

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | AA     | 0                   | 5237         | 21104        | A      |
| 20211018 | AAAU   | 0                   | 80           | 80           | A      |
| 20211018 | AAC    | 0                   | 700          | 818          | A      |
| 20211018 | AAL    | 0                   | 17499        | 27443        | A      |
| 20211018 | AAMC   | 0                   | 2088         | 2836         | A      |
| 20211018 | AAN    | 0                   | 105          | 235          | A      |
| 20211018 | AAOI   | 0                   | 121          | 185          | A      |
| 20211018 | AAON   | 0                   | 0            | 30           | A      |
| 20211018 | AAP    | 192                 | 3107         | 4406         | A      |
| 20211018 | AAPL   | 300                 | 132048       | 172604       | A      |
| 20211018 | AAT    | 0                   | 30           | 128          | A      |
| 20211018 | AAU    | 262                 | 5417         | 27860        | A      |
| 20211018 | AAWW   | 0                   | 252          | 4868         | A      |
| 20211018 | AAXJ   | 0                   | 1191         | 1396         | A      |
| 20211018 | ABB    | 0                   | 3571         | 5234         | A      |
| 20211018 | ABBV   | 0                   | 9512         | 26376        | A      |
| 20211018 | ABC    | 0                   | 3222         | 4942         | A      |
| 20211018 | ABCB   | 0                   | 9            | 11           | A      |
| 20211018 | ABCL   | 0                   | 870          | 1471         | A      |
| 20211018 | ABCM   | 0                   | 101          | 200          | A      |
| 20211018 | ABEV   | 7500                | 58106        | 71511        | A      |
| 20211018 | ABG    | 910                 | 923          | 975          | A      |
| 20211018 | ABIO   | 0                   | 0            | 118          | A      |
| 20211018 | ABM    | 0                   | 228          | 1023         | A      |
| 20211018 | ABMD   | 0                   | 226          | 260          | A      |
| 20211018 | ABNB   | 400                 | 4986         | 10282        | A      |
| 20211018 | ABOS   | 0                   | 107          | 117          | A      |
| 20211018 | ABR    | 0                   | 739          | 1766         | A      |
| 20211018 | ABSI   | 0                   | 316          | 333          | A      |
| 20211018 | ABST   | 0                   | 202          | 302          | A      |
| 20211018 | ABT    | 0                   | 6751         | 21531        | A      |
| 20211018 | ABUS   | 0                   | 10074        | 13004        | A      |
| 20211018 | ACA    | 0                   | 28           | 36           | A      |
| 20211018 | ACAD   | 0                   | 4129         | 6258         | A      |
| 20211018 | ACB    | 100                 | 4773         | 4973         | A      |
| 20211018 | ACBI   | 0                   | 106          | 1295         | A      |
| 20211018 | ACC    | 0                   | 48           | 2219         | A      |
| 20211018 | ACCD   | 0                   | 163          | 1502         | A      |
| 20211018 | ACCO   | 0                   | 543          | 1524         | A      |
| 20211018 | ACEL   | 0                   | 100          | 100          | A      |
| 20211018 | ACES   | 0                   | 100          | 1000         | A      |
| 20211018 | ACGL   | 0                   | 368          | 1108         | A      |
| 20211018 | ACH    | 0                   | 0            | 560          | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | ACHC   | 0                   | 1803         | 2397         | A      |
| 20211018 | ACHL   | 1                   | 1            | 1            | A      |
| 20211018 | ACI    | 0                   | 2072         | 4044         | A      |
| 20211018 | ACIW   | 0                   | 7            | 281          | A      |
| 20211018 | ACLS   | 0                   | 173          | 279          | A      |
| 20211018 | ACM    | 0                   | 383          | 4273         | A      |
| 20211018 | ACMR   | 0                   | 237          | 372          | A      |
| 20211018 | ACN    | 0                   | 4125         | 6017         | A      |
| 20211018 | ACOR   | 0                   | 379          | 546          | A      |
| 20211018 | ACP    | 0                   | 200          | 500          | A      |
| 20211018 | ACR    | 0                   | 0            | 108          | A      |
| 20211018 | ACRE   | 0                   | 1434         | 1870         | A      |
| 20211018 | ACRS   | 0                   | 471          | 1270         | A      |
| 20211018 | ACRX   | 0                   | 200          | 200          | A      |
| 20211018 | ACST   | 0                   | 0            | 45           | A      |
| 20211018 | ACT    | 0                   | 0            | 30           | A      |
| 20211018 | ACTG   | 0                   | 302          | 302          | A      |
| 20211018 | ACU    | 0                   | 97           | 367          | A      |
| 20211018 | ACVA   | 0                   | 2577         | 6799         | A      |
| 20211018 | ACWI   | 0                   | 118015       | 184201       | A      |
| 20211018 | ACWX   | 0                   | 7629         | 7829         | A      |
| 20211018 | ACY    | 52                  | 1284         | 9627         | A      |
| 20211018 | ADAP   | 0                   | 164          | 565          | A      |
| 20211018 | ADBE   | 0                   | 6893         | 8049         | A      |
| 20211018 | ADC    | 0                   | 323          | 646          | A      |
| 20211018 | ADES   | 0                   | 0            | 196          | A      |
| 20211018 | ADGI   | 0                   | 314          | 2914         | A      |
| 20211018 | ADI    | 0                   | 2602         | 2977         | A      |
| 20211018 | ADM    | 0                   | 2777         | 3830         | A      |
| 20211018 | ADMA   | 0                   | 400          | 400          | A      |
| 20211018 | ADMP   | 1900                | 41355        | 48655        | A      |
| 20211018 | ADMS   | 0                   | 1800         | 7300         | A      |
| 20211018 | ADN    | 8                   | 833          | 982          | A      |
| 20211018 | ADNT   | 0                   | 1949         | 3655         | A      |
| 20211018 | ADP    | 0                   | 1289         | 1650         | A      |
| 20211018 | ADPT   | 0                   | 694          | 1398         | A      |
| 20211018 | ADRA   | 0                   | 25           | 225          | A      |
| 20211018 | ADRA U | 0                   | 0            | 200          | A      |
| 20211018 | ADS    | 0                   | 35           | 95           | A      |
| 20211018 | ADSK   | 0                   | 949          | 3673         | A      |
| 20211018 | ADT    | 0                   | 300          | 300          | A      |
| 20211018 | ADTN   | 0                   | 304          | 932          | A      |
| 20211018 | ADTX   | 100                 | 9071         | 9971         | A      |
| 20211018 | ADUS   | 0                   | 102          | 202          | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | ADV    | 0                   | 790          | 1254         | A      |
| 20211018 | ADVM   | 100                 | 1301         | 4901         | A      |
| 20211018 | ADX    | 0                   | 100          | 100          | A      |
| 20211018 | ADXS   | 0                   | 0            | 1000         | A      |
| 20211018 | AE     | 525                 | 1649         | 2377         | A      |
| 20211018 | AEE    | 0                   | 1337         | 2901         | A      |
| 20211018 | AEF    | 165                 | 4243         | 6593         | A      |
| 20211018 | AEG    | 2316                | 5316         | 5716         | A      |
| 20211018 | AEHR   | 0                   | 1686         | 2829         | A      |
| 20211018 | AEI    | 0                   | 200          | 300          | A      |
| 20211018 | AEIS   | 0                   | 1            | 59           | A      |
| 20211018 | AEL    | 0                   | 586          | 829          | A      |
| 20211018 | AEM    | 0                   | 674          | 2873         | A      |
| 20211018 | AEMD   | 0                   | 36           | 36           | A      |
| 20211018 | AEO    | 0                   | 2304         | 7320         | A      |
| 20211018 | AEP    | 0                   | 3812         | 6727         | A      |
| 20211018 | AER    | 0                   | 2375         | 3521         | A      |
| 20211018 | AERI   | 0                   | 210          | 368          | A      |
| 20211018 | AES    | 0                   | 17443        | 22481        | A      |
| 20211018 | AEVA   | 0                   | 309          | 320          | A      |
| 20211018 | AEYE   | 0                   | 1            | 1            | A      |
| 20211018 | AFG    | 0                   | 447          | 1224         | A      |
| 20211018 | AFIB   | 0                   | 657          | 1157         | A      |
| 20211018 | AFIN   | 0                   | 7            | 7            | A      |
| 20211018 | AFL    | 0                   | 7135         | 23741        | A      |
| 20211018 | AFMD   | 0                   | 492          | 784          | A      |
| 20211018 | AFRM   | 100                 | 16912        | 29563        | A      |
| 20211018 | AFT    | 0                   | 0            | 476          | A      |
| 20211018 | AG     | 0                   | 2575         | 4486         | A      |
| 20211018 | AGC    | 100                 | 3846         | 5205         | A      |
| 20211018 | AGCO   | 0                   | 1518         | 1776         | A      |
| 20211018 | AGE    | 322                 | 2597         | 6827         | A      |
| 20211018 | AGEN   | 0                   | 242          | 547          | A      |
| 20211018 | AGFS   | 0                   | 0            | 39           | A      |
| 20211018 | AGFY   | 0                   | 10           | 64           | A      |
| 20211018 | AGG    | 0                   | 3700         | 5333         | A      |
| 20211018 | AGI    | 400                 | 6924         | 9872         | A      |
| 20211018 | AGIO   | 0                   | 2527         | 2546         | A      |
| 20211018 | AGL    | 0                   | 1934         | 3378         | A      |
| 20211018 | AGLE   | 0                   | 121          | 617          | A      |
| 20211018 | AGM    | 0                   | 0            | 2            | A      |
| 20211018 | AGMH   | 0                   | 100          | 100          | A      |
| 20211018 | AGNC   | 500                 | 12154        | 14085        | A      |
| 20211018 | AGO    | 0                   | 56           | 191          | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | AGQ    | 0                   | 0            | 1279         | A      |
| 20211018 | AGR    | 0                   | 1316         | 1889         | A      |
| 20211018 | AGRO   | 0                   | 2699         | 3191         | A      |
| 20211018 | AGRX   | 0                   | 3286         | 3400         | A      |
| 20211018 | AGS    | 0                   | 58           | 149          | A      |
| 20211018 | AGTC   | 0                   | 0            | 267          | A      |
| 20211018 | AGTI   | 0                   | 96           | 1009         | A      |
| 20211018 | AGX    | 0                   | 200          | 200          | A      |
| 20211018 | AGYS   | 0                   | 55           | 59           | A      |
| 20211018 | AHCO   | 0                   | 305          | 705          | A      |
| 20211018 | AHH    | 0                   | 113          | 1268         | A      |
| 20211018 | AHT    | 0                   | 288          | 293          | A      |
| 20211018 | AI     | 0                   | 395          | 1187         | A      |
| 20211018 | AIA    | 0                   | 100          | 100          | A      |
| 20211018 | AIEQ   | 0                   | 100          | 100          | A      |
| 20211018 | AIG    | 0                   | 6790         | 33844        | A      |
| 20211018 | AIHS   | 0                   | 0            | 500          | A      |
| 20211018 | AIM    | 196                 | 21343        | 24081        | A      |
| 20211018 | AIMC   | 0                   | 6            | 74           | A      |
| 20211018 | AIN    | 0                   | 1            | 35           | A      |
| 20211018 | AINC   | 0                   | 2960         | 5696         | A      |
| 20211018 | AIO    | 0                   | 100          | 100          | A      |
| 20211018 | AIR    | 0                   | 328          | 403          | A      |
| 20211018 | AIRC   | 0                   | 106          | 326          | A      |
| 20211018 | AIRG   | 0                   | 100          | 105          | A      |
| 20211018 | AIRI   | 3895                | 104865       | 131717       | A      |
| 20211018 | AIT    | 0                   | 134          | 134          | A      |
| 20211018 | AIV    | 0                   | 561          | 837          | A      |
| 20211018 | AIZ    | 0                   | 24           | 32           | A      |
| 20211018 | AJG    | 0                   | 578          | 1098         | A      |
| 20211018 | AJRD   | 0                   | 353          | 406          | A      |
| 20211018 | AKA    | 0                   | 0            | 709          | A      |
| 20211018 | AKAM   | 0                   | 2210         | 3495         | A      |
| 20211018 | AKBA   | 100                 | 7646         | 8237         | A      |
| 20211018 | AKR    | 0                   | 110          | 210          | A      |
| 20211018 | AKRO   | 0                   | 88           | 188          | A      |
| 20211018 | AKTS   | 0                   | 699          | 1147         | A      |
| 20211018 | AKTX   | 0                   | 200          | 200          | A      |
| 20211018 | AKUS   | 0                   | 64           | 117          | A      |
| 20211018 | AKYA   | 0                   | 0            | 100          | A      |
| 20211018 | AL     | 0                   | 402          | 923          | A      |
| 20211018 | ALB    | 0                   | 2156         | 5971         | A      |
| 20211018 | ALBO   | 0                   | 4            | 4            | A      |
| 20211018 | ALC    | 0                   | 604          | 626          | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | ALDX   | 0                   | 27           | 427          | A      |
| 20211018 | ALE    | 0                   | 203          | 1149         | A      |
| 20211018 | ALEC   | 0                   | 100          | 181          | A      |
| 20211018 | ALEX   | 0                   | 355          | 365          | A      |
| 20211018 | ALF    | 0                   | 478          | 478          | A      |
| 20211018 | ALG    | 0                   | 27           | 28           | A      |
| 20211018 | ALGM   | 0                   | 410          | 495          | A      |
| 20211018 | ALGN   | 0                   | 377          | 1414         | A      |
| 20211018 | ALGS   | 0                   | 95           | 99           | A      |
| 20211018 | ALGT   | 0                   | 618          | 775          | A      |
| 20211018 | ALHC   | 0                   | 156          | 1360         | A      |
| 20211018 | ALIT   | 0                   | 3238         | 4647         | A      |
| 20211018 | ALJJ   | 0                   | 0            | 400          | A      |
| 20211018 | ALK    | 0                   | 2089         | 3707         | A      |
| 20211018 | ALKS   | 0                   | 1073         | 2639         | A      |
| 20211018 | ALKT   | 0                   | 100          | 100          | A      |
| 20211018 | ALL    | 49                  | 3314         | 4013         | A      |
| 20211018 | ALLE   | 0                   | 229          | 764          | A      |
| 20211018 | ALLK   | 0                   | 59           | 321          | A      |
| 20211018 | ALLO   | 0                   | 594          | 1429         | A      |
| 20211018 | ALLT   | 0                   | 449          | 894          | A      |
| 20211018 | ALLY   | 0                   | 3310         | 7909         | A      |
| 20211018 | ALNA   | 0                   | 16           | 23           | A      |
| 20211018 | ALNY   | 0                   | 609          | 725          | A      |
| 20211018 | ALPAU  | 0                   | 0            | 400          | A      |
| 20211018 | ALRM   | 0                   | 0            | 217          | A      |
| 20211018 | ALSN   | 0                   | 2427         | 2712         | A      |
| 20211018 | ALT    | 0                   | 224          | 228          | A      |
| 20211018 | ALTM   | 0                   | 127          | 148          | A      |
| 20211018 | ALTO   | 0                   | 2333         | 4214         | A      |
| 20211018 | ALTR   | 0                   | 8            | 29           | A      |
| 20211018 | ALV    | 0                   | 137          | 794          | A      |
| 20211018 | ALVR   | 0                   | 203          | 350          | A      |
| 20211018 | ALX    | 0                   | 0            | 6            | A      |
| 20211018 | ALXO   | 10                  | 1454         | 1465         | A      |
| 20211018 | ALZN   | 0                   | 0            | 100          | A      |
| 20211018 | AM     | 1100                | 8617         | 11375        | A      |
| 20211018 | AMAM   | 0                   | 30           | 30           | A      |
| 20211018 | AMAT   | 0                   | 7531         | 12792        | A      |
| 20211018 | AMBA   | 0                   | 260          | 1481         | A      |
| 20211018 | AMBC   | 0                   | 422          | 422          | A      |
| 20211018 | AMBO   | 21                  | 21           | 2688         | A      |
| 20211018 | AMBP   | 100                 | 449          | 1698         | A      |
| 20211018 | AMC    | 939                 | 19619        | 29866        | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | AMCR   | 0                   | 6501         | 8213         | A      |
| 20211018 | AMCX   | 0                   | 247          | 519          | A      |
| 20211018 | AMD    | 10                  | 24046        | 43332        | A      |
| 20211018 | AME    | 0                   | 2659         | 3583         | A      |
| 20211018 | AMED   | 0                   | 912          | 1120         | A      |
| 20211018 | AMEH   | 0                   | 291          | 1123         | A      |
| 20211018 | AMG    | 0                   | 42           | 104          | A      |
| 20211018 | AMGN   | 0                   | 6819         | 11027        | A      |
| 20211018 | AMH    | 0                   | 1375         | 1529         | A      |
| 20211018 | AMJ    | 0                   | 1983         | 6083         | A      |
| 20211018 | AMK    | 0                   | 8            | 48           | A      |
| 20211018 | AMKR   | 0                   | 586          | 2444         | A      |
| 20211018 | AMLP   | 0                   | 5785         | 7630         | A      |
| 20211018 | AMN    | 0                   | 154          | 353          | A      |
| 20211018 | AMP    | 0                   | 441          | 871          | A      |
| 20211018 | AMPE   | 182                 | 23343        | 37602        | A      |
| 20211018 | AMPL   | 100                 | 466          | 5843         | A      |
| 20211018 | AMPY   | 100                 | 800          | 3351         | A      |
| 20211018 | AMR    | 0                   | 100          | 400          | A      |
| 20211018 | AMRC   | 0                   | 96           | 168          | A      |
| 20211018 | AMRK   | 0                   | 2            | 102          | A      |
| 20211018 | AMRN   | 0                   | 993          | 1011         | A      |
| 20211018 | AMRS   | 0                   | 1721         | 4280         | A      |
| 20211018 | AMRX   | 0                   | 200          | 200          | A      |
| 20211018 | AMS    | 4                   | 2365         | 4427         | A      |
| 20211018 | AMSC   | 0                   | 371          | 1031         | A      |
| 20211018 | AMSF   | 0                   | 45           | 78           | A      |
| 20211018 | AMST   | 0                   | 100          | 100          | A      |
| 20211018 | AMSWA  | 0                   | 200          | 201          | A      |
| 20211018 | AMT    | 0                   | 1503         | 1635         | A      |
| 20211018 | AMTI   | 137                 | 612          | 900          | A      |
| 20211018 | AMTX   | 3                   | 258          | 590          | A      |
| 20211018 | AMWD   | 0                   | 15           | 33           | A      |
| 20211018 | AMWL   | 0                   | 876          | 1661         | A      |
| 20211018 | AMX    | 100                 | 5957         | 6469         | A      |
| 20211018 | AMYT   | 0                   | 1000         | 1162         | A      |
| 20211018 | AMZA   | 0                   | 100          | 200          | A      |
| 20211018 | AMZN   | 69                  | 5046         | 8317         | A      |
| 20211018 | AN     | 0                   | 597          | 612          | A      |
| 20211018 | ANAB   | 0                   | 65           | 286          | A      |
| 20211018 | ANDE   | 0                   | 80           | 80           | A      |
| 20211018 | ANET   | 0                   | 416          | 577          | A      |
| 20211018 | ANF    | 0                   | 9            | 892          | A      |
| 20211018 | ANGI   | 0                   | 713          | 1842         | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | ANGL   | 0                   | 2901         | 5014         | A      |
| 20211018 | ANGN   | 0                   | 6            | 6            | A      |
| 20211018 | ANGO   | 0                   | 412          | 438          | A      |
| 20211018 | ANIK   | 0                   | 0            | 1            | A      |
| 20211018 | ANIP   | 0                   | 0            | 5            | A      |
| 20211018 | ANIX   | 0                   | 0            | 100          | A      |
| 20211018 | ANNX   | 0                   | 183          | 183          | A      |
| 20211018 | ANSS   | 0                   | 455          | 495          | A      |
| 20211018 | ANTM   | 0                   | 1426         | 6159         | A      |
| 20211018 | ANVS   | 1287                | 5685         | 14196        | A      |
| 20211018 | ANY    | 850                 | 44444        | 52268        | A      |
| 20211018 | AOA    | 0                   | 166          | 166          | A      |
| 20211018 | AOD    | 0                   | 300          | 800          | A      |
| 20211018 | AOK    | 8500                | 8500         | 8500         | A      |
| 20211018 | AOMR   | 0                   | 4            | 4            | A      |
| 20211018 | AON    | 100                 | 894          | 1446         | A      |
| 20211018 | AOR    | 200                 | 300          | 502          | A      |
| 20211018 | AOS    | 0                   | 665          | 1464         | A      |
| 20211018 | AOSL   | 0                   | 109          | 134          | A      |
| 20211018 | AOUT   | 0                   | 0            | 118          | A      |
| 20211018 | AP     | 0                   | 679          | 679          | A      |
| 20211018 | AP WS  | 0                   | 0            | 100          | A      |
| 20211018 | APA    | 0                   | 2175         | 7195         | A      |
| 20211018 | APAM   | 0                   | 6            | 448          | A      |
| 20211018 | APD    | 4                   | 1123         | 3498         | A      |
| 20211018 | APEI   | 0                   | 162          | 162          | A      |
| 20211018 | APEN   | 0                   | 3            | 106          | A      |
| 20211018 | APG    | 0                   | 50           | 1114         | A      |
| 20211018 | APH    | 0                   | 3787         | 7315         | A      |
| 20211018 | API    | 0                   | 1551         | 2760         | A      |
| 20211018 | APLE   | 0                   | 5554         | 9573         | A      |
| 20211018 | APLS   | 0                   | 538          | 901          | A      |
| 20211018 | APLT   | 0                   | 24           | 24           | A      |
| 20211018 | APO    | 0                   | 3769         | 5305         | A      |
| 20211018 | APOG   | 0                   | 173          | 173          | A      |
| 20211018 | APOP   | 0                   | 10           | 56           | A      |
| 20211018 | APP    | 0                   | 1711         | 2871         | A      |
| 20211018 | APPH   | 195                 | 1087         | 1713         | A      |
| 20211018 | APPN   | 0                   | 11           | 42           | A      |
| 20211018 | APPS   | 0                   | 1250         | 2822         | A      |
| 20211018 | APR    | 0                   | 164          | 1000         | A      |
| 20211018 | APRE   | 0                   | 0            | 1            | A      |
| 20211018 | APRN   | 0                   | 100          | 846          | A      |
| 20211018 | APT    | 894                 | 12966        | 20585        | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | APTO   | 400                 | 1020         | 1566         | A      |
| 20211018 | APTS   | 0                   | 398          | 398          | A      |
| 20211018 | APTV   | 0                   | 4321         | 4868         | A      |
| 20211018 | APVO   | 0                   | 0            | 10           | A      |
| 20211018 | AQB    | 0                   | 1            | 401          | A      |
| 20211018 | AQMS   | 0                   | 0            | 13           | A      |
| 20211018 | AQN    | 1300                | 9470         | 9484         | A      |
| 20211018 | AQST   | 0                   | 200          | 575          | A      |
| 20211018 | AQUA   | 0                   | 1091         | 4968         | A      |
| 20211018 | AR     | 0                   | 5555         | 34070        | A      |
| 20211018 | ARAY   | 0                   | 0            | 249          | A      |
| 20211018 | ARBK   | 0                   | 100          | 6520         | A      |
| 20211018 | ARCB   | 0                   | 2            | 102          | A      |
| 20211018 | ARCC   | 137                 | 3255         | 6059         | A      |
| 20211018 | ARCE   | 0                   | 100          | 200          | A      |
| 20211018 | ARCH   | 0                   | 218          | 220          | A      |
| 20211018 | ARCO   | 200                 | 700          | 1800         | A      |
| 20211018 | ARCT   | 0                   | 230          | 425          | A      |
| 20211018 | ARDX   | 0                   | 4800         | 6300         | A      |
| 20211018 | ARE    | 0                   | 688          | 730          | A      |
| 20211018 | ARES   | 0                   | 107          | 900          | A      |
| 20211018 | ARGO   | 0                   | 100          | 102          | A      |
| 20211018 | ARGX   | 0                   | 58           | 66           | A      |
| 20211018 | ARI    | 0                   | 1108         | 2208         | A      |
| 20211018 | ARKF   | 0                   | 1313         | 3639         | A      |
| 20211018 | ARKG   | 0                   | 603          | 3660         | A      |
| 20211018 | ARKK   | 0                   | 6306         | 13432        | A      |
| 20211018 | ARKO   | 0                   | 100          | 100          | A      |
| 20211018 | ARKQ   | 0                   | 39           | 139          | A      |
| 20211018 | ARKW   | 0                   | 1639         | 3397         | A      |
| 20211018 | ARKX   | 0                   | 0            | 253          | A      |
| 20211018 | ARLP   | 0                   | 2300         | 2483         | A      |
| 20211018 | ARMK   | 0                   | 3033         | 3504         | A      |
| 20211018 | ARMP   | 0                   | 892          | 1701         | A      |
| 20211018 | ARNA   | 0                   | 1824         | 3989         | A      |
| 20211018 | ARNC   | 0                   | 303          | 794          | A      |
| 20211018 | AROC   | 0                   | 494          | 604          | A      |
| 20211018 | AROW   | 0                   | 3            | 10           | A      |
| 20211018 | ARQQ   | 141                 | 1000         | 2880         | A      |
| 20211018 | ARQT   | 0                   | 8            | 9            | A      |
| 20211018 | ARR    | 0                   | 1656         | 1727         | A      |
| 20211018 | ARRY   | 0                   | 6065         | 7199         | A      |
| 20211018 | ARTA   | 0                   | 100          | 100          | A      |
| 20211018 | ARTAU  | 0                   | 0            | 417          | A      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | ARVL   | 0                   | 270          | 745          | A      |
| 20211018 | ARVN   | 0                   | 203          | 234          | A      |
| 20211018 | ARW    | 0                   | 253          | 410          | A      |
| 20211018 | ARWR   | 0                   | 256          | 580          | A      |
| 20211018 | ASAI   | 0                   | 218          | 218          | A      |
| 20211018 | ASAN   | 0                   | 1000         | 1622         | A      |
| 20211018 | ASB    | 0                   | 2148         | 3330         | A      |
| 20211018 | ASC    | 0                   | 1            | 301          | A      |
| 20211018 | ASG    | 0                   | 0            | 200          | A      |
| 20211018 | ASGN   | 0                   | 1            | 273          | A      |
| 20211018 | ASH    | 0                   | 662          | 762          | A      |
| 20211018 | ASHR   | 0                   | 3227         | 5813         | A      |
| 20211018 | ASIX   | 0                   | 0            | 1            | A      |
| 20211018 | ASLE   | 0                   | 300          | 1247         | A      |
| 20211018 | ASLN   | 200                 | 299          | 800          | A      |
| 20211018 | ASM    | 6871                | 77767        | 103655       | A      |
| 20211018 | ASMB   | 0                   | 568          | 973          | A      |
| 20211018 | ASML   | 0                   | 480          | 888          | A      |
| 20211018 | ASND   | 0                   | 146          | 311          | A      |
| 20211018 | ASO    | 0                   | 623          | 1356         | A      |
| 20211018 | ASPN   | 0                   | 832          | 907          | A      |
| 20211018 | ASPS   | 0                   | 2            | 2            | A      |
| 20211018 | ASPU   | 0                   | 2            | 2            | A      |
| 20211018 | ASR    | 0                   | 1142         | 1240         | A      |
| 20211018 | ASRT   | 0                   | 6            | 6            | A      |
| 20211018 | ASTC   | 0                   | 14           | 114          | A      |
| 20211018 | ASTR   | 0                   | 4197         | 4880         | A      |
| 20211018 | ASTS   | 1                   | 1903         | 1926         | A      |
| 20211018 | ASX    | 0                   | 5126         | 5126         | A      |
| 20211018 | ASXC   | 2267                | 59436        | 86171        | A      |
| 20211018 | ASYS   | 0                   | 0            | 100          | A      |
| 20211018 | ASZ    | 0                   | 6            | 6            | A      |
| 20211018 | ATAI   | 0                   | 580          | 768          | A      |
| 20211018 | ATC    | 0                   | 125          | 125          | A      |
| 20211018 | ATCO   | 0                   | 488          | 1574         | A      |
| 20211018 | ATCX   | 0                   | 100          | 100          | A      |
| 20211018 | ATEC   | 0                   | 1075         | 1552         | A      |
| 20211018 | ATEN   | 0                   | 3040         | 3670         | A      |
| 20211018 | ATER   | 100                 | 1166         | 1622         | A      |
| 20211018 | ATEX   | 0                   | 0            | 1            | A      |
| 20211018 | ATGE   | 0                   | 146          | 192          | A      |
| 20211018 | ATH    | 0                   | 126          | 243          | A      |
| 20211018 | ATHA   | 0                   | 6            | 25           | A      |
| 20211018 | ATHE   | 0                   | 0            | 579          | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | ATHM   | 0                   | 446          | 1423         | A      |
| 20211018 | ATHX   | 0                   | 675          | 1313         | A      |
| 20211018 | ATI    | 0                   | 3407         | 8147         | A      |
| 20211018 | ATIP   | 0                   | 4543         | 4743         | A      |
| 20211018 | ATKR   | 0                   | 502          | 2208         | A      |
| 20211018 | ATLC   | 0                   | 209          | 209          | A      |
| 20211018 | ATNF   | 0                   | 1953         | 9363         | A      |
| 20211018 | ATNI   | 0                   | 9            | 33           | A      |
| 20211018 | ATNM   | 1601                | 6388         | 8997         | A      |
| 20211018 | ATNX   | 2                   | 401          | 401          | A      |
| 20211018 | ATO    | 0                   | 2268         | 8797         | A      |
| 20211018 | ATOM   | 0                   | 0            | 204          | A      |
| 20211018 | ATOS   | 301                 | 5759         | 13613        | A      |
| 20211018 | ATR    | 0                   | 451          | 667          | A      |
| 20211018 | ATRA   | 0                   | 373          | 1058         | A      |
| 20211018 | ATRC   | 0                   | 104          | 132          | A      |
| 20211018 | ATRI   | 0                   | 6            | 15           | A      |
| 20211018 | ATRO   | 0                   | 1            | 1            | A      |
| 20211018 | ATRS   | 0                   | 1149         | 1299         | A      |
| 20211018 | ATSG   | 0                   | 104          | 207          | A      |
| 20211018 | ATUS   | 100                 | 8194         | 29647        | A      |
| 20211018 | ATVI   | 0                   | 8176         | 19759        | A      |
| 20211018 | ATXS   | 0                   | 157          | 157          | A      |
| 20211018 | ATY    | 0                   | 889          | 973          | A      |
| 20211018 | AU     | 100                 | 6078         | 9109         | A      |
| 20211018 | AUB    | 0                   | 100          | 111          | A      |
| 20211018 | AUD    | 0                   | 87           | 87           | A      |
| 20211018 | AUDC   | 0                   | 3            | 3            | A      |
| 20211018 | AUID   | 0                   | 802          | 802          | A      |
| 20211018 | AUMN   | 1286                | 10493        | 27831        | A      |
| 20211018 | AUPH   | 0                   | 5258         | 8943         | A      |
| 20211018 | AUS    | 0                   | 426          | 523          | A      |
| 20211018 | AUTL   | 0                   | 0            | 100          | A      |
| 20211018 | AUVI   | 0                   | 0            | 75           | A      |
| 20211018 | AUY    | 9023                | 37023        | 45186        | A      |
| 20211018 | AVA    | 0                   | 161          | 1999         | A      |
| 20211018 | AVAH   | 0                   | 651          | 1158         | A      |
| 20211018 | AVAV   | 0                   | 71           | 71           | A      |
| 20211018 | AVB    | 0                   | 914          | 1696         | A      |
| 20211018 | AVD    | 0                   | 0            | 100          | A      |
| 20211018 | AVDL   | 100                 | 2283         | 4915         | A      |
| 20211018 | AVDX   | 0                   | 2671         | 6222         | A      |
| 20211018 | AVEO   | 0                   | 256          | 460          | A      |
| 20211018 | AVGO   | 0                   | 1685         | 2883         | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | AVID   | 0                   | 1115         | 1488         | A      |
| 20211018 | AVIR   | 0                   | 325          | 338          | A      |
| 20211018 | AVLR   | 0                   | 1484         | 1835         | A      |
| 20211018 | AVNS   | 0                   | 9            | 360          | A      |
| 20211018 | AVNT   | 0                   | 2106         | 2951         | A      |
| 20211018 | AVNW   | 0                   | 190          | 225          | A      |
| 20211018 | AVO    | 85                  | 113          | 248          | A      |
| 20211018 | AVPT   | 0                   | 1349         | 1981         | A      |
| 20211018 | AVRO   | 0                   | 798          | 844          | A      |
| 20211018 | AVT    | 0                   | 250          | 394          | A      |
| 20211018 | AVTE   | 289                 | 317          | 2099         | A      |
| 20211018 | AVTR   | 1565                | 6243         | 8772         | A      |
| 20211018 | AVTX   | 0                   | 100          | 400          | A      |
| 20211018 | AVXL   | 0                   | 0            | 1233         | A      |
| 20211018 | AVY    | 1                   | 1023         | 1247         | A      |
| 20211018 | AVYA   | 0                   | 2903         | 6271         | A      |
| 20211018 | AWAY   | 100                 | 100          | 106          | A      |
| 20211018 | AWI    | 0                   | 0            | 2            | A      |
| 20211018 | AWK    | 0                   | 639          | 651          | A      |
| 20211018 | AWP    | 0                   | 0            | 130          | A      |
| 20211018 | AWR    | 0                   | 581          | 716          | A      |
| 20211018 | AWX    | 0                   | 362          | 1082         | A      |
| 20211018 | AX     | 0                   | 75           | 76           | A      |
| 20211018 | AXDX   | 0                   | 198          | 355          | A      |
| 20211018 | AXGN   | 0                   | 0            | 1712         | A      |
| 20211018 | AXL    | 113                 | 6165         | 8777         | A      |
| 20211018 | AXNX   | 0                   | 852          | 1608         | A      |
| 20211018 | AXON   | 0                   | 520          | 613          | A      |
| 20211018 | AXP    | 20                  | 2657         | 2992         | A      |
| 20211018 | AXS    | 0                   | 392          | 493          | A      |
| 20211018 | AXSM   | 0                   | 705          | 1013         | A      |
| 20211018 | AXTA   | 0                   | 2137         | 10335        | A      |
| 20211018 | AXTI   | 0                   | 294          | 374          | A      |
| 20211018 | AXU    | 14290               | 80822        | 90971        | A      |
| 20211018 | AY     | 0                   | 1920         | 2107         | A      |
| 20211018 | AYI    | 0                   | 206          | 462          | A      |
| 20211018 | AYTU   | 0                   | 0            | 2            | A      |
| 20211018 | AYX    | 0                   | 580          | 1290         | A      |
| 20211018 | AZAO   | 0                   | 0            | 100          | A      |
| 20211018 | AZEK   | 0                   | 688          | 2914         | A      |
| 20211018 | AZN    | 0                   | 10791        | 22081        | A      |
| 20211018 | AZO    | 0                   | 133          | 213          | A      |
| 20211018 | AZPN   | 0                   | 532          | 1756         | A      |
| 20211018 | AZRE   | 0                   | 100          | 387          | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20211018 | AZUL    | 1600                | 8407         | 12057        | A      |
| 20211018 | AZZ     | 0                   | 142          | 459          | A      |
| 20211018 | B       | 0                   | 51           | 128          | A      |
| 20211018 | BA      | 50                  | 3061         | 6942         | A      |
| 20211018 | BABA    | 0                   | 12416        | 30441        | A      |
| 20211018 | BAC     | 0                   | 71241        | 119151       | A      |
| 20211018 | BAC PRK | 0                   | 103          | 586          | A      |
| 20211018 | BAC PRO | 0                   | 0            | 200          | A      |
| 20211018 | BAH     | 0                   | 1213         | 1878         | A      |
| 20211018 | BAK     | 455                 | 803          | 803          | A      |
| 20211018 | BALY    | 0                   | 367          | 3660         | A      |
| 20211018 | BAM     | 0                   | 858          | 3073         | A      |
| 20211018 | BAMR    | 0                   | 43           | 146          | A      |
| 20211018 | BANC    | 0                   | 8253         | 8784         | A      |
| 20211018 | BAND    | 0                   | 96           | 1330         | A      |
| 20211018 | BANF    | 0                   | 7            | 75           | A      |
| 20211018 | BANR    | 0                   | 202          | 202          | A      |
| 20211018 | BAP     | 0                   | 153          | 1176         | A      |
| 20211018 | BAR     | 0                   | 600          | 26302        | A      |
| 20211018 | BARK    | 0                   | 200          | 517          | A      |
| 20211018 | BASE    | 0                   | 426          | 526          | A      |
| 20211018 | BATL    | 0                   | 350          | 612          | A      |
| 20211018 | BATRA   | 0                   | 38           | 126          | A      |
| 20211018 | BATRK   | 0                   | 90           | 90           | A      |
| 20211018 | BATT    | 0                   | 0            | 100          | A      |
| 20211018 | BAX     | 0                   | 1768         | 5562         | A      |
| 20211018 | BB      | 100                 | 9349         | 16856        | A      |
| 20211018 | BBAR    | 0                   | 62           | 62           | A      |
| 20211018 | BBAX    | 0                   | 0            | 3            | A      |
| 20211018 | BBBY    | 0                   | 2869         | 21451        | A      |
| 20211018 | BBCA    | 0                   | 53           | 53           | A      |
| 20211018 | BBCP    | 0                   | 100          | 100          | A      |
| 20211018 | BBD     | 1690                | 107850       | 142362       | A      |
| 20211018 | BBDC    | 0                   | 16           | 16           | A      |
| 20211018 | BBEU    | 0                   | 0            | 188          | A      |
| 20211018 | BBI     | 0                   | 25           | 425          | A      |
| 20211018 | BBIG    | 2545                | 41343        | 90786        | A      |
| 20211018 | BBIN    | 0                   | 800          | 800          | A      |
| 20211018 | BBIO    | 0                   | 171          | 506          | A      |
| 20211018 | BBJP    | 0                   | 485          | 504          | A      |
| 20211018 | BBL     | 0                   | 2438         | 3072         | A      |
| 20211018 | BBSI    | 0                   | 45           | 45           | A      |
| 20211018 | BBVA    | 2700                | 14847        | 15447        | A      |
| 20211018 | BBW     | 0                   | 202          | 303          | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20211018 | BBWI    | 0                   | 3628         | 9294         | A      |
| 20211018 | BBY     | 0                   | 12845        | 17651        | A      |
| 20211018 | BC      | 0                   | 278          | 296          | A      |
| 20211018 | BCAB    | 0                   | 160          | 360          | A      |
| 20211018 | BCAT    | 0                   | 200          | 817          | A      |
| 20211018 | BCC     | 0                   | 207          | 2913         | A      |
| 20211018 | BCD     | 0                   | 0            | 151          | A      |
| 20211018 | BCE     | 0                   | 3482         | 6723         | A      |
| 20211018 | BCEI    | 0                   | 1304         | 1429         | A      |
| 20211018 | BCEL    | 0                   | 100          | 100          | A      |
| 20211018 | BCH     | 0                   | 296          | 399          | A      |
| 20211018 | BCLI    | 0                   | 0            | 5            | A      |
| 20211018 | BCO     | 0                   | 410          | 631          | A      |
| 20211018 | BCOR    | 0                   | 145          | 245          | A      |
| 20211018 | BCOV    | 0                   | 100          | 100          | A      |
| 20211018 | BCPC    | 0                   | 2            | 21           | A      |
| 20211018 | BCRX    | 0                   | 1226         | 2663         | A      |
| 20211018 | BCS     | 0                   | 7723         | 8126         | A      |
| 20211018 | BCTX    | 0                   | 65           | 265          | A      |
| 20211018 | BCV     | 100                 | 767          | 1151         | A      |
| 20211018 | BCV PRA | 0                   | 250          | 349          | A      |
| 20211018 | BCX     | 0                   | 100          | 100          | A      |
| 20211018 | BCYC    | 0                   | 304          | 344          | A      |
| 20211018 | BDC     | 0                   | 71           | 882          | A      |
| 20211018 | BDJ     | 0                   | 1205         | 1205         | A      |
| 20211018 | BDL     | 0                   | 434          | 778          | A      |
| 20211018 | BDN     | 0                   | 8265         | 10131        | A      |
| 20211018 | BDR     | 57                  | 2348         | 3784         | A      |
| 20211018 | BDRY    | 0                   | 100          | 300          | A      |
| 20211018 | BDSI    | 0                   | 210          | 514          | A      |
| 20211018 | BDSX    | 0                   | 37           | 37           | A      |
| 20211018 | BDTX    | 0                   | 271          | 271          | A      |
| 20211018 | BDX     | 0                   | 120          | 282          | A      |
| 20211018 | BE      | 100                 | 3371         | 6031         | A      |
| 20211018 | BEAM    | 0                   | 609          | 2786         | A      |
| 20211018 | BECN    | 0                   | 106          | 1040         | A      |
| 20211018 | BEEM    | 0                   | 45           | 64           | A      |
| 20211018 | BEKE    | 0                   | 802          | 929          | A      |
| 20211018 | BELFB   | 0                   | 0            | 51           | A      |
| 20211018 | BEN     | 0                   | 3313         | 6779         | A      |
| 20211018 | BEP     | 0                   | 50           | 100          | A      |
| 20211018 | BEPC    | 0                   | 889          | 1717         | A      |
| 20211018 | BERY    | 5868                | 6746         | 7246         | A      |
| 20211018 | BEST    | 0                   | 1298         | 1407         | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | BF A   | 0                   | 0            | 26           | A      |
| 20211018 | BF B   | 0                   | 1778         | 2863         | A      |
| 20211018 | BFAM   | 0                   | 235          | 745          | A      |
| 20211018 | BFC    | 0                   | 7            | 7            | A      |
| 20211018 | BFI    | 0                   | 105          | 205          | A      |
| 20211018 | BFLY   | 0                   | 305          | 927          | A      |
| 20211018 | BFST   | 0                   | 100          | 200          | A      |
| 20211018 | BG     | 0                   | 278          | 2113         | A      |
| 20211018 | BGCP   | 0                   | 3241         | 4608         | A      |
| 20211018 | BGFV   | 0                   | 232          | 352          | A      |
| 20211018 | BGH    | 0                   | 0            | 100          | A      |
| 20211018 | BGI    | 1331                | 5600         | 22456        | A      |
| 20211018 | BGNE   | 0                   | 319          | 1307         | A      |
| 20211018 | BGR    | 0                   | 100          | 100          | A      |
| 20211018 | BGS    | 0                   | 600          | 700          | A      |
| 20211018 | BGSF   | 0                   | 0            | 19           | A      |
| 20211018 | BH     | 0                   | 0            | 1            | A      |
| 20211018 | BHB    | 1                   | 821          | 1551         | A      |
| 20211018 | BHC    | 100                 | 500          | 2818         | A      |
| 20211018 | BHE    | 0                   | 0            | 78           | A      |
| 20211018 | BHF    | 0                   | 3            | 10           | A      |
| 20211018 | BHG    | 0                   | 201          | 201          | A      |
| 20211018 | BHIL   | 33                  | 647          | 1669         | A      |
| 20211018 | BHLB   | 0                   | 100          | 100          | A      |
| 20211018 | BHP    | 0                   | 9502         | 12514        | A      |
| 20211018 | BHR    | 0                   | 645          | 2154         | A      |
| 20211018 | BHVN   | 0                   | 399          | 4602         | A      |
| 20211018 | BIDU   | 0                   | 5617         | 11465        | A      |
| 20211018 | BIG    | 0                   | 890          | 1436         | A      |
| 20211018 | BIGC   | 0                   | 1487         | 2008         | A      |
| 20211018 | BIGZ   | 0                   | 200          | 200          | A      |
| 20211018 | BIIB   | 0                   | 812          | 8043         | A      |
| 20211018 | BIL    | 0                   | 500          | 1600         | A      |
| 20211018 | BILI   | 0                   | 6422         | 8621         | A      |
| 20211018 | BILL   | 0                   | 1263         | 6731         | A      |
| 20211018 | BIO    | 0                   | 126          | 155          | A      |
| 20211018 | BIP    | 100                 | 1305         | 5168         | A      |
| 20211018 | BIPC   | 0                   | 308          | 311          | A      |
| 20211018 | BITF   | 1289                | 44265        | 56215        | A      |
| 20211018 | BJ     | 0                   | 266          | 996          | A      |
| 20211018 | BJK    | 0                   | 0            | 5            | A      |
| 20211018 | BJRI   | 0                   | 1597         | 4657         | A      |
| 20211018 | BK     | 0                   | 5320         | 8548         | A      |
| 20211018 | BKAG   | 0                   | 0            | 100          | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20211018 | BKCC    | 0                   | 0            | 144          | A      |
| 20211018 | BKD     | 0                   | 342          | 845          | A      |
| 20211018 | BKE     | 0                   | 525          | 3792         | A      |
| 20211018 | BKEP    | 0                   | 0            | 200          | A      |
| 20211018 | BKH     | 0                   | 453          | 491          | A      |
| 20211018 | BKI     | 0                   | 1046         | 1798         | A      |
| 20211018 | BKKT    | 0                   | 9043         | 10017        | A      |
| 20211018 | BKLN    | 0                   | 22200        | 30000        | A      |
| 20211018 | BKNG    | 0                   | 386          | 794          | A      |
| 20211018 | BKR     | 0                   | 13725        | 48013        | A      |
| 20211018 | BKTI    | 28                  | 55484        | 63281        | A      |
| 20211018 | BKU     | 0                   | 100          | 195          | A      |
| 20211018 | BL      | 0                   | 2            | 21           | A      |
| 20211018 | BLCM    | 0                   | 0            | 2278         | A      |
| 20211018 | BLD     | 0                   | 27           | 280          | A      |
| 20211018 | BLDE    | 230                 | 1965         | 1965         | A      |
| 20211018 | BLDP    | 0                   | 2226         | 3508         | A      |
| 20211018 | BLDR    | 0                   | 345          | 6270         | A      |
| 20211018 | BLFS    | 0                   | 600          | 1000         | A      |
| 20211018 | BLFY    | 0                   | 4            | 304          | A      |
| 20211018 | BLI     | 0                   | 2525         | 4789         | A      |
| 20211018 | BLIN    | 100                 | 200          | 200          | A      |
| 20211018 | BLK     | 0                   | 1583         | 2713         | A      |
| 20211018 | BLL     | 0                   | 3440         | 4785         | A      |
| 20211018 | BLMN    | 0                   | 3            | 630          | A      |
| 20211018 | BLND    | 0                   | 82           | 100          | A      |
| 20211018 | BLNK    | 0                   | 101          | 401          | A      |
| 20211018 | BLOK    | 0                   | 301          | 1765         | A      |
| 20211018 | BLRX    | 0                   | 72           | 72           | A      |
| 20211018 | BLU     | 0                   | 100          | 100          | A      |
| 20211018 | BLUE    | 0                   | 1705         | 3388         | A      |
| 20211018 | BLX     | 100                 | 207          | 313          | A      |
| 20211018 | BMA     | 0                   | 126          | 231          | A      |
| 20211018 | BMAC U  | 0                   | 100          | 100          | A      |
| 20211018 | BMBL    | 0                   | 10           | 114          | A      |
| 20211018 | BMEA    | 0                   | 0            | 5            | A      |
| 20211018 | BMI     | 0                   | 36           | 36           | A      |
| 20211018 | BMO     | 0                   | 879          | 1684         | A      |
| 20211018 | BMRA    | 0                   | 0            | 1446         | A      |
| 20211018 | BMRC    | 0                   | 6            | 32           | A      |
| 20211018 | BMRN    | 0                   | 856          | 2323         | A      |
| 20211018 | BMTC    | 0                   | 6            | 6            | A      |
| 20211018 | BMTX    | 0                   | 2717         | 5223         | A      |
| 20211018 | BMTX WS | 0                   | 0            | 4798         | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20211018 | BMY     | 0                   | 47762        | 108083       | A      |
| 20211018 | BND     | 0                   | 13826        | 14226        | A      |
| 20211018 | BNDX    | 0                   | 800          | 10421        | A      |
| 20211018 | BNED    | 0                   | 847          | 1407         | A      |
| 20211018 | BNFT    | 0                   | 100          | 300          | A      |
| 20211018 | BNGO    | 603                 | 21705        | 22981        | A      |
| 20211018 | BNKU    | 0                   | 0            | 50           | A      |
| 20211018 | BNL     | 0                   | 504          | 2369         | A      |
| 20211018 | BNO     | 0                   | 1000         | 1300         | A      |
| 20211018 | BNR     | 0                   | 381          | 391          | A      |
| 20211018 | BNS     | 0                   | 3217         | 4999         | A      |
| 20211018 | BNTX    | 0                   | 533          | 734          | A      |
| 20211018 | BODY    | 0                   | 338          | 338          | A      |
| 20211018 | BOH     | 0                   | 323          | 323          | A      |
| 20211018 | BOIL    | 0                   | 211          | 1872         | A      |
| 20211018 | BOKF    | 0                   | 18           | 415          | A      |
| 20211018 | BOLT    | 0                   | 1            | 1            | A      |
| 20211018 | BOMN    | 0                   | 10           | 10           | A      |
| 20211018 | BOOM    | 0                   | 100          | 117          | A      |
| 20211018 | BOOT    | 0                   | 0            | 122          | A      |
| 20211018 | BORR    | 0                   | 500          | 696          | A      |
| 20211018 | BOTZ    | 0                   | 2582         | 4371         | A      |
| 20211018 | BOWX    | 0                   | 2458         | 8851         | A      |
| 20211018 | BOWXW   | 0                   | 0            | 100          | A      |
| 20211018 | BOX     | 0                   | 3288         | 5911         | A      |
| 20211018 | BOXL    | 0                   | 1728         | 1728         | A      |
| 20211018 | BP      | 200                 | 24039        | 44382        | A      |
| 20211018 | BPMC    | 0                   | 660          | 865          | A      |
| 20211018 | BPMP    | 0                   | 600          | 984          | A      |
| 20211018 | BPOP    | 0                   | 774          | 802          | A      |
| 20211018 | BR      | 0                   | 754          | 987          | A      |
| 20211018 | BRAG    | 100                 | 100          | 100          | A      |
| 20211018 | BRBR    | 0                   | 794          | 911          | A      |
| 20211018 | BRBS    | 0                   | 3349         | 5263         | A      |
| 20211018 | BRC     | 0                   | 178          | 178          | A      |
| 20211018 | BRDG    | 0                   | 145          | 145          | A      |
| 20211018 | BRF     | 0                   | 0            | 300          | A      |
| 20211018 | BRFS    | 5400                | 22599        | 46727        | A      |
| 20211018 | BRG     | 0                   | 7879         | 16647        | A      |
| 20211018 | BRG PRC | 0                   | 200          | 700          | A      |
| 20211018 | BRG PRD | 0                   | 900          | 1007         | A      |
| 20211018 | BRK B   | 0                   | 5054         | 9411         | A      |
| 20211018 | BRKL    | 0                   | 8            | 109          | A      |
| 20211018 | BRKR    | 0                   | 315          | 1547         | A      |



| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20211018 | BRKS    | 0                   | 324          | 696          | A      |
| 20211018 | BRLT    | 0                   | 200          | 304          | A      |
| 20211018 | BRMK    | 0                   | 808          | 966          | A      |
| 20211018 | BRMK WS | 10600               | 11789        | 15678        | A      |
| 20211018 | BRN     | 32                  | 2068         | 5405         | A      |
| 20211018 | BRO     | 0                   | 2214         | 2998         | A      |
| 20211018 | BROS    | 0                   | 1210         | 2980         | A      |
| 20211018 | BRP     | 0                   | 82           | 1282         | A      |
| 20211018 | BRQS    | 0                   | 25           | 25           | A      |
| 20211018 | BRSP    | 0                   | 710          | 1370         | A      |
| 20211018 | BRW     | 0                   | 624          | 1600         | A      |
| 20211018 | BRX     | 0                   | 6012         | 8613         | A      |
| 20211018 | BRY     | 0                   | 470          | 928          | A      |
| 20211018 | BRZU    | 0                   | 0            | 100          | A      |
| 20211018 | BSAC    | 0                   | 10           | 70           | A      |
| 20211018 | BSBR    | 0                   | 58324        | 65988        | A      |
| 20211018 | BSCL    | 0                   | 0            | 200          | A      |
| 20211018 | BSCN    | 0                   | 100          | 100          | A      |
| 20211018 | BSCP    | 0                   | 0            | 51           | A      |
| 20211018 | BSCS    | 0                   | 0            | 52           | A      |
| 20211018 | BSIG    | 0                   | 302          | 520          | A      |
| 20211018 | BSJL    | 0                   | 200          | 200          | A      |
| 20211018 | BSJM    | 0                   | 200          | 200          | A      |
| 20211018 | BSJN    | 0                   | 400          | 500          | A      |
| 20211018 | BSJO    | 0                   | 200          | 200          | A      |
| 20211018 | BSL     | 0                   | 700          | 700          | A      |
| 20211018 | BSM     | 0                   | 100          | 200          | A      |
| 20211018 | BSN     | 0                   | 0            | 100          | A      |
| 20211018 | BSRR    | 0                   | 0            | 14           | A      |
| 20211018 | BSV     | 0                   | 7534         | 8651         | A      |
| 20211018 | BSVN    | 0                   | 0            | 40           | A      |
| 20211018 | BSX     | 0                   | 4346         | 5762         | A      |
| 20211018 | BSX PRA | 0                   | 0            | 12           | A      |
| 20211018 | BSY     | 0                   | 508          | 963          | A      |
| 20211018 | BTAI    | 0                   | 340          | 340          | A      |
| 20211018 | BTBT    | 0                   | 1251         | 5394         | A      |
| 20211018 | BTCM    | 0                   | 1444         | 2179         | A      |
| 20211018 | BTG     | 30988               | 144158       | 247281       | A      |
| 20211018 | BTI     | 0                   | 7792         | 7971         | A      |
| 20211018 | BTN     | 0                   | 2766         | 6912         | A      |
| 20211018 | BTRS    | 0                   | 204          | 334          | A      |
| 20211018 | BTTR    | 215                 | 5137         | 15191        | A      |
| 20211018 | BTU     | 0                   | 8849         | 17529        | A      |
| 20211018 | BTWN    | 0                   | 0            | 795          | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | BTX    | 764                 | 20494        | 26425        | A      |
| 20211018 | BUD    | 0                   | 1790         | 2404         | A      |
| 20211018 | BUFD   | 0                   | 1600         | 1600         | A      |
| 20211018 | BUG    | 0                   | 100          | 600          | A      |
| 20211018 | BULZ   | 0                   | 613          | 970          | A      |
| 20211018 | BUR    | 0                   | 612          | 612          | A      |
| 20211018 | BURL   | 0                   | 1674         | 2856         | A      |
| 20211018 | BUSE   | 0                   | 600          | 600          | A      |
| 20211018 | BUZZ   | 0                   | 170          | 170          | A      |
| 20211018 | BV     | 0                   | 0            | 100          | A      |
| 20211018 | BVH    | 0                   | 0            | 107          | A      |
| 20211018 | BVN    | 0                   | 1517         | 1717         | A      |
| 20211018 | BVXV   | 0                   | 0            | 100          | A      |
| 20211018 | BW     | 0                   | 0            | 7            | A      |
| 20211018 | BWA    | 0                   | 1595         | 23626        | A      |
| 20211018 | BWEN   | 400                 | 700          | 1220         | A      |
| 20211018 | BWFG   | 0                   | 3            | 34           | A      |
| 20211018 | BWG    | 0                   | 0            | 100          | A      |
| 20211018 | BWMX   | 0                   | 60           | 60           | A      |
| 20211018 | BWXT   | 0                   | 49           | 872          | A      |
| 20211018 | BX     | 0                   | 1232         | 1737         | A      |
| 20211018 | BXMT   | 0                   | 12367        | 15742        | A      |
| 20211018 | BXP    | 0                   | 567          | 2374         | A      |
| 20211018 | BXS    | 0                   | 18566        | 18961        | A      |
| 20211018 | BYD    | 0                   | 677          | 1285         | A      |
| 20211018 | BYND   | 0                   | 154          | 1457         | A      |
| 20211018 | BYRN   | 0                   | 100          | 102          | A      |
| 20211018 | BYSI   | 0                   | 123          | 374          | A      |
| 20211018 | BZ     | 0                   | 700          | 5697         | A      |
| 20211018 | BZH    | 0                   | 100          | 200          | A      |
| 20211018 | BZQ    | 0                   | 0            | 2            | A      |
| 20211018 | BZUN   | 0                   | 5736         | 6127         | A      |
| 20211018 | C      | 0                   | 19181        | 32600        | A      |
| 20211018 | CABA   | 0                   | 100          | 264          | A      |
| 20211018 | CABO   | 0                   | 129          | 219          | A      |
| 20211018 | CACC   | 0                   | 112          | 112          | A      |
| 20211018 | CACI   | 0                   | 15           | 15           | A      |
| 20211018 | CADE   | 0                   | 1223         | 1629         | A      |
| 20211018 | CADL   | 0                   | 4            | 4            | A      |
| 20211018 | CAE    | 100                 | 466          | 466          | A      |
| 20211018 | CAG    | 0                   | 4771         | 5933         | A      |
| 20211018 | CAH    | 0                   | 6512         | 8940         | A      |
| 20211018 | CAI    | 0                   | 225          | 249          | A      |
| 20211018 | CAJ    | 0                   | 221          | 406          | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | CAKE   | 0                   | 392          | 763          | A      |
| 20211018 | CAL    | 0                   | 304          | 791          | A      |
| 20211018 | CALA   | 0                   | 11           | 369          | A      |
| 20211018 | CALM   | 0                   | 275          | 398          | A      |
| 20211018 | CALX   | 0                   | 316          | 316          | A      |
| 20211018 | CAMP   | 0                   | 1            | 630          | A      |
| 20211018 | CAMT   | 0                   | 137          | 145          | A      |
| 20211018 | CAN    | 0                   | 6701         | 7592         | A      |
| 20211018 | CANE   | 0                   | 500          | 700          | A      |
| 20211018 | CANF   | 0                   | 372          | 5093         | A      |
| 20211018 | CANG   | 0                   | 2166         | 3650         | A      |
| 20211018 | CANO   | 8565                | 10329        | 11804        | A      |
| 20211018 | CAR    | 0                   | 1990         | 2559         | A      |
| 20211018 | CARA   | 0                   | 703          | 1105         | A      |
| 20211018 | CARG   | 0                   | 1343         | 1494         | A      |
| 20211018 | CARR   | 0                   | 4910         | 14920        | A      |
| 20211018 | CARS   | 0                   | 455          | 674          | A      |
| 20211018 | CASA   | 0                   | 0            | 103          | A      |
| 20211018 | CASI   | 200                 | 7670         | 8060         | A      |
| 20211018 | CASS   | 0                   | 23           | 156          | A      |
| 20211018 | CASY   | 0                   | 107          | 116          | A      |
| 20211018 | CAT    | 0                   | 3338         | 9784         | A      |
| 20211018 | CATC   | 0                   | 0            | 3            | A      |
| 20211018 | CATH   | 0                   | 0            | 100          | A      |
| 20211018 | CATO   | 0                   | 78           | 181          | A      |
| 20211018 | CATY   | 0                   | 375          | 407          | A      |
| 20211018 | CB     | 0                   | 1339         | 2587         | A      |
| 20211018 | CBAT   | 0                   | 3921         | 9422         | A      |
| 20211018 | CBAY   | 0                   | 0            | 213          | A      |
| 20211018 | CBD    | 600                 | 20499        | 26248        | A      |
| 20211018 | CBIO   | 0                   | 0            | 89           | A      |
| 20211018 | CBOE   | 0                   | 510          | 845          | A      |
| 20211018 | CBRE   | 0                   | 974          | 1147         | A      |
| 20211018 | CBRL   | 0                   | 427          | 1193         | A      |
| 20211018 | CBSH   | 0                   | 749          | 1246         | A      |
| 20211018 | CBT    | 0                   | 2064         | 2083         | A      |
| 20211018 | CBU    | 0                   | 272          | 330          | A      |
| 20211018 | CBZ    | 0                   | 106          | 209          | A      |
| 20211018 | CC     | 0                   | 4598         | 4864         | A      |
| 20211018 | CCAP   | 0                   | 120          | 170          | A      |
| 20211018 | CCBG   | 0                   | 15           | 15           | A      |
| 20211018 | CCCC   | 0                   | 7            | 101          | A      |
| 20211018 | CCCS   | 0                   | 1131         | 2431         | A      |
| 20211018 | CCEP   | 0                   | 135          | 733          | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | CCF    | 100                 | 1431         | 3036         | A      |
| 20211018 | CCI    | 0                   | 2278         | 5183         | A      |
| 20211018 | CCJ    | 300                 | 8587         | 14911        | A      |
| 20211018 | CCK    | 621                 | 1240         | 2560         | A      |
| 20211018 | CCL    | 802                 | 51357        | 79026        | A      |
| 20211018 | CCMP   | 0                   | 166          | 533          | A      |
| 20211018 | CCNE   | 0                   | 0            | 4            | A      |
| 20211018 | CCO    | 0                   | 576          | 679          | A      |
| 20211018 | CCOI   | 0                   | 98           | 100          | A      |
| 20211018 | CCRN   | 0                   | 152          | 550          | A      |
| 20211018 | CCS    | 0                   | 50           | 150          | A      |
| 20211018 | CCSI   | 0                   | 1632         | 3550         | A      |
| 20211018 | CCU    | 0                   | 235          | 335          | A      |
| 20211018 | CCXI   | 0                   | 1458         | 2782         | A      |
| 20211018 | CD     | 0                   | 543          | 843          | A      |
| 20211018 | CDAY   | 5                   | 284          | 554          | A      |
| 20211018 | CDE    | 0                   | 4892         | 8134         | A      |
| 20211018 | CDEV   | 100                 | 16791        | 23028        | A      |
| 20211018 | CDK    | 0                   | 1572         | 2063         | A      |
| 20211018 | CDLX   | 0                   | 451          | 451          | A      |
| 20211018 | CDMO   | 0                   | 1139         | 1348         | A      |
| 20211018 | CDNA   | 0                   | 227          | 1257         | A      |
| 20211018 | CDNS   | 0                   | 1547         | 2648         | A      |
| 20211018 | CDOR   | 0                   | 6478         | 11855        | A      |
| 20211018 | CDR    | 0                   | 11           | 898          | A      |
| 20211018 | CDW    | 0                   | 3385         | 5098         | A      |
| 20211018 | CDXC   | 0                   | 390          | 490          | A      |
| 20211018 | CDXS   | 0                   | 257          | 2597         | A      |
| 20211018 | CDZI   | 0                   | 100          | 200          | A      |
| 20211018 | CE     | 2                   | 569          | 1781         | A      |
| 20211018 | CEF    | 0                   | 2000         | 2314         | A      |
| 20211018 | CEI    | 247993              | 1808414      | 4789363      | A      |
| 20211018 | CEIX   | 0                   | 382          | 1338         | A      |
| 20211018 | CELC   | 0                   | 0            | 12           | A      |
| 20211018 | CELH   | 0                   | 24           | 355          | A      |
| 20211018 | CEMI   | 0                   | 3253         | 3453         | A      |
| 20211018 | CENT   | 0                   | 17           | 98           | A      |
| 20211018 | CENTA  | 0                   | 0            | 313          | A      |
| 20211018 | CENX   | 0                   | 213          | 2039         | A      |
| 20211018 | CEPU   | 0                   | 0            | 609          | A      |
| 20211018 | CEQP   | 0                   | 1892         | 5082         | A      |
| 20211018 | CERE   | 0                   | 0            | 1            | A      |
| 20211018 | CERN   | 600                 | 3686         | 8913         | A      |
| 20211018 | CERS   | 0                   | 211          | 898          | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | CERT   | 0                   | 268          | 468          | A      |
| 20211018 | CET    | 0                   | 69           | 670          | A      |
| 20211018 | CETX   | 1000                | 2300         | 3650         | A      |
| 20211018 | CEV    | 0                   | 308          | 5101         | A      |
| 20211018 | CEVA   | 0                   | 18           | 62           | A      |
| 20211018 | CF     | 4329                | 8487         | 9148         | A      |
| 20211018 | CFB    | 0                   | 65           | 65           | A      |
| 20211018 | CFFN   | 0                   | 634          | 995          | A      |
| 20211018 | CFG    | 100                 | 4294         | 5284         | A      |
| 20211018 | CFLT   | 0                   | 121          | 130          | A      |
| 20211018 | CFMS   | 0                   | 11058        | 16158        | A      |
| 20211018 | CFR    | 0                   | 206          | 209          | A      |
| 20211018 | CFX    | 4520                | 5533         | 6664         | A      |
| 20211018 | CG     | 0                   | 369          | 689          | A      |
| 20211018 | CGAU   | 0                   | 742          | 742          | A      |
| 20211018 | CGBD   | 900                 | 900          | 900          | A      |
| 20211018 | CGC    | 300                 | 4148         | 8152         | A      |
| 20211018 | CGEM   | 73                  | 294          | 609          | A      |
| 20211018 | CGEN   | 0                   | 428          | 1346         | A      |
| 20211018 | CGNT   | 0                   | 2514         | 2970         | A      |
| 20211018 | CGNX   | 0                   | 297          | 700          | A      |
| 20211018 | CGRN   | 0                   | 0            | 100          | A      |
| 20211018 | CGTX   | 0                   | 200          | 1300         | A      |
| 20211018 | CGW    | 0                   | 0            | 100          | A      |
| 20211018 | CHCO   | 0                   | 63           | 64           | A      |
| 20211018 | CHCT   | 0                   | 66           | 94           | A      |
| 20211018 | CHD    | 0                   | 983          | 2469         | A      |
| 20211018 | CHDN   | 0                   | 43           | 137          | A      |
| 20211018 | CHE    | 0                   | 61           | 214          | A      |
| 20211018 | CHEF   | 0                   | 16           | 2223         | A      |
| 20211018 | CHGG   | 0                   | 2035         | 2708         | A      |
| 20211018 | CHH    | 0                   | 153          | 634          | A      |
| 20211018 | CHI    | 0                   | 0            | 520          | A      |
| 20211018 | CHIX   | 0                   | 58           | 58           | A      |
| 20211018 | CHK    | 0                   | 790          | 1258         | A      |
| 20211018 | CHKP   | 0                   | 164          | 1547         | A      |
| 20211018 | CHMI   | 0                   | 4            | 100          | A      |
| 20211018 | CHNG   | 0                   | 700          | 1118         | A      |
| 20211018 | CHPT   | 0                   | 3973         | 6100         | A      |
| 20211018 | CHRS   | 0                   | 305          | 305          | A      |
| 20211018 | CHRW   | 0                   | 4357         | 5600         | A      |
| 20211018 | CHS    | 0                   | 9241         | 12255        | A      |
| 20211018 | CHTR   | 0                   | 2400         | 4594         | A      |
| 20211018 | CHWY   | 0                   | 638          | 2155         | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | CHX    | 0                   | 2388         | 3814         | A      |
| 20211018 | CHY    | 0                   | 0            | 10           | A      |
| 20211018 | CI     | 0                   | 3180         | 5081         | A      |
| 20211018 | CIA    | 0                   | 0            | 11           | A      |
| 20211018 | CIBR   | 0                   | 1174         | 1474         | A      |
| 20211018 | CIDM   | 400                 | 17745        | 19252        | A      |
| 20211018 | CIEN   | 0                   | 618          | 894          | A      |
| 20211018 | CIFR   | 0                   | 474          | 1233         | A      |
| 20211018 | CIG    | 1300                | 19450        | 37050        | A      |
| 20211018 | CIGI   | 0                   | 145          | 245          | A      |
| 20211018 | CIK    | 0                   | 3299         | 22231        | A      |
| 20211018 | CIM    | 0                   | 2476         | 2976         | A      |
| 20211018 | CINF   | 0                   | 586          | 1997         | A      |
| 20211018 | CIO    | 0                   | 468          | 1052         | A      |
| 20211018 | CIR    | 0                   | 164          | 164          | A      |
| 20211018 | CIT    | 0                   | 1196         | 2396         | A      |
| 20211018 | CIX    | 0                   | 151          | 673          | A      |
| 20211018 | CIXX   | 0                   | 3            | 117          | A      |
| 20211018 | CKPT   | 0                   | 0            | 300          | A      |
| 20211018 | CKX    | 0                   | 5            | 11           | A      |
| 20211018 | CL     | 0                   | 5386         | 8743         | A      |
| 20211018 | CLAR   | 0                   | 0            | 8            | A      |
| 20211018 | CLB    | 0                   | 552          | 910          | A      |
| 20211018 | CLBK   | 0                   | 100          | 100          | A      |
| 20211018 | CLBS   | 100                 | 700          | 700          | A      |
| 20211018 | CLBT   | 0                   | 151          | 1035         | A      |
| 20211018 | CLDT   | 0                   | 100          | 103          | A      |
| 20211018 | CLDX   | 0                   | 110          | 121          | A      |
| 20211018 | CLF    | 400                 | 34141        | 38663        | A      |
| 20211018 | CLFD   | 0                   | 1            | 1            | A      |
| 20211018 | CLGN   | 0                   | 0            | 37           | A      |
| 20211018 | CLH    | 0                   | 3            | 127          | A      |
| 20211018 | CLI    | 0                   | 559          | 959          | A      |
| 20211018 | CLIR   | 0                   | 0            | 106          | A      |
| 20211018 | CLLS   | 0                   | 0            | 281          | A      |
| 20211018 | CLM    | 7662                | 20393        | 71254        | A      |
| 20211018 | CLMT   | 0                   | 0            | 500          | A      |
| 20211018 | CLNE   | 0                   | 4805         | 6221         | A      |
| 20211018 | CLNN   | 0                   | 228          | 228          | A      |
| 20211018 | CLOU   | 400                 | 1300         | 2474         | A      |
| 20211018 | CLOV   | 0                   | 1716         | 2704         | A      |
| 20211018 | CLPR   | 0                   | 1            | 1            | A      |
| 20211018 | CLPT   | 0                   | 97           | 97           | A      |
| 20211018 | CLR    | 9                   | 915          | 1501         | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | CLS    | 0                   | 1614         | 1823         | A      |
| 20211018 | CLSD   | 0                   | 101          | 101          | A      |
| 20211018 | CLSK   | 0                   | 2119         | 2219         | A      |
| 20211018 | CLSN   | 0                   | 400          | 600          | A      |
| 20211018 | CLVS   | 0                   | 13872        | 14291        | A      |
| 20211018 | CLVT   | 0                   | 5942         | 7126         | A      |
| 20211018 | CLW    | 0                   | 1            | 521          | A      |
| 20211018 | CLX    | 0                   | 1975         | 4202         | A      |
| 20211018 | CLXT   | 0                   | 58           | 58           | A      |
| 20211018 | CM     | 0                   | 0            | 101          | A      |
| 20211018 | CMA    | 0                   | 541          | 657          | A      |
| 20211018 | CMAX   | 0                   | 8            | 117          | A      |
| 20211018 | CMBM   | 0                   | 87           | 527          | A      |
| 20211018 | CMC    | 0                   | 1468         | 3204         | A      |
| 20211018 | CMCL   | 1                   | 536          | 1162         | A      |
| 20211018 | CMCM   | 0                   | 183          | 567          | A      |
| 20211018 | CMCO   | 0                   | 2            | 4            | A      |
| 20211018 | CMCSA  | 300                 | 65978        | 85813        | A      |
| 20211018 | CMDY   | 0                   | 0            | 800          | A      |
| 20211018 | CME    | 0                   | 1997         | 2605         | A      |
| 20211018 | CMG    | 0                   | 20           | 121          | A      |
| 20211018 | CMI    | 0                   | 480          | 1390         | A      |
| 20211018 | CMLS   | 0                   | 45           | 45           | A      |
| 20211018 | CMLT   | 0                   | 3199         | 3299         | A      |
| 20211018 | CMMB   | 0                   | 0            | 200          | A      |
| 20211018 | CMO    | 100                 | 10319        | 36445        | A      |
| 20211018 | CMP    | 0                   | 619          | 1250         | A      |
| 20211018 | CMPR   | 0                   | 0            | 6            | A      |
| 20211018 | CMPS   | 0                   | 121          | 1369         | A      |
| 20211018 | CMRE   | 0                   | 206          | 722          | A      |
| 20211018 | CMRX   | 0                   | 0            | 49           | A      |
| 20211018 | CMS    | 0                   | 6109         | 9569         | A      |
| 20211018 | CMT    | 0                   | 1066         | 5025         | A      |
| 20211018 | CMTL   | 0                   | 413          | 419          | A      |
| 20211018 | CNA    | 0                   | 208          | 208          | A      |
| 20211018 | CNC    | 0                   | 4042         | 6498         | A      |
| 20211018 | CND    | 0                   | 43           | 43           | A      |
| 20211018 | CNDT   | 0                   | 600          | 700          | A      |
| 20211018 | CNET   | 0                   | 0            | 100          | A      |
| 20211018 | CNF    | 0                   | 0            | 285          | A      |
| 20211018 | CNHI   | 0                   | 2360         | 2881         | A      |
| 20211018 | CNI    | 0                   | 1416         | 9802         | A      |
| 20211018 | CNK    | 0                   | 10593        | 25701        | A      |
| 20211018 | CNMD   | 0                   | 612          | 612          | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20211018 | CNNE    | 1865                | 2644         | 3418         | A      |
| 20211018 | CNO     | 0                   | 246          | 2880         | A      |
| 20211018 | CNP     | 0                   | 9187         | 10914        | A      |
| 20211018 | CNQ     | 0                   | 6585         | 12322        | A      |
| 20211018 | CNR     | 0                   | 500          | 1175         | A      |
| 20211018 | CNS     | 0                   | 100          | 103          | A      |
| 20211018 | CNSL    | 0                   | 825          | 825          | A      |
| 20211018 | CNTA    | 0                   | 30           | 30           | A      |
| 20211018 | CNTY    | 0                   | 6            | 6            | A      |
| 20211018 | CNVY    | 0                   | 159          | 279          | A      |
| 20211018 | CNX     | 0                   | 4416         | 4854         | A      |
| 20211018 | CNXC    | 0                   | 37           | 37           | A      |
| 20211018 | CODI    | 0                   | 0            | 91           | A      |
| 20211018 | CODX    | 0                   | 100          | 405          | A      |
| 20211018 | COE     | 0                   | 425          | 600          | A      |
| 20211018 | COF     | 0                   | 1503         | 2117         | A      |
| 20211018 | COF PRN | 0                   | 0            | 120          | A      |
| 20211018 | COHN    | 0                   | 2208         | 4487         | A      |
| 20211018 | COHR    | 0                   | 221          | 224          | A      |
| 20211018 | COHU    | 0                   | 201          | 401          | A      |
| 20211018 | COIN    | 0                   | 5590         | 12086        | A      |
| 20211018 | COKE    | 0                   | 10           | 10           | A      |
| 20211018 | COLB    | 0                   | 677          | 1251         | A      |
| 20211018 | COLD    | 0                   | 4076         | 6562         | A      |
| 20211018 | COLL    | 0                   | 19           | 222          | A      |
| 20211018 | COLM    | 0                   | 1085         | 1224         | A      |
| 20211018 | COMB    | 0                   | 164          | 164          | A      |
| 20211018 | COMM    | 0                   | 1471         | 2840         | A      |
| 20211018 | COMP    | 0                   | 117          | 134          | A      |
| 20211018 | COMS    | 100                 | 300          | 409          | A      |
| 20211018 | COMT    | 0                   | 200          | 200          | A      |
| 20211018 | CONE    | 0                   | 141          | 509          | A      |
| 20211018 | CONN    | 0                   | 14           | 61           | A      |
| 20211018 | CONX    | 0                   | 25           | 25           | A      |
| 20211018 | COO     | 0                   | 501          | 674          | A      |
| 20211018 | COOK    | 0                   | 140          | 1475         | A      |
| 20211018 | COOP    | 0                   | 318          | 937          | A      |
| 20211018 | COP     | 55                  | 8543         | 14324        | A      |
| 20211018 | COPX    | 0                   | 100          | 477          | A      |
| 20211018 | COR     | 0                   | 47           | 51           | A      |
| 20211018 | CORN    | 248                 | 254          | 754          | A      |
| 20211018 | CORT    | 0                   | 877          | 1037         | A      |
| 20211018 | COST    | 0                   | 4889         | 5872         | A      |
| 20211018 | COTY    | 0                   | 4081         | 5444         | A      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | COUP   | 0                   | 122          | 2387         | A      |
| 20211018 | COUR   | 0                   | 1032         | 1753         | A      |
| 20211018 | COWN   | 0                   | 35           | 570          | A      |
| 20211018 | CP     | 0                   | 14441        | 20310        | A      |
| 20211018 | CPB    | 0                   | 982          | 3900         | A      |
| 20211018 | CPE    | 23                  | 704          | 2990         | A      |
| 20211018 | CPER   | 0                   | 0            | 406          | A      |
| 20211018 | CPF    | 0                   | 0            | 21           | A      |
| 20211018 | CPG    | 700                 | 9876         | 11976        | A      |
| 20211018 | CPHI   | 985                 | 3556         | 16587        | A      |
| 20211018 | CPK    | 0                   | 110          | 110          | A      |
| 20211018 | CPNG   | 0                   | 1990         | 5475         | A      |
| 20211018 | CPOP   | 0                   | 100          | 100          | A      |
| 20211018 | CPRI   | 0                   | 2470         | 6178         | A      |
| 20211018 | CPRT   | 0                   | 1940         | 3022         | A      |
| 20211018 | CPRX   | 0                   | 297          | 497          | A      |
| 20211018 | CPS    | 0                   | 11           | 87           | A      |
| 20211018 | CPSI   | 0                   | 125          | 285          | A      |
| 20211018 | CPT    | 0                   | 101          | 221          | A      |
| 20211018 | CQP    | 20                  | 9742         | 36850        | A      |
| 20211018 | CQQQ   | 0                   | 30           | 266          | A      |
| 20211018 | CR     | 0                   | 30           | 150          | A      |
| 20211018 | CRAI   | 0                   | 0            | 6            | A      |
| 20211018 | CRBP   | 0                   | 0            | 1800         | A      |
| 20211018 | CRBU   | 0                   | 53           | 773          | A      |
| 20211018 | CRC    | 0                   | 699          | 1688         | A      |
| 20211018 | CRCT   | 0                   | 100          | 100          | A      |
| 20211018 | CRDF   | 0                   | 409          | 790          | A      |
| 20211018 | CRDL   | 100                 | 8462         | 8462         | A      |
| 20211018 | CREG   | 0                   | 100          | 100          | A      |
| 20211018 | CRESY  | 0                   | 100          | 200          | A      |
| 20211018 | CRF    | 78                  | 13175        | 39887        | A      |
| 20211018 | CRH    | 0                   | 110          | 2192         | A      |
| 20211018 | CRI    | 0                   | 31           | 38           | A      |
| 20211018 | CRIS   | 0                   | 399          | 405          | A      |
| 20211018 | CRK    | 0                   | 5118         | 7487         | A      |
| 20211018 | CRL    | 0                   | 136          | 348          | A      |
| 20211018 | CRM    | 0                   | 11257        | 21115        | A      |
| 20211018 | CRMD   | 0                   | 411          | 423          | A      |
| 20211018 | CRMT   | 0                   | 136          | 224          | A      |
| 20211018 | CRNC   | 0                   | 615          | 1197         | A      |
| 20211018 | CRNT   | 0                   | 0            | 8            | A      |
| 20211018 | CRNX   | 0                   | 777          | 1387         | A      |
| 20211018 | CRON   | 0                   | 3571         | 4375         | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | CROX   | 0                   | 2872         | 6086         | A      |
| 20211018 | CRS    | 0                   | 328          | 2873         | A      |
| 20211018 | CRSP   | 0                   | 2601         | 2964         | A      |
| 20211018 | CRSR   | 962                 | 1694         | 4392         | A      |
| 20211018 | CRTD   | 0                   | 6787         | 6787         | A      |
| 20211018 | CRTO   | 0                   | 6            | 378          | A      |
| 20211018 | CRTX   | 0                   | 560          | 675          | A      |
| 20211018 | CRUS   | 0                   | 138          | 1952         | A      |
| 20211018 | CRVS   | 0                   | 627          | 3733         | A      |
| 20211018 | CRWD   | 0                   | 3384         | 7730         | A      |
| 20211018 | CRXT   | 0                   | 155          | 361          | A      |
| 20211018 | CRY    | 0                   | 0            | 18           | A      |
| 20211018 | CS     | 0                   | 2525         | 2625         | A      |
| 20211018 | CSAN   | 0                   | 0            | 1            | A      |
| 20211018 | CSCO   | 112                 | 24389        | 45050        | A      |
| 20211018 | CSGP   | 0                   | 1194         | 1772         | A      |
| 20211018 | CSGS   | 0                   | 194          | 262          | A      |
| 20211018 | CSII   | 0                   | 78           | 87           | A      |
| 20211018 | CSIQ   | 0                   | 1094         | 1256         | A      |
| 20211018 | CSL    | 0                   | 172          | 205          | A      |
| 20211018 | CSPR   | 0                   | 169          | 1067         | A      |
| 20211018 | CSQ    | 0                   | 0            | 149          | A      |
| 20211018 | CSR    | 0                   | 7            | 37           | A      |
| 20211018 | CSSE   | 0                   | 5            | 79           | A      |
| 20211018 | CSTE   | 100                 | 100          | 125          | A      |
| 20211018 | CSTL   | 0                   | 102          | 303          | A      |
| 20211018 | CSTM   | 0                   | 356          | 1357         | A      |
| 20211018 | CSTR   | 0                   | 0            | 1            | A      |
| 20211018 | CSV    | 0                   | 179          | 379          | A      |
| 20211018 | CSX    | 100                 | 20142        | 40585        | A      |
| 20211018 | CTAS   | 0                   | 264          | 332          | A      |
| 20211018 | CTBI   | 0                   | 6            | 10           | A      |
| 20211018 | CTEC   | 0                   | 0            | 255          | A      |
| 20211018 | CTEK   | 10                  | 4087         | 7299         | A      |
| 20211018 | CTIC   | 0                   | 4285         | 4695         | A      |
| 20211018 | CTK    | 300                 | 300          | 300          | A      |
| 20211018 | CTKB   | 0                   | 530          | 1600         | A      |
| 20211018 | CTLP   | 0                   | 0            | 100          | A      |
| 20211018 | CTLT   | 0                   | 1650         | 2641         | A      |
| 20211018 | CTMX   | 0                   | 200          | 200          | A      |
| 20211018 | CTO    | 0                   | 1            | 2            | A      |
| 20211018 | CTOS   | 0                   | 121          | 603          | A      |
| 20211018 | CTRA   | 0                   | 18129        | 61493        | A      |
| 20211018 | CTRE   | 0                   | 295          | 1385         | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | CTRM   | 0                   | 4435         | 5135         | A      |
| 20211018 | CTRN   | 0                   | 705          | 739          | A      |
| 20211018 | CTS    | 0                   | 62           | 63           | A      |
| 20211018 | CTSH   | 0                   | 5414         | 12378        | A      |
| 20211018 | CTSO   | 0                   | 0            | 100          | A      |
| 20211018 | CTT    | 730                 | 929          | 1732         | A      |
| 20211018 | CTVA   | 0                   | 8126         | 12861        | A      |
| 20211018 | CTXR   | 0                   | 1467         | 3267         | A      |
| 20211018 | CTXS   | 0                   | 3048         | 5617         | A      |
| 20211018 | CUBE   | 0                   | 1552         | 3554         | A      |
| 20211018 | CUBI   | 0                   | 50           | 83           | A      |
| 20211018 | CUE    | 0                   | 130          | 232          | A      |
| 20211018 | CUEN   | 0                   | 0            | 35           | A      |
| 20211018 | CUK    | 0                   | 5983         | 8174         | A      |
| 20211018 | CURE   | 0                   | 0            | 144          | A      |
| 20211018 | CURI   | 0                   | 0            | 100          | A      |
| 20211018 | CURV   | 0                   | 0            | 310          | A      |
| 20211018 | CUTR   | 0                   | 14           | 23           | A      |
| 20211018 | CUZ    | 0                   | 1579         | 4604         | A      |
| 20211018 | CVA    | 0                   | 2044         | 4047         | A      |
| 20211018 | CVAC   | 13                  | 32           | 631          | A      |
| 20211018 | CVBF   | 0                   | 350          | 518          | A      |
| 20211018 | CVCO   | 0                   | 100          | 210          | A      |
| 20211018 | CVE    | 1000                | 27119        | 37762        | A      |
| 20211018 | CVET   | 0                   | 1006         | 1574         | A      |
| 20211018 | CVGI   | 0                   | 96           | 216          | A      |
| 20211018 | CVGW   | 0                   | 169          | 170          | A      |
| 20211018 | CVI    | 12                  | 223          | 941          | A      |
| 20211018 | CVII   | 0                   | 9            | 9            | A      |
| 20211018 | CVLG   | 0                   | 0            | 100          | A      |
| 20211018 | CVLT   | 0                   | 210          | 382          | A      |
| 20211018 | CVLY   | 0                   | 0            | 22           | A      |
| 20211018 | CVM    | 218                 | 69222        | 113068       | A      |
| 20211018 | CVNA   | 0                   | 193          | 193          | A      |
| 20211018 | CVR    | 0                   | 14           | 898          | A      |
| 20211018 | CVS    | 0                   | 13091        | 34578        | A      |
| 20211018 | CVU    | 0                   | 1246         | 4486         | A      |
| 20211018 | CVX    | 12                  | 10305        | 28208        | A      |
| 20211018 | CW     | 0                   | 29           | 323          | A      |
| 20211018 | CWAN   | 0                   | 0            | 701          | A      |
| 20211018 | CWB    | 0                   | 350          | 350          | A      |
| 20211018 | CWEB   | 0                   | 1020         | 1311         | A      |
| 20211018 | CWEN   | 100                 | 2014         | 2397         | A      |
| 20211018 | CWEN A | 0                   | 0            | 164          | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | CWH    | 0                   | 711          | 1004         | A      |
| 20211018 | CWI    | 0                   | 100          | 100          | A      |
| 20211018 | CWK    | 0                   | 871          | 3742         | A      |
| 20211018 | CWST   | 0                   | 9            | 9            | A      |
| 20211018 | CWT    | 0                   | 664          | 982          | A      |
| 20211018 | CX     | 0                   | 9256         | 18746        | A      |
| 20211018 | CXM    | 0                   | 100          | 1300         | A      |
| 20211018 | CXP    | 0                   | 1717         | 5117         | A      |
| 20211018 | CXSE   | 0                   | 0            | 100          | A      |
| 20211018 | CXW    | 0                   | 242          | 642          | A      |
| 20211018 | CYBE   | 0                   | 0            | 8            | A      |
| 20211018 | CYBN   | 12521               | 39616        | 60310        | A      |
| 20211018 | CYBR   | 0                   | 389          | 1287         | A      |
| 20211018 | CYCN   | 0                   | 3            | 3            | A      |
| 20211018 | CYH    | 0                   | 2180         | 3748         | A      |
| 20211018 | CYRX   | 0                   | 157          | 227          | A      |
| 20211018 | CYT    | 0                   | 42           | 108          | A      |
| 20211018 | CYTK   | 0                   | 203          | 229          | A      |
| 20211018 | CYXT   | 0                   | 222          | 1175         | A      |
| 20211018 | CYXTW  | 0                   | 0            | 171          | A      |
| 20211018 | CZOO   | 0                   | 2900         | 4500         | A      |
| 20211018 | CZR    | 0                   | 2372         | 3854         | A      |
| 20211018 | D      | 0                   | 8000         | 11980        | A      |
| 20211018 | DAC    | 0                   | 1            | 113          | A      |
| 20211018 | DADA   | 0                   | 2128         | 3255         | A      |
| 20211018 | DAKT   | 0                   | 299          | 500          | A      |
| 20211018 | DAL    | 500                 | 13849        | 27357        | A      |
| 20211018 | DAN    | 0                   | 1761         | 2241         | A      |
| 20211018 | DAO    | 0                   | 700          | 1574         | A      |
| 20211018 | DAR    | 0                   | 3231         | 8478         | A      |
| 20211018 | DARE   | 0                   | 4586         | 7087         | A      |
| 20211018 | DASH   | 0                   | 309          | 367          | A      |
| 20211018 | DATS   | 500                 | 725          | 2044         | A      |
| 20211018 | DAVA   | 0                   | 0            | 664          | A      |
| 20211018 | DAWN   | 0                   | 7            | 7            | A      |
| 20211018 | DB     | 100                 | 5154         | 10254        | A      |
| 20211018 | DBA    | 0                   | 4739         | 5539         | A      |
| 20211018 | DBB    | 200                 | 300          | 900          | A      |
| 20211018 | DBC    | 2700                | 22374        | 24940        | A      |
| 20211018 | DBD    | 0                   | 445          | 585          | A      |
| 20211018 | DBE    | 200                 | 287          | 341          | A      |
| 20211018 | DBEF   | 0                   | 700          | 800          | A      |
| 20211018 | DBEU   | 0                   | 100          | 360          | A      |
| 20211018 | DBGI   | 0                   | 0            | 100          | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | DBI    | 0                   | 1248         | 2166         | A      |
| 20211018 | DBO    | 0                   | 3363         | 10769        | A      |
| 20211018 | DBRG   | 0                   | 5872         | 7066         | A      |
| 20211018 | DBS    | 0                   | 0            | 400          | A      |
| 20211018 | DBX    | 0                   | 4895         | 5782         | A      |
| 20211018 | DCBO   | 0                   | 109          | 109          | A      |
| 20211018 | DCI    | 0                   | 392          | 843          | A      |
| 20211018 | DCO    | 0                   | 73           | 148          | A      |
| 20211018 | DCOM   | 0                   | 100          | 100          | A      |
| 20211018 | DCP    | 0                   | 103          | 148          | A      |
| 20211018 | DCPH   | 0                   | 189          | 626          | A      |
| 20211018 | DCRC   | 0                   | 0            | 100          | A      |
| 20211018 | DCT    | 655                 | 1662         | 3887         | A      |
| 20211018 | DD     | 2                   | 3959         | 8155         | A      |
| 20211018 | DDD    | 0                   | 1569         | 2248         | A      |
| 20211018 | DDM    | 0                   | 105          | 105          | A      |
| 20211018 | DDOG   | 0                   | 1072         | 4119         | A      |
| 20211018 | DDS    | 0                   | 2401         | 4096         | A      |
| 20211018 | DE     | 0                   | 471          | 2450         | A      |
| 20211018 | DEA    | 0                   | 211          | 257          | A      |
| 20211018 | DECK   | 0                   | 371          | 2398         | A      |
| 20211018 | DEI    | 0                   | 0            | 69           | A      |
| 20211018 | DELL   | 0                   | 4316         | 7568         | A      |
| 20211018 | DEM    | 0                   | 0            | 100          | A      |
| 20211018 | DEN    | 6                   | 724          | 1233         | A      |
| 20211018 | DENN   | 0                   | 135          | 301          | A      |
| 20211018 | DEO    | 0                   | 100          | 100          | A      |
| 20211018 | DES    | 0                   | 0            | 600          | A      |
| 20211018 | DESP   | 0                   | 159          | 159          | A      |
| 20211018 | DFAC   | 0                   | 100          | 100          | A      |
| 20211018 | DFAU   | 0                   | 100          | 100          | A      |
| 20211018 | DFEB   | 0                   | 0            | 100          | A      |
| 20211018 | DFEN   | 0                   | 1873         | 2573         | A      |
| 20211018 | DFIN   | 0                   | 93           | 410          | A      |
| 20211018 | DFS    | 0                   | 1737         | 1819         | A      |
| 20211018 | DFUS   | 0                   | 100          | 100          | A      |
| 20211018 | DG     | 0                   | 2312         | 3772         | A      |
| 20211018 | DGLY   | 0                   | 100          | 1100         | A      |
| 20211018 | DGRO   | 0                   | 2800         | 3332         | A      |
| 20211018 | DGRW   | 0                   | 561          | 561          | A      |
| 20211018 | DGS    | 0                   | 100          | 100          | A      |
| 20211018 | DGX    | 0                   | 1419         | 3524         | A      |
| 20211018 | DH     | 0                   | 1            | 4            | A      |
| 20211018 | DHC    | 0                   | 1329         | 1669         | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | DHI    | 0                   | 6466         | 9968         | A      |
| 20211018 | DHR    | 4                   | 3323         | 4930         | A      |
| 20211018 | DHT    | 400                 | 3075         | 5035         | A      |
| 20211018 | DHX    | 0                   | 9            | 12           | A      |
| 20211018 | DHY    | 5197                | 32687        | 58799        | A      |
| 20211018 | DIA    | 0                   | 437          | 1893         | A      |
| 20211018 | DIAL   | 0                   | 0            | 685          | A      |
| 20211018 | DIBS   | 0                   | 193          | 193          | A      |
| 20211018 | DICE   | 0                   | 309          | 309          | A      |
| 20211018 | DIDI   | 1200                | 10720        | 14414        | A      |
| 20211018 | DIG    | 0                   | 18           | 218          | A      |
| 20211018 | DIN    | 0                   | 195          | 257          | A      |
| 20211018 | DINT   | 0                   | 100          | 200          | A      |
| 20211018 | DIS    | 467                 | 58540        | 91648        | A      |
| 20211018 | DISCA  | 0                   | 15027        | 17774        | A      |
| 20211018 | DISCK  | 0                   | 2706         | 2969         | A      |
| 20211018 | DISH   | 0                   | 230          | 470          | A      |
| 20211018 | DIT    | 0                   | 47           | 137          | A      |
| 20211018 | DIV    | 0                   | 200          | 200          | A      |
| 20211018 | DJP    | 0                   | 286          | 286          | A      |
| 20211018 | DJUL   | 0                   | 0            | 100          | A      |
| 20211018 | DK     | 0                   | 1970         | 2584         | A      |
| 20211018 | DKNG   | 20487               | 29941        | 34052        | A      |
| 20211018 | DKS    | 0                   | 1514         | 1766         | A      |
| 20211018 | DLA    | 1                   | 376          | 1132         | A      |
| 20211018 | DLB    | 0                   | 0            | 106          | A      |
| 20211018 | DLO    | 0                   | 1545         | 1745         | A      |
| 20211018 | DLPN   | 0                   | 0            | 571          | A      |
| 20211018 | DLR    | 0                   | 934          | 1488         | A      |
| 20211018 | DLTH   | 0                   | 168          | 339          | A      |
| 20211018 | DLTR   | 0                   | 2650         | 7300         | A      |
| 20211018 | DLX    | 0                   | 705          | 781          | A      |
| 20211018 | DLY    | 0                   | 200          | 200          | A      |
| 20211018 | DM     | 0                   | 3324         | 5612         | A      |
| 20211018 | DMAC   | 0                   | 278          | 278          | A      |
| 20211018 | DMF    | 0                   | 1140         | 7116         | A      |
| 20211018 | DMS    | 0                   | 0            | 4            | A      |
| 20211018 | DMTK   | 0                   | 521          | 626          | A      |
| 20211018 | DMYQ   | 0                   | 0            | 200          | A      |
| 20211018 | DNA    | 100                 | 650          | 1150         | A      |
| 20211018 | DNAC   | 0                   | 0            | 37           | A      |
| 20211018 | DNAY   | 0                   | 8            | 20           | A      |
| 20211018 | DNB    | 6713                | 10778        | 15192        | A      |
| 20211018 | DNLI   | 0                   | 294          | 1707         | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | DNMR   | 0                   | 2055         | 7973         | A      |
| 20211018 | DNN    | 36168               | 513085       | 1062676      | A      |
| 20211018 | DNOW   | 0                   | 100          | 150          | A      |
| 20211018 | DNP    | 0                   | 1300         | 1300         | A      |
| 20211018 | DNUT   | 600                 | 910          | 910          | A      |
| 20211018 | DOC    | 0                   | 8064         | 9521         | A      |
| 20211018 | DOCN   | 0                   | 1988         | 4815         | A      |
| 20211018 | DOCS   | 0                   | 450          | 4545         | A      |
| 20211018 | DOCT   | 0                   | 200          | 200          | A      |
| 20211018 | DOCU   | 0                   | 511          | 1149         | A      |
| 20211018 | DOG    | 300                 | 4951         | 6185         | A      |
| 20211018 | DOLE   | 200                 | 316          | 2816         | A      |
| 20211018 | DOMA   | 0                   | 200          | 200          | A      |
| 20211018 | DOMO   | 0                   | 218          | 730          | A      |
| 20211018 | DOOO   | 424                 | 1123         | 1139         | A      |
| 20211018 | DOOR   | 0                   | 107          | 132          | A      |
| 20211018 | DORM   | 0                   | 9            | 9            | A      |
| 20211018 | DOV    | 0                   | 876          | 1980         | A      |
| 20211018 | DOW    | 0                   | 2916         | 5622         | A      |
| 20211018 | DOX    | 0                   | 151          | 483          | A      |
| 20211018 | DOYU   | 0                   | 3201         | 3301         | A      |
| 20211018 | DPST   | 0                   | 20           | 30           | A      |
| 20211018 | DPW    | 1304                | 38023        | 72464        | A      |
| 20211018 | DPZ    | 0                   | 1270         | 1614         | A      |
| 20211018 | DQ     | 0                   | 300          | 473          | A      |
| 20211018 | DRD    | 0                   | 309          | 309          | A      |
| 20211018 | DRE    | 0                   | 607          | 7203         | A      |
| 20211018 | DRH    | 0                   | 4902         | 5805         | A      |
| 20211018 | DRI    | 0                   | 404          | 837          | A      |
| 20211018 | DRIO   | 0                   | 308          | 323          | A      |
| 20211018 | DRIP   | 0                   | 6307         | 9007         | A      |
| 20211018 | DRIV   | 0                   | 385          | 535          | A      |
| 20211018 | DRNA   | 0                   | 1447         | 1779         | A      |
| 20211018 | DRQ    | 0                   | 51           | 61           | A      |
| 20211018 | DRRX   | 0                   | 265          | 365          | A      |
| 20211018 | DRSK   | 0                   | 0            | 700          | A      |
| 20211018 | DRV    | 0                   | 0            | 118          | A      |
| 20211018 | DRVN   | 0                   | 346          | 1343         | A      |
| 20211018 | DS     | 0                   | 2533         | 3731         | A      |
| 20211018 | DSEP   | 0                   | 0            | 100          | A      |
| 20211018 | DSEY   | 0                   | 1190         | 1254         | A      |
| 20211018 | DSGN   | 0                   | 53           | 173          | A      |
| 20211018 | DSGX   | 100                 | 200          | 319          | A      |
| 20211018 | DSI    | 0                   | 1001         | 1622         | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | DSKE   | 0                   | 353          | 357          | A      |
| 20211018 | DSL    | 0                   | 300          | 600          | A      |
| 20211018 | DSM    | 0                   | 0            | 100          | A      |
| 20211018 | DSS    | 1885                | 11571        | 24128        | A      |
| 20211018 | DSU    | 0                   | 0            | 500          | A      |
| 20211018 | DT     | 0                   | 809          | 2050         | A      |
| 20211018 | DTE    | 0                   | 1059         | 1703         | A      |
| 20211018 | DTEA   | 0                   | 200          | 200          | A      |
| 20211018 | DTIL   | 0                   | 1627         | 1845         | A      |
| 20211018 | DTM    | 0                   | 1288         | 2127         | A      |
| 20211018 | DUDE   | 0                   | 100          | 100          | A      |
| 20211018 | DUG    | 0                   | 700          | 1302         | A      |
| 20211018 | DUK    | 0                   | 3808         | 6845         | A      |
| 20211018 | DUO    | 0                   | 0            | 200          | A      |
| 20211018 | DUOL   | 0                   | 185          | 1289         | A      |
| 20211018 | DUSL   | 0                   | 2            | 2            | A      |
| 20211018 | DUST   | 0                   | 600          | 700          | A      |
| 20211018 | DV     | 0                   | 6810         | 8008         | A      |
| 20211018 | DVA    | 0                   | 1646         | 4991         | A      |
| 20211018 | DVAX   | 0                   | 1668         | 9998         | A      |
| 20211018 | DVN    | 1                   | 6685         | 27081        | A      |
| 20211018 | DVY    | 0                   | 300          | 300          | A      |
| 20211018 | DVYE   | 0                   | 100          | 100          | A      |
| 20211018 | DWLD   | 0                   | 0            | 100          | A      |
| 20211018 | DX     | 0                   | 0            | 134          | A      |
| 20211018 | DXC    | 0                   | 1290         | 3439         | A      |
| 20211018 | DXCM   | 0                   | 930          | 1744         | A      |
| 20211018 | DXD    | 0                   | 900          | 1200         | A      |
| 20211018 | DXF    | 6                   | 2413         | 4214         | A      |
| 20211018 | DXJ    | 0                   | 100          | 100          | A      |
| 20211018 | DXLG   | 0                   | 569          | 809          | A      |
| 20211018 | DXPE   | 0                   | 103          | 144          | A      |
| 20211018 | DXR    | 0                   | 1            | 1            | A      |
| 20211018 | DXYN   | 0                   | 45           | 45           | A      |
| 20211018 | DY     | 0                   | 1            | 1            | A      |
| 20211018 | DYN    | 0                   | 0            | 20           | A      |
| 20211018 | DZSI   | 0                   | 18           | 20           | A      |
| 20211018 | E      | 0                   | 200          | 201          | A      |
| 20211018 | EA     | 1901                | 5037         | 10822        | A      |
| 20211018 | EAD    | 0                   | 3274         | 12319        | A      |
| 20211018 | EAF    | 0                   | 1176         | 1396         | A      |
| 20211018 | EAR    | 0                   | 2942         | 3042         | A      |
| 20211018 | EARN   | 0                   | 0            | 1256         | A      |
| 20211018 | EAT    | 0                   | 571          | 2479         | A      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | EB     | 0                   | 704          | 1495         | A      |
| 20211018 | EBAY   | 0                   | 10815        | 16425        | A      |
| 20211018 | EBC    | 0                   | 761          | 1590         | A      |
| 20211018 | EBET   | 0                   | 100          | 100          | A      |
| 20211018 | EBF    | 0                   | 0            | 75           | A      |
| 20211018 | EBIX   | 0                   | 218          | 466          | A      |
| 20211018 | EBON   | 0                   | 5441         | 7180         | A      |
| 20211018 | EBR    | 1911                | 5395         | 5695         | A      |
| 20211018 | EBS    | 0                   | 7            | 127          | A      |
| 20211018 | EBSB   | 0                   | 616          | 1070         | A      |
| 20211018 | EBTC   | 0                   | 0            | 1            | A      |
| 20211018 | EC     | 0                   | 840          | 1184         | A      |
| 20211018 | ECF    | 0                   | 1865         | 5768         | A      |
| 20211018 | ECH    | 0                   | 305          | 791          | A      |
| 20211018 | ECHO   | 0                   | 812          | 1512         | A      |
| 20211018 | ECL    | 0                   | 1119         | 2748         | A      |
| 20211018 | ECOL   | 0                   | 169          | 390          | A      |
| 20211018 | ECOM   | 0                   | 66           | 66           | A      |
| 20211018 | ECOR   | 0                   | 0            | 200          | A      |
| 20211018 | ECPG   | 0                   | 596          | 596          | A      |
| 20211018 | ECVT   | 0                   | 100          | 202          | A      |
| 20211018 | ED     | 0                   | 26280        | 36723        | A      |
| 20211018 | EDD    | 0                   | 0            | 100          | A      |
| 20211018 | EDIT   | 0                   | 321          | 2459         | A      |
| 20211018 | EDN    | 0                   | 0            | 200          | A      |
| 20211018 | EDR    | 0                   | 5844         | 6018         | A      |
| 20211018 | EDRY   | 0                   | 100          | 100          | A      |
| 20211018 | EDSA   | 0                   | 100          | 100          | A      |
| 20211018 | EDU    | 2400                | 40381        | 65622        | A      |
| 20211018 | EDV    | 0                   | 0            | 91           | A      |
| 20211018 | EEFT   | 0                   | 622          | 1858         | A      |
| 20211018 | EEM    | 0                   | 60087        | 92510        | A      |
| 20211018 | EEMA   | 0                   | 218          | 218          | A      |
| 20211018 | EEMV   | 0                   | 231          | 331          | A      |
| 20211018 | EEV    | 0                   | 0            | 500          | A      |
| 20211018 | EFA    | 0                   | 49601        | 102338       | A      |
| 20211018 | EFAV   | 0                   | 177          | 615          | A      |
| 20211018 | EFC    | 0                   | 200          | 907          | A      |
| 20211018 | EFG    | 0                   | 2054         | 4209         | A      |
| 20211018 | EFT    | 0                   | 0            | 319          | A      |
| 20211018 | EFTR   | 0                   | 0            | 200          | A      |
| 20211018 | EFV    | 0                   | 8747         | 9247         | A      |
| 20211018 | EFX    | 0                   | 1627         | 2681         | A      |
| 20211018 | EGAN   | 0                   | 3            | 169          | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | EGBN   | 0                   | 2            | 9            | A      |
| 20211018 | EGHT   | 0                   | 119          | 943          | A      |
| 20211018 | EGLE   | 0                   | 7            | 61           | A      |
| 20211018 | EGLX   | 0                   | 400          | 500          | A      |
| 20211018 | EGO    | 0                   | 865          | 1366         | A      |
| 20211018 | EGP    | 0                   | 1            | 1            | A      |
| 20211018 | EGRX   | 0                   | 2            | 2            | A      |
| 20211018 | EH     | 0                   | 300          | 300          | A      |
| 20211018 | EHC    | 0                   | 0            | 209          | A      |
| 20211018 | EHTH   | 0                   | 519          | 2035         | A      |
| 20211018 | EIDO   | 0                   | 320          | 1004         | A      |
| 20211018 | EIG    | 0                   | 111          | 111          | A      |
| 20211018 | EIM    | 5070                | 6646         | 11876        | A      |
| 20211018 | EIX    | 0                   | 3848         | 5931         | A      |
| 20211018 | EL     | 12                  | 1292         | 3680         | A      |
| 20211018 | ELA    | 339                 | 1867         | 4817         | A      |
| 20211018 | ELAN   | 0                   | 1451         | 2212         | A      |
| 20211018 | ELF    | 0                   | 105          | 277          | A      |
| 20211018 | ELLO   | 0                   | 348          | 908          | A      |
| 20211018 | ELMD   | 1                   | 232          | 813          | A      |
| 20211018 | ELMS   | 0                   | 835          | 835          | A      |
| 20211018 | ELP    | 0                   | 703          | 3610         | A      |
| 20211018 | ELS    | 0                   | 439          | 643          | A      |
| 20211018 | ELVT   | 0                   | 2            | 2            | A      |
| 20211018 | ELY    | 0                   | 569          | 1089         | A      |
| 20211018 | ELYM   | 0                   | 106          | 206          | A      |
| 20211018 | EMAN   | 170                 | 9195         | 20034        | A      |
| 20211018 | EMB    | 0                   | 27774        | 29647        | A      |
| 20211018 | EME    | 0                   | 54           | 157          | A      |
| 20211018 | EMKR   | 0                   | 1            | 1            | A      |
| 20211018 | EMLC   | 0                   | 1527         | 2048         | A      |
| 20211018 | EMLP   | 0                   | 99           | 99           | A      |
| 20211018 | EMN    | 0                   | 74           | 925          | A      |
| 20211018 | EMR    | 100                 | 1488         | 7993         | A      |
| 20211018 | EMX    | 5456                | 22410        | 26784        | A      |
| 20211018 | ENB    | 402                 | 19867        | 23028        | A      |
| 20211018 | ENBL   | 0                   | 89           | 264          | A      |
| 20211018 | ENDP   | 200                 | 11077        | 11989        | A      |
| 20211018 | ENG    | 0                   | 3518         | 3718         | A      |
| 20211018 | ENIA   | 0                   | 3906         | 10287        | A      |
| 20211018 | ENIC   | 0                   | 1000         | 1100         | A      |
| 20211018 | ENJYW  | 0                   | 100          | 100          | A      |
| 20211018 | ENLC   | 0                   | 808          | 1222         | A      |
| 20211018 | ENOB   | 0                   | 192          | 455          | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | ENOR   | 0                   | 0            | 100          | A      |
| 20211018 | ENPH   | 0                   | 5820         | 7043         | A      |
| 20211018 | ENR    | 0                   | 542          | 1252         | A      |
| 20211018 | ENS    | 0                   | 916          | 1515         | A      |
| 20211018 | ENSC   | 0                   | 100          | 100          | A      |
| 20211018 | ENSG   | 0                   | 100          | 251          | A      |
| 20211018 | ENSV   | 9                   | 5935         | 9447         | A      |
| 20211018 | ENTA   | 0                   | 1            | 1            | A      |
| 20211018 | ENTG   | 0                   | 151          | 806          | A      |
| 20211018 | ENV    | 0                   | 320          | 520          | A      |
| 20211018 | ENVA   | 0                   | 203          | 212          | A      |
| 20211018 | ENVB   | 100                 | 500          | 1825         | A      |
| 20211018 | ENVX   | 0                   | 927          | 4938         | A      |
| 20211018 | ENX    | 0                   | 1095         | 7405         | A      |
| 20211018 | ENZ    | 200                 | 600          | 700          | A      |
| 20211018 | EOCW   | 100                 | 100          | 100          | A      |
| 20211018 | EOG    | 44                  | 3205         | 10728        | A      |
| 20211018 | EOLS   | 0                   | 100          | 569          | A      |
| 20211018 | EOS    | 0                   | 0            | 1229         | A      |
| 20211018 | EOSE   | 0                   | 787          | 789          | A      |
| 20211018 | EPAC   | 0                   | 228          | 429          | A      |
| 20211018 | EPAM   | 0                   | 2326         | 4729         | A      |
| 20211018 | EPAY   | 0                   | 28           | 52           | A      |
| 20211018 | EPC    | 0                   | 20           | 320          | A      |
| 20211018 | EPD    | 0                   | 8673         | 16628        | A      |
| 20211018 | EPHE   | 0                   | 115          | 1050         | A      |
| 20211018 | EPI    | 0                   | 5323         | 7249         | A      |
| 20211018 | EPIX   | 0                   | 190          | 200          | A      |
| 20211018 | EPM    | 104                 | 5508         | 12752        | A      |
| 20211018 | EPOL   | 0                   | 600          | 700          | A      |
| 20211018 | EPR    | 0                   | 12           | 24           | A      |
| 20211018 | EPRT   | 0                   | 113          | 239          | A      |
| 20211018 | EPZM   | 0                   | 652          | 863          | A      |
| 20211018 | EQ     | 0                   | 0            | 9            | A      |
| 20211018 | EQBK   | 0                   | 4            | 8            | A      |
| 20211018 | EQC    | 0                   | 102          | 965          | A      |
| 20211018 | EQH    | 100                 | 2343         | 3090         | A      |
| 20211018 | EQIX   | 0                   | 606          | 929          | A      |
| 20211018 | EQNR   | 0                   | 5667         | 9412         | A      |
| 20211018 | EQOS   | 0                   | 21           | 141          | A      |
| 20211018 | EQR    | 0                   | 2172         | 3612         | A      |
| 20211018 | EQT    | 0                   | 1904         | 4948         | A      |
| 20211018 | EQX    | 10901               | 70691        | 124428       | A      |
| 20211018 | ERAS   | 0                   | 120          | 234          | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | ERC    | 116                 | 3539         | 9534         | A      |
| 20211018 | ERF    | 413                 | 6382         | 7598         | A      |
| 20211018 | ERH    | 0                   | 387          | 586          | A      |
| 20211018 | ERIC   | 0                   | 5232         | 6267         | A      |
| 20211018 | ERIE   | 0                   | 44           | 101          | A      |
| 20211018 | ERII   | 0                   | 887          | 1165         | A      |
| 20211018 | ERJ    | 500                 | 1649         | 1953         | A      |
| 20211018 | ERUS   | 0                   | 231          | 285          | A      |
| 20211018 | ERX    | 0                   | 300          | 554          | A      |
| 20211018 | ERY    | 300                 | 800          | 1454         | A      |
| 20211018 | ES     | 0                   | 2070         | 4162         | A      |
| 20211018 | ESE    | 0                   | 31           | 343          | A      |
| 20211018 | ESGC   | 0                   | 628          | 1325         | A      |
| 20211018 | ESGD   | 0                   | 0            | 100          | A      |
| 20211018 | ESGE   | 0                   | 800          | 800          | A      |
| 20211018 | ESGR   | 0                   | 2            | 4            | A      |
| 20211018 | ESGU   | 0                   | 2088         | 2300         | A      |
| 20211018 | ESGV   | 0                   | 924          | 924          | A      |
| 20211018 | ESI    | 0                   | 456          | 2138         | A      |
| 20211018 | ESMT   | 0                   | 620          | 1426         | A      |
| 20211018 | ESNT   | 0                   | 261          | 675          | A      |
| 20211018 | ESP    | 0                   | 525          | 1627         | A      |
| 20211018 | ESPR   | 100                 | 1816         | 2800         | A      |
| 20211018 | ESRT   | 0                   | 930          | 1089         | A      |
| 20211018 | ESS    | 0                   | 417          | 467          | A      |
| 20211018 | ESTA   | 0                   | 40           | 43           | A      |
| 20211018 | ESTC   | 1383                | 2023         | 2487         | A      |
| 20211018 | ESTE   | 0                   | 3            | 552          | A      |
| 20211018 | ET     | 260                 | 27451        | 39584        | A      |
| 20211018 | ETD    | 0                   | 87           | 389          | A      |
| 20211018 | ETN    | 0                   | 780          | 1467         | A      |
| 20211018 | ETO    | 0                   | 0            | 137          | A      |
| 20211018 | ETON   | 0                   | 0            | 52           | A      |
| 20211018 | ETR    | 0                   | 3481         | 3843         | A      |
| 20211018 | ETRN   | 0                   | 977          | 1726         | A      |
| 20211018 | ETSY   | 0                   | 7612         | 9472         | A      |
| 20211018 | ETTX   | 0                   | 0            | 3            | A      |
| 20211018 | ETV    | 0                   | 400          | 882          | A      |
| 20211018 | ETWO   | 10506               | 11904        | 12615        | A      |
| 20211018 | ETY    | 0                   | 0            | 662          | A      |
| 20211018 | EUFN   | 0                   | 2100         | 2700         | A      |
| 20211018 | EUM    | 0                   | 0            | 1700         | A      |
| 20211018 | EUO    | 0                   | 95           | 95           | A      |
| 20211018 | EURN   | 0                   | 2312         | 4563         | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | EVA    | 0                   | 100          | 100          | A      |
| 20211018 | EVBG   | 0                   | 103          | 104          | A      |
| 20211018 | EVBN   | 0                   | 1502         | 2815         | A      |
| 20211018 | EVC    | 0                   | 401          | 705          | A      |
| 20211018 | EVCM   | 0                   | 571          | 641          | A      |
| 20211018 | EVER   | 0                   | 2            | 229          | A      |
| 20211018 | EVFM   | 0                   | 300          | 300          | A      |
| 20211018 | EVG    | 0                   | 300          | 300          | A      |
| 20211018 | EVGN   | 0                   | 0            | 200          | A      |
| 20211018 | EVGO   | 0                   | 660          | 1464         | A      |
| 20211018 | EVH    | 0                   | 546          | 781          | A      |
| 20211018 | EVI    | 207                 | 608          | 1564         | A      |
| 20211018 | EVLO   | 0                   | 274          | 275          | A      |
| 20211018 | EVLV   | 0                   | 829          | 829          | A      |
| 20211018 | EVM    | 0                   | 0            | 9671         | A      |
| 20211018 | EVOL   | 0                   | 2000         | 2384         | A      |
| 20211018 | EVOP   | 0                   | 306          | 506          | A      |
| 20211018 | EVR    | 0                   | 82           | 207          | A      |
| 20211018 | EVRG   | 0                   | 3613         | 5226         | A      |
| 20211018 | EVRI   | 0                   | 422          | 803          | A      |
| 20211018 | EVTC   | 0                   | 4            | 11           | A      |
| 20211018 | EVV    | 2758                | 11244        | 18849        | A      |
| 20211018 | EW     | 0                   | 4111         | 6062         | A      |
| 20211018 | EWA    | 0                   | 8691         | 10091        | A      |
| 20211018 | EWBC   | 0                   | 16           | 1048         | A      |
| 20211018 | EWC    | 0                   | 21995        | 24997        | A      |
| 20211018 | EWCZ   | 0                   | 115          | 115          | A      |
| 20211018 | EWD    | 0                   | 1146         | 1647         | A      |
| 20211018 | EWG    | 0                   | 7807         | 8523         | A      |
| 20211018 | EWH    | 0                   | 16325        | 27325        | A      |
| 20211018 | EWI    | 0                   | 200          | 200          | A      |
| 20211018 | EWJ    | 0                   | 28526        | 34327        | A      |
| 20211018 | EWL    | 0                   | 5365         | 5769         | A      |
| 20211018 | EWM    | 0                   | 600          | 800          | A      |
| 20211018 | EWP    | 0                   | 1880         | 3491         | A      |
| 20211018 | EWQ    | 0                   | 2415         | 2415         | A      |
| 20211018 | EWS    | 0                   | 425          | 700          | A      |
| 20211018 | EWT    | 0                   | 2652         | 5052         | A      |
| 20211018 | EWTX   | 0                   | 41           | 41           | A      |
| 20211018 | EWU    | 0                   | 1468         | 2354         | A      |
| 20211018 | EWV    | 0                   | 5634         | 6560         | A      |
| 20211018 | EWX    | 0                   | 100          | 100          | A      |
| 20211018 | EWY    | 0                   | 8933         | 19883        | A      |
| 20211018 | EWZ    | 0                   | 26448        | 38442        | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | EXAI   | 0                   | 900          | 2500         | A      |
| 20211018 | EXAS   | 0                   | 600          | 4467         | A      |
| 20211018 | EXC    | 0                   | 5577         | 7790         | A      |
| 20211018 | EXEL   | 0                   | 505          | 1190         | A      |
| 20211018 | EXG    | 0                   | 4555         | 7495         | A      |
| 20211018 | EXK    | 350                 | 4075         | 4275         | A      |
| 20211018 | EXLS   | 0                   | 0            | 44           | A      |
| 20211018 | EXN    | 100                 | 3625         | 5008         | A      |
| 20211018 | EXP    | 0                   | 681          | 919          | A      |
| 20211018 | EXPD   | 0                   | 1077         | 1318         | A      |
| 20211018 | EXPE   | 0                   | 1155         | 5341         | A      |
| 20211018 | EXPI   | 0                   | 206          | 941          | A      |
| 20211018 | EXPO   | 0                   | 23           | 375          | A      |
| 20211018 | EXPR   | 803                 | 12134        | 23182        | A      |
| 20211018 | EXR    | 0                   | 599          | 644          | A      |
| 20211018 | EXTN   | 0                   | 0            | 170          | A      |
| 20211018 | EXTR   | 0                   | 358          | 565          | A      |
| 20211018 | EYE    | 0                   | 110          | 414          | A      |
| 20211018 | EYEG   | 0                   | 100          | 100          | A      |
| 20211018 | EYEN   | 0                   | 0            | 100          | A      |
| 20211018 | EYES   | 0                   | 3            | 960          | A      |
| 20211018 | EYPT   | 0                   | 259          | 307          | A      |
| 20211018 | EZA    | 0                   | 349          | 1049         | A      |
| 20211018 | EZFL   | 0                   | 10           | 10           | A      |
| 20211018 | EZPW   | 0                   | 36           | 277          | A      |
| 20211018 | EZU    | 0                   | 25295        | 51375        | A      |
| 20211018 | F      | 0                   | 98187        | 137772       | A      |
| 20211018 | FA     | 0                   | 309          | 1539         | A      |
| 20211018 | FAF    | 0                   | 158          | 158          | A      |
| 20211018 | FALN   | 0                   | 600          | 1300         | A      |
| 20211018 | FAMI   | 0                   | 25100        | 65200        | A      |
| 20211018 | FAN    | 0                   | 100          | 100          | A      |
| 20211018 | FANG   | 0                   | 1028         | 7015         | A      |
| 20211018 | FANH   | 0                   | 47           | 47           | A      |
| 20211018 | FARO   | 0                   | 87           | 155          | A      |
| 20211018 | FAST   | 0                   | 3928         | 4497         | A      |
| 20211018 | FATE   | 0                   | 647          | 767          | A      |
| 20211018 | FAX    | 0                   | 32341        | 70326        | A      |
| 20211018 | FAZ    | 0                   | 5633         | 6133         | A      |
| 20211018 | FB     | 0                   | 21478        | 87127        | A      |
| 20211018 | FBC    | 0                   | 363          | 672          | A      |
| 20211018 | FBCG   | 0                   | 400          | 400          | A      |
| 20211018 | FBHS   | 0                   | 541          | 773          | A      |
| 20211018 | FBIO   | 0                   | 42           | 49           | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | FBMS   | 0                   | 0            | 5            | A      |
| 20211018 | FBNC   | 0                   | 965          | 1165         | A      |
| 20211018 | FBP    | 0                   | 740          | 840          | A      |
| 20211018 | FBRX   | 0                   | 1623         | 2048         | A      |
| 20211018 | FBT    | 0                   | 100          | 100          | A      |
| 20211018 | FCCO   | 0                   | 0            | 7            | A      |
| 20211018 | FCEL   | 4501                | 143571       | 208875       | A      |
| 20211018 | FCF    | 0                   | 100          | 100          | A      |
| 20211018 | FCFS   | 0                   | 39           | 66           | A      |
| 20211018 | FCG    | 0                   | 7697         | 9751         | A      |
| 20211018 | FCN    | 0                   | 13           | 13           | A      |
| 20211018 | FCNCA  | 0                   | 238          | 446          | A      |
| 20211018 | FCO    | 0                   | 861          | 6024         | A      |
| 20211018 | FCPT   | 0                   | 320          | 341          | A      |
| 20211018 | FCUV   | 0                   | 1548         | 7211         | A      |
| 20211018 | FCX    | 29                  | 26250        | 40209        | A      |
| 20211018 | FDD    | 0                   | 200          | 300          | A      |
| 20211018 | FDIS   | 0                   | 23           | 23           | A      |
| 20211018 | FDL    | 0                   | 99           | 99           | A      |
| 20211018 | FDMT   | 0                   | 349          | 413          | A      |
| 20211018 | FDN    | 0                   | 100          | 200          | A      |
| 20211018 | FDP    | 0                   | 4            | 5            | A      |
| 20211018 | FDS    | 0                   | 229          | 1018         | A      |
| 20211018 | FDX    | 0                   | 288          | 1891         | A      |
| 20211018 | FE     | 0                   | 2375         | 7198         | A      |
| 20211018 | FEI    | 0                   | 0            | 100          | A      |
| 20211018 | FELE   | 0                   | 200          | 200          | A      |
| 20211018 | FEN    | 0                   | 1519         | 3849         | A      |
| 20211018 | FENY   | 0                   | 1032         | 1884         | A      |
| 20211018 | FEZ    | 0                   | 8480         | 13042        | A      |
| 20211018 | FF     | 0                   | 100          | 101          | A      |
| 20211018 | FFBC   | 0                   | 23           | 528          | A      |
| 20211018 | FFC    | 0                   | 100          | 100          | A      |
| 20211018 | FFIE   | 0                   | 1205         | 3578         | A      |
| 20211018 | FFIN   | 0                   | 215          | 521          | A      |
| 20211018 | FFIV   | 0                   | 651          | 1415         | A      |
| 20211018 | FFWM   | 0                   | 0            | 1            | A      |
| 20211018 | FGEN   | 0                   | 2293         | 4521         | A      |
| 20211018 | FHB    | 0                   | 1012         | 2067         | A      |
| 20211018 | FHI    | 0                   | 1014         | 1014         | A      |
| 20211018 | FHLC   | 0                   | 470          | 470          | A      |
| 20211018 | FHN    | 0                   | 14794        | 19807        | A      |
| 20211018 | FICO   | 0                   | 68           | 98           | A      |
| 20211018 | FIGS   | 0                   | 229          | 2764         | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | FINS   | 0                   | 0            | 26           | A      |
| 20211018 | FINV   | 0                   | 2302         | 3102         | A      |
| 20211018 | FINX   | 0                   | 500          | 582          | A      |
| 20211018 | FIS    | 60                  | 2589         | 5739         | A      |
| 20211018 | FISV   | 0                   | 2646         | 6650         | A      |
| 20211018 | FITB   | 221                 | 15655        | 21904        | A      |
| 20211018 | FIVE   | 0                   | 581          | 1177         | A      |
| 20211018 | FIVG   | 0                   | 0            | 200          | A      |
| 20211018 | FIVN   | 0                   | 563          | 746          | A      |
| 20211018 | FIX    | 0                   | 103          | 203          | A      |
| 20211018 | FIXD   | 0                   | 0            | 100          | A      |
| 20211018 | FIXX   | 0                   | 16           | 1041         | A      |
| 20211018 | FIZZ   | 0                   | 452          | 2299         | A      |
| 20211018 | FL     | 0                   | 3567         | 13291        | A      |
| 20211018 | FLDM   | 0                   | 7            | 240          | A      |
| 20211018 | FLEX   | 0                   | 2780         | 4649         | A      |
| 20211018 | FLGC   | 0                   | 201          | 201          | A      |
| 20211018 | FLGT   | 0                   | 423          | 433          | A      |
| 20211018 | FLIC   | 0                   | 0            | 145          | A      |
| 20211018 | FLL    | 0                   | 293          | 403          | A      |
| 20211018 | FLMN   | 0                   | 536          | 1006         | A      |
| 20211018 | FLNT   | 0                   | 34           | 34           | A      |
| 20211018 | FLO    | 0                   | 644          | 827          | A      |
| 20211018 | FLOT   | 0                   | 1500         | 1600         | A      |
| 20211018 | FLOW   | 0                   | 307          | 307          | A      |
| 20211018 | FLR    | 0                   | 515          | 2480         | A      |
| 20211018 | FLRN   | 0                   | 0            | 70           | A      |
| 20211018 | FLS    | 0                   | 2286         | 3101         | A      |
| 20211018 | FLT    | 0                   | 210          | 595          | A      |
| 20211018 | FLTR   | 0                   | 0            | 100          | A      |
| 20211018 | FLUX   | 0                   | 0            | 200          | A      |
| 20211018 | FLWS   | 0                   | 136          | 2902         | A      |
| 20211018 | FLXN   | 0                   | 580          | 1081         | A      |
| 20211018 | FLXS   | 0                   | 1            | 1            | A      |
| 20211018 | FLYW   | 160                 | 3550         | 4126         | A      |
| 20211018 | FM     | 0                   | 200          | 229          | A      |
| 20211018 | FMAT   | 0                   | 8            | 8            | A      |
| 20211018 | FMC    | 0                   | 376          | 391          | A      |
| 20211018 | FMIL   | 0                   | 100          | 100          | A      |
| 20211018 | FMN    | 0                   | 0            | 261          | A      |
| 20211018 | FMNB   | 0                   | 31           | 31           | A      |
| 20211018 | FMTX   | 0                   | 187          | 187          | A      |
| 20211018 | FMX    | 0                   | 100          | 309          | A      |
| 20211018 | FN     | 0                   | 71           | 167          | A      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | FNA    | 0                   | 200          | 4300         | A      |
| 20211018 | FNB    | 0                   | 11440        | 13155        | A      |
| 20211018 | FNCL   | 0                   | 300          | 300          | A      |
| 20211018 | FND    | 0                   | 703          | 837          | A      |
| 20211018 | FNDC   | 0                   | 0            | 100          | A      |
| 20211018 | FNDE   | 0                   | 100          | 100          | A      |
| 20211018 | FNDF   | 0                   | 1310         | 1910         | A      |
| 20211018 | FNF    | 0                   | 2371         | 9696         | A      |
| 20211018 | FNGD   | 264                 | 5676         | 12676        | A      |
| 20211018 | FNGO   | 0                   | 0            | 100          | A      |
| 20211018 | FNGU   | 100                 | 2145         | 4535         | A      |
| 20211018 | FNHC   | 0                   | 0            | 2            | A      |
| 20211018 | FNKO   | 0                   | 6            | 236          | A      |
| 20211018 | FNV    | 0                   | 414          | 525          | A      |
| 20211018 | FOA    | 0                   | 359          | 359          | A      |
| 20211018 | FOCS   | 0                   | 277          | 1714         | A      |
| 20211018 | FOCT   | 0                   | 500          | 500          | A      |
| 20211018 | FOE    | 0                   | 32           | 33           | A      |
| 20211018 | FOLD   | 0                   | 613          | 807          | A      |
| 20211018 | FOR    | 0                   | 0            | 68           | A      |
| 20211018 | FORG   | 0                   | 122          | 922          | A      |
| 20211018 | FORM   | 0                   | 400          | 477          | A      |
| 20211018 | FORR   | 0                   | 179          | 179          | A      |
| 20211018 | FOSL   | 0                   | 41           | 94           | A      |
| 20211018 | FOUR   | 0                   | 678          | 2076         | A      |
| 20211018 | FOX    | 0                   | 3200         | 4605         | A      |
| 20211018 | FOXA   | 0                   | 696          | 1109         | A      |
| 20211018 | FOXF   | 0                   | 139          | 206          | A      |
| 20211018 | FPAC   | 0                   | 1105         | 2305         | A      |
| 20211018 | FPE    | 0                   | 2349         | 2349         | A      |
| 20211018 | FPEI   | 0                   | 0            | 200          | A      |
| 20211018 | FPH    | 0                   | 100          | 110          | A      |
| 20211018 | FPI    | 0                   | 101          | 101          | A      |
| 20211018 | FR     | 0                   | 47           | 232          | A      |
| 20211018 | FRC    | 0                   | 629          | 1086         | A      |
| 20211018 | FRD    | 50                  | 902          | 3491         | A      |
| 20211018 | FREE   | 0                   | 167          | 167          | A      |
| 20211018 | FREL   | 0                   | 100          | 200          | A      |
| 20211018 | FREQ   | 0                   | 0            | 1            | A      |
| 20211018 | FREY   | 0                   | 652          | 3317         | A      |
| 20211018 | FRG    | 0                   | 106          | 286          | A      |
| 20211018 | FRGI   | 0                   | 1            | 1            | A      |
| 20211018 | FRHC   | 0                   | 0            | 24           | A      |
| 20211018 | FRME   | 0                   | 5            | 97           | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | FRO    | 0                   | 269          | 270          | A      |
| 20211018 | FROG   | 0                   | 331          | 1293         | A      |
| 20211018 | FRPT   | 0                   | 622          | 2524         | A      |
| 20211018 | FRSH   | 0                   | 2600         | 4600         | A      |
| 20211018 | FRSX   | 0                   | 3483         | 5466         | A      |
| 20211018 | FRT    | 0                   | 543          | 754          | A      |
| 20211018 | FRTA   | 0                   | 200          | 300          | A      |
| 20211018 | FSBC   | 0                   | 139          | 197          | A      |
| 20211018 | FSBW   | 0                   | 3            | 17           | A      |
| 20211018 | FSFG   | 0                   | 0            | 31           | A      |
| 20211018 | FSI    | 509                 | 3939         | 5441         | A      |
| 20211018 | FSK    | 0                   | 820          | 1402         | A      |
| 20211018 | FSLR   | 0                   | 2620         | 4168         | A      |
| 20211018 | FSLY   | 0                   | 3420         | 5715         | A      |
| 20211018 | FSM    | 0                   | 4456         | 5467         | A      |
| 20211018 | FSNB   | 0                   | 0            | 100          | A      |
| 20211018 | FSP    | 0                   | 18067        | 47279        | A      |
| 20211018 | FSR    | 1400                | 5784         | 9910         | A      |
| 20211018 | FSS    | 0                   | 176          | 446          | A      |
| 20211018 | FSTX   | 0                   | 0            | 100          | A      |
| 20211018 | FSV    | 0                   | 116          | 137          | A      |
| 20211018 | FTA    | 0                   | 0            | 100          | A      |
| 20211018 | FTAI   | 0                   | 500          | 1073         | A      |
| 20211018 | FTCH   | 0                   | 1771         | 7063         | A      |
| 20211018 | FTCI   | 0                   | 777          | 791          | A      |
| 20211018 | FTCS   | 0                   | 200          | 200          | A      |
| 20211018 | FTCV   | 0                   | 120          | 719          | A      |
| 20211018 | FTDR   | 0                   | 108          | 128          | A      |
| 20211018 | FTEK   | 0                   | 1313         | 2122         | A      |
| 20211018 | FTF    | 13                  | 610          | 5152         | A      |
| 20211018 | FTFT   | 100                 | 3587         | 3989         | A      |
| 20211018 | FTGC   | 0                   | 200          | 400          | A      |
| 20211018 | FTI    | 100                 | 25496        | 30284        | A      |
| 20211018 | FTNT   | 0                   | 1055         | 1424         | A      |
| 20211018 | FTRP   | 0                   | 1700         | 2400         | A      |
| 20211018 | FTS    | 0                   | 598          | 1002         | A      |
| 20211018 | FTSI   | 2                   | 1961         | 3483         | A      |
| 20211018 | FTSL   | 0                   | 1289         | 2573         | A      |
| 20211018 | FTSM   | 0                   | 500          | 14900        | A      |
| 20211018 | FTV    | 0                   | 8561         | 10161        | A      |
| 20211018 | FTXO   | 0                   | 0            | 379          | A      |
| 20211018 | FUBO   | 101                 | 4165         | 6871         | A      |
| 20211018 | FUL    | 0                   | 1083         | 2154         | A      |
| 20211018 | FULC   | 100                 | 1017         | 1187         | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | FULT   | 0                   | 482          | 897          | A      |
| 20211018 | FUN    | 0                   | 100          | 401          | A      |
| 20211018 | FUNC   | 0                   | 0            | 30           | A      |
| 20211018 | FURY   | 3059                | 5919         | 10928        | A      |
| 20211018 | FUTU   | 922                 | 4302         | 36409        | A      |
| 20211018 | FUTY   | 0                   | 200          | 200          | A      |
| 20211018 | FUV    | 0                   | 117          | 230          | A      |
| 20211018 | FVD    | 0                   | 979          | 1281         | A      |
| 20211018 | FVE    | 0                   | 99           | 99           | A      |
| 20211018 | FVIV   | 0                   | 8            | 8            | A      |
| 20211018 | FVRR   | 0                   | 989          | 2715         | A      |
| 20211018 | FWONA  | 0                   | 47           | 63           | A      |
| 20211018 | FWONK  | 6633                | 7782         | 7989         | A      |
| 20211018 | FWRD   | 0                   | 12           | 21           | A      |
| 20211018 | FWRG   | 100                 | 537          | 655          | A      |
| 20211018 | FXC    | 0                   | 700          | 1000         | A      |
| 20211018 | FXE    | 0                   | 100          | 100          | A      |
| 20211018 | FXI    | 9                   | 31499        | 48392        | A      |
| 20211018 | FXLV   | 0                   | 256          | 256          | A      |
| 20211018 | FXR    | 0                   | 0            | 25           | A      |
| 20211018 | FXY    | 0                   | 0            | 3            | A      |
| 20211018 | FYBR   | 0                   | 127          | 340          | A      |
| 20211018 | G      | 0                   | 42           | 596          | A      |
| 20211018 | GAB    | 100                 | 600          | 603          | A      |
| 20211018 | GABC   | 0                   | 0            | 20           | A      |
| 20211018 | GAIA   | 0                   | 47           | 247          | A      |
| 20211018 | GAIN   | 0                   | 195          | 295          | A      |
| 20211018 | GALT   | 0                   | 100          | 100          | A      |
| 20211018 | GAMB   | 0                   | 100          | 100          | A      |
| 20211018 | GAN    | 0                   | 163          | 457          | A      |
| 20211018 | GATO   | 0                   | 515          | 815          | A      |
| 20211018 | GATX   | 0                   | 143          | 208          | A      |
| 20211018 | GAU    | 178                 | 25837        | 58246        | A      |
| 20211018 | GAZ    | 0                   | 335          | 435          | A      |
| 20211018 | GBCI   | 12                  | 912          | 1136         | A      |
| 20211018 | GBDC   | 0                   | 100          | 300          | A      |
| 20211018 | GBIL   | 0                   | 700          | 700          | A      |
| 20211018 | GBIO   | 0                   | 259          | 1520         | A      |
| 20211018 | GBL    | 0                   | 0            | 2            | A      |
| 20211018 | GBOX   | 0                   | 66           | 66           | A      |
| 20211018 | GBR    | 4                   | 5000         | 7274         | A      |
| 20211018 | GBT    | 0                   | 2389         | 2818         | A      |
| 20211018 | GBX    | 0                   | 225          | 653          | A      |
| 20211018 | GCI    | 200                 | 3628         | 4430         | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20211018 | GCMG    | 0                   | 120          | 544          | A      |
| 20211018 | GCO     | 0                   | 35           | 36           | A      |
| 20211018 | GCP     | 0                   | 152          | 179          | A      |
| 20211018 | GD      | 0                   | 878          | 1073         | A      |
| 20211018 | GDDY    | 2756                | 3597         | 3783         | A      |
| 20211018 | GDEN    | 0                   | 0            | 100          | A      |
| 20211018 | GDOT    | 0                   | 190          | 190          | A      |
| 20211018 | GDP     | 2                   | 6508         | 12240        | A      |
| 20211018 | GDRX    | 0                   | 836          | 1422         | A      |
| 20211018 | GDS     | 0                   | 2189         | 4896         | A      |
| 20211018 | GDV     | 0                   | 0            | 988          | A      |
| 20211018 | GDX     | 10                  | 50157        | 78684        | A      |
| 20211018 | GDXJ    | 0                   | 7331         | 13653        | A      |
| 20211018 | GDXU    | 0                   | 0            | 1            | A      |
| 20211018 | GDYN    | 0                   | 0            | 1854         | A      |
| 20211018 | GE      | 100                 | 11759        | 17384        | A      |
| 20211018 | GEF     | 0                   | 16           | 18           | A      |
| 20211018 | GEL     | 0                   | 0            | 365          | A      |
| 20211018 | GENI    | 0                   | 2197         | 3392         | A      |
| 20211018 | GENI WS | 0                   | 0            | 5513         | A      |
| 20211018 | GEO     | 0                   | 303          | 658          | A      |
| 20211018 | GEOS    | 0                   | 0            | 7            | A      |
| 20211018 | GER     | 0                   | 0            | 185          | A      |
| 20211018 | GERN    | 100                 | 3091         | 4059         | A      |
| 20211018 | GES     | 0                   | 1796         | 3626         | A      |
| 20211018 | GEVO    | 0                   | 2129         | 2794         | A      |
| 20211018 | GFAI    | 0                   | 0            | 100          | A      |
| 20211018 | GFF     | 0                   | 18           | 320          | A      |
| 20211018 | GFI     | 0                   | 18683        | 24308        | A      |
| 20211018 | GFL     | 0                   | 1147         | 2728         | A      |
| 20211018 | GGAL    | 0                   | 2085         | 2118         | A      |
| 20211018 | GGB     | 3163                | 30024        | 39515        | A      |
| 20211018 | GGG     | 0                   | 108          | 447          | A      |
| 20211018 | GGN     | 0                   | 40013        | 61007        | A      |
| 20211018 | GGN PRB | 0                   | 388          | 588          | A      |
| 20211018 | GGPI    | 0                   | 7015         | 7015         | A      |
| 20211018 | GGT     | 0                   | 0            | 100          | A      |
| 20211018 | GH      | 0                   | 481          | 1991         | A      |
| 20211018 | GHC     | 0                   | 3            | 4            | A      |
| 20211018 | GHG     | 0                   | 141          | 143          | A      |
| 20211018 | GHL     | 0                   | 0            | 5            | A      |
| 20211018 | GIII    | 0                   | 928          | 1128         | A      |
| 20211018 | GIL     | 1                   | 409          | 1632         | A      |
| 20211018 | GILD    | 0                   | 22462        | 47199        | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20211018 | GILT    | 0                   | 100          | 400          | A      |
| 20211018 | GIM     | 0                   | 800          | 1200         | A      |
| 20211018 | GINN    | 0                   | 200          | 200          | A      |
| 20211018 | GIS     | 0                   | 2398         | 9184         | A      |
| 20211018 | GKOS    | 0                   | 481          | 697          | A      |
| 20211018 | GL      | 0                   | 75           | 990          | A      |
| 20211018 | GLBE    | 0                   | 1969         | 3329         | A      |
| 20211018 | GLD     | 400                 | 65385        | 84179        | A      |
| 20211018 | GLDD    | 0                   | 200          | 810          | A      |
| 20211018 | GLDG    | 2926                | 17405        | 22306        | A      |
| 20211018 | GLDM    | 2                   | 504          | 7395         | A      |
| 20211018 | GLL     | 0                   | 2000         | 2102         | A      |
| 20211018 | GLNG    | 0                   | 71           | 4221         | A      |
| 20211018 | GLO     | 5064                | 22754        | 30390        | A      |
| 20211018 | GLOB    | 0                   | 977          | 2382         | A      |
| 20211018 | GLPI    | 0                   | 1416         | 5107         | A      |
| 20211018 | GLQ     | 88                  | 3699         | 6191         | A      |
| 20211018 | GLRE    | 0                   | 100          | 115          | A      |
| 20211018 | GLSI    | 0                   | 30           | 61           | A      |
| 20211018 | GLT     | 0                   | 33           | 33           | A      |
| 20211018 | GLTA    | 0                   | 4            | 4            | A      |
| 20211018 | GLTA U  | 0                   | 100          | 100          | A      |
| 20211018 | GLTO    | 0                   | 500          | 795          | A      |
| 20211018 | GLU     | 0                   | 2            | 4306         | A      |
| 20211018 | GLU PRA | 0                   | 300          | 300          | A      |
| 20211018 | GLU PRB | 0                   | 274          | 301          | A      |
| 20211018 | GLUE    | 6                   | 140          | 199          | A      |
| 20211018 | GLV     | 86                  | 13752        | 18065        | A      |
| 20211018 | GLW     | 0                   | 4757         | 5344         | A      |
| 20211018 | GLYC    | 0                   | 636          | 924          | A      |
| 20211018 | GM      | 1000                | 26829        | 48393        | A      |
| 20211018 | GMAB    | 0                   | 100          | 813          | A      |
| 20211018 | GMBL    | 0                   | 138          | 387          | A      |
| 20211018 | GMBTW   | 0                   | 0            | 14           | A      |
| 20211018 | GMDA    | 0                   | 11           | 44           | A      |
| 20211018 | GME     | 0                   | 223          | 310          | A      |
| 20211018 | GMED    | 0                   | 515          | 719          | A      |
| 20211018 | GMRE    | 0                   | 592          | 794          | A      |
| 20211018 | GMS     | 0                   | 82           | 83           | A      |
| 20211018 | GMTX    | 0                   | 0            | 6            | A      |
| 20211018 | GNK     | 0                   | 416          | 1357         | A      |
| 20211018 | GNL     | 0                   | 200          | 200          | A      |
| 20211018 | GNLN    | 100                 | 854          | 955          | A      |
| 20211018 | GNOG    | 0                   | 337          | 342          | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20211018 | GNOM    | 200                 | 924          | 936          | A      |
| 20211018 | GNRC    | 0                   | 1105         | 1233         | A      |
| 20211018 | GNSS    | 0                   | 100          | 400          | A      |
| 20211018 | GNTX    | 0                   | 2467         | 2769         | A      |
| 20211018 | GNTY    | 0                   | 102          | 102          | A      |
| 20211018 | GNUS    | 0                   | 4874         | 11565        | A      |
| 20211018 | GNW     | 0                   | 2995         | 3815         | A      |
| 20211018 | GO      | 0                   | 333          | 633          | A      |
| 20211018 | GOCO    | 0                   | 1764         | 2131         | A      |
| 20211018 | GOED    | 607                 | 92634        | 305696       | A      |
| 20211018 | GOED WS | 0                   | 14500        | 22613        | A      |
| 20211018 | GOEV    | 0                   | 222          | 222          | A      |
| 20211018 | GOGL    | 300                 | 2018         | 2450         | A      |
| 20211018 | GOGO    | 0                   | 892          | 1000         | A      |
| 20211018 | GOL     | 400                 | 3204         | 3923         | A      |
| 20211018 | GOLD    | 700                 | 39038        | 46037        | A      |
| 20211018 | GOLF    | 0                   | 30           | 32           | A      |
| 20211018 | GOOD    | 0                   | 0            | 9            | A      |
| 20211018 | GOOG    | 165                 | 882          | 1717         | A      |
| 20211018 | GOOGL   | 0                   | 1874         | 2246         | A      |
| 20211018 | GOOS    | 20                  | 1424         | 1581         | A      |
| 20211018 | GORO    | 11705               | 28119        | 49251        | A      |
| 20211018 | GOSS    | 0                   | 988          | 3813         | A      |
| 20211018 | GOTU    | 200                 | 9117         | 23107        | A      |
| 20211018 | GOVT    | 0                   | 510          | 1015         | A      |
| 20211018 | GOVX    | 0                   | 0            | 4            | A      |
| 20211018 | GPC     | 0                   | 403          | 677          | A      |
| 20211018 | GPI     | 400                 | 694          | 711          | A      |
| 20211018 | GPK     | 10300               | 13215        | 14943        | A      |
| 20211018 | GPL     | 81592               | 159351       | 223953       | A      |
| 20211018 | GPM     | 0                   | 0            | 610          | A      |
| 20211018 | GPMT    | 0                   | 384          | 384          | A      |
| 20211018 | GPN     | 0                   | 736          | 2650         | A      |
| 20211018 | GPOR    | 0                   | 97           | 109          | A      |
| 20211018 | GPRE    | 0                   | 497          | 3034         | A      |
| 20211018 | GPRK    | 0                   | 0            | 10           | A      |
| 20211018 | GPRO    | 0                   | 1383         | 1941         | A      |
| 20211018 | GPS     | 77                  | 12774        | 21400        | A      |
| 20211018 | GRBK    | 0                   | 505          | 809          | A      |
| 20211018 | GRCL    | 0                   | 100          | 100          | A      |
| 20211018 | GREE    | 0                   | 108          | 2528         | A      |
| 20211018 | GRF     | 0                   | 0            | 4            | A      |
| 20211018 | GRFS    | 0                   | 202          | 368          | A      |
| 20211018 | GRID    | 0                   | 28           | 205          | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20211018 | GRIN    | 0                   | 100          | 300          | A      |
| 20211018 | GRMN    | 0                   | 1294         | 1798         | A      |
| 20211018 | GRN     | 0                   | 0            | 100          | A      |
| 20211018 | GRNQ    | 100                 | 2205         | 13486        | A      |
| 20211018 | GROM    | 949                 | 1613         | 1759         | A      |
| 20211018 | GROY    | 3595                | 33196        | 46769        | A      |
| 20211018 | GROY WS | 0                   | 1            | 1401         | A      |
| 20211018 | GRPH    | 0                   | 357          | 1157         | A      |
| 20211018 | GRPN    | 0                   | 737          | 1359         | A      |
| 20211018 | GRTS    | 0                   | 841          | 906          | A      |
| 20211018 | GRUB    | 0                   | 6946         | 9219         | A      |
| 20211018 | GRVI    | 0                   | 200          | 300          | A      |
| 20211018 | GRVY    | 0                   | 50           | 52           | A      |
| 20211018 | GRWG    | 0                   | 525          | 1090         | A      |
| 20211018 | GS      | 0                   | 1995         | 3415         | A      |
| 20211018 | GS PRA  | 0                   | 100          | 100          | A      |
| 20211018 | GSAH    | 0                   | 14179        | 20703        | A      |
| 20211018 | GSAT    | 12857               | 268551       | 611856       | A      |
| 20211018 | GSBC    | 0                   | 2            | 4            | A      |
| 20211018 | GSG     | 0                   | 4836         | 8530         | A      |
| 20211018 | GSHD    | 0                   | 104          | 551          | A      |
| 20211018 | GSIT    | 0                   | 0            | 89           | A      |
| 20211018 | GSK     | 0                   | 1948         | 3594         | A      |
| 20211018 | GSKY    | 0                   | 372          | 654          | A      |
| 20211018 | GSL     | 0                   | 114          | 514          | A      |
| 20211018 | GSM     | 0                   | 446          | 1216         | A      |
| 20211018 | GSS     | 919                 | 26552        | 49991        | A      |
| 20211018 | GSV     | 2825                | 41685        | 72634        | A      |
| 20211018 | GSY     | 0                   | 0            | 100          | A      |
| 20211018 | GT      | 0                   | 345          | 2223         | A      |
| 20211018 | GTBP    | 0                   | 104          | 300          | A      |
| 20211018 | GTE     | 24620               | 309133       | 386756       | A      |
| 20211018 | GTEK    | 0                   | 500          | 500          | A      |
| 20211018 | GTES    | 0                   | 264          | 2280         | A      |
| 20211018 | GTH     | 0                   | 18           | 618          | A      |
| 20211018 | GTHX    | 0                   | 1035         | 1076         | A      |
| 20211018 | GTLB    | 0                   | 101          | 1315         | A      |
| 20211018 | GTLS    | 0                   | 255          | 999          | A      |
| 20211018 | GTN     | 0                   | 727          | 1199         | A      |
| 20211018 | GTS     | 0                   | 65           | 67           | A      |
| 20211018 | GTX     | 0                   | 0            | 100          | A      |
| 20211018 | GTY     | 0                   | 609          | 610          | A      |
| 20211018 | GTYH    | 0                   | 1            | 44           | A      |
| 20211018 | GUNR    | 0                   | 1010         | 1610         | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | GUSH   | 0                   | 432          | 451          | A      |
| 20211018 | GVA    | 0                   | 414          | 496          | A      |
| 20211018 | GWB    | 0                   | 0            | 10           | A      |
| 20211018 | GWH    | 0                   | 1489         | 1737         | A      |
| 20211018 | GWH WS | 0                   | 0            | 100          | A      |
| 20211018 | GWRE   | 0                   | 9            | 38           | A      |
| 20211018 | GWW    | 0                   | 114          | 235          | A      |
| 20211018 | GXC    | 0                   | 62           | 62           | A      |
| 20211018 | GXO    | 0                   | 246          | 908          | A      |
| 20211018 | GXTG   | 0                   | 2            | 2            | A      |
| 20211018 | H      | 0                   | 800          | 1833         | A      |
| 20211018 | HA     | 0                   | 1078         | 2004         | A      |
| 20211018 | HACK   | 0                   | 187          | 287          | A      |
| 20211018 | HAE    | 0                   | 1199         | 1267         | A      |
| 20211018 | HAFC   | 0                   | 0            | 76           | A      |
| 20211018 | HAIN   | 0                   | 220          | 1295         | A      |
| 20211018 | HAL    | 500                 | 13792        | 25101        | A      |
| 20211018 | HALO   | 0                   | 502          | 723          | A      |
| 20211018 | HARP   | 0                   | 100          | 100          | A      |
| 20211018 | HAS    | 0                   | 1402         | 3319         | A      |
| 20211018 | HASI   | 0                   | 1504         | 2195         | A      |
| 20211018 | HAYN   | 0                   | 1            | 1            | A      |
| 20211018 | HAYW   | 0                   | 427          | 1856         | A      |
| 20211018 | HBAN   | 0                   | 24843        | 26260        | A      |
| 20211018 | HBI    | 0                   | 766          | 5155         | A      |
| 20211018 | HBIO   | 100                 | 100          | 100          | A      |
| 20211018 | HBM    | 100                 | 3079         | 4180         | A      |
| 20211018 | HBNC   | 0                   | 27           | 28           | A      |
| 20211018 | HBP    | 0                   | 98           | 872          | A      |
| 20211018 | HCA    | 0                   | 894          | 3461         | A      |
| 20211018 | HCAT   | 0                   | 440          | 488          | A      |
| 20211018 | HCC    | 0                   | 791          | 987          | A      |
| 20211018 | HCDIP  | 0                   | 200          | 300          | A      |
| 20211018 | HCI    | 0                   | 4            | 4            | A      |
| 20211018 | HCIC   | 0                   | 1            | 1            | A      |
| 20211018 | HCM    | 0                   | 0            | 156          | A      |
| 20211018 | HCSG   | 0                   | 845          | 1006         | A      |
| 20211018 | HD     | 0                   | 3824         | 6026         | A      |
| 20211018 | HDB    | 0                   | 644          | 1502         | A      |
| 20211018 | HDGE   | 0                   | 100          | 100          | A      |
| 20211018 | HDSN   | 0                   | 0            | 3            | A      |
| 20211018 | HDV    | 0                   | 3405         | 5555         | A      |
| 20211018 | HE     | 0                   | 417          | 1387         | A      |
| 20211018 | HEAR   | 0                   | 102          | 102          | A      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | HEDJ   | 0                   | 8            | 48           | A      |
| 20211018 | HEES   | 0                   | 128          | 128          | A      |
| 20211018 | HEFA   | 0                   | 140          | 540          | A      |
| 20211018 | HEI    | 0                   | 118          | 122          | A      |
| 20211018 | HEI A  | 0                   | 2            | 2            | A      |
| 20211018 | HELE   | 0                   | 9            | 9            | A      |
| 20211018 | HEP    | 0                   | 0            | 100          | A      |
| 20211018 | HEPA   | 0                   | 211          | 211          | A      |
| 20211018 | HEPS   | 0                   | 0            | 814          | A      |
| 20211018 | HERO   | 0                   | 68           | 168          | A      |
| 20211018 | HES    | 89                  | 5398         | 9531         | A      |
| 20211018 | HESM   | 0                   | 445          | 599          | A      |
| 20211018 | HEWJ   | 0                   | 17           | 63           | A      |
| 20211018 | HEXO   | 1000                | 10255        | 16552        | A      |
| 20211018 | HEZU   | 0                   | 640          | 1040         | A      |
| 20211018 | HFC    | 12                  | 2056         | 2687         | A      |
| 20211018 | HFRO   | 0                   | 0            | 703          | A      |
| 20211018 | HFWA   | 0                   | 150          | 254          | A      |
| 20211018 | HGEN   | 0                   | 1432         | 1489         | A      |
| 20211018 | HGV    | 0                   | 957          | 1071         | A      |
| 20211018 | HHC    | 0                   | 331          | 394          | A      |
| 20211018 | HHR    | 0                   | 0            | 100          | A      |
| 20211018 | HI     | 0                   | 1223         | 1683         | A      |
| 20211018 | HIBB   | 0                   | 172          | 1208         | A      |
| 20211018 | HIBS   | 0                   | 0            | 100          | A      |
| 20211018 | HIG    | 0                   | 802          | 1495         | A      |
| 20211018 | HII    | 0                   | 221          | 321          | A      |
| 20211018 | HIMS   | 0                   | 1212         | 2448         | A      |
| 20211018 | HIMX   | 100                 | 6052         | 7825         | A      |
| 20211018 | HIPO   | 100                 | 2034         | 3682         | A      |
| 20211018 | HITI   | 0                   | 228          | 228          | A      |
| 20211018 | HIVE   | 1600                | 27321        | 29353        | A      |
| 20211018 | HIW    | 0                   | 1115         | 1616         | A      |
| 20211018 | HL     | 0                   | 2684         | 4909         | A      |
| 20211018 | HLBZ   | 0                   | 2683         | 3495         | A      |
| 20211018 | HLF    | 0                   | 452          | 1314         | A      |
| 20211018 | HLI    | 0                   | 202          | 233          | A      |
| 20211018 | HLIO   | 0                   | 0            | 200          | A      |
| 20211018 | HLIT   | 0                   | 94           | 594          | A      |
| 20211018 | HLLY   | 0                   | 96           | 96           | A      |
| 20211018 | HLMN   | 0                   | 91           | 813          | A      |
| 20211018 | HLNE   | 0                   | 103          | 103          | A      |
| 20211018 | HLT    | 0                   | 1582         | 1790         | A      |
| 20211018 | HLTH   | 0                   | 583          | 3261         | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | HLX    | 0                   | 2465         | 2665         | A      |
| 20211018 | HMC    | 0                   | 804          | 4819         | A      |
| 20211018 | HMG    | 0                   | 0            | 1            | A      |
| 20211018 | HMHC   | 0                   | 889          | 3075         | A      |
| 20211018 | HMLP   | 300                 | 300          | 670          | A      |
| 20211018 | HMN    | 0                   | 4            | 4            | A      |
| 20211018 | HMST   | 0                   | 102          | 202          | A      |
| 20211018 | HMY    | 0                   | 3867         | 8133         | A      |
| 20211018 | HNGR   | 0                   | 960          | 1160         | A      |
| 20211018 | HNI    | 0                   | 9            | 253          | A      |
| 20211018 | HNST   | 0                   | 508          | 804          | A      |
| 20211018 | HNW    | 0                   | 655          | 2679         | A      |
| 20211018 | HOFV   | 0                   | 1109         | 1433         | A      |
| 20211018 | HOG    | 0                   | 543          | 1357         | A      |
| 20211018 | HOLI   | 0                   | 400          | 512          | A      |
| 20211018 | HOLX   | 0                   | 744          | 3093         | A      |
| 20211018 | HOMB   | 0                   | 1442         | 2733         | A      |
| 20211018 | HON    | 0                   | 2336         | 2772         | A      |
| 20211018 | HONE   | 0                   | 194          | 196          | A      |
| 20211018 | HOOD   | 0                   | 4121         | 8561         | A      |
| 20211018 | HOOK   | 0                   | 8            | 1414         | A      |
| 20211018 | HOPE   | 0                   | 764          | 771          | A      |
| 20211018 | HOV    | 0                   | 25           | 25           | A      |
| 20211018 | HOWL   | 0                   | 37           | 40           | A      |
| 20211018 | HP     | 0                   | 1022         | 3401         | A      |
| 20211018 | HPE    | 0                   | 11033        | 20726        | A      |
| 20211018 | HPP    | 0                   | 5            | 226          | A      |
| 20211018 | HPQ    | 0                   | 21822        | 25206        | A      |
| 20211018 | HQY    | 0                   | 89           | 607          | A      |
| 20211018 | HR     | 0                   | 1037         | 1277         | A      |
| 20211018 | HRB    | 0                   | 2518         | 5317         | A      |
| 20211018 | HRC    | 0                   | 0            | 200          | A      |
| 20211018 | HRI    | 0                   | 62           | 84           | A      |
| 20211018 | HRL    | 0                   | 11286        | 19941        | A      |
| 20211018 | HRMY   | 0                   | 825          | 1179         | A      |
| 20211018 | HRTG   | 0                   | 0            | 100          | A      |
| 20211018 | HRTX   | 0                   | 410          | 1062         | A      |
| 20211018 | HSBC   | 0                   | 5399         | 6600         | A      |
| 20211018 | HSC    | 0                   | 8            | 11           | A      |
| 20211018 | HSIC   | 0                   | 279          | 504          | A      |
| 20211018 | HSII   | 0                   | 4            | 4            | A      |
| 20211018 | HSKA   | 0                   | 358          | 417          | A      |
| 20211018 | HST    | 101                 | 18586        | 22352        | A      |
| 20211018 | HSY    | 0                   | 2129         | 4718         | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20211018 | HT      | 0                   | 0            | 2078         | A      |
| 20211018 | HTA     | 0                   | 5735         | 7381         | A      |
| 20211018 | HTBI    | 0                   | 2            | 100          | A      |
| 20211018 | HTBK    | 0                   | 22           | 25           | A      |
| 20211018 | HTGC    | 0                   | 805          | 805          | A      |
| 20211018 | HTH     | 0                   | 296          | 406          | A      |
| 20211018 | HTHT    | 0                   | 556          | 951          | A      |
| 20211018 | HTLD    | 0                   | 282          | 316          | A      |
| 20211018 | HTLF    | 0                   | 1            | 34           | A      |
| 20211018 | HTOO    | 0                   | 100          | 100          | A      |
| 20211018 | HUBB    | 0                   | 574          | 595          | A      |
| 20211018 | HUBG    | 0                   | 18           | 21           | A      |
| 20211018 | HUBS    | 0                   | 3685         | 7003         | A      |
| 20211018 | HUDI    | 0                   | 2300         | 3800         | A      |
| 20211018 | HUGE    | 0                   | 0            | 100          | A      |
| 20211018 | HUIZ    | 0                   | 0            | 200          | A      |
| 20211018 | HUM     | 0                   | 2037         | 2693         | A      |
| 20211018 | HUMA    | 0                   | 1096         | 1096         | A      |
| 20211018 | HUN     | 0                   | 307          | 2523         | A      |
| 20211018 | HURC    | 0                   | 0            | 20           | A      |
| 20211018 | HURN    | 0                   | 0            | 11           | A      |
| 20211018 | HUSA    | 124                 | 1523         | 3661         | A      |
| 20211018 | HUT     | 1400                | 14807        | 30284        | A      |
| 20211018 | HUYA    | 0                   | 3731         | 4973         | A      |
| 20211018 | HVT     | 0                   | 0            | 66           | A      |
| 20211018 | HWC     | 0                   | 233          | 1809         | A      |
| 20211018 | HWKN    | 0                   | 0            | 7            | A      |
| 20211018 | HWM     | 0                   | 3361         | 5172         | A      |
| 20211018 | HWM PR  | 0                   | 0            | 5            | A      |
| 20211018 | HX      | 0                   | 1900         | 2225         | A      |
| 20211018 | HXL     | 0                   | 331          | 1751         | A      |
| 20211018 | HYD     | 0                   | 0            | 200          | A      |
| 20211018 | HYDR    | 0                   | 0            | 200          | A      |
| 20211018 | HYFM    | 0                   | 1322         | 1702         | A      |
| 20211018 | HYG     | 0                   | 103250       | 151002       | A      |
| 20211018 | HYLB    | 0                   | 3291         | 3291         | A      |
| 20211018 | HYLN    | 0                   | 1034         | 1820         | A      |
| 20211018 | HYRE    | 0                   | 1064         | 1516         | A      |
| 20211018 | HYS     | 0                   | 200          | 300          | A      |
| 20211018 | HYZN    | 0                   | 100          | 300          | A      |
| 20211018 | HZAC    | 0                   | 950          | 1050         | A      |
| 20211018 | HZAC WS | 0                   | 100          | 400          | A      |
| 20211018 | HZNP    | 0                   | 573          | 930          | A      |
| 20211018 | HZO     | 0                   | 348          | 469          | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | IAA    | 0                   | 715          | 1411         | A      |
| 20211018 | IAC    | 0                   | 418          | 419          | A      |
| 20211018 | IACB   | 0                   | 0            | 20           | A      |
| 20211018 | IAF    | 154                 | 4860         | 17312        | A      |
| 20211018 | IAG    | 0                   | 35026        | 41293        | A      |
| 20211018 | IAGG   | 0                   | 182          | 238          | A      |
| 20211018 | IART   | 0                   | 0            | 300          | A      |
| 20211018 | IAS    | 0                   | 18           | 30           | A      |
| 20211018 | IAT    | 0                   | 600          | 800          | A      |
| 20211018 | IAU    | 0                   | 12422        | 36425        | A      |
| 20211018 | IBB    | 0                   | 1781         | 2760         | A      |
| 20211018 | IBIO   | 2054                | 85288        | 136267       | A      |
| 20211018 | IBKR   | 0                   | 870          | 4932         | A      |
| 20211018 | IBM    | 0                   | 11292        | 17442        | A      |
| 20211018 | IBN    | 0                   | 13820        | 16020        | A      |
| 20211018 | IBOC   | 0                   | 0            | 2            | A      |
| 20211018 | IBP    | 0                   | 133          | 361          | A      |
| 20211018 | IBRX   | 0                   | 871          | 971          | A      |
| 20211018 | IBTX   | 0                   | 0            | 11           | A      |
| 20211018 | IBUY   | 0                   | 2            | 3            | A      |
| 20211018 | ICAD   | 0                   | 1            | 1            | A      |
| 20211018 | ICD    | 100                 | 1819         | 2219         | A      |
| 20211018 | ICE    | 59                  | 3055         | 4391         | A      |
| 20211018 | ICFI   | 0                   | 0            | 20           | A      |
| 20211018 | ICHR   | 0                   | 330          | 429          | A      |
| 20211018 | ICLK   | 0                   | 1100         | 1200         | A      |
| 20211018 | ICLN   | 0                   | 11940        | 17545        | A      |
| 20211018 | ICLR   | 0                   | 49           | 1000         | A      |
| 20211018 | ICPT   | 0                   | 884          | 905          | A      |
| 20211018 | ICSH   | 0                   | 18692        | 21292        | A      |
| 20211018 | ICUI   | 0                   | 218          | 235          | A      |
| 20211018 | ICVX   | 0                   | 10           | 10           | A      |
| 20211018 | ID     | 0                   | 2253         | 4992         | A      |
| 20211018 | IDA    | 0                   | 83           | 1580         | A      |
| 20211018 | IDCC   | 0                   | 4            | 4            | A      |
| 20211018 | IDEV   | 0                   | 100          | 500          | A      |
| 20211018 | IDEX   | 0                   | 17324        | 20405        | A      |
| 20211018 | IDRA   | 0                   | 0            | 1285         | A      |
| 20211018 | IDRV   | 0                   | 424          | 424          | A      |
| 20211018 | IDT    | 0                   | 17           | 19           | A      |
| 20211018 | IDV    | 0                   | 20           | 320          | A      |
| 20211018 | IDW    | 0                   | 102          | 585          | A      |
| 20211018 | IDXX   | 0                   | 884          | 1454         | A      |
| 20211018 | IDYA   | 0                   | 137          | 437          | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | IEA    | 0                   | 869          | 1460         | A      |
| 20211018 | IEF    | 0                   | 11957        | 16392        | A      |
| 20211018 | IEFA   | 0                   | 19620        | 25192        | A      |
| 20211018 | IEI    | 0                   | 101          | 628          | A      |
| 20211018 | IEMG   | 31                  | 24930        | 31723        | A      |
| 20211018 | IEO    | 0                   | 142          | 142          | A      |
| 20211018 | IEP    | 0                   | 120          | 236          | A      |
| 20211018 | IESC   | 0                   | 19           | 24           | A      |
| 20211018 | IEUR   | 0                   | 1186         | 1462         | A      |
| 20211018 | IEV    | 0                   | 1201         | 1501         | A      |
| 20211018 | IEX    | 0                   | 215          | 350          | A      |
| 20211018 | IEZ    | 0                   | 1200         | 1500         | A      |
| 20211018 | IFBD   | 0                   | 0            | 25           | A      |
| 20211018 | IFF    | 0                   | 1702         | 3060         | A      |
| 20211018 | IFRX   | 0                   | 100          | 100          | A      |
| 20211018 | IFS    | 0                   | 0            | 50           | A      |
| 20211018 | IGACW  | 0                   | 2500         | 2500         | A      |
| 20211018 | IGC    | 33                  | 5049         | 13043        | A      |
| 20211018 | IGD    | 0                   | 100          | 100          | A      |
| 20211018 | IGE    | 0                   | 200          | 335          | A      |
| 20211018 | IGF    | 0                   | 222          | 909          | A      |
| 20211018 | IGIB   | 0                   | 3956         | 6756         | A      |
| 20211018 | IGLB   | 0                   | 0            | 300          | A      |
| 20211018 | IGMS   | 0                   | 0            | 101          | A      |
| 20211018 | IGOV   | 0                   | 200          | 300          | A      |
| 20211018 | IGSB   | 0                   | 1000         | 1290         | A      |
| 20211018 | IGT    | 0                   | 2112         | 2827         | A      |
| 20211018 | IGV    | 0                   | 137          | 159          | A      |
| 20211018 | IHAK   | 0                   | 230          | 230          | A      |
| 20211018 | IHD    | 0                   | 200          | 200          | A      |
| 20211018 | IHI    | 0                   | 345          | 733          | A      |
| 20211018 | IHRT   | 0                   | 53           | 296          | A      |
| 20211018 | IHS    | 0                   | 300          | 6200         | A      |
| 20211018 | IHT    | 145                 | 1270         | 5694         | A      |
| 20211018 | IHY    | 0                   | 0            | 100          | A      |
| 20211018 | III    | 0                   | 307          | 754          | A      |
| 20211018 | IIIN   | 0                   | 1            | 37           | A      |
| 20211018 | IIIV   | 0                   | 0            | 616          | A      |
| 20211018 | IINN   | 0                   | 13000        | 14000        | A      |
| 20211018 | IIVI   | 0                   | 480          | 1539         | A      |
| 20211018 | IJH    | 0                   | 3678         | 4763         | A      |
| 20211018 | IJJ    | 0                   | 100          | 100          | A      |
| 20211018 | IJK    | 0                   | 0            | 100          | A      |
| 20211018 | IJR    | 0                   | 20590        | 22617        | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | IJT    | 0                   | 100          | 100          | A      |
| 20211018 | IKNA   | 0                   | 27           | 104          | A      |
| 20211018 | IKNX   | 0                   | 60           | 100          | A      |
| 20211018 | IKT    | 700                 | 1800         | 1800         | A      |
| 20211018 | ILF    | 0                   | 1600         | 2200         | A      |
| 20211018 | ILMN   | 0                   | 1522         | 2201         | A      |
| 20211018 | ILPT   | 0                   | 240          | 240          | A      |
| 20211018 | IMAB   | 0                   | 1114         | 1162         | A      |
| 20211018 | IMAX   | 24                  | 1681         | 3139         | A      |
| 20211018 | IMCG   | 0                   | 100          | 100          | A      |
| 20211018 | IMCR   | 0                   | 28           | 74           | A      |
| 20211018 | IMGN   | 0                   | 1082         | 2562         | A      |
| 20211018 | IMH    | 5265                | 12287        | 21055        | A      |
| 20211018 | IMKTA  | 0                   | 0            | 30           | A      |
| 20211018 | IMMP   | 0                   | 200          | 200          | A      |
| 20211018 | IMMR   | 0                   | 283          | 506          | A      |
| 20211018 | IMNM   | 0                   | 9            | 240          | A      |
| 20211018 | IMO    | 517                 | 34213        | 78211        | A      |
| 20211018 | IMPL   | 0                   | 680          | 1200         | A      |
| 20211018 | IMRX   | 0                   | 243          | 332          | A      |
| 20211018 | IMUX   | 0                   | 5975         | 16846        | A      |
| 20211018 | IMV    | 0                   | 1200         | 2119         | A      |
| 20211018 | IMVT   | 0                   | 281          | 628          | A      |
| 20211018 | INBK   | 0                   | 1            | 256          | A      |
| 20211018 | INBX   | 0                   | 7            | 21           | A      |
| 20211018 | INCY   | 0                   | 1875         | 3398         | A      |
| 20211018 | INDA   | 0                   | 15897        | 16225        | A      |
| 20211018 | INDI   | 0                   | 367          | 944          | A      |
| 20211018 | INDL   | 0                   | 50           | 50           | A      |
| 20211018 | INDO   | 0                   | 0            | 929          | A      |
| 20211018 | INDT   | 0                   | 0            | 1            | A      |
| 20211018 | INDY   | 0                   | 0            | 100          | A      |
| 20211018 | INFI   | 0                   | 1594         | 1992         | A      |
| 20211018 | INFL   | 0                   | 550          | 550          | A      |
| 20211018 | INFN   | 0                   | 4655         | 6701         | A      |
| 20211018 | INFO   | 27                  | 1204         | 1676         | A      |
| 20211018 | INFU   | 100                 | 1733         | 13755        | A      |
| 20211018 | INFY   | 0                   | 25975        | 48562        | A      |
| 20211018 | ING    | 0                   | 2316         | 3322         | A      |
| 20211018 | INGN   | 0                   | 19           | 26           | A      |
| 20211018 | INGR   | 100                 | 331          | 463          | A      |
| 20211018 | INKT   | 0                   | 0            | 200          | A      |
| 20211018 | INM    | 100                 | 1241         | 2241         | A      |
| 20211018 | INMB   | 0                   | 0            | 806          | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | INMD   | 0                   | 1289         | 5675         | A      |
| 20211018 | INN    | 0                   | 1040         | 2588         | A      |
| 20211018 | INNV   | 0                   | 235          | 235          | A      |
| 20211018 | INO    | 0                   | 739          | 1131         | A      |
| 20211018 | INOV   | 0                   | 168          | 1014         | A      |
| 20211018 | INSE   | 0                   | 10           | 110          | A      |
| 20211018 | INSG   | 0                   | 267          | 893          | A      |
| 20211018 | INSM   | 0                   | 406          | 803          | A      |
| 20211018 | INSP   | 0                   | 1            | 37           | A      |
| 20211018 | INST   | 0                   | 315          | 431          | A      |
| 20211018 | INSW   | 0                   | 23           | 630          | A      |
| 20211018 | INT    | 4                   | 318          | 550          | A      |
| 20211018 | INTA   | 0                   | 1            | 28           | A      |
| 20211018 | INTC   | 0                   | 58315        | 77931        | A      |
| 20211018 | INTF   | 0                   | 100          | 100          | A      |
| 20211018 | INTT   | 0                   | 3154         | 10024        | A      |
| 20211018 | INTU   | 665                 | 2056         | 2832         | A      |
| 20211018 | INUV   | 17554               | 63180        | 92444        | A      |
| 20211018 | INVA   | 0                   | 1093         | 1540         | A      |
| 20211018 | INVE   | 0                   | 43           | 129          | A      |
| 20211018 | INVH   | 0                   | 806          | 2027         | A      |
| 20211018 | INZY   | 0                   | 19           | 84           | A      |
| 20211018 | IO     | 10290               | 93051        | 140250       | A      |
| 20211018 | IONQ   | 0                   | 1102         | 1572         | A      |
| 20211018 | IONS   | 152                 | 809          | 3418         | A      |
| 20211018 | IOR    | 0                   | 2            | 2            | A      |
| 20211018 | IOSP   | 0                   | 2            | 104          | A      |
| 20211018 | IOVA   | 0                   | 323          | 1092         | A      |
| 20211018 | IP     | 0                   | 3125         | 5512         | A      |
| 20211018 | IPA    | 0                   | 100          | 400          | A      |
| 20211018 | IPAY   | 0                   | 11           | 25           | A      |
| 20211018 | IPB    | 0                   | 0            | 1            | A      |
| 20211018 | IPDN   | 0                   | 0            | 19           | A      |
| 20211018 | IPG    | 0                   | 1171         | 2213         | A      |
| 20211018 | IPGP   | 0                   | 642          | 2459         | A      |
| 20211018 | IPI    | 0                   | 276          | 378          | A      |
| 20211018 | IPO    | 0                   | 0            | 1200         | A      |
| 20211018 | IPOD   | 0                   | 0            | 800          | A      |
| 20211018 | IPOF   | 0                   | 701          | 1566         | A      |
| 20211018 | IPSC   | 0                   | 151          | 189          | A      |
| 20211018 | IQ     | 0                   | 8736         | 14765        | A      |
| 20211018 | IQI    | 0                   | 0            | 100          | A      |
| 20211018 | IQLT   | 0                   | 3600         | 3900         | A      |
| 20211018 | IQV    | 63                  | 1045         | 2110         | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20211018 | IR      | 0                   | 5586         | 8494         | A      |
| 20211018 | IRBT    | 0                   | 577          | 1379         | A      |
| 20211018 | IRDM    | 0                   | 319          | 402          | A      |
| 20211018 | IRM     | 0                   | 528          | 869          | A      |
| 20211018 | IRNT    | 200                 | 1861         | 3690         | A      |
| 20211018 | IRNT WS | 0                   | 0            | 3200         | A      |
| 20211018 | IRT     | 0                   | 609          | 1073         | A      |
| 20211018 | IRTC    | 0                   | 376          | 409          | A      |
| 20211018 | IRWD    | 0                   | 2850         | 5799         | A      |
| 20211018 | IS      | 0                   | 1369         | 1469         | A      |
| 20211018 | ISBC    | 0                   | 2485         | 2596         | A      |
| 20211018 | ISDR    | 0                   | 62           | 700          | A      |
| 20211018 | ISEE    | 0                   | 2473         | 3758         | A      |
| 20211018 | ISO     | 0                   | 1202         | 3102         | A      |
| 20211018 | ISR     | 495                 | 11302        | 45963        | A      |
| 20211018 | ISRG    | 0                   | 1231         | 1952         | A      |
| 20211018 | ISTB    | 0                   | 500          | 2700         | A      |
| 20211018 | ISTR    | 0                   | 0            | 1            | A      |
| 20211018 | IT      | 0                   | 176          | 285          | A      |
| 20211018 | ITA     | 0                   | 100          | 208          | A      |
| 20211018 | ITB     | 0                   | 2398         | 4133         | A      |
| 20211018 | ITCI    | 0                   | 3            | 108          | A      |
| 20211018 | ITGR    | 0                   | 4            | 4            | A      |
| 20211018 | ITMR    | 0                   | 0            | 99           | A      |
| 20211018 | ITOT    | 0                   | 8860         | 10617        | A      |
| 20211018 | ITP     | 13861               | 18183        | 58438        | A      |
| 20211018 | ITRG    | 10196               | 22507        | 32229        | A      |
| 20211018 | ITRI    | 0                   | 75           | 573          | A      |
| 20211018 | ITRN    | 0                   | 0            | 61           | A      |
| 20211018 | ITT     | 0                   | 630          | 773          | A      |
| 20211018 | ITUB    | 9547                | 204323       | 246976       | A      |
| 20211018 | ITW     | 0                   | 2137         | 3152         | A      |
| 20211018 | IUSB    | 0                   | 2925         | 2925         | A      |
| 20211018 | IUSG    | 0                   | 200          | 390          | A      |
| 20211018 | IUSV    | 0                   | 1240         | 1240         | A      |
| 20211018 | IVAC    | 0                   | 0            | 4            | A      |
| 20211018 | IVC     | 0                   | 392          | 1943         | A      |
| 20211018 | IVH     | 0                   | 0            | 200          | A      |
| 20211018 | IVLU    | 0                   | 200          | 200          | A      |
| 20211018 | IVOL    | 0                   | 100          | 1433         | A      |
| 20211018 | IVR     | 0                   | 9726         | 10261        | A      |
| 20211018 | IVT     | 0                   | 200          | 1169         | A      |
| 20211018 | IVV     | 43                  | 2532         | 4396         | A      |
| 20211018 | IVW     | 0                   | 9626         | 11044        | A      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | IVZ    | 0                   | 2633         | 7079         | A      |
| 20211018 | IWB    | 0                   | 100          | 100          | A      |
| 20211018 | IWC    | 0                   | 301          | 981          | A      |
| 20211018 | IWD    | 66                  | 15721        | 26639        | A      |
| 20211018 | IWF    | 0                   | 3824         | 7559         | A      |
| 20211018 | IWM    | 100                 | 104448       | 143492       | A      |
| 20211018 | IWN    | 0                   | 403          | 906          | A      |
| 20211018 | IWO    | 0                   | 0            | 2            | A      |
| 20211018 | IWR    | 0                   | 3850         | 5050         | A      |
| 20211018 | IWV    | 0                   | 100          | 100          | A      |
| 20211018 | IXC    | 0                   | 3428         | 4008         | A      |
| 20211018 | IXG    | 0                   | 200          | 200          | A      |
| 20211018 | IXJ    | 0                   | 26           | 33           | A      |
| 20211018 | IXN    | 0                   | 2            | 35           | A      |
| 20211018 | IXUS   | 0                   | 3000         | 3701         | A      |
| 20211018 | IYC    | 0                   | 100          | 100          | A      |
| 20211018 | IYE    | 0                   | 500          | 907          | A      |
| 20211018 | IYF    | 0                   | 538          | 538          | A      |
| 20211018 | IYH    | 0                   | 0            | 1            | A      |
| 20211018 | IYR    | 0                   | 3452         | 5421         | A      |
| 20211018 | IYW    | 0                   | 1684         | 2084         | A      |
| 20211018 | IYZ    | 0                   | 100          | 1607         | A      |
| 20211018 | IZEA   | 0                   | 200          | 805          | A      |
| 20211018 | J      | 0                   | 418          | 611          | A      |
| 20211018 | JACK   | 0                   | 478          | 642          | A      |
| 20211018 | JAGX   | 0                   | 1777         | 2364         | A      |
| 20211018 | JAMF   | 0                   | 1549         | 1765         | A      |
| 20211018 | JANX   | 0                   | 180          | 203          | A      |
| 20211018 | JAZZ   | 0                   | 666          | 1391         | A      |
| 20211018 | JBGS   | 0                   | 102          | 102          | A      |
| 20211018 | JBHT   | 0                   | 947          | 2177         | A      |
| 20211018 | JBI    | 0                   | 790          | 890          | A      |
| 20211018 | JBL    | 0                   | 105          | 2201         | A      |
| 20211018 | JBLU   | 0                   | 10180        | 14235        | A      |
| 20211018 | JBSS   | 0                   | 11           | 84           | A      |
| 20211018 | JBT    | 0                   | 208          | 262          | A      |
| 20211018 | JCI    | 0                   | 21389        | 33772        | A      |
| 20211018 | JCS    | 0                   | 657          | 957          | A      |
| 20211018 | JD     | 165                 | 42567        | 59903        | A      |
| 20211018 | JDST   | 0                   | 1550         | 2351         | A      |
| 20211018 | JEF    | 0                   | 5463         | 8359         | A      |
| 20211018 | JELD   | 0                   | 262          | 1604         | A      |
| 20211018 | JEPI   | 0                   | 8892         | 9038         | A      |
| 20211018 | JETS   | 0                   | 6604         | 23076        | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20211018 | JFU     | 0                   | 3            | 3            | A      |
| 20211018 | JHB     | 0                   | 1100         | 1100         | A      |
| 20211018 | JHG     | 0                   | 326          | 1268         | A      |
| 20211018 | JHX     | 0                   | 100          | 100          | A      |
| 20211018 | JJC     | 0                   | 0            | 100          | A      |
| 20211018 | JJSF    | 0                   | 0            | 7            | A      |
| 20211018 | JKHY    | 0                   | 426          | 556          | A      |
| 20211018 | JKS     | 0                   | 1055         | 1785         | A      |
| 20211018 | JLL     | 0                   | 355          | 3581         | A      |
| 20211018 | JMBS    | 0                   | 0            | 500          | A      |
| 20211018 | JMIA    | 0                   | 3374         | 4936         | A      |
| 20211018 | JMST    | 500                 | 600          | 600          | A      |
| 20211018 | JNCE    | 0                   | 200          | 600          | A      |
| 20211018 | JNJ     | 0                   | 21184        | 27938        | A      |
| 20211018 | JNK     | 0                   | 15582        | 23611        | A      |
| 20211018 | JNPR    | 0                   | 783          | 1336         | A      |
| 20211018 | JNUG    | 0                   | 0            | 150          | A      |
| 20211018 | JOAN    | 0                   | 891          | 913          | A      |
| 20211018 | JOB     | 3034                | 9388         | 40362        | A      |
| 20211018 | JOBS    | 0                   | 19           | 1240         | A      |
| 20211018 | JOBY    | 0                   | 1142         | 1142         | A      |
| 20211018 | JOUT    | 0                   | 13           | 13           | A      |
| 20211018 | JPM     | 100                 | 23089        | 34043        | A      |
| 20211018 | JPM PRC | 0                   | 0            | 156          | A      |
| 20211018 | JPM PRJ | 0                   | 100          | 214          | A      |
| 20211018 | JPM PRL | 0                   | 0            | 400          | A      |
| 20211018 | JPM PRM | 0                   | 107          | 1000         | A      |
| 20211018 | JPS     | 0                   | 1400         | 2100         | A      |
| 20211018 | JPST    | 0                   | 400          | 4672         | A      |
| 20211018 | JQC     | 0                   | 675          | 2420         | A      |
| 20211018 | JRVR    | 0                   | 100          | 301          | A      |
| 20211018 | JSPR    | 105                 | 120          | 1372         | A      |
| 20211018 | JVA     | 0                   | 0            | 1            | A      |
| 20211018 | JW A    | 0                   | 421          | 439          | A      |
| 20211018 | JWN     | 0                   | 1318         | 2659         | A      |
| 20211018 | JWSM    | 0                   | 195          | 195          | A      |
| 20211018 | JXI     | 0                   | 0            | 144          | A      |
| 20211018 | JXN     | 0                   | 0            | 410          | A      |
| 20211018 | JYNT    | 0                   | 1            | 159          | A      |
| 20211018 | K       | 32                  | 1244         | 2982         | A      |
| 20211018 | KAI     | 0                   | 43           | 43           | A      |
| 20211018 | KALA    | 300                 | 4722         | 6411         | A      |
| 20211018 | KALU    | 0                   | 68           | 96           | A      |
| 20211018 | KALV    | 0                   | 0            | 33           | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | KAMN   | 0                   | 210          | 210          | A      |
| 20211018 | KAR    | 0                   | 1004         | 1627         | A      |
| 20211018 | KARO   | 0                   | 2            | 2            | A      |
| 20211018 | KAVL   | 0                   | 1100         | 2000         | A      |
| 20211018 | KB     | 0                   | 204          | 208          | A      |
| 20211018 | KBAL   | 0                   | 0            | 368          | A      |
| 20211018 | KBE    | 1800                | 3758         | 10945        | A      |
| 20211018 | KBH    | 0                   | 1725         | 7402         | A      |
| 20211018 | KBR    | 0                   | 556          | 1072         | A      |
| 20211018 | KBWB   | 0                   | 2420         | 3320         | A      |
| 20211018 | KBWY   | 0                   | 100          | 100          | A      |
| 20211018 | KC     | 0                   | 422          | 799          | A      |
| 20211018 | KDMN   | 0                   | 310          | 591          | A      |
| 20211018 | KDNY   | 0                   | 171          | 171          | A      |
| 20211018 | KDP    | 0                   | 1871         | 4101         | A      |
| 20211018 | KE     | 0                   | 1            | 2            | A      |
| 20211018 | KELYA  | 0                   | 202          | 210          | A      |
| 20211018 | KEP    | 0                   | 0            | 122          | A      |
| 20211018 | KEX    | 0                   | 3            | 20           | A      |
| 20211018 | KEY    | 0                   | 14697        | 25123        | A      |
| 20211018 | KEYS   | 12                  | 7793         | 8871         | A      |
| 20211018 | KFY    | 0                   | 698          | 934          | A      |
| 20211018 | KGC    | 0                   | 18255        | 23221        | A      |
| 20211018 | KHC    | 100                 | 3954         | 5781         | A      |
| 20211018 | KIDS   | 0                   | 6            | 104          | A      |
| 20211018 | KIE    | 0                   | 5109         | 5132         | A      |
| 20211018 | KIM    | 0                   | 7577         | 8138         | A      |
| 20211018 | KIQ    | 414                 | 4751         | 14370        | A      |
| 20211018 | KIRK   | 0                   | 381          | 428          | A      |
| 20211018 | KKR    | 0                   | 652          | 2806         | A      |
| 20211018 | KL     | 500                 | 12682        | 20520        | A      |
| 20211018 | KLAC   | 0                   | 353          | 5342         | A      |
| 20211018 | KLDO   | 0                   | 0            | 189          | A      |
| 20211018 | KLIC   | 0                   | 273          | 1015         | A      |
| 20211018 | KLR    | 0                   | 260          | 839          | A      |
| 20211018 | KLR WS | 0                   | 200          | 1903         | A      |
| 20211018 | KLTR   | 0                   | 0            | 521          | A      |
| 20211018 | KLXE   | 0                   | 0            | 100          | A      |
| 20211018 | KMB    | 0                   | 1222         | 2274         | A      |
| 20211018 | KMDA   | 0                   | 0            | 9            | A      |
| 20211018 | KMI    | 0                   | 27404        | 32187        | A      |
| 20211018 | KMPH   | 0                   | 108          | 1727         | A      |
| 20211018 | KMPR   | 0                   | 75           | 76           | A      |
| 20211018 | KMT    | 0                   | 521          | 814          | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | KMX    | 0                   | 529          | 608          | A      |
| 20211018 | KN     | 0                   | 1423         | 2494         | A      |
| 20211018 | KNBE   | 0                   | 177          | 477          | A      |
| 20211018 | KNDI   | 0                   | 100          | 100          | A      |
| 20211018 | KNSA   | 0                   | 1013         | 1062         | A      |
| 20211018 | KNSL   | 0                   | 0            | 105          | A      |
| 20211018 | KNTE   | 0                   | 292          | 392          | A      |
| 20211018 | KNX    | 0                   | 3373         | 6999         | A      |
| 20211018 | KO     | 6                   | 45164        | 71253        | A      |
| 20211018 | KOD    | 100                 | 765          | 834          | A      |
| 20211018 | KODK   | 100                 | 2516         | 3873         | A      |
| 20211018 | KOLD   | 0                   | 22660        | 54965        | A      |
| 20211018 | KOPN   | 0                   | 2            | 2            | A      |
| 20211018 | KOR    | 0                   | 0            | 69           | A      |
| 20211018 | KORE   | 0                   | 205          | 205          | A      |
| 20211018 | KORU   | 0                   | 4            | 4            | A      |
| 20211018 | KOS    | 200                 | 17614        | 33228        | A      |
| 20211018 | KPLT   | 0                   | 0            | 686          | A      |
| 20211018 | KPTI   | 0                   | 522          | 530          | A      |
| 20211018 | KR     | 0                   | 12617        | 17075        | A      |
| 20211018 | KRA    | 0                   | 100          | 152          | A      |
| 20211018 | KRBN   | 0                   | 100          | 400          | A      |
| 20211018 | KRBP   | 0                   | 12           | 12           | A      |
| 20211018 | KRC    | 0                   | 1            | 401          | A      |
| 20211018 | KRE    | 1400                | 15886        | 24149        | A      |
| 20211018 | KREF   | 0                   | 104          | 504          | A      |
| 20211018 | KRG    | 0                   | 2742         | 3327         | A      |
| 20211018 | KRNT   | 0                   | 201          | 301          | A      |
| 20211018 | KRO    | 0                   | 0            | 52           | A      |
| 20211018 | KRON   | 0                   | 168          | 391          | A      |
| 20211018 | KROS   | 0                   | 2            | 2            | A      |
| 20211018 | KRP    | 0                   | 4            | 204          | A      |
| 20211018 | KRTX   | 0                   | 292          | 331          | A      |
| 20211018 | KRUS   | 0                   | 1            | 49           | A      |
| 20211018 | KRYS   | 0                   | 1            | 1            | A      |
| 20211018 | KSA    | 0                   | 0            | 1100         | A      |
| 20211018 | KSS    | 0                   | 5276         | 6279         | A      |
| 20211018 | KSU    | 0                   | 305          | 556          | A      |
| 20211018 | KT     | 0                   | 917          | 1320         | A      |
| 20211018 | KTB    | 0                   | 180          | 1167         | A      |
| 20211018 | KTOS   | 0                   | 210          | 426          | A      |
| 20211018 | KTRA   | 0                   | 100          | 100          | A      |
| 20211018 | KULR   | 159                 | 12792        | 27780        | A      |
| 20211018 | KURA   | 0                   | 412          | 754          | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | KVHI   | 0                   | 0            | 3            | A      |
| 20211018 | KVSB   | 0                   | 0            | 43           | A      |
| 20211018 | KW     | 0                   | 382          | 624          | A      |
| 20211018 | KWEB   | 100                 | 7990         | 12373        | A      |
| 20211018 | KWR    | 0                   | 126          | 201          | A      |
| 20211018 | KXIN   | 0                   | 0            | 10           | A      |
| 20211018 | KYMR   | 0                   | 1155         | 2413         | A      |
| 20211018 | KYN    | 0                   | 2400         | 3600         | A      |
| 20211018 | KZR    | 0                   | 0            | 100          | A      |
| 20211018 | L      | 0                   | 2494         | 2613         | A      |
| 20211018 | LABP   | 0                   | 12           | 12           | A      |
| 20211018 | LABU   | 0                   | 144          | 2581         | A      |
| 20211018 | LAC    | 100                 | 2778         | 16352        | A      |
| 20211018 | LAD    | 0                   | 8            | 275          | A      |
| 20211018 | LADR   | 0                   | 1185         | 1310         | A      |
| 20211018 | LAMR   | 0                   | 0            | 50           | A      |
| 20211018 | LANC   | 0                   | 11           | 85           | A      |
| 20211018 | LAUR   | 0                   | 300          | 301          | A      |
| 20211018 | LAW    | 0                   | 0            | 2            | A      |
| 20211018 | LAWS   | 0                   | 0            | 14           | A      |
| 20211018 | LAZ    | 0                   | 497          | 983          | A      |
| 20211018 | LAZR   | 0                   | 1161         | 1671         | A      |
| 20211018 | LAZY   | 0                   | 70           | 551          | A      |
| 20211018 | LBAI   | 0                   | 100          | 100          | A      |
| 20211018 | LBC    | 0                   | 13           | 13           | A      |
| 20211018 | LBRDA  | 0                   | 92           | 94           | A      |
| 20211018 | LBRDK  | 0                   | 880          | 1631         | A      |
| 20211018 | LBRT   | 0                   | 1136         | 2193         | A      |
| 20211018 | LBTYA  | 0                   | 325          | 995          | A      |
| 20211018 | LBTYK  | 0                   | 395          | 1468         | A      |
| 20211018 | LC     | 0                   | 101          | 301          | A      |
| 20211018 | LCI    | 0                   | 200          | 1535         | A      |
| 20211018 | LCID   | 3572                | 65927        | 95356        | A      |
| 20211018 | LCII   | 0                   | 0            | 33           | A      |
| 20211018 | LCNB   | 0                   | 0            | 10           | A      |
| 20211018 | LCTU   | 0                   | 600          | 600          | A      |
| 20211018 | LCTX   | 589                 | 110350       | 161275       | A      |
| 20211018 | LCUT   | 0                   | 1            | 1            | A      |
| 20211018 | LDI    | 0                   | 0            | 100          | A      |
| 20211018 | LDOS   | 0                   | 529          | 1465         | A      |
| 20211018 | LDSF   | 0                   | 0            | 200          | A      |
| 20211018 | LE     | 0                   | 100          | 466          | A      |
| 20211018 | LEA    | 0                   | 807          | 2582         | A      |
| 20211018 | LECO   | 0                   | 58           | 165          | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20211018 | LEG     | 0                   | 711          | 941          | A      |
| 20211018 | LEGN    | 0                   | 1127         | 2233         | A      |
| 20211018 | LEGO    | 0                   | 100          | 400          | A      |
| 20211018 | LEN     | 0                   | 4504         | 7066         | A      |
| 20211018 | LEN B   | 201                 | 201          | 256          | A      |
| 20211018 | LESL    | 0                   | 514          | 1689         | A      |
| 20211018 | LEU     | 1354                | 14897        | 47911        | A      |
| 20211018 | LEV     | 200                 | 5577         | 7524         | A      |
| 20211018 | LEVI    | 0                   | 6505         | 6510         | A      |
| 20211018 | LFC     | 0                   | 100          | 146          | A      |
| 20211018 | LFG     | 0                   | 714          | 1190         | A      |
| 20211018 | LFMD    | 0                   | 174          | 218          | A      |
| 20211018 | LFST    | 0                   | 619          | 3432         | A      |
| 20211018 | LFUS    | 0                   | 19           | 41           | A      |
| 20211018 | LGF A   | 0                   | 1487         | 5401         | A      |
| 20211018 | LGF B   | 0                   | 1140         | 3437         | A      |
| 20211018 | LGIH    | 0                   | 23           | 155          | A      |
| 20211018 | LGL     | 0                   | 7            | 37           | A      |
| 20211018 | LGL WS  | 0                   | 200          | 848          | A      |
| 20211018 | LGND    | 0                   | 180          | 180          | A      |
| 20211018 | LGO     | 0                   | 200          | 400          | A      |
| 20211018 | LGVN    | 0                   | 0            | 100          | A      |
| 20211018 | LH      | 0                   | 204          | 1482         | A      |
| 20211018 | LHCG    | 0                   | 563          | 1597         | A      |
| 20211018 | LHDX    | 0                   | 0            | 24           | A      |
| 20211018 | LHX     | 0                   | 1674         | 2984         | A      |
| 20211018 | LI      | 100                 | 25201        | 60366        | A      |
| 20211018 | LICY    | 300                 | 492          | 1486         | A      |
| 20211018 | LICY WS | 0                   | 278          | 478          | A      |
| 20211018 | LIDR    | 217                 | 1808         | 2324         | A      |
| 20211018 | LIFE    | 0                   | 19           | 3172         | A      |
| 20211018 | LII     | 0                   | 211          | 765          | A      |
| 20211018 | LILA    | 0                   | 30           | 30           | A      |
| 20211018 | LILAK   | 0                   | 831          | 2313         | A      |
| 20211018 | LILM    | 0                   | 152          | 1593         | A      |
| 20211018 | LIN     | 112                 | 7043         | 8749         | A      |
| 20211018 | LIND    | 0                   | 0            | 6            | A      |
| 20211018 | LIQT    | 0                   | 0            | 100          | A      |
| 20211018 | LIT     | 0                   | 112          | 771          | A      |
| 20211018 | LITB    | 0                   | 0            | 417          | A      |
| 20211018 | LITE    | 0                   | 398          | 3158         | A      |
| 20211018 | LIVE    | 0                   | 79           | 82           | A      |
| 20211018 | LIVN    | 2300                | 2358         | 2479         | A      |
| 20211018 | LIZI    | 0                   | 440          | 550          | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20211018 | LKCO    | 0                   | 3000         | 6376         | A      |
| 20211018 | LKFN    | 0                   | 7            | 12           | A      |
| 20211018 | LKQ     | 0                   | 4537         | 7915         | A      |
| 20211018 | LL      | 0                   | 451          | 1899         | A      |
| 20211018 | LLNW    | 0                   | 3944         | 6871         | A      |
| 20211018 | LLY     | 0                   | 2063         | 3836         | A      |
| 20211018 | LMBS    | 0                   | 433          | 3233         | A      |
| 20211018 | LMFA    | 0                   | 9210         | 12159        | A      |
| 20211018 | LMND    | 0                   | 330          | 1799         | A      |
| 20211018 | LMRK    | 0                   | 100          | 200          | A      |
| 20211018 | LMT     | 0                   | 1101         | 2134         | A      |
| 20211018 | LNC     | 0                   | 682          | 1895         | A      |
| 20211018 | LNG     | 1352                | 116212       | 266928       | A      |
| 20211018 | LNT     | 0                   | 1331         | 3449         | A      |
| 20211018 | LNTH    | 0                   | 269          | 698          | A      |
| 20211018 | LOB     | 0                   | 46           | 460          | A      |
| 20211018 | LOCO    | 0                   | 149          | 348          | A      |
| 20211018 | LODE    | 1051                | 20196        | 43133        | A      |
| 20211018 | LOGC    | 0                   | 0            | 123          | A      |
| 20211018 | LOGI    | 0                   | 3508         | 3553         | A      |
| 20211018 | LOKB    | 0                   | 0            | 6223         | A      |
| 20211018 | LOKB WS | 0                   | 200          | 200          | A      |
| 20211018 | LOOP    | 0                   | 0            | 500          | A      |
| 20211018 | LOPE    | 0                   | 17           | 154          | A      |
| 20211018 | LOTZ    | 0                   | 5            | 105          | A      |
| 20211018 | LOV     | 0                   | 1979         | 19396        | A      |
| 20211018 | LOVE    | 0                   | 383          | 1119         | A      |
| 20211018 | LOW     | 0                   | 2178         | 4740         | A      |
| 20211018 | LPCN    | 0                   | 732          | 832          | A      |
| 20211018 | LPG     | 0                   | 200          | 599          | A      |
| 20211018 | LPI     | 0                   | 7            | 108          | A      |
| 20211018 | LPL     | 0                   | 1600         | 1600         | A      |
| 20211018 | LPLA    | 0                   | 313          | 899          | A      |
| 20211018 | LPRO    | 0                   | 21           | 1041         | A      |
| 20211018 | LPSN    | 0                   | 1            | 29           | A      |
| 20211018 | LPTX    | 0                   | 308          | 820          | A      |
| 20211018 | LPX     | 0                   | 613          | 3592         | A      |
| 20211018 | LQD     | 0                   | 24597        | 46354        | A      |
| 20211018 | LQDA    | 0                   | 1            | 326          | A      |
| 20211018 | LQDT    | 0                   | 200          | 200          | A      |
| 20211018 | LRCX    | 0                   | 746          | 1630         | A      |
| 20211018 | LRMR    | 0                   | 12           | 12           | A      |
| 20211018 | LRN     | 0                   | 373          | 456          | A      |
| 20211018 | LSCC    | 0                   | 11           | 1216         | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20211018 | LSF     | 200                 | 2130         | 5014         | A      |
| 20211018 | LSI     | 0                   | 141          | 541          | A      |
| 20211018 | LSPD    | 100                 | 1547         | 2047         | A      |
| 20211018 | LSTR    | 0                   | 127          | 821          | A      |
| 20211018 | LSXMA   | 0                   | 143          | 143          | A      |
| 20211018 | LSXMK   | 0                   | 34           | 251          | A      |
| 20211018 | LTBR    | 0                   | 112          | 212          | A      |
| 20211018 | LTC     | 0                   | 809          | 810          | A      |
| 20211018 | LTCH    | 1278                | 5397         | 6907         | A      |
| 20211018 | LTH     | 0                   | 6932         | 7483         | A      |
| 20211018 | LTHM    | 0                   | 2739         | 3888         | A      |
| 20211018 | LTRN    | 0                   | 303          | 403          | A      |
| 20211018 | LTRPA   | 0                   | 0            | 596          | A      |
| 20211018 | LTRX    | 0                   | 0            | 102          | A      |
| 20211018 | LU      | 0                   | 13705        | 20110        | A      |
| 20211018 | LUCD    | 0                   | 0            | 2677         | A      |
| 20211018 | LULU    | 0                   | 568          | 1664         | A      |
| 20211018 | LUMN    | 0                   | 5498         | 8756         | A      |
| 20211018 | LUNG    | 0                   | 114          | 347          | A      |
| 20211018 | LUV     | 200                 | 7723         | 15357        | A      |
| 20211018 | LVHD    | 0                   | 5            | 6            | A      |
| 20211018 | LVO     | 0                   | 120          | 2000         | A      |
| 20211018 | LVOX    | 0                   | 0            | 77           | A      |
| 20211018 | LVOXW   | 0                   | 0            | 800          | A      |
| 20211018 | LVS     | 0                   | 4985         | 20684        | A      |
| 20211018 | LW      | 0                   | 2149         | 3912         | A      |
| 20211018 | LX      | 0                   | 110          | 155          | A      |
| 20211018 | LXFR    | 0                   | 0            | 155          | A      |
| 20211018 | LXP     | 0                   | 3241         | 4755         | A      |
| 20211018 | LXRX    | 0                   | 559          | 821          | A      |
| 20211018 | LXU     | 0                   | 933          | 1039         | A      |
| 20211018 | LYB     | 9                   | 1505         | 2260         | A      |
| 20211018 | LYEL    | 0                   | 300          | 300          | A      |
| 20211018 | LYFT    | 100                 | 11396        | 18156        | A      |
| 20211018 | LYG     | 0                   | 5314         | 6714         | A      |
| 20211018 | LYRA    | 0                   | 0            | 47           | A      |
| 20211018 | LYV     | 0                   | 566          | 884          | A      |
| 20211018 | LZ      | 0                   | 208          | 329          | A      |
| 20211018 | LZB     | 0                   | 4            | 304          | A      |
| 20211018 | M       | 1300                | 85607        | 126251       | A      |
| 20211018 | MA      | 0                   | 7031         | 15229        | A      |
| 20211018 | MAA     | 0                   | 155          | 385          | A      |
| 20211018 | MAC     | 0                   | 0            | 404          | A      |
| 20211018 | MACC WS | 0                   | 0            | 200          | A      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | MAG    | 2765                | 21891        | 33417        | A      |
| 20211018 | MAIN   | 0                   | 200          | 200          | A      |
| 20211018 | MAN    | 0                   | 248          | 558          | A      |
| 20211018 | MANH   | 0                   | 228          | 347          | A      |
| 20211018 | MANT   | 0                   | 108          | 146          | A      |
| 20211018 | MANU   | 0                   | 3            | 12           | A      |
| 20211018 | MAPS   | 0                   | 125          | 136          | A      |
| 20211018 | MAR    | 0                   | 1825         | 2948         | A      |
| 20211018 | MARA   | 113                 | 10513        | 18148        | A      |
| 20211018 | MARK   | 0                   | 12           | 16           | A      |
| 20211018 | MAS    | 0                   | 9846         | 13561        | A      |
| 20211018 | MASI   | 0                   | 36           | 384          | A      |
| 20211018 | MASS   | 0                   | 5            | 32           | A      |
| 20211018 | MAT    | 0                   | 1631         | 2065         | A      |
| 20211018 | MATX   | 0                   | 80           | 162          | A      |
| 20211018 | MAX    | 0                   | 8            | 8            | A      |
| 20211018 | MAXN   | 0                   | 684          | 1771         | A      |
| 20211018 | MAXR   | 0                   | 3621         | 3981         | A      |
| 20211018 | MBB    | 0                   | 2219         | 6019         | A      |
| 20211018 | MBI    | 0                   | 1485         | 2630         | A      |
| 20211018 | MBIN   | 0                   | 152          | 175          | A      |
| 20211018 | MBIO   | 0                   | 400          | 1900         | A      |
| 20211018 | MBT    | 0                   | 2726         | 4413         | A      |
| 20211018 | MBUU   | 0                   | 26           | 29           | A      |
| 20211018 | MC     | 0                   | 291          | 514          | A      |
| 20211018 | MCBC   | 0                   | 5            | 5            | A      |
| 20211018 | MCD    | 0                   | 1290         | 5206         | A      |
| 20211018 | MCF    | 609                 | 43440        | 75380        | A      |
| 20211018 | MCFE   | 0                   | 3402         | 6918         | A      |
| 20211018 | MCFT   | 0                   | 94           | 94           | A      |
| 20211018 | MCHI   | 0                   | 23950        | 27884        | A      |
| 20211018 | MCHP   | 0                   | 2762         | 8223         | A      |
| 20211018 | MCK    | 0                   | 835          | 1199         | A      |
| 20211018 | MCO    | 0                   | 1240         | 1977         | A      |
| 20211018 | MCRB   | 0                   | 254          | 354          | A      |
| 20211018 | MCRI   | 0                   | 157          | 191          | A      |
| 20211018 | MCS    | 0                   | 1122         | 1974         | A      |
| 20211018 | MCW    | 2367                | 2767         | 3067         | A      |
| 20211018 | MCY    | 0                   | 0            | 75           | A      |
| 20211018 | MD     | 0                   | 1502         | 1921         | A      |
| 20211018 | MDB    | 0                   | 810          | 3406         | A      |
| 20211018 | MDC    | 0                   | 1            | 80           | A      |
| 20211018 | MDGL   | 0                   | 51           | 119          | A      |
| 20211018 | MDIA   | 0                   | 800          | 1600         | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | MDLA   | 0                   | 669          | 880          | A      |
| 20211018 | MDLZ   | 0                   | 14940        | 21758        | A      |
| 20211018 | MDP    | 0                   | 1            | 1            | A      |
| 20211018 | MDRX   | 0                   | 1878         | 3549         | A      |
| 20211018 | MDT    | 0                   | 19690        | 47289        | A      |
| 20211018 | MDU    | 0                   | 813          | 989          | A      |
| 20211018 | MDVL   | 0                   | 3            | 3            | A      |
| 20211018 | MDWT   | 0                   | 0            | 30           | A      |
| 20211018 | MDXG   | 0                   | 310          | 321          | A      |
| 20211018 | MDY    | 0                   | 370          | 436          | A      |
| 20211018 | ME     | 0                   | 13338        | 14780        | A      |
| 20211018 | MEC    | 0                   | 75           | 100          | A      |
| 20211018 | MED    | 0                   | 1            | 5            | A      |
| 20211018 | MEDP   | 0                   | 20           | 23           | A      |
| 20211018 | MEG    | 0                   | 301          | 309          | A      |
| 20211018 | MEKA   | 0                   | 200          | 2924         | A      |
| 20211018 | MELI   | 2                   | 235          | 345          | A      |
| 20211018 | MEOH   | 100                 | 732          | 1183         | A      |
| 20211018 | MERC   | 0                   | 834          | 934          | A      |
| 20211018 | MESA   | 0                   | 88           | 88           | A      |
| 20211018 | MESO   | 0                   | 400          | 514          | A      |
| 20211018 | MET    | 0                   | 1787         | 2788         | A      |
| 20211018 | META   | 0                   | 0            | 749          | A      |
| 20211018 | METC   | 0                   | 203          | 607          | A      |
| 20211018 | METX   | 0                   | 1600         | 3598         | A      |
| 20211018 | MFA    | 500                 | 3131         | 7132         | A      |
| 20211018 | MFC    | 1400                | 9826         | 13984        | A      |
| 20211018 | MFG    | 0                   | 100          | 300          | A      |
| 20211018 | MFGP   | 0                   | 100          | 100          | A      |
| 20211018 | MG     | 0                   | 100          | 100          | A      |
| 20211018 | MGA    | 0                   | 1929         | 3466         | A      |
| 20211018 | MGEE   | 0                   | 0            | 200          | A      |
| 20211018 | MGI    | 0                   | 3024         | 9610         | A      |
| 20211018 | MGIC   | 0                   | 24           | 24           | A      |
| 20211018 | MGLN   | 0                   | 0            | 417          | A      |
| 20211018 | MGM    | 0                   | 10380        | 18498        | A      |
| 20211018 | MGNI   | 0                   | 765          | 1070         | A      |
| 20211018 | MGNX   | 0                   | 477          | 1073         | A      |
| 20211018 | MGP    | 0                   | 320          | 1431         | A      |
| 20211018 | MGRC   | 0                   | 26           | 326          | A      |
| 20211018 | MGTA   | 0                   | 1            | 1            | A      |
| 20211018 | MGTX   | 0                   | 2547         | 4541         | A      |
| 20211018 | MGV    | 0                   | 300          | 300          | A      |
| 20211018 | MGY    | 0                   | 1509         | 4731         | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20211018 | MHD     | 0                   | 100          | 100          | A      |
| 20211018 | MHF     | 0                   | 0            | 100          | A      |
| 20211018 | MHH     | 0                   | 77           | 418          | A      |
| 20211018 | MHK     | 0                   | 475          | 553          | A      |
| 20211018 | MHO     | 0                   | 229          | 290          | A      |
| 20211018 | MIC     | 0                   | 7609         | 14054        | A      |
| 20211018 | MICT    | 0                   | 286          | 286          | A      |
| 20211018 | MIDD    | 0                   | 519          | 984          | A      |
| 20211018 | MILE    | 100                 | 843          | 3488         | A      |
| 20211018 | MIME    | 0                   | 54           | 968          | A      |
| 20211018 | MIMO    | 0                   | 4760         | 7498         | A      |
| 20211018 | MIMO WS | 0                   | 0            | 300          | A      |
| 20211018 | MIN     | 0                   | 300          | 300          | A      |
| 20211018 | MINT    | 0                   | 200          | 1300         | A      |
| 20211018 | MIRM    | 0                   | 200          | 300          | A      |
| 20211018 | MITK    | 0                   | 34           | 34           | A      |
| 20211018 | MITQ    | 0                   | 2258         | 2350         | A      |
| 20211018 | MITT    | 0                   | 366          | 500          | A      |
| 20211018 | MJ      | 0                   | 1858         | 3260         | A      |
| 20211018 | MKC     | 0                   | 270          | 907          | A      |
| 20211018 | MKD     | 0                   | 3600         | 3600         | A      |
| 20211018 | MKFG    | 0                   | 100          | 504          | A      |
| 20211018 | MKL     | 0                   | 204          | 282          | A      |
| 20211018 | MKSI    | 0                   | 206          | 691          | A      |
| 20211018 | MKTX    | 0                   | 117          | 126          | A      |
| 20211018 | ML      | 0                   | 1990         | 3540         | A      |
| 20211018 | MLAB    | 0                   | 81           | 149          | A      |
| 20211018 | MLCO    | 15357               | 15685        | 17675        | A      |
| 20211018 | MLHR    | 0                   | 606          | 3243         | A      |
| 20211018 | MLI     | 0                   | 166          | 208          | A      |
| 20211018 | MLM     | 0                   | 552          | 3904         | A      |
| 20211018 | MLNK    | 0                   | 316          | 387          | A      |
| 20211018 | MLPB    | 0                   | 200          | 200          | A      |
| 20211018 | MLSS    | 200                 | 12394        | 25854        | A      |
| 20211018 | MMAT    | 0                   | 214          | 214          | A      |
| 20211018 | MMC     | 48                  | 2718         | 7504         | A      |
| 20211018 | MMM     | 22                  | 2570         | 4303         | A      |
| 20211018 | MMP     | 105                 | 2310         | 5081         | A      |
| 20211018 | MMS     | 0                   | 171          | 171          | A      |
| 20211018 | MMSI    | 0                   | 151          | 303          | A      |
| 20211018 | MMU     | 0                   | 100          | 200          | A      |
| 20211018 | MMX     | 430                 | 7290         | 18707        | A      |
| 20211018 | MMYT    | 0                   | 142          | 148          | A      |
| 20211018 | MNDT    | 0                   | 811          | 1431         | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | MNDY   | 0                   | 32           | 32           | A      |
| 20211018 | MNKD   | 1420                | 24088        | 29734        | A      |
| 20211018 | MNMD   | 3100                | 5732         | 6132         | A      |
| 20211018 | MNOV   | 0                   | 0            | 122          | A      |
| 20211018 | MNRL   | 0                   | 531          | 2054         | A      |
| 20211018 | MNRO   | 0                   | 16           | 564          | A      |
| 20211018 | MNSO   | 0                   | 201          | 2815         | A      |
| 20211018 | MNST   | 0                   | 3959         | 5435         | A      |
| 20211018 | MNTK   | 0                   | 100          | 100          | A      |
| 20211018 | MNTS   | 0                   | 0            | 1000         | A      |
| 20211018 | MNTSW  | 0                   | 0            | 2321         | A      |
| 20211018 | MNTV   | 0                   | 633          | 634          | A      |
| 20211018 | MO     | 0                   | 11214        | 16999        | A      |
| 20211018 | MOAT   | 0                   | 2033         | 2133         | A      |
| 20211018 | MOD    | 0                   | 898          | 1758         | A      |
| 20211018 | MODN   | 0                   | 100          | 187          | A      |
| 20211018 | MODV   | 0                   | 215          | 553          | A      |
| 20211018 | MOFG   | 0                   | 0            | 29           | A      |
| 20211018 | MOG A  | 0                   | 0            | 67           | A      |
| 20211018 | MOGO   | 0                   | 1695         | 1695         | A      |
| 20211018 | MOH    | 0                   | 74           | 167          | A      |
| 20211018 | MOMO   | 0                   | 1532         | 3006         | A      |
| 20211018 | MOON   | 800                 | 800          | 800          | A      |
| 20211018 | MOR    | 0                   | 2            | 2            | A      |
| 20211018 | MORF   | 0                   | 188          | 378          | A      |
| 20211018 | MORN   | 0                   | 67           | 77           | A      |
| 20211018 | MORT   | 0                   | 300          | 300          | A      |
| 20211018 | MOS    | 0                   | 3806         | 6094         | A      |
| 20211018 | MOSY   | 0                   | 100          | 100          | A      |
| 20211018 | MOTN   | 0                   | 0            | 263          | A      |
| 20211018 | MOTV   | 0                   | 188          | 188          | A      |
| 20211018 | MOV    | 0                   | 278          | 278          | A      |
| 20211018 | MOXC   | 3930                | 3930         | 6720         | A      |
| 20211018 | MP     | 0                   | 6316         | 7126         | A      |
| 20211018 | MPAA   | 0                   | 10           | 50           | A      |
| 20211018 | MPB    | 0                   | 0            | 31           | A      |
| 20211018 | MPC    | 0                   | 5349         | 16870        | A      |
| 20211018 | MPLN   | 6500                | 10068        | 10933        | A      |
| 20211018 | MPLX   | 0                   | 300          | 410          | A      |
| 20211018 | MPW    | 0                   | 5907         | 10510        | A      |
| 20211018 | MPWR   | 0                   | 819          | 1964         | A      |
| 20211018 | MQ     | 0                   | 14235        | 19835        | A      |
| 20211018 | MQY    | 0                   | 0            | 100          | A      |
| 20211018 | MRAM   | 0                   | 0            | 211          | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | MRBK   | 0                   | 0            | 3            | A      |
| 20211018 | MRC    | 0                   | 718          | 5249         | A      |
| 20211018 | MRCY   | 0                   | 442          | 1155         | A      |
| 20211018 | MRIN   | 0                   | 100          | 999          | A      |
| 20211018 | MRK    | 0                   | 13665        | 40797        | A      |
| 20211018 | MRNA   | 0                   | 3513         | 7159         | A      |
| 20211018 | MRNS   | 0                   | 1186         | 1186         | A      |
| 20211018 | MRO    | 0                   | 11181        | 13806        | A      |
| 20211018 | MRSN   | 0                   | 319          | 361          | A      |
| 20211018 | MRTN   | 0                   | 900          | 1433         | A      |
| 20211018 | MRTX   | 0                   | 2623         | 6410         | A      |
| 20211018 | MRUS   | 0                   | 100          | 4109         | A      |
| 20211018 | MRVI   | 0                   | 745          | 1952         | A      |
| 20211018 | MRVL   | 0                   | 5618         | 7575         | A      |
| 20211018 | MS     | 1066                | 9203         | 20215        | A      |
| 20211018 | MS PRE | 133                 | 133          | 133          | A      |
| 20211018 | MSA    | 0                   | 131          | 206          | A      |
| 20211018 | MSBI   | 0                   | 100          | 100          | A      |
| 20211018 | MSCI   | 28                  | 640          | 1733         | A      |
| 20211018 | MSEX   | 0                   | 58           | 72           | A      |
| 20211018 | MSFT   | 1                   | 61391        | 125104       | A      |
| 20211018 | MSGE   | 0                   | 36           | 504          | A      |
| 20211018 | MSGM   | 0                   | 0            | 5            | A      |
| 20211018 | MSGS   | 0                   | 228          | 338          | A      |
| 20211018 | MSI    | 0                   | 653          | 1087         | A      |
| 20211018 | MSM    | 0                   | 1005         | 1305         | A      |
| 20211018 | MSN    | 249                 | 4902         | 8363         | A      |
| 20211018 | MSON   | 0                   | 0            | 3            | A      |
| 20211018 | MSOS   | 2800                | 3000         | 3400         | A      |
| 20211018 | MSP    | 0                   | 200          | 420          | A      |
| 20211018 | MSTR   | 0                   | 103          | 202          | A      |
| 20211018 | MT     | 0                   | 1072         | 1907         | A      |
| 20211018 | MTA    | 2110                | 22056        | 36632        | A      |
| 20211018 | MTB    | 0                   | 515          | 1174         | A      |
| 20211018 | MTCH   | 0                   | 594          | 706          | A      |
| 20211018 | MTCR   | 0                   | 0            | 4            | A      |
| 20211018 | MTD    | 0                   | 183          | 244          | A      |
| 20211018 | MTDR   | 0                   | 4630         | 8189         | A      |
| 20211018 | MTEM   | 0                   | 325          | 325          | A      |
| 20211018 | MTG    | 0                   | 4384         | 6856         | A      |
| 20211018 | MTH    | 0                   | 15           | 808          | A      |
| 20211018 | MTLS   | 0                   | 0            | 500          | A      |
| 20211018 | MTN    | 0                   | 387          | 1010         | A      |
| 20211018 | MTNB   | 58                  | 30299        | 63765        | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | MTOR   | 0                   | 200          | 216          | A      |
| 20211018 | MTP    | 0                   | 0            | 100          | A      |
| 20211018 | MTRX   | 0                   | 133          | 236          | A      |
| 20211018 | MTRYU  | 0                   | 100          | 100          | A      |
| 20211018 | MTSI   | 0                   | 200          | 880          | A      |
| 20211018 | MTTR   | 0                   | 4418         | 5673         | A      |
| 20211018 | MTUM   | 0                   | 773          | 1349         | A      |
| 20211018 | MTW    | 0                   | 242          | 781          | A      |
| 20211018 | MTX    | 0                   | 0            | 13           | A      |
| 20211018 | MTZ    | 0                   | 199          | 963          | A      |
| 20211018 | MU     | 23088               | 45315        | 63093        | A      |
| 20211018 | MUB    | 300                 | 880          | 2317         | A      |
| 20211018 | MUDS   | 100                 | 800          | 1000         | A      |
| 20211018 | MUFG   | 0                   | 3699         | 3999         | A      |
| 20211018 | MUR    | 0                   | 1957         | 2941         | A      |
| 20211018 | MUSA   | 0                   | 694          | 833          | A      |
| 20211018 | MUX    | 0                   | 8308         | 8516         | A      |
| 20211018 | MVIS   | 0                   | 1837         | 2144         | A      |
| 20211018 | MVO    | 0                   | 100          | 200          | A      |
| 20211018 | MVST   | 100                 | 5227         | 9957         | A      |
| 20211018 | MWA    | 0                   | 402          | 565          | A      |
| 20211018 | MX     | 0                   | 1            | 355          | A      |
| 20211018 | MXC    | 5                   | 1258         | 3916         | A      |
| 20211018 | MXCT   | 0                   | 3596         | 3596         | A      |
| 20211018 | MXI    | 0                   | 570          | 847          | A      |
| 20211018 | MXL    | 0                   | 49           | 563          | A      |
| 20211018 | MYE    | 0                   | 0            | 355          | A      |
| 20211018 | MYGN   | 0                   | 23           | 23           | A      |
| 20211018 | MYMD   | 0                   | 100          | 142          | A      |
| 20211018 | MYO    | 666                 | 2835         | 7227         | A      |
| 20211018 | MYOV   | 0                   | 222          | 224          | A      |
| 20211018 | MYPS   | 0                   | 432          | 532          | A      |
| 20211018 | MYRG   | 0                   | 35           | 108          | A      |
| 20211018 | NABL   | 0                   | 511          | 711          | A      |
| 20211018 | NAC    | 0                   | 0            | 100          | A      |
| 20211018 | NAIL   | 0                   | 10           | 20           | A      |
| 20211018 | NAK    | 25277               | 68610        | 382458       | A      |
| 20211018 | NAKD   | 0                   | 630          | 630          | A      |
| 20211018 | NAOV   | 0                   | 1200         | 1200         | A      |
| 20211018 | NAPA   | 0                   | 3197         | 5499         | A      |
| 20211018 | NARI   | 0                   | 289          | 729          | A      |
| 20211018 | NAT    | 100                 | 1672         | 2106         | A      |
| 20211018 | NATH   | 0                   | 3            | 3            | A      |
| 20211018 | NATI   | 0                   | 277          | 4938         | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20211018 | NAUT    | 0                   | 484          | 1084         | A      |
| 20211018 | NAVB    | 100                 | 1517         | 3289         | A      |
| 20211018 | NAVI    | 0                   | 269          | 290          | A      |
| 20211018 | NBEV    | 0                   | 900          | 1100         | A      |
| 20211018 | NBH     | 2                   | 1167         | 7061         | A      |
| 20211018 | NBHC    | 0                   | 92           | 839          | A      |
| 20211018 | NBIX    | 0                   | 905          | 1181         | A      |
| 20211018 | NBN     | 0                   | 0            | 6            | A      |
| 20211018 | NBO     | 0                   | 30           | 814          | A      |
| 20211018 | NBR     | 0                   | 2            | 74           | A      |
| 20211018 | NBRV    | 200                 | 952          | 952          | A      |
| 20211018 | NBSE    | 0                   | 112          | 112          | A      |
| 20211018 | NBW     | 0                   | 216          | 3245         | A      |
| 20211018 | NBY     | 2                   | 2964         | 27961        | A      |
| 20211018 | NCBS    | 0                   | 0            | 18           | A      |
| 20211018 | NCLH    | 0                   | 8318         | 15826        | A      |
| 20211018 | NCMI    | 0                   | 431          | 1535         | A      |
| 20211018 | NCNA    | 0                   | 0            | 1000         | A      |
| 20211018 | NCNO    | 0                   | 1472         | 9659         | A      |
| 20211018 | NCR     | 0                   | 1016         | 1491         | A      |
| 20211018 | NCTY    | 0                   | 100          | 110          | A      |
| 20211018 | NCV     | 0                   | 510          | 710          | A      |
| 20211018 | NDAQ    | 0                   | 1316         | 2590         | A      |
| 20211018 | NDLS    | 0                   | 190          | 190          | A      |
| 20211018 | NDMO    | 0                   | 26           | 26           | A      |
| 20211018 | NDSN    | 0                   | 28           | 34           | A      |
| 20211018 | NEA     | 0                   | 1500         | 1901         | A      |
| 20211018 | NEAR    | 0                   | 1700         | 2212         | A      |
| 20211018 | NEE     | 0                   | 9318         | 26336        | A      |
| 20211018 | NEE PRP | 0                   | 0            | 579          | A      |
| 20211018 | NEGG    | 0                   | 9            | 108          | A      |
| 20211018 | NEM     | 0                   | 16848        | 22389        | A      |
| 20211018 | NEN     | 0                   | 0            | 252          | A      |
| 20211018 | NEO     | 0                   | 442          | 1024         | A      |
| 20211018 | NEOG    | 0                   | 200          | 200          | A      |
| 20211018 | NEP     | 0                   | 0            | 100          | A      |
| 20211018 | NEPT    | 0                   | 400          | 400          | A      |
| 20211018 | NERV    | 0                   | 50           | 50           | A      |
| 20211018 | NES     | 12                  | 4925         | 5887         | A      |
| 20211018 | NESR    | 0                   | 100          | 226          | A      |
| 20211018 | NET     | 0                   | 5361         | 12683        | A      |
| 20211018 | NETI    | 0                   | 0            | 100          | A      |
| 20211018 | NEU     | 0                   | 47           | 96           | A      |
| 20211018 | NEWP    | 1600                | 6308         | 8147         | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20211018 | NEWR    | 0                   | 502          | 1684         | A      |
| 20211018 | NEWT    | 0                   | 400          | 400          | A      |
| 20211018 | NEX     | 0                   | 3369         | 6130         | A      |
| 20211018 | NEXA    | 0                   | 1842         | 2542         | A      |
| 20211018 | NEXI    | 0                   | 14           | 14           | A      |
| 20211018 | NEXT    | 0                   | 0            | 387          | A      |
| 20211018 | NFBK    | 0                   | 23           | 39           | A      |
| 20211018 | NFE     | 0                   | 2047         | 3058         | A      |
| 20211018 | NFG     | 0                   | 1169         | 1324         | A      |
| 20211018 | NFGC    | 483                 | 9335         | 10145        | A      |
| 20211018 | NFJ     | 0                   | 100          | 200          | A      |
| 20211018 | NFLX    | 0                   | 3416         | 7917         | A      |
| 20211018 | NFRA    | 0                   | 100          | 175          | A      |
| 20211018 | NG      | 13911               | 68878        | 147736       | A      |
| 20211018 | NGD     | 131201              | 399555       | 473640       | A      |
| 20211018 | NGG     | 0                   | 149          | 149          | A      |
| 20211018 | NGL     | 0                   | 400          | 600          | A      |
| 20211018 | NGM     | 0                   | 6            | 659          | A      |
| 20211018 | NGMS    | 0                   | 53           | 153          | A      |
| 20211018 | NGS     | 0                   | 200          | 200          | A      |
| 20211018 | NGVC    | 0                   | 58           | 58           | A      |
| 20211018 | NGVT    | 0                   | 461          | 474          | A      |
| 20211018 | NHC     | 0                   | 3372         | 7763         | A      |
| 20211018 | NHI     | 0                   | 0            | 853          | A      |
| 20211018 | NHS     | 0                   | 2835         | 4595         | A      |
| 20211018 | NI      | 0                   | 2957         | 3845         | A      |
| 20211018 | NIB     | 0                   | 0            | 200          | A      |
| 20211018 | NICE    | 432                 | 490          | 490          | A      |
| 20211018 | NID     | 0                   | 100          | 100          | A      |
| 20211018 | NIE     | 0                   | 0            | 423          | A      |
| 20211018 | NIO     | 1621                | 77922        | 159235       | A      |
| 20211018 | NISN    | 0                   | 2            | 2            | A      |
| 20211018 | NIU     | 0                   | 262          | 757          | A      |
| 20211018 | NJR     | 0                   | 498          | 762          | A      |
| 20211018 | NKE     | 0                   | 10596        | 17738        | A      |
| 20211018 | NKLA    | 0                   | 6733         | 7855         | A      |
| 20211018 | NKTR    | 0                   | 742          | 1301         | A      |
| 20211018 | NKTX    | 0                   | 161          | 466          | A      |
| 20211018 | NLOK    | 0                   | 5122         | 5990         | A      |
| 20211018 | NLS     | 0                   | 532          | 1443         | A      |
| 20211018 | NLSN    | 0                   | 1753         | 3678         | A      |
| 20211018 | NLY     | 0                   | 12593        | 17010        | A      |
| 20211018 | NLY PRG | 0                   | 0            | 188          | A      |
| 20211018 | NM      | 0                   | 90           | 498          | A      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | NMFC   | 0                   | 358          | 453          | A      |
| 20211018 | NMG    | 0                   | 200          | 200          | A      |
| 20211018 | NMIH   | 0                   | 302          | 493          | A      |
| 20211018 | NML    | 652                 | 4951         | 12362        | A      |
| 20211018 | NMM    | 0                   | 5            | 5            | A      |
| 20211018 | NMR    | 0                   | 7            | 7            | A      |
| 20211018 | NMRK   | 0                   | 1407         | 1953         | A      |
| 20211018 | NMTR   | 0                   | 3086         | 8586         | A      |
| 20211018 | NNBR   | 0                   | 232          | 591          | A      |
| 20211018 | NNDM   | 0                   | 13761        | 13819        | A      |
| 20211018 | NNI    | 0                   | 0            | 12           | A      |
| 20211018 | NNN    | 0                   | 536          | 871          | A      |
| 20211018 | NNOX   | 0                   | 106          | 106          | A      |
| 20211018 | NNVC   | 310                 | 21880        | 25638        | A      |
| 20211018 | NOAH   | 0                   | 287          | 387          | A      |
| 20211018 | NOBL   | 0                   | 0            | 5            | A      |
| 20211018 | NOC    | 0                   | 265          | 277          | A      |
| 20211018 | NOG    | 1162                | 58373        | 82528        | A      |
| 20211018 | NOK    | 0                   | 424537       | 549856       | A      |
| 20211018 | NOMD   | 0                   | 235          | 1344         | A      |
| 20211018 | NOTV   | 0                   | 100          | 200          | A      |
| 20211018 | NOV    | 0                   | 4290         | 5491         | A      |
| 20211018 | NOVA   | 0                   | 9            | 740          | A      |
| 20211018 | NOVN   | 0                   | 30           | 30           | A      |
| 20211018 | NOVT   | 0                   | 1            | 16           | A      |
| 20211018 | NOW    | 0                   | 939          | 2568         | A      |
| 20211018 | NP     | 0                   | 101          | 201          | A      |
| 20211018 | NPCE   | 0                   | 90           | 90           | A      |
| 20211018 | NPO    | 0                   | 223          | 223          | A      |
| 20211018 | NPTN   | 0                   | 100          | 117          | A      |
| 20211018 | NR     | 0                   | 419          | 426          | A      |
| 20211018 | NRBO   | 1185                | 1185         | 1186         | A      |
| 20211018 | NRC    | 0                   | 8            | 9            | A      |
| 20211018 | NRDY   | 0                   | 628          | 1028         | A      |
| 20211018 | NREF   | 0                   | 0            | 2            | A      |
| 20211018 | NRG    | 0                   | 1689         | 4874         | A      |
| 20211018 | NRGD   | 0                   | 200          | 200          | A      |
| 20211018 | NRGU   | 0                   | 0            | 100          | A      |
| 20211018 | NRIM   | 0                   | 1            | 2            | A      |
| 20211018 | NRIX   | 0                   | 230          | 1710         | A      |
| 20211018 | NRO    | 0                   | 2729         | 10399        | A      |
| 20211018 | NRXP   | 1209                | 2715         | 2796         | A      |
| 20211018 | NRXPW  | 0                   | 0            | 723          | A      |
| 20211018 | NRZ    | 0                   | 2678         | 5282         | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | NS     | 0                   | 325          | 4434         | A      |
| 20211018 | NSA    | 0                   | 305          | 306          | A      |
| 20211018 | NSC    | 0                   | 1404         | 3053         | A      |
| 20211018 | NSIT   | 0                   | 8            | 40           | A      |
| 20211018 | NSP    | 0                   | 434          | 559          | A      |
| 20211018 | NSPR   | 0                   | 610          | 660          | A      |
| 20211018 | NSTB   | 0                   | 0            | 107          | A      |
| 20211018 | NSTG   | 0                   | 91           | 227          | A      |
| 20211018 | NTAP   | 0                   | 2336         | 4091         | A      |
| 20211018 | NTB    | 0                   | 28           | 28           | A      |
| 20211018 | NTCO   | 559                 | 2150         | 4660         | A      |
| 20211018 | NTCT   | 0                   | 1281         | 2318         | A      |
| 20211018 | NTES   | 0                   | 23077        | 30382        | A      |
| 20211018 | NTGR   | 0                   | 104          | 105          | A      |
| 20211018 | NTIP   | 0                   | 960          | 2747         | A      |
| 20211018 | NTLA   | 0                   | 212          | 1339         | A      |
| 20211018 | NTNX   | 0                   | 2568         | 3468         | A      |
| 20211018 | NTP    | 0                   | 22           | 41           | A      |
| 20211018 | NTR    | 0                   | 922          | 2242         | A      |
| 20211018 | NTRA   | 0                   | 1518         | 5800         | A      |
| 20211018 | NTRS   | 0                   | 751          | 2749         | A      |
| 20211018 | NTST   | 0                   | 200          | 903          | A      |
| 20211018 | NTUS   | 0                   | 105          | 105          | A      |
| 20211018 | NUAN   | 0                   | 2031         | 3311         | A      |
| 20211018 | NUE    | 3                   | 4172         | 5413         | A      |
| 20211018 | NUGT   | 0                   | 593          | 1453         | A      |
| 20211018 | NULV   | 0                   | 200          | 200          | A      |
| 20211018 | NURO   | 0                   | 1350         | 1983         | A      |
| 20211018 | NUS    | 0                   | 501          | 1301         | A      |
| 20211018 | NUSI   | 0                   | 398          | 398          | A      |
| 20211018 | NUVA   | 0                   | 412          | 959          | A      |
| 20211018 | NUVB   | 0                   | 569          | 701          | A      |
| 20211018 | NUVL   | 0                   | 4            | 10           | A      |
| 20211018 | NVAX   | 0                   | 524          | 2745         | A      |
| 20211018 | NVCR   | 0                   | 293          | 315          | A      |
| 20211018 | NVDA   | 0                   | 35042        | 47998        | A      |
| 20211018 | NVEC   | 0                   | 100          | 200          | A      |
| 20211018 | NVEE   | 0                   | 80           | 146          | A      |
| 20211018 | NVEI   | 0                   | 100          | 200          | A      |
| 20211018 | NVIV   | 0                   | 0            | 100          | A      |
| 20211018 | NVMI   | 0                   | 11           | 11           | A      |
| 20211018 | NVNO   | 0                   | 77           | 77           | A      |
| 20211018 | NVO    | 0                   | 1164         | 2094         | A      |
| 20211018 | NVR    | 6                   | 65           | 79           | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | NVRO   | 0                   | 366          | 1298         | A      |
| 20211018 | NVS    | 0                   | 1735         | 4294         | A      |
| 20211018 | NVST   | 0                   | 1931         | 4492         | A      |
| 20211018 | NVT    | 0                   | 102          | 508          | A      |
| 20211018 | NVTA   | 0                   | 1057         | 2851         | A      |
| 20211018 | NWBI   | 0                   | 323          | 327          | A      |
| 20211018 | NWE    | 0                   | 1474         | 2251         | A      |
| 20211018 | NWG    | 0                   | 1291         | 1994         | A      |
| 20211018 | NWL    | 0                   | 2537         | 2798         | A      |
| 20211018 | NWLI   | 0                   | 0            | 1            | A      |
| 20211018 | NWN    | 0                   | 420          | 420          | A      |
| 20211018 | NWPX   | 0                   | 1            | 1            | A      |
| 20211018 | NWS    | 0                   | 786          | 6023         | A      |
| 20211018 | NWSA   | 0                   | 5177         | 9180         | A      |
| 20211018 | NX     | 0                   | 506          | 756          | A      |
| 20211018 | NXE    | 6558                | 184590       | 356446       | A      |
| 20211018 | NXGN   | 0                   | 400          | 700          | A      |
| 20211018 | NXPI   | 0                   | 1556         | 2666         | A      |
| 20211018 | NXST   | 0                   | 105          | 671          | A      |
| 20211018 | NXTC   | 0                   | 205          | 674          | A      |
| 20211018 | NXTD   | 2776                | 2854         | 4558         | A      |
| 20211018 | NXTP   | 2247                | 4341         | 7304         | A      |
| 20211018 | NYCB   | 0                   | 8299         | 9799         | A      |
| 20211018 | NYMT   | 0                   | 4455         | 5355         | A      |
| 20211018 | NYT    | 0                   | 404          | 3177         | A      |
| 20211018 | O      | 0                   | 2788         | 4044         | A      |
| 20211018 | OAS    | 0                   | 193          | 314          | A      |
| 20211018 | OB     | 0                   | 95           | 95           | A      |
| 20211018 | OBSV   | 0                   | 200          | 200          | A      |
| 20211018 | OC     | 0                   | 206          | 371          | A      |
| 20211018 | OCDX   | 0                   | 1635         | 2661         | A      |
| 20211018 | OCFC   | 0                   | 0            | 174          | A      |
| 20211018 | OCFT   | 0                   | 2047         | 2351         | A      |
| 20211018 | OCGN   | 2082                | 6763         | 13560        | A      |
| 20211018 | OCSL   | 0                   | 613          | 3024         | A      |
| 20211018 | OCUL   | 0                   | 1151         | 2020         | A      |
| 20211018 | OCX    | 0                   | 348          | 550          | A      |
| 20211018 | ODFL   | 0                   | 425          | 535          | A      |
| 20211018 | ODP    | 0                   | 136          | 189          | A      |
| 20211018 | ODT    | 0                   | 0            | 200          | A      |
| 20211018 | OEC    | 0                   | 100          | 102          | A      |
| 20211018 | OEF    | 0                   | 100          | 100          | A      |
| 20211018 | OEG    | 0                   | 3977         | 5677         | A      |
| 20211018 | OFC    | 0                   | 193          | 576          | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | OFG    | 0                   | 8            | 8            | A      |
| 20211018 | OFLX   | 0                   | 0            | 1            | A      |
| 20211018 | OG     | 0                   | 200          | 200          | A      |
| 20211018 | OGE    | 0                   | 807          | 1940         | A      |
| 20211018 | OGEN   | 13601               | 31513        | 150426       | A      |
| 20211018 | OGI    | 1100                | 20163        | 20773        | A      |
| 20211018 | OGIG   | 0                   | 700          | 1313         | A      |
| 20211018 | OGN    | 0                   | 2889         | 3804         | A      |
| 20211018 | OGS    | 0                   | 334          | 646          | A      |
| 20211018 | OHI    | 0                   | 1274         | 2035         | A      |
| 20211018 | OI     | 0                   | 637          | 838          | A      |
| 20211018 | OIA    | 0                   | 13           | 13           | A      |
| 20211018 | OIBR C | 0                   | 1062         | 2962         | A      |
| 20211018 | OIH    | 0                   | 101          | 956          | A      |
| 20211018 | OII    | 0                   | 1120         | 2120         | A      |
| 20211018 | OIS    | 0                   | 276          | 969          | A      |
| 20211018 | OKE    | 100                 | 1598         | 2020         | A      |
| 20211018 | OKTA   | 0                   | 720          | 3387         | A      |
| 20211018 | OLB    | 0                   | 100          | 104          | A      |
| 20211018 | OLED   | 0                   | 1422         | 3184         | A      |
| 20211018 | OLK    | 64                  | 79           | 91           | A      |
| 20211018 | OLLI   | 0                   | 150          | 292          | A      |
| 20211018 | OLN    | 0                   | 434          | 6343         | A      |
| 20211018 | OLO    | 200                 | 1222         | 1831         | A      |
| 20211018 | OLP    | 0                   | 2            | 4            | A      |
| 20211018 | OLPX   | 0                   | 0            | 514          | A      |
| 20211018 | OM     | 0                   | 11           | 11           | A      |
| 20211018 | OMAB   | 0                   | 0            | 20           | A      |
| 20211018 | OMC    | 0                   | 2159         | 8722         | A      |
| 20211018 | OMCL   | 0                   | 150          | 262          | A      |
| 20211018 | OMER   | 4                   | 1581         | 3647         | A      |
| 20211018 | OMF    | 0                   | 1334         | 2846         | A      |
| 20211018 | OMFS   | 0                   | 0            | 100          | A      |
| 20211018 | OMGA   | 0                   | 0            | 207          | A      |
| 20211018 | OMI    | 0                   | 1029         | 2879         | A      |
| 20211018 | OMIC   | 0                   | 173          | 275          | A      |
| 20211018 | ON     | 0                   | 2002         | 3368         | A      |
| 20211018 | ONB    | 0                   | 5182         | 5683         | A      |
| 20211018 | ONCR   | 0                   | 100          | 128          | A      |
| 20211018 | ONCT   | 0                   | 100          | 100          | A      |
| 20211018 | ONCY   | 0                   | 0            | 400          | A      |
| 20211018 | ONDS   | 0                   | 101          | 201          | A      |
| 20211018 | ONEM   | 0                   | 800          | 1104         | A      |
| 20211018 | ONEW   | 0                   | 33           | 50           | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | ONON   | 0                   | 100          | 405          | A      |
| 20211018 | ONTF   | 0                   | 360          | 892          | A      |
| 20211018 | ONTO   | 0                   | 19           | 31           | A      |
| 20211018 | ONTX   | 0                   | 128          | 172          | A      |
| 20211018 | OOMA   | 0                   | 33           | 133          | A      |
| 20211018 | OPAD   | 0                   | 974          | 1304         | A      |
| 20211018 | OPBK   | 0                   | 0            | 100          | A      |
| 20211018 | OPCH   | 0                   | 506          | 810          | A      |
| 20211018 | OPEN   | 0                   | 25382        | 38973        | A      |
| 20211018 | OPFI   | 0                   | 282          | 398          | A      |
| 20211018 | OPGN   | 0                   | 0            | 36           | A      |
| 20211018 | OPI    | 0                   | 23           | 23           | A      |
| 20211018 | OPK    | 0                   | 2145         | 2845         | A      |
| 20211018 | OPRX   | 0                   | 455          | 736          | A      |
| 20211018 | OPTN   | 0                   | 0            | 100          | A      |
| 20211018 | OPTT   | 1588                | 27533        | 60538        | A      |
| 20211018 | OPY    | 0                   | 1            | 60           | A      |
| 20211018 | OR     | 100                 | 3002         | 3392         | A      |
| 20211018 | ORA    | 0                   | 12           | 212          | A      |
| 20211018 | ORC    | 0                   | 13741        | 15241        | A      |
| 20211018 | ORCC   | 0                   | 203          | 203          | A      |
| 20211018 | ORCL   | 0                   | 42661        | 56635        | A      |
| 20211018 | ORGN   | 0                   | 771          | 1066         | A      |
| 20211018 | ORGNW  | 0                   | 200          | 200          | A      |
| 20211018 | ORGO   | 0                   | 565          | 760          | A      |
| 20211018 | ORI    | 0                   | 2261         | 3540         | A      |
| 20211018 | ORIC   | 0                   | 400          | 400          | A      |
| 20211018 | ORLA   | 19                  | 4186         | 9469         | A      |
| 20211018 | ORLY   | 0                   | 376          | 2459         | A      |
| 20211018 | ORMP   | 0                   | 244          | 434          | A      |
| 20211018 | ORPH   | 0                   | 211          | 286          | A      |
| 20211018 | ORTX   | 0                   | 350          | 350          | A      |
| 20211018 | OSAT   | 0                   | 100          | 101          | A      |
| 20211018 | OSBC   | 0                   | 0            | 39           | A      |
| 20211018 | OSCR   | 0                   | 1603         | 2387         | A      |
| 20211018 | OSG    | 0                   | 100          | 100          | A      |
| 20211018 | OSH    | 0                   | 1181         | 1394         | A      |
| 20211018 | OSIS   | 0                   | 100          | 160          | A      |
| 20211018 | OSK    | 0                   | 16           | 257          | A      |
| 20211018 | OSMT   | 0                   | 103          | 1808         | A      |
| 20211018 | OSPN   | 0                   | 218          | 1315         | A      |
| 20211018 | OSS    | 0                   | 0            | 100          | A      |
| 20211018 | OSTK   | 0                   | 195          | 667          | A      |
| 20211018 | OSUR   | 0                   | 100          | 138          | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20211018 | OSW     | 0                   | 15           | 16           | A      |
| 20211018 | OTEX    | 0                   | 736          | 1484         | A      |
| 20211018 | OTIS    | 0                   | 2659         | 4936         | A      |
| 20211018 | OTLK    | 0                   | 229          | 229          | A      |
| 20211018 | OTLY    | 0                   | 284          | 1432         | A      |
| 20211018 | OTRK    | 0                   | 1            | 318          | A      |
| 20211018 | OTTR    | 0                   | 497          | 499          | A      |
| 20211018 | OUST    | 0                   | 383          | 1800         | A      |
| 20211018 | OUST WS | 0                   | 0            | 23700        | A      |
| 20211018 | OUT     | 0                   | 430          | 1737         | A      |
| 20211018 | OVID    | 0                   | 0            | 100          | A      |
| 20211018 | OVV     | 103                 | 3562         | 6944         | A      |
| 20211018 | OWL     | 0                   | 276          | 765          | A      |
| 20211018 | OXLC    | 0                   | 0            | 100          | A      |
| 20211018 | OXM     | 0                   | 21           | 100          | A      |
| 20211018 | OXSQ    | 0                   | 0            | 405          | A      |
| 20211018 | OXY     | 0                   | 12765        | 26962        | A      |
| 20211018 | OYST    | 36                  | 1751         | 5299         | A      |
| 20211018 | OZ      | 312                 | 312          | 727          | A      |
| 20211018 | OZK     | 2                   | 1648         | 2197         | A      |
| 20211018 | OZON    | 0                   | 333          | 2425         | A      |
| 20211018 | PAA     | 100                 | 5516         | 9858         | A      |
| 20211018 | PAAS    | 0                   | 793          | 1153         | A      |
| 20211018 | PACB    | 0                   | 2092         | 9092         | A      |
| 20211018 | PACK    | 0                   | 267          | 3718         | A      |
| 20211018 | PACW    | 0                   | 158          | 258          | A      |
| 20211018 | PAE     | 0                   | 45           | 45           | A      |
| 20211018 | PAG     | 0                   | 526          | 658          | A      |
| 20211018 | PAGP    | 0                   | 2050         | 4467         | A      |
| 20211018 | PAGS    | 0                   | 3435         | 11582        | A      |
| 20211018 | PALL    | 0                   | 200          | 200          | A      |
| 20211018 | PALT    | 6                   | 6            | 420          | A      |
| 20211018 | PAM     | 0                   | 246          | 459          | A      |
| 20211018 | PANL    | 0                   | 41           | 120          | A      |
| 20211018 | PANW    | 1031                | 2291         | 2549         | A      |
| 20211018 | PAR     | 0                   | 164          | 360          | A      |
| 20211018 | PARR    | 0                   | 580          | 822          | A      |
| 20211018 | PASG    | 0                   | 100          | 100          | A      |
| 20211018 | PATH    | 0                   | 1955         | 3404         | A      |
| 20211018 | PATK    | 0                   | 300          | 318          | A      |
| 20211018 | PAVE    | 0                   | 200          | 335          | A      |
| 20211018 | PAVM    | 0                   | 3814         | 4068         | A      |
| 20211018 | PAWZ    | 0                   | 0            | 100          | A      |
| 20211018 | PAX     | 0                   | 100          | 675          | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20211018 | PAY     | 0                   | 158          | 258          | A      |
| 20211018 | PAYA    | 0                   | 306          | 558          | A      |
| 20211018 | PAYC    | 0                   | 218          | 489          | A      |
| 20211018 | PAYO    | 0                   | 100          | 100          | A      |
| 20211018 | PAYX    | 0                   | 691          | 1927         | A      |
| 20211018 | PB      | 0                   | 202          | 253          | A      |
| 20211018 | PBA     | 15                  | 2713         | 3345         | A      |
| 20211018 | PBCT    | 0                   | 8646         | 9382         | A      |
| 20211018 | PBD     | 0                   | 0            | 2            | A      |
| 20211018 | PBF     | 16                  | 1267         | 2625         | A      |
| 20211018 | PBH     | 0                   | 8            | 12           | A      |
| 20211018 | PBI     | 0                   | 1440         | 3023         | A      |
| 20211018 | PBI PRB | 0                   | 0            | 1000         | A      |
| 20211018 | PBPB    | 0                   | 0            | 100          | A      |
| 20211018 | PBR     | 3500                | 60326        | 68366        | A      |
| 20211018 | PBR A   | 0                   | 3551         | 6222         | A      |
| 20211018 | PBT     | 0                   | 0            | 600          | A      |
| 20211018 | PBTS    | 0                   | 100          | 100          | A      |
| 20211018 | PBYI    | 0                   | 6            | 39           | A      |
| 20211018 | PCAR    | 0                   | 2080         | 3474         | A      |
| 20211018 | PCB     | 0                   | 0            | 120          | A      |
| 20211018 | PCF     | 0                   | 0            | 300          | A      |
| 20211018 | PCG     | 100                 | 28286        | 33511        | A      |
| 20211018 | PCG PRA | 0                   | 106          | 113          | A      |
| 20211018 | PCH     | 0                   | 671          | 798          | A      |
| 20211018 | PCOR    | 0                   | 130          | 730          | A      |
| 20211018 | PCRX    | 0                   | 303          | 542          | A      |
| 20211018 | PCT     | 0                   | 14           | 14           | A      |
| 20211018 | PCTI    | 0                   | 0            | 12           | A      |
| 20211018 | PCTY    | 0                   | 171          | 420          | A      |
| 20211018 | PCY     | 0                   | 459          | 700          | A      |
| 20211018 | PCYO    | 0                   | 200          | 215          | A      |
| 20211018 | PD      | 0                   | 518          | 941          | A      |
| 20211018 | PDBC    | 0                   | 6822         | 11605        | A      |
| 20211018 | PDCE    | 0                   | 253          | 1101         | A      |
| 20211018 | PDCO    | 0                   | 444          | 623          | A      |
| 20211018 | PDD     | 0                   | 3372         | 9438         | A      |
| 20211018 | PDEX    | 0                   | 0            | 1            | A      |
| 20211018 | PDLB    | 0                   | 0            | 13           | A      |
| 20211018 | PDM     | 0                   | 465          | 1150         | A      |
| 20211018 | PDO     | 0                   | 100          | 100          | A      |
| 20211018 | PDS     | 0                   | 0            | 100          | A      |
| 20211018 | PDSB    | 0                   | 100          | 200          | A      |
| 20211018 | PEAK    | 0                   | 2577         | 4061         | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20211018 | PEB     | 0                   | 751          | 855          | A      |
| 20211018 | PEBO    | 0                   | 107          | 158          | A      |
| 20211018 | PECO    | 0                   | 118          | 318          | A      |
| 20211018 | PED     | 23128               | 197751       | 297844       | A      |
| 20211018 | PEG     | 0                   | 5060         | 8060         | A      |
| 20211018 | PEGA    | 944                 | 1237         | 1523         | A      |
| 20211018 | PEI     | 0                   | 16           | 16           | A      |
| 20211018 | PEI PRB | 0                   | 400          | 400          | A      |
| 20211018 | PEJ     | 44                  | 530          | 687          | A      |
| 20211018 | PEN     | 0                   | 100          | 102          | A      |
| 20211018 | PENN    | 0                   | 2575         | 8525         | A      |
| 20211018 | PEP     | 0                   | 4436         | 8244         | A      |
| 20211018 | PEPLU   | 0                   | 0            | 100          | A      |
| 20211018 | PERI    | 0                   | 131          | 1031         | A      |
| 20211018 | PETQ    | 0                   | 879          | 1512         | A      |
| 20211018 | PETS    | 0                   | 403          | 1416         | A      |
| 20211018 | PEY     | 0                   | 220          | 220          | A      |
| 20211018 | PFBC    | 0                   | 104          | 137          | A      |
| 20211018 | PFE     | 1                   | 22847        | 40202        | A      |
| 20211018 | PFF     | 0                   | 7274         | 8875         | A      |
| 20211018 | PFFA    | 0                   | 0            | 29           | A      |
| 20211018 | PFFD    | 0                   | 0            | 300          | A      |
| 20211018 | PFG     | 0                   | 981          | 1053         | A      |
| 20211018 | PFGC    | 500                 | 995          | 5145         | A      |
| 20211018 | PFLT    | 0                   | 1            | 1            | A      |
| 20211018 | PFMT    | 0                   | 109          | 109          | A      |
| 20211018 | PFS     | 0                   | 54           | 54           | A      |
| 20211018 | PFSI    | 0                   | 402          | 502          | A      |
| 20211018 | PG      | 0                   | 16780        | 25315        | A      |
| 20211018 | PGEN    | 0                   | 1206         | 1802         | A      |
| 20211018 | PGF     | 0                   | 500          | 500          | A      |
| 20211018 | PGNY    | 0                   | 215          | 515          | A      |
| 20211018 | PGR     | 0                   | 5276         | 9561         | A      |
| 20211018 | PGRE    | 0                   | 895          | 2095         | A      |
| 20211018 | PGX     | 0                   | 6150         | 6600         | A      |
| 20211018 | PGZ     | 0                   | 148          | 148          | A      |
| 20211018 | PH      | 0                   | 458          | 2270         | A      |
| 20211018 | PHAS    | 0                   | 0            | 26           | A      |
| 20211018 | PHAT    | 44                  | 110          | 110          | A      |
| 20211018 | PHB     | 0                   | 100          | 200          | A      |
| 20211018 | PHDG    | 0                   | 0            | 23           | A      |
| 20211018 | PHG     | 0                   | 2034         | 3297         | A      |
| 20211018 | PHGE    | 10866               | 12657        | 27055        | A      |
| 20211018 | PHGE WS | 0                   | 0            | 3607         | A      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | PHI    | 0                   | 0            | 60           | A      |
| 20211018 | PHIO   | 0                   | 1497         | 2874         | A      |
| 20211018 | PHK    | 0                   | 176          | 176          | A      |
| 20211018 | PHM    | 0                   | 648          | 6757         | A      |
| 20211018 | PHO    | 0                   | 0            | 100          | A      |
| 20211018 | PHR    | 0                   | 847          | 1170         | A      |
| 20211018 | PHUN   | 0                   | 1000         | 1625         | A      |
| 20211018 | PHX    | 0                   | 0            | 1299         | A      |
| 20211018 | PHYS   | 0                   | 6500         | 10600        | A      |
| 20211018 | PI     | 0                   | 1            | 1            | A      |
| 20211018 | PICK   | 0                   | 200          | 402          | A      |
| 20211018 | PII    | 0                   | 611          | 1453         | A      |
| 20211018 | PINC   | 0                   | 980          | 1572         | A      |
| 20211018 | PING   | 0                   | 427          | 4618         | A      |
| 20211018 | PINS   | 100                 | 28207        | 55159        | A      |
| 20211018 | PIRS   | 0                   | 201          | 1304         | A      |
| 20211018 | PJAN   | 0                   | 0            | 100          | A      |
| 20211018 | PJT    | 0                   | 0            | 126          | A      |
| 20211018 | PK     | 0                   | 2420         | 5504         | A      |
| 20211018 | PKE    | 0                   | 618          | 618          | A      |
| 20211018 | PKG    | 0                   | 433          | 697          | A      |
| 20211018 | PKI    | 0                   | 242          | 245          | A      |
| 20211018 | PKOH   | 0                   | 5            | 5            | A      |
| 20211018 | PKX    | 0                   | 1            | 835          | A      |
| 20211018 | PLAB   | 0                   | 410          | 479          | A      |
| 20211018 | PLAG   | 0                   | 591          | 1091         | A      |
| 20211018 | PLAN   | 3766                | 4876         | 5194         | A      |
| 20211018 | PLAY   | 0                   | 262          | 692          | A      |
| 20211018 | PLBY   | 0                   | 322          | 1326         | A      |
| 20211018 | PLCE   | 0                   | 200          | 392          | A      |
| 20211018 | PLD    | 0                   | 4882         | 6189         | A      |
| 20211018 | PLG    | 5979                | 37733        | 132070       | A      |
| 20211018 | PLL    | 0                   | 852          | 1056         | A      |
| 20211018 | PLM    | 1597                | 6135         | 9660         | A      |
| 20211018 | PLMR   | 0                   | 81           | 128          | A      |
| 20211018 | PLNT   | 0                   | 1349         | 10523        | A      |
| 20211018 | PLOW   | 0                   | 3            | 3            | A      |
| 20211018 | PLPC   | 0                   | 3            | 3            | A      |
| 20211018 | PLRX   | 0                   | 3            | 3            | A      |
| 20211018 | PLSE   | 0                   | 37           | 37           | A      |
| 20211018 | PLTK   | 0                   | 360          | 2258         | A      |
| 20211018 | PLTR   | 0                   | 42706        | 64094        | A      |
| 20211018 | PLUG   | 1                   | 30701        | 43046        | A      |
| 20211018 | PLUS   | 0                   | 0            | 7            | A      |

| Date     | Symbol   | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|----------|---------------------|--------------|--------------|--------|
| 20211018 | PLX      | 1                   | 10028        | 18224        | A      |
| 20211018 | PLXP     | 0                   | 101          | 1669         | A      |
| 20211018 | PLXS     | 0                   | 0            | 100          | A      |
| 20211018 | PLYA     | 0                   | 33           | 33           | A      |
| 20211018 | PLYM     | 0                   | 4            | 4            | A      |
| 20211018 | PLYM PRA | 0                   | 135          | 385          | A      |
| 20211018 | PM       | 54                  | 4361         | 7749         | A      |
| 20211018 | PMM      | 0                   | 0            | 700          | A      |
| 20211018 | PMT      | 0                   | 483          | 863          | A      |
| 20211018 | PMVP     | 0                   | 243          | 825          | A      |
| 20211018 | PNC      | 0                   | 2434         | 2518         | A      |
| 20211018 | PNFP     | 0                   | 265          | 807          | A      |
| 20211018 | PNM      | 0                   | 126          | 3644         | A      |
| 20211018 | PNNT     | 0                   | 4            | 367          | A      |
| 20211018 | PNR      | 0                   | 1563         | 1952         | A      |
| 20211018 | PNT      | 0                   | 5            | 5            | A      |
| 20211018 | PNTG     | 0                   | 2            | 207          | A      |
| 20211018 | PNW      | 0                   | 380          | 10990        | A      |
| 20211018 | POAI     | 0                   | 100          | 100          | A      |
| 20211018 | PODD     | 0                   | 238          | 733          | A      |
| 20211018 | POLY     | 0                   | 1304         | 1408         | A      |
| 20211018 | POOL     | 0                   | 91           | 165          | A      |
| 20211018 | POR      | 0                   | 765          | 1103         | A      |
| 20211018 | POSH     | 0                   | 2724         | 2925         | A      |
| 20211018 | POST     | 0                   | 2443         | 3186         | A      |
| 20211018 | POWI     | 0                   | 211          | 817          | A      |
| 20211018 | POWW     | 0                   | 2004         | 2157         | A      |
| 20211018 | PPBI     | 0                   | 0            | 38           | A      |
| 20211018 | PPC      | 0                   | 0            | 228          | A      |
| 20211018 | PPD      | 0                   | 1283         | 2303         | A      |
| 20211018 | PPG      | 0                   | 3902         | 5164         | A      |
| 20211018 | PPL      | 0                   | 9809         | 11069        | A      |
| 20211018 | PPLT     | 0                   | 0            | 404          | A      |
| 20211018 | PPSI     | 0                   | 2            | 2            | A      |
| 20211018 | PPTA     | 0                   | 221          | 221          | A      |
| 20211018 | PRA      | 0                   | 0            | 525          | A      |
| 20211018 | PRAA     | 0                   | 285          | 343          | A      |
| 20211018 | PRAX     | 0                   | 211          | 411          | A      |
| 20211018 | PRCH     | 0                   | 4018         | 4477         | A      |
| 20211018 | PRCT     | 0                   | 100          | 216          | A      |
| 20211018 | PRDO     | 0                   | 68           | 200          | A      |
| 20211018 | PRFT     | 0                   | 10           | 10           | A      |
| 20211018 | PRG      | 0                   | 527          | 1051         | A      |
| 20211018 | PRGO     | 0                   | 1117         | 1516         | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20211018 | PRGS    | 0                   | 2            | 654          | A      |
| 20211018 | PRI     | 0                   | 1            | 104          | A      |
| 20211018 | PRIM    | 0                   | 22           | 538          | A      |
| 20211018 | PRK     | 0                   | 2642         | 4795         | A      |
| 20211018 | PRLB    | 0                   | 163          | 399          | A      |
| 20211018 | PRLD    | 0                   | 917          | 930          | A      |
| 20211018 | PRMW    | 100                 | 1201         | 2407         | A      |
| 20211018 | PRO     | 0                   | 102          | 108          | A      |
| 20211018 | PROC    | 0                   | 0            | 100          | A      |
| 20211018 | PROF    | 0                   | 100          | 100          | A      |
| 20211018 | PROG    | 4600                | 187709       | 248003       | A      |
| 20211018 | PRPB WS | 0                   | 0            | 300          | A      |
| 20211018 | PRPL    | 0                   | 258          | 488          | A      |
| 20211018 | PRQR    | 0                   | 217          | 1850         | A      |
| 20211018 | PRTA    | 0                   | 115          | 115          | A      |
| 20211018 | PRTG    | 0                   | 0            | 2            | A      |
| 20211018 | PRTK    | 0                   | 106          | 249          | A      |
| 20211018 | PRTS    | 0                   | 301          | 342          | A      |
| 20211018 | PRTY    | 0                   | 568          | 1339         | A      |
| 20211018 | PRU     | 0                   | 2251         | 3480         | A      |
| 20211018 | PRVA    | 0                   | 199          | 321          | A      |
| 20211018 | PRVB    | 0                   | 232          | 234          | A      |
| 20211018 | PSA     | 0                   | 268          | 561          | A      |
| 20211018 | PSB     | 0                   | 91           | 144          | A      |
| 20211018 | PSB PRX | 0                   | 0            | 200          | A      |
| 20211018 | PSCE    | 0                   | 400          | 800          | A      |
| 20211018 | PSEC    | 0                   | 1205         | 1230         | A      |
| 20211018 | PSFE    | 0                   | 5274         | 8342         | A      |
| 20211018 | PSK     | 0                   | 0            | 143          | A      |
| 20211018 | PSLV    | 3800                | 18403        | 20503        | A      |
| 20211018 | PSMG    | 0                   | 0            | 85           | A      |
| 20211018 | PSMT    | 0                   | 47           | 47           | A      |
| 20211018 | PSN     | 0                   | 110          | 110          | A      |
| 20211018 | PSNL    | 0                   | 491          | 495          | A      |
| 20211018 | PSO     | 0                   | 200          | 252          | A      |
| 20211018 | PSQ     | 0                   | 3320         | 4320         | A      |
| 20211018 | PSTG    | 10323               | 11322        | 12480        | A      |
| 20211018 | PSTH    | 0                   | 686          | 1786         | A      |
| 20211018 | PSTX    | 0                   | 233          | 233          | A      |
| 20211018 | PSX     | 5                   | 1404         | 3885         | A      |
| 20211018 | PSXP    | 285                 | 2166         | 2471         | A      |
| 20211018 | PTC     | 0                   | 368          | 2084         | A      |
| 20211018 | PTCT    | 0                   | 2176         | 2888         | A      |
| 20211018 | PTEN    | 0                   | 6334         | 9191         | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | PTGX   | 0                   | 642          | 1062         | A      |
| 20211018 | PTN    | 53                  | 72346        | 84361        | A      |
| 20211018 | PTON   | 0                   | 2432         | 3590         | A      |
| 20211018 | PTR    | 0                   | 1            | 101          | A      |
| 20211018 | PTRA   | 0                   | 701          | 900          | A      |
| 20211018 | PTVE   | 0                   | 10           | 10           | A      |
| 20211018 | PUBM   | 400                 | 720          | 1543         | A      |
| 20211018 | PUK    | 0                   | 170          | 195          | A      |
| 20211018 | PUMP   | 0                   | 1000         | 1100         | A      |
| 20211018 | PVG    | 0                   | 4696         | 7336         | A      |
| 20211018 | PVH    | 0                   | 231          | 1066         | A      |
| 20211018 | PW     | 100                 | 974          | 2793         | A      |
| 20211018 | PWP    | 0                   | 313          | 398          | A      |
| 20211018 | PWR    | 0                   | 377          | 853          | A      |
| 20211018 | PWSC   | 0                   | 101          | 201          | A      |
| 20211018 | PXD    | 0                   | 1678         | 5486         | A      |
| 20211018 | PXE    | 400                 | 952          | 952          | A      |
| 20211018 | PXLW   | 0                   | 1            | 101          | A      |
| 20211018 | PYCR   | 0                   | 1200         | 1204         | A      |
| 20211018 | PYPL   | 0                   | 12588        | 19234        | A      |
| 20211018 | PYR    | 0                   | 1032         | 1452         | A      |
| 20211018 | PYXS   | 0                   | 700          | 800          | A      |
| 20211018 | PZA    | 0                   | 500          | 500          | A      |
| 20211018 | PZG    | 188                 | 471          | 3849         | A      |
| 20211018 | PZZA   | 0                   | 259          | 409          | A      |
| 20211018 | QADA   | 0                   | 1061         | 1108         | A      |
| 20211018 | QCLN   | 0                   | 300          | 304          | A      |
| 20211018 | QCOM   | 0                   | 18987        | 36392        | A      |
| 20211018 | QCRH   | 0                   | 0            | 2            | A      |
| 20211018 | QD     | 0                   | 0            | 400          | A      |
| 20211018 | QDEL   | 0                   | 505          | 6370         | A      |
| 20211018 | QFIN   | 0                   | 1186         | 1338         | A      |
| 20211018 | QGEN   | 0                   | 1217         | 1551         | A      |
| 20211018 | QID    | 5300                | 18072        | 20810        | A      |
| 20211018 | QIWI   | 0                   | 643          | 643          | A      |
| 20211018 | QLD    | 0                   | 2622         | 3621         | A      |
| 20211018 | QLGN   | 0                   | 300          | 300          | A      |
| 20211018 | QLYS   | 0                   | 242          | 1254         | A      |
| 20211018 | QMCO   | 0                   | 27           | 457          | A      |
| 20211018 | QNST   | 0                   | 0            | 516          | A      |
| 20211018 | QQEW   | 0                   | 100          | 100          | A      |
| 20211018 | QQQ    | 0                   | 49676        | 58774        | A      |
| 20211018 | QQQJ   | 0                   | 310          | 410          | A      |
| 20211018 | QQQM   | 0                   | 10831        | 13449        | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | QRFT   | 0                   | 0            | 5            | A      |
| 20211018 | QRMI   | 0                   | 0            | 100          | A      |
| 20211018 | QRTEA  | 265                 | 4943         | 7068         | A      |
| 20211018 | QRVO   | 9                   | 965          | 1373         | A      |
| 20211018 | QS     | 0                   | 472          | 2178         | A      |
| 20211018 | QSI    | 0                   | 33           | 33           | A      |
| 20211018 | QSR    | 0                   | 3365         | 5127         | A      |
| 20211018 | QTEC   | 0                   | 200          | 200          | A      |
| 20211018 | QTNT   | 0                   | 5            | 161          | A      |
| 20211018 | QTRX   | 0                   | 105          | 109          | A      |
| 20211018 | QTT    | 0                   | 1809         | 3039         | A      |
| 20211018 | QTWO   | 0                   | 107          | 235          | A      |
| 20211018 | QUAL   | 0                   | 1143         | 1706         | A      |
| 20211018 | QUBT   | 0                   | 100          | 106          | A      |
| 20211018 | QUOT   | 0                   | 1250         | 1701         | A      |
| 20211018 | QURE   | 0                   | 101          | 601          | A      |
| 20211018 | QYLD   | 1500                | 3321         | 3621         | A      |
| 20211018 | QYLG   | 0                   | 200          | 200          | A      |
| 20211018 | R      | 0                   | 231          | 502          | A      |
| 20211018 | RA     | 0                   | 0            | 764          | A      |
| 20211018 | RAAS   | 0                   | 404          | 1204         | A      |
| 20211018 | RACE   | 0                   | 69           | 69           | A      |
| 20211018 | RAD    | 0                   | 563          | 664          | A      |
| 20211018 | RADA   | 0                   | 229          | 247          | A      |
| 20211018 | RADI   | 2486                | 3298         | 3453         | A      |
| 20211018 | RAIL   | 0                   | 7            | 7            | A      |
| 20211018 | RAIN   | 52                  | 56           | 170          | A      |
| 20211018 | RAMP   | 0                   | 500          | 978          | A      |
| 20211018 | RANI   | 0                   | 1            | 40           | A      |
| 20211018 | RAPT   | 0                   | 0            | 62           | A      |
| 20211018 | RARE   | 0                   | 222          | 222          | A      |
| 20211018 | RAVN   | 0                   | 17           | 442          | A      |
| 20211018 | RAYS   | 0                   | 0            | 100          | A      |
| 20211018 | RBA    | 0                   | 304          | 309          | A      |
| 20211018 | RBAC   | 0                   | 0            | 3700         | A      |
| 20211018 | RBB    | 0                   | 1            | 1            | A      |
| 20211018 | RBLX   | 0                   | 4532         | 10395        | A      |
| 20211018 | RBNC   | 0                   | 2            | 2            | A      |
| 20211018 | RBOT   | 0                   | 150          | 2905         | A      |
| 20211018 | RC     | 0                   | 0            | 300          | A      |
| 20211018 | RCAT   | 0                   | 1727         | 4276         | A      |
| 20211018 | RCEL   | 0                   | 0            | 12           | A      |
| 20211018 | RCG    | 18                  | 145          | 632          | A      |
| 20211018 | RCI    | 1                   | 408          | 795          | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | RCII   | 0                   | 101          | 101          | A      |
| 20211018 | RCKT   | 0                   | 194          | 494          | A      |
| 20211018 | RCL    | 0                   | 4520         | 6701         | A      |
| 20211018 | RCM    | 0                   | 179          | 296          | A      |
| 20211018 | RCMT   | 0                   | 0            | 100          | A      |
| 20211018 | RCON   | 402                 | 6746         | 8031         | A      |
| 20211018 | RCOR   | 0                   | 3095         | 7595         | A      |
| 20211018 | RCRT   | 0                   | 0            | 6            | A      |
| 20211018 | RCUS   | 0                   | 242          | 542          | A      |
| 20211018 | RDFN   | 0                   | 1264         | 13510        | A      |
| 20211018 | RDHL   | 0                   | 100          | 100          | A      |
| 20211018 | RDN    | 0                   | 2329         | 2985         | A      |
| 20211018 | RDS A  | 0                   | 14937        | 19689        | A      |
| 20211018 | RDS B  | 360                 | 5884         | 7755         | A      |
| 20211018 | RDUS   | 0                   | 1408         | 2147         | A      |
| 20211018 | RDVT   | 0                   | 0            | 22           | A      |
| 20211018 | RDVY   | 0                   | 400          | 900          | A      |
| 20211018 | RDW    | 0                   | 1225         | 1725         | A      |
| 20211018 | RDWR   | 0                   | 234          | 624          | A      |
| 20211018 | RE     | 0                   | 56           | 173          | A      |
| 20211018 | REAL   | 1320                | 4398         | 7223         | A      |
| 20211018 | REE    | 0                   | 525          | 636          | A      |
| 20211018 | REET   | 0                   | 1982         | 2218         | A      |
| 20211018 | REG    | 0                   | 390          | 420          | A      |
| 20211018 | REGI   | 0                   | 1480         | 2925         | A      |
| 20211018 | REGN   | 0                   | 1106         | 5024         | A      |
| 20211018 | REI    | 9950                | 82351        | 209737       | A      |
| 20211018 | REKR   | 0                   | 250          | 250          | A      |
| 20211018 | RELL   | 0                   | 0            | 2            | A      |
| 20211018 | RELX   | 0                   | 759          | 3580         | A      |
| 20211018 | RELY   | 0                   | 0            | 229          | A      |
| 20211018 | REM    | 0                   | 188          | 248          | A      |
| 20211018 | RENN   | 0                   | 325          | 1758         | A      |
| 20211018 | REPH   | 0                   | 0            | 832          | A      |
| 20211018 | REPL   | 0                   | 103          | 103          | A      |
| 20211018 | REPX   | 0                   | 799          | 2847         | A      |
| 20211018 | RERE   | 0                   | 200          | 200          | A      |
| 20211018 | RES    | 0                   | 200          | 200          | A      |
| 20211018 | RETA   | 0                   | 720          | 829          | A      |
| 20211018 | REVG   | 0                   | 360          | 1596         | A      |
| 20211018 | REX    | 0                   | 76           | 76           | A      |
| 20211018 | REXR   | 0                   | 929          | 1815         | A      |
| 20211018 | REYN   | 0                   | 658          | 764          | A      |
| 20211018 | REZI   | 0                   | 185          | 606          | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20211018 | RF      | 0                   | 15987        | 18349        | A      |
| 20211018 | RFL     | 0                   | 338          | 390          | A      |
| 20211018 | RFP     | 100                 | 2590         | 4892         | A      |
| 20211018 | RGA     | 0                   | 408          | 895          | A      |
| 20211018 | RGC     | 0                   | 0            | 414          | A      |
| 20211018 | RGEN    | 0                   | 1630         | 6147         | A      |
| 20211018 | RGLD    | 0                   | 258          | 302          | A      |
| 20211018 | RGLS    | 0                   | 500          | 500          | A      |
| 20211018 | RGNX    | 0                   | 209          | 209          | A      |
| 20211018 | RGP     | 0                   | 101          | 317          | A      |
| 20211018 | RGR     | 0                   | 18           | 48           | A      |
| 20211018 | RGS     | 65                  | 1465         | 2038         | A      |
| 20211018 | RH      | 0                   | 620          | 1944         | A      |
| 20211018 | RHE     | 26                  | 262          | 1126         | A      |
| 20211018 | RHE PRA | 0                   | 5100         | 5100         | A      |
| 20211018 | RHI     | 0                   | 493          | 518          | A      |
| 20211018 | RHP     | 0                   | 212          | 362          | A      |
| 20211018 | RIDE    | 0                   | 2954         | 3256         | A      |
| 20211018 | RIG     | 0                   | 32337        | 51514        | A      |
| 20211018 | RIGL    | 0                   | 562          | 1011         | A      |
| 20211018 | RILY    | 0                   | 33           | 34           | A      |
| 20211018 | RIO     | 0                   | 7769         | 28070        | A      |
| 20211018 | RIOT    | 14                  | 34887        | 71709        | A      |
| 20211018 | RIV     | 0                   | 0            | 100          | A      |
| 20211018 | RJA     | 0                   | 0            | 100          | A      |
| 20211018 | RJF     | 0                   | 246          | 254          | A      |
| 20211018 | RKDA    | 0                   | 1            | 1            | A      |
| 20211018 | RKLB    | 6443                | 10603        | 11411        | A      |
| 20211018 | RKLY    | 0                   | 1082         | 5952         | A      |
| 20211018 | RKT     | 0                   | 4934         | 6835         | A      |
| 20211018 | RL      | 0                   | 1542         | 3250         | A      |
| 20211018 | RLAY    | 0                   | 3028         | 3463         | A      |
| 20211018 | RLGT    | 1332                | 5590         | 22904        | A      |
| 20211018 | RLGY    | 0                   | 1228         | 1440         | A      |
| 20211018 | RLI     | 0                   | 200          | 510          | A      |
| 20211018 | RLJ     | 0                   | 482          | 1489         | A      |
| 20211018 | RLMD    | 0                   | 2            | 12           | A      |
| 20211018 | RLX     | 713                 | 18509        | 30464        | A      |
| 20211018 | RLYB    | 0                   | 76           | 103          | A      |
| 20211018 | RM      | 0                   | 20           | 42           | A      |
| 20211018 | RMAX    | 0                   | 100          | 100          | A      |
| 20211018 | RMBS    | 0                   | 127          | 644          | A      |
| 20211018 | RMD     | 0                   | 897          | 1320         | A      |
| 20211018 | RMED    | 690                 | 12019        | 21116        | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | RMNI   | 0                   | 69           | 130          | A      |
| 20211018 | RMO    | 0                   | 9371         | 10414        | A      |
| 20211018 | RMR    | 0                   | 307          | 808          | A      |
| 20211018 | RNA    | 0                   | 306          | 317          | A      |
| 20211018 | RNAZ   | 0                   | 228          | 228          | A      |
| 20211018 | RNG    | 0                   | 316          | 2014         | A      |
| 20211018 | RNLX   | 0                   | 127          | 127          | A      |
| 20211018 | RNR    | 0                   | 3201         | 3504         | A      |
| 20211018 | RNST   | 0                   | 374          | 376          | A      |
| 20211018 | RNW    | 2023                | 3335         | 5223         | A      |
| 20211018 | RNWK   | 0                   | 40           | 440          | A      |
| 20211018 | RNXT   | 0                   | 0            | 1350         | A      |
| 20211018 | ROAD   | 0                   | 103          | 106          | A      |
| 20211018 | ROBO   | 0                   | 1            | 51           | A      |
| 20211018 | ROCC   | 0                   | 0            | 72           | A      |
| 20211018 | ROCK   | 0                   | 0            | 5            | A      |
| 20211018 | ROG    | 0                   | 150          | 150          | A      |
| 20211018 | ROIC   | 0                   | 343          | 478          | A      |
| 20211018 | ROIV   | 0                   | 200          | 1046         | A      |
| 20211018 | ROK    | 0                   | 575          | 587          | A      |
| 20211018 | ROKU   | 0                   | 622          | 1987         | A      |
| 20211018 | ROL    | 0                   | 1839         | 2651         | A      |
| 20211018 | ROLL   | 0                   | 63           | 63           | A      |
| 20211018 | ROM    | 0                   | 0            | 15           | A      |
| 20211018 | ROOT   | 0                   | 1862         | 1992         | A      |
| 20211018 | ROP    | 27                  | 348          | 915          | A      |
| 20211018 | ROSS U | 0                   | 0            | 32           | A      |
| 20211018 | ROST   | 0                   | 1888         | 4136         | A      |
| 20211018 | ROVR   | 0                   | 0            | 2947         | A      |
| 20211018 | RPAI   | 0                   | 1403         | 1508         | A      |
| 20211018 | RPAY   | 0                   | 397          | 500          | A      |
| 20211018 | RPD    | 0                   | 481          | 731          | A      |
| 20211018 | RPID   | 0                   | 467          | 725          | A      |
| 20211018 | RPM    | 0                   | 515          | 1495         | A      |
| 20211018 | RPRX   | 0                   | 1174         | 3181         | A      |
| 20211018 | RPT    | 0                   | 400          | 400          | A      |
| 20211018 | RPTX   | 0                   | 200          | 201          | A      |
| 20211018 | RRBI   | 0                   | 4            | 4            | A      |
| 20211018 | RRC    | 0                   | 1082         | 2321         | A      |
| 20211018 | RRD    | 0                   | 100          | 307          | A      |
| 20211018 | RRGB   | 0                   | 0            | 1001         | A      |
| 20211018 | RRR    | 3933                | 4075         | 4276         | A      |
| 20211018 | RRX    | 0                   | 472          | 629          | A      |
| 20211018 | RS     | 0                   | 418          | 2160         | A      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | RSG    | 0                   | 2445         | 3476         | A      |
| 20211018 | RSI    | 0                   | 2702         | 5578         | A      |
| 20211018 | RSKD   | 0                   | 0            | 9            | A      |
| 20211018 | RSLS   | 0                   | 3034         | 3034         | A      |
| 20211018 | RSP    | 0                   | 12076        | 12908        | A      |
| 20211018 | RSX    | 0                   | 4045         | 4670         | A      |
| 20211018 | RTLRL  | 0                   | 232          | 1039         | A      |
| 20211018 | RTPY   | 0                   | 1200         | 2000         | A      |
| 20211018 | RTX    | 209                 | 6150         | 9543         | A      |
| 20211018 | RUBY   | 0                   | 148          | 148          | A      |
| 20211018 | RUN    | 0                   | 2656         | 3312         | A      |
| 20211018 | RUSHA  | 0                   | 130          | 150          | A      |
| 20211018 | RUSHB  | 0                   | 0            | 1            | A      |
| 20211018 | RUTH   | 0                   | 100          | 100          | A      |
| 20211018 | RVI    | 0                   | 60           | 402          | A      |
| 20211018 | RVLV   | 1                   | 758          | 982          | A      |
| 20211018 | RVMD   | 0                   | 609          | 611          | A      |
| 20211018 | RVNC   | 470                 | 2606         | 80150        | A      |
| 20211018 | RVP    | 307                 | 18514        | 26525        | A      |
| 20211018 | RVSB   | 0                   | 0            | 46           | A      |
| 20211018 | RWLK   | 0                   | 1224         | 2165         | A      |
| 20211018 | RWM    | 0                   | 9000         | 9100         | A      |
| 20211018 | RWO    | 0                   | 400          | 800          | A      |
| 20211018 | RWR    | 0                   | 0            | 2224         | A      |
| 20211018 | RWT    | 0                   | 506          | 1031         | A      |
| 20211018 | RWX    | 0                   | 0            | 100          | A      |
| 20211018 | RXDX   | 0                   | 119          | 120          | A      |
| 20211018 | RXXRX  | 13                  | 1141         | 1335         | A      |
| 20211018 | RXST   | 0                   | 400          | 458          | A      |
| 20211018 | RXT    | 0                   | 1706         | 2380         | A      |
| 20211018 | RY     | 0                   | 3384         | 3584         | A      |
| 20211018 | RYAAY  | 0                   | 106          | 506          | A      |
| 20211018 | RYAM   | 0                   | 941          | 2486         | A      |
| 20211018 | RYAN   | 0                   | 0            | 26           | A      |
| 20211018 | RYI    | 0                   | 14           | 30           | A      |
| 20211018 | RYLD   | 0                   | 100          | 100          | A      |
| 20211018 | RYN    | 0                   | 124          | 124          | A      |
| 20211018 | RYT    | 0                   | 13           | 13           | A      |
| 20211018 | RYTM   | 0                   | 4            | 4            | A      |
| 20211018 | RZB    | 0                   | 34           | 34           | A      |
| 20211018 | RZLT   | 0                   | 0            | 1600         | A      |
| 20211018 | S      | 0                   | 748          | 1425         | A      |
| 20211018 | SA     | 0                   | 23           | 257          | A      |
| 20211018 | SABR   | 0                   | 8658         | 13284        | A      |

| Date     | Symbol   | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|----------|---------------------|--------------|--------------|--------|
| 20211018 | SACH     | 10522               | 61325        | 106187       | A      |
| 20211018 | SACH PRA | 0                   | 1868         | 2518         | A      |
| 20211018 | SAFE     | 0                   | 197          | 262          | A      |
| 20211018 | SAGE     | 0                   | 118          | 137          | A      |
| 20211018 | SAH      | 0                   | 283          | 606          | A      |
| 20211018 | SAIA     | 0                   | 154          | 154          | A      |
| 20211018 | SAIC     | 0                   | 247          | 1052         | A      |
| 20211018 | SAIL     | 5081                | 5570         | 6168         | A      |
| 20211018 | SALM     | 0                   | 0            | 45           | A      |
| 20211018 | SAM      | 0                   | 679          | 1324         | A      |
| 20211018 | SAMG     | 0                   | 0            | 3            | A      |
| 20211018 | SAN      | 6900                | 8494         | 9640         | A      |
| 20211018 | SANA     | 0                   | 41           | 41           | A      |
| 20211018 | SAND     | 50                  | 351          | 1095         | A      |
| 20211018 | SANM     | 0                   | 275          | 375          | A      |
| 20211018 | SAP      | 0                   | 40           | 121          | A      |
| 20211018 | SASR     | 0                   | 136          | 237          | A      |
| 20211018 | SATS     | 0                   | 100          | 294          | A      |
| 20211018 | SAVA     | 0                   | 3693         | 5185         | A      |
| 20211018 | SAVE     | 400                 | 4952         | 8105         | A      |
| 20211018 | SB       | 0                   | 200          | 298          | A      |
| 20211018 | SBAC     | 0                   | 561          | 912          | A      |
| 20211018 | SBCF     | 0                   | 277          | 277          | A      |
| 20211018 | SBEV     | 105                 | 324          | 1033         | A      |
| 20211018 | SBEV WS  | 0                   | 0            | 5677         | A      |
| 20211018 | SBGI     | 0                   | 294          | 350          | A      |
| 20211018 | SBH      | 0                   | 700          | 826          | A      |
| 20211018 | SBLK     | 0                   | 217          | 530          | A      |
| 20211018 | SBNY     | 0                   | 196          | 1719         | A      |
| 20211018 | SBOW     | 0                   | 399          | 445          | A      |
| 20211018 | SBRA     | 0                   | 6167         | 7376         | A      |
| 20211018 | SBS      | 600                 | 2593         | 3386         | A      |
| 20211018 | SBSI     | 0                   | 63           | 63           | A      |
| 20211018 | SBSW     | 0                   | 4331         | 5734         | A      |
| 20211018 | SBTX     | 0                   | 220          | 500          | A      |
| 20211018 | SBUX     | 0                   | 21866        | 24898        | A      |
| 20211018 | SC       | 0                   | 1399         | 1822         | A      |
| 20211018 | SCCB     | 0                   | 1477         | 2346         | A      |
| 20211018 | SCCC     | 0                   | 787          | 787          | A      |
| 20211018 | SCCO     | 0                   | 680          | 1713         | A      |
| 20211018 | SCE PRJ  | 0                   | 59           | 59           | A      |
| 20211018 | SCHA     | 0                   | 50           | 50           | A      |
| 20211018 | SCHB     | 0                   | 1875         | 2551         | A      |
| 20211018 | SCHC     | 0                   | 0            | 800          | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | SCHD   | 0                   | 1202         | 1502         | A      |
| 20211018 | SCHE   | 0                   | 1200         | 2035         | A      |
| 20211018 | SCHF   | 0                   | 5195         | 7995         | A      |
| 20211018 | SCHH   | 0                   | 225          | 1200         | A      |
| 20211018 | SCHI   | 0                   | 100          | 100          | A      |
| 20211018 | SCHK   | 0                   | 1000         | 1100         | A      |
| 20211018 | SCHN   | 0                   | 458          | 1072         | A      |
| 20211018 | SCHO   | 0                   | 900          | 1200         | A      |
| 20211018 | SCHP   | 0                   | 9342         | 10171        | A      |
| 20211018 | SCHR   | 0                   | 600          | 3100         | A      |
| 20211018 | SCHW   | 9                   | 10066        | 21961        | A      |
| 20211018 | SCHX   | 0                   | 2000         | 2400         | A      |
| 20211018 | SCHZ   | 0                   | 0            | 200          | A      |
| 20211018 | SCI    | 0                   | 2133         | 2807         | A      |
| 20211018 | SCKT   | 0                   | 30           | 30           | A      |
| 20211018 | SCL    | 0                   | 1            | 1            | A      |
| 20211018 | SCO    | 12                  | 7406         | 9306         | A      |
| 20211018 | SCPL   | 0                   | 233          | 448          | A      |
| 20211018 | SCR    | 100                 | 5544         | 7682         | A      |
| 20211018 | SCS    | 0                   | 880          | 981          | A      |
| 20211018 | SCSC   | 0                   | 100          | 107          | A      |
| 20211018 | SCU    | 0                   | 0            | 608          | A      |
| 20211018 | SCVL   | 0                   | 100          | 100          | A      |
| 20211018 | SCWX   | 0                   | 4            | 8            | A      |
| 20211018 | SCYX   | 0                   | 0            | 305          | A      |
| 20211018 | SCZ    | 0                   | 4600         | 5930         | A      |
| 20211018 | SD     | 0                   | 1686         | 4165         | A      |
| 20211018 | SDC    | 0                   | 8983         | 12543        | A      |
| 20211018 | SDGR   | 0                   | 196          | 393          | A      |
| 20211018 | SDIV   | 0                   | 0            | 1            | A      |
| 20211018 | SDOG   | 0                   | 100          | 100          | A      |
| 20211018 | SDOW   | 400                 | 39475        | 56626        | A      |
| 20211018 | SDPI   | 1871                | 17546        | 50775        | A      |
| 20211018 | SDS    | 0                   | 12100        | 17017        | A      |
| 20211018 | SDY    | 0                   | 200          | 200          | A      |
| 20211018 | SE     | 0                   | 1536         | 4775         | A      |
| 20211018 | SEAH   | 0                   | 650          | 1750         | A      |
| 20211018 | SEAS   | 0                   | 25           | 752          | A      |
| 20211018 | SEB    | 17                  | 48           | 125          | A      |
| 20211018 | SECO   | 0                   | 1500         | 2255         | A      |
| 20211018 | SEDG   | 0                   | 235          | 650          | A      |
| 20211018 | SEE    | 0                   | 1231         | 1698         | A      |
| 20211018 | SEEL   | 0                   | 18651        | 27740        | A      |
| 20211018 | SEER   | 0                   | 841          | 3461         | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | SEIC   | 0                   | 241          | 1096         | A      |
| 20211018 | SELB   | 0                   | 25           | 125          | A      |
| 20211018 | SEM    | 0                   | 490          | 926          | A      |
| 20211018 | SEMR   | 0                   | 0            | 5563         | A      |
| 20211018 | SENEA  | 0                   | 0            | 1            | A      |
| 20211018 | SENS   | 5004                | 242533       | 307864       | A      |
| 20211018 | SERA   | 0                   | 5            | 105          | A      |
| 20211018 | SESN   | 0                   | 0            | 117          | A      |
| 20211018 | SF     | 0                   | 1425         | 2750         | A      |
| 20211018 | SFE    | 0                   | 0            | 100          | A      |
| 20211018 | SFIX   | 0                   | 4763         | 5244         | A      |
| 20211018 | SFL    | 0                   | 200          | 201          | A      |
| 20211018 | SFM    | 0                   | 4019         | 4121         | A      |
| 20211018 | SFNC   | 0                   | 339          | 583          | A      |
| 20211018 | SFT    | 0                   | 2106         | 2106         | A      |
| 20211018 | SGAM   | 0                   | 0            | 100          | A      |
| 20211018 | SGEN   | 0                   | 1499         | 2027         | A      |
| 20211018 | SGFY   | 0                   | 2039         | 2141         | A      |
| 20211018 | SGH    | 605                 | 865          | 1815         | A      |
| 20211018 | SGHT   | 0                   | 49           | 61           | A      |
| 20211018 | SGMO   | 0                   | 318          | 447          | A      |
| 20211018 | SGMS   | 0                   | 290          | 367          | A      |
| 20211018 | SGOL   | 10400               | 12800        | 12900        | A      |
| 20211018 | SGRY   | 0                   | 0            | 193          | A      |
| 20211018 | SH     | 0                   | 300          | 11393        | A      |
| 20211018 | SHAK   | 0                   | 511          | 626          | A      |
| 20211018 | SHC    | 0                   | 1173         | 2238         | A      |
| 20211018 | SHCR   | 0                   | 11           | 305          | A      |
| 20211018 | SHEN   | 0                   | 15           | 24           | A      |
| 20211018 | SHG    | 0                   | 32           | 188          | A      |
| 20211018 | SHI    | 0                   | 1            | 1            | A      |
| 20211018 | SHIP   | 3200                | 10247        | 11632        | A      |
| 20211018 | SHLS   | 0                   | 4400         | 6175         | A      |
| 20211018 | SHLX   | 0                   | 794          | 1594         | A      |
| 20211018 | SHM    | 800                 | 1900         | 2145         | A      |
| 20211018 | SHO    | 0                   | 886          | 1314         | A      |
| 20211018 | SHOO   | 0                   | 218          | 1801         | A      |
| 20211018 | SHOP   | 0                   | 1709         | 2201         | A      |
| 20211018 | SHV    | 0                   | 2500         | 2500         | A      |
| 20211018 | SHW    | 0                   | 4763         | 9118         | A      |
| 20211018 | SHY    | 0                   | 4368         | 6573         | A      |
| 20211018 | SHYF   | 0                   | 228          | 527          | A      |
| 20211018 | SHYG   | 0                   | 2400         | 7500         | A      |
| 20211018 | SI     | 0                   | 905          | 3479         | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | SIBN   | 0                   | 20           | 96           | A      |
| 20211018 | SID    | 8604                | 28232        | 38832        | A      |
| 20211018 | SIEB   | 0                   | 0            | 242          | A      |
| 20211018 | SIEN   | 0                   | 408          | 1886         | A      |
| 20211018 | SIERU  | 0                   | 0            | 2100         | A      |
| 20211018 | SIF    | 0                   | 693          | 847          | A      |
| 20211018 | SIFY   | 0                   | 0            | 10           | A      |
| 20211018 | SIG    | 0                   | 1084         | 1187         | A      |
| 20211018 | SIGA   | 0                   | 86           | 86           | A      |
| 20211018 | SIGI   | 0                   | 147          | 155          | A      |
| 20211018 | SIL    | 0                   | 100          | 500          | A      |
| 20211018 | SILC   | 0                   | 110          | 140          | A      |
| 20211018 | SILJ   | 0                   | 100          | 100          | A      |
| 20211018 | SILK   | 0                   | 1801         | 4635         | A      |
| 20211018 | SILV   | 5662                | 30150        | 38559        | A      |
| 20211018 | SIM    | 0                   | 0            | 539          | A      |
| 20211018 | SIMO   | 0                   | 300          | 1239         | A      |
| 20211018 | SINO   | 0                   | 100          | 200          | A      |
| 20211018 | SIOX   | 0                   | 200          | 200          | A      |
| 20211018 | SIRI   | 0                   | 32493        | 43569        | A      |
| 20211018 | SITC   | 0                   | 1238         | 2475         | A      |
| 20211018 | SITE   | 0                   | 292          | 335          | A      |
| 20211018 | SITM   | 0                   | 18           | 60           | A      |
| 20211018 | SIVB   | 1                   | 1411         | 2943         | A      |
| 20211018 | SIVR   | 0                   | 100          | 100          | A      |
| 20211018 | SIX    | 0                   | 228          | 2382         | A      |
| 20211018 | SJI    | 0                   | 4633         | 6808         | A      |
| 20211018 | SJM    | 0                   | 422          | 598          | A      |
| 20211018 | SJNK   | 0                   | 18410        | 30371        | A      |
| 20211018 | SJR    | 0                   | 518          | 718          | A      |
| 20211018 | SJW    | 0                   | 109          | 109          | A      |
| 20211018 | SKIL   | 0                   | 1018         | 1843         | A      |
| 20211018 | SKIN   | 0                   | 2646         | 3096         | A      |
| 20211018 | SKLZ   | 100                 | 3347         | 4749         | A      |
| 20211018 | SKM    | 8                   | 567          | 682          | A      |
| 20211018 | SKT    | 0                   | 1139         | 1287         | A      |
| 20211018 | SKX    | 0                   | 754          | 863          | A      |
| 20211018 | SKY    | 0                   | 0            | 804          | A      |
| 20211018 | SKYT   | 0                   | 214          | 560          | A      |
| 20211018 | SKYW   | 0                   | 340          | 2459         | A      |
| 20211018 | SKYY   | 0                   | 236          | 1030         | A      |
| 20211018 | SLAB   | 0                   | 23           | 129          | A      |
| 20211018 | SLB    | 0                   | 15855        | 18521        | A      |
| 20211018 | SLCA   | 0                   | 683          | 1638         | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | SLDB   | 0                   | 800          | 1000         | A      |
| 20211018 | SLF    | 0                   | 244          | 314          | A      |
| 20211018 | SLG    | 0                   | 29           | 220          | A      |
| 20211018 | SLGC   | 0                   | 101          | 1288         | A      |
| 20211018 | SLGG   | 0                   | 200          | 374          | A      |
| 20211018 | SLGN   | 0                   | 3            | 408          | A      |
| 20211018 | SLHG   | 0                   | 100          | 100          | A      |
| 20211018 | SLI    | 32037               | 154543       | 300756       | A      |
| 20211018 | SLM    | 0                   | 2241         | 6255         | A      |
| 20211018 | SLP    | 0                   | 16           | 16           | A      |
| 20211018 | SLQD   | 0                   | 500          | 1300         | A      |
| 20211018 | SLQT   | 0                   | 105          | 277          | A      |
| 20211018 | SLRC   | 0                   | 43           | 43           | A      |
| 20211018 | SLRX   | 0                   | 0            | 200          | A      |
| 20211018 | SLS    | 0                   | 115          | 223          | A      |
| 20211018 | SLV    | 0                   | 72025        | 114072       | A      |
| 20211018 | SLVM   | 0                   | 578          | 778          | A      |
| 20211018 | SLYV   | 0                   | 93           | 100          | A      |
| 20211018 | SM     | 0                   | 449          | 3377         | A      |
| 20211018 | SMAR   | 0                   | 263          | 1226         | A      |
| 20211018 | SMCI   | 0                   | 702          | 1548         | A      |
| 20211018 | SMED   | 0                   | 104          | 1395         | A      |
| 20211018 | SMFG   | 0                   | 1400         | 2100         | A      |
| 20211018 | SMFR   | 0                   | 200          | 300          | A      |
| 20211018 | SMG    | 0                   | 154          | 182          | A      |
| 20211018 | SMH    | 0                   | 1133         | 2739         | A      |
| 20211018 | SMIN   | 0                   | 0            | 300          | A      |
| 20211018 | SMLP   | 0                   | 30           | 60           | A      |
| 20211018 | SMLR   | 0                   | 0            | 1            | A      |
| 20211018 | SMPL   | 0                   | 107          | 107          | A      |
| 20211018 | SMRT   | 2112                | 2246         | 2246         | A      |
| 20211018 | SMSI   | 0                   | 257          | 302          | A      |
| 20211018 | SMTC   | 0                   | 209          | 209          | A      |
| 20211018 | SMTS   | 2230                | 37498        | 76890        | A      |
| 20211018 | SMWB   | 0                   | 78           | 186          | A      |
| 20211018 | SNA    | 0                   | 1114         | 2661         | A      |
| 20211018 | SNAP   | 0                   | 61805        | 116435       | A      |
| 20211018 | SNBR   | 0                   | 344          | 349          | A      |
| 20211018 | SNCY   | 0                   | 4234         | 4740         | A      |
| 20211018 | SNDL   | 0                   | 301          | 501          | A      |
| 20211018 | SNDR   | 0                   | 3282         | 4629         | A      |
| 20211018 | SNDX   | 0                   | 412          | 1191         | A      |
| 20211018 | SNEX   | 0                   | 1            | 1            | A      |
| 20211018 | SNGX   | 0                   | 100          | 200          | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | SNMP   | 100                 | 24750        | 78392        | A      |
| 20211018 | SNN    | 0                   | 0            | 72           | A      |
| 20211018 | SNOA   | 0                   | 733          | 805          | A      |
| 20211018 | SNOW   | 0                   | 4689         | 5070         | A      |
| 20211018 | SNP    | 0                   | 100          | 100          | A      |
| 20211018 | SNPO   | 0                   | 0            | 12           | A      |
| 20211018 | SNPS   | 0                   | 307          | 1053         | A      |
| 20211018 | SNPX   | 70                  | 70           | 274          | A      |
| 20211018 | SNSE   | 79                  | 79           | 79           | A      |
| 20211018 | SNTG   | 0                   | 283          | 627          | A      |
| 20211018 | SNV    | 0                   | 1705         | 3343         | A      |
| 20211018 | SNX    | 0                   | 217          | 728          | A      |
| 20211018 | SNY    | 0                   | 19           | 109          | A      |
| 20211018 | SO     | 0                   | 19228        | 24273        | A      |
| 20211018 | SOCL   | 0                   | 0            | 100          | A      |
| 20211018 | SOFI   | 99                  | 23323        | 37040        | A      |
| 20211018 | SOFIW  | 100                 | 500          | 1263         | A      |
| 20211018 | SOHU   | 0                   | 427          | 1561         | A      |
| 20211018 | SOI    | 0                   | 48           | 430          | A      |
| 20211018 | SOL    | 0                   | 4600         | 4980         | A      |
| 20211018 | SOLO   | 0                   | 5514         | 6442         | A      |
| 20211018 | SON    | 0                   | 476          | 569          | A      |
| 20211018 | SONM   | 0                   | 500          | 2712         | A      |
| 20211018 | SONN   | 0                   | 10           | 10           | A      |
| 20211018 | SONO   | 0                   | 1324         | 2398         | A      |
| 20211018 | SONY   | 0                   | 611          | 4275         | A      |
| 20211018 | SOPH   | 0                   | 91           | 281          | A      |
| 20211018 | SOS    | 1200                | 33034        | 46130        | A      |
| 20211018 | SOVO   | 0                   | 341          | 1671         | A      |
| 20211018 | SOXL   | 17                  | 1997         | 12212        | A      |
| 20211018 | SOXS   | 700                 | 12686        | 22682        | A      |
| 20211018 | SOXX   | 0                   | 170          | 630          | A      |
| 20211018 | SPAB   | 0                   | 2700         | 5500         | A      |
| 20211018 | SPB    | 0                   | 381          | 856          | A      |
| 20211018 | SPCE   | 12761               | 73301        | 120461       | A      |
| 20211018 | SPDN   | 0                   | 501          | 601          | A      |
| 20211018 | SPDW   | 0                   | 3611         | 4141         | A      |
| 20211018 | SPEM   | 0                   | 2775         | 3175         | A      |
| 20211018 | SPG    | 0                   | 5094         | 7911         | A      |
| 20211018 | SPGI   | 0                   | 1448         | 3733         | A      |
| 20211018 | SPH    | 0                   | 0            | 29           | A      |
| 20211018 | SPHB   | 0                   | 0            | 2            | A      |
| 20211018 | SPHQ   | 0                   | 300          | 600          | A      |
| 20211018 | SPI    | 0                   | 16           | 51           | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20211018 | SPIB    | 0                   | 1500         | 7900         | A      |
| 20211018 | SPIP    | 0                   | 115          | 515          | A      |
| 20211018 | SPIR    | 0                   | 808          | 1002         | A      |
| 20211018 | SPIR WS | 0                   | 0            | 847          | A      |
| 20211018 | SPLB    | 0                   | 2400         | 15479        | A      |
| 20211018 | SPLG    | 0                   | 6500         | 8342         | A      |
| 20211018 | SPLK    | 0                   | 987          | 1062         | A      |
| 20211018 | SPLV    | 0                   | 10037        | 10947        | A      |
| 20211018 | SPMB    | 0                   | 1032         | 3698         | A      |
| 20211018 | SPMD    | 0                   | 1969         | 2177         | A      |
| 20211018 | SPNE    | 0                   | 35           | 337          | A      |
| 20211018 | SPNT    | 0                   | 145          | 148          | A      |
| 20211018 | SPOK    | 0                   | 0            | 80           | A      |
| 20211018 | SPOT    | 0                   | 371          | 534          | A      |
| 20211018 | SPPI    | 100                 | 638          | 938          | A      |
| 20211018 | SPR     | 0                   | 1014         | 3697         | A      |
| 20211018 | SPRO    | 0                   | 38           | 42           | A      |
| 20211018 | SPSB    | 0                   | 2805         | 2805         | A      |
| 20211018 | SPSC    | 0                   | 208          | 293          | A      |
| 20211018 | SPSM    | 0                   | 0            | 100          | A      |
| 20211018 | SPT     | 0                   | 504          | 1195         | A      |
| 20211018 | SPTI    | 0                   | 100          | 100          | A      |
| 20211018 | SPTK    | 0                   | 20           | 20           | A      |
| 20211018 | SPTL    | 0                   | 1000         | 2800         | A      |
| 20211018 | SPTN    | 0                   | 300          | 417          | A      |
| 20211018 | SPTS    | 0                   | 14600        | 14600        | A      |
| 20211018 | SPUU    | 0                   | 0            | 9            | A      |
| 20211018 | SPVU    | 0                   | 0            | 100          | A      |
| 20211018 | SPWH    | 0                   | 100          | 110          | A      |
| 20211018 | SPWR    | 0                   | 1459         | 2298         | A      |
| 20211018 | SPXC    | 0                   | 0            | 100          | A      |
| 20211018 | SPXL    | 0                   | 795          | 3283         | A      |
| 20211018 | SPXS    | 1300                | 5062         | 12433        | A      |
| 20211018 | SPXU    | 1700                | 6757         | 15897        | A      |
| 20211018 | SPXX    | 0                   | 0            | 240          | A      |
| 20211018 | SPY     | 534                 | 251958       | 427868       | A      |
| 20211018 | SPYD    | 0                   | 1111         | 1194         | A      |
| 20211018 | SPYG    | 0                   | 3865         | 4665         | A      |
| 20211018 | SPYV    | 0                   | 5997         | 6097         | A      |
| 20211018 | SQ      | 200                 | 4644         | 12694        | A      |
| 20211018 | SQL     | 0                   | 0            | 300          | A      |
| 20211018 | SQM     | 0                   | 390          | 668          | A      |
| 20211018 | SQQQ    | 0                   | 204287       | 232284       | A      |
| 20211018 | SQSP    | 0                   | 206          | 206          | A      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | SQZ    | 0                   | 100          | 100          | A      |
| 20211018 | SR     | 64                  | 237          | 650          | A      |
| 20211018 | SRAD   | 0                   | 0            | 599          | A      |
| 20211018 | SRC    | 0                   | 1649         | 4501         | A      |
| 20211018 | SRDX   | 0                   | 4            | 49           | A      |
| 20211018 | SRE    | 0                   | 1540         | 3571         | A      |
| 20211018 | SRET   | 0                   | 900          | 900          | A      |
| 20211018 | SRG    | 0                   | 244          | 368          | A      |
| 20211018 | SRGA   | 0                   | 827          | 1127         | A      |
| 20211018 | SRI    | 0                   | 178          | 178          | A      |
| 20211018 | SRLN   | 600                 | 1600         | 5113         | A      |
| 20211018 | SRNE   | 0                   | 1905         | 3427         | A      |
| 20211018 | SRPT   | 0                   | 3989         | 8692         | A      |
| 20211018 | SRRR   | 0                   | 0            | 33           | A      |
| 20211018 | SRRK   | 0                   | 252          | 338          | A      |
| 20211018 | SRTY   | 0                   | 3895         | 3895         | A      |
| 20211018 | SRVR   | 0                   | 600          | 800          | A      |
| 20211018 | SSB    | 0                   | 196          | 296          | A      |
| 20211018 | SSD    | 0                   | 0            | 481          | A      |
| 20211018 | SSL    | 0                   | 500          | 1200         | A      |
| 20211018 | SSNC   | 1817                | 2817         | 3621         | A      |
| 20211018 | SSO    | 0                   | 1775         | 1899         | A      |
| 20211018 | SSP    | 0                   | 170          | 186          | A      |
| 20211018 | SSRM   | 0                   | 5537         | 5637         | A      |
| 20211018 | SSSS   | 0                   | 0            | 1622         | A      |
| 20211018 | SSTK   | 0                   | 105          | 105          | A      |
| 20211018 | SSY    | 14                  | 4718         | 6582         | A      |
| 20211018 | SSYS   | 0                   | 439          | 753          | A      |
| 20211018 | ST     | 0                   | 833          | 2367         | A      |
| 20211018 | STAA   | 0                   | 419          | 1153         | A      |
| 20211018 | STAB   | 0                   | 216          | 216          | A      |
| 20211018 | STAG   | 0                   | 108          | 113          | A      |
| 20211018 | STAR   | 0                   | 197          | 250          | A      |
| 20211018 | STBA   | 0                   | 101          | 107          | A      |
| 20211018 | STE    | 0                   | 282          | 305          | A      |
| 20211018 | STEM   | 0                   | 612          | 1509         | A      |
| 20211018 | STEP   | 0                   | 8            | 3138         | A      |
| 20211018 | STER   | 0                   | 0            | 1600         | A      |
| 20211018 | STFC   | 0                   | 6            | 6            | A      |
| 20211018 | STGW   | 0                   | 100          | 100          | A      |
| 20211018 | STIM   | 0                   | 70           | 353          | A      |
| 20211018 | STIP   | 0                   | 998          | 1398         | A      |
| 20211018 | STKL   | 0                   | 330          | 330          | A      |
| 20211018 | STKS   | 0                   | 8            | 8            | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | STL    | 0                   | 4849         | 4882         | A      |
| 20211018 | STLA   | 0                   | 3072         | 4129         | A      |
| 20211018 | STLD   | 0                   | 4620         | 8862         | A      |
| 20211018 | STM    | 0                   | 618          | 718          | A      |
| 20211018 | STN    | 0                   | 300          | 600          | A      |
| 20211018 | STNE   | 0                   | 3976         | 6293         | A      |
| 20211018 | STNG   | 0                   | 244          | 582          | A      |
| 20211018 | STOK   | 0                   | 382          | 382          | A      |
| 20211018 | STON   | 0                   | 0            | 100          | A      |
| 20211018 | STOR   | 0                   | 1120         | 1867         | A      |
| 20211018 | STRA   | 0                   | 98           | 407          | A      |
| 20211018 | STRC   | 0                   | 183          | 513          | A      |
| 20211018 | STRL   | 0                   | 2            | 102          | A      |
| 20211018 | STRO   | 0                   | 100          | 100          | A      |
| 20211018 | STRR   | 0                   | 100          | 640          | A      |
| 20211018 | STT    | 0                   | 3492         | 4482         | A      |
| 20211018 | STTK   | 0                   | 300          | 300          | A      |
| 20211018 | STVN   | 0                   | 0            | 300          | A      |
| 20211018 | STWD   | 0                   | 4143         | 5429         | A      |
| 20211018 | STX    | 0                   | 3646         | 4725         | A      |
| 20211018 | STXB   | 0                   | 3            | 3            | A      |
| 20211018 | STXS   | 432                 | 14132        | 47194        | A      |
| 20211018 | STZ    | 0                   | 985          | 1715         | A      |
| 20211018 | SU     | 0                   | 7867         | 13569        | A      |
| 20211018 | SUB    | 100                 | 100          | 400          | A      |
| 20211018 | SUI    | 0                   | 83           | 87           | A      |
| 20211018 | SUM    | 0                   | 2922         | 3623         | A      |
| 20211018 | SUMO   | 0                   | 1914         | 3692         | A      |
| 20211018 | SUN    | 0                   | 203          | 364          | A      |
| 20211018 | SUNL   | 0                   | 620          | 1343         | A      |
| 20211018 | SUNW   | 0                   | 286          | 385          | A      |
| 20211018 | SUP    | 0                   | 0            | 176          | A      |
| 20211018 | SUPN   | 0                   | 977          | 1083         | A      |
| 20211018 | SURF   | 0                   | 489          | 498          | A      |
| 20211018 | SUSB   | 0                   | 0            | 200          | A      |
| 20211018 | SUZ    | 500                 | 4772         | 5011         | A      |
| 20211018 | SVC    | 0                   | 2601         | 2952         | A      |
| 20211018 | SVFA   | 0                   | 26           | 1705         | A      |
| 20211018 | SVFAU  | 0                   | 0            | 20           | A      |
| 20211018 | SVFC   | 0                   | 200          | 400          | A      |
| 20211018 | SVM    | 21467               | 70421        | 119146       | A      |
| 20211018 | SVRA   | 0                   | 0            | 200          | A      |
| 20211018 | SVT    | 0                   | 1            | 5            | A      |
| 20211018 | SVXY   | 0                   | 2444         | 13121        | A      |



| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20211018 | TARS    | 0                   | 3            | 3            | A      |
| 20211018 | TASK    | 0                   | 428          | 3227         | A      |
| 20211018 | TAST    | 0                   | 398          | 498          | A      |
| 20211018 | TBBK    | 0                   | 234          | 234          | A      |
| 20211018 | TBF     | 0                   | 300          | 3300         | A      |
| 20211018 | TBI     | 0                   | 211          | 211          | A      |
| 20211018 | TBK     | 0                   | 4            | 12           | A      |
| 20211018 | TBLA    | 0                   | 148          | 148          | A      |
| 20211018 | TBLT    | 0                   | 1300         | 21500        | A      |
| 20211018 | TBPH    | 0                   | 569          | 740          | A      |
| 20211018 | TBT     | 200                 | 10022        | 13622        | A      |
| 20211018 | TCBI    | 0                   | 762          | 1340         | A      |
| 20211018 | TCBK    | 0                   | 0            | 100          | A      |
| 20211018 | TCDA    | 0                   | 0            | 112          | A      |
| 20211018 | TCMD    | 0                   | 8            | 26           | A      |
| 20211018 | TCN     | 0                   | 642          | 8117         | A      |
| 20211018 | TCOM    | 0                   | 3926         | 5386         | A      |
| 20211018 | TCPC    | 0                   | 22           | 22           | A      |
| 20211018 | TCRR    | 0                   | 726          | 937          | A      |
| 20211018 | TCRX    | 0                   | 0            | 100          | A      |
| 20211018 | TCS     | 0                   | 100          | 552          | A      |
| 20211018 | TCX     | 0                   | 4            | 37           | A      |
| 20211018 | TD      | 0                   | 3258         | 4760         | A      |
| 20211018 | TDAC    | 0                   | 249          | 249          | A      |
| 20211018 | TDC     | 0                   | 476          | 1504         | A      |
| 20211018 | TDCX    | 0                   | 0            | 411          | A      |
| 20211018 | TDG     | 0                   | 143          | 175          | A      |
| 20211018 | TDOC    | 0                   | 960          | 1971         | A      |
| 20211018 | TDS     | 0                   | 2632         | 3149         | A      |
| 20211018 | TDS PRV | 0                   | 100          | 100          | A      |
| 20211018 | TDUP    | 0                   | 1051         | 1051         | A      |
| 20211018 | TDW     | 0                   | 96           | 364          | A      |
| 20211018 | TDW WS  | 0                   | 0            | 1539         | A      |
| 20211018 | TDY     | 0                   | 228          | 432          | A      |
| 20211018 | TEAM    | 0                   | 644          | 1262         | A      |
| 20211018 | TECH    | 0                   | 101          | 135          | A      |
| 20211018 | TECK    | 524                 | 14295        | 27350        | A      |
| 20211018 | TECL    | 0                   | 720          | 1236         | A      |
| 20211018 | TECS    | 575                 | 2775         | 4575         | A      |
| 20211018 | TEF     | 300                 | 3300         | 4201         | A      |
| 20211018 | TEL     | 0                   | 1486         | 1782         | A      |
| 20211018 | TELL    | 0                   | 11972        | 22083        | A      |
| 20211018 | TEN     | 0                   | 318          | 1324         | A      |
| 20211018 | TENB    | 0                   | 519          | 1451         | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | TEO    | 0                   | 0            | 4            | A      |
| 20211018 | TER    | 0                   | 654          | 1778         | A      |
| 20211018 | TEVA   | 0                   | 4590         | 7554         | A      |
| 20211018 | TEX    | 0                   | 1114         | 2075         | A      |
| 20211018 | TFC    | 0                   | 7260         | 11001        | A      |
| 20211018 | TFFP   | 0                   | 216          | 458          | A      |
| 20211018 | TFI    | 0                   | 900          | 1000         | A      |
| 20211018 | TFII   | 200                 | 414          | 414          | A      |
| 20211018 | TFSL   | 0                   | 205          | 503          | A      |
| 20211018 | TFX    | 0                   | 252          | 527          | A      |
| 20211018 | TGA    | 0                   | 573          | 3203         | A      |
| 20211018 | TGB    | 400                 | 61335        | 151473       | A      |
| 20211018 | TGH    | 0                   | 490          | 780          | A      |
| 20211018 | TGI    | 0                   | 888          | 2502         | A      |
| 20211018 | TGLS   | 0                   | 41           | 442          | A      |
| 20211018 | TGNA   | 0                   | 2854         | 7174         | A      |
| 20211018 | TGP    | 0                   | 178          | 3303         | A      |
| 20211018 | TGT    | 1                   | 13598        | 17067        | A      |
| 20211018 | TGTX   | 0                   | 528          | 1314         | A      |
| 20211018 | TH     | 0                   | 6            | 28           | A      |
| 20211018 | THC    | 0                   | 873          | 2760         | A      |
| 20211018 | THD    | 0                   | 115          | 116          | A      |
| 20211018 | THFF   | 0                   | 0            | 32           | A      |
| 20211018 | THG    | 0                   | 0            | 1            | A      |
| 20211018 | THM    | 2279                | 3828         | 10754        | A      |
| 20211018 | THO    | 0                   | 159          | 405          | A      |
| 20211018 | THR    | 0                   | 420          | 420          | A      |
| 20211018 | THRM   | 0                   | 204          | 249          | A      |
| 20211018 | THRN   | 0                   | 0            | 100          | A      |
| 20211018 | THRY   | 0                   | 53           | 149          | A      |
| 20211018 | THS    | 0                   | 105          | 493          | A      |
| 20211018 | TIG    | 0                   | 1            | 2            | A      |
| 20211018 | TIGO   | 0                   | 0            | 9            | A      |
| 20211018 | TIGR   | 300                 | 27272        | 47656        | A      |
| 20211018 | TIL    | 0                   | 552          | 583          | A      |
| 20211018 | TILE   | 0                   | 32           | 32           | A      |
| 20211018 | TIMB   | 400                 | 2826         | 3562         | A      |
| 20211018 | TIP    | 0                   | 11494        | 14297        | A      |
| 20211018 | TIPT   | 0                   | 24           | 124          | A      |
| 20211018 | TIRX   | 200                 | 200          | 200          | A      |
| 20211018 | TISI   | 0                   | 12           | 392          | A      |
| 20211018 | TITN   | 0                   | 100          | 103          | A      |
| 20211018 | TIXT   | 0                   | 340          | 400          | A      |
| 20211018 | TJX    | 0                   | 7696         | 15838        | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | TK     | 0                   | 1677         | 1677         | A      |
| 20211018 | TKAT   | 6462                | 23573        | 34193        | A      |
| 20211018 | TKC    | 0                   | 200          | 200          | A      |
| 20211018 | TKNO   | 0                   | 1            | 1            | A      |
| 20211018 | TKR    | 0                   | 731          | 731          | A      |
| 20211018 | TLMD   | 0                   | 941          | 949          | A      |
| 20211018 | TLRY   | 2103                | 27564        | 32179        | A      |
| 20211018 | TLS    | 0                   | 618          | 909          | A      |
| 20211018 | TLSA   | 0                   | 0            | 230          | A      |
| 20211018 | TLT    | 0                   | 51376        | 58884        | A      |
| 20211018 | TLYS   | 0                   | 8            | 115          | A      |
| 20211018 | TM     | 0                   | 140          | 360          | A      |
| 20211018 | TMBR   | 3574                | 26331        | 36740        | A      |
| 20211018 | TMC    | 0                   | 4089         | 5794         | A      |
| 20211018 | TMCI   | 0                   | 59           | 59           | A      |
| 20211018 | TMDI   | 0                   | 300          | 500          | A      |
| 20211018 | TMDX   | 0                   | 41           | 105          | A      |
| 20211018 | TME    | 0                   | 20549        | 42929        | A      |
| 20211018 | TMF    | 0                   | 2870         | 3452         | A      |
| 20211018 | TMHC   | 0                   | 231          | 2003         | A      |
| 20211018 | TMO    | 0                   | 2601         | 3552         | A      |
| 20211018 | TMP    | 0                   | 1020         | 2882         | A      |
| 20211018 | TMQ    | 141                 | 15889        | 48582        | A      |
| 20211018 | TMST   | 0                   | 746          | 973          | A      |
| 20211018 | TMUS   | 0                   | 1484         | 5396         | A      |
| 20211018 | TMV    | 0                   | 848          | 849          | A      |
| 20211018 | TMX    | 0                   | 2            | 126          | A      |
| 20211018 | TNA    | 0                   | 1578         | 12496        | A      |
| 20211018 | TNDM   | 10                  | 820          | 1294         | A      |
| 20211018 | TNET   | 0                   | 199          | 274          | A      |
| 20211018 | TNGX   | 0                   | 307          | 402          | A      |
| 20211018 | TNK    | 0                   | 529          | 3222         | A      |
| 20211018 | TNL    | 0                   | 535          | 1094         | A      |
| 20211018 | TNP    | 0                   | 1026         | 3459         | A      |
| 20211018 | TNXP   | 0                   | 0            | 700          | A      |
| 20211018 | TNYA   | 0                   | 1            | 154          | A      |
| 20211018 | TOL    | 1488                | 1532         | 1735         | A      |
| 20211018 | TOPS   | 0                   | 0            | 100          | A      |
| 20211018 | TOST   | 0                   | 2391         | 6284         | A      |
| 20211018 | TOTL   | 0                   | 597          | 837          | A      |
| 20211018 | TOUR   | 682                 | 682          | 682          | A      |
| 20211018 | TOWN   | 0                   | 200          | 400          | A      |
| 20211018 | TPC    | 0                   | 164          | 299          | A      |
| 20211018 | TPGY   | 0                   | 208          | 765          | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | TPH    | 0                   | 1224         | 1806         | A      |
| 20211018 | TPHS   | 135                 | 4246         | 7725         | A      |
| 20211018 | TPIC   | 0                   | 276          | 4214         | A      |
| 20211018 | TPL    | 44                  | 169          | 214          | A      |
| 20211018 | TPR    | 0                   | 2507         | 5023         | A      |
| 20211018 | TPST   | 0                   | 10           | 10           | A      |
| 20211018 | TPTX   | 0                   | 202          | 202          | A      |
| 20211018 | TPX    | 5000                | 8505         | 9630         | A      |
| 20211018 | TPYP   | 0                   | 3000         | 3300         | A      |
| 20211018 | TQQQ   | 0                   | 22694        | 31409        | A      |
| 20211018 | TRC    | 0                   | 6            | 112          | A      |
| 20211018 | TREE   | 0                   | 100          | 118          | A      |
| 20211018 | TREX   | 0                   | 705          | 1220         | A      |
| 20211018 | TRGP   | 0                   | 2414         | 14400        | A      |
| 20211018 | TRHC   | 0                   | 231          | 884          | A      |
| 20211018 | TRI    | 200                 | 3289         | 4053         | A      |
| 20211018 | TRIL   | 0                   | 100          | 602          | A      |
| 20211018 | TRIN   | 0                   | 130          | 409          | A      |
| 20211018 | TRIP   | 0                   | 3672         | 5345         | A      |
| 20211018 | TRIT   | 0                   | 100          | 200          | A      |
| 20211018 | TRMB   | 0                   | 752          | 1134         | A      |
| 20211018 | TRMD   | 0                   | 3063         | 3088         | A      |
| 20211018 | TRMK   | 0                   | 473          | 574          | A      |
| 20211018 | TRN    | 0                   | 224          | 351          | A      |
| 20211018 | TRNO   | 0                   | 162          | 371          | A      |
| 20211018 | TRNS   | 0                   | 28           | 303          | A      |
| 20211018 | TROW   | 0                   | 250          | 1562         | A      |
| 20211018 | TROX   | 0                   | 393          | 598          | A      |
| 20211018 | TRP    | 0                   | 9707         | 12295        | A      |
| 20211018 | TRQ    | 2859                | 3579         | 9674         | A      |
| 20211018 | TRS    | 0                   | 0            | 26           | A      |
| 20211018 | TRST   | 0                   | 100          | 134          | A      |
| 20211018 | TRT    | 0                   | 4            | 801          | A      |
| 20211018 | TRTN   | 100                 | 449          | 635          | A      |
| 20211018 | TRTX   | 0                   | 120          | 120          | A      |
| 20211018 | TRU    | 0                   | 674          | 1230         | A      |
| 20211018 | TRUE   | 0                   | 417          | 517          | A      |
| 20211018 | TRUP   | 0                   | 203          | 215          | A      |
| 20211018 | TRV    | 0                   | 819          | 1652         | A      |
| 20211018 | TRVG   | 0                   | 200          | 400          | A      |
| 20211018 | TRVI   | 0                   | 0            | 900          | A      |
| 20211018 | TRVN   | 0                   | 500          | 1600         | A      |
| 20211018 | TRX    | 6733                | 21776        | 45478        | A      |
| 20211018 | TS     | 100                 | 1566         | 1753         | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | TSC    | 0                   | 0            | 8            | A      |
| 20211018 | TSCO   | 0                   | 1510         | 1712         | A      |
| 20211018 | TSE    | 0                   | 183          | 205          | A      |
| 20211018 | TSEM   | 0                   | 100          | 356          | A      |
| 20211018 | TSHA   | 0                   | 138          | 138          | A      |
| 20211018 | TSLA   | 0                   | 32407        | 49567        | A      |
| 20211018 | TSLX   | 0                   | 105          | 105          | A      |
| 20211018 | TSM    | 122                 | 15360        | 27935        | A      |
| 20211018 | TSN    | 0                   | 712          | 3343         | A      |
| 20211018 | TSOC   | 0                   | 100          | 100          | A      |
| 20211018 | TSP    | 0                   | 3063         | 4280         | A      |
| 20211018 | TSQ    | 0                   | 54           | 254          | A      |
| 20211018 | TT     | 0                   | 418          | 3780         | A      |
| 20211018 | TTC    | 0                   | 112          | 124          | A      |
| 20211018 | TTCF   | 0                   | 805          | 906          | A      |
| 20211018 | TTD    | 0                   | 4806         | 9189         | A      |
| 20211018 | TTE    | 200                 | 4905         | 10779        | A      |
| 20211018 | TTEC   | 0                   | 0            | 102          | A      |
| 20211018 | TTEK   | 0                   | 8            | 8            | A      |
| 20211018 | TTGT   | 0                   | 11           | 98           | A      |
| 20211018 | TTI    | 0                   | 2632         | 6821         | A      |
| 20211018 | TTM    | 0                   | 1032         | 2891         | A      |
| 20211018 | TTMI   | 0                   | 627          | 1800         | A      |
| 20211018 | TTWO   | 0                   | 2411         | 2788         | A      |
| 20211018 | TU     | 200                 | 6720         | 7839         | A      |
| 20211018 | TUEM   | 0                   | 0            | 597          | A      |
| 20211018 | TUFN   | 100                 | 325          | 740          | A      |
| 20211018 | TUP    | 0                   | 48           | 77           | A      |
| 20211018 | TUR    | 0                   | 682          | 1220         | A      |
| 20211018 | TUYA   | 0                   | 408          | 3560         | A      |
| 20211018 | TV     | 0                   | 989          | 1299         | A      |
| 20211018 | TVTX   | 0                   | 516          | 712          | A      |
| 20211018 | TVTY   | 0                   | 218          | 409          | A      |
| 20211018 | TW     | 0                   | 200          | 201          | A      |
| 20211018 | TWI    | 0                   | 29           | 36           | A      |
| 20211018 | TWKS   | 0                   | 1250         | 4207         | A      |
| 20211018 | TWLO   | 0                   | 5018         | 9018         | A      |
| 20211018 | TWM    | 0                   | 14425        | 17267        | A      |
| 20211018 | TWND   | 0                   | 300          | 300          | A      |
| 20211018 | TWNK   | 0                   | 934          | 3175         | A      |
| 20211018 | TWO    | 100                 | 2559         | 2819         | A      |
| 20211018 | TWOU   | 0                   | 201          | 1632         | A      |
| 20211018 | TWST   | 0                   | 131          | 1053         | A      |
| 20211018 | TWTR   | 0                   | 14016        | 27559        | A      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | TX     | 0                   | 2            | 102          | A      |
| 20211018 | TXG    | 0                   | 731          | 3134         | A      |
| 20211018 | TXMD   | 0                   | 752          | 1052         | A      |
| 20211018 | TXN    | 0                   | 3186         | 4899         | A      |
| 20211018 | TXRH   | 0                   | 2424         | 2898         | A      |
| 20211018 | TXT    | 0                   | 496          | 900          | A      |
| 20211018 | TY     | 0                   | 241          | 341          | A      |
| 20211018 | TYD    | 0                   | 845          | 963          | A      |
| 20211018 | TYL    | 0                   | 14           | 42           | A      |
| 20211018 | TYME   | 0                   | 1000         | 1000         | A      |
| 20211018 | TZA    | 7                   | 6829         | 14901        | A      |
| 20211018 | U      | 0                   | 1660         | 4690         | A      |
| 20211018 | UA     | 0                   | 1660         | 2688         | A      |
| 20211018 | UAA    | 4026                | 10430        | 18305        | A      |
| 20211018 | UAL    | 0                   | 38214        | 41787        | A      |
| 20211018 | UAMY   | 19816               | 69690        | 95013        | A      |
| 20211018 | UAVS   | 1678                | 72830        | 114317       | A      |
| 20211018 | UBA    | 0                   | 279          | 338          | A      |
| 20211018 | UBER   | 0                   | 25920        | 42710        | A      |
| 20211018 | UBS    | 0                   | 1898         | 2319         | A      |
| 20211018 | UBSI   | 0                   | 373          | 373          | A      |
| 20211018 | UBX    | 0                   | 0            | 601          | A      |
| 20211018 | UCBI   | 0                   | 123          | 801          | A      |
| 20211018 | UCL    | 0                   | 842          | 1835         | A      |
| 20211018 | UCO    | 0                   | 400          | 1290         | A      |
| 20211018 | UCON   | 0                   | 100          | 200          | A      |
| 20211018 | UCTT   | 0                   | 1            | 95           | A      |
| 20211018 | UDOW   | 0                   | 76           | 76           | A      |
| 20211018 | UDR    | 0                   | 1589         | 2954         | A      |
| 20211018 | UE     | 0                   | 183          | 183          | A      |
| 20211018 | UEC    | 8845                | 291266       | 839021       | A      |
| 20211018 | UEIC   | 0                   | 119          | 120          | A      |
| 20211018 | UFAB   | 101                 | 2868         | 4334         | A      |
| 20211018 | UFI    | 0                   | 3            | 3            | A      |
| 20211018 | UFO    | 0                   | 73           | 73           | A      |
| 20211018 | UFPI   | 0                   | 0            | 166          | A      |
| 20211018 | UFPT   | 0                   | 1            | 1            | A      |
| 20211018 | UFS    | 0                   | 2894         | 3637         | A      |
| 20211018 | UGA    | 0                   | 200          | 200          | A      |
| 20211018 | UGI    | 0                   | 1340         | 1927         | A      |
| 20211018 | UGL    | 0                   | 1            | 1            | A      |
| 20211018 | UGP    | 0                   | 985          | 1206         | A      |
| 20211018 | UGRO   | 0                   | 5            | 5            | A      |
| 20211018 | UHAL   | 0                   | 65           | 73           | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20211018 | UHS     | 0                   | 435          | 567          | A      |
| 20211018 | UI      | 0                   | 0            | 11           | A      |
| 20211018 | UIS     | 0                   | 495          | 597          | A      |
| 20211018 | UL      | 0                   | 5197         | 7014         | A      |
| 20211018 | ULBI    | 0                   | 0            | 1            | A      |
| 20211018 | ULCC    | 0                   | 502          | 959          | A      |
| 20211018 | ULTA    | 0                   | 585          | 1262         | A      |
| 20211018 | UMBF    | 0                   | 0            | 147          | A      |
| 20211018 | UMC     | 0                   | 28320        | 30716        | A      |
| 20211018 | UMH     | 0                   | 68           | 176          | A      |
| 20211018 | UMPQ    | 100                 | 7355         | 11154        | A      |
| 20211018 | UNFI    | 0                   | 91           | 193          | A      |
| 20211018 | UNG     | 100                 | 22102        | 25247        | A      |
| 20211018 | UNH     | 146                 | 6912         | 13533        | A      |
| 20211018 | UNIT    | 0                   | 2089         | 2516         | A      |
| 20211018 | UNM     | 0                   | 292          | 676          | A      |
| 20211018 | UNP     | 0                   | 4796         | 5548         | A      |
| 20211018 | UNVR    | 0                   | 877          | 1789         | A      |
| 20211018 | UONEK   | 0                   | 20           | 137          | A      |
| 20211018 | UP      | 0                   | 1060         | 1848         | A      |
| 20211018 | UPC     | 0                   | 0            | 100          | A      |
| 20211018 | UPH     | 0                   | 100          | 802          | A      |
| 20211018 | UPLD    | 0                   | 46           | 248          | A      |
| 20211018 | UPRO    | 0                   | 384          | 1199         | A      |
| 20211018 | UPS     | 0                   | 1463         | 4665         | A      |
| 20211018 | UPST    | 0                   | 6658         | 9798         | A      |
| 20211018 | UPWK    | 0                   | 411          | 879          | A      |
| 20211018 | URA     | 0                   | 901          | 2646         | A      |
| 20211018 | URBN    | 0                   | 988          | 1611         | A      |
| 20211018 | URG     | 15193               | 215788       | 384173       | A      |
| 20211018 | URGN    | 0                   | 193          | 220          | A      |
| 20211018 | URI     | 0                   | 489          | 755          | A      |
| 20211018 | URNM    | 0                   | 1087         | 1587         | A      |
| 20211018 | UROY    | 100                 | 887          | 1235         | A      |
| 20211018 | URTH    | 0                   | 0            | 300          | A      |
| 20211018 | URTY    | 0                   | 0            | 537          | A      |
| 20211018 | USA     | 0                   | 5586         | 5786         | A      |
| 20211018 | USAC    | 0                   | 640          | 719          | A      |
| 20211018 | USAK    | 0                   | 100          | 100          | A      |
| 20211018 | USAS    | 160                 | 66551        | 91570        | A      |
| 20211018 | USB     | 0                   | 8352         | 10922        | A      |
| 20211018 | USB PRH | 0                   | 68           | 68           | A      |
| 20211018 | USFD    | 0                   | 747          | 1271         | A      |
| 20211018 | USFR    | 0                   | 0            | 100          | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | USHY   | 0                   | 2656         | 12449        | A      |
| 20211018 | USIG   | 0                   | 1300         | 9308         | A      |
| 20211018 | USM    | 0                   | 239          | 239          | A      |
| 20211018 | USMV   | 0                   | 14043        | 16565        | A      |
| 20211018 | USNA   | 0                   | 1            | 270          | A      |
| 20211018 | USO    | 0                   | 17431        | 19318        | A      |
| 20211018 | USPH   | 0                   | 50           | 100          | A      |
| 20211018 | USRT   | 0                   | 400          | 500          | A      |
| 20211018 | USWS   | 0                   | 340          | 440          | A      |
| 20211018 | USX    | 0                   | 740          | 740          | A      |
| 20211018 | UTF    | 0                   | 0            | 495          | A      |
| 20211018 | UTG    | 460                 | 25042        | 32244        | A      |
| 20211018 | UTHR   | 0                   | 955          | 1863         | A      |
| 20211018 | UTI    | 0                   | 1            | 91           | A      |
| 20211018 | UTL    | 0                   | 9            | 9            | A      |
| 20211018 | UTZ    | 0                   | 340          | 744          | A      |
| 20211018 | UUP    | 0                   | 515          | 30315        | A      |
| 20211018 | UUU    | 377                 | 2201         | 4721         | A      |
| 20211018 | UUUU   | 23100               | 343836       | 798713       | A      |
| 20211018 | UVXY   | 600                 | 124269       | 182564       | A      |
| 20211018 | UWM    | 0                   | 3643         | 5849         | A      |
| 20211018 | UWMC   | 100                 | 2793         | 3245         | A      |
| 20211018 | UXIN   | 0                   | 400          | 1368         | A      |
| 20211018 | UYG    | 0                   | 22           | 22           | A      |
| 20211018 | UZE    | 0                   | 17           | 17           | A      |
| 20211018 | V      | 0                   | 16873        | 41673        | A      |
| 20211018 | VAC    | 0                   | 315          | 572          | A      |
| 20211018 | VAL    | 0                   | 418          | 418          | A      |
| 20211018 | VALE   | 3560                | 63608        | 84520        | A      |
| 20211018 | VATE   | 0                   | 0            | 300          | A      |
| 20211018 | VAW    | 0                   | 0            | 2            | A      |
| 20211018 | VB     | 0                   | 391          | 391          | A      |
| 20211018 | VBIV   | 0                   | 522          | 622          | A      |
| 20211018 | VBR    | 0                   | 187          | 196          | A      |
| 20211018 | VBTX   | 0                   | 5            | 5            | A      |
| 20211018 | VC     | 0                   | 147          | 150          | A      |
| 20211018 | VCEL   | 0                   | 57           | 57           | A      |
| 20211018 | VCF    | 0                   | 308          | 758          | A      |
| 20211018 | VCIT   | 100                 | 6966         | 10891        | A      |
| 20211018 | VCLT   | 0                   | 0            | 7700         | A      |
| 20211018 | VCRA   | 0                   | 543          | 556          | A      |
| 20211018 | VCSH   | 0                   | 1700         | 2200         | A      |
| 20211018 | VCTR   | 0                   | 193          | 428          | A      |
| 20211018 | VCYT   | 0                   | 100          | 936          | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20211018 | VDE     | 0                   | 8825         | 11487        | A      |
| 20211018 | VEA     | 0                   | 18325        | 21474        | A      |
| 20211018 | VECO    | 0                   | 305          | 503          | A      |
| 20211018 | VEDL    | 321                 | 6588         | 13275        | A      |
| 20211018 | VEEV    | 0                   | 239          | 407          | A      |
| 20211018 | VEI     | 0                   | 124          | 343          | A      |
| 20211018 | VEON    | 0                   | 11090        | 12890        | A      |
| 20211018 | VER     | 0                   | 1854         | 2061         | A      |
| 20211018 | VERA    | 0                   | 150          | 252          | A      |
| 20211018 | VERB    | 0                   | 357          | 457          | A      |
| 20211018 | VERI    | 0                   | 32           | 132          | A      |
| 20211018 | VERU    | 0                   | 561          | 614          | A      |
| 20211018 | VERV    | 0                   | 2113         | 2401         | A      |
| 20211018 | VET     | 700                 | 4941         | 6221         | A      |
| 20211018 | VEU     | 0                   | 7698         | 8401         | A      |
| 20211018 | VFC     | 0                   | 2978         | 7087         | A      |
| 20211018 | VFF     | 0                   | 701          | 876          | A      |
| 20211018 | VFH     | 0                   | 1383         | 1590         | A      |
| 20211018 | VFL     | 0                   | 0            | 143          | A      |
| 20211018 | VG      | 0                   | 210          | 556          | A      |
| 20211018 | VGFC    | 600                 | 800          | 1424         | A      |
| 20211018 | VGIT    | 0                   | 787          | 1887         | A      |
| 20211018 | VGK     | 1300                | 7839         | 10064        | A      |
| 20211018 | VGLT    | 0                   | 11516        | 14016        | A      |
| 20211018 | VGM     | 0                   | 100          | 100          | A      |
| 20211018 | VGR     | 0                   | 1208         | 1627         | A      |
| 20211018 | VGSH    | 0                   | 0            | 491          | A      |
| 20211018 | VGT     | 0                   | 807          | 929          | A      |
| 20211018 | VGZ     | 169                 | 969          | 10268        | A      |
| 20211018 | VHAQ WS | 6741                | 6741         | 7841         | A      |
| 20211018 | VHI     | 0                   | 27           | 27           | A      |
| 20211018 | VHT     | 0                   | 8            | 8            | A      |
| 20211018 | VIA     | 100                 | 132          | 261          | A      |
| 20211018 | VIAC    | 0                   | 10447        | 27452        | A      |
| 20211018 | VIACA   | 0                   | 0            | 2            | A      |
| 20211018 | VIAV    | 0                   | 2288         | 3225         | A      |
| 20211018 | VICI    | 0                   | 5782         | 10663        | A      |
| 20211018 | VICR    | 0                   | 45           | 46           | A      |
| 20211018 | VIEW    | 0                   | 124          | 184          | A      |
| 20211018 | VIG     | 0                   | 182          | 222          | A      |
| 20211018 | VIGI    | 0                   | 90           | 90           | A      |
| 20211018 | VINC    | 0                   | 17           | 17           | A      |
| 20211018 | VIOT    | 0                   | 200          | 200          | A      |
| 20211018 | VIOV    | 0                   | 6            | 6            | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | VIPS   | 0                   | 5881         | 9084         | A      |
| 20211018 | VIR    | 0                   | 152          | 152          | A      |
| 20211018 | VIRT   | 0                   | 1811         | 2512         | A      |
| 20211018 | VIRX   | 167                 | 199          | 592          | A      |
| 20211018 | VIS    | 0                   | 100          | 100          | A      |
| 20211018 | VITL   | 0                   | 73           | 513          | A      |
| 20211018 | VIV    | 0                   | 2986         | 2986         | A      |
| 20211018 | VIVO   | 0                   | 242          | 242          | A      |
| 20211018 | VIXY   | 0                   | 5286         | 14890        | A      |
| 20211018 | VKI    | 0                   | 200          | 4342         | A      |
| 20211018 | VKTX   | 0                   | 1200         | 1200         | A      |
| 20211018 | VLCN   | 0                   | 442          | 8798         | A      |
| 20211018 | VLD    | 0                   | 998          | 1098         | A      |
| 20211018 | VLDR   | 0                   | 3432         | 3448         | A      |
| 20211018 | VLGEA  | 0                   | 4            | 4            | A      |
| 20211018 | VLN    | 0                   | 0            | 140          | A      |
| 20211018 | VLO    | 0                   | 3774         | 6738         | A      |
| 20211018 | VLRS   | 0                   | 259          | 259          | A      |
| 20211018 | VLTA   | 100                 | 200          | 200          | A      |
| 20211018 | VLUE   | 200                 | 793          | 1230         | A      |
| 20211018 | VLY    | 0                   | 1527         | 1734         | A      |
| 20211018 | VLYPO  | 0                   | 3            | 3            | A      |
| 20211018 | VMBS   | 0                   | 538          | 3238         | A      |
| 20211018 | VMC    | 0                   | 2282         | 3073         | A      |
| 20211018 | VMD    | 0                   | 200          | 200          | A      |
| 20211018 | VMEO   | 0                   | 618          | 818          | A      |
| 20211018 | VMI    | 0                   | 0            | 86           | A      |
| 20211018 | VMM    | 0                   | 1400         | 2102         | A      |
| 20211018 | VMW    | 0                   | 1948         | 2235         | A      |
| 20211018 | VNDA   | 0                   | 458          | 620          | A      |
| 20211018 | VNE    | 0                   | 953          | 1206         | A      |
| 20211018 | VNET   | 0                   | 1899         | 3125         | A      |
| 20211018 | VNLA   | 0                   | 968          | 968          | A      |
| 20211018 | VNO    | 0                   | 800          | 902          | A      |
| 20211018 | VNOM   | 0                   | 566          | 2201         | A      |
| 20211018 | VNQ    | 0                   | 13188        | 16591        | A      |
| 20211018 | VNQI   | 0                   | 0            | 200          | A      |
| 20211018 | VNRX   | 109                 | 1761         | 10506        | A      |
| 20211018 | VNT    | 0                   | 1342         | 4866         | A      |
| 20211018 | VO     | 100                 | 2539         | 3107         | A      |
| 20211018 | VOD    | 0                   | 12878        | 14154        | A      |
| 20211018 | VOE    | 0                   | 332          | 332          | A      |
| 20211018 | VOLT   | 1112                | 6557         | 12126        | A      |
| 20211018 | VONG   | 0                   | 0            | 397          | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | VOO    | 0                   | 1903         | 3075         | A      |
| 20211018 | VOOG   | 0                   | 75           | 75           | A      |
| 20211018 | VOOV   | 0                   | 200          | 200          | A      |
| 20211018 | VOR    | 0                   | 174          | 174          | A      |
| 20211018 | VOXX   | 0                   | 20           | 20           | A      |
| 20211018 | VOYA   | 0                   | 2770         | 3662         | A      |
| 20211018 | VPG    | 0                   | 100          | 100          | A      |
| 20211018 | VPL    | 0                   | 1000         | 1607         | A      |
| 20211018 | VPU    | 0                   | 67           | 72           | A      |
| 20211018 | VQS    | 0                   | 0            | 77           | A      |
| 20211018 | VRA    | 0                   | 7            | 144          | A      |
| 20211018 | VRDN   | 0                   | 0            | 100          | A      |
| 20211018 | VREX   | 0                   | 109          | 211          | A      |
| 20211018 | VRM    | 0                   | 1326         | 3623         | A      |
| 20211018 | VRNS   | 0                   | 303          | 412          | A      |
| 20211018 | VRNT   | 0                   | 228          | 228          | A      |
| 20211018 | VRP    | 0                   | 200          | 400          | A      |
| 20211018 | VRRM   | 0                   | 73           | 111          | A      |
| 20211018 | VRS    | 0                   | 5            | 176          | A      |
| 20211018 | VRSK   | 0                   | 444          | 2319         | A      |
| 20211018 | VRSN   | 0                   | 714          | 875          | A      |
| 20211018 | VRT    | 0                   | 9531         | 11946        | A      |
| 20211018 | VRTS   | 0                   | 115          | 162          | A      |
| 20211018 | VRTX   | 0                   | 2141         | 4558         | A      |
| 20211018 | VS     | 1215                | 1300         | 1300         | A      |
| 20211018 | VSAT   | 0                   | 701          | 1089         | A      |
| 20211018 | VSCO   | 0                   | 198          | 1363         | A      |
| 20211018 | VSEC   | 0                   | 20           | 20           | A      |
| 20211018 | VSH    | 0                   | 73           | 174          | A      |
| 20211018 | VSS    | 0                   | 63           | 63           | A      |
| 20211018 | VST    | 0                   | 1673         | 2695         | A      |
| 20211018 | VSTM   | 0                   | 821          | 1021         | A      |
| 20211018 | VSTO   | 0                   | 11           | 249          | A      |
| 20211018 | VT     | 0                   | 91812        | 113633       | A      |
| 20211018 | VTEB   | 0                   | 1308         | 1308         | A      |
| 20211018 | VTEX   | 0                   | 100          | 3865         | A      |
| 20211018 | VTGN   | 0                   | 0            | 100          | A      |
| 20211018 | VTI    | 0                   | 8892         | 12673        | A      |
| 20211018 | VTIP   | 0                   | 954          | 1654         | A      |
| 20211018 | VTNR   | 0                   | 915          | 3760         | A      |
| 20211018 | VTOL   | 0                   | 127          | 127          | A      |
| 20211018 | VTR    | 0                   | 2932         | 3439         | A      |
| 20211018 | VTRS   | 0                   | 3917         | 14065        | A      |
| 20211018 | VTSI   | 0                   | 4            | 166          | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | VTV    | 0                   | 400          | 500          | A      |
| 20211018 | VTVT   | 0                   | 200          | 406          | A      |
| 20211018 | VTWO   | 0                   | 11490        | 11790        | A      |
| 20211018 | VUG    | 0                   | 35           | 133          | A      |
| 20211018 | VUSB   | 0                   | 0            | 200          | A      |
| 20211018 | VUZI   | 0                   | 203          | 677          | A      |
| 20211018 | VV     | 0                   | 200          | 500          | A      |
| 20211018 | VVI    | 0                   | 100          | 101          | A      |
| 20211018 | VVNT   | 0                   | 2833         | 4382         | A      |
| 20211018 | VVOS   | 0                   | 100          | 200          | A      |
| 20211018 | VVR    | 0                   | 0            | 200          | A      |
| 20211018 | VVV    | 0                   | 514          | 915          | A      |
| 20211018 | VWE    | 0                   | 103          | 605          | A      |
| 20211018 | VWO    | 0                   | 19617        | 38965        | A      |
| 20211018 | VWOB   | 0                   | 200          | 200          | A      |
| 20211018 | VXF    | 297                 | 2311         | 3111         | A      |
| 20211018 | VXRT   | 300                 | 1202         | 1209         | A      |
| 20211018 | VXUS   | 0                   | 9084         | 10530        | A      |
| 20211018 | VXX    | 610                 | 132484       | 264710       | A      |
| 20211018 | VYGR   | 0                   | 503          | 1351         | A      |
| 20211018 | VYM    | 0                   | 421          | 421          | A      |
| 20211018 | VYNE   | 0                   | 203          | 1103         | A      |
| 20211018 | VZ     | 0                   | 43962        | 64644        | A      |
| 20211018 | VZIO   | 0                   | 603          | 603          | A      |
| 20211018 | W      | 0                   | 1913         | 2216         | A      |
| 20211018 | WAB    | 0                   | 2687         | 3087         | A      |
| 20211018 | WAFD   | 0                   | 22           | 307          | A      |
| 20211018 | WAL    | 0                   | 255          | 957          | A      |
| 20211018 | WANT   | 0                   | 515          | 515          | A      |
| 20211018 | WAT    | 0                   | 185          | 228          | A      |
| 20211018 | WATT   | 0                   | 220          | 820          | A      |
| 20211018 | WB     | 0                   | 207          | 768          | A      |
| 20211018 | WBA    | 0                   | 3053         | 12358        | A      |
| 20211018 | WBK    | 0                   | 3            | 105          | A      |
| 20211018 | WBS    | 0                   | 235          | 262          | A      |
| 20211018 | WBT    | 0                   | 930          | 1899         | A      |
| 20211018 | WBX    | 0                   | 0            | 222          | A      |
| 20211018 | WBX WS | 0                   | 269          | 1000         | A      |
| 20211018 | WCC    | 0                   | 450          | 515          | A      |
| 20211018 | WCN    | 0                   | 359          | 5525         | A      |
| 20211018 | WD     | 0                   | 40           | 70           | A      |
| 20211018 | WDAY   | 0                   | 239          | 3467         | A      |
| 20211018 | WDC    | 0                   | 5440         | 8346         | A      |
| 20211018 | WDFC   | 0                   | 7            | 85           | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | WEAT   | 0                   | 0            | 100          | A      |
| 20211018 | WEBL   | 0                   | 0            | 95           | A      |
| 20211018 | WEBR   | 0                   | 287          | 1186         | A      |
| 20211018 | WEC    | 0                   | 1126         | 1621         | A      |
| 20211018 | WELL   | 0                   | 442          | 1810         | A      |
| 20211018 | WEN    | 0                   | 832          | 2026         | A      |
| 20211018 | WERN   | 0                   | 222          | 422          | A      |
| 20211018 | WES    | 20                  | 929          | 1445         | A      |
| 20211018 | WETF   | 0                   | 496          | 1093         | A      |
| 20211018 | WEX    | 0                   | 543          | 2164         | A      |
| 20211018 | WEYS   | 0                   | 5            | 15           | A      |
| 20211018 | WFC    | 2289                | 156703       | 182124       | A      |
| 20211018 | WFG    | 0                   | 785          | 1292         | A      |
| 20211018 | WFRD   | 0                   | 32           | 148          | A      |
| 20211018 | WGO    | 0                   | 827          | 1482         | A      |
| 20211018 | WH     | 0                   | 1            | 1170         | A      |
| 20211018 | WHD    | 0                   | 1057         | 1505         | A      |
| 20211018 | WHR    | 0                   | 901          | 1592         | A      |
| 20211018 | WIMI   | 0                   | 200          | 830          | A      |
| 20211018 | WINA   | 0                   | 40           | 40           | A      |
| 20211018 | WINC   | 0                   | 0            | 381          | A      |
| 20211018 | WING   | 0                   | 409          | 409          | A      |
| 20211018 | WINT   | 0                   | 0            | 200          | A      |
| 20211018 | WIRE   | 0                   | 100          | 101          | A      |
| 20211018 | WISH   | 2200                | 30347        | 47531        | A      |
| 20211018 | WIT    | 0                   | 2105         | 5670         | A      |
| 20211018 | WIX    | 0                   | 851          | 1956         | A      |
| 20211018 | WK     | 0                   | 1716         | 5005         | A      |
| 20211018 | WKEY   | 0                   | 200          | 1201         | A      |
| 20211018 | WKHS   | 0                   | 2345         | 3828         | A      |
| 20211018 | WKME   | 0                   | 100          | 353          | A      |
| 20211018 | WLDN   | 0                   | 0            | 7            | A      |
| 20211018 | WLK    | 0                   | 1117         | 2278         | A      |
| 20211018 | WLKP   | 0                   | 0            | 192          | A      |
| 20211018 | WLL    | 0                   | 146          | 1128         | A      |
| 20211018 | WLMS   | 10                  | 1552         | 3998         | A      |
| 20211018 | WLTW   | 0                   | 480          | 2804         | A      |
| 20211018 | WM     | 0                   | 3433         | 4529         | A      |
| 20211018 | WMB    | 0                   | 25736        | 39125        | A      |
| 20211018 | WMC    | 0                   | 16           | 116          | A      |
| 20211018 | WMG    | 0                   | 205          | 1772         | A      |
| 20211018 | WMK    | 0                   | 201          | 781          | A      |
| 20211018 | WMS    | 0                   | 22           | 636          | A      |
| 20211018 | WMT    | 0                   | 10961        | 50866        | A      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | WNC    | 0                   | 174          | 175          | A      |
| 20211018 | WNS    | 0                   | 0            | 100          | A      |
| 20211018 | WOLF   | 0                   | 495          | 495          | A      |
| 20211018 | WOOF   | 0                   | 1762         | 3697         | A      |
| 20211018 | WOR    | 0                   | 227          | 245          | A      |
| 20211018 | WPC    | 0                   | 623          | 1319         | A      |
| 20211018 | WPM    | 0                   | 565          | 1363         | A      |
| 20211018 | WPP    | 0                   | 1            | 1            | A      |
| 20211018 | WPRT   | 0                   | 600          | 1084         | A      |
| 20211018 | WRAP   | 0                   | 0            | 57           | A      |
| 20211018 | WRB    | 0                   | 70           | 392          | A      |
| 20211018 | WRBY   | 0                   | 222          | 258          | A      |
| 20211018 | WRE    | 0                   | 200          | 318          | A      |
| 20211018 | WRK    | 0                   | 1807         | 6389         | A      |
| 20211018 | WRLD   | 0                   | 2            | 2            | A      |
| 20211018 | WRN    | 3306                | 56825        | 101455       | A      |
| 20211018 | WSBC   | 0                   | 587          | 715          | A      |
| 20211018 | WSBF   | 0                   | 0            | 235          | A      |
| 20211018 | WSC    | 13222               | 16046        | 19167        | A      |
| 20211018 | WSFS   | 0                   | 23           | 696          | A      |
| 20211018 | WSM    | 0                   | 1482         | 1890         | A      |
| 20211018 | WSR    | 0                   | 201          | 202          | A      |
| 20211018 | WST    | 0                   | 627          | 749          | A      |
| 20211018 | WTBA   | 0                   | 3            | 3            | A      |
| 20211018 | WTER   | 0                   | 200          | 308          | A      |
| 20211018 | WTFC   | 0                   | 195          | 878          | A      |
| 20211018 | WTI    | 0                   | 2770         | 2875         | A      |
| 20211018 | WTM    | 0                   | 1            | 4            | A      |
| 20211018 | WTMF   | 0                   | 300          | 300          | A      |
| 20211018 | WTRG   | 0                   | 651          | 1291         | A      |
| 20211018 | WTRH   | 200                 | 25040        | 37470        | A      |
| 20211018 | WTS    | 0                   | 2            | 2            | A      |
| 20211018 | WTT    | 25                  | 781          | 6444         | A      |
| 20211018 | WTTR   | 0                   | 20           | 20           | A      |
| 20211018 | WU     | 0                   | 2852         | 6430         | A      |
| 20211018 | WVE    | 0                   | 116          | 155          | A      |
| 20211018 | WW     | 0                   | 2541         | 5046         | A      |
| 20211018 | WWD    | 0                   | 280          | 832          | A      |
| 20211018 | WWE    | 0                   | 1326         | 1642         | A      |
| 20211018 | WWR    | 751                 | 36697        | 59669        | A      |
| 20211018 | WWW    | 0                   | 479          | 656          | A      |
| 20211018 | WY     | 0                   | 2940         | 7631         | A      |
| 20211018 | WYNN   | 2                   | 696          | 2481         | A      |
| 20211018 | WYY    | 12                  | 476          | 1885         | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | X      | 400                 | 16197        | 20086        | A      |
| 20211018 | XAIR   | 0                   | 0            | 103          | A      |
| 20211018 | XBI    | 0                   | 13770        | 21229        | A      |
| 20211018 | XBIT   | 0                   | 100          | 177          | A      |
| 20211018 | XEL    | 0                   | 3302         | 17830        | A      |
| 20211018 | XELA   | 0                   | 15576        | 21946        | A      |
| 20211018 | XENE   | 378                 | 1458         | 3183         | A      |
| 20211018 | XENT   | 0                   | 272          | 472          | A      |
| 20211018 | XERS   | 200                 | 3717         | 5930         | A      |
| 20211018 | XFLT   | 0                   | 0            | 969          | A      |
| 20211018 | XHB    | 1310                | 14487        | 16847        | A      |
| 20211018 | XHR    | 0                   | 1            | 90           | A      |
| 20211018 | XIN    | 0                   | 500          | 500          | A      |
| 20211018 | XL     | 0                   | 1671         | 2091         | A      |
| 20211018 | XLB    | 240                 | 5178         | 6905         | A      |
| 20211018 | XLC    | 600                 | 21608        | 23560        | A      |
| 20211018 | XLE    | 214                 | 85230        | 126065       | A      |
| 20211018 | XLF    | 7600                | 77095        | 93488        | A      |
| 20211018 | XLG    | 0                   | 31           | 35           | A      |
| 20211018 | XLI    | 700                 | 16540        | 19652        | A      |
| 20211018 | XLK    | 0                   | 22859        | 28804        | A      |
| 20211018 | XLNX   | 0                   | 2192         | 2705         | A      |
| 20211018 | XLP    | 1800                | 48763        | 57441        | A      |
| 20211018 | XLRE   | 0                   | 5122         | 6142         | A      |
| 20211018 | XLRN   | 0                   | 60           | 260          | A      |
| 20211018 | XLU    | 0                   | 18921        | 24457        | A      |
| 20211018 | XLV    | 0                   | 74503        | 101434       | A      |
| 20211018 | XLY    | 0                   | 12842        | 15572        | A      |
| 20211018 | XM     | 0                   | 2601         | 3607         | A      |
| 20211018 | XME    | 0                   | 3576         | 7722         | A      |
| 20211018 | XMTR   | 0                   | 823          | 953          | A      |
| 20211018 | XNCR   | 0                   | 371          | 371          | A      |
| 20211018 | XNET   | 0                   | 100          | 200          | A      |
| 20211018 | XOG    | 0                   | 0            | 208          | A      |
| 20211018 | XOM    | 80                  | 36156        | 54257        | A      |
| 20211018 | XOMA   | 0                   | 1            | 51           | A      |
| 20211018 | XONE   | 0                   | 0            | 70           | A      |
| 20211018 | XOP    | 0                   | 6383         | 39255        | A      |
| 20211018 | XOS    | 284                 | 285          | 1386         | A      |
| 20211018 | XP     | 0                   | 4058         | 6445         | A      |
| 20211018 | XPDI   | 0                   | 600          | 3128         | A      |
| 20211018 | XPEL   | 0                   | 0            | 44           | A      |
| 20211018 | XPER   | 0                   | 362          | 564          | A      |
| 20211018 | XPEV   | 903                 | 37321        | 61234        | A      |

| Date     | Symbol   | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|----------|---------------------|--------------|--------------|--------|
| 20211018 | XPL      | 2184                | 18432        | 26081        | A      |
| 20211018 | XPO      | 0                   | 128          | 857          | A      |
| 20211018 | XPOF     | 0                   | 500          | 900          | A      |
| 20211018 | XPRO     | 0                   | 111          | 200          | A      |
| 20211018 | XRAY     | 0                   | 1884         | 6492         | A      |
| 20211018 | XRT      | 0                   | 9932         | 12083        | A      |
| 20211018 | XRTX     | 0                   | 100          | 100          | A      |
| 20211018 | XRX      | 0                   | 437          | 1559         | A      |
| 20211018 | XSLV     | 0                   | 200          | 200          | A      |
| 20211018 | XSPA     | 0                   | 6309         | 7709         | A      |
| 20211018 | XSW      | 0                   | 3            | 3            | A      |
| 20211018 | XT       | 0                   | 109          | 109          | A      |
| 20211018 | XTN      | 0                   | 67           | 80           | A      |
| 20211018 | XTNT     | 33                  | 1831         | 5036         | A      |
| 20211018 | XXII     | 0                   | 605          | 1305         | A      |
| 20211018 | XYL      | 0                   | 870          | 2698         | A      |
| 20211018 | XYLD     | 0                   | 1400         | 1400         | A      |
| 20211018 | Y        | 0                   | 241          | 434          | A      |
| 20211018 | YAC WS   | 0                   | 0            | 600          | A      |
| 20211018 | YALA     | 0                   | 308          | 308          | A      |
| 20211018 | YANG     | 100                 | 1000         | 1201         | A      |
| 20211018 | YCBD     | 0                   | 10252        | 16289        | A      |
| 20211018 | YCBD PRA | 0                   | 0            | 600          | A      |
| 20211018 | YELL     | 0                   | 927          | 942          | A      |
| 20211018 | YELP     | 0                   | 655          | 1320         | A      |
| 20211018 | YETI     | 0                   | 528          | 3367         | A      |
| 20211018 | YEXT     | 0                   | 5482         | 7630         | A      |
| 20211018 | YI       | 0                   | 2            | 2            | A      |
| 20211018 | YINN     | 700                 | 6482         | 11675        | A      |
| 20211018 | YMAB     | 0                   | 116          | 116          | A      |
| 20211018 | YMM      | 0                   | 300          | 304          | A      |
| 20211018 | YNDX     | 0                   | 243          | 1085         | A      |
| 20211018 | YOLO     | 0                   | 0            | 260          | A      |
| 20211018 | YORW     | 0                   | 2            | 4            | A      |
| 20211018 | YOU      | 0                   | 7            | 92           | A      |
| 20211018 | YPF      | 0                   | 4901         | 6793         | A      |
| 20211018 | YSG      | 0                   | 562          | 4850         | A      |
| 20211018 | YUM      | 0                   | 2773         | 5738         | A      |
| 20211018 | YUMC     | 0                   | 4082         | 6536         | A      |
| 20211018 | YVR      | 0                   | 1300         | 1300         | A      |
| 20211018 | YY       | 0                   | 85           | 5576         | A      |
| 20211018 | Z        | 104                 | 5292         | 28730        | A      |
| 20211018 | ZBH      | 32                  | 2291         | 3258         | A      |
| 20211018 | ZBRA     | 0                   | 310          | 323          | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20211018 | ZD     | 0                   | 1701         | 2703         | A      |
| 20211018 | ZDGE   | 87                  | 7398         | 19147        | A      |
| 20211018 | ZEN    | 0                   | 53           | 3963         | A      |
| 20211018 | ZEPP   | 0                   | 200          | 315          | A      |
| 20211018 | ZETA   | 0                   | 200          | 200          | A      |
| 20211018 | ZEV    | 0                   | 1258         | 1600         | A      |
| 20211018 | ZG     | 5                   | 388          | 767          | A      |
| 20211018 | ZGNX   | 0                   | 1054         | 2165         | A      |
| 20211018 | ZH     | 0                   | 242          | 2130         | A      |
| 20211018 | ZI     | 0                   | 5613         | 11582        | A      |
| 20211018 | ZIM    | 0                   | 828          | 1935         | A      |
| 20211018 | ZION   | 300                 | 2145         | 3127         | A      |
| 20211018 | ZIOP   | 0                   | 1380         | 1481         | A      |
| 20211018 | ZIP    | 0                   | 306          | 724          | A      |
| 20211018 | ZIVO   | 50                  | 100          | 191          | A      |
| 20211018 | ZIXI   | 0                   | 7            | 122          | A      |
| 20211018 | ZKIN   | 0                   | 0            | 600          | A      |
| 20211018 | ZLAB   | 0                   | 541          | 674          | A      |
| 20211018 | ZM     | 0                   | 1556         | 2729         | A      |
| 20211018 | ZNGA   | 0                   | 30948        | 40834        | A      |
| 20211018 | ZNTL   | 0                   | 8            | 37           | A      |
| 20211018 | ZOM    | 115864              | 589929       | 684614       | A      |
| 20211018 | ZS     | 0                   | 2224         | 4187         | A      |
| 20211018 | ZSL    | 0                   | 400          | 400          | A      |
| 20211018 | ZTO    | 0                   | 3599         | 4299         | A      |
| 20211018 | ZTS    | 0                   | 3424         | 5050         | A      |
| 20211018 | ZUMZ   | 0                   | 923          | 1245         | A      |
| 20211018 | ZUO    | 0                   | 1331         | 1927         | A      |
| 20211018 | ZVIA   | 0                   | 333          | 335          | A      |
| 20211018 | ZVO    | 0                   | 0            | 115          | A      |
| 20211018 | ZWS    | 0                   | 3985         | 5420         | A      |
| 20211018 | ZY     | 0                   | 905          | 1127         | A      |
| 20211018 | ZYME   | 12                  | 637          | 1477         | A      |
| 20211018 | ZYNE   | 0                   | 27435        | 29134        | A      |
| 20211018 | ZYXI   | 0                   | 221          | 321          | A      |