| 20201030 | COHN   | 0                   | 113          | 151          | M      |
| 20201030 | COHR   | 0                   | 85           | 185          | M      |
| 20201030 | COLD   | 0                   | 141          | 341          | M      |
| 20201030 | COLL   | 0                   | 0            | 100          | M      |
| 20201030 | COLM   | 0                   | 194          | 194          | M      |
| 20201030 | CONE   | 0                   | 0            | 18           | M      |
| 20201030 | CONN   | 0                   | 0            | 43           | M      |
| 20201030 | CONXU  | 0                   | 0            | 400          | M      |
| 20201030 | COO    | 0                   | 34           | 309          | M      |
| 20201030 | COOP   | 0                   | 86           | 86           | M      |
| 20201030 | COP    | 0                   | 0            | 300          | M      |
| 20201030 | COR    | 0                   | 0            | 91           | M      |
| 20201030 | CORE   | 0                   | 99           | 99           | M      |
| 20201030 | CORT   | 0                   | 100          | 100          | M      |
| 20201030 | COST   | 0                   | 347          | 1262         | M      |
| 20201030 | COUP   | 0                   | 800          | 818          | M      |
| 20201030 | COWN   | 0                   | 0            | 350          | M      |
| 20201030 | CP     | 0                   | 0            | 80           | M      |
| 20201030 | CPA    | 0                   | 200          | 300          | M      |
| 20201030 | CPB    | 0                   | 200          | 200          | M      |
| 20201030 | CPF    | 0                   | 0            | 11           | M      |
| 20201030 | CPRI   | 0                   | 382          | 483          | M      |
| 20201030 | CPRT   | 0                   | 218          | 418          | M      |
| 20201030 | CPS    | 0                   | 2            | 2            | M      |
| 20201030 | CPSI   | 0                   | 0            | 1            | M      |
| 20201030 | CPST   | 0                   | 130          | 130          | M      |
| 20201030 | CPT    | 0                   | 200          | 200          | M      |
| 20201030 | CQP    | 35                  | 91           | 91           | M      |
| 20201030 | CR     | 0                   | 202          | 202          | M      |
| 20201030 | CRC    | 0                   | 322          | 2722         | M      |
| 20201030 | CRDF   | 0                   | 381          | 382          | M      |
| 20201030 | CREE   | 0                   | 726          | 826          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201030 | CRF    | 0                   | 0            | 200          | M      |
| 20201030 | CRH    | 0                   | 100          | 199          | M      |
| 20201030 | CRI    | 0                   | 384          | 484          | M      |
| 20201030 | CRK    | 0                   | 0            | 6            | M      |
| 20201030 | CRL    | 0                   | 109          | 205          | M      |
| 20201030 | CRM    | 100                 | 1298         | 43852        | M      |
| 20201030 | CRNC   | 0                   | 300          | 300          | M      |
| 20201030 | CRNX   | 0                   | 2            | 2            | M      |
| 20201030 | CROX   | 0                   | 538          | 988          | M      |
| 20201030 | CRS    | 0                   | 82           | 102          | M      |
| 20201030 | CRSP   | 0                   | 100          | 100          | M      |
| 20201030 | CRSR   | 0                   | 100          | 166          | M      |
| 20201030 | CRTO   | 0                   | 100          | 200          | M      |
| 20201030 | CRTX   | 0                   | 201          | 301          | M      |
| 20201030 | CRUS   | 0                   | 80           | 192          | M      |
| 20201030 | CRVL   | 0                   | 57           | 57           | M      |
| 20201030 | CRWD   | 0                   | 1125         | 1425         | M      |
| 20201030 | CRY    | 0                   | 5            | 5            | M      |
| 20201030 | CS     | 0                   | 1            | 1            | M      |
| 20201030 | CSCO   | 0                   | 1244         | 51787        | M      |
| 20201030 | CSGP   | 6                   | 413          | 1064         | M      |
| 20201030 | CSIQ   | 0                   | 21           | 903          | M      |
| 20201030 | CSL    | 0                   | 154          | 154          | M      |
| 20201030 | CSOD   | 0                   | 139          | 211          | M      |
| 20201030 | CSQ    | 0                   | 200          | 200          | M      |
| 20201030 | CSTL   | 0                   | 100          | 100          | M      |
| 20201030 | CSWI   | 0                   | 74           | 203          | M      |
| 20201030 | CSX    | 0                   | 100          | 100          | M      |
| 20201030 | CTAS   | 0                   | 141          | 145          | M      |
| 20201030 | CTLT   | 0                   | 200          | 300          | M      |
| 20201030 | CTRN   | 0                   | 100          | 200          | M      |
| 20201030 | CTS    | 0                   | 100          | 100          | M      |
| 20201030 | CTSH   | 0                   | 752          | 1102         | M      |
| 20201030 | CTT    | 0                   | 300          | 400          | M      |
| 20201030 | CTVA   | 0                   | 300          | 400          | M      |
| 20201030 | CTXS   | 0                   | 300          | 439          | M      |
| 20201030 | CUB    | 0                   | 241          | 241          | M      |
| 20201030 | CULP   | 0                   | 100          | 100          | M      |
| 20201030 | CUTR   | 0                   | 252          | 322          | M      |
| 20201030 | CUZ    | 0                   | 80           | 80           | M      |
| 20201030 | CVAC   | 136                 | 336          | 636          | M      |
| 20201030 | CVCO   | 0                   | 3            | 3            | M      |
| 20201030 | CVE    | 0                   | 0            | 300          | M      |
| 20201030 | CVET   | 0                   | 129          | 129          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201030 | CVGI   | 0                   | 100          | 100          | M      |
| 20201030 | CVLG   | 0                   | 300          | 300          | M      |
| 20201030 | CVLT   | 0                   | 117          | 327          | M      |
| 20201030 | CVM    | 0                   | 227          | 227          | M      |
| 20201030 | CVNA   | 0                   | 1004         | 38104        | M      |
| 20201030 | CVS    | 0                   | 30           | 35           | M      |
| 20201030 | CVX    | 0                   | 507          | 24508        | M      |
| 20201030 | CW     | 0                   | 0            | 41           | M      |
| 20201030 | CWB    | 0                   | 1            | 1            | M      |
| 20201030 | CWEN   | 0                   | 100          | 100          | M      |
| 20201030 | CWEN A | 0                   | 237          | 305          | M      |
| 20201030 | CWH    | 0                   | 170          | 180          | M      |
| 20201030 | CXDO   | 0                   | 0            | 100          | M      |
| 20201030 | CXO    | 0                   | 198          | 348          | M      |
| 20201030 | CXP    | 0                   | 0            | 1            | M      |
| 20201030 | CXW    | 0                   | 1            | 46           | M      |
| 20201030 | CYBR   | 0                   | 57           | 252          | M      |
| 20201030 | CYRN   | 0                   | 100          | 100          | M      |
| 20201030 | CYRX   | 0                   | 0            | 300          | M      |
| 20201030 | CYTK   | 0                   | 16           | 115          | M      |
| 20201030 | CZR    | 0                   | 403          | 19928        | M      |
| 20201030 | D      | 0                   | 1100         | 4410         | M      |
| 20201030 | DADA   | 0                   | 611          | 2103         | M      |
| 20201030 | DAN    | 0                   | 100          | 196          | M      |
| 20201030 | DAR    | 0                   | 100          | 200          | M      |
| 20201030 | DARE   | 0                   | 300          | 300          | M      |
| 20201030 | DAVA   | 0                   | 0            | 61           | M      |
| 20201030 | DBI    | 0                   | 857          | 863          | M      |
| 20201030 | DCI    | 0                   | 106          | 106          | M      |
| 20201030 | DCP    | 0                   | 148          | 148          | M      |
| 20201030 | DCPH   | 0                   | 156          | 156          | M      |
| 20201030 | DCT    | 0                   | 127          | 127          | M      |
| 20201030 | DD     | 0                   | 1100         | 101400       | M      |
| 20201030 | DDOG   | 0                   | 339          | 6821         | M      |
| 20201030 | DE     | 0                   | 211          | 311          | M      |
| 20201030 | DECK   | 0                   | 2275         | 2775         | M      |
| 20201030 | DEI    | 0                   | 200          | 300          | M      |
| 20201030 | DELL   | 0                   | 350          | 450          | M      |
| 20201030 | DEO    | 0                   | 0            | 195          | M      |
| 20201030 | DESP   | 0                   | 100          | 200          | M      |
| 20201030 | DFS    | 0                   | 500          | 600          | M      |
| 20201030 | DG     | 0                   | 200          | 353          | M      |
| 20201030 | DGII   | 0                   | 0            | 100          | M      |
| 20201030 | DGX    | 0                   | 200          | 500          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201030 | DHI    | 0                   | 1200         | 1221         | M      |
| 20201030 | DHIL   | 0                   | 0            | 38           | M      |
| 20201030 | DHR    | 0                   | 770          | 1173         | M      |
| 20201030 | DIA    | 0                   | 6            | 37806        | M      |
| 20201030 | DIN    | 0                   | 0            | 235          | M      |
| 20201030 | DIOD   | 8                   | 8            | 8            | M      |
| 20201030 | DIS    | 0                   | 1000         | 1000         | M      |
| 20201030 | DISCA  | 0                   | 0            | 100          | M      |
| 20201030 | DISCK  | 0                   | 7            | 7            | M      |
| 20201030 | DISH   | 0                   | 18           | 63           | M      |
| 20201030 | DK     | 0                   | 50           | 150          | M      |
| 20201030 | DKNG   | 0                   | 700          | 6266         | M      |
| 20201030 | DKS    | 0                   | 200          | 301          | M      |
| 20201030 | DLB    | 0                   | 5            | 6            | M      |
| 20201030 | DLR    | 0                   | 500          | 500          | M      |
| 20201030 | DLTR   | 0                   | 106          | 356          | M      |
| 20201030 | DLX    | 0                   | 163          | 163          | M      |
| 20201030 | DMRC   | 0                   | 89           | 89           | M      |
| 20201030 | DNKN   | 0                   | 268          | 526          | M      |
| 20201030 | DNLI   | 0                   | 484          | 784          | M      |
| 20201030 | DOCU   | 0                   | 1324         | 12412        | M      |
| 20201030 | DOMO   | 0                   | 287          | 387          | M      |
| 20201030 | DOOR   | 0                   | 0            | 100          | M      |
| 20201030 | DOV    | 0                   | 102          | 102          | M      |
| 20201030 | DOW    | 0                   | 206          | 713          | M      |
| 20201030 | DOYU   | 0                   | 200          | 200          | M      |
| 20201030 | DPZ    | 0                   | 821          | 821          | M      |
| 20201030 | DQ     | 0                   | 1434         | 3724         | M      |
| 20201030 | DRI    | 0                   | 1153         | 46448        | M      |
| 20201030 | DRQ    | 0                   | 0            | 100          | M      |
| 20201030 | DSKE   | 0                   | 0            | 22           | M      |
| 20201030 | DSS    | 0                   | 0            | 100          | M      |
| 20201030 | DT     | 0                   | 41           | 141          | M      |
| 20201030 | DTE    | 0                   | 300          | 400          | M      |
| 20201030 | DUK    | 0                   | 100          | 200          | M      |
| 20201030 | DVA    | 0                   | 3            | 3            | M      |
| 20201030 | DVN    | 0                   | 0            | 300          | M      |
| 20201030 | DXC    | 0                   | 0            | 100          | M      |
| 20201030 | DXCM   | 0                   | 2804         | 4926         | M      |
| 20201030 | DY     | 0                   | 151          | 251          | M      |
| 20201030 | DYN    | 0                   | 0            | 100          | M      |
| 20201030 | EA     | 2                   | 202          | 783          | M      |
| 20201030 | EAF    | 0                   | 100          | 100          | M      |
| 20201030 | EAR    | 0                   | 300          | 800          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201030 | EAT    | 0                   | 49           | 139          | M      |
| 20201030 | EB     | 0                   | 126          | 126          | M      |
| 20201030 | EBAY   | 0                   | 199          | 51632        | M      |
| 20201030 | EBIX   | 0                   | 184          | 184          | M      |
| 20201030 | EBON   | 0                   | 103          | 568          | M      |
| 20201030 | EBS    | 0                   | 360          | 360          | M      |
| 20201030 | EBSB   | 0                   | 0            | 73           | M      |
| 20201030 | ECHO   | 0                   | 99           | 99           | M      |
| 20201030 | ECL    | 0                   | 147          | 318          | M      |
| 20201030 | ECOM   | 0                   | 132          | 132          | M      |
| 20201030 | ECPG   | 0                   | 0            | 100          | M      |
| 20201030 | ED     | 0                   | 436          | 1289         | M      |
| 20201030 | EDIT   | 0                   | 200          | 200          | M      |
| 20201030 | EEFT   | 0                   | 592          | 850          | M      |
| 20201030 | EEM    | 0                   | 638          | 384539       | M      |
| 20201030 | EFA    | 0                   | 0            | 284003       | M      |
| 20201030 | EFOI   | 0                   | 0            | 100          | M      |
| 20201030 | EFSC   | 0                   | 0            | 100          | M      |
| 20201030 | EFX    | 0                   | 194          | 199          | M      |
| 20201030 | EGAN   | 0                   | 0            | 100          | M      |
| 20201030 | EGBN   | 0                   | 111          | 111          | M      |
| 20201030 | EGHT   | 0                   | 100          | 101          | M      |
| 20201030 | EGY    | 0                   | 316          | 726          | M      |
| 20201030 | EHC    | 0                   | 427          | 428          | M      |
| 20201030 | EHTH   | 0                   | 244          | 252          | M      |
| 20201030 | EIDX   | 0                   | 100          | 100          | M      |
| 20201030 | EIGI   | 0                   | 9            | 18           | M      |
| 20201030 | EINC   | 0                   | 15           | 30           | M      |
| 20201030 | EIX    | 0                   | 1304         | 1604         | M      |
| 20201030 | EL     | 0                   | 797          | 1059         | M      |
| 20201030 | ELAN   | 0                   | 400          | 400          | M      |
| 20201030 | ELF    | 0                   | 100          | 100          | M      |
| 20201030 | ELS    | 0                   | 50           | 195          | M      |
| 20201030 | ELSE   | 0                   | 0            | 200          | M      |
| 20201030 | ELY    | 0                   | 300          | 300          | M      |
| 20201030 | EME    | 0                   | 2            | 2            | M      |
| 20201030 | EMN    | 0                   | 211          | 251          | M      |
| 20201030 | EMR    | 0                   | 1            | 1            | M      |
| 20201030 | ENB    | 0                   | 0            | 100          | M      |
| 20201030 | ENG    | 0                   | 0            | 100          | M      |
| 20201030 | ENLV   | 0                   | 100          | 200          | M      |
| 20201030 | ENPH   | 0                   | 30           | 1176         | M      |
| 20201030 | ENR    | 0                   | 0            | 84           | M      |
| 20201030 | ENSG   | 0                   | 1            | 1            | M      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201030 | ENTG   | 0                   | 202          | 202          | M      |
| 20201030 | ENV    | 0                   | 160          | 160          | M      |
| 20201030 | ENVA   | 0                   | 0            | 2            | M      |
| 20201030 | EOG    | 0                   | 400          | 17294        | M      |
| 20201030 | EPAM   | 0                   | 2            | 142          | M      |
| 20201030 | EPAY   | 0                   | 100          | 100          | M      |
| 20201030 | EPC    | 0                   | 119          | 120          | M      |
| 20201030 | EPI    | 0                   | 1            | 1            | M      |
| 20201030 | EPR    | 0                   | 166          | 166          | M      |
| 20201030 | EPZM   | 0                   | 247          | 247          | M      |
| 20201030 | EQ     | 0                   | 102          | 102          | M      |
| 20201030 | EQC    | 0                   | 0            | 199          | M      |
| 20201030 | EQH    | 0                   | 100          | 100          | M      |
| 20201030 | EQIX   | 0                   | 839          | 1072         | M      |
| 20201030 | EQR    | 0                   | 755          | 955          | M      |
| 20201030 | EQT    | 0                   | 0            | 100          | M      |
| 20201030 | EQX    | 0                   | 0            | 100          | M      |
| 20201030 | ERIE   | 0                   | 0            | 1            | M      |
| 20201030 | ES     | 0                   | 223          | 411          | M      |
| 20201030 | ESGR   | 0                   | 94           | 122          | M      |
| 20201030 | ESLT   | 0                   | 195          | 195          | M      |
| 20201030 | ESNT   | 0                   | 50           | 244          | M      |
| 20201030 | ESPR   | 0                   | 164          | 164          | M      |
| 20201030 | ESS    | 0                   | 880          | 1194         | M      |
| 20201030 | ESTC   | 0                   | 312          | 459          | M      |
| 20201030 | ET     | 0                   | 0            | 100          | M      |
| 20201030 | ETN    | 0                   | 260          | 260          | M      |
| 20201030 | ETON   | 0                   | 275          | 856          | M      |
| 20201030 | ETR    | 25                  | 830          | 1106         | M      |
| 20201030 | ETRN   | 0                   | 100          | 100          | M      |
| 20201030 | ETSY   | 0                   | 574          | 3461         | M      |
| 20201030 | EVA    | 0                   | 0            | 100          | M      |
| 20201030 | EVBG   | 0                   | 329          | 329          | M      |
| 20201030 | EVER   | 0                   | 228          | 524          | M      |
| 20201030 | EVH    | 0                   | 100          | 100          | M      |
| 20201030 | EVOP   | 0                   | 100          | 300          | M      |
| 20201030 | EVR    | 0                   | 508          | 727          | M      |
| 20201030 | EVRG   | 0                   | 1517         | 1716         | M      |
| 20201030 | EVTC   | 0                   | 0            | 100          | M      |
| 20201030 | EW     | 0                   | 1372         | 1372         | M      |
| 20201030 | EWBC   | 0                   | 103          | 103          | M      |
| 20201030 | EWC    | 0                   | 1            | 1            | M      |
| 20201030 | EWD    | 0                   | 1            | 1            | M      |
| 20201030 | EWJ    | 0                   | 0            | 14000        | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201030 | EWT    | 0                   | 60           | 60           | M      |
| 20201030 | EWZ    | 0                   | 0            | 357200       | M      |
| 20201030 | EXAS   | 0                   | 3087         | 3626         | M      |
| 20201030 | EXC    | 0                   | 201          | 304          | M      |
| 20201030 | EXEL   | 0                   | 300          | 587          | M      |
| 20201030 | EXLS   | 0                   | 0            | 75           | M      |
| 20201030 | EXP    | 0                   | 116          | 156          | M      |
| 20201030 | EXPD   | 0                   | 234          | 421          | M      |
| 20201030 | EXPE   | 0                   | 360          | 839          | M      |
| 20201030 | EXPI   | 0                   | 200          | 385          | M      |
| 20201030 | EXPO   | 0                   | 333          | 345          | M      |
| 20201030 | EXR    | 0                   | 0            | 87           | M      |
| 20201030 | EYE    | 0                   | 100          | 200          | M      |
| 20201030 | EZU    | 0                   | 43           | 43           | M      |
| 20201030 | F      | 0                   | 6876         | 85798        | M      |
| 20201030 | FAF    | 0                   | 0            | 162          | M      |
| 20201030 | FANG   | 0                   | 1737         | 2909         | M      |
| 20201030 | FAST   | 0                   | 200          | 200          | M      |
| 20201030 | FATE   | 0                   | 2            | 2            | M      |
| 20201030 | FB     | 0                   | 19213        | 251553       | M      |
| 20201030 | FBHS   | 0                   | 105          | 105          | M      |
| 20201030 | FCAU   | 0                   | 44           | 144          | M      |
| 20201030 | FCCO   | 0                   | 97           | 97           | M      |
| 20201030 | FCFS   | 0                   | 100          | 100          | M      |
| 20201030 | FCN    | 0                   | 126          | 283          | M      |
| 20201030 | FCNCA  | 0                   | 643          | 653          | M      |
| 20201030 | FCT    | 0                   | 0            | 200          | M      |
| 20201030 | FDS    | 0                   | 100          | 300          | M      |
| 20201030 | FDX    | 0                   | 919          | 4922         | M      |
| 20201030 | FE     | 0                   | 100          | 9702         | M      |
| 20201030 | FEAC   | 0                   | 0            | 110          | M      |
| 20201030 | FELE   | 0                   | 99           | 99           | M      |
| 20201030 | FENC   | 100                 | 100          | 100          | M      |
| 20201030 | FEZ    | 0                   | 0            | 5000         | M      |
| 20201030 | FFBC   | 0                   | 100          | 100          | M      |
| 20201030 | FFC    | 0                   | 100          | 100          | M      |
| 20201030 | FFG    | 0                   | 1            | 1            | M      |
| 20201030 | FFIN   | 0                   | 100          | 100          | M      |
| 20201030 | FFIV   | 0                   | 285          | 445          | M      |
| 20201030 | FFWM   | 0                   | 100          | 100          | M      |
| 20201030 | FGEN   | 0                   | 100          | 160          | M      |
| 20201030 | FHB    | 0                   | 100          | 100          | M      |
| 20201030 | FHI    | 0                   | 0            | 200          | M      |
| 20201030 | FHN    | 0                   | 300          | 5300         | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201030 | FIBK   | 0                   | 0            | 100          | M      |
| 20201030 | FICO   | 0                   | 214          | 393          | M      |
| 20201030 | FIS    | 0                   | 434          | 1999         | M      |
| 20201030 | FISV   | 0                   | 407          | 807          | M      |
| 20201030 | FIT    | 0                   | 14           | 14           | M      |
| 20201030 | FITB   | 0                   | 0            | 100          | M      |
| 20201030 | FIVE   | 0                   | 197          | 197          | M      |
| 20201030 | FIVN   | 0                   | 210          | 230          | M      |
| 20201030 | FIX    | 0                   | 104          | 104          | M      |
| 20201030 | FIZZ   | 0                   | 165          | 165          | M      |
| 20201030 | FL     | 0                   | 0            | 110          | M      |
| 20201030 | FLDM   | 0                   | 0            | 100          | M      |
| 20201030 | FLEX   | 0                   | 200          | 200          | M      |
| 20201030 | FLGT   | 0                   | 519          | 819          | M      |
| 20201030 | FLO    | 0                   | 102          | 102          | M      |
| 20201030 | FLOW   | 0                   | 207          | 303          | M      |
| 20201030 | FLR    | 0                   | 31           | 108          | M      |
| 20201030 | FLS    | 0                   | 50           | 50           | M      |
| 20201030 | FLT    | 0                   | 139          | 240          | M      |
| 20201030 | FLUX   | 0                   | 4815         | 13069        | M      |
| 20201030 | FLXN   | 0                   | 2            | 102          | M      |
| 20201030 | FMS    | 0                   | 0            | 119          | M      |
| 20201030 | FMTX   | 0                   | 100          | 200          | M      |
| 20201030 | FN     | 0                   | 201          | 201          | M      |
| 20201030 | FND    | 0                   | 0            | 100          | M      |
| 20201030 | FNF    | 0                   | 900          | 1100         | M      |
| 20201030 | FNV    | 0                   | 0            | 141          | M      |
| 20201030 | FOCS   | 0                   | 0            | 100          | M      |
| 20201030 | FOR    | 0                   | 0            | 1            | M      |
| 20201030 | FORM   | 0                   | 100          | 100          | M      |
| 20201030 | FOUR   | 0                   | 591          | 891          | M      |
| 20201030 | FOX    | 0                   | 2            | 2            | M      |
| 20201030 | FOXA   | 0                   | 102          | 102          | M      |
| 20201030 | FOXF   | 0                   | 204          | 204          | M      |
| 20201030 | FPH    | 0                   | 4            | 4            | M      |
| 20201030 | FPRX   | 0                   | 0            | 100          | M      |
| 20201030 | FRC    | 0                   | 154          | 363          | M      |
| 20201030 | FREQ   | 0                   | 286          | 286          | M      |
| 20201030 | FRHC   | 0                   | 95           | 294          | M      |
| 20201030 | FROG   | 0                   | 200          | 354          | M      |
| 20201030 | FRPT   | 0                   | 106          | 228          | M      |
| 20201030 | FRTA   | 0                   | 48           | 148          | M      |
| 20201030 | FSLR   | 0                   | 468          | 2382         | M      |
| 20201030 | FSLY   | 0                   | 2976         | 31177        | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201030 | FSR    | 0                   | 100          | 250100       | M      |
| 20201030 | FTCH   | 0                   | 200          | 55700        | M      |
| 20201030 | FTDR   | 0                   | 155          | 932          | M      |
| 20201030 | FTNT   | 0                   | 393          | 789          | M      |
| 20201030 | FTV    | 0                   | 953          | 1403         | M      |
| 20201030 | FUBO   | 0                   | 2607         | 2913         | M      |
| 20201030 | FUL    | 0                   | 42           | 42           | M      |
| 20201030 | FUN    | 0                   | 300          | 452          | M      |
| 20201030 | FUTU   | 0                   | 0            | 438          | M      |
| 20201030 | FUV    | 0                   | 175          | 175          | M      |
| 20201030 | FVRR   | 0                   | 475          | 942          | M      |
| 20201030 | FWONA  | 0                   | 0            | 50           | M      |
| 20201030 | FWONK  | 0                   | 24           | 241          | M      |
| 20201030 | FWRD   | 0                   | 100          | 112          | M      |
| 20201030 | FXI    | 0                   | 2712         | 90752        | M      |
| 20201030 | G      | 0                   | 200          | 335          | M      |
| 20201030 | GAN    | 0                   | 0            | 100          | M      |
| 20201030 | GATX   | 0                   | 74           | 74           | M      |
| 20201030 | GBCI   | 0                   | 13           | 13           | M      |
| 20201030 | GBT    | 0                   | 467          | 569          | M      |
| 20201030 | GBX    | 0                   | 82           | 165          | M      |
| 20201030 | GCO    | 0                   | 116          | 116          | M      |
| 20201030 | GD     | 0                   | 410          | 457          | M      |
| 20201030 | GDDY   | 0                   | 224          | 424          | M      |
| 20201030 | GDEN   | 0                   | 48           | 48           | M      |
| 20201030 | GDOT   | 0                   | 368          | 428          | M      |
| 20201030 | GDRX   | 0                   | 400          | 400          | M      |
| 20201030 | GDS    | 100                 | 1400         | 1430         | M      |
| 20201030 | GDX    | 0                   | 1            | 38006        | M      |
| 20201030 | GE     | 0                   | 783          | 25930        | M      |
| 20201030 | GEF    | 0                   | 5            | 5            | M      |
| 20201030 | GFL    | 0                   | 50           | 50           | M      |
| 20201030 | GGB    | 0                   | 17           | 117          | M      |
| 20201030 | GGG    | 0                   | 1800         | 1800         | M      |
| 20201030 | GH     | 0                   | 104          | 4051         | M      |
| 20201030 | GHC    | 0                   | 33           | 127          | M      |
| 20201030 | GIII   | 0                   | 150          | 150          | M      |
| 20201030 | GIL    | 0                   | 0            | 100          | M      |
| 20201030 | GILD   | 4                   | 1276         | 50042        | M      |
| 20201030 | GIS    | 0                   | 462          | 562          | M      |
| 20201030 | GKOS   | 0                   | 179          | 179          | M      |
| 20201030 | GL     | 0                   | 41           | 200          | M      |
| 20201030 | GLBS   | 0                   | 188          | 188          | M      |
| 20201030 | GLD    | 0                   | 396          | 164721       | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201030 | GLDM   | 0                   | 0            | 200          | M      |
| 20201030 | GLG    | 0                   | 100          | 100          | M      |
| 20201030 | GLIBA  | 0                   | 160          | 260          | M      |
| 20201030 | GLNG   | 0                   | 0            | 109          | M      |
| 20201030 | GLOB   | 0                   | 102          | 102          | M      |
| 20201030 | GLPI   | 0                   | 100          | 500          | M      |
| 20201030 | GLT    | 0                   | 100          | 100          | M      |
| 20201030 | GM     | 0                   | 0            | 26062        | M      |
| 20201030 | GMAB   | 0                   | 200          | 200          | M      |
| 20201030 | GME    | 0                   | 3            | 209          | M      |
| 20201030 | GMED   | 0                   | 98           | 167          | M      |
| 20201030 | GMRE   | 0                   | 0            | 56           | M      |
| 20201030 | GMS    | 0                   | 0            | 100          | M      |
| 20201030 | GNMK   | 0                   | 101          | 101          | M      |
| 20201030 | GNPX   | 0                   | 100          | 100          | M      |
| 20201030 | GNRC   | 0                   | 99           | 269          | M      |
| 20201030 | GNSS   | 0                   | 100          | 100          | M      |
| 20201030 | GO     | 0                   | 100          | 100          | M      |
| 20201030 | GOGO   | 0                   | 197          | 388          | M      |
| 20201030 | GOLD   | 0                   | 2            | 36707        | M      |
| 20201030 | GOLF   | 0                   | 0            | 48           | M      |
| 20201030 | GOOG   | 0                   | 444          | 19212        | M      |
| 20201030 | GOOGL  | 0                   | 500          | 39667        | M      |
| 20201030 | GOOS   | 0                   | 100          | 100          | M      |
| 20201030 | GOSS   | 0                   | 100          | 100          | M      |
| 20201030 | GOVX   | 0                   | 300          | 300          | M      |
| 20201030 | GPC    | 0                   | 351          | 451          | M      |
| 20201030 | GPI    | 0                   | 3            | 83           | M      |
| 20201030 | GPN    | 0                   | 1586         | 4083         | M      |
| 20201030 | GPRE   | 0                   | 100          | 189          | M      |
| 20201030 | GPRO   | 0                   | 0            | 1            | M      |
| 20201030 | GPS    | 0                   | 1601         | 1601         | M      |
| 20201030 | GRA    | 0                   | 0            | 68           | M      |
| 20201030 | GRAY   | 0                   | 100          | 100          | M      |
| 20201030 | GRFS   | 0                   | 50           | 50           | M      |
| 20201030 | GRIL   | 0                   | 100          | 100          | M      |
| 20201030 | GRMN   | 0                   | 283          | 283          | M      |
| 20201030 | GRNQ   | 0                   | 100          | 100          | M      |
| 20201030 | GRTS   | 0                   | 200          | 200          | M      |
| 20201030 | GRUB   | 0                   | 276          | 636          | M      |
| 20201030 | GRWG   | 0                   | 1588         | 1888         | M      |
| 20201030 | GS     | 0                   | 298          | 23308        | M      |
| 20201030 | GSBC   | 0                   | 30           | 30           | M      |
| 20201030 | GSHD   | 0                   | 87           | 87           | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201030 | GSK    | 0                   | 100          | 221          | M      |
| 20201030 | GSX    | 0                   | 4853         | 5065         | M      |
| 20201030 | GT     | 0                   | 0            | 30           | M      |
| 20201030 | GTEC   | 0                   | 0            | 100          | M      |
| 20201030 | GTLS   | 0                   | 301          | 301          | M      |
| 20201030 | GTN    | 0                   | 95           | 95           | M      |
| 20201030 | GVA    | 0                   | 200          | 300          | M      |
| 20201030 | GWB    | 0                   | 100          | 100          | M      |
| 20201030 | GWPH   | 0                   | 267          | 449          | M      |
| 20201030 | GWRE   | 0                   | 529          | 629          | M      |
| 20201030 | GWW    | 0                   | 221          | 321          | M      |
| 20201030 | H      | 0                   | 1            | 1            | M      |
| 20201030 | HA     | 0                   | 100          | 100          | M      |
| 20201030 | HAE    | 0                   | 0            | 207          | M      |
| 20201030 | HAIN   | 0                   | 100          | 172          | M      |
| 20201030 | HAL    | 0                   | 0            | 100          | M      |
| 20201030 | HALO   | 0                   | 50           | 251          | M      |
| 20201030 | HAS    | 0                   | 76           | 1176         | M      |
| 20201030 | HASI   | 0                   | 0            | 100          | M      |
| 20201030 | HBAN   | 0                   | 0            | 600          | M      |
| 20201030 | HCA    | 0                   | 1020         | 1419         | M      |
| 20201030 | HCAT   | 0                   | 0            | 100          | M      |
| 20201030 | HCC    | 0                   | 100          | 100          | M      |
| 20201030 | HCM    | 0                   | 4            | 4            | M      |
| 20201030 | HCSG   | 0                   | 0            | 100          | M      |
| 20201030 | HD     | 0                   | 1512         | 1923         | M      |
| 20201030 | HDB    | 0                   | 190          | 325          | M      |
| 20201030 | HE     | 0                   | 99           | 99           | M      |
| 20201030 | HEES   | 0                   | 95           | 195          | M      |
| 20201030 | HEI    | 0                   | 133          | 148          | M      |
| 20201030 | HEI A  | 0                   | 184          | 423          | M      |
| 20201030 | HELE   | 0                   | 116          | 116          | M      |
| 20201030 | HEP    | 0                   | 177          | 177          | M      |
| 20201030 | HES    | 0                   | 154          | 254          | M      |
| 20201030 | HESM   | 0                   | 0            | 100          | M      |
| 20201030 | HFC    | 0                   | 113          | 113          | M      |
| 20201030 | HFFG   | 0                   | 95           | 95           | M      |
| 20201030 | HFRO   | 0                   | 0            | 50           | M      |
| 20201030 | HGEN   | 50                  | 50           | 707          | M      |
| 20201030 | HGV    | 0                   | 23           | 24           | M      |
| 20201030 | HHC    | 0                   | 2            | 102          | M      |
| 20201030 | HIBB   | 0                   | 0            | 119          | M      |
| 20201030 | HIFS   | 0                   | 1            | 1            | M      |
| 20201030 | HII    | 0                   | 103          | 103          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201030 | HLF    | 0                   | 150          | 150          | M      |
| 20201030 | HLI    | 0                   | 393          | 520          | M      |
| 20201030 | HLNE   | 0                   | 14           | 105          | M      |
| 20201030 | HLT    | 0                   | 636          | 1409         | M      |
| 20201030 | HMHC   | 0                   | 1            | 1            | M      |
| 20201030 | HMN    | 0                   | 105          | 165          | M      |
| 20201030 | HMST   | 0                   | 1            | 1            | M      |
| 20201030 | HMSY   | 0                   | 0            | 100          | M      |
| 20201030 | HNGR   | 0                   | 0            | 100          | M      |
| 20201030 | HOG    | 0                   | 298          | 698          | M      |
| 20201030 | HOLX   | 0                   | 200          | 200          | M      |
| 20201030 | HOMB   | 0                   | 0            | 195          | M      |
| 20201030 | HOME   | 0                   | 1648         | 1962         | M      |
| 20201030 | HON    | 0                   | 650          | 879          | M      |
| 20201030 | HP     | 0                   | 100          | 135          | M      |
| 20201030 | HPE    | 0                   | 603          | 703          | M      |
| 20201030 | HPP    | 0                   | 0            | 150          | M      |
| 20201030 | HPQ    | 0                   | 106          | 106          | M      |
| 20201030 | HPR    | 0                   | 100          | 283          | M      |
| 20201030 | HQY    | 0                   | 289          | 540          | M      |
| 20201030 | HR     | 0                   | 100          | 200          | M      |
| 20201030 | HRB    | 0                   | 0            | 8            | M      |
| 20201030 | HRC    | 0                   | 200          | 200          | M      |
| 20201030 | HRI    | 0                   | 23           | 23           | M      |
| 20201030 | HSIC   | 0                   | 198          | 298          | M      |
| 20201030 | HSII   | 0                   | 700          | 700          | M      |
| 20201030 | HSKA   | 0                   | 71           | 71           | M      |
| 20201030 | HSY    | 0                   | 300          | 573          | M      |
| 20201030 | HT     | 0                   | 50           | 50           | M      |
| 20201030 | HTA    | 0                   | 400          | 400          | M      |
| 20201030 | HTH    | 0                   | 0            | 490104       | M      |
| 20201030 | HTHT   | 0                   | 25           | 25           | M      |
| 20201030 | HTLF   | 0                   | 100          | 200          | M      |
| 20201030 | HUBB   | 0                   | 120          | 220          | M      |
| 20201030 | HUBG   | 0                   | 232          | 232          | M      |
| 20201030 | HUBS   | 0                   | 336          | 436          | M      |
| 20201030 | HUM    | 0                   | 200          | 400          | M      |
| 20201030 | HUN    | 0                   | 350          | 761          | M      |
| 20201030 | HUSN   | 0                   | 0            | 141          | M      |
| 20201030 | HUYA   | 0                   | 3150         | 3250         | M      |
| 20201030 | HWC    | 0                   | 158          | 219          | M      |
| 20201030 | HWKN   | 0                   | 1            | 45           | M      |
| 20201030 | HWM    | 0                   | 22           | 307          | M      |
| 20201030 | HX     | 0                   | 0            | 100          | M      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20201030 | HXL     | 0                   | 214          | 268          | M      |
| 20201030 | HYG     | 0                   | 70468        | 501940       | M      |
| 20201030 | HYLN    | 0                   | 300          | 965          | M      |
| 20201030 | HYLN WS | 0                   | 0            | 100          | M      |
| 20201030 | HYMC    | 0                   | 67           | 67           | M      |
| 20201030 | HZNP    | 0                   | 200          | 838          | M      |
| 20201030 | HZO     | 0                   | 175          | 175          | M      |
| 20201030 | IAA     | 0                   | 100          | 100          | M      |
| 20201030 | IAC     | 0                   | 290          | 565          | M      |
| 20201030 | IART    | 0                   | 0            | 20           | M      |
| 20201030 | IAU     | 0                   | 20031        | 20036        | M      |
| 20201030 | IBEX    | 0                   | 1            | 1            | M      |
| 20201030 | IBKR    | 0                   | 6            | 6            | M      |
| 20201030 | IBM     | 0                   | 2584         | 2864         | M      |
| 20201030 | IBN     | 0                   | 187          | 287          | M      |
| 20201030 | IBP     | 0                   | 453          | 589          | M      |
| 20201030 | IBTX    | 0                   | 26           | 116          | M      |
| 20201030 | ICAD    | 0                   | 32           | 132          | M      |
| 20201030 | ICE     | 0                   | 1034         | 1216         | M      |
| 20201030 | ICHR    | 0                   | 100          | 100          | M      |
| 20201030 | ICLK    | 0                   | 0            | 100          | M      |
| 20201030 | ICLR    | 0                   | 585          | 923          | M      |
| 20201030 | ICPT    | 0                   | 0            | 163          | M      |
| 20201030 | ICUI    | 0                   | 175          | 178          | M      |
| 20201030 | IDA     | 0                   | 178          | 178          | M      |
| 20201030 | IDN     | 0                   | 49           | 49           | M      |
| 20201030 | IDXG    | 0                   | 0            | 100          | M      |
| 20201030 | IDXX    | 0                   | 459          | 459          | M      |
| 20201030 | IDYA    | 0                   | 5            | 5            | M      |
| 20201030 | IEA     | 0                   | 0            | 100          | M      |
| 20201030 | IEF     | 0                   | 0            | 1            | M      |
| 20201030 | IEMG    | 0                   | 3            | 3            | M      |
| 20201030 | IEX     | 0                   | 188          | 209          | M      |
| 20201030 | IFF     | 0                   | 472          | 559          | M      |
| 20201030 | IFMK    | 0                   | 100          | 100          | M      |
| 20201030 | IFRX    | 0                   | 3000         | 4000         | M      |
| 20201030 | IGMS    | 0                   | 100          | 158          | M      |
| 20201030 | IH      | 0                   | 213          | 213          | M      |
| 20201030 | IHG     | 0                   | 100          | 100          | M      |
| 20201030 | IIIN    | 0                   | 200          | 200          | M      |
| 20201030 | IIPR    | 0                   | 476          | 781          | M      |
| 20201030 | IIVI    | 0                   | 199          | 199          | M      |
| 20201030 | IKNX    | 0                   | 100          | 100          | M      |
| 20201030 | ILMN    | 0                   | 1129         | 5529         | M      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201030 | ILPT   | 0                   | 100          | 100          | M      |
| 20201030 | IMAX   | 0                   | 50           | 50           | M      |
| 20201030 | IMO    | 0                   | 1            | 2            | M      |
| 20201030 | IMUX   | 0                   | 95           | 95           | M      |
| 20201030 | IMVT   | 0                   | 0            | 200          | M      |
| 20201030 | IMXI   | 0                   | 0            | 100          | M      |
| 20201030 | INBX   | 50                  | 50           | 50           | M      |
| 20201030 | INCY   | 0                   | 100          | 100          | M      |
| 20201030 | INDB   | 0                   | 3            | 3            | M      |
| 20201030 | INFO   | 0                   | 200          | 200          | M      |
| 20201030 | INGN   | 0                   | 0            | 1            | M      |
| 20201030 | INGR   | 0                   | 40           | 40           | M      |
| 20201030 | INMB   | 0                   | 1741         | 6024         | M      |
| 20201030 | INMD   | 0                   | 0            | 250          | M      |
| 20201030 | INO    | 0                   | 325          | 325          | M      |
| 20201030 | INOV   | 0                   | 0            | 100          | M      |
| 20201030 | INSE   | 0                   | 95           | 95           | M      |
| 20201030 | INSM   | 0                   | 200          | 300          | M      |
| 20201030 | INSP   | 0                   | 153          | 153          | M      |
| 20201030 | INSW   | 0                   | 8            | 8            | M      |
| 20201030 | INTC   | 5                   | 522          | 201487       | M      |
| 20201030 | INTU   | 0                   | 805          | 1005         | M      |
| 20201030 | INZY   | 0                   | 3            | 3            | M      |
| 20201030 | IONS   | 0                   | 368          | 526          | M      |
| 20201030 | IOSP   | 0                   | 20           | 20           | M      |
| 20201030 | IOVA   | 0                   | 182          | 182          | M      |
| 20201030 | IP     | 0                   | 300          | 800          | M      |
| 20201030 | IPG    | 0                   | 0            | 200          | M      |
| 20201030 | IPGP   | 0                   | 107          | 216          | M      |
| 20201030 | IPI    | 0                   | 0            | 497          | M      |
| 20201030 | IQ     | 0                   | 100          | 100          | M      |
| 20201030 | IQV    | 0                   | 386          | 405          | M      |
| 20201030 | IR     | 0                   | 0            | 200          | M      |
| 20201030 | IRBT   | 0                   | 873          | 873          | M      |
| 20201030 | IRDM   | 0                   | 0            | 183          | M      |
| 20201030 | IRT    | 0                   | 100          | 200          | M      |
| 20201030 | IRTC   | 0                   | 125          | 297          | M      |
| 20201030 | ISRG   | 0                   | 772          | 3270         | M      |
| 20201030 | IT     | 0                   | 268          | 268          | M      |
| 20201030 | ITCI   | 0                   | 148          | 148          | M      |
| 20201030 | ITGR   | 0                   | 20           | 20           | M      |
| 20201030 | ITIC   | 0                   | 11           | 31           | M      |
| 20201030 | ITOT   | 0                   | 1            | 1            | M      |
| 20201030 | ITRI   | 0                   | 144          | 144          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201030 | ITT    | 0                   | 200          | 200          | M      |
| 20201030 | ITUB   | 0                   | 21           | 214          | M      |
| 20201030 | ITW    | 0                   | 515          | 515          | M      |
| 20201030 | IUSG   | 0                   | 1            | 1            | M      |
| 20201030 | IUSV   | 0                   | 1            | 1            | M      |
| 20201030 | IVC    | 0                   | 200          | 200          | M      |
| 20201030 | IWB    | 0                   | 2            | 2            | M      |
| 20201030 | IWM    | 0                   | 21237        | 741907       | M      |
| 20201030 | IXUS   | 0                   | 2            | 2            | M      |
| 20201030 | J      | 0                   | 34           | 154          | M      |
| 20201030 | JACK   | 0                   | 213          | 213          | M      |
| 20201030 | JAKK   | 0                   | 10           | 3709         | M      |
| 20201030 | JAMF   | 0                   | 100          | 192          | M      |
| 20201030 | JAZZ   | 0                   | 100          | 100          | M      |
| 20201030 | JBHT   | 0                   | 1299         | 1589         | M      |
| 20201030 | JBL    | 0                   | 200          | 300          | M      |
| 20201030 | JBT    | 0                   | 299          | 499          | M      |
| 20201030 | JCI    | 0                   | 412          | 412          | M      |
| 20201030 | JCOM   | 0                   | 100          | 100          | M      |
| 20201030 | JD     | 0                   | 1727         | 16877        | M      |
| 20201030 | JELD   | 0                   | 266          | 316          | M      |
| 20201030 | JG     | 0                   | 80           | 80           | M      |
| 20201030 | JHG    | 0                   | 0            | 100          | M      |
| 20201030 | JJSF   | 0                   | 80           | 80           | M      |
| 20201030 | JKHY   | 0                   | 105          | 105          | M      |
| 20201030 | JKS    | 0                   | 1900         | 2300         | M      |
| 20201030 | JLL    | 0                   | 511          | 787          | M      |
| 20201030 | JMIA   | 0                   | 0            | 250          | M      |
| 20201030 | JNCE   | 0                   | 966          | 1366         | M      |
| 20201030 | JNJ    | 0                   | 2066         | 36766        | M      |
| 20201030 | JPM    | 0                   | 448          | 227868       | M      |
| 20201030 | JRVR   | 0                   | 0            | 215          | M      |
| 20201030 | JUPW   | 0                   | 0            | 700          | M      |
| 20201030 | JWN    | 0                   | 3            | 103          | M      |
| 20201030 | K      | 0                   | 406          | 506          | M      |
| 20201030 | KALA   | 0                   | 0            | 100          | M      |
| 20201030 | KALU   | 0                   | 160          | 259          | M      |
| 20201030 | KALV   | 0                   | 0            | 26           | M      |
| 20201030 | KAMN   | 0                   | 7            | 7            | M      |
| 20201030 | KAR    | 0                   | 100          | 200          | M      |
| 20201030 | KB     | 0                   | 0            | 100          | M      |
| 20201030 | KBE    | 0                   | 0            | 246          | M      |
| 20201030 | KBH    | 0                   | 544          | 544          | M      |
| 20201030 | KBR    | 0                   | 109          | 199          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201030 | KC     | 0                   | 0            | 84           | M      |
| 20201030 | KEX    | 0                   | 197          | 197          | M      |
| 20201030 | KEY    | 0                   | 4            | 104          | M      |
| 20201030 | KEYS   | 0                   | 410          | 410          | M      |
| 20201030 | KGC    | 0                   | 1809         | 1823         | M      |
| 20201030 | KHC    | 0                   | 301          | 308          | M      |
| 20201030 | KIDS   | 0                   | 0            | 100          | M      |
| 20201030 | KIRK   | 0                   | 0            | 100          | M      |
| 20201030 | KKR    | 0                   | 606          | 689          | M      |
| 20201030 | KLAC   | 0                   | 309          | 309          | M      |
| 20201030 | KLDO   | 0                   | 500          | 600          | M      |
| 20201030 | KMB    | 0                   | 113          | 1890         | M      |
| 20201030 | KMI    | 0                   | 0            | 279          | M      |
| 20201030 | KMT    | 0                   | 174          | 275          | M      |
| 20201030 | KMX    | 0                   | 477          | 50776        | M      |
| 20201030 | KN     | 0                   | 100          | 100          | M      |
| 20201030 | KNDI   | 0                   | 200          | 200          | M      |
| 20201030 | KNSA   | 0                   | 0            | 300          | M      |
| 20201030 | KNSL   | 0                   | 1            | 1            | M      |
| 20201030 | KNX    | 0                   | 50           | 350          | M      |
| 20201030 | KO     | 0                   | 694          | 39558        | M      |
| 20201030 | KOD    | 0                   | 1435         | 2723         | M      |
| 20201030 | KODK   | 0                   | 100          | 100          | M      |
| 20201030 | KRA    | 0                   | 0            | 300          | M      |
| 20201030 | KRC    | 0                   | 102          | 402          | M      |
| 20201030 | KRE    | 0                   | 310          | 310          | M      |
| 20201030 | KREF   | 0                   | 65           | 65           | M      |
| 20201030 | KRG    | 0                   | 0            | 199          | M      |
| 20201030 | KRNT   | 0                   | 194          | 194          | M      |
| 20201030 | KRNY   | 0                   | 0            | 188          | M      |
| 20201030 | KRO    | 0                   | 100          | 100          | M      |
| 20201030 | KRON   | 0                   | 0            | 200          | M      |
| 20201030 | KROS   | 0                   | 100          | 330          | M      |
| 20201030 | KRTX   | 0                   | 216          | 496          | M      |
| 20201030 | KRYS   | 0                   | 98           | 98           | M      |
| 20201030 | KSMT   | 0                   | 0            | 100          | M      |
| 20201030 | KSS    | 0                   | 207          | 409          | M      |
| 20201030 | KSU    | 0                   | 199          | 199          | M      |
| 20201030 | KTOS   | 0                   | 100          | 100          | M      |
| 20201030 | KTOV   | 0                   | 70           | 151          | M      |
| 20201030 | KW     | 0                   | 1            | 1            | M      |
| 20201030 | KWEB   | 0                   | 0            | 30525        | M      |
| 20201030 | KWR    | 0                   | 110          | 110          | M      |
| 20201030 | KXIN   | 0                   | 110          | 1110         | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201030 | KYMR   | 0                   | 700          | 1034         | M      |
| 20201030 | L      | 0                   | 11           | 63           | M      |
| 20201030 | LAC    | 0                   | 200          | 200          | M      |
| 20201030 | LAD    | 0                   | 1139         | 1369         | M      |
| 20201030 | LAMR   | 0                   | 47           | 120          | M      |
| 20201030 | LANC   | 0                   | 1            | 2            | M      |
| 20201030 | LAUR   | 0                   | 0            | 1            | M      |
| 20201030 | LB     | 0                   | 500          | 700          | M      |
| 20201030 | LBAI   | 0                   | 0            | 100          | M      |
| 20201030 | LBRDA  | 0                   | 6            | 8            | M      |
| 20201030 | LBRDK  | 0                   | 597          | 732          | M      |
| 20201030 | LCII   | 0                   | 187          | 187          | M      |
| 20201030 | LDOS   | 0                   | 125          | 225          | M      |
| 20201030 | LE     | 0                   | 358          | 358          | M      |
| 20201030 | LEA    | 0                   | 600          | 800          | M      |
| 20201030 | LEAF   | 0                   | 100          | 100          | M      |
| 20201030 | LECO   | 0                   | 188          | 188          | M      |
| 20201030 | LEG    | 0                   | 110          | 110          | M      |
| 20201030 | LEGN   | 0                   | 100          | 230          | M      |
| 20201030 | LEN    | 0                   | 196          | 196          | M      |
| 20201030 | LEN B  | 0                   | 0            | 198          | M      |
| 20201030 | LEVI   | 0                   | 100          | 200          | M      |
| 20201030 | LFUS   | 0                   | 151          | 351          | M      |
| 20201030 | LGIH   | 0                   | 209          | 512          | M      |
| 20201030 | LGND   | 0                   | 2            | 2            | M      |
| 20201030 | LH     | 0                   | 208          | 208          | M      |
| 20201030 | LHCG   | 0                   | 445          | 554          | M      |
| 20201030 | LHX    | 0                   | 613          | 3840         | M      |
| 20201030 | LI     | 0                   | 1859         | 2809         | M      |
| 20201030 | LII    | 0                   | 312          | 356          | M      |
| 20201030 | LIN    | 0                   | 373          | 773          | M      |
| 20201030 | LITE   | 0                   | 99           | 3936         | M      |
| 20201030 | LIVN   | 0                   | 396          | 496          | M      |
| 20201030 | LKQ    | 0                   | 500          | 1100         | M      |
| 20201030 | LL     | 0                   | 57           | 57           | M      |
| 20201030 | LLNW   | 0                   | 108          | 108          | M      |
| 20201030 | LLY    | 0                   | 289          | 5739         | M      |
| 20201030 | LMND   | 0                   | 195          | 195          | M      |
| 20201030 | LMNL   | 0                   | 300          | 300          | M      |
| 20201030 | LMNX   | 0                   | 1            | 196          | M      |
| 20201030 | LMPX   | 0                   | 100          | 300          | M      |
| 20201030 | LMRK   | 0                   | 170          | 170          | M      |
| 20201030 | LMT    | 0                   | 0            | 140          | M      |
| 20201030 | LNC    | 0                   | 0            | 200          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201030 | LNG    | 0                   | 100          | 100          | M      |
| 20201030 | LNN    | 0                   | 6            | 6            | M      |
| 20201030 | LNT    | 0                   | 50           | 110          | M      |
| 20201030 | LOB    | 0                   | 46           | 946          | M      |
| 20201030 | LOGC   | 0                   | 100          | 100          | M      |
| 20201030 | LOGI   | 0                   | 100          | 100          | M      |
| 20201030 | LOOP   | 0                   | 244          | 344          | M      |
| 20201030 | LOW    | 0                   | 445          | 36276        | M      |
| 20201030 | LPLA   | 0                   | 200          | 200          | M      |
| 20201030 | LPSN   | 0                   | 1649         | 1649         | M      |
| 20201030 | LPX    | 0                   | 0            | 97           | M      |
| 20201030 | LQD    | 0                   | 151          | 158          | M      |
| 20201030 | LRCX   | 0                   | 3983         | 4508         | M      |
| 20201030 | LRN    | 0                   | 0            | 175          | M      |
| 20201030 | LSCC   | 0                   | 0            | 96           | M      |
| 20201030 | LSF    | 0                   | 106          | 730          | M      |
| 20201030 | LSI    | 0                   | 300          | 400          | M      |
| 20201030 | LSTR   | 0                   | 442          | 691          | M      |
| 20201030 | LSXMA  | 0                   | 6            | 12           | M      |
| 20201030 | LSXMK  | 0                   | 200          | 200          | M      |
| 20201030 | LTC    | 0                   | 93           | 93           | M      |
| 20201030 | LU     | 0                   | 14743        | 19943        | M      |
| 20201030 | LUB    | 0                   | 100          | 100          | M      |
| 20201030 | LULU   | 3                   | 203          | 919          | M      |
| 20201030 | LUNG   | 0                   | 0            | 9            | M      |
| 20201030 | LUV    | 0                   | 859          | 20859        | M      |
| 20201030 | LVS    | 0                   | 632          | 20097        | M      |
| 20201030 | LW     | 0                   | 70           | 170          | M      |
| 20201030 | LXU    | 0                   | 100          | 100          | M      |
| 20201030 | LYB    | 0                   | 665          | 865          | M      |
| 20201030 | LYFT   | 0                   | 100          | 93951        | M      |
| 20201030 | LYTS   | 0                   | 100          | 100          | M      |
| 20201030 | LYV    | 0                   | 104          | 129          | M      |
| 20201030 | LZB    | 0                   | 256          | 256          | M      |
| 20201030 | M      | 0                   | 36           | 42           | M      |
| 20201030 | MA     | 0                   | 1400         | 27608        | M      |
| 20201030 | MAIN   | 0                   | 0            | 245          | M      |
| 20201030 | MAN    | 0                   | 20           | 171          | M      |
| 20201030 | MANH   | 72                  | 410          | 1524         | M      |
| 20201030 | MAR    | 0                   | 100          | 20200        | M      |
| 20201030 | MARPS  | 0                   | 0            | 100          | M      |
| 20201030 | MASI   | 0                   | 399          | 425          | M      |
| 20201030 | MAXN   | 0                   | 100          | 100          | M      |
| 20201030 | MAXR   | 0                   | 300          | 300          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201030 | MBUU   | 0                   | 0            | 6            | M      |
| 20201030 | MC     | 0                   | 0            | 301          | M      |
| 20201030 | MCD    | 0                   | 552          | 862          | M      |
| 20201030 | MCFE   | 0                   | 1055         | 1207         | M      |
| 20201030 | MCHP   | 0                   | 1            | 401          | M      |
| 20201030 | MCK    | 0                   | 120          | 120          | M      |
| 20201030 | MCO    | 0                   | 1834         | 2690         | M      |
| 20201030 | MCRB   | 0                   | 0            | 100          | M      |
| 20201030 | MCRI   | 0                   | 5            | 5            | M      |
| 20201030 | MCS    | 0                   | 57           | 57           | M      |
| 20201030 | MCY    | 0                   | 0            | 10           | M      |
| 20201030 | MD     | 0                   | 100          | 210          | M      |
| 20201030 | MDB    | 0                   | 791          | 1819         | M      |
| 20201030 | MDC    | 0                   | 0            | 1            | M      |
| 20201030 | MDCA   | 0                   | 146          | 146          | M      |
| 20201030 | MDGL   | 0                   | 86           | 132          | M      |
| 20201030 | MDLA   | 0                   | 271          | 271          | M      |
| 20201030 | MDLY   | 0                   | 96           | 96           | M      |
| 20201030 | MDLZ   | 0                   | 206          | 206          | M      |
| 20201030 | MDRR   | 0                   | 100          | 100          | M      |
| 20201030 | MDT    | 0                   | 1442         | 1742         | M      |
| 20201030 | MDU    | 0                   | 98           | 98           | M      |
| 20201030 | MED    | 0                   | 380          | 500          | M      |
| 20201030 | MEDP   | 0                   | 60           | 140          | M      |
| 20201030 | MEI    | 0                   | 0            | 178          | M      |
| 20201030 | MELI   | 0                   | 1054         | 1537         | M      |
| 20201030 | MEOH   | 0                   | 0            | 100          | M      |
| 20201030 | MET    | 0                   | 1916         | 17306        | M      |
| 20201030 | MGK    | 0                   | 7            | 7            | M      |
| 20201030 | MGLN   | 0                   | 114          | 118          | M      |
| 20201030 | MGM    | 0                   | 0            | 31200        | M      |
| 20201030 | MGP    | 0                   | 0            | 81           | M      |
| 20201030 | MHK    | 0                   | 435          | 1157         | M      |
| 20201030 | MHO    | 0                   | 100          | 201          | M      |
| 20201030 | MIC    | 0                   | 400          | 846          | M      |
| 20201030 | MIDD   | 0                   | 300          | 318          | M      |
| 20201030 | MIME   | 0                   | 186          | 226          | M      |
| 20201030 | MIST   | 0                   | 1719         | 2119         | M      |
| 20201030 | MITK   | 0                   | 50           | 50           | M      |
| 20201030 | MKC    | 0                   | 380          | 671          | M      |
| 20201030 | MKD    | 0                   | 0            | 100          | M      |
| 20201030 | MKSI   | 0                   | 268          | 268          | M      |
| 20201030 | MKTX   | 8                   | 1109         | 1392         | M      |
| 20201030 | MLHR   | 0                   | 100          | 200          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201030 | MLM    | 0                   | 454          | 454          | M      |
| 20201030 | MMC    | 0                   | 315          | 662          | M      |
| 20201030 | MMI    | 0                   | 4            | 4            | M      |
| 20201030 | MMM    | 0                   | 576          | 576          | M      |
| 20201030 | MMP    | 0                   | 400          | 400          | M      |
| 20201030 | MMSI   | 0                   | 200          | 200          | M      |
| 20201030 | MMYT   | 0                   | 80           | 80           | M      |
| 20201030 | MNOV   | 0                   | 100          | 100          | M      |
| 20201030 | MNRO   | 0                   | 17           | 17           | M      |
| 20201030 | MNSO   | 0                   | 1504         | 1504         | M      |
| 20201030 | MNST   | 0                   | 712          | 962          | M      |
| 20201030 | MO     | 0                   | 0            | 300          | M      |
| 20201030 | MOG A  | 0                   | 207          | 207          | M      |
| 20201030 | MOGO   | 0                   | 100          | 100          | M      |
| 20201030 | MOH    | 0                   | 760          | 994          | M      |
| 20201030 | MOR    | 0                   | 300          | 300          | M      |
| 20201030 | MORN   | 0                   | 100          | 100          | M      |
| 20201030 | MOS    | 0                   | 200          | 200          | M      |
| 20201030 | MPAA   | 0                   | 100          | 100          | M      |
| 20201030 | MPC    | 0                   | 300          | 308          | M      |
| 20201030 | MPLN   | 0                   | 524          | 524          | M      |
| 20201030 | MPW    | 0                   | 100          | 100          | M      |
| 20201030 | MPWR   | 0                   | 310          | 393          | M      |
| 20201030 | MRCY   | 0                   | 175          | 175          | M      |
| 20201030 | MRIN   | 100                 | 100          | 490          | M      |
| 20201030 | MRK    | 0                   | 406          | 23348        | M      |
| 20201030 | MRNA   | 0                   | 71           | 471          | M      |
| 20201030 | MRNS   | 0                   | 1122         | 7649         | M      |
| 20201030 | MRO    | 0                   | 3            | 24           | M      |
| 20201030 | MRSN   | 0                   | 0            | 100          | M      |
| 20201030 | MRTX   | 0                   | 195          | 301          | M      |
| 20201030 | MS     | 0                   | 5            | 7342         | M      |
| 20201030 | MSA    | 0                   | 175          | 175          | M      |
| 20201030 | MSBI   | 0                   | 2            | 2            | M      |
| 20201030 | MSCI   | 0                   | 448          | 448          | M      |
| 20201030 | MSFT   | 0                   | 3040         | 183356       | M      |
| 20201030 | MSGE   | 0                   | 5            | 5            | M      |
| 20201030 | MSGS   | 0                   | 96           | 135          | M      |
| 20201030 | MSI    | 0                   | 908          | 1185         | M      |
| 20201030 | MSM    | 0                   | 72           | 92           | M      |
| 20201030 | MSP    | 0                   | 97           | 97           | M      |
| 20201030 | MSTR   | 0                   | 67           | 166          | M      |
| 20201030 | MTB    | 0                   | 236          | 236          | M      |
| 20201030 | MTCH   | 0                   | 100          | 553          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201030 | MTD    | 0                   | 248          | 586          | M      |
| 20201030 | MTDR   | 0                   | 1189         | 2111         | M      |
| 20201030 | MTEM   | 0                   | 100          | 200          | M      |
| 20201030 | MTG    | 0                   | 1            | 1            | M      |
| 20201030 | MTH    | 0                   | 366          | 454          | M      |
| 20201030 | MTLS   | 0                   | 0            | 195          | M      |
| 20201030 | MTN    | 0                   | 6            | 106          | M      |
| 20201030 | MTSI   | 0                   | 100          | 100          | M      |
| 20201030 | MTX    | 0                   | 182          | 182          | M      |
| 20201030 | MTZ    | 0                   | 574          | 674          | M      |
| 20201030 | MU     | 0                   | 464          | 49871        | M      |
| 20201030 | MUSA   | 0                   | 100          | 113          | M      |
| 20201030 | MXC    | 0                   | 0            | 100          | M      |
| 20201030 | MYGN   | 0                   | 275          | 375          | M      |
| 20201030 | MYJ    | 0                   | 0            | 100          | M      |
| 20201030 | MYL    | 0                   | 449          | 873          | M      |
| 20201030 | MYOK   | 0                   | 200          | 200          | M      |
| 20201030 | MYOV   | 0                   | 1            | 1            | M      |
| 20201030 | NATI   | 0                   | 500          | 500          | M      |
| 20201030 | NAV    | 0                   | 7            | 196          | M      |
| 20201030 | NAVI   | 0                   | 0            | 100          | M      |
| 20201030 | NBIX   | 0                   | 0            | 109          | M      |
| 20201030 | NBLX   | 0                   | 0            | 93           | M      |
| 20201030 | NBR    | 0                   | 100          | 120          | M      |
| 20201030 | NCLH   | 0                   | 1034         | 1434         | M      |
| 20201030 | NCNO   | 0                   | 90           | 190          | M      |
| 20201030 | NCR    | 0                   | 100          | 200          | M      |
| 20201030 | NCTY   | 0                   | 200          | 200          | M      |
| 20201030 | NDAQ   | 0                   | 200          | 200          | M      |
| 20201030 | NDSN   | 0                   | 20           | 20           | M      |
| 20201030 | NEE    | 54                  | 1852         | 3262         | M      |
| 20201030 | NEM    | 0                   | 1236         | 2186         | M      |
| 20201030 | NEO    | 0                   | 188          | 188          | M      |
| 20201030 | NEP    | 0                   | 600          | 800          | M      |
| 20201030 | NESR   | 0                   | 200          | 200          | M      |
| 20201030 | NET    | 0                   | 427          | 20320        | M      |
| 20201030 | NETE   | 0                   | 0            | 100          | M      |
| 20201030 | NEW    | 0                   | 925          | 1725         | M      |
| 20201030 | NEXT   | 0                   | 100          | 100          | M      |
| 20201030 | NFE    | 0                   | 195          | 195          | M      |
| 20201030 | NFG    | 0                   | 0            | 100          | M      |
| 20201030 | NFLX   | 0                   | 805          | 46432        | M      |
| 20201030 | NG     | 0                   | 5            | 5            | M      |
| 20201030 | NGVT   | 0                   | 2            | 2            | M      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201030 | NHC    | 0                   | 2            | 103          | M      |
| 20201030 | NHF    | 0                   | 0            | 100          | M      |
| 20201030 | NHI    | 0                   | 9            | 192          | M      |
| 20201030 | NIO    | 0                   | 2480         | 3856         | M      |
| 20201030 | NIU    | 0                   | 0            | 101          | M      |
| 20201030 | NK     | 0                   | 0            | 100          | M      |
| 20201030 | NKE    | 0                   | 8            | 5232         | M      |
| 20201030 | NKLA   | 0                   | 625          | 925          | M      |
| 20201030 | NKTR   | 0                   | 300          | 700          | M      |
| 20201030 | NKTX   | 0                   | 0            | 267          | M      |
| 20201030 | NLS    | 0                   | 66           | 466          | M      |
| 20201030 | NLSN   | 0                   | 104          | 106          | M      |
| 20201030 | NLY    | 0                   | 2            | 2            | M      |
| 20201030 | NMIH   | 0                   | 92           | 191          | M      |
| 20201030 | NNBR   | 0                   | 500          | 500          | M      |
| 20201030 | NNI    | 0                   | 99           | 99           | M      |
| 20201030 | NNOX   | 0                   | 293          | 393          | M      |
| 20201030 | NOA    | 0                   | 100          | 100          | M      |
| 20201030 | NOC    | 0                   | 381          | 521          | M      |
| 20201030 | NOG    | 0                   | 100          | 100          | M      |
| 20201030 | NOK    | 1                   | 1            | 1106         | M      |
| 20201030 | NOMD   | 0                   | 100          | 100          | M      |
| 20201030 | NOV    | 0                   | 100          | 300          | M      |
| 20201030 | NOVA   | 0                   | 1017         | 3614         | M      |
| 20201030 | NOW    | 40                  | 6251         | 7205         | M      |
| 20201030 | NPK    | 0                   | 0            | 98           | M      |
| 20201030 | NPO    | 0                   | 109          | 109          | M      |
| 20201030 | NRG    | 0                   | 68           | 68           | M      |
| 20201030 | NRGX   | 0                   | 48           | 48           | M      |
| 20201030 | NRIX   | 0                   | 4            | 4            | M      |
| 20201030 | NS     | 0                   | 0            | 25           | M      |
| 20201030 | NSA    | 0                   | 41           | 41           | M      |
| 20201030 | NSC    | 0                   | 414          | 414          | M      |
| 20201030 | NSEC   | 0                   | 2            | 2            | M      |
| 20201030 | NSIT   | 0                   | 100          | 100          | M      |
| 20201030 | NSP    | 0                   | 0            | 100          | M      |
| 20201030 | NSTG   | 0                   | 200          | 200          | M      |
| 20201030 | NTAP   | 0                   | 549          | 1276         | M      |
| 20201030 | NTCT   | 0                   | 0            | 111          | M      |
| 20201030 | NTEC   | 0                   | 0            | 100          | M      |
| 20201030 | NTES   | 0                   | 600          | 833          | M      |
| 20201030 | NTLA   | 0                   | 399          | 599          | M      |
| 20201030 | NTNX   | 0                   | 252          | 252          | M      |
| 20201030 | NTR    | 0                   | 0            | 600          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201030 | NTRA   | 0                   | 130          | 130          | M      |
| 20201030 | NTRS   | 0                   | 157          | 157          | M      |
| 20201030 | NTUS   | 0                   | 100          | 100          | M      |
| 20201030 | NUAN   | 0                   | 349          | 349          | M      |
| 20201030 | NUE    | 0                   | 0            | 354          | M      |
| 20201030 | NUS    | 0                   | 100          | 136          | M      |
| 20201030 | NVAX   | 0                   | 560          | 620          | M      |
| 20201030 | NVCR   | 0                   | 2            | 1183         | M      |
| 20201030 | NVDA   | 100                 | 10113        | 41920        | M      |
| 20201030 | NVEE   | 0                   | 2            | 2            | M      |
| 20201030 | NVMI   | 0                   | 0            | 199          | M      |
| 20201030 | NVO    | 0                   | 382          | 382          | M      |
| 20201030 | NVR    | 0                   | 52           | 69           | M      |
| 20201030 | NVRO   | 0                   | 0            | 191          | M      |
| 20201030 | NVS    | 0                   | 200          | 3700         | M      |
| 20201030 | NVST   | 0                   | 300          | 400          | M      |
| 20201030 | NVT    | 0                   | 2650         | 2850         | M      |
| 20201030 | NVTA   | 100                 | 1425         | 1725         | M      |
| 20201030 | NWL    | 0                   | 0            | 100          | M      |
| 20201030 | NWLI   | 0                   | 64           | 169          | M      |
| 20201030 | NXPI   | 0                   | 1000         | 1019         | M      |
| 20201030 | NXST   | 0                   | 208          | 408          | M      |
| 20201030 | NXTC   | 0                   | 100          | 100          | M      |
| 20201030 | NYT    | 0                   | 50           | 50           | M      |
| 20201030 | O      | 0                   | 51           | 251          | M      |
| 20201030 | OC     | 0                   | 350          | 549          | M      |
| 20201030 | OCFT   | 0                   | 42           | 42           | M      |
| 20201030 | OCN    | 0                   | 0            | 100          | M      |
| 20201030 | OCUL   | 0                   | 200          | 200          | M      |
| 20201030 | ODFL   | 0                   | 205          | 404          | M      |
| 20201030 | ODP    | 0                   | 0            | 2            | M      |
| 20201030 | OESX   | 0                   | 767          | 767          | M      |
| 20201030 | OFC    | 0                   | 200          | 300          | M      |
| 20201030 | OFLX   | 0                   | 114          | 194          | M      |
| 20201030 | OGE    | 0                   | 1            | 5            | M      |
| 20201030 | OGS    | 0                   | 7            | 7            | M      |
| 20201030 | OHI    | 0                   | 200          | 200          | M      |
| 20201030 | OIIM   | 0                   | 100          | 143          | M      |
| 20201030 | OKE    | 0                   | 100          | 200          | M      |
| 20201030 | OKTA   | 0                   | 200          | 976          | M      |
| 20201030 | OLED   | 0                   | 246          | 415          | M      |
| 20201030 | OLLI   | 0                   | 57           | 133          | M      |
| 20201030 | OM     | 0                   | 4            | 5            | M      |
| 20201030 | OMC    | 0                   | 339          | 339          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201030 | OMCL   | 0                   | 195          | 396          | M      |
| 20201030 | OMER   | 0                   | 101          | 101          | M      |
| 20201030 | OMI    | 0                   | 100          | 100          | M      |
| 20201030 | OMP    | 0                   | 0            | 2            | M      |
| 20201030 | ON     | 0                   | 78           | 26704        | M      |
| 20201030 | ONEM   | 0                   | 1570         | 1570         | M      |
| 20201030 | ONTO   | 0                   | 0            | 92           | M      |
| 20201030 | ONVO   | 0                   | 0            | 11           | M      |
| 20201030 | OPI    | 0                   | 199          | 199          | M      |
| 20201030 | OPK    | 25                  | 25           | 25           | M      |
| 20201030 | OPT    | 0                   | 1100         | 1900         | M      |
| 20201030 | OPY    | 0                   | 0            | 18           | M      |
| 20201030 | ORA    | 0                   | 102          | 102          | M      |
| 20201030 | ORCL   | 0                   | 1930         | 102330       | M      |
| 20201030 | ORGO   | 0                   | 103          | 103          | M      |
| 20201030 | ORIC   | 0                   | 300          | 300          | M      |
| 20201030 | ORLY   | 10                  | 511          | 1581         | M      |
| 20201030 | OSH    | 0                   | 287          | 287          | M      |
| 20201030 | OSIS   | 0                   | 920          | 1020         | M      |
| 20201030 | OSK    | 0                   | 100          | 186          | M      |
| 20201030 | OSPN   | 0                   | 40           | 40           | M      |
| 20201030 | OSTK   | 0                   | 437          | 905          | M      |
| 20201030 | OSUR   | 0                   | 16           | 216          | M      |
| 20201030 | OTEX   | 0                   | 87           | 500          | M      |
| 20201030 | OTIS   | 0                   | 200          | 301          | M      |
| 20201030 | OTLK   | 0                   | 50           | 50           | M      |
| 20201030 | OTRK   | 0                   | 600          | 1037         | M      |
| 20201030 | OTTR   | 0                   | 260          | 360          | M      |
| 20201030 | OUT    | 0                   | 195          | 695          | M      |
| 20201030 | OVLY   | 0                   | 60           | 60           | M      |
| 20201030 | OXBR   | 0                   | 0            | 100          | M      |
| 20201030 | OXM    | 0                   | 91           | 91           | M      |
| 20201030 | OXY    | 0                   | 3            | 208          | M      |
| 20201030 | PAAS   | 0                   | 100          | 100          | M      |
| 20201030 | PAC    | 100                 | 200          | 296          | M      |
| 20201030 | PACB   | 0                   | 84           | 84           | M      |
| 20201030 | PACW   | 0                   | 200          | 200          | M      |
| 20201030 | PAG    | 0                   | 400          | 400          | M      |
| 20201030 | PAGS   | 0                   | 250          | 350          | M      |
| 20201030 | PAHC   | 0                   | 80           | 160          | M      |
| 20201030 | PALL   | 0                   | 47           | 47           | M      |
| 20201030 | PANW   | 0                   | 181          | 964          | M      |
| 20201030 | PAR    | 0                   | 195          | 195          | M      |
| 20201030 | PARR   | 0                   | 76           | 76           | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201030 | PAYA   | 0                   | 1413         | 1513         | M      |
| 20201030 | PAYC   | 0                   | 651          | 654          | M      |
| 20201030 | PAYX   | 0                   | 142          | 241          | M      |
| 20201030 | PB     | 0                   | 0            | 106          | M      |
| 20201030 | PBF    | 0                   | 38           | 83           | M      |
| 20201030 | PBH    | 0                   | 135          | 135          | M      |
| 20201030 | PBI    | 0                   | 300          | 400          | M      |
| 20201030 | PBR    | 0                   | 1352         | 6854         | M      |
| 20201030 | PBYI   | 0                   | 28           | 28           | M      |
| 20201030 | PCAR   | 0                   | 360          | 12160        | M      |
| 20201030 | PCG    | 0                   | 59           | 59           | M      |
| 20201030 | PCH    | 0                   | 100          | 162          | M      |
| 20201030 | PCRX   | 0                   | 247          | 347          | M      |
| 20201030 | PCTY   | 0                   | 9            | 269          | M      |
| 20201030 | PCVX   | 0                   | 104          | 104          | M      |
| 20201030 | PD     | 0                   | 516          | 516          | M      |
| 20201030 | PDCE   | 0                   | 300          | 300          | M      |
| 20201030 | PDCO   | 0                   | 69           | 69           | M      |
| 20201030 | PDD    | 200                 | 2162         | 2677         | M      |
| 20201030 | PE     | 0                   | 0            | 271          | M      |
| 20201030 | PEAK   | 0                   | 1097         | 1131         | M      |
| 20201030 | PEB    | 0                   | 99           | 198          | M      |
| 20201030 | PECK   | 0                   | 125          | 125          | M      |
| 20201030 | PEG    | 0                   | 1005         | 1198         | M      |
| 20201030 | PEGA   | 0                   | 213          | 309          | M      |
| 20201030 | PEN    | 0                   | 1908         | 2336         | M      |
| 20201030 | PENN   | 0                   | 828          | 828          | M      |
| 20201030 | PEP    | 0                   | 385          | 22885        | M      |
| 20201030 | PETQ   | 0                   | 286          | 286          | M      |
| 20201030 | PETS   | 0                   | 71           | 161          | M      |
| 20201030 | PFBC   | 0                   | 0            | 134          | M      |
| 20201030 | PFE    | 0                   | 442          | 273443       | M      |
| 20201030 | PFGC   | 0                   | 400          | 500          | M      |
| 20201030 | PFHD   | 0                   | 122          | 122          | M      |
| 20201030 | PFPT   | 0                   | 200          | 290          | M      |
| 20201030 | PFS    | 0                   | 7            | 207          | M      |
| 20201030 | PFSI   | 0                   | 351          | 400          | M      |
| 20201030 | PG     | 0                   | 1022         | 4096         | M      |
| 20201030 | PGEN   | 0                   | 0            | 100          | M      |
| 20201030 | PGNY   | 0                   | 150          | 250          | M      |
| 20201030 | PGR    | 0                   | 71           | 171          | M      |
| 20201030 | PGRE   | 0                   | 0            | 100          | M      |
| 20201030 | PH     | 0                   | 1107         | 1270         | M      |
| 20201030 | PHM    | 0                   | 381          | 803          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201030 | PHR    | 0                   | 100          | 197          | M      |
| 20201030 | PI     | 0                   | 40           | 40           | M      |
| 20201030 | PIC    | 0                   | 1098         | 6605         | M      |
| 20201030 | PII    | 0                   | 65           | 165          | M      |
| 20201030 | PING   | 0                   | 200          | 200          | M      |
| 20201030 | PINS   | 0                   | 1365         | 244413       | M      |
| 20201030 | PIXY   | 0                   | 90           | 90           | M      |
| 20201030 | PJT    | 0                   | 1            | 1            | M      |
| 20201030 | PKE    | 0                   | 100          | 100          | M      |
| 20201030 | PKG    | 0                   | 31           | 31           | M      |
| 20201030 | PKI    | 0                   | 265          | 365          | M      |
| 20201030 | PLAN   | 0                   | 3364         | 3564         | M      |
| 20201030 | PLAY   | 0                   | 300          | 338          | M      |
| 20201030 | PLCE   | 0                   | 294          | 481          | M      |
| 20201030 | PLD    | 0                   | 306          | 306          | M      |
| 20201030 | PLL    | 0                   | 108          | 308          | M      |
| 20201030 | PLM    | 200                 | 200          | 200          | M      |
| 20201030 | PLMR   | 0                   | 187          | 191          | M      |
| 20201030 | PLNT   | 0                   | 1139         | 2031         | M      |
| 20201030 | PLT    | 0                   | 476          | 670          | M      |
| 20201030 | PLTR   | 0                   | 0            | 666          | M      |
| 20201030 | PLUG   | 0                   | 100          | 100          | M      |
| 20201030 | PLXS   | 0                   | 0            | 32           | M      |
| 20201030 | PM     | 0                   | 247          | 297          | M      |
| 20201030 | PMT    | 0                   | 200          | 357          | M      |
| 20201030 | PMVP   | 0                   | 307          | 408          | M      |
| 20201030 | PNC    | 0                   | 300          | 400          | M      |
| 20201030 | PNFP   | 0                   | 0            | 182          | M      |
| 20201030 | PNM    | 0                   | 100          | 123          | M      |
| 20201030 | PNR    | 0                   | 1041         | 1221         | M      |
| 20201030 | PNW    | 0                   | 174          | 710          | M      |
| 20201030 | PODD   | 0                   | 21           | 173          | M      |
| 20201030 | POLA   | 0                   | 100          | 100          | M      |
| 20201030 | POOL   | 0                   | 676          | 1379         | M      |
| 20201030 | POR    | 0                   | 330          | 426          | M      |
| 20201030 | POWI   | 0                   | 797          | 809          | M      |
| 20201030 | PPC    | 0                   | 0            | 100          | M      |
| 20201030 | PPD    | 0                   | 100          | 171          | M      |
| 20201030 | PPG    | 0                   | 183          | 184          | M      |
| 20201030 | PPL    | 0                   | 300          | 400          | M      |
| 20201030 | PPSI   | 0                   | 100          | 100          | M      |
| 20201030 | PRAA   | 0                   | 100          | 111          | M      |
| 20201030 | PRAH   | 0                   | 6            | 107          | M      |
| 20201030 | PRFT   | 0                   | 106          | 106          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201030 | PRGO   | 0                   | 111          | 111          | M      |
| 20201030 | PRI    | 0                   | 0            | 5            | M      |
| 20201030 | PRIM   | 0                   | 9            | 9            | M      |
| 20201030 | PRLB   | 0                   | 456          | 556          | M      |
| 20201030 | PRLD   | 0                   | 0            | 10           | M      |
| 20201030 | PRO    | 0                   | 100          | 100          | M      |
| 20201030 | PRPH   | 0                   | 98           | 198          | M      |
| 20201030 | PRSC   | 0                   | 580          | 599          | M      |
| 20201030 | PRSP   | 0                   | 186          | 186          | M      |
| 20201030 | PRTA   | 0                   | 100          | 112          | M      |
| 20201030 | PRTK   | 0                   | 5            | 5            | M      |
| 20201030 | PRTS   | 0                   | 0            | 152          | M      |
| 20201030 | PRU    | 0                   | 0            | 69           | M      |
| 20201030 | PRVB   | 0                   | 198          | 198          | M      |
| 20201030 | PRVL   | 0                   | 149          | 149          | M      |
| 20201030 | PSA    | 62                  | 62           | 156          | M      |
| 20201030 | PSB    | 0                   | 228          | 228          | M      |
| 20201030 | PSMT   | 0                   | 400          | 400          | M      |
| 20201030 | PSN    | 0                   | 100          | 200          | M      |
| 20201030 | PSNL   | 0                   | 100          | 392          | M      |
| 20201030 | PSQ    | 0                   | 13           | 13           | M      |
| 20201030 | PSTI   | 0                   | 750          | 750          | M      |
| 20201030 | PSTX   | 0                   | 113          | 173          | M      |
| 20201030 | PSX    | 0                   | 150          | 250          | M      |
| 20201030 | PSXP   | 0                   | 100          | 100          | M      |
| 20201030 | PTC    | 0                   | 481          | 781          | M      |
| 20201030 | PTCT   | 0                   | 200          | 200          | M      |
| 20201030 | PTGX   | 0                   | 400          | 400          | M      |
| 20201030 | PTON   | 13                  | 4809         | 15647        | M      |
| 20201030 | PTVE   | 0                   | 0            | 10           | M      |
| 20201030 | PVAC   | 0                   | 5            | 86           | M      |
| 20201030 | PVG    | 0                   | 100          | 200          | M      |
| 20201030 | PVH    | 0                   | 143          | 340          | M      |
| 20201030 | PWR    | 0                   | 304          | 304          | M      |
| 20201030 | PXD    | 0                   | 182          | 182          | M      |
| 20201030 | PXS    | 0                   | 100          | 100          | M      |
| 20201030 | PYPL   | 0                   | 375          | 26634        | M      |
| 20201030 | PZZA   | 0                   | 402          | 564          | M      |
| 20201030 | QADA   | 100                 | 200          | 200          | M      |
| 20201030 | QCOM   | 0                   | 0            | 501          | M      |
| 20201030 | QDEL   | 0                   | 304          | 304          | M      |
| 20201030 | QGEN   | 0                   | 72           | 99           | M      |
| 20201030 | QLYS   | 0                   | 173          | 202          | M      |
| 20201030 | QQQ    | 0                   | 774          | 1066145      | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201030 | QRTEA  | 0                   | 0            | 100          | M      |
| 20201030 | QRVO   | 0                   | 214          | 411          | M      |
| 20201030 | QTRX   | 0                   | 57           | 57           | M      |
| 20201030 | QTS    | 0                   | 0            | 15           | M      |
| 20201030 | QTWO   | 0                   | 200          | 300          | M      |
| 20201030 | QUMU   | 0                   | 100          | 100          | M      |
| 20201030 | QURE   | 0                   | 85           | 930          | M      |
| 20201030 | R      | 0                   | 64           | 164          | M      |
| 20201030 | RACE   | 0                   | 0            | 300          | M      |
| 20201030 | RAMP   | 0                   | 181          | 181          | M      |
| 20201030 | RARE   | 0                   | 100          | 300          | M      |
| 20201030 | RBA    | 0                   | 100          | 100          | M      |
| 20201030 | RBBN   | 0                   | 0            | 100          | M      |
| 20201030 | RC     | 0                   | 7            | 7            | M      |
| 20201030 | RCEL   | 0                   | 100          | 100          | M      |
| 20201030 | RCII   | 0                   | 200          | 375          | M      |
| 20201030 | RCKT   | 0                   | 21           | 21           | M      |
| 20201030 | RCL    | 0                   | 16471        | 22732        | M      |
| 20201030 | RCUS   | 0                   | 384          | 484          | M      |
| 20201030 | RDFN   | 0                   | 388          | 488          | M      |
| 20201030 | RDN    | 0                   | 185          | 185          | M      |
| 20201030 | RDS A  | 0                   | 100          | 200          | M      |
| 20201030 | RDS B  | 0                   | 0            | 4300         | M      |
| 20201030 | RDUS   | 0                   | 101          | 101          | M      |
| 20201030 | RE     | 0                   | 312          | 409          | M      |
| 20201030 | REAL   | 0                   | 0            | 46           | M      |
| 20201030 | REG    | 0                   | 12           | 12           | M      |
| 20201030 | REGI   | 0                   | 19           | 193          | M      |
| 20201030 | REGN   | 0                   | 249          | 1288         | M      |
| 20201030 | REKR   | 0                   | 0            | 100          | M      |
| 20201030 | RENN   | 0                   | 1800         | 2550         | M      |
| 20201030 | REPL   | 0                   | 1243         | 1763         | M      |
| 20201030 | RETA   | 0                   | 17           | 17           | M      |
| 20201030 | REV    | 0                   | 600          | 600          | M      |
| 20201030 | REZI   | 0                   | 140          | 240          | M      |
| 20201030 | RF     | 0                   | 0            | 100          | M      |
| 20201030 | RGA    | 0                   | 400          | 660          | M      |
| 20201030 | RGEN   | 0                   | 235          | 535          | M      |
| 20201030 | RGLD   | 0                   | 230          | 294          | M      |
| 20201030 | RGNX   | 0                   | 200          | 200          | M      |
| 20201030 | RGR    | 0                   | 0            | 2800         | M      |
| 20201030 | RH     | 0                   | 426          | 1140         | M      |
| 20201030 | RHI    | 0                   | 116          | 116          | M      |
| 20201030 | RHP    | 0                   | 0            | 266          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201030 | RIDE   | 0                   | 0            | 461          | M      |
| 20201030 | RJF    | 0                   | 138          | 138          | M      |
| 20201030 | RKT    | 0                   | 200          | 330          | M      |
| 20201030 | RL     | 0                   | 209          | 504          | M      |
| 20201030 | RLAY   | 0                   | 3            | 103          | M      |
| 20201030 | RLGY   | 0                   | 200          | 200          | M      |
| 20201030 | RMAX   | 0                   | 1            | 1            | M      |
| 20201030 | RMBL   | 0                   | 103          | 203          | M      |
| 20201030 | RMD    | 0                   | 100          | 157          | M      |
| 20201030 | RMT    | 0                   | 100          | 100          | M      |
| 20201030 | RNA    | 0                   | 100          | 100          | M      |
| 20201030 | RNET   | 0                   | 884          | 1184         | M      |
| 20201030 | RNG    | 0                   | 966          | 2361         | M      |
| 20201030 | RNLX   | 0                   | 500          | 500          | M      |
| 20201030 | RNR    | 0                   | 192          | 192          | M      |
| 20201030 | RNWK   | 0                   | 0            | 100          | M      |
| 20201030 | ROAD   | 0                   | 6            | 6            | M      |
| 20201030 | ROCK   | 0                   | 127          | 343          | M      |
| 20201030 | ROG    | 0                   | 221          | 301          | M      |
| 20201030 | ROK    | 0                   | 228          | 228          | M      |
| 20201030 | ROKU   | 0                   | 6163         | 9816         | M      |
| 20201030 | ROL    | 0                   | 698          | 898          | M      |
| 20201030 | ROLL   | 0                   | 138          | 205          | M      |
| 20201030 | ROP    | 100                 | 384          | 570          | M      |
| 20201030 | ROST   | 0                   | 332          | 98429        | M      |
| 20201030 | RP     | 0                   | 0            | 200          | M      |
| 20201030 | RPAY   | 0                   | 150          | 156          | M      |
| 20201030 | RPD    | 0                   | 180          | 180          | M      |
| 20201030 | RPM    | 0                   | 164          | 164          | M      |
| 20201030 | RPRX   | 0                   | 162          | 256          | M      |
| 20201030 | RPTX   | 0                   | 299          | 299          | M      |
| 20201030 | RRC    | 0                   | 200          | 200          | M      |
| 20201030 | RRGB   | 0                   | 100          | 100          | M      |
| 20201030 | RRR    | 0                   | 0            | 100          | M      |
| 20201030 | RSG    | 0                   | 369          | 369          | M      |
| 20201030 | RSP    | 0                   | 100          | 500          | M      |
| 20201030 | RTX    | 97                  | 698          | 109203       | M      |
| 20201030 | RUN    | 0                   | 1162         | 24320        | M      |
| 20201030 | RUSHA  | 0                   | 102          | 202          | M      |
| 20201030 | RUTH   | 0                   | 100          | 100          | M      |
| 20201030 | RVLV   | 0                   | 100          | 100          | M      |
| 20201030 | RXT    | 0                   | 100          | 100          | M      |
| 20201030 | RY     | 0                   | 395          | 1508         | M      |
| 20201030 | RYAAY  | 0                   | 0            | 99           | M      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201030 | RYAM   | 0                   | 0            | 99           | M      |
| 20201030 | RYI    | 0                   | 0            | 98           | M      |
| 20201030 | RYN    | 0                   | 0            | 90           | M      |
| 20201030 | SA     | 0                   | 82           | 82           | M      |
| 20201030 | SABR   | 0                   | 0            | 4            | M      |
| 20201030 | SAFE   | 0                   | 1            | 1            | M      |
| 20201030 | SAFM   | 0                   | 200          | 300          | M      |
| 20201030 | SAGE   | 0                   | 183          | 183          | M      |
| 20201030 | SAIA   | 0                   | 200          | 200          | M      |
| 20201030 | SAIL   | 0                   | 264          | 563          | M      |
| 20201030 | SALT   | 0                   | 70           | 70           | M      |
| 20201030 | SAM    | 1                   | 434          | 494          | M      |
| 20201030 | SAND   | 0                   | 0            | 100          | M      |
| 20201030 | SAP    | 0                   | 170          | 324          | M      |
| 20201030 | SATS   | 0                   | 160          | 235          | M      |
| 20201030 | SAVA   | 0                   | 3196         | 7529         | M      |
| 20201030 | SBAC   | 100                 | 459          | 530          | M      |
| 20201030 | SBGI   | 0                   | 199          | 199          | M      |
| 20201030 | SBLK   | 0                   | 0            | 14           | M      |
| 20201030 | SBNY   | 52                  | 1137         | 1425         | M      |
| 20201030 | SBSW   | 0                   | 3            | 107          | M      |
| 20201030 | SBT    | 0                   | 1            | 1            | M      |
| 20201030 | SBUX   | 0                   | 407          | 42500        | M      |
| 20201030 | SC     | 0                   | 300          | 300          | M      |
| 20201030 | SCCO   | 0                   | 0            | 348          | M      |
| 20201030 | SCHW   | 0                   | 5178         | 8578         | M      |
| 20201030 | SCI    | 0                   | 101          | 405          | M      |
| 20201030 | SCKT   | 0                   | 100          | 100          | M      |
| 20201030 | SCL    | 0                   | 100          | 100          | M      |
| 20201030 | SCPH   | 0                   | 100          | 100          | M      |
| 20201030 | SCPL   | 0                   | 200          | 270          | M      |
| 20201030 | SCS    | 0                   | 100          | 200          | M      |
| 20201030 | SCWX   | 0                   | 1            | 1            | M      |
| 20201030 | SDC    | 0                   | 0            | 100          | M      |
| 20201030 | SDGR   | 0                   | 100          | 683          | M      |
| 20201030 | SE     | 0                   | 4319         | 5690         | M      |
| 20201030 | SEAS   | 0                   | 0            | 297          | M      |
| 20201030 | SEB    | 0                   | 1            | 3            | M      |
| 20201030 | SEDG   | 0                   | 711          | 1612         | M      |
| 20201030 | SEE    | 0                   | 42           | 142          | M      |
| 20201030 | SEM    | 0                   | 100          | 100          | M      |
| 20201030 | SF     | 0                   | 100          | 100          | M      |
| 20201030 | SFBS   | 0                   | 100          | 100          | M      |
| 20201030 | SFIX   | 0                   | 127          | 45127        | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201030 | SFNC   | 0                   | 101          | 101          | M      |
| 20201030 | SFT    | 0                   | 100          | 100          | M      |
| 20201030 | SGBX   | 0                   | 95           | 95           | M      |
| 20201030 | SGC    | 0                   | 105          | 190          | M      |
| 20201030 | SGEN   | 0                   | 0            | 321          | M      |
| 20201030 | SGMO   | 0                   | 400          | 400          | M      |
| 20201030 | SGMS   | 0                   | 1100         | 1300         | M      |
| 20201030 | SGRP   | 0                   | 100          | 100          | M      |
| 20201030 | SGRY   | 0                   | 218          | 419          | M      |
| 20201030 | SH     | 0                   | 40           | 40           | M      |
| 20201030 | SHLX   | 0                   | 200          | 200          | M      |
| 20201030 | SHOP   | 0                   | 1393         | 5530         | M      |
| 20201030 | SHW    | 0                   | 2101         | 2785         | M      |
| 20201030 | SI     | 0                   | 75           | 178          | M      |
| 20201030 | SIBN   | 0                   | 100          | 100          | M      |
| 20201030 | SIG    | 0                   | 500          | 541          | M      |
| 20201030 | SIGI   | 0                   | 100          | 100          | M      |
| 20201030 | SII    | 0                   | 0            | 250          | M      |
| 20201030 | SILK   | 0                   | 5            | 5            | M      |
| 20201030 | SINA   | 0                   | 68           | 68           | M      |
| 20201030 | SIRI   | 0                   | 0            | 300          | M      |
| 20201030 | SITE   | 0                   | 323          | 818          | M      |
| 20201030 | SITM   | 0                   | 568          | 1628         | M      |
| 20201030 | SIVB   | 0                   | 653          | 818          | M      |
| 20201030 | SIX    | 0                   | 1000         | 1200         | M      |
| 20201030 | SJI    | 0                   | 228          | 228          | M      |
| 20201030 | SJM    | 0                   | 204          | 204          | M      |
| 20201030 | SJT    | 0                   | 68           | 68           | M      |
| 20201030 | SJW    | 0                   | 4            | 4            | M      |
| 20201030 | SKX    | 0                   | 111          | 311          | M      |
| 20201030 | SKY    | 0                   | 200          | 300          | M      |
| 20201030 | SLAB   | 0                   | 110          | 110          | M      |
| 20201030 | SLB    | 0                   | 11841        | 32125        | M      |
| 20201030 | SLG    | 0                   | 203          | 353          | M      |
| 20201030 | SLGN   | 0                   | 1            | 1            | M      |
| 20201030 | SLP    | 0                   | 40           | 40           | M      |
| 20201030 | SLQT   | 0                   | 52           | 152          | M      |
| 20201030 | SLV    | 0                   | 0            | 28024        | M      |
| 20201030 | SMAR   | 0                   | 250          | 250          | M      |
| 20201030 | SMG    | 0                   | 0            | 269          | M      |
| 20201030 | SMLP   | 0                   | 0            | 100          | M      |
| 20201030 | SMPL   | 0                   | 199          | 199          | M      |
| 20201030 | SMTC   | 0                   | 0            | 27           | M      |
| 20201030 | SNA    | 0                   | 333          | 533          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201030 | SNAP   | 0                   | 301          | 23304        | M      |
| 20201030 | SNBR   | 0                   | 1            | 201          | M      |
| 20201030 | SNDX   | 0                   | 100          | 179          | M      |
| 20201030 | SNOW   | 0                   | 5703         | 6586         | M      |
| 20201030 | SNPS   | 0                   | 203          | 215          | M      |
| 20201030 | SNR    | 0                   | 100          | 100          | M      |
| 20201030 | SNV    | 0                   | 2            | 4            | M      |
| 20201030 | SNX    | 0                   | 0            | 162          | M      |
| 20201030 | SNY    | 0                   | 7            | 807          | M      |
| 20201030 | SO     | 0                   | 1819         | 2469         | M      |
| 20201030 | SOLY   | 0                   | 38           | 38           | M      |
| 20201030 | SON    | 0                   | 106          | 206          | M      |
| 20201030 | SONN   | 0                   | 100          | 100          | M      |
| 20201030 | SONO   | 0                   | 0            | 100          | M      |
| 20201030 | SPB    | 0                   | 200          | 200          | M      |
| 20201030 | SPCB   | 0                   | 100          | 100          | M      |
| 20201030 | SPCE   | 0                   | 200          | 480          | M      |
| 20201030 | SPG    | 0                   | 299          | 8195         | M      |
| 20201030 | SPGI   | 0                   | 267          | 398          | M      |
| 20201030 | SPH    | 0                   | 0            | 249          | M      |
| 20201030 | SPI    | 0                   | 422          | 1286         | M      |
| 20201030 | SPLK   | 50                  | 817          | 1993         | M      |
| 20201030 | SPOT   | 101                 | 2163         | 10297        | M      |
| 20201030 | SPR    | 0                   | 100          | 100          | M      |
| 20201030 | SPRB   | 0                   | 2            | 6            | M      |
| 20201030 | SPRO   | 0                   | 3726         | 3776         | M      |
| 20201030 | SPSC   | 0                   | 106          | 108          | M      |
| 20201030 | SPT    | 0                   | 100          | 100          | M      |
| 20201030 | SPTN   | 0                   | 0            | 100          | M      |
| 20201030 | SPXC   | 0                   | 1            | 102          | M      |
| 20201030 | SPXS   | 0                   | 0            | 100          | M      |
| 20201030 | SPY    | 0                   | 358          | 542333       | M      |
| 20201030 | SPYG   | 0                   | 1            | 1            | M      |
| 20201030 | SQ     | 0                   | 1668         | 69112        | M      |
| 20201030 | SQBG   | 0                   | 200          | 200          | M      |
| 20201030 | SQM    | 0                   | 1000         | 1000         | M      |
| 20201030 | SQNS   | 0                   | 0            | 100          | M      |
| 20201030 | SRC    | 0                   | 278          | 579          | M      |
| 20201030 | SRCL   | 0                   | 390          | 490          | M      |
| 20201030 | SRE    | 0                   | 630          | 810          | M      |
| 20201030 | SRNE   | 0                   | 0            | 70000        | M      |
| 20201030 | SRPT   | 0                   | 923          | 929          | M      |
| 20201030 | SRRK   | 0                   | 300          | 300          | M      |
| 20201030 | SSB    | 0                   | 200          | 200          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201030 | SSD    | 0                   | 0            | 34           | M      |
| 20201030 | SSNC   | 0                   | 0            | 109          | M      |
| 20201030 | SSNT   | 0                   | 0            | 200          | M      |
| 20201030 | SSTK   | 0                   | 92           | 202          | M      |
| 20201030 | SSY    | 0                   | 0            | 100          | M      |
| 20201030 | SSYS   | 0                   | 0            | 195          | M      |
| 20201030 | ST     | 0                   | 104          | 204          | M      |
| 20201030 | STAA   | 0                   | 379          | 380          | M      |
| 20201030 | STAR   | 0                   | 53           | 53           | M      |
| 20201030 | STC    | 0                   | 100          | 200          | M      |
| 20201030 | STE    | 0                   | 425          | 425          | M      |
| 20201030 | STEP   | 0                   | 912          | 1433         | M      |
| 20201030 | STIM   | 0                   | 0            | 200          | M      |
| 20201030 | STKL   | 0                   | 100          | 200          | M      |
| 20201030 | STLD   | 0                   | 314          | 846          | M      |
| 20201030 | STMP   | 0                   | 151          | 525          | M      |
| 20201030 | STNE   | 0                   | 366          | 366          | M      |
| 20201030 | STOK   | 0                   | 25           | 26           | M      |
| 20201030 | STOR   | 0                   | 100          | 100          | M      |
| 20201030 | STRA   | 0                   | 9            | 9            | M      |
| 20201030 | STRL   | 0                   | 100          | 200          | M      |
| 20201030 | STSA   | 0                   | 100          | 100          | M      |
| 20201030 | STT    | 0                   | 9            | 126          | M      |
| 20201030 | STX    | 0                   | 4            | 17101        | M      |
| 20201030 | STZ    | 0                   | 328          | 328          | M      |
| 20201030 | SU     | 0                   | 2            | 202          | M      |
| 20201030 | SUI    | 0                   | 2            | 39           | M      |
| 20201030 | SUM    | 0                   | 0            | 198          | M      |
| 20201030 | SUMO   | 0                   | 134          | 234          | M      |
| 20201030 | SUNS   | 0                   | 0            | 102          | M      |
| 20201030 | SUP    | 0                   | 100          | 100          | M      |
| 20201030 | SUPN   | 0                   | 100          | 100          | M      |
| 20201030 | SURF   | 0                   | 1320         | 3673         | M      |
| 20201030 | SWAV   | 0                   | 97           | 97           | M      |
| 20201030 | SWBI   | 0                   | 326          | 426          | M      |
| 20201030 | SWCH   | 0                   | 0            | 101          | M      |
| 20201030 | SWIR   | 0                   | 0            | 29           | M      |
| 20201030 | SWK    | 0                   | 356          | 483          | M      |
| 20201030 | SWKS   | 0                   | 103          | 329          | M      |
| 20201030 | SWM    | 0                   | 4            | 15           | M      |
| 20201030 | SWN    | 0                   | 3            | 3            | M      |
| 20201030 | SWX    | 0                   | 0            | 3            | M      |
| 20201030 | SXC    | 0                   | 66           | 66           | M      |
| 20201030 | SXT    | 0                   | 9            | 135          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201030 | SYBT   | 0                   | 5            | 5            | M      |
| 20201030 | SYF    | 0                   | 2            | 2            | M      |
| 20201030 | SYK    | 0                   | 280          | 480          | M      |
| 20201030 | SYKE   | 0                   | 88           | 181          | M      |
| 20201030 | SYNA   | 0                   | 0            | 200          | M      |
| 20201030 | SYNH   | 0                   | 100          | 240          | M      |
| 20201030 | SYNL   | 0                   | 300          | 300          | M      |
| 20201030 | SYTA   | 0                   | 100          | 100          | M      |
| 20201030 | SYT    | 0                   | 100          | 100          | M      |
| 20201030 | T      | 0                   | 790          | 73475        | M      |
| 20201030 | TA     | 0                   | 100          | 150          | M      |
| 20201030 | TACO   | 0                   | 0            | 2            | M      |
| 20201030 | TAL    | 0                   | 480          | 27352        | M      |
| 20201030 | TALO   | 0                   | 1071         | 2150         | M      |
| 20201030 | TAN    | 0                   | 0            | 50338        | M      |
| 20201030 | TAP    | 0                   | 0            | 251          | M      |
| 20201030 | TARS   | 0                   | 200          | 400          | M      |
| 20201030 | TBBK   | 200                 | 200          | 200          | M      |
| 20201030 | TBI    | 0                   | 98           | 98           | M      |
| 20201030 | TBPH   | 0                   | 105          | 105          | M      |
| 20201030 | TC     | 0                   | 0            | 300          | M      |
| 20201030 | TCBI   | 0                   | 200          | 200          | M      |
| 20201030 | TCDA   | 0                   | 200          | 200          | M      |
| 20201030 | TCF    | 0                   | 372          | 472          | M      |
| 20201030 | TCI    | 0                   | 0            | 149          | M      |
| 20201030 | TCMD   | 0                   | 7            | 7            | M      |
| 20201030 | TCOM   | 0                   | 304          | 304          | M      |
| 20201030 | TCRR   | 0                   | 100          | 100          | M      |
| 20201030 | TCS    | 0                   | 137          | 568          | M      |
| 20201030 | TCX    | 0                   | 0            | 2            | M      |
| 20201030 | TD     | 0                   | 1481         | 2385         | M      |
| 20201030 | TDC    | 0                   | 50           | 50           | M      |
| 20201030 | TDG    | 19                  | 1444         | 1481         | M      |
| 20201030 | TDOC   | 0                   | 1004         | 5009         | M      |
| 20201030 | TDS    | 0                   | 80           | 186          | M      |
| 20201030 | TDY    | 0                   | 797          | 898          | M      |
| 20201030 | TEAM   | 0                   | 991          | 1329         | M      |
| 20201030 | TECH   | 0                   | 105          | 122          | M      |
| 20201030 | TECK   | 0                   | 67           | 90           | M      |
| 20201030 | TEL    | 0                   | 0            | 300          | M      |
| 20201030 | TEN    | 0                   | 100          | 200          | M      |
| 20201030 | TENB   | 0                   | 0            | 200          | M      |
| 20201030 | TEO    | 0                   | 100          | 200          | M      |
| 20201030 | TER    | 0                   | 761          | 898          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201030 | TEVA   | 0                   | 300          | 300          | M      |
| 20201030 | TEX    | 0                   | 200          | 200          | M      |
| 20201030 | TFC    | 0                   | 300          | 300          | M      |
| 20201030 | TFII   | 0                   | 50           | 50           | M      |
| 20201030 | TFX    | 2                   | 1473         | 1690         | M      |
| 20201030 | TGC    | 0                   | 200          | 200          | M      |
| 20201030 | TGH    | 0                   | 200          | 200          | M      |
| 20201030 | TGS    | 0                   | 40           | 40           | M      |
| 20201030 | TGT    | 0                   | 1125         | 9630         | M      |
| 20201030 | TGTX   | 0                   | 597          | 797          | M      |
| 20201030 | THC    | 0                   | 0            | 199          | M      |
| 20201030 | THO    | 0                   | 451          | 90653        | M      |
| 20201030 | THRM   | 0                   | 0            | 31           | M      |
| 20201030 | THS    | 0                   | 0            | 100          | M      |
| 20201030 | THW    | 0                   | 100          | 100          | M      |
| 20201030 | TIF    | 0                   | 8            | 10           | M      |
| 20201030 | TIG    | 0                   | 0            | 100          | M      |
| 20201030 | TIGR   | 0                   | 0            | 100          | M      |
| 20201030 | TILE   | 0                   | 0            | 200          | M      |
| 20201030 | TITN   | 0                   | 0            | 36           | M      |
| 20201030 | TJX    | 0                   | 500          | 601          | M      |
| 20201030 | TKR    | 0                   | 300          | 300          | M      |
| 20201030 | TLRY   | 0                   | 0            | 2            | M      |
| 20201030 | TLSA   | 0                   | 100          | 100          | M      |
| 20201030 | TLT    | 0                   | 1            | 85501        | M      |
| 20201030 | TME    | 0                   | 84           | 102          | M      |
| 20201030 | TMHC   | 96                  | 96           | 96           | M      |
| 20201030 | TMO    | 0                   | 560          | 660          | M      |
| 20201030 | TMUS   | 0                   | 925          | 1235         | M      |
| 20201030 | TMX    | 0                   | 600          | 700          | M      |
| 20201030 | TNDM   | 0                   | 127          | 363          | M      |
| 20201030 | TOL    | 0                   | 890          | 890          | M      |
| 20201030 | TOTL   | 0                   | 100          | 100          | M      |
| 20201030 | TPIC   | 0                   | 97           | 197          | M      |
| 20201030 | TPL    | 0                   | 80           | 84           | M      |
| 20201030 | TPR    | 0                   | 90           | 90           | M      |
| 20201030 | TPTX   | 0                   | 125          | 325          | M      |
| 20201030 | TPX    | 0                   | 100          | 429          | M      |
| 20201030 | TQQQ   | 0                   | 0            | 1            | M      |
| 20201030 | TREE   | 0                   | 0            | 200          | M      |
| 20201030 | TREX   | 0                   | 99           | 147          | M      |
| 20201030 | TRGP   | 0                   | 0            | 100          | M      |
| 20201030 | TRHC   | 0                   | 119          | 119          | M      |
| 20201030 | TRIL   | 0                   | 100          | 100          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201030 | TRIP   | 0                   | 302          | 424          | M      |
| 20201030 | TRMB   | 0                   | 400          | 490          | M      |
| 20201030 | TROW   | 0                   | 211          | 211          | M      |
| 20201030 | TROX   | 0                   | 100          | 234          | M      |
| 20201030 | TRP    | 0                   | 0            | 100          | M      |
| 20201030 | TRTN   | 0                   | 100          | 200          | M      |
| 20201030 | TRTX   | 0                   | 200          | 300          | M      |
| 20201030 | TRU    | 0                   | 2292         | 4947         | M      |
| 20201030 | TRUP   | 0                   | 665          | 699          | M      |
| 20201030 | TRV    | 0                   | 0            | 200          | M      |
| 20201030 | TSCO   | 0                   | 556          | 656          | M      |
| 20201030 | TSE    | 0                   | 300          | 300          | M      |
| 20201030 | TSHA   | 0                   | 0            | 22           | M      |
| 20201030 | TSLA   | 0                   | 3839         | 32237        | M      |
| 20201030 | TSM    | 0                   | 533          | 995          | M      |
| 20201030 | TSN    | 0                   | 199          | 199          | M      |
| 20201030 | TT     | 0                   | 180          | 200          | M      |
| 20201030 | TTC    | 0                   | 300          | 397          | M      |
| 20201030 | TTCF   | 0                   | 102          | 203          | M      |
| 20201030 | TTCFW  | 0                   | 138          | 538          | M      |
| 20201030 | TTD    | 0                   | 1063         | 1635         | M      |
| 20201030 | TTEC   | 0                   | 1            | 101          | M      |
| 20201030 | TTEK   | 0                   | 100          | 100          | M      |
| 20201030 | TTGT   | 0                   | 100          | 100          | M      |
| 20201030 | TTWO   | 0                   | 229          | 434          | M      |
| 20201030 | TUP    | 0                   | 837          | 1087         | M      |
| 20201030 | TVTY   | 0                   | 200          | 200          | M      |
| 20201030 | TW     | 0                   | 257          | 357          | M      |
| 20201030 | TWLO   | 0                   | 1028         | 1429         | M      |
| 20201030 | TWNK   | 0                   | 0            | 100          | M      |
| 20201030 | TWOU   | 0                   | 61           | 74           | M      |
| 20201030 | TWST   | 0                   | 75           | 760          | M      |
| 20201030 | TWTR   | 4106                | 30868        | 85097        | M      |
| 20201030 | TXG    | 0                   | 186          | 752          | M      |
| 20201030 | TXN    | 0                   | 791          | 981          | M      |
| 20201030 | TXRH   | 0                   | 1970         | 2070         | M      |
| 20201030 | TYL    | 0                   | 223          | 310          | M      |
| 20201030 | U      | 552                 | 5470         | 9214         | M      |
| 20201030 | UAA    | 0                   | 0            | 114          | M      |
| 20201030 | UAL    | 0                   | 0            | 100          | M      |
| 20201030 | UBER   | 0                   | 29           | 194806       | M      |
| 20201030 | UDR    | 0                   | 204          | 304          | M      |
| 20201030 | UFI    | 0                   | 100          | 100          | M      |
| 20201030 | UFPT   | 0                   | 1            | 1            | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201030 | UFS    | 0                   | 200          | 200          | M      |
| 20201030 | UHS    | 0                   | 490          | 740          | M      |
| 20201030 | UHT    | 0                   | 8            | 8            | M      |
| 20201030 | UI     | 0                   | 6            | 106          | M      |
| 20201030 | UIHC   | 0                   | 0            | 100          | M      |
| 20201030 | ULH    | 0                   | 0            | 3            | M      |
| 20201030 | ULTA   | 0                   | 371          | 671          | M      |
| 20201030 | UMBF   | 0                   | 0            | 1            | M      |
| 20201030 | UMH    | 0                   | 7            | 7            | M      |
| 20201030 | UNF    | 0                   | 8            | 10           | M      |
| 20201030 | UNFI   | 0                   | 303          | 453          | M      |
| 20201030 | UNH    | 92                  | 7347         | 9864         | M      |
| 20201030 | UNIT   | 0                   | 0            | 1            | M      |
| 20201030 | UNM    | 0                   | 667          | 667          | M      |
| 20201030 | UNP    | 0                   | 519          | 4217         | M      |
| 20201030 | UONE   | 0                   | 100          | 400          | M      |
| 20201030 | UPLD   | 0                   | 300          | 300          | M      |
| 20201030 | UPS    | 0                   | 800          | 1514         | M      |
| 20201030 | UPWK   | 0                   | 225          | 338          | M      |
| 20201030 | URBN   | 0                   | 50           | 50           | M      |
| 20201030 | URI    | 0                   | 2169         | 2706         | M      |
| 20201030 | USAC   | 0                   | 300          | 300          | M      |
| 20201030 | USB    | 0                   | 1            | 101          | M      |
| 20201030 | USCR   | 0                   | 120          | 120          | M      |
| 20201030 | USFD   | 0                   | 100          | 101          | M      |
| 20201030 | USNA   | 0                   | 68           | 80           | M      |
| 20201030 | USO    | 0                   | 0            | 87000        | M      |
| 20201030 | USPH   | 0                   | 3            | 70           | M      |
| 20201030 | UTHR   | 0                   | 125          | 125          | M      |
| 20201030 | UTMD   | 0                   | 61           | 62           | M      |
| 20201030 | UTSI   | 0                   | 100          | 100          | M      |
| 20201030 | UTZ    | 0                   | 100          | 100          | M      |
| 20201030 | UUU    | 0                   | 0            | 100          | M      |
| 20201030 | V      | 0                   | 1305         | 1505         | M      |
| 20201030 | VAC    | 0                   | 328          | 530          | M      |
| 20201030 | VALE   | 0                   | 104          | 200104       | M      |
| 20201030 | VBFC   | 0                   | 3            | 3            | M      |
| 20201030 | VC     | 0                   | 168          | 168          | M      |
| 20201030 | VCEL   | 0                   | 194          | 194          | M      |
| 20201030 | VCRA   | 0                   | 210          | 210          | M      |
| 20201030 | VCSH   | 300                 | 312          | 12088        | M      |
| 20201030 | VCYT   | 0                   | 114          | 114          | M      |
| 20201030 | VEC    | 0                   | 0            | 11           | M      |
| 20201030 | VECO   | 0                   | 0            | 187          | M      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201030 | VEDL   | 0                   | 0            | 3            | M      |
| 20201030 | VEEV   | 0                   | 1220         | 1698         | M      |
| 20201030 | VERI   | 0                   | 144          | 344          | M      |
| 20201030 | VERX   | 0                   | 2            | 175          | M      |
| 20201030 | VFC    | 0                   | 800          | 800          | M      |
| 20201030 | VG     | 0                   | 1            | 1            | M      |
| 20201030 | VGR    | 0                   | 100          | 300          | M      |
| 20201030 | VHC    | 0                   | 0            | 60           | M      |
| 20201030 | VIAC   | 0                   | 100          | 100          | M      |
| 20201030 | VIAO   | 0                   | 2            | 3            | M      |
| 20201030 | VICI   | 0                   | 100          | 100          | M      |
| 20201030 | VICR   | 0                   | 43           | 43           | M      |
| 20201030 | VIE    | 0                   | 0            | 98           | M      |
| 20201030 | VIR    | 0                   | 100          | 200          | M      |
| 20201030 | VIRT   | 0                   | 0            | 100          | M      |
| 20201030 | VIVE   | 0                   | 0            | 20           | M      |
| 20201030 | VIVO   | 0                   | 100          | 100          | M      |
| 20201030 | VLDR   | 0                   | 28           | 639          | M      |
| 20201030 | VLO    | 0                   | 1053         | 1353         | M      |
| 20201030 | VLRS   | 0                   | 47           | 47           | M      |
| 20201030 | VMC    | 0                   | 321          | 421          | M      |
| 20201030 | VMD    | 0                   | 93           | 197          | M      |
| 20201030 | VMI    | 0                   | 87           | 87           | M      |
| 20201030 | VMW    | 0                   | 501          | 899          | M      |
| 20201030 | VNO    | 0                   | 3            | 9            | M      |
| 20201030 | VNOM   | 0                   | 99           | 99           | M      |
| 20201030 | VNQ    | 0                   | 1            | 1            | M      |
| 20201030 | VNT    | 0                   | 543          | 1025         | M      |
| 20201030 | VOO    | 0                   | 1            | 1            | M      |
| 20201030 | VPV    | 0                   | 0            | 100          | M      |
| 20201030 | VRCA   | 0                   | 0            | 200          | M      |
| 20201030 | VRM    | 0                   | 196          | 357          | M      |
| 20201030 | VRME   | 300                 | 300          | 1200         | M      |
| 20201030 | VRNS   | 0                   | 272          | 272          | M      |
| 20201030 | VRSK   | 0                   | 300          | 300          | M      |
| 20201030 | VRSN   | 0                   | 300          | 381          | M      |
| 20201030 | VRT    | 0                   | 1900         | 1900         | M      |
| 20201030 | VRTS   | 0                   | 4            | 4            | M      |
| 20201030 | VRTU   | 0                   | 100          | 100          | M      |
| 20201030 | VRTV   | 0                   | 187          | 187          | M      |
| 20201030 | VRTX   | 0                   | 197          | 297          | M      |
| 20201030 | VST    | 0                   | 2            | 3            | M      |
| 20201030 | VTI    | 0                   | 1            | 1            | M      |
| 20201030 | VTR    | 0                   | 100          | 100          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201030 | VTV    | 0                   | 0            | 200          | M      |
| 20201030 | VUG    | 0                   | 2            | 149          | M      |
| 20201030 | VVI    | 0                   | 160          | 160          | M      |
| 20201030 | VVPR   | 0                   | 0            | 700          | M      |
| 20201030 | VVV    | 0                   | 0            | 100          | M      |
| 20201030 | VXX    | 0                   | 151          | 210151       | M      |
| 20201030 | VYGR   | 0                   | 0            | 21           | M      |
| 20201030 | VZ     | 0                   | 2665         | 2666         | M      |
| 20201030 | W      | 0                   | 3805         | 13222        | M      |
| 20201030 | WAB    | 0                   | 296          | 1796         | M      |
| 20201030 | WAFD   | 0                   | 0            | 26           | M      |
| 20201030 | WAL    | 0                   | 103          | 203          | M      |
| 20201030 | WAT    | 0                   | 399          | 399          | M      |
| 20201030 | WB     | 0                   | 258          | 260          | M      |
| 20201030 | WBA    | 0                   | 100          | 201          | M      |
| 20201030 | WCC    | 0                   | 249          | 249          | M      |
| 20201030 | WCN    | 0                   | 400          | 400          | M      |
| 20201030 | WD     | 0                   | 210          | 210          | M      |
| 20201030 | WDAY   | 0                   | 509          | 609          | M      |
| 20201030 | WDC    | 0                   | 0            | 33100        | M      |
| 20201030 | WDFC   | 0                   | 974          | 1035         | M      |
| 20201030 | WEC    | 0                   | 148          | 148          | M      |
| 20201030 | WEI    | 1800                | 1800         | 1900         | M      |
| 20201030 | WELL   | 0                   | 477          | 477          | M      |
| 20201030 | WEN    | 0                   | 100          | 100          | M      |
| 20201030 | WERN   | 0                   | 239          | 239          | M      |
| 20201030 | WEX    | 0                   | 290          | 290          | M      |
| 20201030 | WFC    | 0                   | 136          | 260604       | M      |
| 20201030 | WGO    | 0                   | 1205         | 1905         | M      |
| 20201030 | WH     | 0                   | 600          | 741          | M      |
| 20201030 | WHR    | 0                   | 504          | 572          | M      |
| 20201030 | WIFI   | 0                   | 0            | 189          | M      |
| 20201030 | WING   | 0                   | 100          | 357          | M      |
| 20201030 | WIRE   | 27                  | 27           | 27           | M      |
| 20201030 | WIX    | 0                   | 1109         | 2156         | M      |
| 20201030 | WK     | 0                   | 135          | 135          | M      |
| 20201030 | WKHS   | 0                   | 169          | 169          | M      |
| 20201030 | WLDN   | 0                   | 43           | 43           | M      |
| 20201030 | WLK    | 0                   | 101          | 101          | M      |
| 20201030 | WLL    | 0                   | 143          | 243          | M      |
| 20201030 | WLTW   | 0                   | 1331         | 1597         | M      |
| 20201030 | WM     | 0                   | 201          | 3828         | M      |
| 20201030 | WMB    | 0                   | 0            | 100          | M      |
| 20201030 | WMG    | 0                   | 87           | 134          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201030 | WMK    | 0                   | 4            | 4            | M      |
| 20201030 | WMS    | 0                   | 12           | 109          | M      |
| 20201030 | WMT    | 0                   | 205          | 1057         | M      |
| 20201030 | WORK   | 0                   | 136          | 20539        | M      |
| 20201030 | WPC    | 0                   | 0            | 100          | M      |
| 20201030 | WPM    | 0                   | 0            | 11100        | M      |
| 20201030 | WPX    | 0                   | 2569         | 3073         | M      |
| 20201030 | WRB    | 0                   | 408          | 408          | M      |
| 20201030 | WRI    | 0                   | 100          | 100          | M      |
| 20201030 | WRK    | 0                   | 562          | 856          | M      |
| 20201030 | WRLD   | 0                   | 0            | 72           | M      |
| 20201030 | WSC    | 0                   | 200          | 700          | M      |
| 20201030 | WSM    | 0                   | 400          | 400          | M      |
| 20201030 | WSO    | 0                   | 45           | 45           | M      |
| 20201030 | WST    | 0                   | 194          | 194          | M      |
| 20201030 | WTFC   | 0                   | 351          | 353          | M      |
| 20201030 | WTM    | 0                   | 325          | 326          | M      |
| 20201030 | WTRE   | 0                   | 100          | 100          | M      |
| 20201030 | WTRG   | 0                   | 200          | 350          | M      |
| 20201030 | WTS    | 0                   | 89           | 89           | M      |
| 20201030 | WU     | 0                   | 1400         | 1500         | M      |
| 20201030 | WVE    | 0                   | 195          | 295          | M      |
| 20201030 | WW     | 0                   | 808          | 813          | M      |
| 20201030 | WWD    | 0                   | 151          | 151          | M      |
| 20201030 | WWE    | 0                   | 0            | 193          | M      |
| 20201030 | WWW    | 0                   | 0            | 158154       | M      |
| 20201030 | WY     | 0                   | 403          | 903          | M      |
| 20201030 | WYND   | 0                   | 200          | 200          | M      |
| 20201030 | WYNN   | 0                   | 419          | 548          | M      |
| 20201030 | X      | 0                   | 0            | 1            | M      |
| 20201030 | XBI    | 0                   | 1140         | 1470         | M      |
| 20201030 | XDIV   | 0                   | 0            | 12           | M      |
| 20201030 | XEC    | 0                   | 149          | 244          | M      |
| 20201030 | XENE   | 0                   | 0            | 109          | M      |
| 20201030 | XERS   | 0                   | 157          | 457          | M      |
| 20201030 | XFLT   | 0                   | 10           | 110          | M      |
| 20201030 | XGN    | 0                   | 25           | 26           | M      |
| 20201030 | XHR    | 0                   | 96           | 137          | M      |
| 20201030 | XLC    | 0                   | 1            | 17601        | M      |
| 20201030 | XLE    | 0                   | 513          | 1660         | M      |
| 20201030 | XLF    | 0                   | 39           | 1202231      | M      |
| 20201030 | XLI    | 0                   | 100          | 34355        | M      |
| 20201030 | XLP    | 0                   | 0            | 265600       | M      |
| 20201030 | XLRN   | 0                   | 145          | 193          | M      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201030 | XLU    | 0                   | 0            | 240000       | M      |
| 20201030 | XOM    | 0                   | 393          | 806          | M      |
| 20201030 | XOP    | 0                   | 30           | 30           | M      |
| 20201030 | XP     | 0                   | 5            | 105          | M      |
| 20201030 | XPEL   | 0                   | 56           | 156          | M      |
| 20201030 | XPER   | 0                   | 0            | 99           | M      |
| 20201030 | XPEV   | 0                   | 172          | 472          | M      |
| 20201030 | XPO    | 0                   | 239          | 364          | M      |
| 20201030 | XRAY   | 0                   | 381          | 387          | M      |
| 20201030 | XRX    | 0                   | 100          | 100          | M      |
| 20201030 | XYL    | 0                   | 669          | 781          | M      |
| 20201030 | Y      | 0                   | 448          | 463          | M      |
| 20201030 | YALA   | 0                   | 2374         | 2374         | M      |
| 20201030 | YETI   | 0                   | 772          | 1477         | M      |
| 20201030 | YEXT   | 0                   | 100          | 100          | M      |
| 20201030 | YNDX   | 0                   | 350          | 650          | M      |
| 20201030 | YUM    | 0                   | 160          | 161          | M      |
| 20201030 | YUMC   | 0                   | 450          | 450          | M      |
| 20201030 | Z      | 0                   | 630          | 746          | M      |
| 20201030 | ZBH    | 0                   | 785          | 980          | M      |
| 20201030 | ZBRA   | 0                   | 104          | 104          | M      |
| 20201030 | ZDGE   | 0                   | 254          | 254          | M      |
| 20201030 | ZEN    | 0                   | 100          | 373          | M      |
| 20201030 | ZG     | 0                   | 332          | 342          | M      |
| 20201030 | ZGNX   | 0                   | 116          | 116          | M      |
| 20201030 | ZI     | 0                   | 500          | 877          | M      |
| 20201030 | ZIOP   | 0                   | 12           | 12           | M      |
| 20201030 | ZLAB   | 0                   | 96           | 196          | M      |
| 20201030 | ZM     | 13                  | 22349        | 26138        | M      |
| 20201030 | ZNGA   | 0                   | 0            | 115800       | M      |
| 20201030 | ZNTL   | 0                   | 695          | 795          | M      |
| 20201030 | ZS     | 0                   | 2289         | 11145        | M      |
| 20201030 | ZTS    | 0                   | 103          | 210          | M      |
| 20201030 | ZUO    | 0                   | 0            | 100          | M      |
| 20201030 | ZVO    | 0                   | 0            | 100          | M      |
| 20201030 | ZYME   | 0                   | 213          | 317          | M      |