

| Date | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201001 | AAL | 0 | 3 | 72902 | M |
| 20201001 | AAP | 0 | 100 | 100 | M |
| 20201001 | AAPL | 4 | 926 | 278979 | M |
| 20201001 | AAWW | 0 | 150 | 150 | M |
| 20201001 | AAXN | 0 | 226 | 227 | M |
| 20201001 | ABBV | 0 | 32 | 32 | M |
| 20201001 | ABIO | 0 | 211 | 716 | M |
| 20201001 | ABMD | 0 | 100 | 200 | M |
| 20201001 | ABT | 0 | 121 | 221 | M |
| 20201001 | ACCD | 0 | 131 | 131 | M |
| 20201001 | ACGL | 0 | 69 | 169 | M |
| 20201001 | ACHC | 0 | 100 | 100 | M |
| 20201001 | ACHV | 0 | 446 | 551 | M |
| 20201001 | ACIA | 0 | 100 | 100 | M |
| 20201001 | ACIW | 0 | 100 | 100 | M |
| 20201001 | ACMR | 0 | 0 | 10 | M |
| 20201001 | ACN | 0 | 921 | 1021 | M |
| 20201001 | ACRS | 0 | 100 | 100 | M |
| 20201001 | ACWI | 0 | 1 | 1 | M |
| 20201001 | ADBE | 0 | 1086 | 70392 | M |
| 20201001 | ADES | 0 | 100 | 100 | M |
| 20201001 | ADMA | 0 | 0 | 500 | M |
| 20201001 | ADP | 0 | 101 | 101 | M |
| 20201001 | ADPT | 0 | 0 | 100 | M |
| 20201001 | ADSK | 0 | 101 | 101 | M |
| 20201001 | ADT | 0 | 112 | 127 | M |
| 20201001 | ADUS | 0 | 200 | 200 | M |
| 20201001 | AEL | 0 | 45 | 45 | M |
| 20201001 | AEO | 0 | 34 | 34 | M |
| 20201001 | AER | 0 | 100 | 100 | M |
| 20201001 | AFG | 0 | 200 | 200 | M |
| 20201001 | AFI | 0 | 0 | 152 | M |
| 20201001 | AFIB | 0 | 248 | 248 | M |
| 20201001 | AGCUU | 0 | 550 | 650 | M |
| 20201001 | AGNC | 0 | 0 | 3 | M |
| 20201001 | AGX | 0 | 51 | 153 | M |
| 20201001 | AIG | 0 | 0 | 19802 | M |
| 20201001 | AIN | 0 | 100 | 100 | M |
| 20201001 | AIZ | 0 | 100 | 100 | M |
| 20201001 | AJG | 0 | 58 | 76 | M |
| 20201001 | AKUS | 0 | 100 | 100 | M |
| 20201001 | AL PRA | 0 | 24 | 34 | M |
| 20201001 | ALB | 0 | 64 | 64 | M |

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|----------|--------|---------------------|--------------|--------------|--------|
| 20201001 | ALBO | 0 | 86 | 86 | M |
| 20201001 | ALDX | 0 | 100 | 194 | M |
| 20201001 | ALGN | 0 | 0 | 148 | M |
| 20201001 | ALGT | 0 | 0 | 100 | M |
| 20201001 | ALL | 0 | 3 | 3 | M |
| 20201001 | ALLO | 0 | 300 | 300 | M |
| 20201001 | ALNY | 0 | 125 | 125 | M |
| 20201001 | ALRM | 0 | 0 | 2 | M |
| 20201001 | ALSN | 0 | 100 | 100 | M |
| 20201001 | ALT | 0 | 2 | 2 | M |
| 20201001 | ALVR | 0 | 100 | 100 | M |
| 20201001 | ALXN | 0 | 526 | 1126 | M |
| 20201001 | ALXO | 0 | 100 | 373 | M |
| 20201001 | AM | 0 | 0 | 100 | M |
| 20201001 | AMAG | 0 | 0 | 1 | M |
| 20201001 | AMAT | 68 | 700 | 858 | M |
| 20201001 | AMBA | 0 | 97 | 104 | M |
| 20201001 | AMBC | 0 | 0 | 7350 | M |
| 20201001 | AMD | 0 | 1379 | 27101 | M |
| 20201001 | AME | 0 | 100 | 100 | M |
| 20201001 | AMED | 0 | 19 | 19 | M |
| 20201001 | AMGN | 0 | 100 | 6815 | M |
| 20201001 | AMN | 0 | 13 | 13 | M |
| 20201001 | AMRK | 0 | 300 | 300 | M |
| 20201001 | AMRX | 0 | 0 | 100 | M |
| 20201001 | AMSF | 0 | 7 | 7 | M |
| 20201001 | AMT | 0 | 110 | 610 | M |
| 20201001 | AMTD | 0 | 28 | 100 | M |
| 20201001 | AMWL | 0 | 0 | 300 | M |
| 20201001 | AMX | 0 | 69 | 69 | M |
| 20201001 | AMZN | 0 | 520 | 132873 | M |
| 20201001 | AN | 0 | 11 | 11 | M |
| 20201001 | ANET | 6 | 52 | 96 | M |
| 20201001 | ANF | 0 | 13 | 14 | M |
| 20201001 | ANGI | 0 | 100 | 100 | M |
| 20201001 | ANNX | 0 | 444 | 744 | M |
| 20201001 | ANPC | 0 | 100 | 100 | M |
| 20201001 | ANSS | 0 | 3 | 3 | M |
| 20201001 | ANTM | 0 | 400 | 400 | M |
| 20201001 | AON | 0 | 11 | 11 | M |
| 20201001 | APA | 0 | 212 | 213 | M |
| 20201001 | APAM | 0 | 0 | 100 | M |
| 20201001 | APD | 0 | 200 | 200 | M |
| 20201001 | APG | 0 | 79 | 79 | M |

| Date | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201001 | APH | 0 | 16 | 16 | M |
| 20201001 | API | 0 | 1 | 477 | M |
| 20201001 | APLT | 0 | 1 | 1 | M |
| 20201001 | APM | 0 | 0 | 100 | M |
| 20201001 | APO | 0 | 0 | 500 | M |
| 20201001 | APPN | 0 | 100 | 100 | M |
| 20201001 | APT | 0 | 110 | 110 | M |
| 20201001 | AQST | 0 | 180 | 280 | M |
| 20201001 | AR | 0 | 129 | 139 | M |
| 20201001 | ARCH | 0 | 57 | 57 | M |
| 20201001 | ARCT | 0 | 780 | 919 | M |
| 20201001 | ARE | 0 | 100 | 100 | M |
| 20201001 | ARMK | 0 | 35 | 45 | M |
| 20201001 | ARNA | 0 | 102 | 117 | M |
| 20201001 | ARTL | 0 | 200 | 200 | M |
| 20201001 | ARW | 0 | 100 | 100 | M |
| 20201001 | ARWR | 0 | 100 | 100 | M |
| 20201001 | ASB | 0 | 2 | 2 | M |
| 20201001 | ASGN | 0 | 6 | 6 | M |
| 20201001 | ASMB | 0 | 1 | 1 | M |
| 20201001 | ASML | 30 | 30 | 30 | M |
| 20201001 | ASPN | 0 | 100 | 100 | M |
| 20201001 | ATEX | 0 | 127 | 336 | M |
| 20201001 | ATHA | 0 | 3 | 103 | M |
| 20201001 | ATNI | 0 | 1 | 1 | M |
| 20201001 | ATNM | 0 | 400 | 2000 | M |
| 20201001 | ATNX | 0 | 100 | 100 | M |
| 20201001 | ATO | 0 | 0 | 300 | M |
| 20201001 | ATOS | 0 | 125 | 325 | M |
| 20201001 | ATR | 0 | 100 | 101 | M |
| 20201001 | ATVI | 0 | 2 | 107 | M |
| 20201001 | AUPH | 0 | 0 | 325 | M |
| 20201001 | AUTO | 0 | 100 | 310 | M |
| 20201001 | AVGO | 0 | 600 | 655 | M |
| 20201001 | AVNS | 0 | 100 | 100 | M |
| 20201001 | AVNT | 0 | 0 | 100 | M |
| 20201001 | AVYA | 0 | 100 | 100 | M |
| 20201001 | AWK | 0 | 200 | 200 | M |
| 20201001 | AX | 0 | 1 | 1 | M |
| 20201001 | AXLA | 0 | 85 | 85 | M |
| 20201001 | AXNX | 0 | 50 | 90 | M |
| 20201001 | AXP | 0 | 2534 | 3034 | M |
| 20201001 | AXTA | 0 | 575 | 578 | M |
| 20201001 | AYX | 0 | 100 | 601 | M |

| Date | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201001 | AZO | 0 | 490 | 642 | M |
| 20201001 | AZRE | 0 | 701 | 1111 | M |
| 20201001 | BA | 116 | 5647 | 7115 | M |
| 20201001 | BABA | 0 | 137 | 103797 | M |
| 20201001 | BAC | 1 | 923 | 481185 | M |
| 20201001 | BAND | 0 | 43 | 48 | M |
| 20201001 | BAP | 0 | 2 | 2 | M |
| 20201001 | BATRK | 0 | 0 | 1 | M |
| 20201001 | BAX | 0 | 1 | 1 | M |
| 20201001 | BB | 0 | 4 | 105 | M |
| 20201001 | BBBY | 0 | 3629 | 515355 | M |
| 20201001 | BBD | 0 | 0 | 307 | M |
| 20201001 | BBL | 0 | 100 | 100 | M |
| 20201001 | BBSI | 0 | 0 | 10 | M |
| 20201001 | BCPC | 0 | 126 | 130 | M |
| 20201001 | BCRX | 0 | 0 | 100 | M |
| 20201001 | BCYC | 0 | 901 | 1982 | M |
| 20201001 | BDC | 0 | 100 | 100 | M |
| 20201001 | BDX | 0 | 819 | 1023 | M |
| 20201001 | BEAM | 0 | 500 | 700 | M |
| 20201001 | BECN | 0 | 1 | 1 | M |
| 20201001 | BEKE | 0 | 50 | 52 | M |
| 20201001 | BEPC | 0 | 100 | 100 | M |
| 20201001 | BF B | 0 | 102 | 102 | M |
| 20201001 | BFAM | 0 | 0 | 52 | M |
| 20201001 | BFC | 0 | 16 | 16 | M |
| 20201001 | BGNE | 0 | 38 | 38 | M |
| 20201001 | BHVN | 0 | 0 | 248 | M |
| 20201001 | BIDU | 0 | 191 | 191 | M |
| 20201001 | BIG | 0 | 100 | 100 | M |
| 20201001 | BIGC | 0 | 658 | 800 | M |
| 20201001 | BIIB | 0 | 10 | 14 | M |
| 20201001 | BILI | 0 | 0 | 1 | M |
| 20201001 | BILL | 0 | 700 | 1100 | M |
| 20201001 | BIO | 0 | 41 | 43 | M |
| 20201001 | BIOC | 0 | 0 | 139 | M |
| 20201001 | BJ | 0 | 400 | 401 | M |
| 20201001 | BK | 0 | 0 | 39750 | M |
| 20201001 | BKH | 0 | 0 | 24 | M |
| 20201001 | BKI | 0 | 100 | 100 | M |
| 20201001 | BKNG | 0 | 1119 | 13290 | M |
| 20201001 | BLD | 0 | 14 | 19 | M |
| 20201001 | BLDP | 0 | 510 | 2460 | M |
| 20201001 | BLFS | 0 | 100 | 100 | M |

| Date | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201001 | BLI | 0 | 263 | 263 | M |
| 20201001 | BLK | 0 | 686 | 705 | M |
| 20201001 | BLKB | 0 | 122 | 123 | M |
| 20201001 | BLL | 0 | 0 | 200 | M |
| 20201001 | BLNK | 0 | 100 | 200 | M |
| 20201001 | BLUE | 0 | 8 | 111 | M |
| 20201001 | BMRN | 0 | 6 | 285 | M |
| 20201001 | BMTC | 0 | 0 | 100 | M |
| 20201001 | BMY | 0 | 1 | 20252 | M |
| 20201001 | BNS | 0 | 0 | 2650000 | M |
| 20201001 | BNTC | 0 | 0 | 5 | M |
| 20201001 | BNTX | 0 | 1400 | 1900 | M |
| 20201001 | BOOM | 0 | 100 | 100 | M |
| 20201001 | BOOT | 0 | 20 | 20 | M |
| 20201001 | BOWXU | 188 | 188 | 888 | M |
| 20201001 | BPOP | 0 | 1 | 2 | M |
| 20201001 | BPY | 100 | 19283 | 26449 | M |
| 20201001 | BR | 0 | 0 | 100 | M |
| 20201001 | BRK B | 18 | 295 | 480 | M |
| 20201001 | BRO | 0 | 200 | 200 | M |
| 20201001 | BROG | 0 | 0 | 600 | M |
| 20201001 | BRQS | 0 | 0 | 100 | M |
| 20201001 | BSX | 0 | 9 | 100 | M |
| 20201001 | BTAI | 0 | 106 | 106 | M |
| 20201001 | BTU | 0 | 0 | 190 | M |
| 20201001 | BUD | 0 | 0 | 32 | M |
| 20201001 | BVH | 0 | 10 | 10 | M |
| 20201001 | BW | 0 | 0 | 100 | M |
| 20201001 | BWA | 0 | 53 | 153 | M |
| 20201001 | BWXT | 0 | 1 | 1 | M |
| 20201001 | BX | 0 | 0 | 21150 | M |
| 20201001 | BXS | 0 | 1 | 1 | M |
| 20201001 | BYND | 0 | 303 | 103850 | M |
| 20201001 | BZUN | 0 | 2 | 102 | M |
| 20201001 | C | 0 | 399 | 502011 | M |
| 20201001 | CABO | 0 | 20 | 33 | M |
| 20201001 | CACC | 0 | 23 | 23 | M |
| 20201001 | CAG | 0 | 900 | 900 | M |
| 20201001 | CAI | 0 | 20 | 20 | M |
| 20201001 | CAKE | 0 | 0 | 2 | M |
| 20201001 | CAPR | 0 | 11 | 111 | M |
| 20201001 | CAR | 0 | 80 | 80 | M |
| 20201001 | CARA | 0 | 0 | 100 | M |
| 20201001 | CARG | 0 | 101 | 101 | M |

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|----------|--------|---------------------|--------------|--------------|--------|
| 20201001 | CARV | 0 | 2 | 2 | M |
| 20201001 | CASS | 0 | 60 | 60 | M |
| 20201001 | CASY | 0 | 1 | 1 | M |
| 20201001 | CAT | 0 | 100 | 100 | M |
| 20201001 | CATC | 0 | 0 | 271 | M |
| 20201001 | CB | 0 | 260 | 260 | M |
| 20201001 | CBRL | 0 | 167 | 167 | M |
| 20201001 | CBT | 0 | 69 | 69 | M |
| 20201001 | CBU | 0 | 100 | 100 | M |
| 20201001 | CC | 0 | 300 | 300 | M |
| 20201001 | CCC | 0 | 800 | 1000 | M |
| 20201001 | CCF | 0 | 6 | 6 | M |
| 20201001 | CCI | 0 | 100 | 100 | M |
| 20201001 | CCK | 0 | 0 | 100 | M |
| 20201001 | CCL | 0 | 1 | 75007 | M |
| 20201001 | CCMP | 0 | 120 | 169 | M |
| 20201001 | CCOI | 0 | 72 | 197 | M |
| 20201001 | CCS | 0 | 0 | 1 | M |
| 20201001 | CD | 0 | 0 | 382 | M |
| 20201001 | CDK | 0 | 0 | 84 | M |
| 20201001 | CDNA | 0 | 300 | 300 | M |
| 20201001 | CDW | 0 | 400 | 400 | M |
| 20201001 | CE | 0 | 180 | 200 | M |
| 20201001 | CELH | 0 | 0 | 200 | M |
| 20201001 | CEQP | 0 | 39 | 39 | M |
| 20201001 | CEVA | 0 | 0 | 33 | M |
| 20201001 | CFFA | 0 | 400 | 400 | M |
| 20201001 | CFX | 0 | 102 | 102 | M |
| 20201001 | CGC | 0 | 100 | 100 | M |
| 20201001 | CGEN | 0 | 100 | 200 | M |
| 20201001 | CGNX | 0 | 65 | 65 | M |
| 20201001 | CHD | 0 | 200 | 200 | M |
| 20201001 | CHDN | 0 | 100 | 100 | M |
| 20201001 | CHE | 0 | 56 | 58 | M |
| 20201001 | CHEP | 0 | 5 | 5 | M |
| 20201001 | CHH | 0 | 3 | 3 | M |
| 20201001 | CHRS | 0 | 0 | 8 | M |
| 20201001 | CHTR | 12 | 342 | 10099 | M |
| 20201001 | CHWY | 0 | 5 | 30 | M |
| 20201001 | CI | 0 | 505 | 505 | M |
| 20201001 | CINF | 0 | 170 | 500 | M |
| 20201001 | CIR | 0 | 78 | 78 | M |
| 20201001 | CIT | 0 | 2 | 2 | M |
| 20201001 | CL | 0 | 200 | 300 | M |

| Date | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201001 | CLDT | 0 | 215 | 215 | M |
| 20201001 | CLDX | 0 | 5 | 5 | M |
| 20201001 | CLH | 0 | 5 | 55 | M |
| 20201001 | CLMT | 0 | 300 | 400 | M |
| 20201001 | CLNY | 0 | 0 | 111 | M |
| 20201001 | CLR | 0 | 0 | 100 | M |
| 20201001 | CLSK | 0 | 200 | 201 | M |
| 20201001 | CLVS | 2 | 2 | 3 | M |
| 20201001 | CLX | 0 | 200 | 493 | M |
| 20201001 | CMA | 0 | 250 | 250 | M |
| 20201001 | CMBM | 0 | 0 | 100 | M |
| 20201001 | CMC | 0 | 100 | 100 | M |
| 20201001 | CMCSA | 0 | 28 | 128 | M |
| 20201001 | CMD | 0 | 0 | 154 | M |
| 20201001 | CME | 0 | 90 | 90 | M |
| 20201001 | CMG | 0 | 121 | 316 | M |
| 20201001 | CMI | 0 | 102 | 102 | M |
| 20201001 | CMPR | 0 | 8 | 11 | M |
| 20201001 | CMPS | 0 | 1 | 106 | M |
| 20201001 | CMS | 0 | 0 | 1 | M |
| 20201001 | CMTL | 0 | 0 | 29 | M |
| 20201001 | CNC | 0 | 518 | 711 | M |
| 20201001 | CNST | 0 | 8 | 8 | M |
| 20201001 | CNX | 0 | 561 | 1165 | M |
| 20201001 | CODX | 0 | 180 | 180 | M |
| 20201001 | COF | 0 | 500 | 500 | M |
| 20201001 | COFS | 0 | 669 | 915 | M |
| 20201001 | COHR | 0 | 2 | 2 | M |
| 20201001 | COLD | 0 | 0 | 100 | M |
| 20201001 | COLM | 0 | 7 | 7 | M |
| 20201001 | CONE | 0 | 100 | 100 | M |
| 20201001 | COO | 0 | 1 | 1 | M |
| 20201001 | CORE | 0 | 13 | 13 | M |
| 20201001 | CORT | 0 | 100 | 100 | M |
| 20201001 | COST | 0 | 50 | 178 | M |
| 20201001 | COUP | 0 | 1119 | 1119 | M |
| 20201001 | CPB | 0 | 100 | 100 | M |
| 20201001 | CPRI | 0 | 0 | 10 | M |
| 20201001 | CPRT | 19 | 185 | 185 | M |
| 20201001 | CPT | 0 | 80 | 80 | M |
| 20201001 | CQP | 0 | 0 | 40 | M |
| 20201001 | CRBP | 4 | 4 | 4 | M |
| 20201001 | CREE | 0 | 187 | 187 | M |
| 20201001 | CREG | 0 | 4 | 6 | M |

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|----------|--------|---------------------|--------------|--------------|--------|
| 20201001 | CREX | 0 | 161 | 161 | M |
| 20201001 | CRI | 0 | 430 | 430 | M |
| 20201001 | CRL | 0 | 107 | 107 | M |
| 20201001 | CRM | 0 | 435 | 82548 | M |
| 20201001 | CRNC | 0 | 2 | 4 | M |
| 20201001 | CROX | 0 | 1900 | 2491 | M |
| 20201001 | CRSP | 0 | 200 | 200 | M |
| 20201001 | CRSR | 0 | 220 | 240 | M |
| 20201001 | CRWD | 0 | 28 | 503 | M |
| 20201001 | CSCO | 3 | 231 | 36209 | M |
| 20201001 | CSGP | 0 | 199 | 1255 | M |
| 20201001 | CSIQ | 0 | 10 | 10 | M |
| 20201001 | CSL | 0 | 100 | 100 | M |
| 20201001 | CTAS | 0 | 121 | 121 | M |
| 20201001 | CTS | 0 | 100 | 100 | M |
| 20201001 | CTSH | 0 | 100 | 100 | M |
| 20201001 | CTSO | 0 | 10 | 310 | M |
| 20201001 | CTT | 0 | 54 | 100 | M |
| 20201001 | CUB | 0 | 100 | 17700 | M |
| 20201001 | CUE | 0 | 100 | 100 | M |
| 20201001 | CVAC | 0 | 1034 | 1934 | M |
| 20201001 | CVE | 0 | 3 | 3 | M |
| 20201001 | CVGI | 0 | 100 | 100 | M |
| 20201001 | CVLT | 0 | 100 | 100 | M |
| 20201001 | CVM | 0 | 0 | 100 | M |
| 20201001 | CVNA | 0 | 9 | 31 | M |
| 20201001 | CVS | 0 | 2 | 8603 | M |
| 20201001 | CVX | 0 | 100 | 38800 | M |
| 20201001 | CW | 0 | 503 | 503 | M |
| 20201001 | CWEN | 0 | 200 | 200 | M |
| 20201001 | CWEN A | 0 | 100 | 100 | M |
| 20201001 | CYBR | 0 | 0 | 2 | M |
| 20201001 | CYCN | 0 | 100 | 100 | M |
| 20201001 | CYRX | 0 | 0 | 100 | M |
| 20201001 | CYTK | 0 | 100 | 100 | M |
| 20201001 | CZR | 0 | 197 | 197 | M |
| 20201001 | CZZ | 0 | 2 | 2 | M |
| 20201001 | D | 0 | 0 | 5444 | M |
| 20201001 | DADA | 0 | 90 | 90 | M |
| 20201001 | DAL | 0 | 100 | 100 | M |
| 20201001 | DAO | 0 | 100 | 100 | M |
| 20201001 | DAR | 0 | 2 | 2 | M |
| 20201001 | DB | 0 | 0 | 100 | M |
| 20201001 | DBC | 0 | 0 | 1 | M |

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|----------|--------|---------------------|--------------|--------------|--------|
| 20201001 | DBX | 0 | 0 | 4 | M |
| 20201001 | DCO | 0 | 100 | 100 | M |
| 20201001 | DCP | 0 | 100 | 100 | M |
| 20201001 | DCPH | 100 | 100 | 100 | M |
| 20201001 | DCT | 0 | 2 | 58 | M |
| 20201001 | DCTH | 0 | 3 | 3 | M |
| 20201001 | DDOG | 300 | 3315 | 4472 | M |
| 20201001 | DE | 0 | 400 | 408 | M |
| 20201001 | DECK | 0 | 100 | 100 | M |
| 20201001 | DELL | 0 | 58 | 5110 | M |
| 20201001 | DESP | 0 | 51 | 51 | M |
| 20201001 | DG | 0 | 194 | 310 | M |
| 20201001 | DGX | 0 | 0 | 61 | M |
| 20201001 | DHI | 0 | 38 | 300 | M |
| 20201001 | DHR | 0 | 200 | 202 | M |
| 20201001 | DIA | 0 | 15575 | 22277 | M |
| 20201001 | DIN | 0 | 0 | 100 | M |
| 20201001 | DIS | 0 | 103 | 16403 | M |
| 20201001 | DISCA | 0 | 0 | 1 | M |
| 20201001 | DISH | 0 | 1 | 2 | M |
| 20201001 | DJCO | 0 | 0 | 2 | M |
| 20201001 | DKNG | 500 | 4847 | 16785 | M |
| 20201001 | DKS | 0 | 400 | 90484 | M |
| 20201001 | DLB | 0 | 2 | 2 | M |
| 20201001 | DLPH | 0 | 1600 | 1600 | M |
| 20201001 | DLPN | 0 | 300 | 300 | M |
| 20201001 | DLR | 0 | 100 | 297 | M |
| 20201001 | DMO | 0 | 0 | 99 | M |
| 20201001 | DMS | 0 | 0 | 100 | M |
| 20201001 | DMYT | 0 | 100 | 100 | M |
| 20201001 | DNKN | 0 | 14 | 14 | M |
| 20201001 | DOCU | 0 | 0 | 68 | M |
| 20201001 | DOMO | 0 | 67 | 67 | M |
| 20201001 | DOOR | 0 | 38 | 100 | M |
| 20201001 | DOV | 0 | 123 | 127 | M |
| 20201001 | DOW | 0 | 200 | 200 | M |
| 20201001 | DPZ | 0 | 0 | 100 | M |
| 20201001 | DQ | 0 | 200 | 721 | M |
| 20201001 | DRH | 0 | 0 | 15 | M |
| 20201001 | DRI | 0 | 100 | 40102 | M |
| 20201001 | DRIO | 0 | 1 | 1 | M |
| 20201001 | DT | 0 | 100 | 450 | M |
| 20201001 | DTIL | 0 | 100 | 100 | M |
| 20201001 | DUK | 0 | 200 | 8900 | M |

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|----------|--------|---------------------|--------------|--------------|--------|
| 20201001 | DVA | 0 | 1695 | 2320 | M |
| 20201001 | DVN | 0 | 80 | 293 | M |
| 20201001 | DXC | 0 | 0 | 30 | M |
| 20201001 | DXCM | 0 | 100 | 100 | M |
| 20201001 | DY | 0 | 0 | 100 | M |
| 20201001 | DYN | 0 | 1 | 1 | M |
| 20201001 | EAT | 0 | 119 | 123 | M |
| 20201001 | EB | 0 | 0 | 37 | M |
| 20201001 | EBAY | 0 | 20 | 300 | M |
| 20201001 | EBIX | 0 | 100 | 100 | M |
| 20201001 | EBON | 0 | 200 | 300 | M |
| 20201001 | EBS | 0 | 64 | 64 | M |
| 20201001 | EDIT | 0 | 10 | 11 | M |
| 20201001 | EDU | 0 | 100 | 100 | M |
| 20201001 | EEFT | 0 | 0 | 6 | M |
| 20201001 | EEM | 0 | 4 | 6079931 | M |
| 20201001 | EFA | 0 | 5 | 1336 | M |
| 20201001 | EFAV | 0 | 1 | 1 | M |
| 20201001 | EFX | 0 | 0 | 42 | M |
| 20201001 | EGAN | 0 | 100 | 100 | M |
| 20201001 | EGHT | 0 | 125 | 125 | M |
| 20201001 | EGP | 0 | 48 | 53 | M |
| 20201001 | EIG | 0 | 100 | 100 | M |
| 20201001 | EINC | 0 | 22 | 32 | M |
| 20201001 | EIX | 0 | 104 | 108 | M |
| 20201001 | EL | 0 | 330 | 530 | M |
| 20201001 | ELY | 0 | 50 | 99 | M |
| 20201001 | EMN | 0 | 1 | 1 | M |
| 20201001 | ENB | 0 | 11 | 39 | M |
| 20201001 | ENDP | 0 | 2700 | 2700 | M |
| 20201001 | ENG | 0 | 100 | 100 | M |
| 20201001 | ENLV | 0 | 100 | 100 | M |
| 20201001 | ENPH | 0 | 200 | 200 | M |
| 20201001 | ENSG | 0 | 100 | 100 | M |
| 20201001 | ENTG | 0 | 104 | 581 | M |
| 20201001 | ENV | 0 | 80 | 80 | M |
| 20201001 | EPAM | 0 | 524 | 634 | M |
| 20201001 | EPRT | 0 | 200 | 200 | M |
| 20201001 | EQ | 0 | 357 | 357 | M |
| 20201001 | EQIX | 0 | 543 | 599 | M |
| 20201001 | EQR | 0 | 33 | 103 | M |
| 20201001 | EQT | 0 | 800 | 804 | M |
| 20201001 | EQX | 0 | 400 | 400 | M |
| 20201001 | ERIC | 0 | 79 | 779 | M |

| Date | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201001 | ESE | 0 | 0 | 92 | M |
| 20201001 | ESS | 0 | 4 | 4 | M |
| 20201001 | ESTC | 0 | 100 | 263 | M |
| 20201001 | ET | 0 | 1 | 2 | M |
| 20201001 | ETFC | 0 | 100 | 100 | M |
| 20201001 | ETN | 13 | 200 | 200 | M |
| 20201001 | ETON | 0 | 200 | 300 | M |
| 20201001 | ETR | 0 | 0 | 100 | M |
| 20201001 | ETSY | 0 | 1176 | 1254 | M |
| 20201001 | EVBG | 0 | 232 | 332 | M |
| 20201001 | EVC | 0 | 56 | 56 | M |
| 20201001 | EVH | 0 | 0 | 2 | M |
| 20201001 | EVI | 0 | 190 | 354 | M |
| 20201001 | EVOK | 0 | 0 | 100 | M |
| 20201001 | EW | 0 | 1 | 1 | M |
| 20201001 | EWZ | 0 | 0 | 550015 | M |
| 20201001 | EXAS | 0 | 0 | 11 | M |
| 20201001 | EXC | 0 | 104 | 104 | M |
| 20201001 | EXPD | 0 | 57 | 57 | M |
| 20201001 | EXPE | 0 | 209 | 209 | M |
| 20201001 | EXPI | 0 | 200 | 200 | M |
| 20201001 | EXR | 0 | 0 | 601 | M |
| 20201001 | EYE | 0 | 20 | 20 | M |
| 20201001 | F | 0 | 811 | 127454 | M |
| 20201001 | FAF | 0 | 200 | 208 | M |
| 20201001 | FANG | 0 | 99 | 99 | M |
| 20201001 | FAST | 0 | 0 | 5 | M |
| 20201001 | FATE | 0 | 100 | 100 | M |
| 20201001 | FB | 5 | 1427 | 1116115 | M |
| 20201001 | FBRX | 5 | 305 | 318 | M |
| 20201001 | FCEL | 100 | 100 | 100 | M |
| 20201001 | FCFS | 0 | 100 | 100 | M |
| 20201001 | FCN | 0 | 100 | 100 | M |
| 20201001 | FCNCA | 0 | 171 | 249 | M |
| 20201001 | FCX | 0 | 246 | 259 | M |
| 20201001 | FDS | 0 | 171 | 237 | M |
| 20201001 | FDX | 0 | 202 | 588 | M |
| 20201001 | FFG | 0 | 100 | 100 | M |
| 20201001 | FFIV | 0 | 248 | 248 | M |
| 20201001 | FGEN | 0 | 100 | 100 | M |
| 20201001 | FHB | 0 | 0 | 19 | M |
| 20201001 | FICO | 0 | 6 | 100 | M |
| 20201001 | FIS | 0 | 52 | 52 | M |
| 20201001 | FIVE | 0 | 0 | 193 | M |

| Date | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201001 | FIVN | 0 | 160 | 181 | M |
| 20201001 | FIX | 0 | 0 | 100 | M |
| 20201001 | FIYY | 0 | 24 | 24 | M |
| 20201001 | FIZZ | 0 | 100 | 111 | M |
| 20201001 | FL | 0 | 262 | 132762 | M |
| 20201001 | FLDM | 0 | 0 | 50 | M |
| 20201001 | FLEX | 0 | 3 | 3 | M |
| 20201001 | FLGT | 0 | 0 | 200 | M |
| 20201001 | FLOW | 0 | 100 | 101 | M |
| 20201001 | FLR | 0 | 40 | 40 | M |
| 20201001 | FLT | 0 | 100 | 100 | M |
| 20201001 | FLXN | 0 | 100 | 100 | M |
| 20201001 | FMBI | 0 | 100 | 100 | M |
| 20201001 | FMC | 0 | 100 | 100 | M |
| 20201001 | FMTX | 0 | 100 | 100 | M |
| 20201001 | FND | 0 | 255 | 326 | M |
| 20201001 | FNF | 0 | 100 | 100 | M |
| 20201001 | FOCS | 0 | 39 | 39 | M |
| 20201001 | FOE | 0 | 8 | 16 | M |
| 20201001 | FOUR | 0 | 200 | 300 | M |
| 20201001 | FOXF | 0 | 104 | 104 | M |
| 20201001 | FRC | 0 | 183 | 283 | M |
| 20201001 | FRGI | 0 | 0 | 100 | M |
| 20201001 | FROG | 0 | 0 | 188 | M |
| 20201001 | FRPT | 0 | 200 | 200 | M |
| 20201001 | FRTA | 0 | 400 | 500 | M |
| 20201001 | FSLR | 0 | 100 | 100 | M |
| 20201001 | FSLY | 0 | 101 | 2041 | M |
| 20201001 | FTCH | 0 | 100 | 101 | M |
| 20201001 | FTDR | 0 | 400 | 185919 | M |
| 20201001 | FTHM | 0 | 101 | 101 | M |
| 20201001 | FTI | 0 | 1 | 1 | M |
| 20201001 | FTS | 0 | 375 | 385 | M |
| 20201001 | FUN | 0 | 100 | 100 | M |
| 20201001 | FUTU | 0 | 0 | 95 | M |
| 20201001 | FUV | 0 | 0 | 150 | M |
| 20201001 | FVRR | 0 | 595 | 916 | M |
| 20201001 | FWONK | 0 | 300 | 400 | M |
| 20201001 | FXI | 0 | 1900 | 139400 | M |
| 20201001 | GABC | 0 | 100 | 100 | M |
| 20201001 | GBCI | 0 | 164 | 165 | M |
| 20201001 | GCO | 0 | 15 | 15 | M |
| 20201001 | GDDY | 0 | 100 | 100 | M |
| 20201001 | GDOT | 0 | 100 | 100 | M |

| Date | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201001 | GDRX | 0 | 0 | 102 | M |
| 20201001 | GDS | 0 | 200 | 200 | M |
| 20201001 | GDX | 0 | 745 | 200446 | M |
| 20201001 | GDYN | 0 | 0 | 48 | M |
| 20201001 | GE | 0 | 2285 | 3205 | M |
| 20201001 | GEF | 0 | 100 | 200 | M |
| 20201001 | GEN | 0 | 1400 | 2400 | M |
| 20201001 | GGG | 0 | 41 | 41 | M |
| 20201001 | GHC | 0 | 20 | 20 | M |
| 20201001 | GIB | 0 | 0 | 200 | M |
| 20201001 | GILD | 0 | 35 | 149 | M |
| 20201001 | GKOS | 0 | 100 | 107 | M |
| 20201001 | GLD | 0 | 56 | 621170 | M |
| 20201001 | GLOB | 0 | 100 | 100 | M |
| 20201001 | GLOP | 0 | 100 | 200 | M |
| 20201001 | GLW | 0 | 0 | 100 | M |
| 20201001 | GM | 0 | 124 | 124 | M |
| 20201001 | GMBL | 0 | 1 | 100 | M |
| 20201001 | GNRC | 0 | 100 | 100 | M |
| 20201001 | GNTX | 0 | 0 | 300 | M |
| 20201001 | GNW | 58 | 60 | 61 | M |
| 20201001 | GO | 0 | 200 | 200 | M |
| 20201001 | GOGO | 0 | 2 | 8 | M |
| 20201001 | GOL | 0 | 13 | 13 | M |
| 20201001 | GOLD | 0 | 0 | 7600 | M |
| 20201001 | GOOD | 0 | 0 | 100 | M |
| 20201001 | GOOG | 1 | 1318 | 112870 | M |
| 20201001 | GOOGL | 0 | 8 | 2108 | M |
| 20201001 | GP | 0 | 186 | 186 | M |
| 20201001 | GPC | 0 | 2 | 2 | M |
| 20201001 | GPS | 0 | 0 | 13610 | M |
| 20201001 | GRA | 0 | 0 | 200 | M |
| 20201001 | GRIF | 0 | 0 | 6 | M |
| 20201001 | GRMN | 0 | 200 | 200 | M |
| 20201001 | GRVY | 0 | 48 | 58 | M |
| 20201001 | GS | 0 | 0 | 48 | M |
| 20201001 | GSHD | 95 | 95 | 95 | M |
| 20201001 | GSX | 0 | 634 | 80154 | M |
| 20201001 | GTH | 0 | 600 | 900 | M |
| 20201001 | GTHX | 0 | 3 | 3 | M |
| 20201001 | GTLS | 0 | 102 | 102 | M |
| 20201001 | GTS | 0 | 300 | 300 | M |
| 20201001 | GWB | 0 | 1 | 1 | M |
| 20201001 | GWPH | 0 | 125 | 125 | M |

| Date | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201001 | GWW | 0 | 81 | 81 | M |
| 20201001 | HA | 0 | 100 | 600 | M |
| 20201001 | HAE | 0 | 0 | 100 | M |
| 20201001 | HAL | 0 | 100 | 300 | M |
| 20201001 | HALO | 0 | 15 | 66 | M |
| 20201001 | HARP | 0 | 13 | 13 | M |
| 20201001 | HAS | 0 | 100 | 100 | M |
| 20201001 | HBI | 0 | 31 | 33 | M |
| 20201001 | HBIO | 0 | 0 | 1 | M |
| 20201001 | HCA | 0 | 412 | 412 | M |
| 20201001 | HCCO | 0 | 0 | 100 | M |
| 20201001 | HCSG | 0 | 0 | 11 | M |
| 20201001 | HD | 0 | 132 | 162 | M |
| 20201001 | HDB | 0 | 93 | 93 | M |
| 20201001 | HDS | 0 | 0 | 1 | M |
| 20201001 | HEI A | 0 | 100 | 100 | M |
| 20201001 | HELE | 0 | 0 | 85 | M |
| 20201001 | HES | 0 | 80 | 80 | M |
| 20201001 | HFC | 0 | 200 | 200 | M |
| 20201001 | HGEN | 0 | 48 | 89 | M |
| 20201001 | HHC | 0 | 100 | 100 | M |
| 20201001 | HHR | 0 | 0 | 100 | M |
| 20201001 | HIG | 0 | 9 | 9 | M |
| 20201001 | HII | 0 | 42 | 100 | M |
| 20201001 | HL | 0 | 3 | 4 | M |
| 20201001 | HLF | 0 | 7 | 7 | M |
| 20201001 | HLG | 0 | 4 | 4 | M |
| 20201001 | HLT | 0 | 555 | 753 | M |
| 20201001 | HOFV | 0 | 100 | 100 | M |
| 20201001 | HOG | 0 | 200 | 200 | M |
| 20201001 | HOMB | 0 | 3 | 3 | M |
| 20201001 | HOME | 0 | 100 | 100 | M |
| 20201001 | HON | 0 | 0 | 1 | M |
| 20201001 | HOV | 0 | 0 | 98 | M |
| 20201001 | HPE | 0 | 204 | 206 | M |
| 20201001 | HPP | 0 | 100 | 100 | M |
| 20201001 | HPQ | 0 | 132 | 741 | M |
| 20201001 | HQY | 0 | 200 | 200 | M |
| 20201001 | HRC | 0 | 0 | 100 | M |
| 20201001 | HRTX | 0 | 50 | 150 | M |
| 20201001 | HSIC | 0 | 200 | 200 | M |
| 20201001 | HSKA | 0 | 3 | 3 | M |
| 20201001 | HST | 0 | 0 | 100 | M |
| 20201001 | HSY | 0 | 300 | 400 | M |

| Date | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201001 | HTA | 0 | 200 | 200 | M |
| 20201001 | HUBB | 0 | 100 | 100 | M |
| 20201001 | HUM | 0 | 11 | 17 | M |
| 20201001 | HUYA | 0 | 100 | 125 | M |
| 20201001 | HWC | 0 | 17 | 17 | M |
| 20201001 | HWM | 0 | 100 | 100 | M |
| 20201001 | HXL | 0 | 200 | 200 | M |
| 20201001 | HYG | 0 | 14666 | 944467 | M |
| 20201001 | IAA | 0 | 25 | 75 | M |
| 20201001 | IAC | 0 | 2 | 3 | M |
| 20201001 | IART | 0 | 101 | 101 | M |
| 20201001 | IAU | 0 | 100 | 100 | M |
| 20201001 | IBIO | 0 | 4 | 4 | M |
| 20201001 | IBKR | 0 | 400 | 400 | M |
| 20201001 | IBM | 0 | 2 | 2 | M |
| 20201001 | IBN | 0 | 0 | 1 | M |
| 20201001 | IBOC | 0 | 0 | 1 | M |
| 20201001 | ICHR | 0 | 0 | 1 | M |
| 20201001 | ICLR | 0 | 100 | 100 | M |
| 20201001 | IDE | 0 | 100 | 100 | M |
| 20201001 | IDXX | 0 | 629 | 1154 | M |
| 20201001 | IEF | 0 | 26 | 33 | M |
| 20201001 | IEFA | 0 | 0 | 33 | M |
| 20201001 | IEX | 0 | 123 | 126 | M |
| 20201001 | IFF | 0 | 77 | 77 | M |
| 20201001 | IHRT | 0 | 100 | 100 | M |
| 20201001 | IIIV | 0 | 152 | 420 | M |
| 20201001 | IIPR | 0 | 414 | 444 | M |
| 20201001 | IJH | 0 | 104 | 384 | M |
| 20201001 | IJR | 0 | 1 | 1 | M |
| 20201001 | ILMN | 0 | 105 | 200401 | M |
| 20201001 | IMAX | 0 | 0 | 100 | M |
| 20201001 | IMBI | 0 | 100 | 100 | M |
| 20201001 | IMMU | 0 | 0 | 100 | M |
| 20201001 | IMTX | 0 | 100 | 100 | M |
| 20201001 | INDA | 0 | 7173 | 9473 | M |
| 20201001 | INFO | 0 | 800 | 800 | M |
| 20201001 | INO | 0 | 199 | 199 | M |
| 20201001 | INOV | 0 | 0 | 40 | M |
| 20201001 | INSM | 0 | 104 | 104 | M |
| 20201001 | INSP | 0 | 55 | 55 | M |
| 20201001 | INTC | 0 | 8590 | 219021 | M |
| 20201001 | INTU | 0 | 20 | 20 | M |
| 20201001 | INZY | 0 | 271 | 271 | M |

| Date | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201001 | IONS | 0 | 40 | 200 | M |
| 20201001 | IOSP | 0 | 64 | 100 | M |
| 20201001 | IP | 0 | 830 | 830 | M |
| 20201001 | IPG | 0 | 200 | 200 | M |
| 20201001 | IPGP | 0 | 281 | 281 | M |
| 20201001 | IPI | 0 | 35 | 2535 | M |
| 20201001 | IQ | 0 | 4 | 8 | M |
| 20201001 | IQV | 0 | 131 | 235 | M |
| 20201001 | IR | 0 | 100 | 100 | M |
| 20201001 | IRTC | 0 | 204 | 404 | M |
| 20201001 | ISBC | 0 | 2 | 2 | M |
| 20201001 | ISRG | 0 | 185 | 185 | M |
| 20201001 | ITCI | 0 | 0 | 87 | M |
| 20201001 | ITGR | 0 | 0 | 2 | M |
| 20201001 | ITOS | 0 | 1 | 1 | M |
| 20201001 | ITUB | 0 | 228 | 632 | M |
| 20201001 | ITW | 19 | 19 | 19 | M |
| 20201001 | IVV | 0 | 67031 | 80104 | M |
| 20201001 | IWM | 0 | 16288 | 471455 | M |
| 20201001 | IWN | 0 | 1 | 1 | M |
| 20201001 | IXUS | 0 | 33 | 33 | M |
| 20201001 | IYR | 0 | 0 | 100 | M |
| 20201001 | JAN | 0 | 0 | 100 | M |
| 20201001 | JAZZ | 0 | 80 | 162 | M |
| 20201001 | JBGS | 0 | 110 | 110 | M |
| 20201001 | JBHT | 0 | 102 | 102 | M |
| 20201001 | JBL | 0 | 100 | 100 | M |
| 20201001 | JBSS | 0 | 48 | 48 | M |
| 20201001 | JCI | 0 | 109 | 1009 | M |
| 20201001 | JD | 0 | 50 | 108192 | M |
| 20201001 | JDST | 0 | 0 | 1 | M |
| 20201001 | JE | 0 | 125 | 954 | M |
| 20201001 | JEF | 0 | 61 | 100 | M |
| 20201001 | JFIN | 0 | 12 | 23 | M |
| 20201001 | JHG | 0 | 100 | 200 | M |
| 20201001 | JJN | 0 | 95 | 95 | M |
| 20201001 | JKS | 0 | 899 | 29099 | M |
| 20201001 | JLL | 0 | 24 | 24 | M |
| 20201001 | JNCE | 0 | 100 | 200 | M |
| 20201001 | JNJ | 0 | 501 | 551 | M |
| 20201001 | JOE | 0 | 2 | 2 | M |
| 20201001 | JOUT | 0 | 7 | 7 | M |
| 20201001 | JPM | 0 | 100 | 13802 | M |
| 20201001 | JRVR | 0 | 74 | 74 | M |

| Date | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201001 | JWN | 0 | 2 | 114252 | M |
| 20201001 | K | 0 | 400 | 404 | M |
| 20201001 | KAI | 0 | 100 | 100 | M |
| 20201001 | KALU | 0 | 69 | 69 | M |
| 20201001 | KAR | 0 | 101 | 101 | M |
| 20201001 | KBH | 0 | 200 | 200 | M |
| 20201001 | KBNT | 0 | 5 | 180 | M |
| 20201001 | KDP | 0 | 89 | 98 | M |
| 20201001 | KEY | 0 | 0 | 10 | M |
| 20201001 | KGC | 0 | 203 | 503 | M |
| 20201001 | KHC | 0 | 210 | 410 | M |
| 20201001 | KIRK | 0 | 503 | 503 | M |
| 20201001 | KLAC | 0 | 100 | 100 | M |
| 20201001 | KMB | 0 | 70 | 17570 | M |
| 20201001 | KMDA | 0 | 0 | 100 | M |
| 20201001 | KMI | 0 | 49 | 81 | M |
| 20201001 | KMPR | 0 | 116 | 116 | M |
| 20201001 | KMX | 0 | 100 | 200 | M |
| 20201001 | KNSL | 0 | 38 | 45 | M |
| 20201001 | KNX | 0 | 54 | 54 | M |
| 20201001 | KO | 0 | 35 | 12588 | M |
| 20201001 | KOD | 0 | 300 | 300 | M |
| 20201001 | KODK | 0 | 0 | 3 | M |
| 20201001 | KPTI | 0 | 200 | 200 | M |
| 20201001 | KR | 0 | 4 | 57822 | M |
| 20201001 | KRE | 0 | 0 | 301100 | M |
| 20201001 | KRMD | 0 | 410 | 410 | M |
| 20201001 | KRNT | 0 | 51 | 51 | M |
| 20201001 | KRP | 0 | 0 | 100 | M |
| 20201001 | KRYS | 0 | 1 | 1 | M |
| 20201001 | KSS | 0 | 0 | 125000 | M |
| 20201001 | KSU | 0 | 0 | 40 | M |
| 20201001 | KTB | 0 | 5 | 5 | M |
| 20201001 | KTOV | 0 | 45 | 45 | M |
| 20201001 | KURA | 0 | 97 | 97 | M |
| 20201001 | KYMR | 0 | 8 | 8 | M |
| 20201001 | KZR | 0 | 100 | 100 | M |
| 20201001 | LAC | 0 | 200 | 300 | M |
| 20201001 | LAD | 0 | 40 | 40 | M |
| 20201001 | LANC | 0 | 0 | 2 | M |
| 20201001 | LAUR | 0 | 0 | 100 | M |
| 20201001 | LB | 0 | 183 | 186 | M |
| 20201001 | LBRDK | 0 | 62 | 62 | M |
| 20201001 | LBTYK | 0 | 0 | 45 | M |

| Date | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201001 | LEA | 0 | 1 | 102 | M |
| 20201001 | LECO | 0 | 2 | 2 | M |
| 20201001 | LEG | 0 | 300 | 300 | M |
| 20201001 | LEGN | 0 | 4 | 4 | M |
| 20201001 | LEN | 0 | 78 | 178 | M |
| 20201001 | LEN B | 0 | 0 | 192 | M |
| 20201001 | LGIH | 0 | 5 | 205 | M |
| 20201001 | LGND | 0 | 5 | 5 | M |
| 20201001 | LH | 0 | 85 | 85 | M |
| 20201001 | LHCG | 0 | 101 | 101 | M |
| 20201001 | LHX | 0 | 100 | 545 | M |
| 20201001 | LI | 0 | 100 | 200 | M |
| 20201001 | LII | 0 | 23 | 23 | M |
| 20201001 | LILAK | 0 | 0 | 20 | M |
| 20201001 | LITE | 0 | 100 | 100 | M |
| 20201001 | LIVN | 0 | 100 | 100 | M |
| 20201001 | LIZI | 0 | 150 | 150 | M |
| 20201001 | LKQ | 0 | 0 | 74 | M |
| 20201001 | LL | 0 | 0 | 7 | M |
| 20201001 | LLY | 0 | 0 | 200 | M |
| 20201001 | LMND | 0 | 100 | 200 | M |
| 20201001 | LMT | 0 | 704 | 807 | M |
| 20201001 | LNC | 0 | 0 | 29884 | M |
| 20201001 | LNG | 0 | 0 | 1 | M |
| 20201001 | LNN | 0 | 1 | 1 | M |
| 20201001 | LOGC | 0 | 100 | 100 | M |
| 20201001 | LOGI | 0 | 0 | 100 | M |
| 20201001 | LOVE | 0 | 100 | 100 | M |
| 20201001 | LPRO | 0 | 592 | 881 | M |
| 20201001 | LPTH | 0 | 5 | 5 | M |
| 20201001 | LQD | 0 | 0 | 122 | M |
| 20201001 | LRCX | 21 | 364 | 842 | M |
| 20201001 | LRN | 0 | 100 | 100 | M |
| 20201001 | LSF | 0 | 103 | 103 | M |
| 20201001 | LSXMK | 0 | 839 | 839 | M |
| 20201001 | LTRN | 0 | 4 | 4 | M |
| 20201001 | LUB | 0 | 821 | 1192 | M |
| 20201001 | LUMN | 0 | 119 | 119 | M |
| 20201001 | LUNG | 0 | 0 | 1600 | M |
| 20201001 | LVGO | 0 | 0 | 8 | M |
| 20201001 | LVS | 0 | 7 | 20910 | M |
| 20201001 | LW | 0 | 51 | 729 | M |
| 20201001 | LXU | 0 | 100 | 300 | M |
| 20201001 | LYB | 0 | 50 | 200 | M |

| Date | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201001 | LYTS | 0 | 0 | 3 | M |
| 20201001 | LZB | 0 | 2 | 3 | M |
| 20201001 | M | 0 | 286 | 286 | M |
| 20201001 | MA | 0 | 103 | 4111 | M |
| 20201001 | MAA | 0 | 232 | 341 | M |
| 20201001 | MAC | 0 | 0 | 22 | M |
| 20201001 | MANH | 0 | 1 | 1 | M |
| 20201001 | MANU | 0 | 0 | 1 | M |
| 20201001 | MAR | 0 | 927 | 22330 | M |
| 20201001 | MAS | 0 | 0 | 100 | M |
| 20201001 | MASI | 0 | 71 | 71 | M |
| 20201001 | MAT | 0 | 108 | 108 | M |
| 20201001 | MAXN | 0 | 100 | 100 | M |
| 20201001 | MBII | 0 | 0 | 100 | M |
| 20201001 | MBUU | 0 | 0 | 100 | M |
| 20201001 | MC | 0 | 0 | 1 | M |
| 20201001 | MCD | 0 | 135 | 139 | M |
| 20201001 | MCK | 0 | 111 | 111 | M |
| 20201001 | MCO | 0 | 17 | 117 | M |
| 20201001 | MCRB | 0 | 100 | 200 | M |
| 20201001 | MCS | 0 | 100 | 100 | M |
| 20201001 | MD | 0 | 101 | 101 | M |
| 20201001 | MDB | 0 | 1 | 61 | M |
| 20201001 | MDGL | 0 | 821 | 884 | M |
| 20201001 | MDLA | 0 | 1 | 1 | M |
| 20201001 | MDLZ | 0 | 304 | 307 | M |
| 20201001 | MDT | 0 | 802 | 904 | M |
| 20201001 | MDY | 0 | 3590 | 4703 | M |
| 20201001 | MED | 0 | 100 | 195 | M |
| 20201001 | MEDP | 9 | 98 | 109 | M |
| 20201001 | MEI | 0 | 100 | 100 | M |
| 20201001 | MELI | 0 | 235 | 336 | M |
| 20201001 | MET | 0 | 0 | 100 | M |
| 20201001 | MFA | 0 | 0 | 35 | M |
| 20201001 | MGA | 0 | 1317 | 1474 | M |
| 20201001 | MGM | 0 | 428 | 551 | M |
| 20201001 | MGNI | 0 | 0 | 400 | M |
| 20201001 | MGNX | 0 | 100 | 100 | M |
| 20201001 | MGP | 0 | 100 | 100 | M |
| 20201001 | MHK | 0 | 100 | 101 | M |
| 20201001 | MHO | 0 | 12 | 12 | M |
| 20201001 | MICT | 0 | 0 | 200 | M |
| 20201001 | MIDD | 0 | 1 | 2 | M |
| 20201001 | MIME | 0 | 4 | 4 | M |

| Date | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201001 | MITK | 0 | 100 | 100 | M |
| 20201001 | MKL | 0 | 2 | 1704 | M |
| 20201001 | MKSI | 1 | 1 | 1 | M |
| 20201001 | MKTX | 0 | 248 | 248 | M |
| 20201001 | MLM | 0 | 6 | 6 | M |
| 20201001 | MMI | 0 | 0 | 4 | M |
| 20201001 | MMM | 0 | 0 | 82 | M |
| 20201001 | MMP | 21 | 21 | 21 | M |
| 20201001 | MNRL | 0 | 100 | 100 | M |
| 20201001 | MNRO | 0 | 100 | 100 | M |
| 20201001 | MOH | 0 | 136 | 136 | M |
| 20201001 | MOM | 0 | 9 | 9 | M |
| 20201001 | MORN | 0 | 72 | 172 | M |
| 20201001 | MOV | 0 | 100 | 100 | M |
| 20201001 | MPC | 0 | 300 | 5300 | M |
| 20201001 | MPW | 0 | 35 | 336 | M |
| 20201001 | MPWR | 0 | 0 | 1 | M |
| 20201001 | MRCY | 0 | 12 | 14 | M |
| 20201001 | MRK | 0 | 0 | 502 | M |
| 20201001 | MRNA | 0 | 339 | 910 | M |
| 20201001 | MRNS | 0 | 0 | 100 | M |
| 20201001 | MRTX | 0 | 1 | 95 | M |
| 20201001 | MRVL | 0 | 0 | 430 | M |
| 20201001 | MS | 0 | 13 | 329 | M |
| 20201001 | MSCI | 0 | 117 | 117 | M |
| 20201001 | MSFT | 10 | 1082 | 147922 | M |
| 20201001 | MSGE | 0 | 0 | 100 | M |
| 20201001 | MSGS | 0 | 0 | 89 | M |
| 20201001 | MSI | 0 | 300 | 300 | M |
| 20201001 | MTB | 0 | 100 | 19600 | M |
| 20201001 | MTCH | 200 | 2294 | 2394 | M |
| 20201001 | MTCR | 0 | 2 | 2 | M |
| 20201001 | MTD | 0 | 126 | 150 | M |
| 20201001 | MTDR | 0 | 1193 | 1309 | M |
| 20201001 | MTH | 0 | 168 | 369 | M |
| 20201001 | MTN | 0 | 100 | 100 | M |
| 20201001 | MTRX | 0 | 89 | 89 | M |
| 20201001 | MTSI | 0 | 58 | 60 | M |
| 20201001 | MTZ | 0 | 1 | 1 | M |
| 20201001 | MU | 0 | 539 | 22793 | M |
| 20201001 | MUR | 0 | 200 | 200 | M |
| 20201001 | MUSA | 0 | 100 | 100 | M |
| 20201001 | MWA | 0 | 0 | 15 | M |
| 20201001 | MYL | 0 | 9460 | 11712 | M |

| Date | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201001 | MYOV | 0 | 100 | 100 | M |
| 20201001 | MYRG | 0 | 1 | 1 | M |
| 20201001 | MYSZ | 0 | 100 | 100 | M |
| 20201001 | NARI | 0 | 2 | 2 | M |
| 20201001 | NAV | 0 | 0 | 350300 | M |
| 20201001 | NBL | 1 | 1 | 1 | M |
| 20201001 | NBR | 0 | 100 | 100 | M |
| 20201001 | NCLH | 0 | 107 | 307 | M |
| 20201001 | NCNO | 0 | 0 | 1 | M |
| 20201001 | NDAQ | 0 | 100 | 100 | M |
| 20201001 | NDLS | 0 | 200 | 200 | M |
| 20201001 | NDSN | 0 | 4 | 4 | M |
| 20201001 | NEM | 0 | 200 | 500 | M |
| 20201001 | NEOG | 0 | 2 | 2 | M |
| 20201001 | NETE | 100 | 100 | 100 | M |
| 20201001 | NEXT | 0 | 100 | 200 | M |
| 20201001 | NFE | 0 | 25 | 25 | M |
| 20201001 | NFG | 0 | 103 | 103 | M |
| 20201001 | NFLX | 0 | 1065 | 240967 | M |
| 20201001 | NHI | 0 | 0 | 100 | M |
| 20201001 | NI | 0 | 123 | 205 | M |
| 20201001 | NIO | 0 | 592 | 10817 | M |
| 20201001 | NKE | 0 | 0 | 29800 | M |
| 20201001 | NKLA | 0 | 7019 | 45037 | M |
| 20201001 | NKTR | 0 | 100 | 100 | M |
| 20201001 | NKTX | 0 | 1 | 1 | M |
| 20201001 | NLS | 0 | 0 | 212 | M |
| 20201001 | NLSN | 0 | 2 | 13 | M |
| 20201001 | NLY | 0 | 100 | 100 | M |
| 20201001 | NMIH | 0 | 1 | 1 | M |
| 20201001 | NMTR | 0 | 100 | 100 | M |
| 20201001 | NNN | 0 | 100 | 100 | M |
| 20201001 | NNOX | 0 | 0 | 300 | M |
| 20201001 | NOAH | 0 | 100 | 100 | M |
| 20201001 | NOMD | 0 | 1169 | 2469 | M |
| 20201001 | NOV | 0 | 201 | 500 | M |
| 20201001 | NOVA | 0 | 25 | 25 | M |
| 20201001 | NOVSU | 0 | 0 | 212 | M |
| 20201001 | NOW | 0 | 859 | 884 | M |
| 20201001 | NRGX | 0 | 0 | 60 | M |
| 20201001 | NSA | 0 | 0 | 100 | M |
| 20201001 | NSC | 0 | 0 | 300 | M |
| 20201001 | NSIT | 0 | 100 | 100 | M |
| 20201001 | NSTG | 0 | 100 | 100 | M |

| Date | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201001 | NTAP | 0 | 50 | 50 | M |
| 20201001 | NTES | 0 | 100 | 100 | M |
| 20201001 | NTR | 0 | 0 | 75 | M |
| 20201001 | NTRA | 0 | 100 | 300 | M |
| 20201001 | NTRS | 0 | 100 | 100 | M |
| 20201001 | NTST | 0 | 6 | 6 | M |
| 20201001 | NUAN | 0 | 1 | 1 | M |
| 20201001 | NUE | 0 | 300 | 300 | M |
| 20201001 | NUS | 0 | 1 | 3 | M |
| 20201001 | NUVA | 0 | 49 | 49 | M |
| 20201001 | NVAX | 0 | 161 | 361 | M |
| 20201001 | NVCR | 0 | 107 | 107 | M |
| 20201001 | NVDA | 0 | 103 | 230 | M |
| 20201001 | NVR | 0 | 72 | 89 | M |
| 20201001 | NVST | 0 | 100 | 200 | M |
| 20201001 | NVTA | 0 | 0 | 398 | M |
| 20201001 | NWE | 0 | 320 | 320 | M |
| 20201001 | NWLI | 0 | 11 | 44 | M |
| 20201001 | NWS | 0 | 100 | 100 | M |
| 20201001 | NXGN | 0 | 2 | 12 | M |
| 20201001 | NXPI | 0 | 400 | 400 | M |
| 20201001 | NXRT | 0 | 0 | 100 | M |
| 20201001 | NYT | 0 | 600 | 600 | M |
| 20201001 | O | 0 | 350 | 600 | M |
| 20201001 | OCFC | 0 | 2 | 2 | M |
| 20201001 | ODFL | 0 | 11 | 11 | M |
| 20201001 | OFIX | 0 | 100 | 100 | M |
| 20201001 | OFLX | 0 | 2 | 2 | M |
| 20201001 | OIH | 0 | 6 | 6 | M |
| 20201001 | OKTA | 0 | 200 | 300 | M |
| 20201001 | OLED | 0 | 290 | 390 | M |
| 20201001 | OMER | 0 | 100 | 200 | M |
| 20201001 | ON | 0 | 0 | 855108 | M |
| 20201001 | ONEM | 0 | 100 | 112 | M |
| 20201001 | OPK | 0 | 242 | 242 | M |
| 20201001 | OPRA | 0 | 0 | 100 | M |
| 20201001 | OR | 0 | 100 | 100 | M |
| 20201001 | ORA | 0 | 20 | 20 | M |
| 20201001 | ORCL | 0 | 103 | 90203 | M |
| 20201001 | ORMP | 0 | 100 | 100 | M |
| 20201001 | OSB | 0 | 10 | 100 | M |
| 20201001 | OSH | 0 | 12 | 12 | M |
| 20201001 | OSK | 0 | 4 | 4 | M |
| 20201001 | OSTK | 0 | 200 | 400 | M |

| Date | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201001 | OSW | 0 | 10 | 10 | M |
| 20201001 | OTIS | 0 | 0 | 100 | M |
| 20201001 | OVLY | 0 | 98 | 99 | M |
| 20201001 | OVV | 1 | 134 | 381 | M |
| 20201001 | OXBR | 0 | 0 | 100 | M |
| 20201001 | OXM | 0 | 6 | 6 | M |
| 20201001 | OXY | 0 | 10 | 25 | M |
| 20201001 | OYST | 0 | 100 | 100 | M |
| 20201001 | PAA | 0 | 0 | 300 | M |
| 20201001 | PACB | 0 | 0 | 100 | M |
| 20201001 | PACW | 0 | 0 | 100 | M |
| 20201001 | PAE | 0 | 433 | 733 | M |
| 20201001 | PAG | 0 | 100 | 232 | M |
| 20201001 | PAGP | 0 | 0 | 20 | M |
| 20201001 | PAM | 0 | 0 | 100 | M |
| 20201001 | PAND | 0 | 0 | 8 | M |
| 20201001 | PASG | 0 | 10 | 10 | M |
| 20201001 | PAYC | 47 | 281 | 295 | M |
| 20201001 | PAYX | 0 | 100 | 100 | M |
| 20201001 | PBA | 0 | 0 | 100 | M |
| 20201001 | PBCT | 0 | 500 | 500 | M |
| 20201001 | PBI | 0 | 8 | 8 | M |
| 20201001 | PBR | 0 | 253 | 496771 | M |
| 20201001 | PCAR | 0 | 100 | 100 | M |
| 20201001 | PCG | 0 | 51 | 221 | M |
| 20201001 | PCH | 0 | 0 | 6 | M |
| 20201001 | PCRX | 0 | 2 | 2 | M |
| 20201001 | PCTY | 0 | 200 | 300 | M |
| 20201001 | PCVX | 0 | 700 | 1500 | M |
| 20201001 | PDCE | 0 | 0 | 100 | M |
| 20201001 | PDCO | 0 | 100 | 100 | M |
| 20201001 | PDD | 0 | 100 | 17600 | M |
| 20201001 | PEB | 0 | 0 | 144 | M |
| 20201001 | PECK | 0 | 0 | 410 | M |
| 20201001 | PEI | 0 | 0 | 300 | M |
| 20201001 | PEIX | 0 | 1990 | 1990 | M |
| 20201001 | PEN | 0 | 94 | 200 | M |
| 20201001 | PENN | 0 | 50 | 90 | M |
| 20201001 | PEP | 0 | 0 | 28100 | M |
| 20201001 | PFE | 17 | 632 | 104692 | M |
| 20201001 | PFF | 0 | 1 | 1 | M |
| 20201001 | PFMT | 0 | 115 | 115 | M |
| 20201001 | PFPT | 0 | 0 | 74 | M |
| 20201001 | PG | 0 | 449 | 3299 | M |

| Date | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201001 | PGNY | 100 | 100 | 200 | M |
| 20201001 | PGTI | 0 | 1 | 1 | M |
| 20201001 | PH | 0 | 0 | 69 | M |
| 20201001 | PHR | 0 | 0 | 100 | M |
| 20201001 | PI | 0 | 88 | 89 | M |
| 20201001 | PII | 0 | 200 | 15800 | M |
| 20201001 | PINS | 0 | 200 | 386312 | M |
| 20201001 | PKG | 0 | 110 | 119 | M |
| 20201001 | PKI | 0 | 72 | 85 | M |
| 20201001 | PLAN | 0 | 0 | 600 | M |
| 20201001 | PLAY | 0 | 0 | 17600 | M |
| 20201001 | PLL | 0 | 100 | 101 | M |
| 20201001 | PLMR | 0 | 0 | 14 | M |
| 20201001 | PLNT | 0 | 125 | 2325 | M |
| 20201001 | PLRX | 0 | 302 | 403 | M |
| 20201001 | PLTR | 0 | 0 | 100 | M |
| 20201001 | PM | 0 | 100 | 1104 | M |
| 20201001 | PMT | 0 | 100 | 100 | M |
| 20201001 | PMVP | 0 | 10000 | 10000 | M |
| 20201001 | PNC | 0 | 100 | 100 | M |
| 20201001 | PNR | 0 | 0 | 200 | M |
| 20201001 | PNW | 0 | 60 | 60 | M |
| 20201001 | PODD | 0 | 18 | 78 | M |
| 20201001 | POOL | 0 | 0 | 133 | M |
| 20201001 | POR | 0 | 0 | 2 | M |
| 20201001 | POST | 0 | 3 | 3 | M |
| 20201001 | POWI | 0 | 80 | 180 | M |
| 20201001 | PPC | 0 | 100 | 100 | M |
| 20201001 | PPD | 0 | 0 | 100000 | M |
| 20201001 | PPG | 0 | 210 | 223 | M |
| 20201001 | PPL | 0 | 102 | 202 | M |
| 20201001 | PQG | 0 | 0 | 200 | M |
| 20201001 | PRAA | 0 | 100 | 100 | M |
| 20201001 | PRAH | 0 | 67 | 67 | M |
| 20201001 | PRFT | 0 | 0 | 1 | M |
| 20201001 | PRI | 0 | 0 | 54 | M |
| 20201001 | PRK | 0 | 100 | 165 | M |
| 20201001 | PRLB | 0 | 104 | 106 | M |
| 20201001 | PRSP | 0 | 215 | 316 | M |
| 20201001 | PRVL | 0 | 0 | 100 | M |
| 20201001 | PS | 0 | 100 | 200 | M |
| 20201001 | PSA | 0 | 100 | 100 | M |
| 20201001 | PSB | 0 | 20 | 20 | M |
| 20201001 | PSTG | 0 | 100 | 200 | M |

| Date | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201001 | PSTI | 0 | 120 | 120 | M |
| 20201001 | PSX | 0 | 200 | 300 | M |
| 20201001 | PTC | 0 | 20 | 20 | M |
| 20201001 | PTON | 0 | 2505 | 8735 | M |
| 20201001 | PUMP | 0 | 0 | 10 | M |
| 20201001 | PVG | 0 | 13 | 13 | M |
| 20201001 | PYPL | 20 | 134 | 7756 | M |
| 20201001 | QADA | 0 | 0 | 1 | M |
| 20201001 | QADB | 0 | 0 | 1 | M |
| 20201001 | QCOM | 0 | 222 | 75559 | M |
| 20201001 | QDEL | 0 | 56 | 129 | M |
| 20201001 | QGEN | 0 | 1 | 8401 | M |
| 20201001 | QLD | 0 | 42871 | 65509 | M |
| 20201001 | QLYS | 0 | 100 | 100 | M |
| 20201001 | QQQ | 0 | 113431 | 850027 | M |
| 20201001 | QRTEA | 0 | 23 | 24 | M |
| 20201001 | QRVO | 0 | 100 | 100 | M |
| 20201001 | QTWO | 0 | 0 | 3 | M |
| 20201001 | RACA | 0 | 0 | 100 | M |
| 20201001 | RACE | 0 | 0 | 99 | M |
| 20201001 | RAD | 0 | 100 | 1400 | M |
| 20201001 | RAMP | 0 | 0 | 100 | M |
| 20201001 | RARE | 0 | 100 | 100 | M |
| 20201001 | RCEL | 0 | 19 | 19 | M |
| 20201001 | RCI | 0 | 439 | 805 | M |
| 20201001 | RCKT | 0 | 100 | 100 | M |
| 20201001 | RCL | 0 | 100 | 200 | M |
| 20201001 | RDFN | 80 | 80 | 80 | M |
| 20201001 | RE | 0 | 40 | 3540 | M |
| 20201001 | REAL | 0 | 200 | 200 | M |
| 20201001 | REGI | 0 | 100 | 20900 | M |
| 20201001 | REGN | 0 | 100 | 101 | M |
| 20201001 | REKR | 0 | 124 | 124 | M |
| 20201001 | RETA | 0 | 53 | 53 | M |
| 20201001 | REYN | 0 | 300 | 300 | M |
| 20201001 | RF | 0 | 100 | 100 | M |
| 20201001 | RGA | 0 | 405 | 702 | M |
| 20201001 | RGEN | 0 | 26 | 28 | M |
| 20201001 | RGLD | 0 | 1 | 2 | M |
| 20201001 | RGNX | 0 | 102 | 202 | M |
| 20201001 | RH | 0 | 0 | 500 | M |
| 20201001 | RHP | 0 | 0 | 1 | M |
| 20201001 | RIO | 0 | 0 | 5 | M |
| 20201001 | RKT | 0 | 186 | 286 | M |

| Date | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201001 | RL | 0 | 1 | 101 | M |
| 20201001 | RLMD | 0 | 4 | 4 | M |
| 20201001 | RMD | 0 | 124 | 11954 | M |
| 20201001 | RNA | 0 | 1 | 1 | M |
| 20201001 | RNG | 0 | 374 | 374 | M |
| 20201001 | RNLX | 0 | 348 | 722 | M |
| 20201001 | ROIC | 0 | 100 | 100 | M |
| 20201001 | ROKU | 0 | 421 | 23120 | M |
| 20201001 | ROP | 0 | 187 | 187 | M |
| 20201001 | RP | 0 | 133 | 133 | M |
| 20201001 | RPD | 0 | 141 | 141 | M |
| 20201001 | RPM | 0 | 0 | 1 | M |
| 20201001 | RPRX | 0 | 534 | 734 | M |
| 20201001 | RRBI | 0 | 4 | 4 | M |
| 20201001 | RRC | 0 | 100 | 100 | M |
| 20201001 | RSG | 0 | 40 | 40 | M |
| 20201001 | RSX | 0 | 18 | 218 | M |
| 20201001 | RTX | 0 | 0 | 2 | M |
| 20201001 | RUN | 0 | 200 | 300 | M |
| 20201001 | RUSHB | 0 | 46 | 46 | M |
| 20201001 | RVLV | 0 | 0 | 300 | M |
| 20201001 | RXN | 0 | 50 | 50 | M |
| 20201001 | RYAM | 0 | 0 | 114 | M |
| 20201001 | SA | 0 | 100 | 100 | M |
| 20201001 | SABR | 0 | 8 | 8 | M |
| 20201001 | SAFE | 0 | 0 | 22 | M |
| 20201001 | SAGE | 0 | 0 | 60 | M |
| 20201001 | SAH | 0 | 0 | 1 | M |
| 20201001 | SAIL | 0 | 0 | 3 | M |
| 20201001 | SAM | 0 | 101 | 389 | M |
| 20201001 | SANM | 0 | 0 | 103 | M |
| 20201001 | SAVA | 0 | 0 | 200 | M |
| 20201001 | SBAC | 0 | 130 | 130 | M |
| 20201001 | SBGI | 0 | 0 | 200 | M |
| 20201001 | SBSW | 0 | 8 | 108 | M |
| 20201001 | SBT | 0 | 0 | 2 | M |
| 20201001 | SBUX | 0 | 17 | 5017 | M |
| 20201001 | SC | 0 | 100 | 100 | M |
| 20201001 | SCHW | 0 | 412 | 413 | M |
| 20201001 | SCI | 0 | 100 | 100 | M |
| 20201001 | SCM | 0 | 100 | 100 | M |
| 20201001 | SCSC | 0 | 5 | 57 | M |
| 20201001 | SDC | 0 | 100 | 200 | M |
| 20201001 | SDGR | 0 | 101 | 904 | M |

| Date | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20201001 | SDS | 0 | 800 | 800 | M |
| 20201001 | SE | 0 | 0 | 11600 | M |
| 20201001 | SEB | 0 | 3 | 3 | M |
| 20201001 | SEDG | 0 | 128 | 201 | M |
| 20201001 | SEE | 0 | 225 | 225 | M |
| 20201001 | SEIC | 0 | 1 | 2 | M |
| 20201001 | SELB | 0 | 0 | 500 | M |
| 20201001 | SFIX | 0 | 285 | 408 | M |
| 20201001 | SGEN | 0 | 129 | 129 | M |
| 20201001 | SGMS | 0 | 100 | 100 | M |
| 20201001 | SGRY | 0 | 100 | 100 | M |
| 20201001 | SHAK | 200 | 200 | 5450 | M |
| 20201001 | SHEN | 0 | 24 | 24 | M |
| 20201001 | SHLL WS | 0 | 0 | 93700 | M |
| 20201001 | SHO | 0 | 100 | 100 | M |
| 20201001 | SHOP | 0 | 400 | 600 | M |
| 20201001 | SHW | 0 | 247 | 263 | M |
| 20201001 | SIG | 0 | 0 | 300 | M |
| 20201001 | SILK | 0 | 100 | 100 | M |
| 20201001 | SIRI | 0 | 106 | 188 | M |
| 20201001 | SITE | 0 | 126 | 126 | M |
| 20201001 | SITM | 0 | 16 | 16 | M |
| 20201001 | SIVB | 0 | 6 | 6 | M |
| 20201001 | SJI | 0 | 0 | 200 | M |
| 20201001 | SJW | 0 | 1 | 1 | M |
| 20201001 | SLB | 0 | 162 | 97372 | M |
| 20201001 | SLF | 300 | 18439 | 22148 | M |
| 20201001 | SLG | 0 | 200 | 215 | M |
| 20201001 | SLM | 0 | 100 | 100 | M |
| 20201001 | SLP | 0 | 144 | 144 | M |
| 20201001 | SLV | 0 | 39 | 197681 | M |
| 20201001 | SMAR | 0 | 112 | 200 | M |
| 20201001 | SMG | 0 | 0 | 100 | M |
| 20201001 | SMPL | 0 | 3 | 3 | M |
| 20201001 | SNAP | 0 | 472 | 802 | M |
| 20201001 | SNOW | 0 | 226 | 1506 | M |
| 20201001 | SNPS | 0 | 89 | 89 | M |
| 20201001 | SNV | 0 | 1 | 1 | M |
| 20201001 | SNX | 0 | 100 | 100 | M |
| 20201001 | SON | 0 | 100 | 100 | M |
| 20201001 | SPB | 0 | 2 | 2 | M |
| 20201001 | SPCE | 0 | 15 | 25 | M |
| 20201001 | SPGI | 0 | 157 | 257 | M |
| 20201001 | SPH | 0 | 100 | 100 | M |

| Date | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201001 | SPI | 0 | 0 | 200 | M |
| 20201001 | SPOT | 0 | 305 | 10605 | M |
| 20201001 | SPPI | 0 | 0 | 45 | M |
| 20201001 | SPR | 0 | 0 | 57 | M |
| 20201001 | SPRT | 0 | 0 | 100 | M |
| 20201001 | SPSC | 0 | 54 | 54 | M |
| 20201001 | SPT | 0 | 339 | 339 | M |
| 20201001 | SPTN | 0 | 100 | 100 | M |
| 20201001 | SPWR | 0 | 1020 | 1020 | M |
| 20201001 | SPXL | 0 | 66261 | 78654 | M |
| 20201001 | SPXS | 0 | 0 | 341 | M |
| 20201001 | SPXU | 0 | 6000 | 6000 | M |
| 20201001 | SPY | 3014 | 480543 | 1303883 | M |
| 20201001 | SQ | 0 | 768 | 18403 | M |
| 20201001 | SQQQ | 0 | 20917 | 47245 | M |
| 20201001 | SRAX | 0 | 0 | 300 | M |
| 20201001 | SRCL | 0 | 199 | 199 | M |
| 20201001 | SRPT | 0 | 142 | 142 | M |
| 20201001 | SRTY | 0 | 100 | 100 | M |
| 20201001 | SSO | 0 | 65929 | 71981 | M |
| 20201001 | SSSS | 0 | 0 | 500 | M |
| 20201001 | SSTK | 0 | 0 | 7 | M |
| 20201001 | ST | 0 | 53 | 53 | M |
| 20201001 | STAR | 0 | 0 | 11 | M |
| 20201001 | STE | 0 | 200 | 500 | M |
| 20201001 | STEP | 0 | 118 | 118 | M |
| 20201001 | STIM | 0 | 100 | 100 | M |
| 20201001 | STMP | 0 | 2 | 2 | M |
| 20201001 | STOK | 0 | 200 | 300 | M |
| 20201001 | STRA | 0 | 98 | 111 | M |
| 20201001 | STX | 0 | 1 | 1 | M |
| 20201001 | STZ | 0 | 600 | 700 | M |
| 20201001 | SU | 20 | 9542 | 36315 | M |
| 20201001 | SUM | 0 | 0 | 25 | M |
| 20201001 | SVC | 0 | 0 | 1 | M |
| 20201001 | SVM | 0 | 1 | 1 | M |
| 20201001 | SWAV | 0 | 0 | 100 | M |
| 20201001 | SWBI | 0 | 0 | 100 | M |
| 20201001 | SWK | 0 | 100 | 100 | M |
| 20201001 | SWKS | 0 | 359 | 359 | M |
| 20201001 | SYBT | 0 | 4 | 7 | M |
| 20201001 | SYK | 0 | 9 | 9 | M |
| 20201001 | SYKE | 0 | 172 | 189 | M |
| 20201001 | SYNA | 0 | 1 | 1 | M |

| Date | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201001 | SYNH | 0 | 0 | 700 | M |
| 20201001 | SYT | 0 | 100 | 100 | M |
| 20201001 | T | 5 | 5808 | 9396 | M |
| 20201001 | TAP | 0 | 202 | 202 | M |
| 20201001 | TCBI | 0 | 10 | 10 | M |
| 20201001 | TCDA | 0 | 246 | 297 | M |
| 20201001 | TCMD | 0 | 6 | 6 | M |
| 20201001 | TCOM | 0 | 15 | 15 | M |
| 20201001 | TCON | 0 | 0 | 1 | M |
| 20201001 | TCP | 0 | 0 | 100 | M |
| 20201001 | TCS | 0 | 92 | 250092 | M |
| 20201001 | TD | 0 | 0 | 100 | M |
| 20201001 | TDC | 0 | 0 | 100 | M |
| 20201001 | TDG | 0 | 0 | 169 | M |
| 20201001 | TDOC | 0 | 0 | 300 | M |
| 20201001 | TDY | 0 | 7 | 7 | M |
| 20201001 | TEAM | 0 | 500 | 520 | M |
| 20201001 | TECH | 0 | 4 | 4 | M |
| 20201001 | TEL | 0 | 200 | 500 | M |
| 20201001 | TENB | 0 | 100 | 100 | M |
| 20201001 | TER | 0 | 0 | 100 | M |
| 20201001 | TEVA | 0 | 5 | 5 | M |
| 20201001 | TEX | 0 | 0 | 1 | M |
| 20201001 | TFC | 0 | 5300 | 5300 | M |
| 20201001 | TFX | 0 | 6 | 6 | M |
| 20201001 | TGT | 0 | 397 | 63578 | M |
| 20201001 | TGTX | 0 | 50 | 50 | M |
| 20201001 | THC | 0 | 100 | 223 | M |
| 20201001 | THO | 0 | 53 | 100 | M |
| 20201001 | THRY | 0 | 0 | 395 | M |
| 20201001 | TIF | 0 | 0 | 105000 | M |
| 20201001 | TJX | 0 | 0 | 46700 | M |
| 20201001 | TLT | 0 | 0 | 276000 | M |
| 20201001 | TMO | 0 | 1412 | 36487 | M |
| 20201001 | TMP | 0 | 148 | 248 | M |
| 20201001 | TNA | 0 | 6831 | 7137 | M |
| 20201001 | TPR | 0 | 0 | 65 | M |
| 20201001 | TPTX | 0 | 23 | 23 | M |
| 20201001 | TQQQ | 0 | 22648 | 66196 | M |
| 20201001 | TREE | 0 | 95 | 95 | M |
| 20201001 | TREX | 0 | 115 | 115 | M |
| 20201001 | TRGP | 0 | 0 | 75 | M |
| 20201001 | TRHC | 0 | 100 | 100 | M |
| 20201001 | TRI | 4 | 1063 | 4452 | M |

| Date | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201001 | TRIP | 0 | 3 | 3 | M |
| 20201001 | TRN | 0 | 100 | 100 | M |
| 20201001 | TROW | 0 | 1 | 1 | M |
| 20201001 | TRP | 0 | 9 | 9 | M |
| 20201001 | TRS | 0 | 1 | 1 | M |
| 20201001 | TRTN | 0 | 0 | 37303 | M |
| 20201001 | TRV | 0 | 100 | 100 | M |
| 20201001 | TS | 0 | 3 | 9 | M |
| 20201001 | TSC | 0 | 0 | 1 | M |
| 20201001 | TSCO | 0 | 4 | 4 | M |
| 20201001 | TSLA | 0 | 559 | 37817 | M |
| 20201001 | TSM | 0 | 100 | 381700 | M |
| 20201001 | TTC | 0 | 0 | 100 | M |
| 20201001 | TTD | 0 | 1669 | 2574 | M |
| 20201001 | TTEC | 0 | 1 | 1 | M |
| 20201001 | TTEK | 0 | 97 | 97 | M |
| 20201001 | TTMI | 0 | 0 | 5 | M |
| 20201001 | TUP | 0 | 2 | 7 | M |
| 20201001 | TVTY | 0 | 0 | 25 | M |
| 20201001 | TW | 0 | 0 | 1 | M |
| 20201001 | TWLO | 0 | 200 | 200 | M |
| 20201001 | TWO | 0 | 90 | 90 | M |
| 20201001 | TWST | 200 | 600 | 700 | M |
| 20201001 | TWTR | 0 | 0 | 53 | M |
| 20201001 | TXN | 0 | 0 | 100 | M |
| 20201001 | TXRH | 0 | 0 | 3 | M |
| 20201001 | TZA | 0 | 600 | 975 | M |
| 20201001 | U | 100 | 405 | 657 | M |
| 20201001 | UA | 0 | 0 | 100 | M |
| 20201001 | UAL | 0 | 2 | 2 | M |
| 20201001 | UBA | 0 | 100 | 100 | M |
| 20201001 | UBER | 0 | 43 | 105385 | M |
| 20201001 | UDOW | 0 | 32774 | 51890 | M |
| 20201001 | UDR | 0 | 100 | 200 | M |
| 20201001 | UHS | 0 | 38 | 38 | M |
| 20201001 | ULTA | 0 | 93 | 93 | M |
| 20201001 | UMRX | 0 | 525 | 625 | M |
| 20201001 | UNF | 0 | 81 | 81 | M |
| 20201001 | UNG | 0 | 0 | 4002 | M |
| 20201001 | UNIT | 0 | 0 | 15 | M |
| 20201001 | UNM | 0 | 0 | 1 | M |
| 20201001 | UNP | 0 | 130 | 300 | M |
| 20201001 | UNVR | 0 | 180 | 180 | M |
| 20201001 | UONE | 100 | 105 | 208 | M |

| Date | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20201001 | UPRO | 0 | 39469 | 44392 | M |
| 20201001 | UPS | 0 | 67 | 173 | M |
| 20201001 | UPWK | 0 | 0 | 200 | M |
| 20201001 | URBN | 0 | 0 | 2 | M |
| 20201001 | URI | 0 | 353 | 381 | M |
| 20201001 | URTY | 0 | 2449 | 6327 | M |
| 20201001 | USB | 0 | 0 | 100 | M |
| 20201001 | USB PRA | 0 | 0 | 474 | M |
| 20201001 | USCR | 0 | 28 | 28 | M |
| 20201001 | USEG | 0 | 0 | 100 | M |
| 20201001 | USFD | 0 | 100 | 100 | M |
| 20201001 | USMV | 0 | 1 | 1 | M |
| 20201001 | UTZ | 0 | 100 | 200 | M |
| 20201001 | UWM | 0 | 4256 | 5732 | M |
| 20201001 | V | 0 | 303 | 905 | M |
| 20201001 | VALE | 0 | 778 | 156513 | M |
| 20201001 | VAR | 0 | 300 | 300 | M |
| 20201001 | VBTX | 0 | 0 | 1 | M |
| 20201001 | VC | 0 | 0 | 106 | M |
| 20201001 | VCEL | 0 | 100 | 100 | M |
| 20201001 | VCR | 0 | 8 | 8 | M |
| 20201001 | VCYT | 0 | 36 | 36 | M |
| 20201001 | VEEV | 0 | 300 | 368 | M |
| 20201001 | VEON | 0 | 0 | 100 | M |
| 20201001 | VERI | 0 | 500 | 500 | M |
| 20201001 | VET | 200 | 300 | 300 | M |
| 20201001 | VIAC | 0 | 1292 | 2015 | M |
| 20201001 | VIE | 0 | 2 | 2 | M |
| 20201001 | VIPS | 0 | 2 | 2 | M |
| 20201001 | VITL | 0 | 6 | 6 | M |
| 20201001 | VLDR | 400 | 534 | 834 | M |
| 20201001 | VLO | 0 | 100 | 500 | M |
| 20201001 | VMI | 0 | 1 | 1 | M |
| 20201001 | VMW | 0 | 0 | 100 | M |
| 20201001 | VNO | 0 | 185 | 56285 | M |
| 20201001 | VNOM | 0 | 100 | 100 | M |
| 20201001 | VNQ | 0 | 541 | 776 | M |
| 20201001 | VOO | 0 | 89751 | 132178 | M |
| 20201001 | VOYA | 0 | 0 | 125 | M |
| 20201001 | VRME | 0 | 500 | 700 | M |
| 20201001 | VRNS | 0 | 4 | 4 | M |
| 20201001 | VRNT | 0 | 0 | 100 | M |
| 20201001 | VRSN | 0 | 100 | 200 | M |
| 20201001 | VRTX | 0 | 0 | 100 | M |

| Date | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201001 | VST | 0 | 0 | 100 | M |
| 20201001 | VSTO | 0 | 52 | 270 | M |
| 20201001 | VTR | 0 | 200 | 200 | M |
| 20201001 | VTRU | 0 | 0 | 605 | M |
| 20201001 | VVI | 0 | 80 | 80 | M |
| 20201001 | VVPR | 0 | 100 | 611 | M |
| 20201001 | VXRT | 0 | 100 | 100 | M |
| 20201001 | VZ | 0 | 103 | 90803 | M |
| 20201001 | W | 0 | 238 | 7338 | M |
| 20201001 | WAB | 0 | 100 | 100 | M |
| 20201001 | WASH | 0 | 0 | 2 | M |
| 20201001 | WB | 0 | 300 | 300 | M |
| 20201001 | WDAY | 0 | 9 | 9 | M |
| 20201001 | WDC | 0 | 0 | 2 | M |
| 20201001 | WDFC | 0 | 1 | 9 | M |
| 20201001 | WEC | 0 | 100 | 200 | M |
| 20201001 | WELL | 0 | 17500 | 17600 | M |
| 20201001 | WERN | 0 | 34 | 134 | M |
| 20201001 | WEX | 0 | 149 | 249 | M |
| 20201001 | WFC | 0 | 2622 | 766915 | M |
| 20201001 | WGO | 0 | 100 | 100 | M |
| 20201001 | WHD | 0 | 0 | 200 | M |
| 20201001 | WHLM | 0 | 0 | 100 | M |
| 20201001 | WHR | 0 | 168 | 168 | M |
| 20201001 | WING | 0 | 2 | 6 | M |
| 20201001 | WIX | 0 | 0 | 2900 | M |
| 20201001 | WKEY | 0 | 592 | 592 | M |
| 20201001 | WKHS | 0 | 100 | 105 | M |
| 20201001 | WLFC | 0 | 0 | 127 | M |
| 20201001 | WLL | 0 | 300 | 300 | M |
| 20201001 | WMB | 0 | 100 | 3318 | M |
| 20201001 | WMC | 0 | 7 | 7 | M |
| 20201001 | WMG | 0 | 100 | 100 | M |
| 20201001 | WMGI | 0 | 0 | 2 | M |
| 20201001 | WMS | 0 | 100 | 100 | M |
| 20201001 | WMT | 0 | 404 | 72846 | M |
| 20201001 | WORK | 0 | 0 | 100 | M |
| 20201001 | WPC | 0 | 200 | 200 | M |
| 20201001 | WPX | 0 | 2000 | 2700 | M |
| 20201001 | WRK | 0 | 0 | 100 | M |
| 20201001 | WRTC | 0 | 135 | 135 | M |
| 20201001 | WSC | 0 | 3 | 3 | M |
| 20201001 | WSM | 0 | 100 | 200 | M |
| 20201001 | WST | 0 | 100 | 100 | M |

| Date | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20201001 | WSTL | 0 | 0 | 200 | M |
| 20201001 | WTM | 0 | 6 | 8 | M |
| 20201001 | WTRE | 0 | 100 | 100 | M |
| 20201001 | WW | 0 | 100 | 100 | M |
| 20201001 | WWD | 0 | 375 | 375 | M |
| 20201001 | WWR | 0 | 0 | 100 | M |
| 20201001 | WYND | 0 | 3 | 5 | M |
| 20201001 | WYNN | 0 | 4 | 94004 | M |
| 20201001 | X | 0 | 10 | 84 | M |
| 20201001 | XEC | 0 | 249 | 249 | M |
| 20201001 | XHB | 0 | 55 | 55 | M |
| 20201001 | XHR | 0 | 20 | 20 | M |
| 20201001 | XLB | 0 | 47 | 193 | M |
| 20201001 | XLC | 0 | 115 | 115 | M |
| 20201001 | XLE | 0 | 41 | 5118 | M |
| 20201001 | XLF | 0 | 987 | 321921 | M |
| 20201001 | XLI | 0 | 0 | 72800 | M |
| 20201001 | XLK | 0 | 0 | 7800 | M |
| 20201001 | XLNX | 0 | 1200 | 1200 | M |
| 20201001 | XLP | 0 | 601 | 603 | M |
| 20201001 | XLRN | 0 | 40 | 40 | M |
| 20201001 | XLU | 0 | 400 | 1000 | M |
| 20201001 | XLY | 0 | 1717 | 46839 | M |
| 20201001 | XOM | 97 | 11187 | 230104 | M |
| 20201001 | XPEV | 0 | 101 | 101 | M |
| 20201001 | XPO | 0 | 302 | 302 | M |
| 20201001 | Y | 0 | 12 | 12 | M |
| 20201001 | YELP | 0 | 105 | 105 | M |
| 20201001 | YETI | 0 | 100 | 100 | M |
| 20201001 | YMAB | 52 | 52 | 52 | M |
| 20201001 | YNDX | 0 | 1 | 23001 | M |
| 20201001 | YTEN | 0 | 100 | 560 | M |
| 20201001 | YUM | 0 | 4 | 4 | M |
| 20201001 | Z | 0 | 200 | 300 | M |
| 20201001 | ZBH | 0 | 0 | 65 | M |
| 20201001 | ZEN | 0 | 60 | 243 | M |
| 20201001 | ZGNX | 0 | 100 | 100 | M |
| 20201001 | ZIOP | 0 | 100 | 100 | M |
| 20201001 | ZM | 0 | 273 | 12150 | M |
| 20201001 | ZNGA | 0 | 200 | 400 | M |
| 20201001 | ZS | 0 | 10 | 36810 | M |
| 20201001 | ZVO | 0 | 100 | 100 | M |
| 20201001 | ZYME | 0 | 0 | 300000 | M |