

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | AA     | 100                 | 3907         | 6507         | A      |
| 20200908 | AAAU   | 0                   | 17559        | 20459        | A      |
| 20200908 | AACQ   | 0                   | 0            | 222          | A      |
| 20200908 | AACQU  | 0                   | 0            | 200          | A      |
| 20200908 | AAL    | 2227                | 115748       | 174228       | A      |
| 20200908 | AAMC   | 0                   | 624          | 2837         | A      |
| 20200908 | AAN    | 0                   | 261          | 274          | A      |
| 20200908 | AAOI   | 0                   | 200          | 233          | A      |
| 20200908 | AAON   | 0                   | 100          | 100          | A      |
| 20200908 | AAP    | 0                   | 949          | 1832         | A      |
| 20200908 | AAPL   | 6880                | 493404       | 1065867      | A      |
| 20200908 | AAT    | 0                   | 110          | 708          | A      |
| 20200908 | AAU    | 4200                | 42555        | 62137        | A      |
| 20200908 | AAWW   | 0                   | 616          | 763          | A      |
| 20200908 | AAXJ   | 0                   | 8194         | 10193        | A      |
| 20200908 | AAXN   | 0                   | 6            | 223          | A      |
| 20200908 | AB     | 0                   | 0            | 10           | A      |
| 20200908 | ABB    | 0                   | 2029         | 2756         | A      |
| 20200908 | ABBV   | 0                   | 7282         | 14736        | A      |
| 20200908 | ABC    | 0                   | 1018         | 1326         | A      |
| 20200908 | ABCB   | 0                   | 47           | 137          | A      |
| 20200908 | ABEO   | 0                   | 784          | 886          | A      |
| 20200908 | ABEV   | 6000                | 29687        | 53570        | A      |
| 20200908 | ABG    | 0                   | 108          | 115          | A      |
| 20200908 | ABM    | 0                   | 229          | 239          | A      |
| 20200908 | ABMD   | 0                   | 32           | 329          | A      |
| 20200908 | ABR    | 100                 | 337          | 847          | A      |
| 20200908 | ABT    | 53                  | 4770         | 8881         | A      |
| 20200908 | ABUS   | 200                 | 2405         | 5180         | A      |
| 20200908 | ACA    | 0                   | 199          | 239          | A      |
| 20200908 | ACAD   | 0                   | 470          | 866          | A      |
| 20200908 | ACB    | 943                 | 35790        | 41558        | A      |
| 20200908 | ACC    | 0                   | 716          | 8147         | A      |
| 20200908 | ACCD   | 0                   | 300          | 483          | A      |
| 20200908 | ACCO   | 0                   | 1211         | 2473         | A      |
| 20200908 | ACEL   | 0                   | 900          | 1311         | A      |
| 20200908 | ACER   | 0                   | 102          | 102          | A      |
| 20200908 | ACGL   | 0                   | 3281         | 7506         | A      |
| 20200908 | ACHC   | 0                   | 317          | 417          | A      |
| 20200908 | ACHV   | 0                   | 100          | 600          | A      |
| 20200908 | ACI    | 0                   | 6359         | 8403         | A      |
| 20200908 | ACIA   | 0                   | 2179         | 3388         | A      |
| 20200908 | ACIU   | 0                   | 325          | 325          | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | ACIW   | 0                   | 933          | 1089         | A      |
| 20200908 | ACLS   | 0                   | 320          | 421          | A      |
| 20200908 | ACM    | 0                   | 2871         | 4196         | A      |
| 20200908 | ACMR   | 23                  | 105          | 1079         | A      |
| 20200908 | ACN    | 0                   | 4265         | 7613         | A      |
| 20200908 | ACNB   | 0                   | 100          | 100          | A      |
| 20200908 | ACOR   | 249                 | 352          | 594          | A      |
| 20200908 | ACRE   | 0                   | 0            | 101          | A      |
| 20200908 | ACRS   | 0                   | 100          | 100          | A      |
| 20200908 | ACRX   | 0                   | 100          | 300          | A      |
| 20200908 | ACST   | 17                  | 17           | 17           | A      |
| 20200908 | ACTG   | 0                   | 300          | 892          | A      |
| 20200908 | ACU    | 0                   | 1489         | 2722         | A      |
| 20200908 | ACV    | 0                   | 201          | 400          | A      |
| 20200908 | ACWI   | 0                   | 31600        | 34103        | A      |
| 20200908 | ACWX   | 0                   | 7842         | 8964         | A      |
| 20200908 | ACY    | 1                   | 590          | 1645         | A      |
| 20200908 | ADAP   | 0                   | 100          | 100          | A      |
| 20200908 | ADBE   | 11                  | 4077         | 16693        | A      |
| 20200908 | ADC    | 0                   | 0            | 100          | A      |
| 20200908 | ADCT   | 0                   | 90           | 90           | A      |
| 20200908 | ADES   | 0                   | 0            | 100          | A      |
| 20200908 | ADI    | 233                 | 30649        | 71162        | A      |
| 20200908 | ADIL   | 100                 | 700          | 700          | A      |
| 20200908 | ADM    | 0                   | 22585        | 29491        | A      |
| 20200908 | ADMA   | 0                   | 5489         | 7279         | A      |
| 20200908 | ADMP   | 0                   | 100          | 100          | A      |
| 20200908 | ADMS   | 0                   | 20           | 20           | A      |
| 20200908 | ADNT   | 0                   | 573          | 1042         | A      |
| 20200908 | ADP    | 0                   | 6733         | 9904         | A      |
| 20200908 | ADPT   | 100                 | 750          | 1529         | A      |
| 20200908 | ADRO   | 0                   | 200          | 200          | A      |
| 20200908 | ADS    | 0                   | 1195         | 4218         | A      |
| 20200908 | ADSK   | 36                  | 2680         | 5388         | A      |
| 20200908 | ADSW   | 0                   | 220          | 1520         | A      |
| 20200908 | ADT    | 0                   | 5841         | 7669         | A      |
| 20200908 | ADTN   | 0                   | 0            | 100          | A      |
| 20200908 | ADUS   | 0                   | 193          | 226          | A      |
| 20200908 | ADVM   | 0                   | 858          | 968          | A      |
| 20200908 | ADX    | 0                   | 600          | 1200         | A      |
| 20200908 | AE     | 1                   | 1346         | 1756         | A      |
| 20200908 | AEE    | 0                   | 824          | 1344         | A      |
| 20200908 | AEF    | 0                   | 101          | 1534         | A      |
| 20200908 | AEG    | 0                   | 6971         | 7471         | A      |

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|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | AEGN   | 0                   | 0            | 750          | A      |
| 20200908 | AEHR   | 0                   | 100          | 100          | A      |
| 20200908 | AEIS   | 5                   | 329          | 1364         | A      |
| 20200908 | AEL    | 0                   | 578          | 761          | A      |
| 20200908 | AEM    | 90                  | 2432         | 4040         | A      |
| 20200908 | AEMD   | 0                   | 200          | 200          | A      |
| 20200908 | AEO    | 100                 | 17414        | 36569        | A      |
| 20200908 | AEP    | 2                   | 443          | 1218         | A      |
| 20200908 | AER    | 100                 | 772          | 960          | A      |
| 20200908 | AERI   | 0                   | 3227         | 9610         | A      |
| 20200908 | AES    | 257                 | 15322        | 20736        | A      |
| 20200908 | AEYE   | 0                   | 100          | 100          | A      |
| 20200908 | AEZS   | 0                   | 200          | 200          | A      |
| 20200908 | AFG    | 0                   | 865          | 985          | A      |
| 20200908 | AFI    | 0                   | 100          | 607          | A      |
| 20200908 | AFIB   | 0                   | 0            | 202          | A      |
| 20200908 | AFIN   | 0                   | 464          | 569          | A      |
| 20200908 | AFL    | 200                 | 23561        | 46431        | A      |
| 20200908 | AFMD   | 0                   | 600          | 1600         | A      |
| 20200908 | AFT    | 0                   | 0            | 99           | A      |
| 20200908 | AG     | 217                 | 7271         | 13534        | A      |
| 20200908 | AGCO   | 0                   | 1827         | 2121         | A      |
| 20200908 | AGE    | 300                 | 2410         | 10359        | A      |
| 20200908 | AGEN   | 0                   | 5604         | 6429         | A      |
| 20200908 | AGFS   | 0                   | 0            | 500          | A      |
| 20200908 | AGG    | 0                   | 260449       | 281872       | A      |
| 20200908 | AGGY   | 0                   | 189          | 249          | A      |
| 20200908 | AGI    | 500                 | 4588         | 8701         | A      |
| 20200908 | AGIO   | 0                   | 216          | 319          | A      |
| 20200908 | AGLE   | 0                   | 598          | 1606         | A      |
| 20200908 | AGM    | 0                   | 110          | 110          | A      |
| 20200908 | AGNC   | 400                 | 19138        | 25335        | A      |
| 20200908 | AGNCO  | 0                   | 200          | 200          | A      |
| 20200908 | AGNCP  | 0                   | 0            | 805          | A      |
| 20200908 | AGO    | 0                   | 655          | 755          | A      |
| 20200908 | AGQ    | 0                   | 1300         | 2200         | A      |
| 20200908 | AGR    | 0                   | 301          | 1922         | A      |
| 20200908 | AGRO   | 0                   | 0            | 100          | A      |
| 20200908 | AGRX   | 0                   | 910          | 1211         | A      |
| 20200908 | AGS    | 0                   | 100          | 300          | A      |
| 20200908 | AGTC   | 0                   | 404          | 1699         | A      |
| 20200908 | AGYS   | 0                   | 10           | 20           | A      |
| 20200908 | AGZ    | 0                   | 0            | 100          | A      |
| 20200908 | AHCO   | 0                   | 12           | 716          | A      |

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|----------|---------|---------------------|--------------|--------------|--------|
| 20200908 | AHH     | 0                   | 164          | 664          | A      |
| 20200908 | AHL PRD | 0                   | 0            | 56           | A      |
| 20200908 | AHPI    | 0                   | 1143         | 2643         | A      |
| 20200908 | AHT     | 0                   | 205          | 405          | A      |
| 20200908 | AI      | 0                   | 301          | 301          | A      |
| 20200908 | AIF     | 0                   | 0            | 100          | A      |
| 20200908 | AIG     | 0                   | 15287        | 19320        | A      |
| 20200908 | AIM     | 73873               | 98065        | 209529       | A      |
| 20200908 | AIMC    | 0                   | 798          | 798          | A      |
| 20200908 | AIMT    | 0                   | 2227         | 5650         | A      |
| 20200908 | AIN     | 0                   | 148          | 148          | A      |
| 20200908 | AINC    | 13                  | 301          | 1050         | A      |
| 20200908 | AINV    | 0                   | 154          | 442          | A      |
| 20200908 | AIQ     | 0                   | 79           | 95           | A      |
| 20200908 | AIR     | 0                   | 0            | 139          | A      |
| 20200908 | AIRG    | 0                   | 11           | 227          | A      |
| 20200908 | AIRI    | 0                   | 440          | 2901         | A      |
| 20200908 | AIT     | 0                   | 367          | 501          | A      |
| 20200908 | AIV     | 0                   | 92           | 253          | A      |
| 20200908 | AIZ     | 0                   | 372          | 442          | A      |
| 20200908 | AJG     | 0                   | 335          | 1339         | A      |
| 20200908 | AJRD    | 0                   | 100          | 115          | A      |
| 20200908 | AJX     | 0                   | 171          | 171          | A      |
| 20200908 | AKAM    | 54                  | 2536         | 4290         | A      |
| 20200908 | AKBA    | 200                 | 5420         | 8378         | A      |
| 20200908 | AKCA    | 0                   | 900          | 2800         | A      |
| 20200908 | AKER    | 100                 | 100          | 109          | A      |
| 20200908 | AKO B   | 0                   | 0            | 82           | A      |
| 20200908 | AKR     | 0                   | 200          | 951          | A      |
| 20200908 | AKRO    | 0                   | 417          | 751          | A      |
| 20200908 | AKTS    | 0                   | 400          | 400          | A      |
| 20200908 | AKUS    | 0                   | 100          | 255          | A      |
| 20200908 | AL      | 0                   | 911          | 2356         | A      |
| 20200908 | ALB     | 0                   | 640          | 764          | A      |
| 20200908 | ALBO    | 200                 | 6770         | 13881        | A      |
| 20200908 | ALC     | 0                   | 737          | 933          | A      |
| 20200908 | ALDX    | 0                   | 448          | 790          | A      |
| 20200908 | ALE     | 0                   | 23           | 45           | A      |
| 20200908 | ALEC    | 1                   | 629          | 1340         | A      |
| 20200908 | ALEX    | 0                   | 0            | 800          | A      |
| 20200908 | ALGN    | 0                   | 842          | 1048         | A      |
| 20200908 | ALGT    | 0                   | 641          | 957          | A      |
| 20200908 | ALJJ    | 0                   | 100          | 100          | A      |
| 20200908 | ALK     | 0                   | 2440         | 5160         | A      |

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|----------|---------|---------------------|--------------|--------------|--------|
| 20200908 | ALKS    | 0                   | 275          | 582          | A      |
| 20200908 | ALL     | 0                   | 2705         | 7633         | A      |
| 20200908 | ALL PRG | 0                   | 0            | 5            | A      |
| 20200908 | ALL PRH | 0                   | 100          | 100          | A      |
| 20200908 | ALLE    | 0                   | 1814         | 1951         | A      |
| 20200908 | ALLK    | 0                   | 100          | 100          | A      |
| 20200908 | ALLO    | 6                   | 534          | 648          | A      |
| 20200908 | ALLY    | 0                   | 4474         | 27666        | A      |
| 20200908 | ALNA    | 0                   | 2            | 2            | A      |
| 20200908 | ALNY    | 10                  | 44           | 135          | A      |
| 20200908 | ALOT    | 0                   | 74           | 74           | A      |
| 20200908 | ALPN    | 0                   | 166          | 300          | A      |
| 20200908 | ALRM    | 0                   | 48           | 164          | A      |
| 20200908 | ALRS    | 0                   | 100          | 500          | A      |
| 20200908 | ALSN    | 0                   | 372          | 2385         | A      |
| 20200908 | ALT     | 41                  | 182          | 3021         | A      |
| 20200908 | ALTA    | 0                   | 77           | 77           | A      |
| 20200908 | ALTG WS | 0                   | 0            | 500          | A      |
| 20200908 | ALTR    | 0                   | 34           | 73           | A      |
| 20200908 | ALV     | 0                   | 371          | 443          | A      |
| 20200908 | ALVR    | 0                   | 0            | 100          | A      |
| 20200908 | ALXN    | 16                  | 5295         | 8978         | A      |
| 20200908 | ALXO    | 0                   | 653          | 825          | A      |
| 20200908 | AM      | 0                   | 8524         | 10542        | A      |
| 20200908 | AMAG    | 0                   | 3705         | 3823         | A      |
| 20200908 | AMAL    | 0                   | 600          | 900          | A      |
| 20200908 | AMAT    | 729                 | 98186        | 152059       | A      |
| 20200908 | AMBA    | 0                   | 1948         | 7115         | A      |
| 20200908 | AMBC    | 0                   | 20           | 120          | A      |
| 20200908 | AMBO    | 378                 | 546          | 4663         | A      |
| 20200908 | AMC     | 0                   | 2700         | 5682         | A      |
| 20200908 | AMCR    | 0                   | 28625        | 43295        | A      |
| 20200908 | AMCX    | 0                   | 1016         | 1535         | A      |
| 20200908 | AMD     | 847                 | 86003        | 154454       | A      |
| 20200908 | AME     | 0                   | 2            | 838          | A      |
| 20200908 | AMED    | 0                   | 200          | 502          | A      |
| 20200908 | AMEH    | 0                   | 500          | 653          | A      |
| 20200908 | AMG     | 0                   | 59           | 59           | A      |
| 20200908 | AMGN    | 52                  | 8094         | 32339        | A      |
| 20200908 | AMH     | 0                   | 8356         | 12396        | A      |
| 20200908 | AMH PRD | 0                   | 0            | 35           | A      |
| 20200908 | AMH PRH | 0                   | 0            | 30           | A      |
| 20200908 | AMJ     | 0                   | 3500         | 4339         | A      |
| 20200908 | AMK     | 0                   | 100          | 100          | A      |

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|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | AMKR   | 0                   | 452          | 698          | A      |
| 20200908 | AMLP   | 915                 | 25527        | 34111        | A      |
| 20200908 | AMN    | 0                   | 62           | 198          | A      |
| 20200908 | AMNB   | 0                   | 4            | 4            | A      |
| 20200908 | AMOT   | 0                   | 3            | 3            | A      |
| 20200908 | AMP    | 0                   | 2017         | 2656         | A      |
| 20200908 | AMPE   | 4568                | 59205        | 149676       | A      |
| 20200908 | AMPH   | 21                  | 219          | 916          | A      |
| 20200908 | AMPY   | 100                 | 300          | 500          | A      |
| 20200908 | AMRC   | 0                   | 890          | 994          | A      |
| 20200908 | AMRN   | 1                   | 4917         | 13520        | A      |
| 20200908 | AMRS   | 300                 | 6399         | 9083         | A      |
| 20200908 | AMRX   | 0                   | 5363         | 6673         | A      |
| 20200908 | AMS    | 104                 | 160          | 386          | A      |
| 20200908 | AMSC   | 0                   | 112          | 232          | A      |
| 20200908 | AMSF   | 0                   | 100          | 100          | A      |
| 20200908 | AMSWA  | 0                   | 31           | 37           | A      |
| 20200908 | AMT    | 0                   | 2023         | 2932         | A      |
| 20200908 | AMTB   | 0                   | 1            | 1            | A      |
| 20200908 | AMTD   | 0                   | 11043        | 18959        | A      |
| 20200908 | AMTI   | 0                   | 850          | 1178         | A      |
| 20200908 | AMTX   | 0                   | 800          | 1000         | A      |
| 20200908 | AMU    | 0                   | 100          | 100          | A      |
| 20200908 | AMWD   | 0                   | 10           | 210          | A      |
| 20200908 | AMX    | 1                   | 4213         | 5497         | A      |
| 20200908 | AMZA   | 0                   | 1            | 1            | A      |
| 20200908 | AMZN   | 320                 | 7442         | 15293        | A      |
| 20200908 | AN     | 0                   | 1472         | 1536         | A      |
| 20200908 | ANAB   | 0                   | 100          | 121          | A      |
| 20200908 | ANDE   | 0                   | 465          | 567          | A      |
| 20200908 | ANET   | 2                   | 492          | 5441         | A      |
| 20200908 | ANF    | 0                   | 9962         | 17075        | A      |
| 20200908 | ANGI   | 14638               | 20919        | 24028        | A      |
| 20200908 | ANGL   | 0                   | 800          | 3249         | A      |
| 20200908 | ANGO   | 0                   | 323          | 619          | A      |
| 20200908 | ANH    | 0                   | 1100         | 1200         | A      |
| 20200908 | ANIK   | 0                   | 25           | 25           | A      |
| 20200908 | ANIP   | 0                   | 10           | 77           | A      |
| 20200908 | ANIX   | 0                   | 100          | 500          | A      |
| 20200908 | ANNX   | 0                   | 150          | 684          | A      |
| 20200908 | ANSS   | 18                  | 1346         | 1568         | A      |
| 20200908 | ANTM   | 8                   | 6870         | 8500         | A      |
| 20200908 | ANVS   | 0                   | 578          | 1315         | A      |
| 20200908 | AOA    | 0                   | 476          | 476          | A      |

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|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | AOD    | 0                   | 0            | 400          | A      |
| 20200908 | AOM    | 0                   | 100          | 100          | A      |
| 20200908 | AON    | 0                   | 1044         | 1838         | A      |
| 20200908 | AOR    | 100                 | 504          | 708          | A      |
| 20200908 | AOS    | 0                   | 320          | 653          | A      |
| 20200908 | AOUT   | 0                   | 46           | 92           | A      |
| 20200908 | APA    | 4016                | 26824        | 50481        | A      |
| 20200908 | APAM   | 0                   | 52           | 278          | A      |
| 20200908 | APD    | 9                   | 7000         | 8708         | A      |
| 20200908 | APDN   | 0                   | 300          | 300          | A      |
| 20200908 | APEI   | 0                   | 0            | 2            | A      |
| 20200908 | APG    | 0                   | 104          | 302          | A      |
| 20200908 | APH    | 12                  | 1907         | 7542         | A      |
| 20200908 | APHA   | 300                 | 6486         | 8514         | A      |
| 20200908 | API    | 100                 | 130          | 330          | A      |
| 20200908 | APLE   | 0                   | 9907         | 24156        | A      |
| 20200908 | APLS   | 0                   | 402          | 402          | A      |
| 20200908 | APLT   | 0                   | 400          | 400          | A      |
| 20200908 | APO    | 0                   | 4784         | 5789         | A      |
| 20200908 | APOG   | 0                   | 0            | 145          | A      |
| 20200908 | APPF   | 0                   | 65           | 132          | A      |
| 20200908 | APPN   | 0                   | 12           | 170          | A      |
| 20200908 | APPS   | 179                 | 896          | 1796         | A      |
| 20200908 | APRE   | 0                   | 164          | 179          | A      |
| 20200908 | APRN   | 0                   | 400          | 1190         | A      |
| 20200908 | APT    | 2256                | 53226        | 113581       | A      |
| 20200908 | APTO   | 0                   | 16874        | 25474        | A      |
| 20200908 | APTS   | 0                   | 2            | 727          | A      |
| 20200908 | APTV   | 0                   | 1532         | 3684         | A      |
| 20200908 | APTX   | 0                   | 100          | 200          | A      |
| 20200908 | AQB    | 0                   | 4311         | 6711         | A      |
| 20200908 | AQN    | 0                   | 1689         | 1889         | A      |
| 20200908 | AQST   | 0                   | 9            | 216          | A      |
| 20200908 | AQUA   | 0                   | 496          | 664          | A      |
| 20200908 | AR     | 0                   | 34087        | 63449        | A      |
| 20200908 | ARA    | 0                   | 0            | 135          | A      |
| 20200908 | ARAV   | 0                   | 177          | 177          | A      |
| 20200908 | ARAY   | 0                   | 100          | 220          | A      |
| 20200908 | ARCB   | 0                   | 124          | 125          | A      |
| 20200908 | ARCC   | 0                   | 8307         | 24876        | A      |
| 20200908 | ARCE   | 0                   | 3045         | 3665         | A      |
| 20200908 | ARCH   | 0                   | 403          | 429          | A      |
| 20200908 | ARCO   | 0                   | 1910         | 3214         | A      |
| 20200908 | ARCT   | 0                   | 275          | 689          | A      |

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|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | ARDX   | 1                   | 167          | 266          | A      |
| 20200908 | ARE    | 0                   | 2139         | 2721         | A      |
| 20200908 | ARES   | 0                   | 259          | 1169         | A      |
| 20200908 | ARGO   | 0                   | 246          | 294          | A      |
| 20200908 | ARGX   | 0                   | 67           | 167          | A      |
| 20200908 | ARI    | 200                 | 7039         | 8732         | A      |
| 20200908 | ARKG   | 0                   | 1410         | 2250         | A      |
| 20200908 | ARKK   | 200                 | 1590         | 4577         | A      |
| 20200908 | ARKQ   | 0                   | 100          | 100          | A      |
| 20200908 | ARKW   | 0                   | 1972         | 3133         | A      |
| 20200908 | ARLO   | 52                  | 462          | 5120         | A      |
| 20200908 | ARLP   | 0                   | 100          | 319          | A      |
| 20200908 | ARMK   | 0                   | 4909         | 6568         | A      |
| 20200908 | ARMP   | 0                   | 587          | 1138         | A      |
| 20200908 | ARNA   | 0                   | 334          | 1601         | A      |
| 20200908 | ARNC   | 63                  | 2987         | 5321         | A      |
| 20200908 | AROC   | 0                   | 575          | 584          | A      |
| 20200908 | AROW   | 0                   | 360          | 371          | A      |
| 20200908 | ARPO   | 0                   | 1355         | 1655         | A      |
| 20200908 | ARQT   | 0                   | 900          | 900          | A      |
| 20200908 | ARR    | 0                   | 595          | 855          | A      |
| 20200908 | ARVN   | 0                   | 96           | 427          | A      |
| 20200908 | ARW    | 0                   | 2822         | 3226         | A      |
| 20200908 | ARWR   | 0                   | 494          | 506          | A      |
| 20200908 | ARYA   | 0                   | 100          | 9394         | A      |
| 20200908 | ASB    | 0                   | 9803         | 12009        | A      |
| 20200908 | ASFI   | 0                   | 99           | 99           | A      |
| 20200908 | ASGN   | 0                   | 66           | 69           | A      |
| 20200908 | ASH    | 2                   | 2155         | 2284         | A      |
| 20200908 | ASHR   | 100                 | 13777        | 21612        | A      |
| 20200908 | ASIX   | 0                   | 100          | 200          | A      |
| 20200908 | ASLN   | 0                   | 0            | 28           | A      |
| 20200908 | ASM    | 15390               | 67171        | 114844       | A      |
| 20200908 | ASMB   | 0                   | 4            | 208          | A      |
| 20200908 | ASML   | 20                  | 1092         | 1552         | A      |
| 20200908 | ASND   | 0                   | 102          | 102          | A      |
| 20200908 | ASPN   | 0                   | 137          | 137          | A      |
| 20200908 | ASPS   | 0                   | 4            | 91           | A      |
| 20200908 | ASR    | 0                   | 100          | 100          | A      |
| 20200908 | ASRT   | 0                   | 2402         | 3102         | A      |
| 20200908 | ASTC   | 0                   | 100          | 304          | A      |
| 20200908 | ASTE   | 0                   | 0            | 24           | A      |
| 20200908 | ASX    | 0                   | 1600         | 1900         | A      |
| 20200908 | ASYS   | 0                   | 0            | 105          | A      |



| Date     | Symbol   | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|----------|---------------------|--------------|--------------|--------|
| 20200908 | AT       | 0                   | 921          | 1121         | A      |
| 20200908 | ATCO     | 0                   | 203          | 503          | A      |
| 20200908 | ATCO PRI | 0                   | 1115         | 1115         | A      |
| 20200908 | A TEC    | 0                   | 19           | 19           | A      |
| 20200908 | ATEN     | 0                   | 112          | 847          | A      |
| 20200908 | ATEX     | 0                   | 4            | 4            | A      |
| 20200908 | ATGE     | 0                   | 22           | 146          | A      |
| 20200908 | ATH      | 0                   | 1962         | 4613         | A      |
| 20200908 | ATH PRA  | 0                   | 0            | 100          | A      |
| 20200908 | ATHM     | 0                   | 11           | 129          | A      |
| 20200908 | ATHX     | 100                 | 6973         | 7674         | A      |
| 20200908 | ATI      | 100                 | 14961        | 28795        | A      |
| 20200908 | ATIF     | 0                   | 100          | 300          | A      |
| 20200908 | ATKR     | 0                   | 917          | 1158         | A      |
| 20200908 | ATNI     | 0                   | 10           | 10           | A      |
| 20200908 | ATNM     | 1120                | 31634        | 49431        | A      |
| 20200908 | ATNX     | 0                   | 808          | 1024         | A      |
| 20200908 | ATO      | 0                   | 975          | 1197         | A      |
| 20200908 | ATOM     | 0                   | 499          | 739          | A      |
| 20200908 | ATOS     | 0                   | 0            | 2            | A      |
| 20200908 | ATR      | 0                   | 185          | 199          | A      |
| 20200908 | ATRA     | 0                   | 291          | 355          | A      |
| 20200908 | ATRC     | 0                   | 204          | 303          | A      |
| 20200908 | ATRI     | 0                   | 34           | 104          | A      |
| 20200908 | ATRO     | 0                   | 109          | 369          | A      |
| 20200908 | ATRS     | 0                   | 2800         | 2900         | A      |
| 20200908 | ATSG     | 0                   | 128          | 131          | A      |
| 20200908 | ATUS     | 0                   | 6518         | 17684        | A      |
| 20200908 | ATVI     | 51                  | 18527        | 33951        | A      |
| 20200908 | AU       | 200                 | 2011         | 5329         | A      |
| 20200908 | AUB      | 0                   | 200          | 201          | A      |
| 20200908 | AUDC     | 0                   | 800          | 1300         | A      |
| 20200908 | AUG      | 13050               | 55164        | 63735        | A      |
| 20200908 | AUMN     | 15169               | 46188        | 98521        | A      |
| 20200908 | AUPH     | 40                  | 1220         | 2337         | A      |
| 20200908 | AUTL     | 0                   | 21           | 157          | A      |
| 20200908 | AUVI     | 300                 | 1800         | 2355         | A      |
| 20200908 | AUY      | 315                 | 53232        | 64920        | A      |
| 20200908 | AVA      | 0                   | 106          | 244          | A      |
| 20200908 | AVAV     | 0                   | 200          | 300          | A      |
| 20200908 | AVB      | 0                   | 791          | 1400         | A      |
| 20200908 | AVCT     | 0                   | 500          | 600          | A      |
| 20200908 | AVD      | 0                   | 109          | 109          | A      |
| 20200908 | AVDL     | 0                   | 672          | 1034         | A      |

| Date     | Symbol   | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|----------|---------------------|--------------|--------------|--------|
| 20200908 | AVEO     | 0                   | 6979         | 8270         | A      |
| 20200908 | AVGO     | 19                  | 2526         | 4831         | A      |
| 20200908 | AVGR     | 600                 | 700          | 1296         | A      |
| 20200908 | AVID     | 0                   | 4            | 13           | A      |
| 20200908 | AVLR     | 0                   | 896          | 1252         | A      |
| 20200908 | AVNS     | 0                   | 210          | 218          | A      |
| 20200908 | AVNT     | 0                   | 1333         | 1494         | A      |
| 20200908 | AVRO     | 0                   | 511          | 525          | A      |
| 20200908 | AVT      | 0                   | 1162         | 1772         | A      |
| 20200908 | AVTR     | 0                   | 15914        | 21910        | A      |
| 20200908 | AVTR PRA | 0                   | 0            | 20           | A      |
| 20200908 | AVXL     | 0                   | 321          | 421          | A      |
| 20200908 | AVY      | 0                   | 232          | 447          | A      |
| 20200908 | AVYA     | 0                   | 1730         | 2597         | A      |
| 20200908 | AWF      | 0                   | 110          | 210          | A      |
| 20200908 | AWH      | 0                   | 3703         | 3704         | A      |
| 20200908 | AWI      | 88                  | 496          | 651          | A      |
| 20200908 | AWK      | 0                   | 517          | 2076         | A      |
| 20200908 | AWP      | 0                   | 200          | 800          | A      |
| 20200908 | AWRE     | 0                   | 0            | 20           | A      |
| 20200908 | AWX      | 25                  | 146          | 684          | A      |
| 20200908 | AX       | 0                   | 1063         | 1076         | A      |
| 20200908 | AXAS     | 100                 | 100          | 200          | A      |
| 20200908 | AXDX     | 803                 | 891          | 919          | A      |
| 20200908 | AXGN     | 0                   | 200          | 417          | A      |
| 20200908 | AXL      | 0                   | 985          | 1802         | A      |
| 20200908 | AXLA     | 0                   | 600          | 1155         | A      |
| 20200908 | AXNX     | 0                   | 2510         | 5848         | A      |
| 20200908 | AXP      | 10                  | 7896         | 15173        | A      |
| 20200908 | AXS      | 0                   | 1706         | 2331         | A      |
| 20200908 | AXSM     | 0                   | 512          | 820          | A      |
| 20200908 | AXTA     | 0                   | 6905         | 9251         | A      |
| 20200908 | AXTI     | 0                   | 214          | 222          | A      |
| 20200908 | AXU      | 7467                | 110032       | 218566       | A      |
| 20200908 | AY       | 0                   | 141          | 486          | A      |
| 20200908 | AYI      | 0                   | 374          | 374          | A      |
| 20200908 | AYRO     | 200                 | 200          | 600          | A      |
| 20200908 | AYTU     | 0                   | 1400         | 2720         | A      |
| 20200908 | AYX      | 117                 | 575          | 1038         | A      |
| 20200908 | AZEK     | 0                   | 973          | 2672         | A      |
| 20200908 | AZN      | 203                 | 17916        | 24246        | A      |
| 20200908 | AZO      | 0                   | 110          | 266          | A      |
| 20200908 | AZPN     | 0                   | 37           | 456          | A      |
| 20200908 | AZRE     | 0                   | 0            | 1514         | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20200908 | AZUL    | 1557                | 12590        | 14738        | A      |
| 20200908 | AZZ     | 0                   | 901          | 1201         | A      |
| 20200908 | B       | 0                   | 1470         | 2018         | A      |
| 20200908 | BA      | 0                   | 15244        | 29912        | A      |
| 20200908 | BAB     | 0                   | 200          | 400          | A      |
| 20200908 | BABA    | 200                 | 16685        | 40249        | A      |
| 20200908 | BAC     | 1856                | 309376       | 443452       | A      |
| 20200908 | BAC PRC | 0                   | 0            | 10           | A      |
| 20200908 | BAH     | 0                   | 2967         | 3293         | A      |
| 20200908 | BAM     | 300                 | 3492         | 7863         | A      |
| 20200908 | BANC    | 0                   | 47           | 59           | A      |
| 20200908 | BAND    | 0                   | 800          | 1635         | A      |
| 20200908 | BANF    | 0                   | 22           | 24           | A      |
| 20200908 | BANR    | 0                   | 11           | 16           | A      |
| 20200908 | BAP     | 0                   | 702          | 2189         | A      |
| 20200908 | BAR     | 0                   | 3021         | 3021         | A      |
| 20200908 | BATL    | 0                   | 2242         | 3305         | A      |
| 20200908 | BAX     | 24                  | 2604         | 5726         | A      |
| 20200908 | BB      | 300                 | 14953        | 20676        | A      |
| 20200908 | BBAR    | 100                 | 1218         | 2014         | A      |
| 20200908 | BBAX    | 0                   | 310          | 710          | A      |
| 20200908 | BBBY    | 100                 | 16915        | 46556        | A      |
| 20200908 | BBCA    | 0                   | 300          | 1200         | A      |
| 20200908 | BBCP    | 0                   | 168          | 192          | A      |
| 20200908 | BBD     | 11178               | 83114        | 182442       | A      |
| 20200908 | BBDC    | 0                   | 20           | 21           | A      |
| 20200908 | BBEU    | 0                   | 602          | 902          | A      |
| 20200908 | BBGI    | 0                   | 500          | 600          | A      |
| 20200908 | BBI     | 2400                | 2400         | 2400         | A      |
| 20200908 | BBIO    | 0                   | 405          | 464          | A      |
| 20200908 | BBJP    | 0                   | 2120         | 2920         | A      |
| 20200908 | BBL     | 0                   | 2226         | 3764         | A      |
| 20200908 | BBQ     | 0                   | 95           | 95           | A      |
| 20200908 | BBU     | 0                   | 200          | 200          | A      |
| 20200908 | BBVA    | 0                   | 13689        | 18183        | A      |
| 20200908 | BBW     | 0                   | 64           | 75           | A      |
| 20200908 | BBY     | 0                   | 3654         | 13966        | A      |
| 20200908 | BC      | 0                   | 325          | 878          | A      |
| 20200908 | BCBP    | 0                   | 100          | 200          | A      |
| 20200908 | BCC     | 0                   | 408          | 592          | A      |
| 20200908 | BCE     | 258                 | 13387        | 15554        | A      |
| 20200908 | BCEI    | 200                 | 1357         | 1379         | A      |
| 20200908 | BCEL    | 0                   | 200          | 817          | A      |
| 20200908 | BCH     | 0                   | 200          | 200          | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20200908 | BCLI    | 0                   | 513          | 731          | A      |
| 20200908 | BCML    | 0                   | 100          | 200          | A      |
| 20200908 | BCO     | 0                   | 459          | 467          | A      |
| 20200908 | BCOR    | 0                   | 109          | 4017         | A      |
| 20200908 | BCOV    | 0                   | 40           | 144          | A      |
| 20200908 | BCPC    | 0                   | 0            | 1            | A      |
| 20200908 | BCRX    | 0                   | 14057        | 20042        | A      |
| 20200908 | BCS     | 0                   | 13259        | 16721        | A      |
| 20200908 | BCSF    | 0                   | 6            | 6            | A      |
| 20200908 | BCV     | 0                   | 1422         | 2967         | A      |
| 20200908 | BCV PRA | 0                   | 80           | 80           | A      |
| 20200908 | BDC     | 0                   | 191          | 881          | A      |
| 20200908 | BDGE    | 0                   | 250          | 250          | A      |
| 20200908 | BDJ     | 0                   | 1100         | 1600         | A      |
| 20200908 | BDL     | 0                   | 134          | 204          | A      |
| 20200908 | BDN     | 0                   | 4440         | 5116         | A      |
| 20200908 | BDR     | 123                 | 2512         | 6489         | A      |
| 20200908 | BDSI    | 0                   | 3575         | 6558         | A      |
| 20200908 | BDTX    | 0                   | 1099         | 1622         | A      |
| 20200908 | BDX     | 0                   | 1479         | 3937         | A      |
| 20200908 | BE      | 0                   | 2530         | 22416        | A      |
| 20200908 | BEAM    | 0                   | 455          | 1409         | A      |
| 20200908 | BEAT    | 0                   | 1342         | 2994         | A      |
| 20200908 | BECN    | 0                   | 946          | 1066         | A      |
| 20200908 | BEKE    | 0                   | 201          | 401          | A      |
| 20200908 | BEN     | 0                   | 18540        | 26620        | A      |
| 20200908 | BEP     | 0                   | 546          | 546          | A      |
| 20200908 | BEPC    | 501                 | 3798         | 6233         | A      |
| 20200908 | BERY    | 0                   | 1183         | 4640         | A      |
| 20200908 | BEST    | 0                   | 6735         | 9235         | A      |
| 20200908 | BETZ    | 0                   | 100          | 100          | A      |
| 20200908 | BF A    | 0                   | 0            | 278          | A      |
| 20200908 | BF B    | 0                   | 2003         | 3256         | A      |
| 20200908 | BFAM    | 0                   | 698          | 827          | A      |
| 20200908 | BFC     | 0                   | 0            | 1            | A      |
| 20200908 | BFIN    | 0                   | 4            | 4            | A      |
| 20200908 | BFRA    | 0                   | 100          | 100          | A      |
| 20200908 | BFS     | 0                   | 329          | 599          | A      |
| 20200908 | BFST    | 0                   | 300          | 400          | A      |
| 20200908 | BG      | 0                   | 7498         | 8158         | A      |
| 20200908 | BGCP    | 0                   | 1514         | 2114         | A      |
| 20200908 | BGFV    | 0                   | 244          | 244          | A      |
| 20200908 | BGI     | 236                 | 600          | 1061         | A      |
| 20200908 | BGNE    | 0                   | 201          | 337          | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | BGR    | 100                 | 200          | 200          | A      |
| 20200908 | BGS    | 0                   | 555          | 1735         | A      |
| 20200908 | BGSF   | 0                   | 0            | 1            | A      |
| 20200908 | BGY    | 0                   | 0            | 160          | A      |
| 20200908 | BH     | 0                   | 20           | 40           | A      |
| 20200908 | BHAT   | 0                   | 100          | 100          | A      |
| 20200908 | BHB    | 0                   | 6936         | 8755         | A      |
| 20200908 | BHC    | 0                   | 15778        | 23100        | A      |
| 20200908 | BHE    | 0                   | 297          | 307          | A      |
| 20200908 | BHF    | 0                   | 411          | 523          | A      |
| 20200908 | BHLB   | 0                   | 80           | 360          | A      |
| 20200908 | BHP    | 0                   | 3709         | 4864         | A      |
| 20200908 | BHV    | 0                   | 581          | 581          | A      |
| 20200908 | BHVN   | 0                   | 413          | 631          | A      |
| 20200908 | BIB    | 0                   | 111          | 441          | A      |
| 20200908 | BIDU   | 5                   | 1865         | 2994         | A      |
| 20200908 | BIF    | 0                   | 0            | 600          | A      |
| 20200908 | BIG    | 0                   | 3643         | 7370         | A      |
| 20200908 | BIGC   | 51                  | 5860         | 10348        | A      |
| 20200908 | BIIB   | 8                   | 3373         | 5514         | A      |
| 20200908 | BIL    | 0                   | 1227         | 11129        | A      |
| 20200908 | BILI   | 0                   | 7296         | 31326        | A      |
| 20200908 | BILL   | 0                   | 1340         | 2353         | A      |
| 20200908 | BIO    | 100                 | 708          | 1451         | A      |
| 20200908 | BIOC   | 723                 | 723          | 2245         | A      |
| 20200908 | BIOL   | 100                 | 500          | 600          | A      |
| 20200908 | BIOX   | 40                  | 960          | 1236         | A      |
| 20200908 | BIP    | 0                   | 700          | 3185         | A      |
| 20200908 | BIPC   | 0                   | 100          | 100          | A      |
| 20200908 | BIS    | 0                   | 0            | 100          | A      |
| 20200908 | BITA   | 0                   | 1817         | 3564         | A      |
| 20200908 | BIV    | 0                   | 10800        | 15800        | A      |
| 20200908 | BIZD   | 0                   | 700          | 700          | A      |
| 20200908 | BJ     | 0                   | 1330         | 2042         | A      |
| 20200908 | BJRI   | 0                   | 372          | 541          | A      |
| 20200908 | BK     | 0                   | 19934        | 31448        | A      |
| 20200908 | BKD    | 0                   | 1669         | 2443         | A      |
| 20200908 | BKE    | 0                   | 374          | 592          | A      |
| 20200908 | BKH    | 0                   | 1            | 201          | A      |
| 20200908 | BKI    | 0                   | 333          | 897          | A      |
| 20200908 | BKLN   | 0                   | 172805       | 181721       | A      |
| 20200908 | BKNG   | 0                   | 507          | 836          | A      |
| 20200908 | BKR    | 0                   | 78813        | 139761       | A      |
| 20200908 | BKT    | 0                   | 0            | 800          | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20200908 | BKTI    | 0                   | 110          | 1231         | A      |
| 20200908 | BKU     | 0                   | 11538        | 11990        | A      |
| 20200908 | BKYI    | 100                 | 100          | 208          | A      |
| 20200908 | BL      | 0                   | 406          | 681          | A      |
| 20200908 | BLBD    | 0                   | 166          | 201          | A      |
| 20200908 | BLCM    | 0                   | 100          | 200          | A      |
| 20200908 | BLD     | 0                   | 609          | 971          | A      |
| 20200908 | BLDP    | 100                 | 7551         | 35658        | A      |
| 20200908 | BLDR    | 100                 | 9600         | 12610        | A      |
| 20200908 | BLFS    | 0                   | 351          | 744          | A      |
| 20200908 | BLI     | 0                   | 100          | 742          | A      |
| 20200908 | BLIN    | 100                 | 100          | 200          | A      |
| 20200908 | BLK     | 0                   | 885          | 1198         | A      |
| 20200908 | BLKB    | 0                   | 1136         | 1236         | A      |
| 20200908 | BLL     | 17                  | 1505         | 3332         | A      |
| 20200908 | BLMN    | 0                   | 26780        | 43384        | A      |
| 20200908 | BLNK    | 30                  | 33           | 925          | A      |
| 20200908 | BLOK    | 0                   | 81           | 81           | A      |
| 20200908 | BLU     | 2273                | 20045        | 36251        | A      |
| 20200908 | BLUE    | 14                  | 863          | 1006         | A      |
| 20200908 | BLX     | 0                   | 109          | 109          | A      |
| 20200908 | BMA     | 0                   | 100          | 200          | A      |
| 20200908 | BMCH    | 0                   | 2635         | 7110         | A      |
| 20200908 | BME     | 0                   | 10           | 10           | A      |
| 20200908 | BMI     | 0                   | 305          | 614          | A      |
| 20200908 | BMO     | 0                   | 3099         | 5249         | A      |
| 20200908 | BMRA    | 0                   | 57           | 57           | A      |
| 20200908 | BMRG    | 0                   | 100          | 700          | A      |
| 20200908 | BMRG WS | 200                 | 200          | 495          | A      |
| 20200908 | BMRN    | 0                   | 500          | 1736         | A      |
| 20200908 | BMY     | 110                 | 24824        | 56827        | A      |
| 20200908 | BMY RT  | 13500               | 68289        | 335825       | A      |
| 20200908 | BND     | 0                   | 19008        | 32852        | A      |
| 20200908 | BNDX    | 0                   | 2778         | 25432        | A      |
| 20200908 | BNED    | 0                   | 201          | 501          | A      |
| 20200908 | BNFT    | 0                   | 2054         | 2677         | A      |
| 20200908 | BNGO    | 5700                | 5700         | 7681         | A      |
| 20200908 | BNO     | 0                   | 5010         | 30553        | A      |
| 20200908 | BNS     | 200                 | 7574         | 13324        | A      |
| 20200908 | BNTX    | 0                   | 880          | 1702         | A      |
| 20200908 | BOE     | 0                   | 0            | 1377         | A      |
| 20200908 | BOH     | 0                   | 124          | 165          | A      |
| 20200908 | BOIL    | 0                   | 235          | 3988         | A      |
| 20200908 | BOKF    | 0                   | 100          | 100          | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20200908 | BOKFL   | 100                 | 100          | 100          | A      |
| 20200908 | BOMN    | 0                   | 100          | 100          | A      |
| 20200908 | BOOM    | 0                   | 0            | 100          | A      |
| 20200908 | BOOT    | 0                   | 1485         | 1722         | A      |
| 20200908 | BORR    | 10                  | 10           | 61           | A      |
| 20200908 | BOTZ    | 0                   | 9486         | 10806        | A      |
| 20200908 | BOX     | 0                   | 5671         | 8192         | A      |
| 20200908 | BOXL    | 1200                | 2079         | 2979         | A      |
| 20200908 | BP      | 200                 | 12653        | 18401        | A      |
| 20200908 | BPFH    | 0                   | 2431         | 2848         | A      |
| 20200908 | BPMC    | 0                   | 1            | 177          | A      |
| 20200908 | BPMP    | 0                   | 400          | 500          | A      |
| 20200908 | BPOP    | 0                   | 4654         | 4877         | A      |
| 20200908 | BPRN    | 0                   | 26           | 26           | A      |
| 20200908 | BPY     | 600                 | 9608         | 13762        | A      |
| 20200908 | BPYU    | 0                   | 4678         | 7636         | A      |
| 20200908 | BR      | 0                   | 336          | 394          | A      |
| 20200908 | BRBR    | 0                   | 496          | 596          | A      |
| 20200908 | BRBS    | 0                   | 43           | 44           | A      |
| 20200908 | BRC     | 0                   | 414          | 605          | A      |
| 20200908 | BREW    | 0                   | 0            | 400          | A      |
| 20200908 | BRFS    | 1100                | 19087        | 22186        | A      |
| 20200908 | BRG     | 0                   | 12447        | 25220        | A      |
| 20200908 | BRG PRA | 2                   | 256          | 636          | A      |
| 20200908 | BRG PRC | 0                   | 795          | 900          | A      |
| 20200908 | BRG PRD | 0                   | 471          | 643          | A      |
| 20200908 | BRK A   | 0                   | 1            | 1            | A      |
| 20200908 | BRK B   | 0                   | 6108         | 17547        | A      |
| 20200908 | BRKL    | 0                   | 608          | 629          | A      |
| 20200908 | BRKR    | 0                   | 8            | 19           | A      |
| 20200908 | BRKS    | 0                   | 0            | 578          | A      |
| 20200908 | BRMK    | 0                   | 401          | 401          | A      |
| 20200908 | BRMK WS | 0                   | 1685         | 15685        | A      |
| 20200908 | BRN     | 405                 | 707          | 2750         | A      |
| 20200908 | BRO     | 0                   | 5767         | 6634         | A      |
| 20200908 | BRP     | 0                   | 272          | 300          | A      |
| 20200908 | BRX     | 0                   | 25832        | 36451        | A      |
| 20200908 | BRY     | 0                   | 3622         | 3723         | A      |
| 20200908 | BRZU    | 0                   | 28           | 28           | A      |
| 20200908 | BSAC    | 0                   | 192          | 201          | A      |
| 20200908 | BSBR    | 1                   | 1569         | 2476         | A      |
| 20200908 | BSCK    | 0                   | 0            | 100          | A      |
| 20200908 | BSCL    | 0                   | 189          | 189          | A      |
| 20200908 | BSCM    | 0                   | 900          | 900          | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | BSCO   | 0                   | 81           | 281          | A      |
| 20200908 | BSCT   | 0                   | 100          | 100          | A      |
| 20200908 | BSGM   | 0                   | 100          | 100          | A      |
| 20200908 | BSIG   | 0                   | 1442         | 2042         | A      |
| 20200908 | BSJK   | 0                   | 200          | 300          | A      |
| 20200908 | BSJL   | 0                   | 200          | 200          | A      |
| 20200908 | BSJM   | 0                   | 100          | 100          | A      |
| 20200908 | BSJN   | 0                   | 100          | 100          | A      |
| 20200908 | BSM    | 0                   | 10           | 306          | A      |
| 20200908 | BSMX   | 0                   | 1500         | 2357         | A      |
| 20200908 | BSRR   | 0                   | 165          | 184          | A      |
| 20200908 | BSTC   | 0                   | 68           | 68           | A      |
| 20200908 | BSV    | 0                   | 9362         | 11562        | A      |
| 20200908 | BSX    | 200                 | 6800         | 19628        | A      |
| 20200908 | BTAI   | 0                   | 220          | 340          | A      |
| 20200908 | BTAL   | 200                 | 300          | 400          | A      |
| 20200908 | BTG    | 48475               | 368680       | 593085       | A      |
| 20200908 | BTI    | 0                   | 2055         | 2754         | A      |
| 20200908 | BTN    | 0                   | 635          | 4417         | A      |
| 20200908 | BTU    | 0                   | 3256         | 4152         | A      |
| 20200908 | BTZ    | 0                   | 600          | 800          | A      |
| 20200908 | BUD    | 0                   | 983          | 2792         | A      |
| 20200908 | BUFR   | 0                   | 100          | 100          | A      |
| 20200908 | BUG    | 0                   | 8            | 8            | A      |
| 20200908 | BURL   | 30                  | 2148         | 4954         | A      |
| 20200908 | BUSE   | 0                   | 104          | 104          | A      |
| 20200908 | BV     | 0                   | 6            | 519          | A      |
| 20200908 | BVN    | 0                   | 9391         | 28792        | A      |
| 20200908 | BVXV   | 0                   | 0            | 200          | A      |
| 20200908 | BWA    | 0                   | 3694         | 6074         | A      |
| 20200908 | BWFG   | 0                   | 5            | 5            | A      |
| 20200908 | BWL A  | 0                   | 184          | 217          | A      |
| 20200908 | BWX    | 0                   | 500          | 700          | A      |
| 20200908 | BWXT   | 0                   | 1003         | 1022         | A      |
| 20200908 | BX     | 0                   | 2018         | 2957         | A      |
| 20200908 | BXC    | 54                  | 126          | 390          | A      |
| 20200908 | BXMT   | 0                   | 4057         | 5483         | A      |
| 20200908 | BXMX   | 100                 | 439          | 639          | A      |
| 20200908 | BXP    | 0                   | 2124         | 2914         | A      |
| 20200908 | BXRX   | 0                   | 300          | 300          | A      |
| 20200908 | BXS    | 0                   | 756          | 767          | A      |
| 20200908 | BY     | 0                   | 101          | 101          | A      |
| 20200908 | BYD    | 0                   | 355          | 394          | A      |
| 20200908 | BYFC   | 0                   | 100          | 200          | A      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | BYND   | 0                   | 1149         | 2415         | A      |
| 20200908 | BYSI   | 0                   | 100          | 100          | A      |
| 20200908 | BZH    | 0                   | 3            | 315          | A      |
| 20200908 | BZM    | 0                   | 0            | 100          | A      |
| 20200908 | BZQ    | 0                   | 1740         | 3940         | A      |
| 20200908 | BZUN   | 0                   | 620          | 1220         | A      |
| 20200908 | C      | 438                 | 40422        | 93342        | A      |
| 20200908 | CAAS   | 0                   | 0            | 800          | A      |
| 20200908 | CABA   | 0                   | 600          | 1000         | A      |
| 20200908 | CABO   | 0                   | 3            | 9            | A      |
| 20200908 | CACC   | 0                   | 47           | 75           | A      |
| 20200908 | CACI   | 0                   | 133          | 136          | A      |
| 20200908 | CADE   | 0                   | 1382         | 2276         | A      |
| 20200908 | CAE    | 0                   | 820          | 2141         | A      |
| 20200908 | CAG    | 0                   | 7781         | 14203        | A      |
| 20200908 | CAH    | 0                   | 5848         | 13268        | A      |
| 20200908 | CAJ    | 0                   | 500          | 821          | A      |
| 20200908 | CAKE   | 0                   | 768          | 995          | A      |
| 20200908 | CAL    | 0                   | 3769         | 7408         | A      |
| 20200908 | CALA   | 0                   | 11           | 1012         | A      |
| 20200908 | CALM   | 0                   | 460          | 951          | A      |
| 20200908 | CALX   | 0                   | 210          | 710          | A      |
| 20200908 | CAMP   | 0                   | 346          | 346          | A      |
| 20200908 | CAMT   | 0                   | 10           | 10           | A      |
| 20200908 | CAN    | 0                   | 5811         | 7014         | A      |
| 20200908 | CANF   | 2213                | 7828         | 84412        | A      |
| 20200908 | CAPR   | 26                  | 126          | 5186         | A      |
| 20200908 | CAR    | 0                   | 653          | 674          | A      |
| 20200908 | CARA   | 0                   | 206          | 334          | A      |
| 20200908 | CARG   | 0                   | 5286         | 15135        | A      |
| 20200908 | CARR   | 0                   | 6025         | 11509        | A      |
| 20200908 | CARS   | 0                   | 817          | 1351         | A      |
| 20200908 | CARV   | 0                   | 1110         | 1110         | A      |
| 20200908 | CASA   | 0                   | 787          | 1287         | A      |
| 20200908 | CASH   | 0                   | 157          | 283          | A      |
| 20200908 | CASI   | 0                   | 402          | 1301         | A      |
| 20200908 | CASY   | 0                   | 112          | 368          | A      |
| 20200908 | CAT    | 0                   | 5502         | 9058         | A      |
| 20200908 | CATB   | 0                   | 160          | 214          | A      |
| 20200908 | CATC   | 0                   | 30           | 46           | A      |
| 20200908 | CATM   | 0                   | 129          | 3821         | A      |
| 20200908 | CATO   | 0                   | 10           | 217          | A      |
| 20200908 | CATY   | 0                   | 101          | 501          | A      |
| 20200908 | CB     | 8                   | 1271         | 3604         | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | CBAN   | 0                   | 138          | 141          | A      |
| 20200908 | CBAY   | 92                  | 630          | 1957         | A      |
| 20200908 | CBB    | 0                   | 3            | 203          | A      |
| 20200908 | CBD    | 109                 | 119          | 231          | A      |
| 20200908 | CBIO   | 0                   | 150          | 200          | A      |
| 20200908 | CBL    | 700                 | 700          | 700          | A      |
| 20200908 | CBMG   | 0                   | 100          | 200          | A      |
| 20200908 | CBOE   | 0                   | 1056         | 1744         | A      |
| 20200908 | CBRE   | 0                   | 619          | 896          | A      |
| 20200908 | CBRL   | 0                   | 341          | 357          | A      |
| 20200908 | CBSH   | 0                   | 308          | 508          | A      |
| 20200908 | CBT    | 0                   | 1016         | 1122         | A      |
| 20200908 | CBZ    | 0                   | 127          | 157          | A      |
| 20200908 | CC     | 1                   | 1481         | 2761         | A      |
| 20200908 | CCAP   | 0                   | 40           | 90           | A      |
| 20200908 | CCB    | 0                   | 13           | 13           | A      |
| 20200908 | CCC    | 0                   | 800          | 1300         | A      |
| 20200908 | CCD    | 0                   | 200          | 300          | A      |
| 20200908 | CCEP   | 0                   | 6720         | 7692         | A      |
| 20200908 | CCF    | 1                   | 3608         | 5084         | A      |
| 20200908 | CCI    | 0                   | 1083         | 2228         | A      |
| 20200908 | CCIV U | 0                   | 0            | 7137         | A      |
| 20200908 | CCJ    | 0                   | 1705         | 4031         | A      |
| 20200908 | CCK    | 0                   | 904          | 1663         | A      |
| 20200908 | CCL    | 700                 | 74733        | 139758       | A      |
| 20200908 | CCLP   | 0                   | 9281         | 9281         | A      |
| 20200908 | CCMP   | 0                   | 6            | 6            | A      |
| 20200908 | CCNE   | 0                   | 100          | 100          | A      |
| 20200908 | CCO    | 100                 | 1480         | 4155         | A      |
| 20200908 | CCOI   | 0                   | 267          | 541          | A      |
| 20200908 | CCRN   | 0                   | 4            | 4            | A      |
| 20200908 | CCS    | 0                   | 166          | 170          | A      |
| 20200908 | CCXI   | 0                   | 1653         | 2171         | A      |
| 20200908 | CCXX   | 0                   | 0            | 200          | A      |
| 20200908 | CDAY   | 120                 | 3296         | 5247         | A      |
| 20200908 | CDE    | 0                   | 6648         | 22730        | A      |
| 20200908 | CDEV   | 700                 | 1100         | 1900         | A      |
| 20200908 | CDK    | 0                   | 973          | 1151         | A      |
| 20200908 | CDLX   | 0                   | 11           | 220          | A      |
| 20200908 | CDMO   | 0                   | 41           | 565          | A      |
| 20200908 | CDNA   | 0                   | 88           | 144          | A      |
| 20200908 | CDNS   | 0                   | 3076         | 7482         | A      |
| 20200908 | CDOR   | 0                   | 646          | 1086         | A      |
| 20200908 | CDR    | 0                   | 200          | 200          | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20200908 | CDTX    | 0                   | 0            | 300          | A      |
| 20200908 | CDW     | 0                   | 223          | 1102         | A      |
| 20200908 | CDXC    | 0                   | 100          | 100          | A      |
| 20200908 | CDXS    | 0                   | 228          | 415          | A      |
| 20200908 | CDZI    | 0                   | 1000         | 1000         | A      |
| 20200908 | CE      | 0                   | 463          | 488          | A      |
| 20200908 | CEA     | 0                   | 0            | 80           | A      |
| 20200908 | CEF     | 0                   | 11127        | 13839        | A      |
| 20200908 | CEI     | 1137                | 20431        | 47778        | A      |
| 20200908 | CEIX    | 0                   | 73           | 508          | A      |
| 20200908 | CELH    | 0                   | 95           | 1694         | A      |
| 20200908 | CEMI    | 592                 | 1300         | 2295         | A      |
| 20200908 | CENTA   | 0                   | 1            | 18           | A      |
| 20200908 | CENX    | 100                 | 3753         | 5431         | A      |
| 20200908 | CEO     | 0                   | 800          | 888          | A      |
| 20200908 | CEQP    | 0                   | 760          | 1060         | A      |
| 20200908 | CERC    | 0                   | 301          | 990          | A      |
| 20200908 | CERN    | 0                   | 2548         | 4022         | A      |
| 20200908 | CERS    | 0                   | 3988         | 4403         | A      |
| 20200908 | CET     | 0                   | 230          | 1388         | A      |
| 20200908 | CETV    | 0                   | 2700         | 3300         | A      |
| 20200908 | CETX    | 0                   | 2800         | 2800         | A      |
| 20200908 | CEV     | 0                   | 0            | 131          | A      |
| 20200908 | CEVA    | 0                   | 500          | 601          | A      |
| 20200908 | CF      | 0                   | 2729         | 5041         | A      |
| 20200908 | CFB     | 0                   | 400          | 1000         | A      |
| 20200908 | CFFA    | 0                   | 0            | 200          | A      |
| 20200908 | CFFN    | 0                   | 181          | 206          | A      |
| 20200908 | CFG     | 0                   | 12255        | 22790        | A      |
| 20200908 | CFG PRD | 0                   | 0            | 5            | A      |
| 20200908 | CFMS    | 0                   | 0            | 200          | A      |
| 20200908 | CFR     | 0                   | 711          | 719          | A      |
| 20200908 | CFRX    | 0                   | 295          | 724          | A      |
| 20200908 | CFX     | 0                   | 1718         | 3434         | A      |
| 20200908 | CG      | 0                   | 2670         | 2724         | A      |
| 20200908 | CGC     | 0                   | 1424         | 1929         | A      |
| 20200908 | CGEN    | 0                   | 1012         | 1537         | A      |
| 20200908 | CGNX    | 0                   | 303          | 825          | A      |
| 20200908 | CHA     | 0                   | 100          | 104          | A      |
| 20200908 | CHAQ    | 0                   | 0            | 414          | A      |
| 20200908 | CHAQ WS | 0                   | 1351         | 5684         | A      |
| 20200908 | CHAU    | 0                   | 200          | 200          | A      |
| 20200908 | CHCO    | 0                   | 2            | 2            | A      |
| 20200908 | CHCT    | 0                   | 562          | 766          | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | CHD    | 42                  | 1619         | 2307         | A      |
| 20200908 | CHDN   | 0                   | 10           | 10           | A      |
| 20200908 | CHE    | 0                   | 3            | 3            | A      |
| 20200908 | CHEF   | 0                   | 414          | 548          | A      |
| 20200908 | CHEK   | 0                   | 0            | 140          | A      |
| 20200908 | CHFS   | 500                 | 500          | 700          | A      |
| 20200908 | CHGG   | 0                   | 2431         | 3028         | A      |
| 20200908 | CHH    | 0                   | 721          | 822          | A      |
| 20200908 | CHI    | 0                   | 150          | 236          | A      |
| 20200908 | CHIQ   | 0                   | 10           | 30           | A      |
| 20200908 | CHKP   | 0                   | 297          | 1281         | A      |
| 20200908 | CHL    | 0                   | 1259         | 2176         | A      |
| 20200908 | CHMA   | 0                   | 476          | 680          | A      |
| 20200908 | CHMI   | 0                   | 200          | 202          | A      |
| 20200908 | CHNG   | 0                   | 13943        | 17395        | A      |
| 20200908 | CHRA   | 0                   | 0            | 100          | A      |
| 20200908 | CHRS   | 1                   | 102          | 331          | A      |
| 20200908 | CHRW   | 0                   | 1362         | 2280         | A      |
| 20200908 | CHS    | 500                 | 1539         | 4139         | A      |
| 20200908 | CHSCN  | 0                   | 0            | 50           | A      |
| 20200908 | CHTR   | 0                   | 727          | 1882         | A      |
| 20200908 | CHU    | 0                   | 1126         | 1765         | A      |
| 20200908 | CHUY   | 0                   | 1208         | 3085         | A      |
| 20200908 | CHWY   | 0                   | 6395         | 10543        | A      |
| 20200908 | CHX    | 155                 | 2285         | 4812         | A      |
| 20200908 | CHY    | 0                   | 0            | 311          | A      |
| 20200908 | CI     | 0                   | 3711         | 5039         | A      |
| 20200908 | CIB    | 0                   | 100          | 100          | A      |
| 20200908 | CIBR   | 0                   | 300          | 600          | A      |
| 20200908 | CIEN   | 1                   | 3509         | 7078         | A      |
| 20200908 | CIG    | 0                   | 5005         | 25105        | A      |
| 20200908 | CIGI   | 0                   | 560          | 560          | A      |
| 20200908 | CII    | 0                   | 29           | 1100         | A      |
| 20200908 | CIK    | 2503                | 13608        | 22537        | A      |
| 20200908 | CIM    | 0                   | 4957         | 6620         | A      |
| 20200908 | CINF   | 0                   | 324          | 360          | A      |
| 20200908 | CIO    | 0                   | 214          | 514          | A      |
| 20200908 | CIT    | 0                   | 1659         | 2324         | A      |
| 20200908 | CIX    | 0                   | 1418         | 1995         | A      |
| 20200908 | CJJD   | 276                 | 376          | 1300         | A      |
| 20200908 | CKH    | 0                   | 2            | 102          | A      |
| 20200908 | CKPT   | 0                   | 194          | 204          | A      |
| 20200908 | CKX    | 16                  | 46           | 59           | A      |
| 20200908 | CL     | 26                  | 12726        | 20755        | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | CLB    | 0                   | 100          | 710          | A      |
| 20200908 | CLBK   | 0                   | 0            | 200          | A      |
| 20200908 | CLBS   | 0                   | 0            | 237          | A      |
| 20200908 | CLCT   | 0                   | 0            | 300          | A      |
| 20200908 | CLDB   | 100                 | 100          | 100          | A      |
| 20200908 | CLDR   | 200                 | 21059        | 31145        | A      |
| 20200908 | CLDT   | 0                   | 108          | 108          | A      |
| 20200908 | CLDX   | 0                   | 800          | 1514         | A      |
| 20200908 | CLF    | 39                  | 17186        | 21547        | A      |
| 20200908 | CLFD   | 0                   | 100          | 132          | A      |
| 20200908 | CLGX   | 0                   | 1000         | 2010         | A      |
| 20200908 | CLH    | 0                   | 1160         | 2148         | A      |
| 20200908 | CLI    | 0                   | 3324         | 7364         | A      |
| 20200908 | CLIX   | 0                   | 20           | 20           | A      |
| 20200908 | CLLS   | 0                   | 0            | 311          | A      |
| 20200908 | CLM    | 168                 | 32533        | 63696        | A      |
| 20200908 | CLNC   | 0                   | 600          | 600          | A      |
| 20200908 | CLNE   | 0                   | 2377         | 2721         | A      |
| 20200908 | CLNY   | 0                   | 8444         | 10654        | A      |
| 20200908 | CLOU   | 200                 | 7481         | 10567        | A      |
| 20200908 | CLPR   | 0                   | 100          | 112          | A      |
| 20200908 | CLR    | 100                 | 4881         | 9153         | A      |
| 20200908 | CLRB   | 0                   | 600          | 1400         | A      |
| 20200908 | CLRO   | 0                   | 100          | 100          | A      |
| 20200908 | CLS    | 0                   | 935          | 960          | A      |
| 20200908 | CLSD   | 0                   | 10           | 10           | A      |
| 20200908 | CLSK   | 202                 | 602          | 1101         | A      |
| 20200908 | CLSN   | 0                   | 0            | 50           | A      |
| 20200908 | CLUB   | 0                   | 0            | 349          | A      |
| 20200908 | CLVS   | 0                   | 1769         | 3219         | A      |
| 20200908 | CLW    | 0                   | 164          | 164          | A      |
| 20200908 | CLX    | 0                   | 2871         | 3411         | A      |
| 20200908 | CM     | 0                   | 1091         | 1902         | A      |
| 20200908 | CMA    | 0                   | 998          | 1380         | A      |
| 20200908 | CMBM   | 0                   | 100          | 100          | A      |
| 20200908 | CMC    | 0                   | 1378         | 1898         | A      |
| 20200908 | CMCL   | 250                 | 9181         | 30675        | A      |
| 20200908 | CMCSA  | 100                 | 35727        | 84400        | A      |
| 20200908 | CMD    | 0                   | 404          | 404          | A      |
| 20200908 | CME    | 0                   | 1534         | 3550         | A      |
| 20200908 | CMG    | 0                   | 63           | 582          | A      |
| 20200908 | CMI    | 0                   | 888          | 2271         | A      |
| 20200908 | CMLS   | 0                   | 40           | 100          | A      |
| 20200908 | CMO    | 0                   | 276          | 1076         | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20200908 | CMO PRE | 0                   | 0            | 452          | A      |
| 20200908 | CMP     | 0                   | 684          | 706          | A      |
| 20200908 | CMPI    | 0                   | 23           | 23           | A      |
| 20200908 | CMPR    | 0                   | 210          | 210          | A      |
| 20200908 | CMRE    | 0                   | 200          | 1300         | A      |
| 20200908 | CMS     | 57                  | 4377         | 8683         | A      |
| 20200908 | CMT     | 97                  | 1217         | 2287         | A      |
| 20200908 | CMTL    | 0                   | 8            | 108          | A      |
| 20200908 | CNA     | 0                   | 100          | 666          | A      |
| 20200908 | CNC     | 0                   | 4291         | 6918         | A      |
| 20200908 | CNCE    | 0                   | 105          | 105          | A      |
| 20200908 | CNDT    | 0                   | 1386         | 1939         | A      |
| 20200908 | CNF     | 0                   | 0            | 600          | A      |
| 20200908 | CNHI    | 200                 | 12017        | 13668        | A      |
| 20200908 | CNI     | 0                   | 1500         | 2570         | A      |
| 20200908 | CNK     | 0                   | 6449         | 12987        | A      |
| 20200908 | CNMD    | 0                   | 134          | 334          | A      |
| 20200908 | CNNB    | 0                   | 0            | 100          | A      |
| 20200908 | CNNE    | 0                   | 200          | 201          | A      |
| 20200908 | CNO     | 0                   | 4071         | 5191         | A      |
| 20200908 | CNOB    | 0                   | 0            | 3            | A      |
| 20200908 | CNP     | 416                 | 8650         | 18853        | A      |
| 20200908 | CNQ     | 590                 | 14051        | 25232        | A      |
| 20200908 | CNR     | 0                   | 0            | 401          | A      |
| 20200908 | CNS     | 0                   | 300          | 303          | A      |
| 20200908 | CNSL    | 0                   | 526          | 727          | A      |
| 20200908 | CNST    | 0                   | 24           | 1069         | A      |
| 20200908 | CNTG    | 0                   | 300          | 400          | A      |
| 20200908 | CNTY    | 0                   | 100          | 210          | A      |
| 20200908 | CNX     | 0                   | 25468        | 37330        | A      |
| 20200908 | CNXM    | 100                 | 300          | 300          | A      |
| 20200908 | CNYA    | 0                   | 0            | 100          | A      |
| 20200908 | COCP    | 0                   | 0            | 100          | A      |
| 20200908 | CODI    | 0                   | 100          | 100          | A      |
| 20200908 | CODX    | 2370                | 9928         | 12214        | A      |
| 20200908 | COF     | 52                  | 6084         | 10602        | A      |
| 20200908 | COF PRF | 0                   | 5            | 10           | A      |
| 20200908 | COG     | 0                   | 29195        | 39918        | A      |
| 20200908 | COHN    | 403                 | 11545        | 23350        | A      |
| 20200908 | COHR    | 0                   | 428          | 718          | A      |
| 20200908 | COHU    | 0                   | 493          | 538          | A      |
| 20200908 | COKE    | 0                   | 2            | 3            | A      |
| 20200908 | COLB    | 0                   | 601          | 601          | A      |
| 20200908 | COLD    | 0                   | 836          | 942          | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20200908 | COLL    | 0                   | 694          | 980          | A      |
| 20200908 | COLM    | 0                   | 9            | 14           | A      |
| 20200908 | COMM    | 3                   | 3627         | 18762        | A      |
| 20200908 | CONE    | 0                   | 1074         | 2768         | A      |
| 20200908 | CONN    | 0                   | 303          | 318          | A      |
| 20200908 | COO     | 0                   | 557          | 732          | A      |
| 20200908 | COOP    | 0                   | 1862         | 7071         | A      |
| 20200908 | COP     | 100                 | 5566         | 32812        | A      |
| 20200908 | COR     | 0                   | 838          | 1103         | A      |
| 20200908 | CORE    | 0                   | 20           | 27           | A      |
| 20200908 | CORN    | 500                 | 900          | 2551         | A      |
| 20200908 | CORP    | 0                   | 0            | 18           | A      |
| 20200908 | CORR    | 0                   | 0            | 128          | A      |
| 20200908 | CORT    | 0                   | 779          | 5049         | A      |
| 20200908 | COST    | 0                   | 2027         | 3364         | A      |
| 20200908 | COTY    | 100                 | 28141        | 43588        | A      |
| 20200908 | COUP    | 67                  | 239          | 2681         | A      |
| 20200908 | COWN    | 0                   | 22           | 25           | A      |
| 20200908 | CP      | 0                   | 490          | 541          | A      |
| 20200908 | CPA     | 0                   | 612          | 2509         | A      |
| 20200908 | CPAA    | 100                 | 204          | 1985         | A      |
| 20200908 | CPB     | 0                   | 9423         | 19974        | A      |
| 20200908 | CPE     | 108                 | 1979         | 5253         | A      |
| 20200908 | CPF     | 0                   | 31           | 100          | A      |
| 20200908 | CPG     | 1001                | 3001         | 5502         | A      |
| 20200908 | CPHI    | 1770                | 6304         | 16624        | A      |
| 20200908 | CPLG    | 0                   | 403          | 616          | A      |
| 20200908 | CPRI    | 0                   | 3069         | 5542         | A      |
| 20200908 | CPRT    | 200                 | 979          | 6750         | A      |
| 20200908 | CPRX    | 0                   | 1220         | 2621         | A      |
| 20200908 | CPS     | 0                   | 1284         | 1572         | A      |
| 20200908 | CPSI    | 0                   | 104          | 104          | A      |
| 20200908 | CPSR    | 0                   | 0            | 500          | A      |
| 20200908 | CPSR WS | 0                   | 0            | 100          | A      |
| 20200908 | CPST    | 0                   | 0            | 320          | A      |
| 20200908 | CPT     | 0                   | 435          | 1647         | A      |
| 20200908 | CQP     | 592                 | 6720         | 10492        | A      |
| 20200908 | CQQQ    | 0                   | 0            | 305          | A      |
| 20200908 | CR      | 0                   | 138          | 151          | A      |
| 20200908 | CRAI    | 0                   | 0            | 100          | A      |
| 20200908 | CRBP    | 4585                | 44184        | 51082        | A      |
| 20200908 | CRD A   | 0                   | 0            | 200          | A      |
| 20200908 | CRDF    | 0                   | 0            | 139          | A      |
| 20200908 | CREE    | 0                   | 1972         | 2387         | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | CREX   | 103                 | 103          | 103          | A      |
| 20200908 | CRF    | 806                 | 57083        | 81937        | A      |
| 20200908 | CRH    | 0                   | 100          | 100          | A      |
| 20200908 | CRHM   | 5                   | 2200         | 11049        | A      |
| 20200908 | CRI    | 104                 | 4150         | 4276         | A      |
| 20200908 | CRIS   | 0                   | 0            | 100          | A      |
| 20200908 | CRK    | 0                   | 10205        | 18703        | A      |
| 20200908 | CRL    | 0                   | 704          | 804          | A      |
| 20200908 | CRM    | 45                  | 12064        | 23972        | A      |
| 20200908 | CRMD   | 1002                | 25676        | 45032        | A      |
| 20200908 | CRMT   | 0                   | 100          | 110          | A      |
| 20200908 | CRNC   | 0                   | 215          | 422          | A      |
| 20200908 | CRNX   | 0                   | 0            | 100          | A      |
| 20200908 | CRON   | 104                 | 1542         | 2341         | A      |
| 20200908 | CROX   | 0                   | 698          | 1410         | A      |
| 20200908 | CRS    | 0                   | 33           | 53           | A      |
| 20200908 | CRSA   | 0                   | 0            | 120          | A      |
| 20200908 | CRSAW  | 0                   | 0            | 150          | A      |
| 20200908 | CRSP   | 1                   | 108          | 286          | A      |
| 20200908 | CRTO   | 0                   | 96           | 106          | A      |
| 20200908 | CRTX   | 0                   | 144          | 144          | A      |
| 20200908 | CRUS   | 0                   | 0            | 1451         | A      |
| 20200908 | CRVL   | 0                   | 6            | 86           | A      |
| 20200908 | CRVS   | 0                   | 177          | 277          | A      |
| 20200908 | CRWD   | 508                 | 5681         | 12255        | A      |
| 20200908 | CRY    | 0                   | 38           | 538          | A      |
| 20200908 | CS     | 0                   | 18205        | 22660        | A      |
| 20200908 | CSCO   | 570                 | 78261        | 141340       | A      |
| 20200908 | CSGP   | 102                 | 592          | 1333         | A      |
| 20200908 | CSGS   | 0                   | 2            | 3            | A      |
| 20200908 | CSII   | 0                   | 683          | 1110         | A      |
| 20200908 | CSIQ   | 0                   | 1562         | 1862         | A      |
| 20200908 | CSL    | 0                   | 172          | 269          | A      |
| 20200908 | CSLT   | 0                   | 0            | 400          | A      |
| 20200908 | CSOD   | 0                   | 6            | 13           | A      |
| 20200908 | CSPR   | 0                   | 915          | 1415         | A      |
| 20200908 | CSTE   | 0                   | 16           | 16           | A      |
| 20200908 | CSTL   | 300                 | 521          | 1107         | A      |
| 20200908 | CSTM   | 0                   | 405          | 2938         | A      |
| 20200908 | CSTR   | 0                   | 200          | 300          | A      |
| 20200908 | CSV    | 0                   | 74           | 74           | A      |
| 20200908 | CSWC   | 0                   | 3            | 3            | A      |
| 20200908 | CSX    | 0                   | 1418         | 2580         | A      |
| 20200908 | CTAS   | 0                   | 311          | 744          | A      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | CTB    | 0                   | 943          | 952          | A      |
| 20200908 | CTBI   | 0                   | 0            | 100          | A      |
| 20200908 | CTEK   | 100                 | 1490         | 2188         | A      |
| 20200908 | CTIC   | 0                   | 0            | 100          | A      |
| 20200908 | CTL    | 0                   | 11740        | 19506        | A      |
| 20200908 | CTLT   | 0                   | 7427         | 10567        | A      |
| 20200908 | CTMX   | 0                   | 0            | 27           | A      |
| 20200908 | CTO    | 0                   | 1137         | 5160         | A      |
| 20200908 | CTRA   | 0                   | 1579         | 1619         | A      |
| 20200908 | CTRE   | 0                   | 128          | 641          | A      |
| 20200908 | CTRN   | 0                   | 1            | 1            | A      |
| 20200908 | CTS    | 2                   | 353          | 373          | A      |
| 20200908 | CTSH   | 0                   | 1784         | 3967         | A      |
| 20200908 | CTSO   | 0                   | 286          | 690          | A      |
| 20200908 | CTVA   | 0                   | 8567         | 14643        | A      |
| 20200908 | CTXS   | 0                   | 2370         | 3117         | A      |
| 20200908 | CTZ    | 0                   | 0            | 200          | A      |
| 20200908 | CUB    | 0                   | 235          | 358          | A      |
| 20200908 | CUBE   | 0                   | 1013         | 2013         | A      |
| 20200908 | CUBI   | 0                   | 200          | 200          | A      |
| 20200908 | CUE    | 44                  | 88           | 866          | A      |
| 20200908 | CUK    | 0                   | 8364         | 11038        | A      |
| 20200908 | CURO   | 0                   | 0            | 101          | A      |
| 20200908 | CUTR   | 0                   | 100          | 114          | A      |
| 20200908 | CUZ    | 0                   | 1123         | 14213        | A      |
| 20200908 | CVA    | 0                   | 200          | 200          | A      |
| 20200908 | CVAC   | 32                  | 1217         | 1745         | A      |
| 20200908 | CVBF   | 0                   | 500          | 600          | A      |
| 20200908 | CVCO   | 0                   | 36           | 36           | A      |
| 20200908 | CVE    | 3035                | 35497        | 59331        | A      |
| 20200908 | CVEO   | 0                   | 0            | 100          | A      |
| 20200908 | CVET   | 0                   | 1977         | 2206         | A      |
| 20200908 | CVGW   | 0                   | 61           | 61           | A      |
| 20200908 | CVI    | 0                   | 1374         | 1567         | A      |
| 20200908 | CVLT   | 0                   | 418          | 605          | A      |
| 20200908 | CVLY   | 0                   | 40           | 84           | A      |
| 20200908 | CVM    | 2121                | 32005        | 52595        | A      |
| 20200908 | CVNA   | 0                   | 1277         | 1999         | A      |
| 20200908 | CVR    | 0                   | 47           | 86           | A      |
| 20200908 | CVS    | 200                 | 8903         | 11960        | A      |
| 20200908 | CVU    | 0                   | 1949         | 3589         | A      |
| 20200908 | CVX    | 24                  | 24322        | 35870        | A      |
| 20200908 | CW     | 0                   | 238          | 239          | A      |
| 20200908 | CWB    | 0                   | 14017        | 26038        | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | CWEB   | 0                   | 0            | 30           | A      |
| 20200908 | CWEN   | 0                   | 1009         | 1009         | A      |
| 20200908 | CWH    | 0                   | 2914         | 5064         | A      |
| 20200908 | CWI    | 0                   | 100          | 200          | A      |
| 20200908 | CWK    | 0                   | 2685         | 7569         | A      |
| 20200908 | CWST   | 0                   | 675          | 775          | A      |
| 20200908 | CWT    | 0                   | 49           | 540          | A      |
| 20200908 | CX     | 0                   | 21938        | 41266        | A      |
| 20200908 | CXO    | 16                  | 4706         | 9837         | A      |
| 20200908 | CXP    | 0                   | 251          | 340          | A      |
| 20200908 | CXW    | 0                   | 2463         | 6300         | A      |
| 20200908 | CYBR   | 0                   | 201          | 367          | A      |
| 20200908 | CYCN   | 0                   | 593          | 600          | A      |
| 20200908 | CYD    | 0                   | 100          | 200          | A      |
| 20200908 | CYH    | 0                   | 1562         | 3104         | A      |
| 20200908 | CYRX   | 0                   | 151          | 309          | A      |
| 20200908 | CYTK   | 0                   | 3            | 54           | A      |
| 20200908 | CZR    | 10                  | 4183         | 5249         | A      |
| 20200908 | CZZ    | 10                  | 2129         | 2394         | A      |
| 20200908 | D      | 53                  | 11685        | 25346        | A      |
| 20200908 | DADA   | 0                   | 210          | 310          | A      |
| 20200908 | DAKT   | 0                   | 300          | 403          | A      |
| 20200908 | DAL    | 100                 | 20208        | 37871        | A      |
| 20200908 | DAN    | 0                   | 2256         | 2491         | A      |
| 20200908 | DAO    | 0                   | 210          | 660          | A      |
| 20200908 | DAR    | 0                   | 761          | 1168         | A      |
| 20200908 | DAVA   | 0                   | 0            | 200          | A      |
| 20200908 | DB     | 0                   | 17351        | 20795        | A      |
| 20200908 | DBA    | 0                   | 12100        | 16880        | A      |
| 20200908 | DBC    | 0                   | 5477         | 7720         | A      |
| 20200908 | DBD    | 0                   | 127          | 3542         | A      |
| 20200908 | DBE    | 0                   | 0            | 1000         | A      |
| 20200908 | DBEF   | 0                   | 2100         | 2900         | A      |
| 20200908 | DBI    | 13                  | 5455         | 7942         | A      |
| 20200908 | DBO    | 0                   | 19448        | 42353        | A      |
| 20200908 | DBVT   | 0                   | 4            | 930          | A      |
| 20200908 | DBX    | 10                  | 1697         | 3907         | A      |
| 20200908 | DCI    | 0                   | 747          | 1536         | A      |
| 20200908 | DCO    | 0                   | 0            | 100          | A      |
| 20200908 | DCOM   | 0                   | 0            | 8            | A      |
| 20200908 | DCP    | 0                   | 100          | 100          | A      |
| 20200908 | DCPH   | 12                  | 82           | 89           | A      |
| 20200908 | DCT    | 0                   | 34           | 234          | A      |
| 20200908 | DCUE   | 0                   | 128          | 329          | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20200908 | DD      | 0                   | 6733         | 13936        | A      |
| 20200908 | DDD     | 0                   | 7847         | 10187        | A      |
| 20200908 | DDM     | 0                   | 1160         | 3450         | A      |
| 20200908 | DDOG    | 0                   | 2362         | 3572         | A      |
| 20200908 | DDS     | 0                   | 1170         | 2678         | A      |
| 20200908 | DE      | 4                   | 2124         | 2823         | A      |
| 20200908 | DEA     | 0                   | 790          | 990          | A      |
| 20200908 | DECK    | 0                   | 3100         | 6106         | A      |
| 20200908 | DEI     | 0                   | 2632         | 5936         | A      |
| 20200908 | DELL    | 0                   | 813          | 1985         | A      |
| 20200908 | DENN    | 0                   | 726          | 1982         | A      |
| 20200908 | DEO     | 0                   | 1189         | 1682         | A      |
| 20200908 | DES     | 0                   | 400          | 400          | A      |
| 20200908 | DEUR    | 0                   | 0            | 150          | A      |
| 20200908 | DFEN    | 700                 | 17181        | 20629        | A      |
| 20200908 | DFFN    | 0                   | 204          | 204          | A      |
| 20200908 | DFS     | 0                   | 3790         | 7447         | A      |
| 20200908 | DG      | 0                   | 1063         | 5916         | A      |
| 20200908 | DGICA   | 0                   | 86           | 104          | A      |
| 20200908 | DGII    | 0                   | 301          | 301          | A      |
| 20200908 | DGLY    | 0                   | 400          | 602          | A      |
| 20200908 | DGRO    | 0                   | 7714         | 8223         | A      |
| 20200908 | DGRW    | 0                   | 403          | 2009         | A      |
| 20200908 | DGX     | 54                  | 4230         | 4627         | A      |
| 20200908 | DHC     | 0                   | 1963         | 2463         | A      |
| 20200908 | DHF     | 100                 | 1900         | 2400         | A      |
| 20200908 | DHI     | 0                   | 1926         | 5227         | A      |
| 20200908 | DHR     | 0                   | 1694         | 12814        | A      |
| 20200908 | DHR PRB | 0                   | 0            | 5            | A      |
| 20200908 | DHT     | 100                 | 13709        | 20402        | A      |
| 20200908 | DHY     | 143                 | 29686        | 61667        | A      |
| 20200908 | DIA     | 0                   | 12537        | 18938        | A      |
| 20200908 | DIAX    | 0                   | 0            | 100          | A      |
| 20200908 | DIG     | 0                   | 460          | 460          | A      |
| 20200908 | DIN     | 0                   | 40           | 362          | A      |
| 20200908 | DIOD    | 0                   | 923          | 1186         | A      |
| 20200908 | DIS     | 100                 | 34301        | 55776        | A      |
| 20200908 | DISCA   | 0                   | 5984         | 10746        | A      |
| 20200908 | DISCK   | 144                 | 11790        | 20208        | A      |
| 20200908 | DISH    | 0                   | 7602         | 8448         | A      |
| 20200908 | DIT     | 0                   | 17           | 17           | A      |
| 20200908 | DIV     | 0                   | 206          | 206          | A      |
| 20200908 | DJP     | 0                   | 100          | 400          | A      |
| 20200908 | DK      | 0                   | 2578         | 11410        | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20200908 | DKNG    | 0                   | 4634         | 9609         | A      |
| 20200908 | DKS     | 0                   | 2631         | 9263         | A      |
| 20200908 | DLA     | 0                   | 2513         | 2722         | A      |
| 20200908 | DLB     | 0                   | 733          | 1233         | A      |
| 20200908 | DLHC    | 0                   | 102          | 102          | A      |
| 20200908 | DLN     | 0                   | 700          | 800          | A      |
| 20200908 | DLNG    | 0                   | 100          | 100          | A      |
| 20200908 | DLPH    | 100                 | 6143         | 6729         | A      |
| 20200908 | DLPN    | 149                 | 174          | 174          | A      |
| 20200908 | DLR     | 0                   | 1668         | 2330         | A      |
| 20200908 | DLR PRK | 0                   | 0            | 40           | A      |
| 20200908 | DLS     | 0                   | 0            | 171          | A      |
| 20200908 | DLTH    | 0                   | 678          | 1616         | A      |
| 20200908 | DLTR    | 0                   | 1818         | 18961        | A      |
| 20200908 | DLX     | 0                   | 240          | 277          | A      |
| 20200908 | DLY     | 0                   | 0            | 824          | A      |
| 20200908 | DMAC    | 0                   | 0            | 24           | A      |
| 20200908 | DMF     | 0                   | 857          | 4067         | A      |
| 20200908 | DMRC    | 0                   | 102          | 104          | A      |
| 20200908 | DMS     | 0                   | 25           | 135          | A      |
| 20200908 | DMTK    | 0                   | 100          | 402          | A      |
| 20200908 | DMYD U  | 0                   | 0            | 100          | A      |
| 20200908 | DMYT    | 0                   | 0            | 200          | A      |
| 20200908 | DNB     | 0                   | 3368         | 5447         | A      |
| 20200908 | DNKN    | 0                   | 446          | 512          | A      |
| 20200908 | DNLI    | 0                   | 120          | 127          | A      |
| 20200908 | DNN     | 8451                | 69964        | 185376       | A      |
| 20200908 | DNOW    | 122                 | 2335         | 5888         | A      |
| 20200908 | DOC     | 0                   | 1877         | 25612        | A      |
| 20200908 | DOCU    | 370                 | 4195         | 8883         | A      |
| 20200908 | DOG     | 0                   | 10521        | 15173        | A      |
| 20200908 | DOMO    | 0                   | 100          | 3624         | A      |
| 20200908 | DON     | 0                   | 500          | 500          | A      |
| 20200908 | DOOO    | 100                 | 1760         | 2104         | A      |
| 20200908 | DOOR    | 0                   | 1277         | 1789         | A      |
| 20200908 | DORM    | 0                   | 493          | 493          | A      |
| 20200908 | DOV     | 0                   | 1425         | 2227         | A      |
| 20200908 | DOW     | 0                   | 5694         | 9189         | A      |
| 20200908 | DOX     | 0                   | 221          | 337          | A      |
| 20200908 | DOYU    | 0                   | 1100         | 1336         | A      |
| 20200908 | DPHC    | 0                   | 189          | 470          | A      |
| 20200908 | DPST    | 0                   | 200          | 438          | A      |
| 20200908 | DPW     | 7687                | 123953       | 204786       | A      |
| 20200908 | DPZ     | 0                   | 119          | 218          | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20200908 | DQ      | 0                   | 102          | 202          | A      |
| 20200908 | DRAD    | 0                   | 0            | 7            | A      |
| 20200908 | DRD     | 0                   | 137          | 151          | A      |
| 20200908 | DRE     | 0                   | 2802         | 12018        | A      |
| 20200908 | DRH     | 0                   | 2927         | 3527         | A      |
| 20200908 | DRI     | 0                   | 1621         | 2695         | A      |
| 20200908 | DRIP    | 0                   | 232          | 432          | A      |
| 20200908 | DRN     | 0                   | 1952         | 4858         | A      |
| 20200908 | DRNA    | 0                   | 425          | 448          | A      |
| 20200908 | DRQ     | 0                   | 620          | 631          | A      |
| 20200908 | DRRX    | 0                   | 447          | 1105         | A      |
| 20200908 | DRTT    | 0                   | 0            | 300          | A      |
| 20200908 | DRV     | 0                   | 65           | 165          | A      |
| 20200908 | DS      | 0                   | 800          | 800          | A      |
| 20200908 | DSGX    | 0                   | 462          | 874          | A      |
| 20200908 | DSKE    | 0                   | 0            | 668          | A      |
| 20200908 | DSKEW   | 0                   | 0            | 100          | A      |
| 20200908 | DSL     | 0                   | 200          | 200          | A      |
| 20200908 | DSM     | 0                   | 0            | 100          | A      |
| 20200908 | DSPG    | 0                   | 15           | 26           | A      |
| 20200908 | DSS     | 869                 | 4320         | 12047        | A      |
| 20200908 | DSSI    | 0                   | 608          | 708          | A      |
| 20200908 | DSU     | 0                   | 0            | 200          | A      |
| 20200908 | DT      | 0                   | 850          | 1725         | A      |
| 20200908 | DTE     | 44                  | 3448         | 4862         | A      |
| 20200908 | DTEA    | 0                   | 0            | 1000         | A      |
| 20200908 | DTIL    | 0                   | 807          | 1048         | A      |
| 20200908 | DTLA PR | 0                   | 0            | 100          | A      |
| 20200908 | DTSS    | 0                   | 0            | 100          | A      |
| 20200908 | DUK     | 0                   | 1819         | 9922         | A      |
| 20200908 | DUOT    | 0                   | 0            | 200          | A      |
| 20200908 | DUST    | 510                 | 20368        | 47522        | A      |
| 20200908 | DVA     | 0                   | 850          | 1405         | A      |
| 20200908 | DVAX    | 465                 | 8988         | 24324        | A      |
| 20200908 | DVN     | 200                 | 12082        | 35926        | A      |
| 20200908 | DVY     | 0                   | 4458         | 4664         | A      |
| 20200908 | DWSH    | 0                   | 0            | 500          | A      |
| 20200908 | DWSN    | 0                   | 120          | 120          | A      |
| 20200908 | DX      | 0                   | 0            | 1            | A      |
| 20200908 | DXC     | 0                   | 3182         | 5302         | A      |
| 20200908 | DXCM    | 0                   | 253          | 562          | A      |
| 20200908 | DXD     | 0                   | 2325         | 2938         | A      |
| 20200908 | DXF     | 0                   | 1845         | 1884         | A      |
| 20200908 | DXJ     | 0                   | 12772        | 14582        | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | DXPE   | 0                   | 0            | 9            | A      |
| 20200908 | DXR    | 1                   | 1527         | 1528         | A      |
| 20200908 | DY     | 0                   | 168          | 210          | A      |
| 20200908 | DYAI   | 0                   | 0            | 100          | A      |
| 20200908 | DYNT   | 0                   | 164          | 164          | A      |
| 20200908 | DZSI   | 100                 | 100          | 100          | A      |
| 20200908 | E      | 0                   | 2290         | 2410         | A      |
| 20200908 | EA     | 60                  | 5210         | 12256        | A      |
| 20200908 | EAD    | 273                 | 8213         | 12576        | A      |
| 20200908 | EAF    | 0                   | 952          | 3388         | A      |
| 20200908 | EARS   | 0                   | 141          | 141          | A      |
| 20200908 | EAT    | 0                   | 2036         | 2687         | A      |
| 20200908 | EB     | 0                   | 532          | 1089         | A      |
| 20200908 | EBAY   | 100                 | 20885        | 44828        | A      |
| 20200908 | EBF    | 0                   | 2            | 2            | A      |
| 20200908 | EBIX   | 0                   | 314          | 344          | A      |
| 20200908 | EBIZ   | 0                   | 1            | 102          | A      |
| 20200908 | EBON   | 0                   | 9            | 109          | A      |
| 20200908 | EBR    | 0                   | 1636         | 1655         | A      |
| 20200908 | EBR B  | 0                   | 0            | 200          | A      |
| 20200908 | EBS    | 6                   | 221          | 862          | A      |
| 20200908 | EBSB   | 0                   | 100          | 100          | A      |
| 20200908 | EC     | 0                   | 1820         | 2724         | A      |
| 20200908 | ECF    | 0                   | 607          | 5167         | A      |
| 20200908 | ECH    | 0                   | 500          | 600          | A      |
| 20200908 | ECL    | 0                   | 1184         | 3324         | A      |
| 20200908 | ECOL   | 0                   | 1293         | 1697         | A      |
| 20200908 | ECOM   | 0                   | 1061         | 2089         | A      |
| 20200908 | ECOR   | 0                   | 500          | 706          | A      |
| 20200908 | ECPG   | 0                   | 9            | 37           | A      |
| 20200908 | ED     | 0                   | 8019         | 10190        | A      |
| 20200908 | EDAP   | 0                   | 0            | 100          | A      |
| 20200908 | EDC    | 0                   | 300          | 300          | A      |
| 20200908 | EDD    | 0                   | 0            | 100          | A      |
| 20200908 | EDIT   | 0                   | 51           | 689          | A      |
| 20200908 | EDNT   | 200                 | 300          | 487          | A      |
| 20200908 | EDOC   | 0                   | 1100         | 1300         | A      |
| 20200908 | EDSA   | 0                   | 3464         | 5667         | A      |
| 20200908 | EDU    | 0                   | 267          | 507          | A      |
| 20200908 | EEFT   | 7                   | 150          | 2136         | A      |
| 20200908 | EEM    | 0                   | 182129       | 307790       | A      |
| 20200908 | EEMV   | 0                   | 1400         | 3536         | A      |
| 20200908 | EEX    | 0                   | 556          | 1056         | A      |
| 20200908 | EFA    | 3000                | 133976       | 167512       | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | EFAV   | 0                   | 190          | 750          | A      |
| 20200908 | EFG    | 0                   | 0            | 6            | A      |
| 20200908 | EFNL   | 0                   | 100          | 300          | A      |
| 20200908 | EFOI   | 0                   | 100          | 100          | A      |
| 20200908 | EFSC   | 0                   | 12           | 12           | A      |
| 20200908 | EFV    | 0                   | 6308         | 6808         | A      |
| 20200908 | EFX    | 0                   | 5644         | 7092         | A      |
| 20200908 | EGAN   | 326                 | 337          | 955          | A      |
| 20200908 | EGBN   | 0                   | 207          | 207          | A      |
| 20200908 | EGHT   | 0                   | 309          | 469          | A      |
| 20200908 | EGLE   | 0                   | 0            | 24           | A      |
| 20200908 | EGO    | 100                 | 2894         | 6593         | A      |
| 20200908 | EGOV   | 0                   | 5            | 272          | A      |
| 20200908 | EGP    | 0                   | 0            | 822          | A      |
| 20200908 | EGRX   | 0                   | 25           | 202          | A      |
| 20200908 | EGY    | 0                   | 8237         | 19878        | A      |
| 20200908 | EHC    | 0                   | 229          | 395          | A      |
| 20200908 | EHI    | 0                   | 100          | 100          | A      |
| 20200908 | EHTH   | 0                   | 1717         | 2174         | A      |
| 20200908 | EIDO   | 0                   | 7872         | 10576        | A      |
| 20200908 | EIDX   | 0                   | 246          | 246          | A      |
| 20200908 | EIG    | 5                   | 363          | 563          | A      |
| 20200908 | EIGI   | 0                   | 100          | 144          | A      |
| 20200908 | EIGR   | 0                   | 660          | 1085         | A      |
| 20200908 | EIM    | 380                 | 4292         | 10822        | A      |
| 20200908 | EIX    | 0                   | 4575         | 6327         | A      |
| 20200908 | EKSO   | 0                   | 0            | 200          | A      |
| 20200908 | EL     | 0                   | 1878         | 3246         | A      |
| 20200908 | ELA    | 0                   | 2105         | 7391         | A      |
| 20200908 | ELAN   | 0                   | 5835         | 21215        | A      |
| 20200908 | ELF    | 0                   | 463          | 978          | A      |
| 20200908 | ELLO   | 0                   | 136          | 185          | A      |
| 20200908 | ELMD   | 252                 | 11136        | 16959        | A      |
| 20200908 | ELP    | 100                 | 1646         | 1672         | A      |
| 20200908 | ELS    | 0                   | 1209         | 1410         | A      |
| 20200908 | ELY    | 0                   | 746          | 3636         | A      |
| 20200908 | EMAN   | 23679               | 31098        | 47821        | A      |
| 20200908 | EMB    | 0                   | 5147         | 7706         | A      |
| 20200908 | EMCF   | 0                   | 0            | 200          | A      |
| 20200908 | EME    | 0                   | 197          | 472          | A      |
| 20200908 | EML    | 0                   | 1            | 1            | A      |
| 20200908 | EMLC   | 0                   | 27209        | 39003        | A      |
| 20200908 | EMLP   | 0                   | 0            | 200          | A      |
| 20200908 | EMN    | 0                   | 406          | 609          | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20200908 | EMQQ    | 0                   | 300          | 300          | A      |
| 20200908 | EMR     | 0                   | 4062         | 8724         | A      |
| 20200908 | EMX     | 1436                | 9762         | 23337        | A      |
| 20200908 | ENB     | 0                   | 3691         | 9105         | A      |
| 20200908 | ENBL    | 0                   | 200          | 300          | A      |
| 20200908 | ENDP    | 0                   | 4621         | 5221         | A      |
| 20200908 | ENIA    | 0                   | 1551         | 1909         | A      |
| 20200908 | ENIC    | 0                   | 400          | 400          | A      |
| 20200908 | ENLC    | 0                   | 2838         | 3318         | A      |
| 20200908 | ENOB    | 0                   | 300          | 300          | A      |
| 20200908 | ENOR    | 0                   | 0            | 13           | A      |
| 20200908 | ENPH    | 101                 | 596          | 4948         | A      |
| 20200908 | ENR     | 0                   | 1045         | 1381         | A      |
| 20200908 | ENS     | 0                   | 0            | 3329         | A      |
| 20200908 | ENSG    | 0                   | 222          | 225          | A      |
| 20200908 | ENSV    | 28613               | 30787        | 51973        | A      |
| 20200908 | ENTA    | 0                   | 180          | 286          | A      |
| 20200908 | ENTG    | 0                   | 300          | 1530         | A      |
| 20200908 | ENV     | 0                   | 267          | 285          | A      |
| 20200908 | ENVA    | 0                   | 106          | 115          | A      |
| 20200908 | ENX     | 0                   | 986          | 3177         | A      |
| 20200908 | EOD     | 0                   | 200          | 782          | A      |
| 20200908 | EOG     | 0                   | 9843         | 13946        | A      |
| 20200908 | EOLS    | 0                   | 100          | 100          | A      |
| 20200908 | EOT     | 0                   | 0            | 110          | A      |
| 20200908 | EPAC    | 0                   | 124          | 624          | A      |
| 20200908 | EPAM    | 0                   | 1117         | 1203         | A      |
| 20200908 | EPAY    | 0                   | 258          | 542          | A      |
| 20200908 | EPC     | 88                  | 405          | 914          | A      |
| 20200908 | EPD     | 200                 | 3395         | 8442         | A      |
| 20200908 | EPHE    | 0                   | 100          | 300          | A      |
| 20200908 | EPI     | 200                 | 3500         | 4628         | A      |
| 20200908 | EPM     | 100                 | 11026        | 26517        | A      |
| 20200908 | EPOL    | 0                   | 0            | 584          | A      |
| 20200908 | EPP     | 0                   | 220          | 330          | A      |
| 20200908 | EPR     | 0                   | 429          | 544          | A      |
| 20200908 | EPR PRC | 0                   | 0            | 100          | A      |
| 20200908 | EPRT    | 0                   | 128          | 242          | A      |
| 20200908 | EPSN    | 0                   | 9373         | 18514        | A      |
| 20200908 | EPZM    | 0                   | 118          | 231          | A      |
| 20200908 | EQ      | 0                   | 600          | 3232         | A      |
| 20200908 | EQC     | 0                   | 217          | 2326         | A      |
| 20200908 | EQH     | 0                   | 2011         | 5699         | A      |
| 20200908 | EQH PRA | 0                   | 200          | 204          | A      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | EQIX   | 0                   | 74           | 187          | A      |
| 20200908 | EQNR   | 0                   | 12741        | 18189        | A      |
| 20200908 | EQR    | 2                   | 2832         | 3523         | A      |
| 20200908 | EQT    | 0                   | 14893        | 22393        | A      |
| 20200908 | EQX    | 11333               | 136414       | 223310       | A      |
| 20200908 | ERC    | 700                 | 1363         | 7768         | A      |
| 20200908 | ERF    | 300                 | 800          | 1000         | A      |
| 20200908 | ERH    | 0                   | 3482         | 4032         | A      |
| 20200908 | ERIC   | 10                  | 12237        | 20177        | A      |
| 20200908 | ERIE   | 0                   | 10           | 10           | A      |
| 20200908 | ERII   | 0                   | 9            | 9            | A      |
| 20200908 | ERJ    | 500                 | 2812         | 5571         | A      |
| 20200908 | EROS   | 0                   | 3998         | 5301         | A      |
| 20200908 | ERUS   | 0                   | 955          | 2710         | A      |
| 20200908 | ERX    | 400                 | 36542        | 45291        | A      |
| 20200908 | ES     | 0                   | 1566         | 3062         | A      |
| 20200908 | ESCA   | 0                   | 100          | 100          | A      |
| 20200908 | ESE    | 0                   | 713          | 762          | A      |
| 20200908 | ESGD   | 0                   | 500          | 600          | A      |
| 20200908 | ESGE   | 0                   | 0            | 300          | A      |
| 20200908 | ESGR   | 0                   | 216          | 224          | A      |
| 20200908 | ESGU   | 0                   | 7212         | 7228         | A      |
| 20200908 | ESGV   | 0                   | 5500         | 6550         | A      |
| 20200908 | ESI    | 1                   | 3259         | 4295         | A      |
| 20200908 | ESNT   | 0                   | 901          | 1303         | A      |
| 20200908 | ESP    | 0                   | 320          | 400          | A      |
| 20200908 | ESPR   | 0                   | 1319         | 22615        | A      |
| 20200908 | ESRT   | 0                   | 6686         | 10748        | A      |
| 20200908 | ESS    | 0                   | 463          | 562          | A      |
| 20200908 | ESTA   | 0                   | 0            | 115          | A      |
| 20200908 | ESTC   | 0                   | 1649         | 3560         | A      |
| 20200908 | ET     | 73                  | 15658        | 57358        | A      |
| 20200908 | ETFC   | 0                   | 9769         | 15802        | A      |
| 20200908 | ETH    | 0                   | 200          | 678          | A      |
| 20200908 | ETM    | 0                   | 1600         | 4267         | A      |
| 20200908 | ETN    | 0                   | 1658         | 3971         | A      |
| 20200908 | ETO    | 0                   | 0            | 104          | A      |
| 20200908 | ETON   | 0                   | 2831         | 2960         | A      |
| 20200908 | ETR    | 0                   | 553          | 1720         | A      |
| 20200908 | ETRN   | 0                   | 5683         | 16127        | A      |
| 20200908 | ETSY   | 20                  | 7418         | 13332        | A      |
| 20200908 | ETTX   | 0                   | 0            | 201          | A      |
| 20200908 | ETV    | 0                   | 0            | 100          | A      |
| 20200908 | EUFN   | 0                   | 2660         | 2941         | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | EUM    | 0                   | 500          | 500          | A      |
| 20200908 | EURN   | 0                   | 5724         | 7879         | A      |
| 20200908 | EV     | 100                 | 655          | 907          | A      |
| 20200908 | EVA    | 0                   | 20           | 30           | A      |
| 20200908 | EVBG   | 0                   | 120          | 131          | A      |
| 20200908 | EVBN   | 0                   | 850          | 2207         | A      |
| 20200908 | EVC    | 0                   | 100          | 100          | A      |
| 20200908 | EVER   | 0                   | 740          | 1220         | A      |
| 20200908 | EVF    | 0                   | 0            | 55           | A      |
| 20200908 | EVFM   | 10777               | 18420        | 19532        | A      |
| 20200908 | EVGN   | 0                   | 0            | 100          | A      |
| 20200908 | EVH    | 0                   | 345          | 1277         | A      |
| 20200908 | EVI    | 0                   | 840          | 1324         | A      |
| 20200908 | EVLO   | 0                   | 900          | 1100         | A      |
| 20200908 | EVM    | 501                 | 2463         | 6316         | A      |
| 20200908 | EVOK   | 0                   | 233          | 233          | A      |
| 20200908 | EVOP   | 0                   | 143          | 243          | A      |
| 20200908 | EVR    | 0                   | 436          | 604          | A      |
| 20200908 | EVRG   | 88                  | 284          | 389          | A      |
| 20200908 | EVRI   | 0                   | 776          | 798          | A      |
| 20200908 | EVSI   | 0                   | 94           | 106          | A      |
| 20200908 | EVSIW  | 0                   | 0            | 513          | A      |
| 20200908 | EVT    | 0                   | 100          | 100          | A      |
| 20200908 | EVTC   | 0                   | 457          | 767          | A      |
| 20200908 | EVV    | 1776                | 6769         | 16229        | A      |
| 20200908 | EVY    | 0                   | 0            | 2448         | A      |
| 20200908 | EW     | 5                   | 3315         | 12600        | A      |
| 20200908 | EWA    | 0                   | 34176        | 41550        | A      |
| 20200908 | EWBC   | 0                   | 206          | 906          | A      |
| 20200908 | EWC    | 0                   | 31155        | 35262        | A      |
| 20200908 | EWD    | 0                   | 500          | 996          | A      |
| 20200908 | EWG    | 0                   | 29125        | 38501        | A      |
| 20200908 | EWH    | 0                   | 32530        | 37180        | A      |
| 20200908 | EWI    | 0                   | 256          | 286          | A      |
| 20200908 | EWJ    | 0                   | 37899        | 41999        | A      |
| 20200908 | EWK    | 0                   | 0            | 100          | A      |
| 20200908 | EWL    | 0                   | 9481         | 11886        | A      |
| 20200908 | EWM    | 0                   | 7483         | 10546        | A      |
| 20200908 | EWN    | 0                   | 904          | 3207         | A      |
| 20200908 | EWP    | 0                   | 11861        | 13551        | A      |
| 20200908 | EWQ    | 0                   | 7639         | 11193        | A      |
| 20200908 | EWS    | 0                   | 3162         | 20086        | A      |
| 20200908 | EWT    | 0                   | 16420        | 24317        | A      |
| 20200908 | EWU    | 0                   | 24114        | 29576        | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | EWV    | 0                   | 37318        | 43121        | A      |
| 20200908 | EWX    | 0                   | 60           | 60           | A      |
| 20200908 | EWY    | 0                   | 28502        | 45835        | A      |
| 20200908 | EWZ    | 0                   | 109507       | 154209       | A      |
| 20200908 | EXAS   | 0                   | 766          | 3267         | A      |
| 20200908 | EXC    | 0                   | 6951         | 10761        | A      |
| 20200908 | EXD    | 300                 | 400          | 600          | A      |
| 20200908 | EXEL   | 0                   | 1500         | 4746         | A      |
| 20200908 | EXG    | 0                   | 1300         | 1900         | A      |
| 20200908 | EXK    | 100                 | 9072         | 13256        | A      |
| 20200908 | EXLS   | 0                   | 112          | 424          | A      |
| 20200908 | EXP    | 0                   | 180          | 657          | A      |
| 20200908 | EXPD   | 0                   | 3637         | 4740         | A      |
| 20200908 | EXPE   | 0                   | 3393         | 4637         | A      |
| 20200908 | EXPI   | 0                   | 502          | 602          | A      |
| 20200908 | EXPO   | 0                   | 100          | 120          | A      |
| 20200908 | EXPR   | 2030                | 19816        | 38482        | A      |
| 20200908 | EXR    | 0                   | 695          | 1071         | A      |
| 20200908 | EXTN   | 0                   | 102          | 206          | A      |
| 20200908 | EXTR   | 0                   | 800          | 901          | A      |
| 20200908 | EYE    | 0                   | 39           | 2521         | A      |
| 20200908 | EYEG   | 0                   | 100          | 100          | A      |
| 20200908 | EYEN   | 0                   | 100          | 100          | A      |
| 20200908 | EYPT   | 0                   | 0            | 100          | A      |
| 20200908 | EZPW   | 0                   | 331          | 634          | A      |
| 20200908 | EZU    | 0                   | 37956        | 46866        | A      |
| 20200908 | F      | 8460                | 332208       | 484155       | A      |
| 20200908 | FAF    | 0                   | 234          | 260          | A      |
| 20200908 | FAII U | 0                   | 0            | 200          | A      |
| 20200908 | FANG   | 2                   | 4737         | 7398         | A      |
| 20200908 | FANH   | 0                   | 7            | 7            | A      |
| 20200908 | FARM   | 0                   | 465          | 565          | A      |
| 20200908 | FARO   | 0                   | 51           | 251          | A      |
| 20200908 | FAS    | 0                   | 2654         | 3254         | A      |
| 20200908 | FAST   | 0                   | 18339        | 24709        | A      |
| 20200908 | FATE   | 2                   | 1676         | 2118         | A      |
| 20200908 | FAX    | 783                 | 14286        | 48499        | A      |
| 20200908 | FAZ    | 0                   | 12964        | 24877        | A      |
| 20200908 | FB     | 0                   | 33323        | 53946        | A      |
| 20200908 | FBC    | 0                   | 1082         | 1754         | A      |
| 20200908 | FBHS   | 0                   | 901          | 1031         | A      |
| 20200908 | FBIO   | 0                   | 700          | 700          | A      |
| 20200908 | FBK    | 0                   | 251          | 651          | A      |
| 20200908 | FBM    | 0                   | 261          | 564          | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | FBMS   | 0                   | 0            | 4            | A      |
| 20200908 | FBNC   | 0                   | 2            | 225          | A      |
| 20200908 | FBP    | 0                   | 4245         | 4970         | A      |
| 20200908 | FBRX   | 0                   | 0            | 150          | A      |
| 20200908 | FBT    | 0                   | 700          | 1700         | A      |
| 20200908 | FCAU   | 0                   | 1657         | 2227         | A      |
| 20200908 | FCBC   | 0                   | 100          | 100          | A      |
| 20200908 | FCCO   | 0                   | 3            | 3            | A      |
| 20200908 | FCEL   | 66                  | 28853        | 34088        | A      |
| 20200908 | FCF    | 0                   | 611          | 811          | A      |
| 20200908 | FCFS   | 0                   | 337          | 529          | A      |
| 20200908 | FCG    | 0                   | 2200         | 2200         | A      |
| 20200908 | FCN    | 0                   | 452          | 480          | A      |
| 20200908 | FCNCA  | 0                   | 0            | 38           | A      |
| 20200908 | FCO    | 1                   | 2597         | 4878         | A      |
| 20200908 | FCPT   | 0                   | 211          | 211          | A      |
| 20200908 | FCX    | 0                   | 25038        | 44442        | A      |
| 20200908 | FDIS   | 0                   | 885          | 886          | A      |
| 20200908 | FDL    | 0                   | 1600         | 1600         | A      |
| 20200908 | FDN    | 0                   | 1466         | 1838         | A      |
| 20200908 | FDP    | 0                   | 412          | 641          | A      |
| 20200908 | FDS    | 0                   | 574          | 659          | A      |
| 20200908 | FDUS   | 0                   | 297          | 297          | A      |
| 20200908 | FDX    | 0                   | 1613         | 3687         | A      |
| 20200908 | FE     | 0                   | 5483         | 17153        | A      |
| 20200908 | FEAC U | 0                   | 1000         | 1000         | A      |
| 20200908 | FEIM   | 0                   | 0            | 40           | A      |
| 20200908 | FELE   | 0                   | 504          | 540          | A      |
| 20200908 | FEN    | 0                   | 3814         | 5819         | A      |
| 20200908 | FENC   | 0                   | 308          | 430          | A      |
| 20200908 | FENY   | 0                   | 3950         | 3950         | A      |
| 20200908 | FEYE   | 0                   | 27461        | 34538        | A      |
| 20200908 | FEZ    | 0                   | 30378        | 35063        | A      |
| 20200908 | FF     | 0                   | 309          | 439          | A      |
| 20200908 | FFBC   | 0                   | 443          | 743          | A      |
| 20200908 | FFG    | 0                   | 0            | 28           | A      |
| 20200908 | FFIC   | 0                   | 253          | 413          | A      |
| 20200908 | FFIN   | 0                   | 622          | 728          | A      |
| 20200908 | FFIV   | 15                  | 701          | 2201         | A      |
| 20200908 | FFWM   | 0                   | 100          | 206          | A      |
| 20200908 | FGD    | 0                   | 0            | 100          | A      |
| 20200908 | FGEN   | 0                   | 157          | 231          | A      |
| 20200908 | FHB    | 0                   | 1160         | 1896         | A      |
| 20200908 | FHI    | 0                   | 489          | 609          | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | FHLC   | 0                   | 549          | 689          | A      |
| 20200908 | FHN    | 200                 | 10357        | 23970        | A      |
| 20200908 | FI     | 0                   | 609          | 2909         | A      |
| 20200908 | FIBK   | 0                   | 0            | 10           | A      |
| 20200908 | FICO   | 0                   | 124          | 124          | A      |
| 20200908 | FINV   | 200                 | 2893         | 4493         | A      |
| 20200908 | FINX   | 0                   | 164          | 232          | A      |
| 20200908 | FIS    | 56                  | 8465         | 11938        | A      |
| 20200908 | FISI   | 0                   | 289          | 389          | A      |
| 20200908 | FISV   | 69                  | 15710        | 33073        | A      |
| 20200908 | FIT    | 0                   | 2006         | 2336         | A      |
| 20200908 | FITB   | 12                  | 14539        | 27206        | A      |
| 20200908 | FIVE   | 0                   | 281          | 693          | A      |
| 20200908 | FIVG   | 0                   | 10           | 41           | A      |
| 20200908 | FIVN   | 0                   | 275          | 705          | A      |
| 20200908 | FIX    | 0                   | 114          | 203          | A      |
| 20200908 | FIXD   | 0                   | 200          | 200          | A      |
| 20200908 | FIXX   | 0                   | 129          | 149          | A      |
| 20200908 | FIZZ   | 0                   | 600          | 1402         | A      |
| 20200908 | FL     | 5                   | 7678         | 12359        | A      |
| 20200908 | FLDM   | 0                   | 1714         | 6390         | A      |
| 20200908 | FLEX   | 100                 | 11460        | 13856        | A      |
| 20200908 | FLGB   | 0                   | 0            | 100          | A      |
| 20200908 | FLGT   | 0                   | 17           | 156          | A      |
| 20200908 | FLIC   | 0                   | 100          | 100          | A      |
| 20200908 | FLIR   | 0                   | 1248         | 1929         | A      |
| 20200908 | FLL    | 39                  | 178          | 640          | A      |
| 20200908 | FLMN   | 0                   | 0            | 100          | A      |
| 20200908 | FLNT   | 0                   | 244          | 344          | A      |
| 20200908 | FLO    | 0                   | 1528         | 2844         | A      |
| 20200908 | FLOT   | 0                   | 2081         | 2081         | A      |
| 20200908 | FLOW   | 0                   | 321          | 422          | A      |
| 20200908 | FLR    | 153                 | 3175         | 17431        | A      |
| 20200908 | FLRN   | 0                   | 4500         | 4500         | A      |
| 20200908 | FLS    | 0                   | 1822         | 2342         | A      |
| 20200908 | FLT    | 0                   | 683          | 1099         | A      |
| 20200908 | FLUX   | 0                   | 0            | 140          | A      |
| 20200908 | FLWS   | 0                   | 415          | 8548         | A      |
| 20200908 | FLXN   | 0                   | 50           | 654          | A      |
| 20200908 | FLXS   | 0                   | 0            | 3            | A      |
| 20200908 | FLY    | 0                   | 200          | 406          | A      |
| 20200908 | FMB    | 0                   | 0            | 30           | A      |
| 20200908 | FMBI   | 0                   | 404          | 545          | A      |
| 20200908 | FMC    | 0                   | 232          | 332          | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | FMCI   | 0                   | 0            | 1            | A      |
| 20200908 | FMCIW  | 0                   | 0            | 1300         | A      |
| 20200908 | FMS    | 0                   | 100          | 100          | A      |
| 20200908 | FMTX   | 0                   | 1            | 436          | A      |
| 20200908 | FMX    | 501                 | 1513         | 2163         | A      |
| 20200908 | FN     | 0                   | 210          | 218          | A      |
| 20200908 | FNB    | 0                   | 11945        | 17869        | A      |
| 20200908 | FNCL   | 0                   | 500          | 500          | A      |
| 20200908 | FND    | 0                   | 3287         | 3767         | A      |
| 20200908 | FNDA   | 0                   | 366          | 366          | A      |
| 20200908 | FNDC   | 0                   | 100          | 100          | A      |
| 20200908 | FNDE   | 0                   | 3900         | 4400         | A      |
| 20200908 | FNDF   | 0                   | 0            | 100          | A      |
| 20200908 | FNDX   | 0                   | 450          | 450          | A      |
| 20200908 | FNF    | 0                   | 2944         | 4519         | A      |
| 20200908 | FNGD   | 0                   | 470          | 1029         | A      |
| 20200908 | FNGO   | 3                   | 3            | 3            | A      |
| 20200908 | FNGU   | 103                 | 669          | 3302         | A      |
| 20200908 | FNHC   | 0                   | 0            | 18           | A      |
| 20200908 | FNKO   | 0                   | 30           | 87           | A      |
| 20200908 | FNV    | 0                   | 117          | 820          | A      |
| 20200908 | FNWB   | 0                   | 0            | 96           | A      |
| 20200908 | FOCS   | 0                   | 515          | 515          | A      |
| 20200908 | FOE    | 0                   | 324          | 424          | A      |
| 20200908 | FOLD   | 0                   | 2742         | 4407         | A      |
| 20200908 | FOR    | 0                   | 100          | 100          | A      |
| 20200908 | FORK   | 0                   | 1600         | 1700         | A      |
| 20200908 | FORM   | 0                   | 330          | 343          | A      |
| 20200908 | FORR   | 0                   | 100          | 100          | A      |
| 20200908 | FOSL   | 20                  | 15252        | 15666        | A      |
| 20200908 | FOUR   | 0                   | 1596         | 3402         | A      |
| 20200908 | FOX    | 0                   | 1796         | 2528         | A      |
| 20200908 | FOXA   | 0                   | 4162         | 7879         | A      |
| 20200908 | FOXF   | 0                   | 3960         | 4362         | A      |
| 20200908 | FPAY   | 0                   | 18           | 18           | A      |
| 20200908 | FPE    | 0                   | 802          | 4502         | A      |
| 20200908 | FPEI   | 0                   | 400          | 2500         | A      |
| 20200908 | FPI    | 0                   | 103          | 103          | A      |
| 20200908 | FPRX   | 0                   | 31           | 31           | A      |
| 20200908 | FPXI   | 0                   | 0            | 5            | A      |
| 20200908 | FR     | 0                   | 801          | 1521         | A      |
| 20200908 | FRA    | 0                   | 0            | 100          | A      |
| 20200908 | FRAN   | 0                   | 0            | 101          | A      |
| 20200908 | FRBA   | 0                   | 121          | 121          | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | FRC    | 0                   | 137          | 541          | A      |
| 20200908 | FRD    | 0                   | 2378         | 2644         | A      |
| 20200908 | FREE   | 0                   | 1575         | 2348         | A      |
| 20200908 | FREL   | 0                   | 1622         | 2050         | A      |
| 20200908 | FREQ   | 0                   | 249          | 400          | A      |
| 20200908 | FRG    | 0                   | 101          | 326          | A      |
| 20200908 | FRGI   | 0                   | 242          | 448          | A      |
| 20200908 | FRME   | 0                   | 1            | 1            | A      |
| 20200908 | FRO    | 0                   | 12765        | 12965        | A      |
| 20200908 | FRPH   | 0                   | 24           | 24           | A      |
| 20200908 | FRPT   | 0                   | 865          | 1367         | A      |
| 20200908 | FRT    | 0                   | 921          | 1432         | A      |
| 20200908 | FRTA   | 0                   | 64           | 134          | A      |
| 20200908 | FSI    | 400                 | 2874         | 7092         | A      |
| 20200908 | FSK    | 0                   | 299          | 1001         | A      |
| 20200908 | FSKR   | 200                 | 200          | 400          | A      |
| 20200908 | FSLR   | 5                   | 1035         | 1545         | A      |
| 20200908 | FSLY   | 162                 | 1112         | 4642         | A      |
| 20200908 | FSM    | 900                 | 43614        | 48799        | A      |
| 20200908 | FSP    | 0                   | 40511        | 77375        | A      |
| 20200908 | FSRVW  | 0                   | 0            | 800          | A      |
| 20200908 | FSS    | 0                   | 71           | 374          | A      |
| 20200908 | FSTA   | 0                   | 1            | 1            | A      |
| 20200908 | FSV    | 0                   | 354          | 554          | A      |
| 20200908 | FTA    | 0                   | 635          | 1735         | A      |
| 20200908 | FTAC   | 0                   | 100          | 500          | A      |
| 20200908 | FTAI   | 0                   | 40           | 190          | A      |
| 20200908 | FTC    | 0                   | 1364         | 1564         | A      |
| 20200908 | FTCH   | 100                 | 1306         | 17855        | A      |
| 20200908 | FTCS   | 0                   | 600          | 1552         | A      |
| 20200908 | FTDR   | 0                   | 16           | 16           | A      |
| 20200908 | FTEC   | 0                   | 2005         | 3055         | A      |
| 20200908 | FTEK   | 0                   | 100          | 100          | A      |
| 20200908 | FTF    | 0                   | 4672         | 16770        | A      |
| 20200908 | FTHM   | 0                   | 2            | 2            | A      |
| 20200908 | FTI    | 0                   | 9652         | 22247        | A      |
| 20200908 | FTK    | 0                   | 183          | 365          | A      |
| 20200908 | FTNT   | 0                   | 696          | 782          | A      |
| 20200908 | FTS    | 0                   | 811          | 1271         | A      |
| 20200908 | FTSI   | 300                 | 10050        | 14450        | A      |
| 20200908 | FTSM   | 0                   | 0            | 800          | A      |
| 20200908 | FTV    | 0                   | 4630         | 8173         | A      |
| 20200908 | FUL    | 0                   | 303          | 305          | A      |
| 20200908 | FULC   | 0                   | 102          | 402          | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20200908 | FULT    | 0                   | 1711         | 3002         | A      |
| 20200908 | FUN     | 0                   | 2420         | 4614         | A      |
| 20200908 | FUTU    | 0                   | 1400         | 1800         | A      |
| 20200908 | FUTY    | 0                   | 0            | 2            | A      |
| 20200908 | FUV     | 100                 | 300          | 1058         | A      |
| 20200908 | FV      | 0                   | 200          | 490          | A      |
| 20200908 | FVAC    | 0                   | 198          | 398          | A      |
| 20200908 | FVAC WS | 0                   | 400          | 500          | A      |
| 20200908 | FVD     | 300                 | 10516        | 14978        | A      |
| 20200908 | FVRR    | 204                 | 805          | 1034         | A      |
| 20200908 | FWONK   | 0                   | 484          | 547          | A      |
| 20200908 | FWRD    | 0                   | 142          | 142          | A      |
| 20200908 | FXA     | 0                   | 0            | 85           | A      |
| 20200908 | FXD     | 0                   | 100          | 100          | A      |
| 20200908 | FXE     | 0                   | 200          | 300          | A      |
| 20200908 | FXH     | 0                   | 600          | 4600         | A      |
| 20200908 | FXI     | 1537                | 176515       | 208401       | A      |
| 20200908 | FXL     | 0                   | 250          | 1050         | A      |
| 20200908 | FXN     | 0                   | 100          | 100          | A      |
| 20200908 | FXU     | 0                   | 0            | 100          | A      |
| 20200908 | FXY     | 0                   | 0            | 5            | A      |
| 20200908 | G       | 0                   | 123          | 2041         | A      |
| 20200908 | GAB     | 0                   | 300          | 300          | A      |
| 20200908 | GABC    | 0                   | 2            | 2            | A      |
| 20200908 | GAIA    | 0                   | 100          | 100          | A      |
| 20200908 | GAIN    | 0                   | 164          | 164          | A      |
| 20200908 | GALT    | 0                   | 107          | 107          | A      |
| 20200908 | GAM     | 0                   | 164          | 200          | A      |
| 20200908 | GAN     | 500                 | 926          | 1028         | A      |
| 20200908 | GATX    | 0                   | 543          | 683          | A      |
| 20200908 | GAU     | 16802               | 79137        | 133519       | A      |
| 20200908 | GB      | 0                   | 300          | 300          | A      |
| 20200908 | GBCI    | 24                  | 213          | 549          | A      |
| 20200908 | GBDC    | 0                   | 927          | 1050         | A      |
| 20200908 | GBIL    | 138                 | 538          | 911          | A      |
| 20200908 | GBIO    | 0                   | 176          | 516          | A      |
| 20200908 | GBLI    | 0                   | 349          | 349          | A      |
| 20200908 | GBR     | 0                   | 280          | 1729         | A      |
| 20200908 | GBT     | 0                   | 12           | 747          | A      |
| 20200908 | GBX     | 0                   | 132          | 154          | A      |
| 20200908 | GCI     | 900                 | 3174         | 3274         | A      |
| 20200908 | GCO     | 0                   | 357          | 360          | A      |
| 20200908 | GCP     | 0                   | 649          | 756          | A      |
| 20200908 | GD      | 0                   | 1980         | 2908         | A      |



| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20200908 | GDDY    | 0                   | 402          | 678          | A      |
| 20200908 | GDEN    | 0                   | 0            | 100          | A      |
| 20200908 | GDOT    | 0                   | 249          | 404          | A      |
| 20200908 | GDP     | 0                   | 2603         | 3971         | A      |
| 20200908 | GDS     | 0                   | 410          | 1697         | A      |
| 20200908 | GDV     | 0                   | 0            | 100          | A      |
| 20200908 | GDX     | 311                 | 105080       | 184054       | A      |
| 20200908 | GDXJ    | 1100                | 63315        | 101693       | A      |
| 20200908 | GDYN    | 0                   | 240          | 301          | A      |
| 20200908 | GE      | 0                   | 307322       | 535621       | A      |
| 20200908 | GECC    | 0                   | 100          | 300          | A      |
| 20200908 | GEF     | 0                   | 1201         | 1221         | A      |
| 20200908 | GEF B   | 0                   | 0            | 198          | A      |
| 20200908 | GEL     | 0                   | 1700         | 6891         | A      |
| 20200908 | GEN     | 0                   | 129          | 258          | A      |
| 20200908 | GENE    | 500                 | 500          | 500          | A      |
| 20200908 | GEO     | 0                   | 420          | 819          | A      |
| 20200908 | GEOS    | 0                   | 0            | 195          | A      |
| 20200908 | GERN    | 0                   | 1650         | 2750         | A      |
| 20200908 | GES     | 0                   | 5791         | 6251         | A      |
| 20200908 | GEVO    | 175506              | 228794       | 646935       | A      |
| 20200908 | GFF     | 48                  | 604          | 1194         | A      |
| 20200908 | GFI     | 100                 | 29391        | 78637        | A      |
| 20200908 | GFL     | 0                   | 1099         | 1119         | A      |
| 20200908 | GGAL    | 0                   | 510          | 1010         | A      |
| 20200908 | GGB     | 1500                | 12706        | 22003        | A      |
| 20200908 | GGG     | 0                   | 724          | 1039         | A      |
| 20200908 | GGN     | 1070                | 67391        | 118106       | A      |
| 20200908 | GGN PRB | 0                   | 1136         | 1636         | A      |
| 20200908 | GH      | 0                   | 350          | 457          | A      |
| 20200908 | GHG     | 0                   | 1            | 1            | A      |
| 20200908 | GHL     | 0                   | 235          | 335          | A      |
| 20200908 | GHM     | 0                   | 100          | 100          | A      |
| 20200908 | GHSI    | 100                 | 100          | 291          | A      |
| 20200908 | GHY     | 0                   | 0            | 39           | A      |
| 20200908 | GIB     | 136                 | 1610         | 2264         | A      |
| 20200908 | GIII    | 0                   | 214          | 526          | A      |
| 20200908 | GIK     | 0                   | 0            | 100          | A      |
| 20200908 | GIL     | 70                  | 3395         | 4189         | A      |
| 20200908 | GILD    | 97                  | 33200        | 64558        | A      |
| 20200908 | GIM     | 0                   | 380          | 490          | A      |
| 20200908 | GIS     | 100                 | 31756        | 43567        | A      |
| 20200908 | GKOS    | 0                   | 508          | 648          | A      |
| 20200908 | GL      | 0                   | 185          | 185          | A      |

| Date     | Symbol   | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|----------|---------------------|--------------|--------------|--------|
| 20200908 | GLAD     | 0                   | 0            | 100          | A      |
| 20200908 | GLD      | 14                  | 26977        | 46762        | A      |
| 20200908 | GLDD     | 0                   | 112          | 212          | A      |
| 20200908 | GLDM     | 0                   | 24427        | 24729        | A      |
| 20200908 | GLIBA    | 0                   | 877          | 1385         | A      |
| 20200908 | GLL      | 0                   | 3071         | 3071         | A      |
| 20200908 | GLNG     | 0                   | 0            | 256          | A      |
| 20200908 | GLO      | 0                   | 16473        | 25227        | A      |
| 20200908 | GLOB     | 0                   | 1236         | 1826         | A      |
| 20200908 | GLOG     | 0                   | 600          | 800          | A      |
| 20200908 | GLOG PRA | 0                   | 0            | 178          | A      |
| 20200908 | GLPG     | 0                   | 100          | 100          | A      |
| 20200908 | GLPI     | 0                   | 1269         | 1337         | A      |
| 20200908 | GLQ      | 3700                | 7187         | 14913        | A      |
| 20200908 | GLRE     | 0                   | 0            | 12           | A      |
| 20200908 | GLT      | 0                   | 302          | 424          | A      |
| 20200908 | GLU      | 0                   | 572          | 624          | A      |
| 20200908 | GLUU     | 0                   | 4998         | 10725        | A      |
| 20200908 | GLV      | 0                   | 9485         | 13546        | A      |
| 20200908 | GLW      | 100                 | 11083        | 19496        | A      |
| 20200908 | GLYC     | 0                   | 0            | 315          | A      |
| 20200908 | GM       | 715                 | 42524        | 101766       | A      |
| 20200908 | GMAB     | 0                   | 29           | 330          | A      |
| 20200908 | GMBL     | 100                 | 400          | 400          | A      |
| 20200908 | GME      | 100                 | 10390        | 21112        | A      |
| 20200908 | GMED     | 0                   | 43           | 412          | A      |
| 20200908 | GMHI     | 0                   | 85           | 922          | A      |
| 20200908 | GMHIU    | 0                   | 0            | 198          | A      |
| 20200908 | GMO      | 136225              | 148486       | 195584       | A      |
| 20200908 | GMRE     | 0                   | 100          | 400          | A      |
| 20200908 | GMS      | 0                   | 219          | 322          | A      |
| 20200908 | GNCA     | 0                   | 613          | 1096         | A      |
| 20200908 | GNK      | 0                   | 800          | 1309         | A      |
| 20200908 | GNL      | 0                   | 300          | 301          | A      |
| 20200908 | GNLN     | 131                 | 132          | 132          | A      |
| 20200908 | GNMK     | 0                   | 618          | 1149         | A      |
| 20200908 | GNPX     | 0                   | 100          | 158          | A      |
| 20200908 | GNRC     | 0                   | 190          | 300          | A      |
| 20200908 | GNSS     | 0                   | 4            | 4            | A      |
| 20200908 | GNTX     | 0                   | 2052         | 3870         | A      |
| 20200908 | GNUS     | 200                 | 910          | 2210         | A      |
| 20200908 | GNW      | 100                 | 7307         | 11004        | A      |
| 20200908 | GO       | 0                   | 639          | 1441         | A      |
| 20200908 | GOAC U   | 0                   | 0            | 200          | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | GOCO   | 0                   | 1823         | 2738         | A      |
| 20200908 | GOED   | 0                   | 156          | 630          | A      |
| 20200908 | GOF    | 0                   | 1800         | 1800         | A      |
| 20200908 | GOGL   | 0                   | 100          | 100          | A      |
| 20200908 | GOGO   | 288                 | 5219         | 7422         | A      |
| 20200908 | GOL    | 6562                | 33977        | 50844        | A      |
| 20200908 | GOLD   | 280                 | 27953        | 43993        | A      |
| 20200908 | GOLF   | 0                   | 24           | 30           | A      |
| 20200908 | GOOD   | 0                   | 0            | 100          | A      |
| 20200908 | GOOG   | 8                   | 16781        | 20301        | A      |
| 20200908 | GOOGL  | 0                   | 4352         | 7579         | A      |
| 20200908 | GOOS   | 16                  | 2440         | 4150         | A      |
| 20200908 | GORO   | 6700                | 87407        | 154281       | A      |
| 20200908 | GOSS   | 0                   | 108          | 213          | A      |
| 20200908 | GOVT   | 0                   | 22455        | 66755        | A      |
| 20200908 | GP     | 100                 | 100          | 306          | A      |
| 20200908 | GPC    | 0                   | 2243         | 2643         | A      |
| 20200908 | GPI    | 0                   | 178          | 223          | A      |
| 20200908 | GPK    | 100                 | 12304        | 18745        | A      |
| 20200908 | GPL    | 10525               | 119566       | 167941       | A      |
| 20200908 | GPMT   | 0                   | 971          | 2021         | A      |
| 20200908 | GPN    | 0                   | 3520         | 5637         | A      |
| 20200908 | GPOR   | 3000                | 11929        | 22712        | A      |
| 20200908 | GPRE   | 0                   | 100          | 490          | A      |
| 20200908 | GPRK   | 0                   | 209          | 209          | A      |
| 20200908 | GPRO   | 0                   | 2392         | 2492         | A      |
| 20200908 | GPS    | 0                   | 12934        | 20107        | A      |
| 20200908 | GPX    | 0                   | 112          | 220          | A      |
| 20200908 | GRA    | 24                  | 26           | 53           | A      |
| 20200908 | GRAF   | 601                 | 601          | 2712         | A      |
| 20200908 | GRBK   | 0                   | 108          | 116          | A      |
| 20200908 | GREK   | 0                   | 0            | 120          | A      |
| 20200908 | GRF    | 0                   | 400          | 1189         | A      |
| 20200908 | GRFS   | 0                   | 502          | 3202         | A      |
| 20200908 | GRIL   | 0                   | 200          | 200          | A      |
| 20200908 | GRMN   | 0                   | 100          | 614          | A      |
| 20200908 | GRPN   | 0                   | 516          | 720          | A      |
| 20200908 | GRTS   | 0                   | 100          | 100          | A      |
| 20200908 | GRUB   | 0                   | 5701         | 13931        | A      |
| 20200908 | GRVY   | 0                   | 10           | 10           | A      |
| 20200908 | GRWG   | 0                   | 5681         | 5786         | A      |
| 20200908 | GS     | 0                   | 5449         | 11262        | A      |
| 20200908 | GSAH   | 0                   | 100          | 2300         | A      |
| 20200908 | GSAT   | 5108                | 106910       | 128911       | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | GSBC   | 0                   | 0            | 100          | A      |
| 20200908 | GSBD   | 0                   | 400          | 400          | A      |
| 20200908 | GSG    | 0                   | 1100         | 1310         | A      |
| 20200908 | GSHD   | 0                   | 1            | 102          | A      |
| 20200908 | GSIE   | 0                   | 820          | 1030         | A      |
| 20200908 | GSK    | 100                 | 9749         | 12327        | A      |
| 20200908 | GSKY   | 0                   | 84           | 536          | A      |
| 20200908 | GSLC   | 0                   | 120          | 520          | A      |
| 20200908 | GSS    | 1151                | 46371        | 67488        | A      |
| 20200908 | GSV    | 35210               | 93143        | 144950       | A      |
| 20200908 | GSX    | 0                   | 3594         | 5382         | A      |
| 20200908 | GSY    | 0                   | 289          | 289          | A      |
| 20200908 | GT     | 0                   | 4931         | 9832         | A      |
| 20200908 | GTE    | 60954               | 158372       | 219804       | A      |
| 20200908 | GTES   | 0                   | 209          | 309          | A      |
| 20200908 | GTHX   | 0                   | 816          | 1436         | A      |
| 20200908 | GTLS   | 0                   | 120          | 300          | A      |
| 20200908 | GTN    | 0                   | 213          | 421          | A      |
| 20200908 | GTS    | 0                   | 0            | 4            | A      |
| 20200908 | GTT    | 0                   | 1170         | 3794         | A      |
| 20200908 | GTX    | 12                  | 2072         | 2743         | A      |
| 20200908 | GTY    | 0                   | 600          | 631          | A      |
| 20200908 | GUNR   | 200                 | 7170         | 8481         | A      |
| 20200908 | GUSH   | 88                  | 16430        | 28646        | A      |
| 20200908 | GUT    | 0                   | 100          | 100          | A      |
| 20200908 | GV     | 830                 | 3743         | 6930         | A      |
| 20200908 | GVA    | 0                   | 264          | 265          | A      |
| 20200908 | GVP    | 0                   | 0            | 273          | A      |
| 20200908 | GWB    | 0                   | 113          | 138          | A      |
| 20200908 | GWPH   | 0                   | 186          | 690          | A      |
| 20200908 | GWRE   | 0                   | 147          | 219          | A      |
| 20200908 | GWW    | 0                   | 230          | 430          | A      |
| 20200908 | GXGX   | 0                   | 0            | 100          | A      |
| 20200908 | H      | 0                   | 1790         | 2911         | A      |
| 20200908 | HA     | 0                   | 0            | 332          | A      |
| 20200908 | HACK   | 0                   | 0            | 1            | A      |
| 20200908 | HAE    | 0                   | 303          | 303          | A      |
| 20200908 | HAFC   | 0                   | 100          | 217          | A      |
| 20200908 | HAIN   | 0                   | 1611         | 2082         | A      |
| 20200908 | HAL    | 0                   | 28066        | 54131        | A      |
| 20200908 | HALO   | 0                   | 2626         | 2854         | A      |
| 20200908 | HAPP   | 0                   | 0            | 300          | A      |
| 20200908 | HARP   | 0                   | 1270         | 1575         | A      |
| 20200908 | HAS    | 0                   | 7627         | 7653         | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | HASI   | 0                   | 6103         | 23905        | A      |
| 20200908 | HBAN   | 0                   | 13934        | 17668        | A      |
| 20200908 | HBB    | 0                   | 2            | 2            | A      |
| 20200908 | HBI    | 0                   | 8918         | 9404         | A      |
| 20200908 | HBIO   | 0                   | 100          | 100          | A      |
| 20200908 | HBM    | 0                   | 2120         | 2660         | A      |
| 20200908 | HBMD   | 0                   | 175          | 175          | A      |
| 20200908 | HBNC   | 0                   | 100          | 200          | A      |
| 20200908 | HBP    | 0                   | 168          | 168          | A      |
| 20200908 | HBT    | 0                   | 191          | 191          | A      |
| 20200908 | HCA    | 0                   | 1878         | 3507         | A      |
| 20200908 | HCAC   | 0                   | 100          | 200          | A      |
| 20200908 | HCACW  | 0                   | 75           | 75           | A      |
| 20200908 | HCAT   | 0                   | 9            | 418          | A      |
| 20200908 | HCC    | 0                   | 3643         | 4064         | A      |
| 20200908 | HCCI   | 0                   | 0            | 100          | A      |
| 20200908 | HCCO   | 0                   | 200          | 751          | A      |
| 20200908 | HCDI   | 546                 | 546          | 1671         | A      |
| 20200908 | HCHC   | 0                   | 256          | 256          | A      |
| 20200908 | HCKT   | 0                   | 53           | 100          | A      |
| 20200908 | HCM    | 0                   | 100          | 400          | A      |
| 20200908 | HCSG   | 0                   | 1767         | 1996         | A      |
| 20200908 | HD     | 0                   | 6871         | 10617        | A      |
| 20200908 | HDB    | 0                   | 596          | 2801         | A      |
| 20200908 | HDGE   | 300                 | 400          | 800          | A      |
| 20200908 | HDS    | 0                   | 752          | 1272         | A      |
| 20200908 | HDV    | 0                   | 4328         | 6257         | A      |
| 20200908 | HE     | 0                   | 858          | 968          | A      |
| 20200908 | HEAR   | 0                   | 517          | 717          | A      |
| 20200908 | HEC    | 0                   | 0            | 1900         | A      |
| 20200908 | HEDJ   | 0                   | 200          | 1600         | A      |
| 20200908 | HEES   | 0                   | 4            | 427          | A      |
| 20200908 | HEFA   | 0                   | 0            | 300          | A      |
| 20200908 | HEI    | 0                   | 698          | 829          | A      |
| 20200908 | HELE   | 0                   | 119          | 121          | A      |
| 20200908 | HEP    | 0                   | 1            | 101          | A      |
| 20200908 | HEPA   | 0                   | 230          | 330          | A      |
| 20200908 | HES    | 0                   | 12512        | 19375        | A      |
| 20200908 | HESM   | 0                   | 1            | 1            | A      |
| 20200908 | HEXO   | 0                   | 0            | 1600         | A      |
| 20200908 | HEZU   | 0                   | 1400         | 1900         | A      |
| 20200908 | HFBL   | 0                   | 2            | 2            | A      |
| 20200908 | HFC    | 200                 | 2999         | 8742         | A      |
| 20200908 | HFFG   | 0                   | 300          | 300          | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | HFWA   | 0                   | 11           | 11           | A      |
| 20200908 | HGBL   | 600                 | 600          | 935          | A      |
| 20200908 | HGLB   | 0                   | 0            | 100          | A      |
| 20200908 | HGV    | 0                   | 2116         | 2670         | A      |
| 20200908 | HHC    | 0                   | 3313         | 5486         | A      |
| 20200908 | HI     | 0                   | 100          | 116          | A      |
| 20200908 | HIBB   | 0                   | 638          | 1056         | A      |
| 20200908 | HIBL   | 0                   | 0            | 721          | A      |
| 20200908 | HIBS   | 700                 | 6634         | 9250         | A      |
| 20200908 | HIG    | 0                   | 1841         | 20336        | A      |
| 20200908 | HII    | 0                   | 1331         | 3179         | A      |
| 20200908 | HIMX   | 0                   | 100          | 300          | A      |
| 20200908 | HIO    | 0                   | 100          | 100          | A      |
| 20200908 | HIW    | 0                   | 100          | 300          | A      |
| 20200908 | HL     | 0                   | 14188        | 15929        | A      |
| 20200908 | HLF    | 147                 | 463          | 1098         | A      |
| 20200908 | HLI    | 0                   | 400          | 400          | A      |
| 20200908 | HLIT   | 100                 | 330          | 882          | A      |
| 20200908 | HLM PR | 0                   | 500          | 704          | A      |
| 20200908 | HLNE   | 0                   | 237          | 522          | A      |
| 20200908 | HLT    | 0                   | 5009         | 10328        | A      |
| 20200908 | HLX    | 0                   | 4146         | 4563         | A      |
| 20200908 | HMC    | 0                   | 766          | 1906         | A      |
| 20200908 | HMG    | 0                   | 103          | 218          | A      |
| 20200908 | HMHC   | 350                 | 17951        | 21183        | A      |
| 20200908 | HMI    | 0                   | 3            | 119          | A      |
| 20200908 | HMLP   | 0                   | 0            | 38           | A      |
| 20200908 | HMN    | 0                   | 200          | 300          | A      |
| 20200908 | HMST   | 0                   | 95           | 123          | A      |
| 20200908 | HMSY   | 0                   | 35           | 135          | A      |
| 20200908 | HMY    | 0                   | 6310         | 9376         | A      |
| 20200908 | HNGR   | 0                   | 200          | 400          | A      |
| 20200908 | HNW    | 1                   | 1            | 303          | A      |
| 20200908 | HOFT   | 0                   | 257          | 583          | A      |
| 20200908 | HOFV   | 200                 | 200          | 531          | A      |
| 20200908 | HOG    | 0                   | 2041         | 3268         | A      |
| 20200908 | HOLI   | 0                   | 100          | 500          | A      |
| 20200908 | HOLX   | 0                   | 1515         | 3915         | A      |
| 20200908 | HOMB   | 0                   | 1379         | 1379         | A      |
| 20200908 | HOME   | 0                   | 1732         | 3684         | A      |
| 20200908 | HON    | 0                   | 5432         | 19477        | A      |
| 20200908 | HONE   | 0                   | 539          | 566          | A      |
| 20200908 | HOOK   | 0                   | 18           | 118          | A      |
| 20200908 | HOPE   | 0                   | 1            | 179          | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | HOV    | 0                   | 0            | 1158         | A      |
| 20200908 | HP     | 0                   | 539          | 1749         | A      |
| 20200908 | HPE    | 0                   | 33723        | 44179        | A      |
| 20200908 | HPF    | 0                   | 100          | 100          | A      |
| 20200908 | HPP    | 0                   | 1971         | 3161         | A      |
| 20200908 | HPQ    | 1009                | 125233       | 209353       | A      |
| 20200908 | HPR    | 1400                | 2250         | 5832         | A      |
| 20200908 | HQH    | 0                   | 100          | 100          | A      |
| 20200908 | HQY    | 0                   | 5158         | 5163         | A      |
| 20200908 | HR     | 0                   | 1338         | 6938         | A      |
| 20200908 | HRB    | 100                 | 10010        | 16197        | A      |
| 20200908 | HRC    | 0                   | 2474         | 2879         | A      |
| 20200908 | HRI    | 0                   | 20           | 20           | A      |
| 20200908 | HRL    | 0                   | 1854         | 2654         | A      |
| 20200908 | HRMY   | 0                   | 400          | 1355         | A      |
| 20200908 | HROW   | 0                   | 0            | 100          | A      |
| 20200908 | HRTG   | 0                   | 216          | 425          | A      |
| 20200908 | HRTX   | 0                   | 411          | 412          | A      |
| 20200908 | HRZN   | 0                   | 100          | 100          | A      |
| 20200908 | HSBC   | 0                   | 2927         | 4235         | A      |
| 20200908 | HSC    | 0                   | 318          | 318          | A      |
| 20200908 | HSDT   | 0                   | 0            | 400          | A      |
| 20200908 | HSIC   | 0                   | 312          | 1607         | A      |
| 20200908 | HSII   | 0                   | 39           | 39           | A      |
| 20200908 | HSKA   | 0                   | 20           | 20           | A      |
| 20200908 | HST    | 0                   | 30913        | 89947        | A      |
| 20200908 | HSTM   | 0                   | 10           | 10           | A      |
| 20200908 | HSTO   | 0                   | 0            | 2            | A      |
| 20200908 | HSY    | 0                   | 3136         | 6224         | A      |
| 20200908 | HT     | 0                   | 150          | 632          | A      |
| 20200908 | HTA    | 0                   | 5103         | 5142         | A      |
| 20200908 | HTBI   | 0                   | 0            | 106          | A      |
| 20200908 | HTBX   | 2200                | 2659         | 7558         | A      |
| 20200908 | HTD    | 0                   | 125          | 200          | A      |
| 20200908 | HTEC   | 0                   | 0            | 3            | A      |
| 20200908 | HTGC   | 0                   | 0            | 252          | A      |
| 20200908 | HTGM   | 100                 | 100          | 500          | A      |
| 20200908 | HTH    | 0                   | 1071         | 1175         | A      |
| 20200908 | HTHT   | 0                   | 1921         | 3221         | A      |
| 20200908 | HTLD   | 0                   | 616          | 1195         | A      |
| 20200908 | HTLF   | 0                   | 140          | 200          | A      |
| 20200908 | HTZ    | 0                   | 4800         | 6911         | A      |
| 20200908 | HUBB   | 0                   | 344          | 1521         | A      |
| 20200908 | HUBG   | 0                   | 210          | 210          | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | HUBS   | 0                   | 2572         | 2708         | A      |
| 20200908 | HUD    | 532                 | 13588        | 15923        | A      |
| 20200908 | HUM    | 4                   | 729          | 1963         | A      |
| 20200908 | HUN    | 0                   | 2222         | 3042         | A      |
| 20200908 | HURN   | 0                   | 4            | 7            | A      |
| 20200908 | HUSA   | 10993               | 28191        | 38086        | A      |
| 20200908 | HUYA   | 0                   | 452          | 562          | A      |
| 20200908 | HVT    | 0                   | 1            | 5            | A      |
| 20200908 | HWC    | 0                   | 473          | 505          | A      |
| 20200908 | HWM    | 0                   | 9213         | 11867        | A      |
| 20200908 | HXL    | 0                   | 255          | 568          | A      |
| 20200908 | HY     | 0                   | 103          | 103          | A      |
| 20200908 | HYD    | 0                   | 6            | 6            | A      |
| 20200908 | HYG    | 1600                | 304754       | 441087       | A      |
| 20200908 | HYI    | 0                   | 4000         | 4000         | A      |
| 20200908 | HYLB   | 0                   | 9303         | 39712        | A      |
| 20200908 | HYLS   | 0                   | 0            | 100          | A      |
| 20200908 | HYMC   | 0                   | 498          | 1278         | A      |
| 20200908 | HYRE   | 0                   | 100          | 100          | A      |
| 20200908 | HYS    | 0                   | 847          | 1047         | A      |
| 20200908 | HYT    | 0                   | 10           | 40           | A      |
| 20200908 | HZN    | 0                   | 800          | 800          | A      |
| 20200908 | HZNP   | 0                   | 402          | 2262         | A      |
| 20200908 | HZO    | 0                   | 1051         | 1382         | A      |
| 20200908 | IAA    | 0                   | 120          | 168          | A      |
| 20200908 | IAC    | 0                   | 518          | 954          | A      |
| 20200908 | IAF    | 0                   | 503          | 7378         | A      |
| 20200908 | IAG    | 100                 | 10200        | 19195        | A      |
| 20200908 | IAGG   | 0                   | 200          | 200          | A      |
| 20200908 | IART   | 0                   | 323          | 647          | A      |
| 20200908 | IAT    | 0                   | 899          | 1199         | A      |
| 20200908 | IAU    | 70                  | 69572        | 79390        | A      |
| 20200908 | IBB    | 0                   | 3421         | 3575         | A      |
| 20200908 | IBCP   | 0                   | 60           | 60           | A      |
| 20200908 | IBDL   | 0                   | 0            | 300          | A      |
| 20200908 | IBDN   | 0                   | 400          | 400          | A      |
| 20200908 | IBDO   | 0                   | 0            | 100          | A      |
| 20200908 | IBEX   | 0                   | 0            | 1100         | A      |
| 20200908 | IBIO   | 130485              | 281660       | 451344       | A      |
| 20200908 | IBKR   | 0                   | 995          | 1377         | A      |
| 20200908 | IBM    | 46                  | 3080         | 6342         | A      |
| 20200908 | IBN    | 0                   | 18126        | 24252        | A      |
| 20200908 | IBOC   | 0                   | 730          | 886          | A      |
| 20200908 | IBP    | 0                   | 122          | 139          | A      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | IBTX   | 0                   | 422          | 422          | A      |
| 20200908 | IBUY   | 0                   | 940          | 940          | A      |
| 20200908 | ICAD   | 0                   | 21           | 21           | A      |
| 20200908 | ICE    | 40                  | 3908         | 20958        | A      |
| 20200908 | ICHR   | 0                   | 3026         | 4393         | A      |
| 20200908 | ICL    | 0                   | 400          | 500          | A      |
| 20200908 | ICLK   | 0                   | 2920         | 3969         | A      |
| 20200908 | ICLN   | 0                   | 5969         | 11289        | A      |
| 20200908 | ICLR   | 0                   | 47           | 47           | A      |
| 20200908 | ICPT   | 0                   | 111          | 111          | A      |
| 20200908 | ICSH   | 0                   | 0            | 297          | A      |
| 20200908 | ICUI   | 0                   | 111          | 163          | A      |
| 20200908 | ICVT   | 0                   | 0            | 100          | A      |
| 20200908 | IDA    | 0                   | 134          | 558          | A      |
| 20200908 | IDCC   | 0                   | 0            | 200          | A      |
| 20200908 | IDE    | 0                   | 100          | 100          | A      |
| 20200908 | IDEX   | 975                 | 3275         | 10018        | A      |
| 20200908 | IDLV   | 0                   | 300          | 300          | A      |
| 20200908 | IDN    | 0                   | 15           | 15           | A      |
| 20200908 | IDRA   | 0                   | 0            | 207          | A      |
| 20200908 | IDT    | 0                   | 251          | 409          | A      |
| 20200908 | IDV    | 100                 | 437          | 3457         | A      |
| 20200908 | IDX    | 0                   | 0            | 2            | A      |
| 20200908 | IDXG   | 0                   | 10           | 10           | A      |
| 20200908 | IDXX   | 0                   | 281          | 626          | A      |
| 20200908 | IEA    | 0                   | 3803         | 4903         | A      |
| 20200908 | IEF    | 0                   | 38542        | 41723        | A      |
| 20200908 | IEFA   | 0                   | 53181        | 62521        | A      |
| 20200908 | IEI    | 0                   | 800          | 906          | A      |
| 20200908 | IEMG   | 0                   | 61618        | 71137        | A      |
| 20200908 | IEO    | 0                   | 0            | 325          | A      |
| 20200908 | IEP    | 0                   | 6            | 6            | A      |
| 20200908 | IESC   | 0                   | 210          | 520          | A      |
| 20200908 | IETC   | 0                   | 1            | 1            | A      |
| 20200908 | IEUR   | 0                   | 300          | 400          | A      |
| 20200908 | IEV    | 0                   | 416          | 1264         | A      |
| 20200908 | IEX    | 0                   | 552          | 562          | A      |
| 20200908 | IEZ    | 0                   | 300          | 532          | A      |
| 20200908 | IFF    | 0                   | 1427         | 1454         | A      |
| 20200908 | IGC    | 35299               | 95700        | 182077       | A      |
| 20200908 | IGD    | 0                   | 100          | 1000         | A      |
| 20200908 | IGE    | 0                   | 100          | 900          | A      |
| 20200908 | IGF    | 0                   | 100          | 600          | A      |
| 20200908 | IGI    | 0                   | 100          | 200          | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | IGIB   | 0                   | 1300         | 3181         | A      |
| 20200908 | IGLB   | 0                   | 89           | 460          | A      |
| 20200908 | IGM    | 0                   | 200          | 300          | A      |
| 20200908 | IGMS   | 0                   | 30           | 30           | A      |
| 20200908 | IGOV   | 0                   | 200          | 200          | A      |
| 20200908 | IGR    | 0                   | 1542         | 2800         | A      |
| 20200908 | IGSB   | 0                   | 2416         | 5615         | A      |
| 20200908 | IGT    | 0                   | 1581         | 4323         | A      |
| 20200908 | IGV    | 0                   | 496          | 3929         | A      |
| 20200908 | IHC    | 0                   | 0            | 100          | A      |
| 20200908 | IHG    | 0                   | 120          | 124          | A      |
| 20200908 | IHI    | 0                   | 326          | 346          | A      |
| 20200908 | IHRT   | 100                 | 1129         | 1371         | A      |
| 20200908 | IHT    | 0                   | 38           | 110          | A      |
| 20200908 | III    | 0                   | 100          | 100          | A      |
| 20200908 | IIIN   | 0                   | 288          | 288          | A      |
| 20200908 | IIIV   | 0                   | 0            | 125          | A      |
| 20200908 | IIPR   | 0                   | 410          | 479          | A      |
| 20200908 | IIVI   | 0                   | 1097         | 1997         | A      |
| 20200908 | IJH    | 0                   | 2509         | 3211         | A      |
| 20200908 | IJJ    | 0                   | 344          | 344          | A      |
| 20200908 | IJK    | 0                   | 0            | 100          | A      |
| 20200908 | IJR    | 0                   | 26706        | 38842        | A      |
| 20200908 | IJT    | 0                   | 104          | 104          | A      |
| 20200908 | ILF    | 300                 | 19789        | 26857        | A      |
| 20200908 | ILMN   | 0                   | 392          | 970          | A      |
| 20200908 | ILPT   | 0                   | 73           | 74           | A      |
| 20200908 | IMAB   | 0                   | 40           | 140          | A      |
| 20200908 | IMAC   | 0                   | 0            | 14           | A      |
| 20200908 | IMAX   | 0                   | 1635         | 2322         | A      |
| 20200908 | IMGN   | 300                 | 5329         | 14966        | A      |
| 20200908 | IMH    | 20                  | 1318         | 2732         | A      |
| 20200908 | IMKTA  | 0                   | 40           | 40           | A      |
| 20200908 | IMMP   | 0                   | 400          | 1000         | A      |
| 20200908 | IMMR   | 0                   | 114          | 524          | A      |
| 20200908 | IMMU   | 0                   | 834          | 834          | A      |
| 20200908 | IMO    | 1150                | 88435        | 124340       | A      |
| 20200908 | IMRA   | 0                   | 190          | 1840         | A      |
| 20200908 | IMRN   | 0                   | 0            | 100          | A      |
| 20200908 | IMTM   | 0                   | 0            | 100          | A      |
| 20200908 | IMTX   | 0                   | 0            | 100          | A      |
| 20200908 | IMUX   | 100                 | 867          | 1645         | A      |
| 20200908 | IMV    | 100                 | 200          | 300          | A      |
| 20200908 | IMVT   | 0                   | 300          | 1042         | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | IMXI   | 0                   | 306          | 406          | A      |
| 20200908 | INBK   | 0                   | 0            | 40           | A      |
| 20200908 | INBX   | 0                   | 100          | 200          | A      |
| 20200908 | INCY   | 0                   | 1276         | 2013         | A      |
| 20200908 | INDA   | 0                   | 39798        | 64145        | A      |
| 20200908 | INDB   | 0                   | 135          | 135          | A      |
| 20200908 | INDL   | 0                   | 66           | 310          | A      |
| 20200908 | INDO   | 0                   | 0            | 36           | A      |
| 20200908 | INFN   | 0                   | 3922         | 6228         | A      |
| 20200908 | INFO   | 0                   | 1635         | 3371         | A      |
| 20200908 | INFU   | 0                   | 8245         | 20953        | A      |
| 20200908 | INFY   | 100                 | 22229        | 35069        | A      |
| 20200908 | ING    | 0                   | 2661         | 2771         | A      |
| 20200908 | INGN   | 0                   | 150          | 209          | A      |
| 20200908 | INGR   | 0                   | 426          | 628          | A      |
| 20200908 | INMB   | 100                 | 316          | 616          | A      |
| 20200908 | INMD   | 0                   | 16           | 16           | A      |
| 20200908 | INN    | 0                   | 1550         | 4376         | A      |
| 20200908 | INO    | 808                 | 9607         | 13032        | A      |
| 20200908 | INOD   | 0                   | 100          | 100          | A      |
| 20200908 | INOV   | 0                   | 107          | 807          | A      |
| 20200908 | INPX   | 0                   | 0            | 300          | A      |
| 20200908 | INS    | 0                   | 2130         | 6393         | A      |
| 20200908 | INSE   | 0                   | 200          | 900          | A      |
| 20200908 | INSG   | 100                 | 100          | 1649         | A      |
| 20200908 | INSM   | 87                  | 1965         | 6679         | A      |
| 20200908 | INSP   | 0                   | 755          | 1532         | A      |
| 20200908 | INSUW  | 0                   | 0            | 100          | A      |
| 20200908 | INSW   | 0                   | 8            | 327          | A      |
| 20200908 | INT    | 0                   | 757          | 761          | A      |
| 20200908 | INTC   | 177                 | 59929        | 91123        | A      |
| 20200908 | INTT   | 0                   | 2702         | 2979         | A      |
| 20200908 | INTU   | 15                  | 1429         | 2469         | A      |
| 20200908 | INUV   | 48218               | 283422       | 303176       | A      |
| 20200908 | INVA   | 0                   | 1645         | 2585         | A      |
| 20200908 | INVH   | 0                   | 5920         | 11194        | A      |
| 20200908 | INWK   | 0                   | 327          | 1931         | A      |
| 20200908 | INZY   | 0                   | 0            | 251          | A      |
| 20200908 | IONS   | 0                   | 770          | 1116         | A      |
| 20200908 | IOR    | 0                   | 3171         | 3809         | A      |
| 20200908 | IOSP   | 0                   | 26           | 37           | A      |
| 20200908 | IOVA   | 0                   | 1593         | 2046         | A      |
| 20200908 | IP     | 4                   | 8753         | 12047        | A      |
| 20200908 | IPAR   | 0                   | 211          | 211          | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20200908 | IPB     | 0                   | 0            | 1            | A      |
| 20200908 | IPG     | 103                 | 14301        | 23402        | A      |
| 20200908 | IPGP    | 0                   | 460          | 1191         | A      |
| 20200908 | IPHI    | 0                   | 4727         | 6446         | A      |
| 20200908 | IPO     | 0                   | 5            | 65           | A      |
| 20200908 | IPOB    | 0                   | 212          | 212          | A      |
| 20200908 | IPOC    | 0                   | 171          | 495          | A      |
| 20200908 | IPOC WS | 0                   | 0            | 100          | A      |
| 20200908 | IQ      | 0                   | 4475         | 6454         | A      |
| 20200908 | IQLT    | 0                   | 200          | 200          | A      |
| 20200908 | IQV     | 0                   | 984          | 3136         | A      |
| 20200908 | IR      | 0                   | 3214         | 8390         | A      |
| 20200908 | IRBO    | 0                   | 200          | 200          | A      |
| 20200908 | IRBT    | 0                   | 145          | 923          | A      |
| 20200908 | IRDM    | 0                   | 796          | 1165         | A      |
| 20200908 | IRET    | 0                   | 35           | 135          | A      |
| 20200908 | IRM     | 0                   | 1099         | 2155         | A      |
| 20200908 | IRT     | 0                   | 120          | 120          | A      |
| 20200908 | IRTC    | 0                   | 415          | 623          | A      |
| 20200908 | IRWD    | 0                   | 1562         | 1966         | A      |
| 20200908 | ISBC    | 0                   | 4473         | 5250         | A      |
| 20200908 | ISDR    | 0                   | 1634         | 2098         | A      |
| 20200908 | ISEE    | 100                 | 726          | 1751         | A      |
| 20200908 | ISR     | 641                 | 6392         | 14528        | A      |
| 20200908 | ISRG    | 0                   | 621          | 1700         | A      |
| 20200908 | ISSC    | 0                   | 100          | 100          | A      |
| 20200908 | ISTR    | 0                   | 1            | 1            | A      |
| 20200908 | IT      | 0                   | 13           | 13           | A      |
| 20200908 | ITA     | 0                   | 0            | 23           | A      |
| 20200908 | ITB     | 3121                | 16073        | 25995        | A      |
| 20200908 | ITCI    | 0                   | 112          | 218          | A      |
| 20200908 | ITI     | 0                   | 200          | 400          | A      |
| 20200908 | ITIC    | 0                   | 0            | 4            | A      |
| 20200908 | ITOS    | 0                   | 0            | 37           | A      |
| 20200908 | ITOT    | 0                   | 15904        | 24362        | A      |
| 20200908 | ITP     | 200                 | 2622         | 3073         | A      |
| 20200908 | ITRG    | 2850                | 6602         | 9585         | A      |
| 20200908 | ITRI    | 0                   | 168          | 545          | A      |
| 20200908 | ITT     | 0                   | 100          | 600          | A      |
| 20200908 | ITUB    | 5200                | 639121       | 1056658      | A      |
| 20200908 | ITW     | 0                   | 632          | 1857         | A      |
| 20200908 | IUSG    | 0                   | 4166         | 6869         | A      |
| 20200908 | IUSV    | 0                   | 698          | 698          | A      |
| 20200908 | IVAC    | 0                   | 1            | 1            | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | IVC    | 0                   | 98           | 122          | A      |
| 20200908 | IVE    | 0                   | 5457         | 7892         | A      |
| 20200908 | IVH    | 0                   | 0            | 100          | A      |
| 20200908 | IVLU   | 0                   | 0            | 100          | A      |
| 20200908 | IVOL   | 0                   | 100          | 100          | A      |
| 20200908 | IVR    | 200                 | 2287         | 4537         | A      |
| 20200908 | IVV    | 600                 | 49242        | 100204       | A      |
| 20200908 | IVW    | 0                   | 23           | 23           | A      |
| 20200908 | IVZ    | 0                   | 31352        | 43363        | A      |
| 20200908 | IWB    | 0                   | 5810         | 5810         | A      |
| 20200908 | IWD    | 300                 | 9876         | 12755        | A      |
| 20200908 | IWF    | 0                   | 2135         | 3275         | A      |
| 20200908 | IWM    | 944                 | 176670       | 219910       | A      |
| 20200908 | IWN    | 0                   | 485          | 722          | A      |
| 20200908 | IWP    | 0                   | 972          | 1544         | A      |
| 20200908 | IWR    | 0                   | 22467        | 27200        | A      |
| 20200908 | IWS    | 0                   | 400          | 800          | A      |
| 20200908 | IWV    | 0                   | 2100         | 2100         | A      |
| 20200908 | IWY    | 0                   | 52           | 223          | A      |
| 20200908 | IXC    | 0                   | 8336         | 18185        | A      |
| 20200908 | IXUS   | 0                   | 3029         | 3381         | A      |
| 20200908 | IYE    | 0                   | 1840         | 2792         | A      |
| 20200908 | IYF    | 0                   | 2            | 102          | A      |
| 20200908 | IYR    | 0                   | 18658        | 25324        | A      |
| 20200908 | IYT    | 0                   | 86           | 116          | A      |
| 20200908 | IYZ    | 0                   | 1106         | 1233         | A      |
| 20200908 | J      | 0                   | 836          | 1246         | A      |
| 20200908 | JACK   | 0                   | 117          | 515          | A      |
| 20200908 | JAGX   | 215                 | 215          | 335          | A      |
| 20200908 | JAKK   | 0                   | 300          | 300          | A      |
| 20200908 | JAMF   | 300                 | 1100         | 5391         | A      |
| 20200908 | JAZZ   | 0                   | 2631         | 6076         | A      |
| 20200908 | JBGS   | 0                   | 915          | 1936         | A      |
| 20200908 | JBHT   | 0                   | 216          | 683          | A      |
| 20200908 | JBL    | 0                   | 415          | 733          | A      |
| 20200908 | JBLU   | 0                   | 7601         | 12628        | A      |
| 20200908 | JBSS   | 0                   | 99           | 154          | A      |
| 20200908 | JBT    | 0                   | 20           | 20           | A      |
| 20200908 | JCAP   | 0                   | 1313         | 4155         | A      |
| 20200908 | JCI    | 0                   | 10835        | 21037        | A      |
| 20200908 | JCOM   | 0                   | 453          | 553          | A      |
| 20200908 | JD     | 200                 | 9707         | 22865        | A      |
| 20200908 | JDST   | 1000                | 34982        | 53112        | A      |
| 20200908 | JE     | 1463                | 3163         | 3963         | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20200908 | JE PRA  | 0                   | 7            | 7            | A      |
| 20200908 | JEF     | 0                   | 3453         | 5484         | A      |
| 20200908 | JELD    | 0                   | 343          | 576          | A      |
| 20200908 | JETS    | 200                 | 44900        | 113882       | A      |
| 20200908 | JFU     | 0                   | 300          | 1819         | A      |
| 20200908 | JHB     | 0                   | 1044         | 2000         | A      |
| 20200908 | JHG     | 0                   | 1259         | 1314         | A      |
| 20200908 | JJSF    | 0                   | 105          | 105          | A      |
| 20200908 | JKHY    | 100                 | 227          | 490          | A      |
| 20200908 | JKS     | 0                   | 494          | 639          | A      |
| 20200908 | JLL     | 0                   | 80           | 196          | A      |
| 20200908 | JMIA    | 8                   | 926          | 1490         | A      |
| 20200908 | JMST    | 100                 | 300          | 300          | A      |
| 20200908 | JNCE    | 135                 | 135          | 627          | A      |
| 20200908 | JNJ     | 0                   | 4737         | 22440        | A      |
| 20200908 | JNK     | 0                   | 59728        | 120792       | A      |
| 20200908 | JNPR    | 0                   | 6757         | 13323        | A      |
| 20200908 | JNUG    | 0                   | 10800        | 12971        | A      |
| 20200908 | JOB     | 9543                | 12064        | 19048        | A      |
| 20200908 | JOBS    | 0                   | 82           | 158          | A      |
| 20200908 | JOE     | 0                   | 83           | 149          | A      |
| 20200908 | JOUT    | 0                   | 70           | 70           | A      |
| 20200908 | JPC     | 0                   | 0            | 300          | A      |
| 20200908 | JPM     | 0                   | 69549        | 178594       | A      |
| 20200908 | JPM PRH | 0                   | 4            | 4            | A      |
| 20200908 | JPST    | 0                   | 1740         | 5465         | A      |
| 20200908 | JQC     | 0                   | 500          | 2622         | A      |
| 20200908 | JRO     | 0                   | 0            | 400          | A      |
| 20200908 | JRVR    | 0                   | 662          | 692          | A      |
| 20200908 | JSM     | 0                   | 0            | 69           | A      |
| 20200908 | JTD     | 0                   | 0            | 100          | A      |
| 20200908 | JW A    | 0                   | 262          | 343          | A      |
| 20200908 | JWN     | 70                  | 13489        | 18691        | A      |
| 20200908 | K       | 0                   | 4146         | 7679         | A      |
| 20200908 | KAI     | 0                   | 3            | 43           | A      |
| 20200908 | KALA    | 0                   | 544          | 612          | A      |
| 20200908 | KALU    | 0                   | 13           | 215          | A      |
| 20200908 | KAMN    | 0                   | 300          | 608          | A      |
| 20200908 | KAR     | 0                   | 3262         | 6089         | A      |
| 20200908 | KBAL    | 0                   | 85           | 185          | A      |
| 20200908 | KBE     | 100                 | 30131        | 45057        | A      |
| 20200908 | KBH     | 1                   | 662          | 877          | A      |
| 20200908 | KBLM    | 0                   | 0            | 892          | A      |
| 20200908 | KBNT    | 100                 | 400          | 400          | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20200908 | KBR     | 0                   | 1061         | 2556         | A      |
| 20200908 | KBSF    | 0                   | 0            | 978          | A      |
| 20200908 | KBWB    | 0                   | 9004         | 27170        | A      |
| 20200908 | KC      | 200                 | 1200         | 1600         | A      |
| 20200908 | KCAC    | 6513                | 6618         | 10444        | A      |
| 20200908 | KCAC U  | 0                   | 100          | 600          | A      |
| 20200908 | KCAC WS | 200                 | 1600         | 1925         | A      |
| 20200908 | KDMN    | 0                   | 11931        | 21751        | A      |
| 20200908 | KDP     | 0                   | 5716         | 9304         | A      |
| 20200908 | KE      | 0                   | 0            | 100          | A      |
| 20200908 | KELYA   | 0                   | 100          | 200          | A      |
| 20200908 | KERN    | 0                   | 100          | 200          | A      |
| 20200908 | KEX     | 0                   | 478          | 616          | A      |
| 20200908 | KEY     | 0                   | 28177        | 39741        | A      |
| 20200908 | KEY PRI | 0                   | 0            | 10           | A      |
| 20200908 | KEYS    | 0                   | 2047         | 2109         | A      |
| 20200908 | KFRC    | 0                   | 2            | 3            | A      |
| 20200908 | KFS     | 0                   | 100          | 200          | A      |
| 20200908 | KFY     | 0                   | 87           | 883          | A      |
| 20200908 | KGC     | 0                   | 14845        | 23094        | A      |
| 20200908 | KHC     | 0                   | 26439        | 41230        | A      |
| 20200908 | KIDS    | 0                   | 100          | 100          | A      |
| 20200908 | KIE     | 100                 | 1014         | 1014         | A      |
| 20200908 | KIM     | 0                   | 6281         | 9228         | A      |
| 20200908 | KIO     | 0                   | 0            | 100          | A      |
| 20200908 | KIQ     | 0                   | 589          | 1689         | A      |
| 20200908 | KIRK    | 112                 | 535          | 3371         | A      |
| 20200908 | KKR     | 0                   | 4130         | 8245         | A      |
| 20200908 | KKR PRC | 0                   | 0            | 200          | A      |
| 20200908 | KL      | 100                 | 1598         | 2975         | A      |
| 20200908 | KLAC    | 701                 | 2244         | 8738         | A      |
| 20200908 | KLDO    | 0                   | 100          | 200          | A      |
| 20200908 | KLIC    | 0                   | 876          | 876          | A      |
| 20200908 | KLR     | 5949                | 11461        | 19989        | A      |
| 20200908 | KLXE    | 0                   | 48           | 376          | A      |
| 20200908 | KMB     | 0                   | 1872         | 5178         | A      |
| 20200908 | KMDA    | 0                   | 1116         | 2116         | A      |
| 20200908 | KMI     | 100                 | 32746        | 51386        | A      |
| 20200908 | KMPR    | 0                   | 274          | 400          | A      |
| 20200908 | KMT     | 0                   | 1904         | 1946         | A      |
| 20200908 | KMX     | 0                   | 662          | 3090         | A      |
| 20200908 | KN      | 0                   | 3332         | 4881         | A      |
| 20200908 | KNDI    | 0                   | 370          | 1140         | A      |
| 20200908 | KNOP    | 0                   | 258          | 258          | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | KNSA   | 0                   | 104          | 808          | A      |
| 20200908 | KNSL   | 0                   | 62           | 118          | A      |
| 20200908 | KNX    | 0                   | 3813         | 4820         | A      |
| 20200908 | KO     | 400                 | 48029        | 111395       | A      |
| 20200908 | KOD    | 0                   | 100          | 100          | A      |
| 20200908 | KODK   | 300                 | 5948         | 7559         | A      |
| 20200908 | KOF    | 5                   | 141          | 141          | A      |
| 20200908 | KOLD   | 0                   | 1130         | 2630         | A      |
| 20200908 | KOP    | 0                   | 101          | 101          | A      |
| 20200908 | KOPN   | 0                   | 300          | 300          | A      |
| 20200908 | KOR    | 0                   | 200          | 200          | A      |
| 20200908 | KORU   | 0                   | 0            | 502          | A      |
| 20200908 | KOS    | 1100                | 13468        | 19707        | A      |
| 20200908 | KPTI   | 0                   | 1789         | 3115         | A      |
| 20200908 | KR     | 0                   | 8773         | 36014        | A      |
| 20200908 | KRA    | 0                   | 2            | 110          | A      |
| 20200908 | KRC    | 0                   | 102          | 102          | A      |
| 20200908 | KRE    | 300                 | 117110       | 156759       | A      |
| 20200908 | KREF   | 0                   | 444          | 1233         | A      |
| 20200908 | KRG    | 0                   | 110          | 210          | A      |
| 20200908 | KRMD   | 0                   | 1200         | 1600         | A      |
| 20200908 | KRNT   | 0                   | 0            | 10           | A      |
| 20200908 | KRNY   | 0                   | 106          | 106          | A      |
| 20200908 | KRO    | 0                   | 436          | 667          | A      |
| 20200908 | KROS   | 0                   | 1            | 1            | A      |
| 20200908 | KRTX   | 8                   | 298          | 328          | A      |
| 20200908 | KRUS   | 0                   | 188          | 238          | A      |
| 20200908 | KRYS   | 0                   | 182          | 199          | A      |
| 20200908 | KSS    | 0                   | 5711         | 22291        | A      |
| 20200908 | KSU    | 0                   | 232          | 354          | A      |
| 20200908 | KT     | 120                 | 278          | 934          | A      |
| 20200908 | KTB    | 0                   | 1206         | 1574         | A      |
| 20200908 | KTOS   | 0                   | 592          | 1189         | A      |
| 20200908 | KTOV   | 0                   | 100          | 100          | A      |
| 20200908 | KTRA   | 0                   | 700          | 700          | A      |
| 20200908 | KURA   | 0                   | 924          | 1136         | A      |
| 20200908 | KVHI   | 0                   | 0            | 100          | A      |
| 20200908 | KW     | 0                   | 1904         | 2641         | A      |
| 20200908 | KWEB   | 0                   | 8980         | 12358        | A      |
| 20200908 | KWR    | 0                   | 109          | 114          | A      |
| 20200908 | KYN    | 0                   | 110          | 310          | A      |
| 20200908 | KZIA   | 0                   | 300          | 2197         | A      |
| 20200908 | KZR    | 0                   | 0            | 566          | A      |
| 20200908 | L      | 0                   | 991          | 1097         | A      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | LABD   | 0                   | 10           | 210          | A      |
| 20200908 | LABU   | 0                   | 0            | 2            | A      |
| 20200908 | LAC    | 0                   | 100          | 8122         | A      |
| 20200908 | LAD    | 0                   | 509          | 1411         | A      |
| 20200908 | LADR   | 0                   | 12           | 122          | A      |
| 20200908 | LAKE   | 0                   | 381          | 744          | A      |
| 20200908 | LAMR   | 0                   | 1            | 1            | A      |
| 20200908 | LANC   | 0                   | 151          | 251          | A      |
| 20200908 | LAND   | 0                   | 85           | 85           | A      |
| 20200908 | LARK   | 0                   | 0            | 33           | A      |
| 20200908 | LASR   | 0                   | 220          | 552          | A      |
| 20200908 | LATNW  | 0                   | 0            | 7            | A      |
| 20200908 | LAUR   | 0                   | 318          | 7125         | A      |
| 20200908 | LAZ    | 0                   | 245          | 1571         | A      |
| 20200908 | LAZY   | 100                 | 300          | 800          | A      |
| 20200908 | LB     | 0                   | 4617         | 6180         | A      |
| 20200908 | LBAI   | 0                   | 0            | 11           | A      |
| 20200908 | LBRDA  | 0                   | 8            | 8            | A      |
| 20200908 | LBRDK  | 0                   | 815          | 1356         | A      |
| 20200908 | LBRT   | 0                   | 15           | 354          | A      |
| 20200908 | LBTYA  | 0                   | 1157         | 2688         | A      |
| 20200908 | LBTYK  | 0                   | 7080         | 8472         | A      |
| 20200908 | LC     | 0                   | 2568         | 3005         | A      |
| 20200908 | LCA    | 0                   | 500          | 602          | A      |
| 20200908 | LCI    | 0                   | 700          | 881          | A      |
| 20200908 | LCII   | 0                   | 186          | 496          | A      |
| 20200908 | LCTX   | 1881                | 10076        | 48601        | A      |
| 20200908 | LDL    | 0                   | 178          | 180          | A      |
| 20200908 | LDOS   | 0                   | 1266         | 2002         | A      |
| 20200908 | LDP    | 0                   | 0            | 100          | A      |
| 20200908 | LE     | 0                   | 216          | 516          | A      |
| 20200908 | LEA    | 0                   | 138          | 176          | A      |
| 20200908 | LEAF   | 0                   | 158          | 461          | A      |
| 20200908 | LECO   | 0                   | 101          | 101          | A      |
| 20200908 | LEG    | 0                   | 608          | 1179         | A      |
| 20200908 | LEGN   | 0                   | 50           | 250          | A      |
| 20200908 | LEMB   | 0                   | 5000         | 6500         | A      |
| 20200908 | LEN    | 0                   | 1120         | 2854         | A      |
| 20200908 | LEN B  | 0                   | 5            | 30           | A      |
| 20200908 | LEO    | 0                   | 100          | 100          | A      |
| 20200908 | LEU    | 230                 | 10435        | 16273        | A      |
| 20200908 | LEVI   | 200                 | 3977         | 11286        | A      |
| 20200908 | LFC    | 0                   | 123          | 606          | A      |
| 20200908 | LFUS   | 0                   | 15           | 15           | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20200908 | LGC     | 0                   | 0            | 700          | A      |
| 20200908 | LGF A   | 0                   | 14662        | 16574        | A      |
| 20200908 | LGF B   | 0                   | 2283         | 5268         | A      |
| 20200908 | LGIH    | 0                   | 0            | 76           | A      |
| 20200908 | LGL     | 0                   | 196          | 1560         | A      |
| 20200908 | LGND    | 45                  | 1034         | 1054         | A      |
| 20200908 | LGVW    | 0                   | 0            | 212          | A      |
| 20200908 | LGVW WS | 0                   | 0            | 100          | A      |
| 20200908 | LH      | 0                   | 1150         | 6460         | A      |
| 20200908 | LHCG    | 0                   | 100          | 229          | A      |
| 20200908 | LHX     | 12                  | 364          | 1076         | A      |
| 20200908 | LI      | 0                   | 9682         | 15610        | A      |
| 20200908 | LII     | 0                   | 111          | 220          | A      |
| 20200908 | LILA    | 0                   | 109          | 109          | A      |
| 20200908 | LILAK   | 0                   | 300          | 2187         | A      |
| 20200908 | LIN     | 2                   | 1359         | 4414         | A      |
| 20200908 | LINC    | 100                 | 1593         | 2800         | A      |
| 20200908 | LIND    | 0                   | 506          | 914          | A      |
| 20200908 | LIT     | 0                   | 111          | 411          | A      |
| 20200908 | LITE    | 0                   | 218          | 654          | A      |
| 20200908 | LIVN    | 0                   | 236          | 1553         | A      |
| 20200908 | LIVX    | 0                   | 295          | 935          | A      |
| 20200908 | LJPC    | 0                   | 1            | 10           | A      |
| 20200908 | LKCO    | 0                   | 100          | 100          | A      |
| 20200908 | LKFN    | 0                   | 19           | 19           | A      |
| 20200908 | LKQ     | 0                   | 7776         | 11189        | A      |
| 20200908 | LL      | 0                   | 74           | 146          | A      |
| 20200908 | LLNW    | 0                   | 10134        | 11661        | A      |
| 20200908 | LLY     | 0                   | 4426         | 8509         | A      |
| 20200908 | LMAT    | 0                   | 3            | 3            | A      |
| 20200908 | LMB     | 0                   | 229          | 229          | A      |
| 20200908 | LMBS    | 0                   | 800          | 1000         | A      |
| 20200908 | LMND    | 0                   | 902          | 1975         | A      |
| 20200908 | LMNX    | 0                   | 114          | 906          | A      |
| 20200908 | LMT     | 0                   | 364          | 870          | A      |
| 20200908 | LNC     | 0                   | 4021         | 6761         | A      |
| 20200908 | LNG     | 1293                | 89373        | 206669       | A      |
| 20200908 | LNN     | 0                   | 0            | 3            | A      |
| 20200908 | LNT     | 0                   | 2216         | 3207         | A      |
| 20200908 | LNTH    | 0                   | 100          | 130          | A      |
| 20200908 | LOB     | 0                   | 110          | 116          | A      |
| 20200908 | LOCO    | 0                   | 469          | 592          | A      |
| 20200908 | LODE    | 3795                | 7599         | 105971       | A      |
| 20200908 | LOGC    | 0                   | 0            | 100          | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | LOGI   | 0                   | 2846         | 3456         | A      |
| 20200908 | LONE   | 0                   | 200          | 200          | A      |
| 20200908 | LOPE   | 0                   | 138          | 240          | A      |
| 20200908 | LORL   | 0                   | 10           | 20           | A      |
| 20200908 | LOV    | 0                   | 1751         | 7413         | A      |
| 20200908 | LOVE   | 100                 | 1376         | 1411         | A      |
| 20200908 | LOW    | 26                  | 12526        | 48641        | A      |
| 20200908 | LPCN   | 200                 | 1000         | 2900         | A      |
| 20200908 | LPG    | 0                   | 410          | 1016         | A      |
| 20200908 | LPI    | 200                 | 1700         | 1736         | A      |
| 20200908 | LPL    | 100                 | 2103         | 2458         | A      |
| 20200908 | LPLA   | 0                   | 744          | 1049         | A      |
| 20200908 | LPRO   | 0                   | 4216         | 7845         | A      |
| 20200908 | LPSN   | 0                   | 500          | 1120         | A      |
| 20200908 | LPTX   | 0                   | 513          | 813          | A      |
| 20200908 | LPX    | 0                   | 1225         | 2158         | A      |
| 20200908 | LQD    | 65                  | 113174       | 166024       | A      |
| 20200908 | LQDA   | 0                   | 25           | 125          | A      |
| 20200908 | LQDT   | 0                   | 0            | 103          | A      |
| 20200908 | LRCX   | 0                   | 3127         | 7563         | A      |
| 20200908 | LRGF   | 0                   | 800          | 800          | A      |
| 20200908 | LRMR   | 0                   | 0            | 200          | A      |
| 20200908 | LRN    | 0                   | 395          | 1634         | A      |
| 20200908 | LSCC   | 0                   | 502          | 700          | A      |
| 20200908 | LSI    | 0                   | 131          | 2627         | A      |
| 20200908 | LSTR   | 0                   | 2167         | 2558         | A      |
| 20200908 | LSXMA  | 0                   | 7            | 7            | A      |
| 20200908 | LSXMK  | 0                   | 1            | 1260         | A      |
| 20200908 | LTBR   | 0                   | 348          | 348          | A      |
| 20200908 | LTC    | 0                   | 0            | 10           | A      |
| 20200908 | LTHM   | 0                   | 6008         | 6265         | A      |
| 20200908 | LTRN   | 0                   | 0            | 23           | A      |
| 20200908 | LTRPA  | 4                   | 1523         | 3056         | A      |
| 20200908 | LTRX   | 0                   | 320          | 320          | A      |
| 20200908 | LUB    | 9857                | 122381       | 142547       | A      |
| 20200908 | LULU   | 0                   | 696          | 1893         | A      |
| 20200908 | LUNA   | 0                   | 82           | 232          | A      |
| 20200908 | LUV    | 100                 | 36826        | 72716        | A      |
| 20200908 | LVGO   | 4                   | 36           | 567          | A      |
| 20200908 | LVHD   | 0                   | 821          | 821          | A      |
| 20200908 | LVS    | 175                 | 21750        | 37442        | A      |
| 20200908 | LW     | 6                   | 2366         | 5332         | A      |
| 20200908 | LWAY   | 0                   | 40           | 40           | A      |
| 20200908 | LX     | 0                   | 2874         | 3052         | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | LXP    | 0                   | 3119         | 5633         | A      |
| 20200908 | LXRX   | 0                   | 2548         | 4281         | A      |
| 20200908 | LXU    | 0                   | 0            | 100          | A      |
| 20200908 | LYB    | 0                   | 5403         | 8970         | A      |
| 20200908 | LYFT   | 4                   | 4749         | 14299        | A      |
| 20200908 | LYG    | 0                   | 31987        | 44988        | A      |
| 20200908 | LYRA   | 0                   | 0            | 3            | A      |
| 20200908 | LYTS   | 0                   | 0            | 4            | A      |
| 20200908 | LYV    | 0                   | 2508         | 3090         | A      |
| 20200908 | LZB    | 0                   | 1080         | 1433         | A      |
| 20200908 | M      | 355                 | 40725        | 58279        | A      |
| 20200908 | MA     | 18                  | 3793         | 8521         | A      |
| 20200908 | MAA    | 0                   | 341          | 1490         | A      |
| 20200908 | MAC    | 0                   | 2891         | 7938         | A      |
| 20200908 | MACK   | 0                   | 0            | 100          | A      |
| 20200908 | MAG    | 5067                | 38264        | 68099        | A      |
| 20200908 | MAGS   | 0                   | 0            | 200          | A      |
| 20200908 | MAIN   | 0                   | 124          | 142          | A      |
| 20200908 | MAN    | 0                   | 140          | 152          | A      |
| 20200908 | MANH   | 0                   | 208          | 208          | A      |
| 20200908 | MANT   | 0                   | 100          | 104          | A      |
| 20200908 | MANU   | 0                   | 940          | 941          | A      |
| 20200908 | MAR    | 0                   | 1529         | 7292         | A      |
| 20200908 | MARA   | 2600                | 7233         | 11199        | A      |
| 20200908 | MARK   | 200                 | 300          | 900          | A      |
| 20200908 | MAS    | 65                  | 1719         | 3354         | A      |
| 20200908 | MASI   | 0                   | 25           | 49           | A      |
| 20200908 | MAT    | 0                   | 2144         | 2718         | A      |
| 20200908 | MATW   | 0                   | 1882         | 2608         | A      |
| 20200908 | MATX   | 0                   | 258          | 259          | A      |
| 20200908 | MAV    | 0                   | 0            | 300          | A      |
| 20200908 | MAXN   | 0                   | 3933         | 6742         | A      |
| 20200908 | MAXR   | 0                   | 478          | 853          | A      |
| 20200908 | MBB    | 0                   | 2480         | 5239         | A      |
| 20200908 | MBI    | 0                   | 811          | 2059         | A      |
| 20200908 | MBII   | 0                   | 0            | 400          | A      |
| 20200908 | MBIO   | 0                   | 1588         | 1600         | A      |
| 20200908 | MBOT   | 0                   | 100          | 100          | A      |
| 20200908 | MBT    | 0                   | 13812        | 19410        | A      |
| 20200908 | MBUU   | 0                   | 211          | 230          | A      |
| 20200908 | MC     | 0                   | 500          | 500          | A      |
| 20200908 | MCBC   | 0                   | 0            | 6            | A      |
| 20200908 | MCD    | 16                  | 3461         | 5517         | A      |
| 20200908 | MCF    | 2313                | 57309        | 65541        | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20200908 | MCFT    | 0                   | 15           | 115          | A      |
| 20200908 | MCHI    | 100                 | 26183        | 35068        | A      |
| 20200908 | MCHP    | 110                 | 2522         | 4310         | A      |
| 20200908 | MCHX    | 0                   | 0            | 493          | A      |
| 20200908 | MCK     | 0                   | 1637         | 3206         | A      |
| 20200908 | MCN     | 0                   | 300          | 400          | A      |
| 20200908 | MCO     | 10                  | 688          | 1048         | A      |
| 20200908 | MCRB    | 0                   | 111          | 227          | A      |
| 20200908 | MCRI    | 0                   | 0            | 10           | A      |
| 20200908 | MCS     | 0                   | 103          | 116          | A      |
| 20200908 | MCY     | 0                   | 69           | 183          | A      |
| 20200908 | MD      | 0                   | 420          | 421          | A      |
| 20200908 | MDB     | 3                   | 471          | 1228         | A      |
| 20200908 | MDC     | 0                   | 840          | 841          | A      |
| 20200908 | MDGL    | 0                   | 0            | 1            | A      |
| 20200908 | MDGS    | 0                   | 200          | 500          | A      |
| 20200908 | MDLA    | 0                   | 865          | 2294         | A      |
| 20200908 | MDLZ    | 0                   | 76441        | 104924       | A      |
| 20200908 | MDP     | 0                   | 400          | 620          | A      |
| 20200908 | MDRX    | 0                   | 5024         | 13868        | A      |
| 20200908 | MDT     | 0                   | 2966         | 21511        | A      |
| 20200908 | MDU     | 0                   | 1893         | 4309         | A      |
| 20200908 | MDY     | 0                   | 346          | 1146         | A      |
| 20200908 | MDYG    | 0                   | 0            | 44           | A      |
| 20200908 | MDYV    | 0                   | 100          | 100          | A      |
| 20200908 | MEC     | 0                   | 0            | 300          | A      |
| 20200908 | MED     | 0                   | 915          | 2047         | A      |
| 20200908 | MEDP    | 0                   | 1708         | 1808         | A      |
| 20200908 | MEG     | 0                   | 100          | 100          | A      |
| 20200908 | MEI     | 0                   | 1563         | 1840         | A      |
| 20200908 | MEIP    | 0                   | 1362         | 1638         | A      |
| 20200908 | MELI    | 0                   | 569          | 877          | A      |
| 20200908 | MEOH    | 0                   | 700          | 800          | A      |
| 20200908 | MERC    | 0                   | 0            | 11           | A      |
| 20200908 | MESO    | 0                   | 106          | 452          | A      |
| 20200908 | MET     | 200                 | 7685         | 21435        | A      |
| 20200908 | MET PRE | 0                   | 100          | 100          | A      |
| 20200908 | METC    | 0                   | 91           | 91           | A      |
| 20200908 | MFA     | 0                   | 10899        | 13367        | A      |
| 20200908 | MFC     | 200                 | 11481        | 13823        | A      |
| 20200908 | MFD     | 0                   | 0            | 100          | A      |
| 20200908 | MFGP    | 0                   | 3319         | 8684         | A      |
| 20200908 | MFL     | 0                   | 100          | 100          | A      |
| 20200908 | MFM     | 0                   | 0            | 76           | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | MG     | 0                   | 134          | 372          | A      |
| 20200908 | MGA    | 0                   | 2419         | 3047         | A      |
| 20200908 | MGC    | 0                   | 200          | 400          | A      |
| 20200908 | MGEE   | 0                   | 11           | 11           | A      |
| 20200908 | MGI    | 0                   | 314          | 2314         | A      |
| 20200908 | MGK    | 0                   | 3208         | 3405         | A      |
| 20200908 | MGLN   | 0                   | 4            | 5            | A      |
| 20200908 | MGM    | 4                   | 16762        | 25670        | A      |
| 20200908 | MGNI   | 0                   | 518          | 920          | A      |
| 20200908 | MGNX   | 7                   | 97           | 118          | A      |
| 20200908 | MGP    | 0                   | 4543         | 4753         | A      |
| 20200908 | MGPI   | 0                   | 16           | 116          | A      |
| 20200908 | MGTA   | 0                   | 237          | 387          | A      |
| 20200908 | MGTX   | 0                   | 100          | 140          | A      |
| 20200908 | MGV    | 0                   | 1582         | 1662         | A      |
| 20200908 | MGY    | 0                   | 3177         | 7868         | A      |
| 20200908 | MHH    | 176                 | 3765         | 12598        | A      |
| 20200908 | MHI    | 0                   | 0            | 200          | A      |
| 20200908 | MHK    | 0                   | 305          | 2159         | A      |
| 20200908 | MHN    | 0                   | 0            | 100          | A      |
| 20200908 | MHO    | 0                   | 33           | 45           | A      |
| 20200908 | MIC    | 0                   | 5            | 466          | A      |
| 20200908 | MICT   | 0                   | 100          | 300          | A      |
| 20200908 | MIDD   | 0                   | 210          | 210          | A      |
| 20200908 | MIK    | 0                   | 7298         | 10926        | A      |
| 20200908 | MIME   | 0                   | 370          | 559          | A      |
| 20200908 | MIN    | 0                   | 100          | 200          | A      |
| 20200908 | MIND   | 0                   | 100          | 100          | A      |
| 20200908 | MINT   | 80                  | 3529         | 4689         | A      |
| 20200908 | MIRM   | 0                   | 108          | 309          | A      |
| 20200908 | MITT   | 0                   | 1214         | 1323         | A      |
| 20200908 | MJ     | 0                   | 3980         | 4880         | A      |
| 20200908 | MJCO   | 0                   | 0            | 103          | A      |
| 20200908 | MKC    | 0                   | 795          | 920          | A      |
| 20200908 | MKGI   | 0                   | 200          | 200          | A      |
| 20200908 | MKL    | 0                   | 11           | 11           | A      |
| 20200908 | MKSI   | 0                   | 73           | 577          | A      |
| 20200908 | MKTX   | 0                   | 504          | 605          | A      |
| 20200908 | MLCO   | 0                   | 1609         | 13206        | A      |
| 20200908 | MLHR   | 0                   | 15           | 29           | A      |
| 20200908 | MLI    | 0                   | 508          | 600          | A      |
| 20200908 | MLM    | 0                   | 1377         | 2141         | A      |
| 20200908 | MLND   | 0                   | 293          | 1175         | A      |
| 20200908 | MLPA   | 0                   | 700          | 1111         | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | MLPI   | 0                   | 24           | 24           | A      |
| 20200908 | MLR    | 0                   | 191          | 200          | A      |
| 20200908 | MLSS   | 401                 | 8258         | 21727        | A      |
| 20200908 | MMC    | 0                   | 3266         | 7306         | A      |
| 20200908 | MMI    | 0                   | 211          | 282          | A      |
| 20200908 | MMLP   | 0                   | 2100         | 2200         | A      |
| 20200908 | MMM    | 100                 | 3070         | 6622         | A      |
| 20200908 | MMP    | 0                   | 1            | 701          | A      |
| 20200908 | MMS    | 1                   | 131          | 281          | A      |
| 20200908 | MMSI   | 0                   | 7            | 7            | A      |
| 20200908 | MMU    | 0                   | 100          | 100          | A      |
| 20200908 | MMX    | 1250                | 22648        | 29129        | A      |
| 20200908 | MMYT   | 0                   | 217          | 486          | A      |
| 20200908 | MNA    | 0                   | 100          | 100          | A      |
| 20200908 | MNK    | 0                   | 900          | 1130         | A      |
| 20200908 | MNKD   | 0                   | 582          | 1183         | A      |
| 20200908 | MNOV   | 0                   | 35           | 35           | A      |
| 20200908 | MNPR   | 0                   | 0            | 100          | A      |
| 20200908 | MNR    | 0                   | 201          | 201          | A      |
| 20200908 | MNRL   | 0                   | 5            | 410          | A      |
| 20200908 | MNRO   | 0                   | 17           | 33           | A      |
| 20200908 | MNST   | 0                   | 2658         | 5491         | A      |
| 20200908 | MNTA   | 0                   | 19914        | 24777        | A      |
| 20200908 | MO     | 2                   | 10005        | 26360        | A      |
| 20200908 | MOAT   | 0                   | 2100         | 2586         | A      |
| 20200908 | MOBL   | 0                   | 10           | 25           | A      |
| 20200908 | MOD    | 0                   | 0            | 9            | A      |
| 20200908 | MODN   | 0                   | 200          | 363          | A      |
| 20200908 | MOFG   | 0                   | 0            | 1            | A      |
| 20200908 | MOG A  | 0                   | 183          | 259          | A      |
| 20200908 | MOGO   | 100                 | 600          | 600          | A      |
| 20200908 | MOGU   | 0                   | 0            | 200          | A      |
| 20200908 | MOH    | 0                   | 256          | 400          | A      |
| 20200908 | MOMO   | 0                   | 13707        | 18830        | A      |
| 20200908 | MORF   | 0                   | 200          | 200          | A      |
| 20200908 | MOS    | 0                   | 8124         | 15010        | A      |
| 20200908 | MOV    | 0                   | 297          | 412          | A      |
| 20200908 | MPAA   | 0                   | 0            | 1            | A      |
| 20200908 | MPC    | 0                   | 5270         | 13966        | A      |
| 20200908 | MPLX   | 100                 | 1487         | 4087         | A      |
| 20200908 | MPW    | 100                 | 7878         | 15159        | A      |
| 20200908 | MPWR   | 0                   | 562          | 5223         | A      |
| 20200908 | MPX    | 0                   | 673          | 714          | A      |
| 20200908 | MQY    | 0                   | 0            | 100          | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | MR     | 0                   | 1054         | 1554         | A      |
| 20200908 | MRAM   | 0                   | 205          | 205          | A      |
| 20200908 | MRBK   | 0                   | 0            | 15           | A      |
| 20200908 | MRC    | 0                   | 958          | 1614         | A      |
| 20200908 | MRCC   | 0                   | 0            | 100          | A      |
| 20200908 | MRK    | 0                   | 14339        | 27662        | A      |
| 20200908 | MRKR   | 0                   | 90           | 200          | A      |
| 20200908 | MRLN   | 0                   | 0            | 200          | A      |
| 20200908 | MRNA   | 538                 | 5721         | 13633        | A      |
| 20200908 | MRNS   | 0                   | 1343         | 2130         | A      |
| 20200908 | MRO    | 100                 | 60756        | 113726       | A      |
| 20200908 | MRSN   | 0                   | 125          | 325          | A      |
| 20200908 | MRTN   | 0                   | 2            | 308          | A      |
| 20200908 | MRTX   | 2                   | 161          | 247          | A      |
| 20200908 | MRVL   | 0                   | 5061         | 9386         | A      |
| 20200908 | MS     | 99                  | 27499        | 59852        | A      |
| 20200908 | MSA    | 0                   | 10           | 40           | A      |
| 20200908 | MSCI   | 0                   | 731          | 1454         | A      |
| 20200908 | MSFT   | 1941                | 116787       | 250853       | A      |
| 20200908 | MSGE   | 0                   | 0            | 200          | A      |
| 20200908 | MSGN   | 0                   | 171          | 381          | A      |
| 20200908 | MSGS   | 16                  | 362          | 554          | A      |
| 20200908 | MSI    | 14                  | 704          | 724          | A      |
| 20200908 | MSM    | 0                   | 1473         | 1642         | A      |
| 20200908 | MSN    | 209                 | 1267         | 4462         | A      |
| 20200908 | MSON   | 0                   | 355          | 355          | A      |
| 20200908 | MSOS   | 0                   | 0            | 25           | A      |
| 20200908 | MSTR   | 0                   | 102          | 102          | A      |
| 20200908 | MT     | 0                   | 3922         | 5632         | A      |
| 20200908 | MTA    | 3498                | 31696        | 41579        | A      |
| 20200908 | MTB    | 38                  | 368          | 550          | A      |
| 20200908 | MTCH   | 0                   | 210          | 435          | A      |
| 20200908 | MTD    | 0                   | 43           | 68           | A      |
| 20200908 | MTDR   | 43                  | 5055         | 9076         | A      |
| 20200908 | MTEM   | 0                   | 780          | 981          | A      |
| 20200908 | MTEX   | 0                   | 6            | 6            | A      |
| 20200908 | MTG    | 0                   | 13674        | 17549        | A      |
| 20200908 | MTH    | 0                   | 233          | 375          | A      |
| 20200908 | MTLS   | 0                   | 0            | 100          | A      |
| 20200908 | MTN    | 0                   | 609          | 637          | A      |
| 20200908 | MTNB   | 13770               | 130166       | 158385       | A      |
| 20200908 | MTOR   | 0                   | 200          | 204          | A      |
| 20200908 | MTP    | 0                   | 0            | 9            | A      |
| 20200908 | MTRN   | 0                   | 377          | 477          | A      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | MTRX   | 0                   | 735          | 835          | A      |
| 20200908 | MTSC   | 0                   | 324          | 326          | A      |
| 20200908 | MTSI   | 0                   | 1            | 201          | A      |
| 20200908 | MTUM   | 565                 | 20388        | 20913        | A      |
| 20200908 | MTW    | 0                   | 229          | 477          | A      |
| 20200908 | MTX    | 0                   | 110          | 110          | A      |
| 20200908 | MTZ    | 0                   | 411          | 510          | A      |
| 20200908 | MU     | 0                   | 26902        | 36883        | A      |
| 20200908 | MUB    | 100                 | 2110         | 11681        | A      |
| 20200908 | MUFG   | 0                   | 1407         | 1507         | A      |
| 20200908 | MUR    | 200                 | 7390         | 9824         | A      |
| 20200908 | MUSA   | 0                   | 532          | 953          | A      |
| 20200908 | MUX    | 200                 | 8948         | 9748         | A      |
| 20200908 | MVF    | 0                   | 200          | 200          | A      |
| 20200908 | MVIS   | 300                 | 6183         | 6657         | A      |
| 20200908 | MVT    | 0                   | 0            | 100          | A      |
| 20200908 | MWA    | 0                   | 152          | 370          | A      |
| 20200908 | MWK    | 0                   | 7            | 7            | A      |
| 20200908 | MX     | 0                   | 992          | 2637         | A      |
| 20200908 | MXC    | 300                 | 3028         | 6666         | A      |
| 20200908 | MXIM   | 0                   | 3777         | 124579       | A      |
| 20200908 | MXL    | 0                   | 321          | 2023         | A      |
| 20200908 | MYF    | 0                   | 0            | 14           | A      |
| 20200908 | MYGN   | 0                   | 374          | 626          | A      |
| 20200908 | MYJ    | 0                   | 0            | 100          | A      |
| 20200908 | MYL    | 92                  | 10516        | 19185        | A      |
| 20200908 | MYO    | 0                   | 5643         | 11914        | A      |
| 20200908 | MYOK   | 0                   | 34           | 134          | A      |
| 20200908 | MYOS   | 0                   | 279          | 279          | A      |
| 20200908 | MYOV   | 0                   | 737          | 1244         | A      |
| 20200908 | MYRG   | 0                   | 0            | 65           | A      |
| 20200908 | NAC    | 0                   | 0            | 100          | A      |
| 20200908 | NAD    | 0                   | 0            | 200          | A      |
| 20200908 | NAIL   | 0                   | 100          | 101          | A      |
| 20200908 | NAK    | 28554               | 315672       | 451751       | A      |
| 20200908 | NAKD   | 700                 | 700          | 700          | A      |
| 20200908 | NAOV   | 0                   | 0            | 100          | A      |
| 20200908 | NARI   | 0                   | 149          | 386          | A      |
| 20200908 | NAT    | 830                 | 6080         | 6380         | A      |
| 20200908 | NATH   | 0                   | 52           | 90           | A      |
| 20200908 | NATI   | 0                   | 3276         | 3784         | A      |
| 20200908 | NATR   | 0                   | 0            | 155          | A      |
| 20200908 | NAV    | 0                   | 666          | 1895         | A      |
| 20200908 | NAVB   | 0                   | 1642         | 6870         | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | NAVI   | 0                   | 1325         | 4025         | A      |
| 20200908 | NBEV   | 0                   | 300          | 764          | A      |
| 20200908 | NBH    | 0                   | 226          | 4883         | A      |
| 20200908 | NBIX   | 0                   | 1142         | 1337         | A      |
| 20200908 | NBL    | 900                 | 323637       | 582947       | A      |
| 20200908 | NBLX   | 0                   | 36           | 196          | A      |
| 20200908 | NBN    | 0                   | 0            | 100          | A      |
| 20200908 | NBO    | 0                   | 225          | 1754         | A      |
| 20200908 | NBR    | 0                   | 506          | 1004         | A      |
| 20200908 | NBRV   | 100                 | 100          | 100          | A      |
| 20200908 | NBSE   | 0                   | 212          | 473          | A      |
| 20200908 | NBTB   | 0                   | 79           | 85           | A      |
| 20200908 | NBW    | 1                   | 159          | 1673         | A      |
| 20200908 | NBY    | 13586               | 54951        | 71411        | A      |
| 20200908 | NCLH   | 0                   | 11235        | 28637        | A      |
| 20200908 | NCMI   | 1346                | 3592         | 7944         | A      |
| 20200908 | NCNA   | 0                   | 6            | 6            | A      |
| 20200908 | NCNO   | 0                   | 0            | 100          | A      |
| 20200908 | NCR    | 0                   | 14           | 3956         | A      |
| 20200908 | NCV    | 300                 | 1372         | 3572         | A      |
| 20200908 | NCZ    | 0                   | 502          | 502          | A      |
| 20200908 | NDAQ   | 0                   | 290          | 2031         | A      |
| 20200908 | NDSN   | 0                   | 12           | 395          | A      |
| 20200908 | NEAR   | 0                   | 675          | 4494         | A      |
| 20200908 | NEE    | 0                   | 915          | 1737         | A      |
| 20200908 | NEM    | 15                  | 4357         | 31648        | A      |
| 20200908 | NEN    | 0                   | 218          | 219          | A      |
| 20200908 | NEO    | 100                 | 1228         | 1426         | A      |
| 20200908 | NEOG   | 0                   | 2            | 2            | A      |
| 20200908 | NEOS   | 0                   | 0            | 117          | A      |
| 20200908 | NEP    | 0                   | 712          | 719          | A      |
| 20200908 | NEPH   | 0                   | 0            | 200          | A      |
| 20200908 | NEPT   | 0                   | 2612         | 4262         | A      |
| 20200908 | NERV   | 30                  | 53           | 199          | A      |
| 20200908 | NES    | 1656                | 2920         | 6045         | A      |
| 20200908 | NESR   | 0                   | 109          | 172          | A      |
| 20200908 | NET    | 122                 | 2617         | 5937         | A      |
| 20200908 | NETE   | 0                   | 2243         | 3443         | A      |
| 20200908 | NEU    | 0                   | 3            | 45           | A      |
| 20200908 | NEWB   | 0                   | 338          | 628          | A      |
| 20200908 | NEWT   | 0                   | 2            | 102          | A      |
| 20200908 | NEX    | 1                   | 457          | 882          | A      |
| 20200908 | NEXT   | 300                 | 2940         | 3140         | A      |
| 20200908 | NFBK   | 0                   | 576          | 1788         | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20200908 | NFE     | 0                   | 4359         | 5978         | A      |
| 20200908 | NFG     | 0                   | 5102         | 12862        | A      |
| 20200908 | NFIN    | 0                   | 0            | 300          | A      |
| 20200908 | NFJ     | 0                   | 10           | 10           | A      |
| 20200908 | NFLX    | 100                 | 5170         | 8127         | A      |
| 20200908 | NFRA    | 0                   | 100          | 100          | A      |
| 20200908 | NG      | 9612                | 164339       | 279323       | A      |
| 20200908 | NGA U   | 0                   | 0            | 2100         | A      |
| 20200908 | NGD     | 21695               | 460425       | 1311742      | A      |
| 20200908 | NGG     | 0                   | 554          | 604          | A      |
| 20200908 | NGHC    | 0                   | 381          | 601          | A      |
| 20200908 | NGL     | 0                   | 200          | 200          | A      |
| 20200908 | NGL PRB | 0                   | 0            | 10           | A      |
| 20200908 | NGM     | 0                   | 200          | 300          | A      |
| 20200908 | NGS     | 0                   | 36           | 37           | A      |
| 20200908 | NGVC    | 0                   | 330          | 332          | A      |
| 20200908 | NGVT    | 15                  | 858          | 1623         | A      |
| 20200908 | NH      | 0                   | 422          | 812          | A      |
| 20200908 | NHC     | 0                   | 3596         | 8894         | A      |
| 20200908 | NHI     | 0                   | 311          | 311          | A      |
| 20200908 | NHLD    | 0                   | 0            | 63           | A      |
| 20200908 | NHS     | 70                  | 902          | 7704         | A      |
| 20200908 | NI      | 0                   | 9753         | 31051        | A      |
| 20200908 | NIB     | 0                   | 100          | 100          | A      |
| 20200908 | NICE    | 0                   | 410          | 623          | A      |
| 20200908 | NID     | 0                   | 12           | 12           | A      |
| 20200908 | NIE     | 0                   | 0            | 80           | A      |
| 20200908 | NINE    | 0                   | 0            | 110          | A      |
| 20200908 | NIO     | 2632                | 35171        | 75987        | A      |
| 20200908 | NIU     | 0                   | 100          | 210          | A      |
| 20200908 | NJR     | 0                   | 221          | 238          | A      |
| 20200908 | NK      | 0                   | 1233         | 1258         | A      |
| 20200908 | NKE     | 140                 | 6140         | 9904         | A      |
| 20200908 | NKLA    | 1611                | 28438        | 87500        | A      |
| 20200908 | NKTR    | 0                   | 447          | 680          | A      |
| 20200908 | NKTX    | 0                   | 100          | 391          | A      |
| 20200908 | NLOK    | 0                   | 5491         | 8040         | A      |
| 20200908 | NLS     | 0                   | 880          | 1692         | A      |
| 20200908 | NLSN    | 18                  | 12090        | 16026        | A      |
| 20200908 | NLTX    | 0                   | 120          | 120          | A      |
| 20200908 | NLY     | 859                 | 22793        | 26614        | A      |
| 20200908 | NLY PRF | 0                   | 0            | 200          | A      |
| 20200908 | NLY PRG | 0                   | 100          | 100          | A      |
| 20200908 | NMFC    | 0                   | 347          | 847          | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20200908 | NMIH    | 0                   | 698          | 1766         | A      |
| 20200908 | NML     | 2131                | 7555         | 19016        | A      |
| 20200908 | NMRK    | 100                 | 418          | 16715        | A      |
| 20200908 | NMTR    | 100                 | 200          | 600          | A      |
| 20200908 | NNA     | 0                   | 0            | 300          | A      |
| 20200908 | NNBR    | 0                   | 73           | 89           | A      |
| 20200908 | NNDM    | 0                   | 400          | 843          | A      |
| 20200908 | NNI     | 0                   | 82           | 82           | A      |
| 20200908 | NNN     | 2                   | 1367         | 8843         | A      |
| 20200908 | NNOX    | 814                 | 1100         | 3180         | A      |
| 20200908 | NNVC    | 18710               | 24237        | 44739        | A      |
| 20200908 | NOA     | 0                   | 39           | 39           | A      |
| 20200908 | NOAH    | 0                   | 100          | 858          | A      |
| 20200908 | NOBL    | 0                   | 100          | 200          | A      |
| 20200908 | NOC     | 0                   | 807          | 1404         | A      |
| 20200908 | NODK    | 0                   | 0            | 100          | A      |
| 20200908 | NOG     | 27381               | 454694       | 549150       | A      |
| 20200908 | NOK     | 26824               | 117226       | 272447       | A      |
| 20200908 | NOMD    | 0                   | 33477        | 41177        | A      |
| 20200908 | NOV     | 51                  | 20137        | 36442        | A      |
| 20200908 | NOVA    | 50                  | 235          | 1837         | A      |
| 20200908 | NOVN    | 100                 | 100          | 600          | A      |
| 20200908 | NOVT    | 0                   | 200          | 344          | A      |
| 20200908 | NOW     | 110                 | 1773         | 2702         | A      |
| 20200908 | NP      | 0                   | 101          | 101          | A      |
| 20200908 | NPO     | 0                   | 60           | 60           | A      |
| 20200908 | NPTN    | 0                   | 4535         | 5145         | A      |
| 20200908 | NR      | 470                 | 471          | 2287         | A      |
| 20200908 | NRBO    | 0                   | 100          | 100          | A      |
| 20200908 | NRC     | 0                   | 2            | 4            | A      |
| 20200908 | NRG     | 0                   | 5934         | 18968        | A      |
| 20200908 | NRGU    | 100                 | 4818         | 9871         | A      |
| 20200908 | NRGX    | 100                 | 200          | 200          | A      |
| 20200908 | NRK     | 0                   | 99           | 99           | A      |
| 20200908 | NRO     | 814                 | 12094        | 20532        | A      |
| 20200908 | NRZ     | 0                   | 7221         | 9768         | A      |
| 20200908 | NRZ PRB | 0                   | 0            | 5            | A      |
| 20200908 | NSA     | 0                   | 106          | 120          | A      |
| 20200908 | NSC     | 0                   | 1859         | 8158         | A      |
| 20200908 | NSIT    | 0                   | 901          | 935          | A      |
| 20200908 | NSL     | 0                   | 1100         | 1100         | A      |
| 20200908 | NSP     | 0                   | 130          | 344          | A      |
| 20200908 | NSPR    | 348380              | 510997       | 795120       | A      |
| 20200908 | NSPR WS | 0                   | 0            | 10771        | A      |

| Date     | Symbol   | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|----------|---------------------|--------------|--------------|--------|
| 20200908 | NSPR WSB | 0                   | 0            | 100          | A      |
| 20200908 | NSSC     | 0                   | 415          | 627          | A      |
| 20200908 | NSTG     | 0                   | 611          | 848          | A      |
| 20200908 | NTAP     | 24                  | 5738         | 8524         | A      |
| 20200908 | NTB      | 0                   | 0            | 2            | A      |
| 20200908 | NTCO     | 589                 | 689          | 1191         | A      |
| 20200908 | NTCT     | 0                   | 10           | 130          | A      |
| 20200908 | NTES     | 0                   | 165          | 758          | A      |
| 20200908 | NTG      | 0                   | 0            | 10           | A      |
| 20200908 | NTGR     | 0                   | 304          | 325          | A      |
| 20200908 | NTIC     | 0                   | 109          | 109          | A      |
| 20200908 | NTIP     | 0                   | 1289         | 1619         | A      |
| 20200908 | NTLA     | 10                  | 118          | 497          | A      |
| 20200908 | NTN      | 84                  | 2546         | 6178         | A      |
| 20200908 | NTNX     | 200                 | 11540        | 22799        | A      |
| 20200908 | NTR      | 57                  | 3024         | 10612        | A      |
| 20200908 | NTRA     | 0                   | 136          | 680          | A      |
| 20200908 | NTRS     | 0                   | 8497         | 11992        | A      |
| 20200908 | NTST     | 0                   | 500          | 1053         | A      |
| 20200908 | NTUS     | 0                   | 2            | 102          | A      |
| 20200908 | NUAN     | 0                   | 4873         | 6569         | A      |
| 20200908 | NUE      | 0                   | 3964         | 6085         | A      |
| 20200908 | NUGT     | 0                   | 120          | 845          | A      |
| 20200908 | NUMV     | 0                   | 0            | 700          | A      |
| 20200908 | NUS      | 0                   | 270          | 310          | A      |
| 20200908 | NUV      | 0                   | 300          | 300          | A      |
| 20200908 | NUVA     | 0                   | 161          | 566          | A      |
| 20200908 | NVAX     | 103                 | 212          | 742          | A      |
| 20200908 | NVCR     | 0                   | 1561         | 1572         | A      |
| 20200908 | NVDA     | 2312                | 14363        | 40240        | A      |
| 20200908 | NVEC     | 0                   | 100          | 100          | A      |
| 20200908 | NVGS     | 0                   | 0            | 100          | A      |
| 20200908 | NVIV     | 0                   | 100          | 600          | A      |
| 20200908 | NVO      | 36                  | 509          | 931          | A      |
| 20200908 | NVR      | 0                   | 3            | 38           | A      |
| 20200908 | NVRO     | 0                   | 3981         | 7494         | A      |
| 20200908 | NVS      | 0                   | 410          | 1031         | A      |
| 20200908 | NVST     | 0                   | 395          | 2029         | A      |
| 20200908 | NVT      | 0                   | 809          | 1510         | A      |
| 20200908 | NVTA     | 3                   | 722          | 3358         | A      |
| 20200908 | NWBI     | 0                   | 1235         | 1289         | A      |
| 20200908 | NWE      | 0                   | 422          | 547          | A      |
| 20200908 | NWFL     | 0                   | 1            | 1            | A      |
| 20200908 | NWG      | 0                   | 100          | 300          | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20200908 | NWHM    | 0                   | 100          | 100          | A      |
| 20200908 | NWL     | 100                 | 14060        | 17993        | A      |
| 20200908 | NWN     | 0                   | 1            | 1            | A      |
| 20200908 | NWS     | 0                   | 174          | 1222         | A      |
| 20200908 | NWSA    | 0                   | 3976         | 7217         | A      |
| 20200908 | NX      | 0                   | 72           | 278          | A      |
| 20200908 | NXE     | 8389                | 96709        | 106823       | A      |
| 20200908 | NXGN    | 0                   | 616          | 632          | A      |
| 20200908 | NXPI    | 0                   | 1682         | 7390         | A      |
| 20200908 | NXRT    | 0                   | 1052         | 1545         | A      |
| 20200908 | NXST    | 0                   | 302          | 432          | A      |
| 20200908 | NXTC    | 0                   | 200          | 350          | A      |
| 20200908 | NXTD    | 0                   | 300          | 300          | A      |
| 20200908 | NYCB    | 0                   | 33508        | 45533        | A      |
| 20200908 | NYMT    | 0                   | 2211         | 2986         | A      |
| 20200908 | NYT     | 0                   | 1318         | 2187         | A      |
| 20200908 | NZF     | 0                   | 0            | 100          | A      |
| 20200908 | O       | 0                   | 2849         | 4187         | A      |
| 20200908 | OAK PRA | 0                   | 0            | 50           | A      |
| 20200908 | OAS     | 0                   | 9668         | 9978         | A      |
| 20200908 | OBCI    | 0                   | 63           | 63           | A      |
| 20200908 | OBLG    | 15                  | 1728         | 2235         | A      |
| 20200908 | OBNK    | 0                   | 0            | 4            | A      |
| 20200908 | OBSV    | 0                   | 0            | 400          | A      |
| 20200908 | OC      | 0                   | 1233         | 2380         | A      |
| 20200908 | OCFC    | 0                   | 0            | 6            | A      |
| 20200908 | OCGN    | 1200                | 2421         | 3391         | A      |
| 20200908 | OCN     | 0                   | 0            | 100          | A      |
| 20200908 | OCSL    | 0                   | 0            | 500          | A      |
| 20200908 | OCUL    | 0                   | 337          | 350          | A      |
| 20200908 | OCX     | 3013                | 73713        | 125922       | A      |
| 20200908 | ODC     | 0                   | 4            | 4            | A      |
| 20200908 | ODFL    | 0                   | 32           | 725          | A      |
| 20200908 | ODP     | 0                   | 672          | 1039         | A      |
| 20200908 | ODT     | 0                   | 112          | 1026         | A      |
| 20200908 | OEC     | 0                   | 1113         | 1250         | A      |
| 20200908 | OEF     | 0                   | 500          | 2070         | A      |
| 20200908 | OESX    | 0                   | 417          | 417          | A      |
| 20200908 | OFC     | 0                   | 262          | 363          | A      |
| 20200908 | OFG     | 0                   | 210          | 324          | A      |
| 20200908 | OFIX    | 0                   | 2            | 4            | A      |
| 20200908 | OFLX    | 0                   | 0            | 4            | A      |
| 20200908 | OGE     | 0                   | 1372         | 3009         | A      |
| 20200908 | OGEN    | 52679               | 59696        | 166912       | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | OGI    | 300                 | 2828         | 3528         | A      |
| 20200908 | OGS    | 0                   | 30           | 135          | A      |
| 20200908 | OHI    | 0                   | 1504         | 2887         | A      |
| 20200908 | OI     | 0                   | 1845         | 2377         | A      |
| 20200908 | OIBR C | 760                 | 31994        | 116882       | A      |
| 20200908 | OIH    | 0                   | 925          | 1290         | A      |
| 20200908 | OII    | 0                   | 1057         | 1640         | A      |
| 20200908 | OIS    | 24                  | 4099         | 5123         | A      |
| 20200908 | OKE    | 300                 | 16141        | 20652        | A      |
| 20200908 | OKTA   | 0                   | 646          | 774          | A      |
| 20200908 | OLED   | 0                   | 316          | 727          | A      |
| 20200908 | OLLI   | 0                   | 2309         | 3496         | A      |
| 20200908 | OLN    | 0                   | 8685         | 13342        | A      |
| 20200908 | OLP    | 0                   | 142          | 292          | A      |
| 20200908 | OMC    | 0                   | 943          | 2275         | A      |
| 20200908 | OMCL   | 0                   | 670          | 670          | A      |
| 20200908 | OMER   | 0                   | 134          | 8065         | A      |
| 20200908 | OMF    | 0                   | 144          | 453          | A      |
| 20200908 | OMI    | 0                   | 608          | 1127         | A      |
| 20200908 | ON     | 0                   | 22811        | 25043        | A      |
| 20200908 | ONB    | 0                   | 946          | 946          | A      |
| 20200908 | ONCS   | 0                   | 57           | 157          | A      |
| 20200908 | ONCT   | 0                   | 0            | 200          | A      |
| 20200908 | ONCY   | 0                   | 100          | 810          | A      |
| 20200908 | ONDK   | 0                   | 200          | 500          | A      |
| 20200908 | ONEM   | 100                 | 2000         | 4015         | A      |
| 20200908 | ONLN   | 0                   | 0            | 80           | A      |
| 20200908 | ONTO   | 0                   | 1394         | 1638         | A      |
| 20200908 | ONTX   | 400                 | 7100         | 7546         | A      |
| 20200908 | OOMA   | 0                   | 286          | 319          | A      |
| 20200908 | OPCH   | 0                   | 216          | 460          | A      |
| 20200908 | OPGN   | 0                   | 128          | 142          | A      |
| 20200908 | OPI    | 0                   | 100          | 100          | A      |
| 20200908 | OPK    | 0                   | 12755        | 15821        | A      |
| 20200908 | OPRA   | 0                   | 485          | 785          | A      |
| 20200908 | OPRT   | 0                   | 215          | 285          | A      |
| 20200908 | OPRX   | 0                   | 103          | 135          | A      |
| 20200908 | OPTN   | 0                   | 436          | 647          | A      |
| 20200908 | OPTT   | 0                   | 0            | 6            | A      |
| 20200908 | OPY    | 0                   | 0            | 200          | A      |
| 20200908 | OR     | 0                   | 664          | 892          | A      |
| 20200908 | ORA    | 0                   | 449          | 501          | A      |
| 20200908 | ORAN   | 0                   | 771          | 1114         | A      |
| 20200908 | ORC    | 0                   | 1000         | 1200         | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | ORCC   | 0                   | 322          | 2322         | A      |
| 20200908 | ORCL   | 11                  | 9114         | 24704        | A      |
| 20200908 | ORGS   | 0                   | 0            | 300          | A      |
| 20200908 | ORI    | 0                   | 1958         | 3173         | A      |
| 20200908 | ORLY   | 0                   | 88           | 198          | A      |
| 20200908 | ORMP   | 0                   | 100          | 100          | A      |
| 20200908 | ORN    | 0                   | 700          | 800          | A      |
| 20200908 | ORRF   | 0                   | 0            | 40           | A      |
| 20200908 | ORTX   | 0                   | 82           | 82           | A      |
| 20200908 | OSB    | 0                   | 300          | 300          | A      |
| 20200908 | OSBC   | 0                   | 0            | 8            | A      |
| 20200908 | OSG    | 0                   | 12           | 63           | A      |
| 20200908 | OSH    | 0                   | 200          | 308          | A      |
| 20200908 | OSIS   | 4                   | 176          | 196          | A      |
| 20200908 | OSK    | 0                   | 21           | 21           | A      |
| 20200908 | OSMT   | 0                   | 136          | 146          | A      |
| 20200908 | OSPN   | 0                   | 120          | 845          | A      |
| 20200908 | OSTK   | 3180                | 3390         | 11171        | A      |
| 20200908 | OSUR   | 54                  | 1688         | 2950         | A      |
| 20200908 | OSW    | 0                   | 22           | 618          | A      |
| 20200908 | OTEX   | 0                   | 934          | 3234         | A      |
| 20200908 | OTIC   | 0                   | 300          | 600          | A      |
| 20200908 | OTIS   | 100                 | 2100         | 7638         | A      |
| 20200908 | OTLK   | 0                   | 700          | 800          | A      |
| 20200908 | OTRK   | 0                   | 300          | 300          | A      |
| 20200908 | OTTR   | 0                   | 0            | 2            | A      |
| 20200908 | OUNZ   | 0                   | 1500         | 1500         | A      |
| 20200908 | OUT    | 0                   | 940          | 1240         | A      |
| 20200908 | OVID   | 0                   | 381          | 392          | A      |
| 20200908 | OVV    | 232                 | 7677         | 13508        | A      |
| 20200908 | OXFD   | 0                   | 335          | 335          | A      |
| 20200908 | OXM    | 2                   | 44           | 815          | A      |
| 20200908 | OXY    | 200                 | 101097       | 160622       | A      |
| 20200908 | OXY WS | 0                   | 1300         | 1600         | A      |
| 20200908 | OYST   | 0                   | 0            | 399          | A      |
| 20200908 | OZK    | 0                   | 416          | 416          | A      |
| 20200908 | PAA    | 0                   | 17179        | 27286        | A      |
| 20200908 | PAAS   | 0                   | 1113         | 2998         | A      |
| 20200908 | PAC    | 0                   | 0            | 1            | A      |
| 20200908 | PACB   | 0                   | 6129         | 7121         | A      |
| 20200908 | PACD   | 1100                | 1300         | 1870         | A      |
| 20200908 | PACK   | 0                   | 5            | 105          | A      |
| 20200908 | PACW   | 0                   | 1604         | 3845         | A      |
| 20200908 | PAE    | 100                 | 611          | 1131         | A      |



| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20200908 | PAG     | 0                   | 447          | 733          | A      |
| 20200908 | PAGP    | 0                   | 8475         | 15497        | A      |
| 20200908 | PAGS    | 0                   | 2870         | 3462         | A      |
| 20200908 | PAHC    | 0                   | 2            | 102          | A      |
| 20200908 | PALL    | 0                   | 22           | 22           | A      |
| 20200908 | PAM     | 0                   | 870          | 1590         | A      |
| 20200908 | PAND    | 0                   | 0            | 2            | A      |
| 20200908 | PANW    | 16                  | 848          | 1740         | A      |
| 20200908 | PAR     | 0                   | 105          | 144          | A      |
| 20200908 | PARR    | 0                   | 1220         | 2335         | A      |
| 20200908 | PASG    | 0                   | 100          | 100          | A      |
| 20200908 | PATK    | 0                   | 30           | 32           | A      |
| 20200908 | PAVM    | 0                   | 209          | 209          | A      |
| 20200908 | PAWZ    | 0                   | 100          | 1335         | A      |
| 20200908 | PAYC    | 8                   | 311          | 448          | A      |
| 20200908 | PAYS    | 0                   | 105          | 437          | A      |
| 20200908 | PAYX    | 0                   | 2868         | 4419         | A      |
| 20200908 | PB      | 0                   | 0            | 5            | A      |
| 20200908 | PBA     | 0                   | 2335         | 4764         | A      |
| 20200908 | PBCT    | 0                   | 19651        | 26889        | A      |
| 20200908 | PBF     | 0                   | 7611         | 14045        | A      |
| 20200908 | PBFX    | 0                   | 210          | 210          | A      |
| 20200908 | PBH     | 0                   | 300          | 406          | A      |
| 20200908 | PBI     | 0                   | 3683         | 4861         | A      |
| 20200908 | PBR     | 1500                | 138931       | 230320       | A      |
| 20200908 | PBR A   | 700                 | 31489        | 33340        | A      |
| 20200908 | PBW     | 0                   | 20           | 30           | A      |
| 20200908 | PBYI    | 0                   | 144          | 244          | A      |
| 20200908 | PCAR    | 0                   | 735          | 1229         | A      |
| 20200908 | PCB     | 0                   | 0            | 80           | A      |
| 20200908 | PCG     | 0                   | 27714        | 53281        | A      |
| 20200908 | PCG PRA | 0                   | 14           | 550          | A      |
| 20200908 | PCG PRE | 0                   | 0            | 101          | A      |
| 20200908 | PCG PRH | 0                   | 1            | 1            | A      |
| 20200908 | PCGU    | 0                   | 0            | 100          | A      |
| 20200908 | PCH     | 0                   | 200          | 204          | A      |
| 20200908 | PCOM    | 0                   | 0            | 86           | A      |
| 20200908 | PCPL    | 0                   | 100          | 400          | A      |
| 20200908 | PCRX    | 5                   | 167          | 406          | A      |
| 20200908 | PCTI    | 0                   | 186          | 386          | A      |
| 20200908 | PCTY    | 0                   | 338          | 354          | A      |
| 20200908 | PCVX    | 0                   | 191          | 527          | A      |
| 20200908 | PCY     | 0                   | 8922         | 11454        | A      |
| 20200908 | PCYO    | 0                   | 100          | 100          | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | PD     | 0                   | 5814         | 7549         | A      |
| 20200908 | PDBC   | 0                   | 4131         | 9731         | A      |
| 20200908 | PDCE   | 0                   | 1674         | 2767         | A      |
| 20200908 | PDCO   | 400                 | 3212         | 5270         | A      |
| 20200908 | PDD    | 0                   | 1038         | 5386         | A      |
| 20200908 | PDFS   | 0                   | 101          | 431          | A      |
| 20200908 | PDLI   | 0                   | 2120         | 2613         | A      |
| 20200908 | PDM    | 0                   | 300          | 494          | A      |
| 20200908 | PDP    | 0                   | 2618         | 2618         | A      |
| 20200908 | PDSB   | 147                 | 147          | 245          | A      |
| 20200908 | PE     | 0                   | 19525        | 29156        | A      |
| 20200908 | PEAK   | 0                   | 11828        | 43978        | A      |
| 20200908 | PEB    | 0                   | 2136         | 28697        | A      |
| 20200908 | PEBO   | 0                   | 1            | 4            | A      |
| 20200908 | PED    | 208                 | 6700         | 21719        | A      |
| 20200908 | PEG    | 54                  | 4136         | 6374         | A      |
| 20200908 | PEGA   | 0                   | 0            | 169          | A      |
| 20200908 | PEI    | 3190                | 95346        | 104544       | A      |
| 20200908 | PEIX   | 100                 | 7316         | 10765        | A      |
| 20200908 | PEJ    | 650                 | 650          | 650          | A      |
| 20200908 | PEN    | 0                   | 811          | 817          | A      |
| 20200908 | PENN   | 100                 | 5242         | 6607         | A      |
| 20200908 | PEP    | 42                  | 20414        | 25444        | A      |
| 20200908 | PER    | 100                 | 100          | 100          | A      |
| 20200908 | PETQ   | 0                   | 681          | 841          | A      |
| 20200908 | PETS   | 0                   | 2001         | 2160         | A      |
| 20200908 | PEY    | 0                   | 100          | 200          | A      |
| 20200908 | PFE    | 0                   | 45649        | 82633        | A      |
| 20200908 | PFF    | 0                   | 6377         | 8389         | A      |
| 20200908 | PFG    | 0                   | 835          | 1607         | A      |
| 20200908 | PFGC   | 0                   | 1369         | 3507         | A      |
| 20200908 | PFHD   | 0                   | 100          | 330          | A      |
| 20200908 | PFLT   | 0                   | 100          | 100          | A      |
| 20200908 | PFMT   | 0                   | 100          | 100          | A      |
| 20200908 | PFNX   | 101                 | 16916        | 27602        | A      |
| 20200908 | PFPT   | 0                   | 294          | 876          | A      |
| 20200908 | PFS    | 0                   | 213          | 216          | A      |
| 20200908 | PFSI   | 0                   | 259          | 1527         | A      |
| 20200908 | PFSW   | 0                   | 79           | 440          | A      |
| 20200908 | PG     | 15                  | 19962        | 39493        | A      |
| 20200908 | PGEN   | 0                   | 365          | 1105         | A      |
| 20200908 | PGF    | 0                   | 7900         | 9900         | A      |
| 20200908 | PGNY   | 0                   | 400          | 542          | A      |
| 20200908 | PGR    | 140                 | 1497         | 3419         | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20200908 | PGRE    | 0                   | 6541         | 8267         | A      |
| 20200908 | PGTI    | 0                   | 191          | 393          | A      |
| 20200908 | PGX     | 0                   | 10605        | 22211        | A      |
| 20200908 | PH      | 52                  | 423          | 1036         | A      |
| 20200908 | PHAT    | 0                   | 1            | 4            | A      |
| 20200908 | PHB     | 0                   | 6164         | 29870        | A      |
| 20200908 | PHG     | 0                   | 30           | 30           | A      |
| 20200908 | PHGE    | 0                   | 309          | 736          | A      |
| 20200908 | PHGE WS | 0                   | 1009         | 8664         | A      |
| 20200908 | PHI     | 0                   | 100          | 162          | A      |
| 20200908 | PHM     | 0                   | 3241         | 10739        | A      |
| 20200908 | PHO     | 0                   | 539          | 557          | A      |
| 20200908 | PHR     | 0                   | 231          | 1228         | A      |
| 20200908 | PHUN    | 300                 | 600          | 909          | A      |
| 20200908 | PHX     | 0                   | 34           | 34           | A      |
| 20200908 | PHYS    | 0                   | 11682        | 12082        | A      |
| 20200908 | PI      | 0                   | 0            | 21           | A      |
| 20200908 | PICK    | 0                   | 420          | 640          | A      |
| 20200908 | PICO    | 0                   | 59           | 158          | A      |
| 20200908 | PII     | 0                   | 355          | 370          | A      |
| 20200908 | PILL    | 0                   | 10           | 10           | A      |
| 20200908 | PINC    | 0                   | 3423         | 5014         | A      |
| 20200908 | PINE    | 0                   | 0            | 200          | A      |
| 20200908 | PING    | 0                   | 5238         | 13528        | A      |
| 20200908 | PINS    | 0                   | 6283         | 12328        | A      |
| 20200908 | PIPR    | 0                   | 160          | 160          | A      |
| 20200908 | PIRS    | 0                   | 1244         | 1255         | A      |
| 20200908 | PIXY    | 0                   | 20           | 630          | A      |
| 20200908 | PJT     | 0                   | 20           | 20           | A      |
| 20200908 | PK      | 0                   | 10758        | 15565        | A      |
| 20200908 | PKBK    | 0                   | 50           | 50           | A      |
| 20200908 | PKE     | 0                   | 1            | 11           | A      |
| 20200908 | PKG     | 0                   | 508          | 548          | A      |
| 20200908 | PKI     | 0                   | 224          | 428          | A      |
| 20200908 | PKOH    | 0                   | 199          | 199          | A      |
| 20200908 | PKX     | 0                   | 264          | 364          | A      |
| 20200908 | PLAB    | 0                   | 112          | 6835         | A      |
| 20200908 | PLAG    | 5                   | 711          | 2867         | A      |
| 20200908 | PLAN    | 0                   | 7384         | 9593         | A      |
| 20200908 | PLAY    | 0                   | 1648         | 8739         | A      |
| 20200908 | PLCE    | 0                   | 666          | 748          | A      |
| 20200908 | PLD     | 0                   | 4684         | 13887        | A      |
| 20200908 | PLG     | 807                 | 5539         | 16182        | A      |
| 20200908 | PLIN    | 0                   | 100          | 100          | A      |

| Date     | Symbol   | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|----------|---------------------|--------------|--------------|--------|
| 20200908 | PLM      | 86642               | 158708       | 261316       | A      |
| 20200908 | PLMR     | 0                   | 514          | 1829         | A      |
| 20200908 | PLNT     | 0                   | 1421         | 2709         | A      |
| 20200908 | PLOW     | 0                   | 0            | 2            | A      |
| 20200908 | PLPC     | 0                   | 23           | 66           | A      |
| 20200908 | PLRX     | 0                   | 0            | 187          | A      |
| 20200908 | PLSE     | 0                   | 300          | 300          | A      |
| 20200908 | PLT      | 0                   | 4482         | 6388         | A      |
| 20200908 | PLUG     | 500                 | 24940        | 39515        | A      |
| 20200908 | PLUS     | 0                   | 200          | 200          | A      |
| 20200908 | PLX      | 0                   | 2660         | 9564         | A      |
| 20200908 | PLXS     | 0                   | 209          | 209          | A      |
| 20200908 | PLYA     | 0                   | 0            | 58           | A      |
| 20200908 | PLYM     | 0                   | 703          | 1413         | A      |
| 20200908 | PLYM PRA | 0                   | 0            | 441          | A      |
| 20200908 | PM       | 49                  | 8542         | 10741        | A      |
| 20200908 | PMT      | 0                   | 143          | 151          | A      |
| 20200908 | PNC      | 0                   | 1681         | 3534         | A      |
| 20200908 | PNFP     | 0                   | 1            | 9            | A      |
| 20200908 | PNM      | 0                   | 327          | 328          | A      |
| 20200908 | PNNT     | 0                   | 0            | 100          | A      |
| 20200908 | PNR      | 10                  | 884          | 9413         | A      |
| 20200908 | PNTG     | 0                   | 208          | 389          | A      |
| 20200908 | PNW      | 24                  | 1007         | 1448         | A      |
| 20200908 | PODD     | 0                   | 2            | 5            | A      |
| 20200908 | POLA     | 0                   | 100          | 100          | A      |
| 20200908 | POOL     | 0                   | 62           | 524          | A      |
| 20200908 | POR      | 0                   | 212          | 243          | A      |
| 20200908 | POST     | 0                   | 112          | 270          | A      |
| 20200908 | POWI     | 0                   | 15           | 91           | A      |
| 20200908 | PPBI     | 0                   | 243          | 1318         | A      |
| 20200908 | PPC      | 101                 | 1152         | 2202         | A      |
| 20200908 | PPD      | 0                   | 1075         | 2248         | A      |
| 20200908 | PPG      | 0                   | 2534         | 2926         | A      |
| 20200908 | PPL      | 0                   | 27779        | 32583        | A      |
| 20200908 | PPLT     | 0                   | 3            | 3            | A      |
| 20200908 | PPT      | 0                   | 100          | 100          | A      |
| 20200908 | PQG      | 0                   | 0            | 391          | A      |
| 20200908 | PRA      | 0                   | 0            | 115          | A      |
| 20200908 | PRAA     | 0                   | 893          | 893          | A      |
| 20200908 | PRAH     | 0                   | 0            | 100          | A      |
| 20200908 | PRDO     | 0                   | 15           | 186          | A      |
| 20200908 | PRE PRG  | 0                   | 0            | 46           | A      |
| 20200908 | PRF      | 0                   | 1880         | 2190         | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20200908 | PRFT    | 0                   | 140          | 140          | A      |
| 20200908 | PRFX    | 0                   | 200          | 200          | A      |
| 20200908 | PRFZ    | 0                   | 100          | 100          | A      |
| 20200908 | PRGO    | 0                   | 2094         | 2709         | A      |
| 20200908 | PRGS    | 0                   | 6            | 6            | A      |
| 20200908 | PRI     | 0                   | 116          | 156          | A      |
| 20200908 | PRIM    | 0                   | 138          | 257          | A      |
| 20200908 | PRK     | 210                 | 11217        | 22017        | A      |
| 20200908 | PRLB    | 0                   | 52           | 125          | A      |
| 20200908 | PRMW    | 0                   | 4246         | 8405         | A      |
| 20200908 | PRNB    | 62                  | 1988         | 3752         | A      |
| 20200908 | PRO     | 0                   | 783          | 1122         | A      |
| 20200908 | PROF    | 0                   | 0            | 160          | A      |
| 20200908 | PROG    | 0                   | 0            | 13           | A      |
| 20200908 | PRPL    | 0                   | 400          | 7258         | A      |
| 20200908 | PRPO    | 0                   | 359          | 759          | A      |
| 20200908 | PRQR    | 0                   | 100          | 108          | A      |
| 20200908 | PRSC    | 0                   | 12           | 12           | A      |
| 20200908 | PRSP    | 0                   | 1712         | 3062         | A      |
| 20200908 | PRTA    | 0                   | 0            | 849          | A      |
| 20200908 | PRTH    | 227                 | 330          | 330          | A      |
| 20200908 | PRTK    | 0                   | 410          | 516          | A      |
| 20200908 | PRTS    | 100                 | 2800         | 3907         | A      |
| 20200908 | PRTY    | 0                   | 2900         | 3340         | A      |
| 20200908 | PRU     | 0                   | 6720         | 9992         | A      |
| 20200908 | PRVB    | 0                   | 186          | 933          | A      |
| 20200908 | PRVL    | 0                   | 200          | 300          | A      |
| 20200908 | PS      | 0                   | 1297         | 3224         | A      |
| 20200908 | PSA     | 0                   | 1812         | 3385         | A      |
| 20200908 | PSA PRF | 0                   | 0            | 10           | A      |
| 20200908 | PSB     | 0                   | 100          | 100          | A      |
| 20200908 | PSEC    | 0                   | 1092         | 1193         | A      |
| 20200908 | PSK     | 0                   | 381          | 1381         | A      |
| 20200908 | PSLV    | 0                   | 2608         | 6708         | A      |
| 20200908 | PSMT    | 0                   | 210          | 310          | A      |
| 20200908 | PSN     | 0                   | 1113         | 1633         | A      |
| 20200908 | PSNL    | 17                  | 180          | 1114         | A      |
| 20200908 | PSO     | 0                   | 1144         | 1458         | A      |
| 20200908 | PSQ     | 1                   | 143642       | 217943       | A      |
| 20200908 | PST     | 0                   | 0            | 100          | A      |
| 20200908 | PSTG    | 0                   | 23421        | 30440        | A      |
| 20200908 | PSTH U  | 0                   | 100          | 675          | A      |
| 20200908 | PSTI    | 0                   | 200          | 200          | A      |
| 20200908 | PSTL    | 0                   | 100          | 200          | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | PSTX   | 0                   | 39           | 234          | A      |
| 20200908 | PSV    | 100                 | 100          | 900          | A      |
| 20200908 | PSX    | 0                   | 4217         | 7565         | A      |
| 20200908 | PSXP   | 0                   | 113          | 113          | A      |
| 20200908 | PTAC   | 0                   | 100          | 100          | A      |
| 20200908 | PTC    | 0                   | 110          | 540          | A      |
| 20200908 | PTCT   | 0                   | 123          | 223          | A      |
| 20200908 | PTE    | 0                   | 500          | 600          | A      |
| 20200908 | PTEN   | 0                   | 5457         | 8057         | A      |
| 20200908 | PTGX   | 0                   | 24           | 24           | A      |
| 20200908 | PTH    | 0                   | 170          | 180          | A      |
| 20200908 | PTI    | 200                 | 766          | 952          | A      |
| 20200908 | PTK U  | 0                   | 0            | 1000         | A      |
| 20200908 | PTLC   | 0                   | 200          | 700          | A      |
| 20200908 | PTMN   | 0                   | 0            | 100          | A      |
| 20200908 | PTN    | 11669               | 107523       | 188413       | A      |
| 20200908 | PTNQ   | 0                   | 0            | 60           | A      |
| 20200908 | PTON   | 12534               | 23503        | 49659        | A      |
| 20200908 | PUK    | 0                   | 0            | 8            | A      |
| 20200908 | PULM   | 300                 | 300          | 700          | A      |
| 20200908 | PULS   | 0                   | 100          | 100          | A      |
| 20200908 | PUMP   | 0                   | 83           | 422          | A      |
| 20200908 | PVAC   | 0                   | 16           | 221          | A      |
| 20200908 | PVBC   | 0                   | 328          | 528          | A      |
| 20200908 | PVG    | 400                 | 14097        | 17232        | A      |
| 20200908 | PVH    | 0                   | 373          | 974          | A      |
| 20200908 | PW     | 1366                | 1914         | 5308         | A      |
| 20200908 | PWFL   | 0                   | 0            | 400          | A      |
| 20200908 | PWR    | 0                   | 795          | 1053         | A      |
| 20200908 | PWV    | 0                   | 252          | 252          | A      |
| 20200908 | PXD    | 0                   | 2993         | 4900         | A      |
| 20200908 | PXH    | 100                 | 1400         | 4600         | A      |
| 20200908 | PXLW   | 0                   | 0            | 200          | A      |
| 20200908 | PYPL   | 1135                | 5808         | 21662        | A      |
| 20200908 | PZG    | 119                 | 11861        | 49313        | A      |
| 20200908 | PZZA   | 0                   | 8115         | 8265         | A      |
| 20200908 | QADA   | 0                   | 3            | 3            | A      |
| 20200908 | QCLN   | 0                   | 300          | 300          | A      |
| 20200908 | QCOM   | 89                  | 20141        | 40931        | A      |
| 20200908 | QCRH   | 0                   | 28           | 28           | A      |
| 20200908 | QD     | 0                   | 1200         | 1545         | A      |
| 20200908 | QDEL   | 0                   | 820          | 1324         | A      |
| 20200908 | QEP    | 706                 | 4344         | 6065         | A      |
| 20200908 | QFIN   | 0                   | 1117         | 2864         | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20200908 | QGEN    | 36                  | 2349         | 3840         | A      |
| 20200908 | QID     | 3035                | 204380       | 487479       | A      |
| 20200908 | QIWI    | 0                   | 11           | 11           | A      |
| 20200908 | QLD     | 0                   | 12899        | 73757        | A      |
| 20200908 | QLGN    | 0                   | 200          | 553          | A      |
| 20200908 | QLYS    | 0                   | 517          | 1681         | A      |
| 20200908 | QMCO    | 0                   | 1            | 1            | A      |
| 20200908 | QNST    | 0                   | 106          | 246          | A      |
| 20200908 | QQEW    | 0                   | 153          | 243          | A      |
| 20200908 | QQQ     | 400                 | 305737       | 368786       | A      |
| 20200908 | QRTEA   | 0                   | 8334         | 12627        | A      |
| 20200908 | QRVO    | 0                   | 1012         | 1270         | A      |
| 20200908 | QSR     | 104                 | 13376        | 14761        | A      |
| 20200908 | QTEC    | 0                   | 530          | 1030         | A      |
| 20200908 | QTNT    | 0                   | 1443         | 2570         | A      |
| 20200908 | QTRX    | 0                   | 1            | 351          | A      |
| 20200908 | QTS     | 0                   | 85           | 86           | A      |
| 20200908 | QTS PRB | 0                   | 1464         | 1756         | A      |
| 20200908 | QTT     | 0                   | 404          | 904          | A      |
| 20200908 | QTWO    | 0                   | 636          | 3198         | A      |
| 20200908 | QUAD    | 0                   | 1            | 1            | A      |
| 20200908 | QUAL    | 0                   | 17165        | 20178        | A      |
| 20200908 | QUIK    | 0                   | 178          | 178          | A      |
| 20200908 | QUMU    | 0                   | 0            | 84           | A      |
| 20200908 | QUOT    | 0                   | 404          | 535          | A      |
| 20200908 | QURE    | 0                   | 221          | 731          | A      |
| 20200908 | QYLD    | 100                 | 1061         | 1090         | A      |
| 20200908 | R       | 0                   | 110          | 643          | A      |
| 20200908 | RACE    | 0                   | 3            | 13           | A      |
| 20200908 | RAD     | 0                   | 2747         | 3572         | A      |
| 20200908 | RADA    | 0                   | 0            | 17           | A      |
| 20200908 | RAIL    | 0                   | 193          | 193          | A      |
| 20200908 | RAMP    | 0                   | 1395         | 1639         | A      |
| 20200908 | RAPT    | 0                   | 253          | 313          | A      |
| 20200908 | RARE    | 0                   | 0            | 100          | A      |
| 20200908 | RAVE    | 100                 | 400          | 1000         | A      |
| 20200908 | RAVN    | 0                   | 500          | 700          | A      |
| 20200908 | RBA     | 200                 | 824          | 2180         | A      |
| 20200908 | RBB     | 0                   | 0            | 100          | A      |
| 20200908 | RBBN    | 0                   | 264          | 1254         | A      |
| 20200908 | RBC     | 0                   | 235          | 343          | A      |
| 20200908 | RC      | 0                   | 278          | 1268         | A      |
| 20200908 | RCEL    | 0                   | 469          | 1487         | A      |
| 20200908 | RCG     | 0                   | 0            | 3412         | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | RCI    | 200                 | 2915         | 4890         | A      |
| 20200908 | RCII   | 100                 | 2681         | 5688         | A      |
| 20200908 | RCKT   | 9                   | 68           | 201          | A      |
| 20200908 | RCL    | 0                   | 6055         | 11903        | A      |
| 20200908 | RCM    | 36                  | 2392         | 12233        | A      |
| 20200908 | RCUS   | 0                   | 116          | 147          | A      |
| 20200908 | RDFN   | 251                 | 804          | 7549         | A      |
| 20200908 | RDHL   | 717                 | 10987        | 11295        | A      |
| 20200908 | RDI    | 0                   | 0            | 28           | A      |
| 20200908 | RDIV   | 0                   | 620          | 620          | A      |
| 20200908 | RDN    | 0                   | 1221         | 1923         | A      |
| 20200908 | RDNT   | 0                   | 41           | 43           | A      |
| 20200908 | RDS A  | 0                   | 9255         | 14900        | A      |
| 20200908 | RDS B  | 0                   | 4779         | 6677         | A      |
| 20200908 | RDUS   | 0                   | 414          | 443          | A      |
| 20200908 | RDVT   | 0                   | 4            | 104          | A      |
| 20200908 | RDVY   | 0                   | 900          | 1066         | A      |
| 20200908 | RDWR   | 0                   | 327          | 678          | A      |
| 20200908 | RDY    | 0                   | 254          | 254          | A      |
| 20200908 | RE     | 0                   | 467          | 921          | A      |
| 20200908 | REAL   | 0                   | 1452         | 3618         | A      |
| 20200908 | REET   | 0                   | 5578         | 6403         | A      |
| 20200908 | REFR   | 0                   | 517          | 607          | A      |
| 20200908 | REG    | 0                   | 110          | 1919         | A      |
| 20200908 | REGI   | 0                   | 364          | 470          | A      |
| 20200908 | REGN   | 6                   | 871          | 1433         | A      |
| 20200908 | REI    | 4731                | 80032        | 121773       | A      |
| 20200908 | REKR   | 0                   | 0            | 300          | A      |
| 20200908 | RELX   | 0                   | 411          | 664          | A      |
| 20200908 | REM    | 0                   | 6214         | 7614         | A      |
| 20200908 | REMX   | 0                   | 100          | 260          | A      |
| 20200908 | RENN   | 0                   | 0            | 100          | A      |
| 20200908 | REPH   | 100                 | 200          | 269          | A      |
| 20200908 | REPL   | 0                   | 519          | 519          | A      |
| 20200908 | RES    | 0                   | 2140         | 4988         | A      |
| 20200908 | RESI   | 0                   | 192          | 647          | A      |
| 20200908 | RESN   | 0                   | 200          | 200          | A      |
| 20200908 | RETA   | 0                   | 14           | 174          | A      |
| 20200908 | REV    | 0                   | 200          | 302          | A      |
| 20200908 | REVG   | 0                   | 499          | 604          | A      |
| 20200908 | REX    | 0                   | 23           | 23           | A      |
| 20200908 | REXR   | 0                   | 25           | 325          | A      |
| 20200908 | REYN   | 0                   | 1914         | 2007         | A      |
| 20200908 | REZI   | 0                   | 351          | 351          | A      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | RF     | 0                   | 52660        | 78483        | A      |
| 20200908 | RF PRB | 0                   | 0            | 49           | A      |
| 20200908 | RFP    | 0                   | 200          | 200          | A      |
| 20200908 | RGA    | 0                   | 627          | 642          | A      |
| 20200908 | RGEN   | 0                   | 100          | 100          | A      |
| 20200908 | RGLD   | 0                   | 462          | 808          | A      |
| 20200908 | RGLS   | 0                   | 0            | 100          | A      |
| 20200908 | RGNX   | 4                   | 307          | 515          | A      |
| 20200908 | RGP    | 0                   | 244          | 337          | A      |
| 20200908 | RGR    | 0                   | 213          | 213          | A      |
| 20200908 | RGS    | 0                   | 1400         | 2214         | A      |
| 20200908 | RH     | 0                   | 749          | 1017         | A      |
| 20200908 | RHE    | 107                 | 17572        | 19976        | A      |
| 20200908 | RHI    | 0                   | 935          | 1240         | A      |
| 20200908 | RHP    | 0                   | 230          | 230          | A      |
| 20200908 | RIBT   | 0                   | 0            | 100          | A      |
| 20200908 | RICK   | 0                   | 518          | 538          | A      |
| 20200908 | RIG    | 6385                | 38685        | 77155        | A      |
| 20200908 | RIGL   | 0                   | 9534         | 14306        | A      |
| 20200908 | RILY   | 0                   | 106          | 415          | A      |
| 20200908 | RIO    | 0                   | 599          | 1562         | A      |
| 20200908 | RIOT   | 628                 | 7972         | 12957        | A      |
| 20200908 | RKDA   | 0                   | 348          | 448          | A      |
| 20200908 | RKT    | 282                 | 3480         | 9924         | A      |
| 20200908 | RL     | 0                   | 1627         | 4363         | A      |
| 20200908 | RLAY   | 0                   | 0            | 106          | A      |
| 20200908 | RLGT   | 0                   | 4984         | 15526        | A      |
| 20200908 | RLGY   | 0                   | 909          | 1146         | A      |
| 20200908 | RLI    | 0                   | 310          | 310          | A      |
| 20200908 | RLJ    | 0                   | 228          | 783          | A      |
| 20200908 | RLMD   | 0                   | 0            | 79           | A      |
| 20200908 | RMBS   | 0                   | 1498         | 3354         | A      |
| 20200908 | RMD    | 0                   | 2157         | 2632         | A      |
| 20200908 | RMED   | 0                   | 100          | 1500         | A      |
| 20200908 | RMRM   | 0                   | 100          | 200          | A      |
| 20200908 | RMT    | 0                   | 0            | 500          | A      |
| 20200908 | RMTI   | 0                   | 600          | 700          | A      |
| 20200908 | RNA    | 0                   | 397          | 1003         | A      |
| 20200908 | RNDB   | 0                   | 0            | 100          | A      |
| 20200908 | RNET   | 0                   | 6            | 176          | A      |
| 20200908 | RNG    | 15                  | 839          | 1403         | A      |
| 20200908 | RNR    | 0                   | 18           | 137          | A      |
| 20200908 | RNST   | 0                   | 1736         | 1748         | A      |
| 20200908 | ROAD   | 0                   | 619          | 1001         | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | ROBT   | 0                   | 5            | 5            | A      |
| 20200908 | ROCK   | 0                   | 10           | 117          | A      |
| 20200908 | RODM   | 0                   | 2933         | 4433         | A      |
| 20200908 | ROG    | 0                   | 100          | 100          | A      |
| 20200908 | ROIC   | 0                   | 274          | 512          | A      |
| 20200908 | ROK    | 0                   | 708          | 1369         | A      |
| 20200908 | ROKU   | 0                   | 8603         | 17489        | A      |
| 20200908 | ROL    | 0                   | 663          | 1904         | A      |
| 20200908 | ROLL   | 0                   | 0            | 6            | A      |
| 20200908 | ROM    | 0                   | 42           | 142          | A      |
| 20200908 | ROP    | 0                   | 1152         | 2841         | A      |
| 20200908 | ROST   | 0                   | 1278         | 7229         | A      |
| 20200908 | RP     | 0                   | 200          | 4201         | A      |
| 20200908 | RPAI   | 0                   | 5037         | 6287         | A      |
| 20200908 | RPAR   | 0                   | 76           | 76           | A      |
| 20200908 | RPAY   | 0                   | 315          | 495          | A      |
| 20200908 | RPD    | 0                   | 862          | 965          | A      |
| 20200908 | RPG    | 0                   | 100          | 100          | A      |
| 20200908 | RPM    | 0                   | 977          | 1257         | A      |
| 20200908 | RPRX   | 0                   | 340          | 1002         | A      |
| 20200908 | RPT    | 0                   | 200          | 200          | A      |
| 20200908 | RPV    | 0                   | 500          | 1260         | A      |
| 20200908 | RQI    | 0                   | 500          | 500          | A      |
| 20200908 | RRBI   | 0                   | 282          | 282          | A      |
| 20200908 | RRC    | 0                   | 14493        | 19466        | A      |
| 20200908 | RRD    | 200                 | 3799         | 6304         | A      |
| 20200908 | RRGB   | 0                   | 390          | 1095         | A      |
| 20200908 | RRR    | 0                   | 605          | 3268         | A      |
| 20200908 | RS     | 0                   | 302          | 305          | A      |
| 20200908 | RSG    | 0                   | 880          | 1558         | A      |
| 20200908 | RSP    | 0                   | 8185         | 11426        | A      |
| 20200908 | RSSS   | 0                   | 200          | 700          | A      |
| 20200908 | RST    | 0                   | 2145         | 3825         | A      |
| 20200908 | RSX    | 515                 | 36686        | 43643        | A      |
| 20200908 | RTL    | 0                   | 196          | 196          | A      |
| 20200908 | RTRX   | 0                   | 317          | 321          | A      |
| 20200908 | RTX    | 0                   | 10628        | 24597        | A      |
| 20200908 | RUBY   | 0                   | 515          | 725          | A      |
| 20200908 | RUHN   | 0                   | 200          | 200          | A      |
| 20200908 | RUN    | 3                   | 969          | 4610         | A      |
| 20200908 | RUSHA  | 0                   | 390          | 393          | A      |
| 20200908 | RUSHB  | 0                   | 91           | 292          | A      |
| 20200908 | RUSL   | 0                   | 100          | 700          | A      |
| 20200908 | RUTH   | 0                   | 721          | 1494         | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | RVI    | 0                   | 82           | 90           | A      |
| 20200908 | RVLV   | 6                   | 126          | 1382         | A      |
| 20200908 | RVMD   | 0                   | 910          | 1662         | A      |
| 20200908 | RVNC   | 0                   | 1            | 111          | A      |
| 20200908 | RVP    | 3258                | 21643        | 43899        | A      |
| 20200908 | RVT    | 0                   | 500          | 700          | A      |
| 20200908 | RWM    | 0                   | 7260         | 18260        | A      |
| 20200908 | RWO    | 0                   | 700          | 800          | A      |
| 20200908 | RWR    | 0                   | 100          | 100          | A      |
| 20200908 | RWT    | 100                 | 3594         | 5599         | A      |
| 20200908 | RWX    | 0                   | 8772         | 10342        | A      |
| 20200908 | RXN    | 0                   | 10           | 519          | A      |
| 20200908 | RXT    | 100                 | 1865         | 3797         | A      |
| 20200908 | RY     | 0                   | 1191         | 3354         | A      |
| 20200908 | RYAAY  | 0                   | 3127         | 11583        | A      |
| 20200908 | RYAM   | 0                   | 240          | 1038         | A      |
| 20200908 | RYI    | 0                   | 0            | 9            | A      |
| 20200908 | RYN    | 0                   | 100          | 100          | A      |
| 20200908 | RYTM   | 0                   | 201          | 201          | A      |
| 20200908 | RZA    | 0                   | 0            | 55           | A      |
| 20200908 | SA     | 0                   | 100          | 201          | A      |
| 20200908 | SABR   | 100                 | 15046        | 19812        | A      |
| 20200908 | SACC   | 0                   | 205          | 215          | A      |
| 20200908 | SACH   | 0                   | 2187         | 3076         | A      |
| 20200908 | SAFE   | 0                   | 725          | 730          | A      |
| 20200908 | SAFM   | 0                   | 0            | 12           | A      |
| 20200908 | SAFT   | 0                   | 22           | 22           | A      |
| 20200908 | SAGE   | 0                   | 4            | 1207         | A      |
| 20200908 | SAH    | 0                   | 422          | 483          | A      |
| 20200908 | SAIA   | 0                   | 450          | 541          | A      |
| 20200908 | SAIC   | 0                   | 2565         | 3109         | A      |
| 20200908 | SAIL   | 0                   | 3003         | 7659         | A      |
| 20200908 | SALT   | 0                   | 300          | 530          | A      |
| 20200908 | SAM    | 0                   | 210          | 210          | A      |
| 20200908 | SAMA   | 0                   | 0            | 100          | A      |
| 20200908 | SAN    | 14100               | 26145        | 28906        | A      |
| 20200908 | SAND   | 256                 | 6472         | 7658         | A      |
| 20200908 | SANM   | 0                   | 2753         | 2785         | A      |
| 20200908 | SANW   | 0                   | 0            | 100          | A      |
| 20200908 | SAP    | 0                   | 300          | 300          | A      |
| 20200908 | SASR   | 0                   | 23           | 23           | A      |
| 20200908 | SATS   | 0                   | 514          | 549          | A      |
| 20200908 | SAVE   | 300                 | 11442        | 16583        | A      |
| 20200908 | SB     | 0                   | 100          | 106          | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20200908 | SBAC    | 0                   | 721          | 921          | A      |
| 20200908 | SBBP    | 2695                | 2990         | 3373         | A      |
| 20200908 | SBCF    | 0                   | 2            | 2            | A      |
| 20200908 | SBE     | 100                 | 100          | 200          | A      |
| 20200908 | SBE WS  | 0                   | 0            | 5000         | A      |
| 20200908 | SBGI    | 0                   | 2282         | 4585         | A      |
| 20200908 | SBH     | 0                   | 2078         | 3679         | A      |
| 20200908 | SBLK    | 0                   | 0            | 400          | A      |
| 20200908 | SBNY    | 7                   | 71           | 88           | A      |
| 20200908 | SBOW    | 0                   | 300          | 300          | A      |
| 20200908 | SBPH    | 0                   | 0            | 100          | A      |
| 20200908 | SBRA    | 0                   | 7601         | 8325         | A      |
| 20200908 | SBS     | 700                 | 2863         | 5016         | A      |
| 20200908 | SBSW    | 100                 | 931          | 2412         | A      |
| 20200908 | SBUX    | 8                   | 8109         | 30823        | A      |
| 20200908 | SC      | 0                   | 1544         | 4862         | A      |
| 20200908 | SCCB    | 27                  | 27           | 227          | A      |
| 20200908 | SCCO    | 0                   | 807          | 21923        | A      |
| 20200908 | SCE PRB | 0                   | 0            | 160          | A      |
| 20200908 | SCE PRD | 0                   | 0            | 100          | A      |
| 20200908 | SCHA    | 0                   | 300          | 300          | A      |
| 20200908 | SCHB    | 0                   | 504          | 504          | A      |
| 20200908 | SCHC    | 0                   | 2489         | 2489         | A      |
| 20200908 | SCHD    | 0                   | 20241        | 30787        | A      |
| 20200908 | SCHE    | 43                  | 6040         | 6484         | A      |
| 20200908 | SCHF    | 50                  | 7594         | 10218        | A      |
| 20200908 | SCHG    | 0                   | 2498         | 2948         | A      |
| 20200908 | SCHH    | 0                   | 1400         | 3594         | A      |
| 20200908 | SCHK    | 0                   | 500          | 2200         | A      |
| 20200908 | SCHL    | 0                   | 699          | 854          | A      |
| 20200908 | SCHM    | 0                   | 975          | 1497         | A      |
| 20200908 | SCHN    | 0                   | 630          | 630          | A      |
| 20200908 | SCHP    | 0                   | 944          | 1064         | A      |
| 20200908 | SCHR    | 0                   | 200          | 200          | A      |
| 20200908 | SCHV    | 0                   | 4932         | 7932         | A      |
| 20200908 | SCHW    | 0                   | 7264         | 21761        | A      |
| 20200908 | SCHX    | 0                   | 12639        | 15028        | A      |
| 20200908 | SCHZ    | 0                   | 3400         | 7270         | A      |
| 20200908 | SCI     | 0                   | 1825         | 3143         | A      |
| 20200908 | SCL     | 0                   | 645          | 661          | A      |
| 20200908 | SCM     | 0                   | 171          | 309          | A      |
| 20200908 | SCO     | 0                   | 15645        | 111054       | A      |
| 20200908 | SCOR    | 0                   | 1519         | 1719         | A      |
| 20200908 | SCPH    | 0                   | 100          | 200          | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | SCPL   | 100                 | 303          | 756          | A      |
| 20200908 | SCS    | 0                   | 645          | 884          | A      |
| 20200908 | SCSC   | 0                   | 0            | 3            | A      |
| 20200908 | SCU    | 0                   | 105          | 105          | A      |
| 20200908 | SCVL   | 0                   | 540          | 807          | A      |
| 20200908 | SCYX   | 0                   | 1            | 1            | A      |
| 20200908 | SCZ    | 0                   | 2100         | 3500         | A      |
| 20200908 | SD     | 0                   | 0            | 57           | A      |
| 20200908 | SDC    | 0                   | 20900        | 30333        | A      |
| 20200908 | SDGR   | 23                  | 27           | 1497         | A      |
| 20200908 | SDIV   | 0                   | 1175         | 1476         | A      |
| 20200908 | SDOG   | 0                   | 432          | 432          | A      |
| 20200908 | SDOW   | 2800                | 72629        | 122968       | A      |
| 20200908 | SDPI   | 68                  | 2990         | 4593         | A      |
| 20200908 | SDS    | 4940                | 131846       | 210855       | A      |
| 20200908 | SDY    | 0                   | 903          | 1132         | A      |
| 20200908 | SE     | 0                   | 2724         | 4360         | A      |
| 20200908 | SEAC   | 0                   | 100          | 592          | A      |
| 20200908 | SEAS   | 0                   | 2208         | 6655         | A      |
| 20200908 | SEB    | 0                   | 37           | 94           | A      |
| 20200908 | SEDG   | 0                   | 302          | 2326         | A      |
| 20200908 | SEE    | 0                   | 1168         | 1975         | A      |
| 20200908 | SEEL   | 300                 | 300          | 600          | A      |
| 20200908 | SEIC   | 0                   | 670          | 753          | A      |
| 20200908 | SELB   | 0                   | 806          | 1011         | A      |
| 20200908 | SEM    | 0                   | 1810         | 2176         | A      |
| 20200908 | SENEA  | 0                   | 106          | 106          | A      |
| 20200908 | SENS   | 15856               | 50645        | 78151        | A      |
| 20200908 | SERV   | 0                   | 509          | 526          | A      |
| 20200908 | SESN   | 0                   | 100          | 200          | A      |
| 20200908 | SF     | 0                   | 100          | 100          | A      |
| 20200908 | SFBS   | 0                   | 70           | 70           | A      |
| 20200908 | SFE    | 0                   | 169          | 208          | A      |
| 20200908 | SFIX   | 0                   | 2877         | 3678         | A      |
| 20200908 | SFL    | 0                   | 201          | 3184         | A      |
| 20200908 | SFM    | 0                   | 12746        | 15006        | A      |
| 20200908 | SFNC   | 0                   | 251          | 257          | A      |
| 20200908 | SGBX   | 0                   | 100          | 100          | A      |
| 20200908 | SGEN   | 0                   | 402          | 434          | A      |
| 20200908 | SGH    | 0                   | 0            | 200          | A      |
| 20200908 | SGLB   | 0                   | 200          | 300          | A      |
| 20200908 | SGMO   | 0                   | 766          | 2144         | A      |
| 20200908 | SGMS   | 0                   | 1507         | 1510         | A      |
| 20200908 | SGOL   | 0                   | 1158         | 11966        | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20200908 | SGRY    | 0                   | 10           | 128          | A      |
| 20200908 | SH      | 5000                | 181966       | 184527       | A      |
| 20200908 | SHAK    | 0                   | 635          | 726          | A      |
| 20200908 | SHEN    | 0                   | 104          | 114          | A      |
| 20200908 | SHG     | 0                   | 0            | 4            | A      |
| 20200908 | SHIP    | 0                   | 500          | 700          | A      |
| 20200908 | SHLL    | 302                 | 653          | 2653         | A      |
| 20200908 | SHLL U  | 100                 | 100          | 200          | A      |
| 20200908 | SHLL WS | 0                   | 50           | 50           | A      |
| 20200908 | SHLO    | 0                   | 1300         | 5390         | A      |
| 20200908 | SHLX    | 0                   | 2109         | 5273         | A      |
| 20200908 | SHO     | 0                   | 15745        | 19047        | A      |
| 20200908 | SHOO    | 0                   | 1488         | 1859         | A      |
| 20200908 | SHOP    | 9                   | 275          | 1633         | A      |
| 20200908 | SHSP    | 0                   | 0            | 136          | A      |
| 20200908 | SHW     | 0                   | 1013         | 1757         | A      |
| 20200908 | SHY     | 0                   | 0            | 1            | A      |
| 20200908 | SHYF    | 0                   | 73           | 143          | A      |
| 20200908 | SHYG    | 0                   | 12410        | 47710        | A      |
| 20200908 | SI      | 0                   | 404          | 416          | A      |
| 20200908 | SID     | 0                   | 1996         | 3796         | A      |
| 20200908 | SIEN    | 0                   | 698          | 799          | A      |
| 20200908 | SIF     | 0                   | 134          | 753          | A      |
| 20200908 | SIG     | 100                 | 9711         | 15712        | A      |
| 20200908 | SIGA    | 0                   | 100          | 100          | A      |
| 20200908 | SIGI    | 0                   | 264          | 1777         | A      |
| 20200908 | SII     | 0                   | 185          | 185          | A      |
| 20200908 | SIL     | 0                   | 135          | 235          | A      |
| 20200908 | SILC    | 0                   | 0            | 5            | A      |
| 20200908 | SILJ    | 0                   | 1225         | 2025         | A      |
| 20200908 | SILK    | 0                   | 304          | 314          | A      |
| 20200908 | SILV    | 14226               | 70462        | 96208        | A      |
| 20200908 | SIM     | 0                   | 9            | 17           | A      |
| 20200908 | SIMO    | 0                   | 110          | 110          | A      |
| 20200908 | SINA    | 0                   | 357          | 822          | A      |
| 20200908 | SINT    | 0                   | 300          | 5700         | A      |
| 20200908 | SIRI    | 2100                | 103274       | 159874       | A      |
| 20200908 | SITC    | 0                   | 5742         | 11246        | A      |
| 20200908 | SITE    | 0                   | 30           | 40           | A      |
| 20200908 | SITM    | 0                   | 0            | 81           | A      |
| 20200908 | SIVB    | 0                   | 339          | 515          | A      |
| 20200908 | SIVBP   | 0                   | 0            | 9            | A      |
| 20200908 | SIVR    | 0                   | 8801         | 9001         | A      |
| 20200908 | SIX     | 45                  | 14394        | 18012        | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | SJB    | 0                   | 866          | 1447         | A      |
| 20200908 | SJI    | 0                   | 598          | 2448         | A      |
| 20200908 | SJIJ   | 0                   | 0            | 43           | A      |
| 20200908 | SJM    | 18                  | 2328         | 3497         | A      |
| 20200908 | SJNK   | 0                   | 14091        | 95983        | A      |
| 20200908 | SJR    | 206                 | 7266         | 10703        | A      |
| 20200908 | SJW    | 0                   | 110          | 113          | A      |
| 20200908 | SKM    | 0                   | 120          | 150          | A      |
| 20200908 | SKT    | 0                   | 2293         | 2513         | A      |
| 20200908 | SKX    | 0                   | 2176         | 10970        | A      |
| 20200908 | SKY    | 0                   | 351          | 522          | A      |
| 20200908 | SKYW   | 0                   | 310          | 310          | A      |
| 20200908 | SKYY   | 0                   | 1055         | 1328         | A      |
| 20200908 | SLAB   | 0                   | 1            | 301          | A      |
| 20200908 | SLB    | 1                   | 67696        | 118042       | A      |
| 20200908 | SLCA   | 335                 | 454          | 2029         | A      |
| 20200908 | SLDB   | 0                   | 108          | 508          | A      |
| 20200908 | SLF    | 0                   | 1315         | 2225         | A      |
| 20200908 | SLG    | 23                  | 2691         | 2874         | A      |
| 20200908 | SLGG   | 0                   | 100          | 100          | A      |
| 20200908 | SLGN   | 0                   | 94           | 123          | A      |
| 20200908 | SLM    | 120                 | 9249         | 16626        | A      |
| 20200908 | SLNO   | 0                   | 2532         | 3299         | A      |
| 20200908 | SLP    | 0                   | 108          | 801          | A      |
| 20200908 | SLQD   | 0                   | 151          | 386          | A      |
| 20200908 | SLQT   | 0                   | 590          | 1195         | A      |
| 20200908 | SLRC   | 0                   | 110          | 1510         | A      |
| 20200908 | SLRX   | 100                 | 160          | 160          | A      |
| 20200908 | SLS    | 0                   | 239          | 239          | A      |
| 20200908 | SLV    | 2208                | 295903       | 480700       | A      |
| 20200908 | SLY    | 0                   | 20           | 20           | A      |
| 20200908 | SLYG   | 0                   | 100          | 100          | A      |
| 20200908 | SLYV   | 0                   | 150          | 150          | A      |
| 20200908 | SM     | 106                 | 4095         | 6095         | A      |
| 20200908 | SMAR   | 0                   | 1417         | 3432         | A      |
| 20200908 | SMBC   | 0                   | 4            | 4            | A      |
| 20200908 | SMCI   | 0                   | 331          | 335          | A      |
| 20200908 | SMED   | 0                   | 400          | 600          | A      |
| 20200908 | SMFG   | 0                   | 9433         | 14252        | A      |
| 20200908 | SMG    | 0                   | 1259         | 3883         | A      |
| 20200908 | SMH    | 0                   | 12028        | 22037        | A      |
| 20200908 | SMMF   | 0                   | 92           | 132          | A      |
| 20200908 | SMP    | 0                   | 202          | 202          | A      |
| 20200908 | SMPL   | 0                   | 2            | 260          | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20200908 | SMSI    | 0                   | 0            | 300          | A      |
| 20200908 | SMTC    | 0                   | 1015         | 1018         | A      |
| 20200908 | SMTS    | 1358                | 2917         | 5505         | A      |
| 20200908 | SMTX    | 0                   | 100          | 200          | A      |
| 20200908 | SNA     | 0                   | 117          | 147          | A      |
| 20200908 | SNAP    | 2722                | 34512        | 51934        | A      |
| 20200908 | SNBR    | 0                   | 377          | 396          | A      |
| 20200908 | SNCA    | 0                   | 0            | 5            | A      |
| 20200908 | SNCR    | 0                   | 0            | 500          | A      |
| 20200908 | SNDR    | 0                   | 927          | 1227         | A      |
| 20200908 | SNDX    | 0                   | 103          | 203          | A      |
| 20200908 | SNE     | 0                   | 423          | 2462         | A      |
| 20200908 | SNEX    | 0                   | 11           | 11           | A      |
| 20200908 | SNFCA   | 0                   | 100          | 100          | A      |
| 20200908 | SNGX    | 0                   | 0            | 100          | A      |
| 20200908 | SNMP    | 5529                | 8782         | 9012         | A      |
| 20200908 | SNN     | 0                   | 100          | 1000         | A      |
| 20200908 | SNP     | 0                   | 99           | 360          | A      |
| 20200908 | SNPS    | 0                   | 1396         | 4476         | A      |
| 20200908 | SNR     | 0                   | 3828         | 4738         | A      |
| 20200908 | SNSR    | 0                   | 849          | 849          | A      |
| 20200908 | SNSS    | 728                 | 728          | 1228         | A      |
| 20200908 | SNV     | 0                   | 5083         | 8059         | A      |
| 20200908 | SNV PRD | 0                   | 0            | 58           | A      |
| 20200908 | SNX     | 0                   | 151          | 804          | A      |
| 20200908 | SNY     | 0                   | 100          | 700          | A      |
| 20200908 | SO      | 0                   | 10785        | 19657        | A      |
| 20200908 | SOAC    | 0                   | 0            | 115          | A      |
| 20200908 | SOCL    | 0                   | 100          | 160          | A      |
| 20200908 | SOGO    | 0                   | 11101        | 14165        | A      |
| 20200908 | SOHO    | 0                   | 191          | 191          | A      |
| 20200908 | SOHU    | 0                   | 576          | 826          | A      |
| 20200908 | SOI     | 0                   | 22           | 221          | A      |
| 20200908 | SOJD    | 0                   | 0            | 100          | A      |
| 20200908 | SOLN    | 0                   | 2716         | 2718         | A      |
| 20200908 | SOLO    | 600                 | 2690         | 2990         | A      |
| 20200908 | SON     | 0                   | 386          | 735          | A      |
| 20200908 | SONA    | 0                   | 100          | 100          | A      |
| 20200908 | SONM    | 100                 | 300          | 1700         | A      |
| 20200908 | SONN    | 0                   | 100          | 100          | A      |
| 20200908 | SONO    | 0                   | 635          | 1843         | A      |
| 20200908 | SOXL    | 37                  | 1428         | 3196         | A      |
| 20200908 | SOXS    | 0                   | 20           | 679          | A      |
| 20200908 | SOXX    | 0                   | 1530         | 1595         | A      |



| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20200908 | SOYB    | 0                   | 0            | 100          | A      |
| 20200908 | SP      | 0                   | 9            | 167          | A      |
| 20200908 | SPAB    | 0                   | 60242        | 62282        | A      |
| 20200908 | SPAQ    | 0                   | 7650         | 13762        | A      |
| 20200908 | SPAQ WS | 0                   | 0            | 400          | A      |
| 20200908 | SPB     | 0                   | 207          | 250          | A      |
| 20200908 | SPCE    | 713                 | 3888         | 8756         | A      |
| 20200908 | SPDN    | 0                   | 100          | 400          | A      |
| 20200908 | SPDW    | 300                 | 4894         | 4923         | A      |
| 20200908 | SPEM    | 0                   | 304          | 647          | A      |
| 20200908 | SPFI    | 0                   | 100          | 367          | A      |
| 20200908 | SPG     | 0                   | 6902         | 10739        | A      |
| 20200908 | SPGI    | 0                   | 1280         | 2290         | A      |
| 20200908 | SPH     | 0                   | 87           | 87           | A      |
| 20200908 | SPHB    | 0                   | 600          | 700          | A      |
| 20200908 | SPHD    | 0                   | 2324         | 5379         | A      |
| 20200908 | SPHQ    | 0                   | 1816         | 4718         | A      |
| 20200908 | SPIB    | 0                   | 54392        | 59625        | A      |
| 20200908 | SPIP    | 0                   | 601          | 601          | A      |
| 20200908 | SPKE    | 0                   | 100          | 106          | A      |
| 20200908 | SPLB    | 0                   | 1013         | 5634         | A      |
| 20200908 | SPLG    | 0                   | 35371        | 55746        | A      |
| 20200908 | SPLK    | 23                  | 582          | 10306        | A      |
| 20200908 | SPLV    | 0                   | 12093        | 17493        | A      |
| 20200908 | SPMB    | 0                   | 600          | 700          | A      |
| 20200908 | SPMD    | 0                   | 7520         | 8021         | A      |
| 20200908 | SPNS    | 0                   | 168          | 169          | A      |
| 20200908 | SPOK    | 0                   | 100          | 200          | A      |
| 20200908 | SPOT    | 0                   | 3963         | 5470         | A      |
| 20200908 | SPPI    | 10                  | 110          | 13282        | A      |
| 20200908 | SPR     | 0                   | 9241         | 15211        | A      |
| 20200908 | SPRO    | 0                   | 100          | 220          | A      |
| 20200908 | SPRT    | 1900                | 4990         | 5000         | A      |
| 20200908 | SPSB    | 3300                | 3988         | 4388         | A      |
| 20200908 | SPSC    | 0                   | 581          | 591          | A      |
| 20200908 | SPT     | 0                   | 298          | 5674         | A      |
| 20200908 | SPTI    | 0                   | 770          | 770          | A      |
| 20200908 | SPTL    | 0                   | 2170         | 2170         | A      |
| 20200908 | SPTM    | 300                 | 6300         | 6400         | A      |
| 20200908 | SPTN    | 0                   | 18           | 10639        | A      |
| 20200908 | SPTS    | 0                   | 0            | 701          | A      |
| 20200908 | SPWH    | 201                 | 879          | 4295         | A      |
| 20200908 | SPWR    | 464                 | 18951        | 39964        | A      |
| 20200908 | SPXC    | 0                   | 26           | 26           | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | SPXL   | 0                   | 45424        | 92978        | A      |
| 20200908 | SPXS   | 24650               | 216683       | 390663       | A      |
| 20200908 | SPXU   | 45750               | 273289       | 368485       | A      |
| 20200908 | SPY    | 92                  | 791172       | 967168       | A      |
| 20200908 | SPYD   | 0                   | 9484         | 12430        | A      |
| 20200908 | SPYG   | 507                 | 20430        | 25099        | A      |
| 20200908 | SPYV   | 900                 | 23205        | 26689        | A      |
| 20200908 | SQ     | 212                 | 2003         | 8603         | A      |
| 20200908 | SQM    | 0                   | 627          | 1833         | A      |
| 20200908 | SQNS   | 0                   | 0            | 85           | A      |
| 20200908 | SQQQ   | 4300                | 72479        | 205383       | A      |
| 20200908 | SR     | 0                   | 108          | 120          | A      |
| 20200908 | SRAX   | 0                   | 310          | 410          | A      |
| 20200908 | SRC    | 0                   | 389          | 389          | A      |
| 20200908 | SRCE   | 0                   | 0            | 1            | A      |
| 20200908 | SRCL   | 0                   | 300          | 300          | A      |
| 20200908 | SRE    | 0                   | 2594         | 3876         | A      |
| 20200908 | SRET   | 0                   | 2            | 302          | A      |
| 20200908 | SRG    | 0                   | 566          | 980          | A      |
| 20200908 | SRGA   | 0                   | 0            | 400          | A      |
| 20200908 | SRI    | 0                   | 79           | 212          | A      |
| 20200908 | SRNE   | 1616                | 50760        | 77757        | A      |
| 20200908 | SRPT   | 0                   | 17           | 114          | A      |
| 20200908 | SRRK   | 0                   | 100          | 105          | A      |
| 20200908 | SRS    | 100                 | 1200         | 2070         | A      |
| 20200908 | SRTY   | 0                   | 20612        | 31015        | A      |
| 20200908 | SRVR   | 0                   | 10           | 16           | A      |
| 20200908 | SSD    | 0                   | 226          | 736          | A      |
| 20200908 | SSKN   | 100                 | 100          | 100          | A      |
| 20200908 | SSL    | 100                 | 819          | 945          | A      |
| 20200908 | SSNC   | 0                   | 6079         | 13621        | A      |
| 20200908 | SSNT   | 0                   | 100          | 200          | A      |
| 20200908 | SSO    | 600                 | 19989        | 146346       | A      |
| 20200908 | SSP    | 0                   | 113          | 113          | A      |
| 20200908 | SSRM   | 22                  | 1118         | 6774         | A      |
| 20200908 | SSSS   | 0                   | 100          | 100          | A      |
| 20200908 | SSTI   | 0                   | 128          | 135          | A      |
| 20200908 | SSTK   | 0                   | 364          | 416          | A      |
| 20200908 | SSY    | 20                  | 198          | 479          | A      |
| 20200908 | SSYS   | 0                   | 10           | 122          | A      |
| 20200908 | ST     | 20                  | 534          | 1050         | A      |
| 20200908 | STAA   | 0                   | 108          | 310          | A      |
| 20200908 | STAG   | 0                   | 14           | 18           | A      |
| 20200908 | STAR   | 0                   | 818          | 818          | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | STAY   | 0                   | 2727         | 3382         | A      |
| 20200908 | STBA   | 0                   | 0            | 102          | A      |
| 20200908 | STC    | 0                   | 1365         | 2424         | A      |
| 20200908 | STCN   | 0                   | 100          | 100          | A      |
| 20200908 | STE    | 0                   | 18           | 218          | A      |
| 20200908 | STFC   | 0                   | 6            | 18           | A      |
| 20200908 | STIM   | 0                   | 100          | 100          | A      |
| 20200908 | STIP   | 0                   | 100          | 100          | A      |
| 20200908 | STKL   | 0                   | 1042         | 1042         | A      |
| 20200908 | STKS   | 0                   | 147          | 147          | A      |
| 20200908 | STL    | 0                   | 1943         | 3024         | A      |
| 20200908 | STLD   | 0                   | 9772         | 11370        | A      |
| 20200908 | STM    | 0                   | 1116         | 4619         | A      |
| 20200908 | STMP   | 93                  | 1097         | 2373         | A      |
| 20200908 | STN    | 0                   | 0            | 106          | A      |
| 20200908 | STNE   | 0                   | 274          | 584          | A      |
| 20200908 | STNG   | 0                   | 1741         | 3615         | A      |
| 20200908 | STOK   | 0                   | 100          | 223          | A      |
| 20200908 | STON   | 0                   | 100          | 700          | A      |
| 20200908 | STOR   | 0                   | 1279         | 3214         | A      |
| 20200908 | STPK U | 0                   | 24           | 8324         | A      |
| 20200908 | STRA   | 0                   | 233          | 233          | A      |
| 20200908 | STRL   | 0                   | 0            | 1            | A      |
| 20200908 | STRM   | 0                   | 100          | 100          | A      |
| 20200908 | STRO   | 0                   | 200          | 869          | A      |
| 20200908 | STRS   | 0                   | 0            | 3            | A      |
| 20200908 | STSA   | 0                   | 100          | 100          | A      |
| 20200908 | STT    | 0                   | 4555         | 7550         | A      |
| 20200908 | STWD   | 0                   | 5632         | 7091         | A      |
| 20200908 | STX    | 35                  | 6457         | 12433        | A      |
| 20200908 | STXB   | 0                   | 5            | 10           | A      |
| 20200908 | STXS   | 342                 | 22155        | 34774        | A      |
| 20200908 | STZ    | 10                  | 716          | 1879         | A      |
| 20200908 | SU     | 1100                | 38887        | 61716        | A      |
| 20200908 | SUB    | 0                   | 100          | 100          | A      |
| 20200908 | SUI    | 0                   | 1324         | 1324         | A      |
| 20200908 | SUM    | 3                   | 1650         | 3346         | A      |
| 20200908 | SUN    | 0                   | 20           | 120          | A      |
| 20200908 | SUP    | 0                   | 0            | 1            | A      |
| 20200908 | SUPN   | 0                   | 212          | 1123         | A      |
| 20200908 | SUPV   | 0                   | 162          | 162          | A      |
| 20200908 | SURF   | 600                 | 840          | 2360         | A      |
| 20200908 | SUZ    | 801                 | 1701         | 1801         | A      |
| 20200908 | SVC    | 0                   | 2221         | 3274         | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20200908 | SVM     | 8380                | 93512        | 158338       | A      |
| 20200908 | SVMK    | 0                   | 564          | 898          | A      |
| 20200908 | SVRA    | 0                   | 0            | 100          | A      |
| 20200908 | SVT     | 0                   | 551          | 1183         | A      |
| 20200908 | SVXY    | 166                 | 13825        | 40341        | A      |
| 20200908 | SWAV    | 0                   | 200          | 2544         | A      |
| 20200908 | SWBI    | 0                   | 1218         | 2608         | A      |
| 20200908 | SWCH    | 0                   | 10070        | 12419        | A      |
| 20200908 | SWI     | 0                   | 1019         | 1759         | A      |
| 20200908 | SWIR    | 0                   | 100          | 507          | A      |
| 20200908 | SWK     | 0                   | 724          | 1730         | A      |
| 20200908 | SWKH    | 0                   | 14           | 214          | A      |
| 20200908 | SWKS    | 29                  | 2512         | 4778         | A      |
| 20200908 | SWM     | 0                   | 200          | 493          | A      |
| 20200908 | SWN     | 0                   | 47197        | 68808        | A      |
| 20200908 | SWTX    | 0                   | 97           | 437          | A      |
| 20200908 | SWX     | 0                   | 383          | 403          | A      |
| 20200908 | SXC     | 0                   | 610          | 610          | A      |
| 20200908 | SXI     | 0                   | 4            | 4            | A      |
| 20200908 | SXT     | 6                   | 390          | 394          | A      |
| 20200908 | SY      | 0                   | 236          | 588          | A      |
| 20200908 | SYBX    | 0                   | 200          | 200          | A      |
| 20200908 | SYF     | 0                   | 28613        | 48755        | A      |
| 20200908 | SYK     | 0                   | 3436         | 4249         | A      |
| 20200908 | SYKE    | 0                   | 64           | 237          | A      |
| 20200908 | SYN     | 28921               | 41465        | 77202        | A      |
| 20200908 | SYNA    | 0                   | 415          | 421          | A      |
| 20200908 | SYNC    | 0                   | 100          | 100          | A      |
| 20200908 | SYNH    | 0                   | 557          | 643          | A      |
| 20200908 | SYRS    | 5                   | 688          | 1373         | A      |
| 20200908 | SYX     | 0                   | 0            | 200          | A      |
| 20200908 | SYZ     | 105                 | 9162         | 16467        | A      |
| 20200908 | T       | 50                  | 49855        | 74378        | A      |
| 20200908 | TA      | 0                   | 100          | 500          | A      |
| 20200908 | TAC     | 0                   | 578          | 678          | A      |
| 20200908 | TACO    | 0                   | 122          | 122          | A      |
| 20200908 | TAIL    | 0                   | 100          | 100          | A      |
| 20200908 | TAK     | 0                   | 3177         | 6936         | A      |
| 20200908 | TAL     | 0                   | 1693         | 3567         | A      |
| 20200908 | TALO    | 0                   | 330          | 375          | A      |
| 20200908 | TALO WS | 186                 | 414          | 414          | A      |
| 20200908 | TAN     | 0                   | 100          | 156          | A      |
| 20200908 | TAP     | 0                   | 941          | 3896         | A      |
| 20200908 | TAST    | 0                   | 605          | 795          | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | TAT    | 270                 | 6500         | 91446        | A      |
| 20200908 | TBBK   | 0                   | 99           | 105          | A      |
| 20200908 | TBF    | 0                   | 500          | 2667         | A      |
| 20200908 | TBI    | 0                   | 110          | 310          | A      |
| 20200908 | TBIO   | 0                   | 600          | 1114         | A      |
| 20200908 | TBK    | 0                   | 23           | 29           | A      |
| 20200908 | TBPH   | 0                   | 634          | 985          | A      |
| 20200908 | TBT    | 0                   | 6168         | 23743        | A      |
| 20200908 | TCBI   | 0                   | 1186         | 1239         | A      |
| 20200908 | TCBK   | 0                   | 201          | 203          | A      |
| 20200908 | TCDA   | 0                   | 297          | 917          | A      |
| 20200908 | TCF    | 0                   | 5689         | 6058         | A      |
| 20200908 | TCFC   | 0                   | 1            | 1            | A      |
| 20200908 | TCMD   | 0                   | 486          | 545          | A      |
| 20200908 | TCO    | 37                  | 473          | 998          | A      |
| 20200908 | TCOM   | 0                   | 6587         | 7881         | A      |
| 20200908 | TCON   | 0                   | 343          | 619          | A      |
| 20200908 | TCP    | 0                   | 0            | 90           | A      |
| 20200908 | TCPC   | 0                   | 6            | 33           | A      |
| 20200908 | TCRR   | 0                   | 400          | 700          | A      |
| 20200908 | TCS    | 0                   | 0            | 10           | A      |
| 20200908 | TCX    | 0                   | 675          | 675          | A      |
| 20200908 | TD     | 0                   | 3940         | 5846         | A      |
| 20200908 | TDC    | 0                   | 270          | 818          | A      |
| 20200908 | TDF    | 0                   | 10           | 10           | A      |
| 20200908 | TDG    | 0                   | 313          | 632          | A      |
| 20200908 | TDOC   | 1                   | 1671         | 3156         | A      |
| 20200908 | TDS    | 0                   | 219          | 319          | A      |
| 20200908 | TDW    | 0                   | 100          | 116          | A      |
| 20200908 | TDW WS | 0                   | 0            | 16           | A      |
| 20200908 | TDY    | 0                   | 465          | 665          | A      |
| 20200908 | TEAM   | 0                   | 57           | 2189         | A      |
| 20200908 | TECH   | 0                   | 120          | 122          | A      |
| 20200908 | TECK   | 122                 | 7715         | 16909        | A      |
| 20200908 | TECL   | 3                   | 138          | 716          | A      |
| 20200908 | TECS   | 0                   | 19769        | 25789        | A      |
| 20200908 | TEF    | 0                   | 22211        | 23428        | A      |
| 20200908 | TEI    | 0                   | 0            | 400          | A      |
| 20200908 | TEL    | 60                  | 2689         | 3502         | A      |
| 20200908 | TELA   | 0                   | 0            | 100          | A      |
| 20200908 | TELL   | 0                   | 2990         | 3107         | A      |
| 20200908 | TEN    | 0                   | 200          | 763          | A      |
| 20200908 | TENB   | 0                   | 0            | 10           | A      |
| 20200908 | TER    | 1                   | 5444         | 9122         | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | TEVA   | 0                   | 11364        | 15129        | A      |
| 20200908 | TEX    | 24                  | 2053         | 2827         | A      |
| 20200908 | TFC    | 802                 | 26796        | 61365        | A      |
| 20200908 | TFFP   | 0                   | 556          | 856          | A      |
| 20200908 | TFI    | 0                   | 0            | 160          | A      |
| 20200908 | TFII   | 0                   | 207          | 457          | A      |
| 20200908 | TFSL   | 200                 | 416          | 517          | A      |
| 20200908 | TFX    | 0                   | 102          | 412          | A      |
| 20200908 | TG     | 0                   | 8            | 8            | A      |
| 20200908 | TGB    | 15591               | 57761        | 172689       | A      |
| 20200908 | TGC    | 1364                | 4145         | 6931         | A      |
| 20200908 | TGH    | 0                   | 371          | 381          | A      |
| 20200908 | TGI    | 0                   | 1286         | 9482         | A      |
| 20200908 | TGLS   | 0                   | 5            | 5            | A      |
| 20200908 | TGNA   | 0                   | 8676         | 12094        | A      |
| 20200908 | TGP    | 0                   | 0            | 15           | A      |
| 20200908 | TGS    | 0                   | 2            | 803          | A      |
| 20200908 | TGT    | 11                  | 16539        | 25829        | A      |
| 20200908 | TGTX   | 3                   | 1020         | 1428         | A      |
| 20200908 | TH     | 0                   | 200          | 300          | A      |
| 20200908 | THC    | 11                  | 3150         | 6149         | A      |
| 20200908 | THFF   | 0                   | 138          | 138          | A      |
| 20200908 | THG    | 42                  | 49           | 149          | A      |
| 20200908 | THM    | 2890                | 14794        | 28340        | A      |
| 20200908 | THMO   | 0                   | 212          | 218          | A      |
| 20200908 | THO    | 0                   | 404          | 614          | A      |
| 20200908 | THR    | 0                   | 16           | 16           | A      |
| 20200908 | THRM   | 0                   | 200          | 200          | A      |
| 20200908 | THS    | 0                   | 100          | 473          | A      |
| 20200908 | TIF    | 10                  | 842          | 2578         | A      |
| 20200908 | TIG    | 0                   | 222          | 799          | A      |
| 20200908 | TIGO   | 0                   | 0            | 128          | A      |
| 20200908 | TILE   | 0                   | 0            | 403          | A      |
| 20200908 | TIP    | 0                   | 27182        | 30938        | A      |
| 20200908 | TISI   | 0                   | 996          | 1599         | A      |
| 20200908 | TITN   | 0                   | 200          | 245          | A      |
| 20200908 | TJX    | 2                   | 6172         | 12119        | A      |
| 20200908 | TK     | 0                   | 208          | 308          | A      |
| 20200908 | TKAT   | 623                 | 1041         | 1341         | A      |
| 20200908 | TKC    | 0                   | 473          | 473          | A      |
| 20200908 | TKR    | 0                   | 2276         | 2277         | A      |
| 20200908 | TLND   | 0                   | 100          | 211          | A      |
| 20200908 | TLRY   | 101                 | 27164        | 27478        | A      |
| 20200908 | TLSA   | 0                   | 0            | 300          | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | TLT    | 0                   | 28923        | 32635        | A      |
| 20200908 | TLYS   | 0                   | 415          | 1236         | A      |
| 20200908 | TM     | 0                   | 308          | 616          | A      |
| 20200908 | TMBR   | 8                   | 2781         | 5892         | A      |
| 20200908 | TMDI   | 150                 | 350          | 350          | A      |
| 20200908 | TMDX   | 0                   | 400          | 700          | A      |
| 20200908 | TME    | 0                   | 7534         | 14581        | A      |
| 20200908 | TMF    | 0                   | 4049         | 5849         | A      |
| 20200908 | TMHC   | 0                   | 2356         | 2567         | A      |
| 20200908 | TMO    | 0                   | 759          | 1467         | A      |
| 20200908 | TMP    | 10                  | 6198         | 9546         | A      |
| 20200908 | TMQ    | 2287                | 22519        | 24196        | A      |
| 20200908 | TMUS   | 0                   | 7217         | 23816        | A      |
| 20200908 | TNA    | 0                   | 8298         | 24735        | A      |
| 20200908 | TNAV   | 0                   | 101          | 101          | A      |
| 20200908 | TNC    | 0                   | 120          | 132          | A      |
| 20200908 | TNDM   | 0                   | 202          | 302          | A      |
| 20200908 | TNET   | 0                   | 113          | 119          | A      |
| 20200908 | TNK    | 0                   | 626          | 826          | A      |
| 20200908 | TNP    | 0                   | 100          | 512          | A      |
| 20200908 | TNXP   | 0                   | 200          | 3100         | A      |
| 20200908 | TOL    | 0                   | 2499         | 3788         | A      |
| 20200908 | TOPS   | 0                   | 0            | 100          | A      |
| 20200908 | TORC   | 0                   | 90           | 470          | A      |
| 20200908 | TOT    | 0                   | 2562         | 7949         | A      |
| 20200908 | TOTL   | 0                   | 0            | 1000         | A      |
| 20200908 | TOWN   | 0                   | 130          | 130          | A      |
| 20200908 | TPB    | 0                   | 618          | 972          | A      |
| 20200908 | TPC    | 0                   | 109          | 109          | A      |
| 20200908 | TPCO   | 0                   | 0            | 99           | A      |
| 20200908 | TPH    | 0                   | 1725         | 4478         | A      |
| 20200908 | TPHS   | 0                   | 2501         | 3094         | A      |
| 20200908 | TPIC   | 0                   | 58           | 178          | A      |
| 20200908 | TPR    | 0                   | 10702        | 16899        | A      |
| 20200908 | TPRE   | 0                   | 917          | 1343         | A      |
| 20200908 | TPTX   | 0                   | 196          | 309          | A      |
| 20200908 | TPVG   | 0                   | 0            | 100          | A      |
| 20200908 | TPX    | 0                   | 885          | 1820         | A      |
| 20200908 | TQQQ   | 943                 | 10608        | 75844        | A      |
| 20200908 | TR     | 0                   | 176          | 501          | A      |
| 20200908 | TRC    | 0                   | 100          | 100          | A      |
| 20200908 | TRCH   | 0                   | 0            | 100          | A      |
| 20200908 | TREE   | 0                   | 185          | 282          | A      |
| 20200908 | TREX   | 0                   | 1665         | 2998         | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | TRGP   | 0                   | 4039         | 8373         | A      |
| 20200908 | TRHC   | 0                   | 233          | 525          | A      |
| 20200908 | TRI    | 0                   | 700          | 1300         | A      |
| 20200908 | TRIB   | 0                   | 800          | 2500         | A      |
| 20200908 | TRIL   | 0                   | 584          | 3606         | A      |
| 20200908 | TRIP   | 6                   | 9728         | 14597        | A      |
| 20200908 | TRMB   | 0                   | 2699         | 3129         | A      |
| 20200908 | TRMK   | 0                   | 78           | 581          | A      |
| 20200908 | TRN    | 0                   | 7907         | 8293         | A      |
| 20200908 | TRNE   | 0                   | 0            | 145          | A      |
| 20200908 | TRNO   | 0                   | 449          | 481          | A      |
| 20200908 | TROW   | 0                   | 1553         | 3182         | A      |
| 20200908 | TROX   | 0                   | 412          | 1124         | A      |
| 20200908 | TRP    | 100                 | 2730         | 3434         | A      |
| 20200908 | TRQ    | 400                 | 2388         | 5415         | A      |
| 20200908 | TRS    | 0                   | 124          | 131          | A      |
| 20200908 | TRST   | 0                   | 1            | 267          | A      |
| 20200908 | TRT    | 0                   | 329          | 467          | A      |
| 20200908 | TRTN   | 0                   | 0            | 16           | A      |
| 20200908 | TRTX   | 0                   | 100          | 147          | A      |
| 20200908 | TRU    | 0                   | 1339         | 2314         | A      |
| 20200908 | TRUE   | 0                   | 1453         | 1825         | A      |
| 20200908 | TRUP   | 0                   | 29           | 57           | A      |
| 20200908 | TRV    | 0                   | 1307         | 2003         | A      |
| 20200908 | TRVN   | 0                   | 3735         | 8010         | A      |
| 20200908 | TRWH   | 0                   | 0            | 37           | A      |
| 20200908 | TRX    | 1627                | 6707         | 13911        | A      |
| 20200908 | TRXC   | 8536                | 31886        | 81257        | A      |
| 20200908 | TS     | 0                   | 4490         | 5509         | A      |
| 20200908 | TSBK   | 0                   | 40           | 40           | A      |
| 20200908 | TSC    | 0                   | 544          | 941          | A      |
| 20200908 | TSCO   | 0                   | 1898         | 2508         | A      |
| 20200908 | TSE    | 0                   | 1206         | 1729         | A      |
| 20200908 | TSEM   | 0                   | 124          | 224          | A      |
| 20200908 | TSLA   | 9247                | 24742        | 121647       | A      |
| 20200908 | TSLX   | 0                   | 248          | 2200         | A      |
| 20200908 | TSM    | 1                   | 14745        | 43099        | A      |
| 20200908 | TSN    | 0                   | 2075         | 6209         | A      |
| 20200908 | TSU    | 0                   | 551          | 1530         | A      |
| 20200908 | TT     | 2                   | 1183         | 2153         | A      |
| 20200908 | TTC    | 0                   | 1610         | 2272         | A      |
| 20200908 | TTD    | 0                   | 61           | 253          | A      |
| 20200908 | TTEC   | 0                   | 40           | 301          | A      |
| 20200908 | TTEK   | 0                   | 122          | 127          | A      |



| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20200908 | TTGT    | 0                   | 168          | 210          | A      |
| 20200908 | TTI     | 0                   | 100          | 100          | A      |
| 20200908 | TTM     | 0                   | 2441         | 5432         | A      |
| 20200908 | TTMI    | 0                   | 2972         | 3444         | A      |
| 20200908 | TTOO    | 2700                | 3500         | 5155         | A      |
| 20200908 | TTT     | 0                   | 1204         | 1604         | A      |
| 20200908 | TTWO    | 0                   | 1253         | 3261         | A      |
| 20200908 | TU      | 13                  | 8716         | 11432        | A      |
| 20200908 | TUFN    | 0                   | 0            | 36           | A      |
| 20200908 | TUP     | 0                   | 540          | 936          | A      |
| 20200908 | TUR     | 0                   | 200          | 500          | A      |
| 20200908 | TUSK    | 0                   | 0            | 200          | A      |
| 20200908 | TV      | 0                   | 4722         | 10291        | A      |
| 20200908 | TVTY    | 0                   | 257          | 508          | A      |
| 20200908 | TW      | 0                   | 7            | 707          | A      |
| 20200908 | TWI     | 0                   | 0            | 10           | A      |
| 20200908 | TWLO    | 29                  | 392          | 3796         | A      |
| 20200908 | TWM     | 0                   | 5663         | 12724        | A      |
| 20200908 | TWND U  | 0                   | 0            | 9630         | A      |
| 20200908 | TWNK    | 0                   | 5363         | 9445         | A      |
| 20200908 | TWNBK   | 0                   | 0            | 100          | A      |
| 20200908 | TWO     | 120                 | 22206        | 26069        | A      |
| 20200908 | TWO PRB | 0                   | 0            | 100          | A      |
| 20200908 | TWOU    | 0                   | 711          | 1761         | A      |
| 20200908 | TWST    | 157                 | 1557         | 3444         | A      |
| 20200908 | TWTR    | 103                 | 17907        | 25316        | A      |
| 20200908 | TX      | 0                   | 47           | 47           | A      |
| 20200908 | TXG     | 0                   | 41           | 441          | A      |
| 20200908 | TXMD    | 0                   | 400          | 2100         | A      |
| 20200908 | TXN     | 1                   | 5223         | 21797        | A      |
| 20200908 | TXRH    | 0                   | 3591         | 3671         | A      |
| 20200908 | TXT     | 3                   | 4143         | 6106         | A      |
| 20200908 | TY      | 0                   | 200          | 200          | A      |
| 20200908 | TYL     | 0                   | 247          | 311          | A      |
| 20200908 | TYME    | 0                   | 600          | 901          | A      |
| 20200908 | TZA     | 2472                | 44660        | 97208        | A      |
| 20200908 | TZOO    | 0                   | 100          | 100          | A      |
| 20200908 | UA      | 300                 | 13669        | 19357        | A      |
| 20200908 | UAA     | 0                   | 21146        | 31671        | A      |
| 20200908 | UAL     | 1613                | 60109        | 96223        | A      |
| 20200908 | UAMY    | 1487                | 3304         | 8626         | A      |
| 20200908 | UAN     | 0                   | 100          | 100          | A      |
| 20200908 | UAWS    | 76271               | 133503       | 218301       | A      |
| 20200908 | UBA     | 0                   | 2745         | 4829         | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | UBER   | 100                 | 31666        | 55556        | A      |
| 20200908 | UBS    | 0                   | 2685         | 3461         | A      |
| 20200908 | UBSI   | 0                   | 2078         | 2078         | A      |
| 20200908 | UBT    | 0                   | 0            | 200          | A      |
| 20200908 | UBX    | 0                   | 1            | 1            | A      |
| 20200908 | UCBI   | 0                   | 48           | 3363         | A      |
| 20200908 | UCO    | 3433                | 42722        | 173190       | A      |
| 20200908 | UCTT   | 0                   | 1059         | 1246         | A      |
| 20200908 | UDN    | 0                   | 200          | 400          | A      |
| 20200908 | UDOW   | 0                   | 1875         | 5330         | A      |
| 20200908 | UDR    | 0                   | 789          | 4362         | A      |
| 20200908 | UE     | 0                   | 259          | 398          | A      |
| 20200908 | UEC    | 5333                | 35714        | 80483        | A      |
| 20200908 | UEIC   | 0                   | 0            | 2            | A      |
| 20200908 | UEPS   | 0                   | 300          | 570          | A      |
| 20200908 | UEUR   | 0                   | 691          | 741          | A      |
| 20200908 | UFAB   | 3                   | 383          | 633          | A      |
| 20200908 | UFCS   | 0                   | 0            | 12           | A      |
| 20200908 | UFI    | 0                   | 12           | 129          | A      |
| 20200908 | UFPI   | 0                   | 72           | 74           | A      |
| 20200908 | UFPT   | 0                   | 299          | 299          | A      |
| 20200908 | UFS    | 0                   | 967          | 1381         | A      |
| 20200908 | UGI    | 0                   | 616          | 1582         | A      |
| 20200908 | UGL    | 0                   | 916          | 2767         | A      |
| 20200908 | UGP    | 500                 | 1057         | 2052         | A      |
| 20200908 | UHAL   | 0                   | 3            | 9            | A      |
| 20200908 | UHS    | 0                   | 535          | 773          | A      |
| 20200908 | UHT    | 0                   | 36           | 46           | A      |
| 20200908 | UI     | 0                   | 10           | 18           | A      |
| 20200908 | UIHC   | 0                   | 2            | 2            | A      |
| 20200908 | UIS    | 0                   | 218          | 1127         | A      |
| 20200908 | UL     | 0                   | 1803         | 2065         | A      |
| 20200908 | ULH    | 0                   | 4            | 4            | A      |
| 20200908 | ULTA   | 4                   | 1343         | 1693         | A      |
| 20200908 | UMBF   | 0                   | 100          | 100          | A      |
| 20200908 | UMC    | 0                   | 6845         | 7947         | A      |
| 20200908 | UMH    | 0                   | 257          | 378          | A      |
| 20200908 | UMPQ   | 0                   | 7660         | 8568         | A      |
| 20200908 | UMRX   | 0                   | 100          | 510          | A      |
| 20200908 | UN     | 0                   | 5712         | 6517         | A      |
| 20200908 | UNF    | 0                   | 104          | 114          | A      |
| 20200908 | UNFI   | 0                   | 336          | 7232         | A      |
| 20200908 | UNG    | 0                   | 29448        | 39761        | A      |
| 20200908 | UNH    | 0                   | 3994         | 5936         | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | UNIT   | 0                   | 5168         | 5893         | A      |
| 20200908 | UNM    | 0                   | 4022         | 8152         | A      |
| 20200908 | UNP    | 0                   | 1110         | 3466         | A      |
| 20200908 | UNVR   | 0                   | 8858         | 17217        | A      |
| 20200908 | UONEK  | 100                 | 100          | 100          | A      |
| 20200908 | UPLD   | 0                   | 115          | 436          | A      |
| 20200908 | UPRO   | 600                 | 52486        | 82657        | A      |
| 20200908 | UPS    | 0                   | 5885         | 7586         | A      |
| 20200908 | UPWK   | 11                  | 432          | 4117         | A      |
| 20200908 | URA    | 0                   | 100          | 800          | A      |
| 20200908 | URBN   | 0                   | 4868         | 7056         | A      |
| 20200908 | URG    | 5210                | 23184        | 61825        | A      |
| 20200908 | URGN   | 0                   | 100          | 101          | A      |
| 20200908 | URI    | 0                   | 590          | 2076         | A      |
| 20200908 | URNM   | 0                   | 100          | 300          | A      |
| 20200908 | UROV   | 0                   | 100          | 200          | A      |
| 20200908 | URTY   | 0                   | 3539         | 14439        | A      |
| 20200908 | USA    | 0                   | 600          | 2518         | A      |
| 20200908 | USAC   | 0                   | 111          | 111          | A      |
| 20200908 | USAK   | 0                   | 100          | 100          | A      |
| 20200908 | USAS   | 14372               | 58177        | 116560       | A      |
| 20200908 | USB    | 0                   | 18203        | 112412       | A      |
| 20200908 | USCR   | 0                   | 100          | 1400         | A      |
| 20200908 | USD    | 0                   | 0            | 100          | A      |
| 20200908 | USFD   | 0                   | 3349         | 4673         | A      |
| 20200908 | USHY   | 0                   | 8989         | 8989         | A      |
| 20200908 | USIG   | 0                   | 100          | 500          | A      |
| 20200908 | USIO   | 0                   | 4            | 140          | A      |
| 20200908 | USL    | 0                   | 200          | 200          | A      |
| 20200908 | USM    | 0                   | 112          | 416          | A      |
| 20200908 | USMC   | 0                   | 3700         | 3800         | A      |
| 20200908 | USMV   | 830                 | 43749        | 54787        | A      |
| 20200908 | USNA   | 6                   | 6            | 336          | A      |
| 20200908 | USO    | 2882                | 89307        | 193975       | A      |
| 20200908 | USOI   | 0                   | 203          | 203          | A      |
| 20200908 | USPH   | 0                   | 17           | 17           | A      |
| 20200908 | USRT   | 0                   | 180          | 300          | A      |
| 20200908 | USSG   | 0                   | 300          | 2400         | A      |
| 20200908 | UST    | 0                   | 400          | 400          | A      |
| 20200908 | USWS   | 0                   | 0            | 142          | A      |
| 20200908 | USX    | 0                   | 257          | 864          | A      |
| 20200908 | UTF    | 0                   | 10           | 110          | A      |
| 20200908 | UTG    | 541                 | 5987         | 13181        | A      |
| 20200908 | UTHR   | 0                   | 110          | 810          | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20200908 | UTI     | 0                   | 120          | 260          | A      |
| 20200908 | UTL     | 0                   | 0            | 135          | A      |
| 20200908 | UTSI    | 0                   | 0            | 100          | A      |
| 20200908 | UTZ     | 0                   | 20743        | 26897        | A      |
| 20200908 | UTZ WS  | 0                   | 100          | 900          | A      |
| 20200908 | UUP     | 0                   | 164          | 763          | A      |
| 20200908 | UUU     | 92                  | 3716         | 6145         | A      |
| 20200908 | UUUU    | 18663               | 160984       | 190933       | A      |
| 20200908 | UUUU WS | 0                   | 0            | 45000        | A      |
| 20200908 | UVE     | 0                   | 8            | 8            | A      |
| 20200908 | UVSP    | 0                   | 0            | 104          | A      |
| 20200908 | UVV     | 0                   | 0            | 18           | A      |
| 20200908 | UVXY    | 6159                | 10266        | 133553       | A      |
| 20200908 | UWM     | 0                   | 2583         | 9350         | A      |
| 20200908 | UYG     | 0                   | 0            | 100          | A      |
| 20200908 | UZA     | 0                   | 0            | 100          | A      |
| 20200908 | UZD     | 0                   | 0            | 801          | A      |
| 20200908 | V       | 401                 | 18147        | 40357        | A      |
| 20200908 | VAC     | 0                   | 557          | 1446         | A      |
| 20200908 | VALE    | 2000                | 58533        | 98991        | A      |
| 20200908 | VAPO    | 0                   | 410          | 560          | A      |
| 20200908 | VAR     | 0                   | 1931         | 3304         | A      |
| 20200908 | VBIV    | 200                 | 4604         | 6053         | A      |
| 20200908 | VBR     | 0                   | 0            | 160          | A      |
| 20200908 | VBTX    | 0                   | 375          | 605          | A      |
| 20200908 | VC      | 0                   | 100          | 300          | A      |
| 20200908 | VCCEL   | 0                   | 100          | 110          | A      |
| 20200908 | VCF     | 0                   | 6            | 284          | A      |
| 20200908 | VCIT    | 100                 | 4479         | 37101        | A      |
| 20200908 | VCLT    | 0                   | 0            | 497          | A      |
| 20200908 | VCNX    | 0                   | 200          | 600          | A      |
| 20200908 | VCR     | 0                   | 1            | 1            | A      |
| 20200908 | VCRA    | 0                   | 602          | 929          | A      |
| 20200908 | VGSH    | 0                   | 3660         | 4227         | A      |
| 20200908 | VCTR    | 0                   | 101          | 201          | A      |
| 20200908 | VCYT    | 0                   | 503          | 603          | A      |
| 20200908 | VDC     | 0                   | 0            | 140          | A      |
| 20200908 | VDE     | 0                   | 11128        | 13109        | A      |
| 20200908 | VEA     | 279                 | 88739        | 94424        | A      |
| 20200908 | VEC     | 0                   | 24           | 148          | A      |
| 20200908 | VECO    | 0                   | 2            | 1541         | A      |
| 20200908 | VEDL    | 0                   | 1575         | 1601         | A      |
| 20200908 | VEEV    | 0                   | 716          | 1013         | A      |
| 20200908 | VEON    | 0                   | 31364        | 32188        | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20200908 | VER     | 0                   | 9681         | 11603        | A      |
| 20200908 | VER PRF | 0                   | 0            | 53           | A      |
| 20200908 | VERB    | 100                 | 100          | 204          | A      |
| 20200908 | VERI    | 0                   | 283          | 753          | A      |
| 20200908 | VERO    | 0                   | 300          | 300          | A      |
| 20200908 | VERU    | 0                   | 100          | 100          | A      |
| 20200908 | VERX    | 0                   | 442          | 1707         | A      |
| 20200908 | VET     | 11                  | 1322         | 1722         | A      |
| 20200908 | VEU     | 0                   | 39866        | 50131        | A      |
| 20200908 | VFC     | 0                   | 4527         | 6649         | A      |
| 20200908 | VFF     | 300                 | 38428        | 43997        | A      |
| 20200908 | VFH     | 0                   | 1580         | 1759         | A      |
| 20200908 | VFL     | 0                   | 177          | 339          | A      |
| 20200908 | VG      | 0                   | 2645         | 4395         | A      |
| 20200908 | VGIT    | 0                   | 500          | 600          | A      |
| 20200908 | VGK     | 100                 | 63264        | 75919        | A      |
| 20200908 | VGLT    | 0                   | 100          | 700          | A      |
| 20200908 | VGR     | 0                   | 1563         | 3429         | A      |
| 20200908 | VGSH    | 0                   | 47           | 147          | A      |
| 20200908 | VGT     | 0                   | 5764         | 7313         | A      |
| 20200908 | VGZ     | 900                 | 13714        | 36481        | A      |
| 20200908 | VHC     | 0                   | 512          | 522          | A      |
| 20200908 | VHT     | 0                   | 620          | 620          | A      |
| 20200908 | VIAC    | 115                 | 14043        | 26704        | A      |
| 20200908 | VIACA   | 0                   | 0            | 101          | A      |
| 20200908 | VIAV    | 0                   | 9145         | 16302        | A      |
| 20200908 | VICI    | 0                   | 5435         | 11447        | A      |
| 20200908 | VICR    | 0                   | 619          | 1024         | A      |
| 20200908 | VIE     | 0                   | 115          | 243          | A      |
| 20200908 | VIG     | 0                   | 3292         | 6108         | A      |
| 20200908 | VIGI    | 0                   | 100          | 300          | A      |
| 20200908 | VIOT    | 0                   | 8            | 8            | A      |
| 20200908 | VIPS    | 0                   | 11013        | 21633        | A      |
| 20200908 | VIR     | 0                   | 2100         | 5183         | A      |
| 20200908 | VIRT    | 0                   | 4805         | 11203        | A      |
| 20200908 | VIS     | 0                   | 54           | 54           | A      |
| 20200908 | VISL    | 0                   | 0            | 100          | A      |
| 20200908 | VIST    | 0                   | 14           | 14           | A      |
| 20200908 | VITL    | 0                   | 300          | 712          | A      |
| 20200908 | VIV     | 3                   | 3090         | 3221         | A      |
| 20200908 | VIVO    | 0                   | 735          | 883          | A      |
| 20200908 | VIXY    | 0                   | 559          | 21494        | A      |
| 20200908 | VKI     | 35                  | 3425         | 13805        | A      |
| 20200908 | VKTX    | 0                   | 486          | 922          | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20200908 | VLGEA   | 0                   | 15           | 15           | A      |
| 20200908 | VLO     | 0                   | 9878         | 14658        | A      |
| 20200908 | VLRS    | 0                   | 300          | 484          | A      |
| 20200908 | VLUE    | 0                   | 5930         | 7605         | A      |
| 20200908 | VLY     | 0                   | 3647         | 4121         | A      |
| 20200908 | VMBS    | 0                   | 106549       | 109267       | A      |
| 20200908 | VMC     | 0                   | 3558         | 4616         | A      |
| 20200908 | VMD     | 100                 | 606          | 606          | A      |
| 20200908 | VMI     | 0                   | 21           | 27           | A      |
| 20200908 | VMM     | 1000                | 1100         | 1320         | A      |
| 20200908 | VMO     | 0                   | 0            | 100          | A      |
| 20200908 | VMW     | 0                   | 2008         | 2890         | A      |
| 20200908 | VNDA    | 0                   | 1569         | 1635         | A      |
| 20200908 | VNE     | 0                   | 264          | 509          | A      |
| 20200908 | VNET    | 0                   | 609          | 7965         | A      |
| 20200908 | VNLA    | 0                   | 100          | 100          | A      |
| 20200908 | VNO     | 76                  | 8718         | 10397        | A      |
| 20200908 | VNO PRM | 0                   | 100          | 100          | A      |
| 20200908 | VNOM    | 0                   | 661          | 1408         | A      |
| 20200908 | VNQ     | 0                   | 105242       | 133179       | A      |
| 20200908 | VNQI    | 0                   | 2769         | 3469         | A      |
| 20200908 | VNRX    | 50                  | 23016        | 31298        | A      |
| 20200908 | VNTR    | 0                   | 100          | 608          | A      |
| 20200908 | VO      | 100                 | 6702         | 6702         | A      |
| 20200908 | VOD     | 400                 | 27421        | 38851        | A      |
| 20200908 | VOE     | 0                   | 1700         | 1820         | A      |
| 20200908 | VOLT    | 21                  | 923          | 3838         | A      |
| 20200908 | VONG    | 0                   | 687          | 787          | A      |
| 20200908 | VONV    | 0                   | 850          | 1020         | A      |
| 20200908 | VOO     | 101                 | 15899        | 34847        | A      |
| 20200908 | VOOG    | 0                   | 1260         | 1360         | A      |
| 20200908 | VOOV    | 0                   | 459          | 495          | A      |
| 20200908 | VOT     | 0                   | 100          | 100          | A      |
| 20200908 | VOYA    | 0                   | 1376         | 4317         | A      |
| 20200908 | VPG     | 0                   | 8            | 11           | A      |
| 20200908 | VPL     | 0                   | 6255         | 10722        | A      |
| 20200908 | VPU     | 0                   | 173          | 173          | A      |
| 20200908 | VRA     | 100                 | 958          | 1168         | A      |
| 20200908 | VRAY    | 0                   | 1022         | 1122         | A      |
| 20200908 | VRCA    | 0                   | 7            | 7            | A      |
| 20200908 | VREX    | 0                   | 1099         | 1882         | A      |
| 20200908 | VRM     | 0                   | 1212         | 2597         | A      |
| 20200908 | VRME    | 0                   | 0            | 200          | A      |
| 20200908 | VRNA    | 0                   | 300          | 303          | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | VRNS   | 0                   | 1112         | 3407         | A      |
| 20200908 | VRNT   | 0                   | 3162         | 5222         | A      |
| 20200908 | VRP    | 0                   | 7550         | 19205        | A      |
| 20200908 | VRRM   | 0                   | 376          | 536          | A      |
| 20200908 | VRS    | 0                   | 1628         | 2729         | A      |
| 20200908 | VRSK   | 0                   | 595          | 969          | A      |
| 20200908 | VRSN   | 0                   | 2860         | 3154         | A      |
| 20200908 | VRT    | 0                   | 4594         | 8754         | A      |
| 20200908 | VRTU   | 0                   | 961          | 1265         | A      |
| 20200908 | VRTV   | 0                   | 236          | 415          | A      |
| 20200908 | VRTX   | 0                   | 1266         | 2565         | A      |
| 20200908 | VSAT   | 0                   | 1168         | 2140         | A      |
| 20200908 | VSH    | 0                   | 2067         | 4783         | A      |
| 20200908 | VSLR   | 0                   | 4431         | 5276         | A      |
| 20200908 | VST    | 0                   | 8636         | 13225        | A      |
| 20200908 | VSTA   | 0                   | 400          | 400          | A      |
| 20200908 | VSTM   | 200                 | 11874        | 15174        | A      |
| 20200908 | VSTO   | 0                   | 305          | 467          | A      |
| 20200908 | VT     | 0                   | 7938         | 11604        | A      |
| 20200908 | VTA    | 0                   | 1200         | 1600         | A      |
| 20200908 | VTEB   | 0                   | 592          | 1292         | A      |
| 20200908 | VTGN   | 0                   | 100          | 800          | A      |
| 20200908 | VTI    | 0                   | 5576         | 6266         | A      |
| 20200908 | VTIP   | 0                   | 1777         | 1877         | A      |
| 20200908 | VTN    | 0                   | 100          | 100          | A      |
| 20200908 | VTNR   | 0                   | 271          | 271          | A      |
| 20200908 | VTOL   | 0                   | 167          | 3550         | A      |
| 20200908 | VTR    | 28                  | 10801        | 18730        | A      |
| 20200908 | VTSI   | 0                   | 200          | 305          | A      |
| 20200908 | VTV    | 0                   | 22694        | 25259        | A      |
| 20200908 | VTVT   | 0                   | 100          | 100          | A      |
| 20200908 | VTWO   | 0                   | 510          | 510          | A      |
| 20200908 | VUG    | 0                   | 250          | 351          | A      |
| 20200908 | VUZI   | 332                 | 996          | 1395         | A      |
| 20200908 | VV     | 0                   | 2            | 2            | A      |
| 20200908 | VVI    | 0                   | 102          | 104          | A      |
| 20200908 | VVNT   | 0                   | 173          | 306          | A      |
| 20200908 | VVPR   | 452                 | 452          | 452          | A      |
| 20200908 | VVR    | 0                   | 100          | 12000        | A      |
| 20200908 | VVV    | 0                   | 660          | 787          | A      |
| 20200908 | VWO    | 539                 | 52764        | 71986        | A      |
| 20200908 | VWOB   | 0                   | 66           | 66           | A      |
| 20200908 | VXF    | 0                   | 300          | 400          | A      |
| 20200908 | VXRT   | 1843                | 4025         | 15623        | A      |

| Date     | Symbol  | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|---------|---------------------|--------------|--------------|--------|
| 20200908 | VXUS    | 0                   | 8027         | 9340         | A      |
| 20200908 | VXX     | 1                   | 120244       | 290165       | A      |
| 20200908 | VYGR    | 0                   | 216          | 422          | A      |
| 20200908 | VYM     | 0                   | 20801        | 24685        | A      |
| 20200908 | VYMI    | 0                   | 100          | 100          | A      |
| 20200908 | VYNE    | 0                   | 1100         | 1708         | A      |
| 20200908 | VZ      | 100                 | 60371        | 99372        | A      |
| 20200908 | W       | 0                   | 2480         | 5712         | A      |
| 20200908 | WAB     | 0                   | 2854         | 3630         | A      |
| 20200908 | WABC    | 0                   | 201          | 201          | A      |
| 20200908 | WAFD    | 0                   | 1336         | 1357         | A      |
| 20200908 | WAL     | 0                   | 667          | 689          | A      |
| 20200908 | WAT     | 0                   | 3754         | 4903         | A      |
| 20200908 | WATT    | 0                   | 117          | 117          | A      |
| 20200908 | WB      | 0                   | 695          | 1844         | A      |
| 20200908 | WBA     | 0                   | 8735         | 15249        | A      |
| 20200908 | WBS     | 0                   | 100          | 400          | A      |
| 20200908 | WBT     | 0                   | 820          | 1155         | A      |
| 20200908 | WCC     | 0                   | 1306         | 1807         | A      |
| 20200908 | WCC PRA | 0                   | 0            | 300          | A      |
| 20200908 | WCLD    | 0                   | 0            | 260          | A      |
| 20200908 | WCN     | 0                   | 679          | 926          | A      |
| 20200908 | WD      | 0                   | 111          | 111          | A      |
| 20200908 | WDAY    | 41                  | 512          | 1991         | A      |
| 20200908 | WDC     | 0                   | 7202         | 13770        | A      |
| 20200908 | WDFC    | 0                   | 311          | 313          | A      |
| 20200908 | WDR     | 0                   | 965          | 1943         | A      |
| 20200908 | WEAT    | 0                   | 300          | 683          | A      |
| 20200908 | WEC     | 7                   | 1425         | 2786         | A      |
| 20200908 | WELL    | 6                   | 3310         | 10139        | A      |
| 20200908 | WEN     | 0                   | 2580         | 44629        | A      |
| 20200908 | WERN    | 0                   | 1291         | 1667         | A      |
| 20200908 | WES     | 113                 | 300          | 400          | A      |
| 20200908 | WETF    | 0                   | 3578         | 4224         | A      |
| 20200908 | WEX     | 0                   | 669          | 692          | A      |
| 20200908 | WFC     | 501                 | 107151       | 190663       | A      |
| 20200908 | WFC PRZ | 0                   | 200          | 200          | A      |
| 20200908 | WGO     | 0                   | 3405         | 4499         | A      |
| 20200908 | WH      | 0                   | 817          | 1052         | A      |
| 20200908 | WHD     | 0                   | 626          | 937          | A      |
| 20200908 | WHLM    | 0                   | 2            | 2            | A      |
| 20200908 | WHR     | 0                   | 340          | 697          | A      |
| 20200908 | WIFI    | 0                   | 62           | 574          | A      |
| 20200908 | WIMI    | 0                   | 900          | 1000         | A      |



| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | WING   | 0                   | 2627         | 3285         | A      |
| 20200908 | WIRE   | 0                   | 29           | 29           | A      |
| 20200908 | WISA   | 0                   | 0            | 6            | A      |
| 20200908 | WIT    | 0                   | 2214         | 5994         | A      |
| 20200908 | WIX    | 0                   | 226          | 5271         | A      |
| 20200908 | WK     | 0                   | 17           | 31           | A      |
| 20200908 | WKEY   | 0                   | 0            | 234          | A      |
| 20200908 | WKHS   | 200                 | 27309        | 43362        | A      |
| 20200908 | WLDN   | 0                   | 0            | 100          | A      |
| 20200908 | WLK    | 0                   | 631          | 963          | A      |
| 20200908 | WLL    | 623                 | 988          | 4801         | A      |
| 20200908 | WLTW   | 0                   | 537          | 6274         | A      |
| 20200908 | WM     | 0                   | 908          | 2997         | A      |
| 20200908 | WMB    | 0                   | 26115        | 38919        | A      |
| 20200908 | WMC    | 0                   | 712          | 913          | A      |
| 20200908 | WMG    | 0                   | 1006         | 1376         | A      |
| 20200908 | WMGI   | 0                   | 1561         | 2129         | A      |
| 20200908 | WMK    | 0                   | 8            | 108          | A      |
| 20200908 | WMS    | 0                   | 488          | 1998         | A      |
| 20200908 | WMT    | 137                 | 33686        | 76021        | A      |
| 20200908 | WNC    | 0                   | 1994         | 2420         | A      |
| 20200908 | WNEB   | 0                   | 0            | 4            | A      |
| 20200908 | WNS    | 0                   | 4            | 4            | A      |
| 20200908 | WOR    | 0                   | 200          | 200          | A      |
| 20200908 | WORK   | 0                   | 31393        | 43914        | A      |
| 20200908 | WORX   | 2400                | 10849        | 12069        | A      |
| 20200908 | WOW    | 0                   | 463          | 682          | A      |
| 20200908 | WPC    | 55                  | 1304         | 3042         | A      |
| 20200908 | WPF    | 0                   | 0            | 385          | A      |
| 20200908 | WPG    | 800                 | 9830         | 25420        | A      |
| 20200908 | WPM    | 200                 | 5248         | 6060         | A      |
| 20200908 | WPRT   | 0                   | 1295         | 1594         | A      |
| 20200908 | WPX    | 190                 | 65339        | 98673        | A      |
| 20200908 | WRB    | 10                  | 4330         | 5353         | A      |
| 20200908 | WRE    | 0                   | 2304         | 2654         | A      |
| 20200908 | WRI    | 0                   | 811          | 1181         | A      |
| 20200908 | WRK    | 0                   | 3934         | 5159         | A      |
| 20200908 | WRLD   | 0                   | 301          | 301          | A      |
| 20200908 | WRN    | 23959               | 90283        | 96613        | A      |
| 20200908 | WRTC   | 0                   | 207          | 547          | A      |
| 20200908 | WSBC   | 0                   | 323          | 325          | A      |
| 20200908 | WSC    | 0                   | 4738         | 5840         | A      |
| 20200908 | WSFS   | 0                   | 5            | 5            | A      |
| 20200908 | WSM    | 0                   | 2799         | 2912         | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | WSO    | 0                   | 100          | 547          | A      |
| 20200908 | WSR    | 0                   | 101          | 501          | A      |
| 20200908 | WST    | 0                   | 779          | 1118         | A      |
| 20200908 | WSTL   | 0                   | 100          | 200          | A      |
| 20200908 | WTER   | 0                   | 1446         | 1746         | A      |
| 20200908 | WTFC   | 0                   | 14           | 15           | A      |
| 20200908 | WTI    | 300                 | 12935        | 14484        | A      |
| 20200908 | WTM    | 0                   | 12           | 12           | A      |
| 20200908 | WTRE   | 0                   | 441          | 441          | A      |
| 20200908 | WTRG   | 0                   | 16932        | 20647        | A      |
| 20200908 | WTRH   | 244                 | 2048         | 5799         | A      |
| 20200908 | WTS    | 0                   | 48           | 346          | A      |
| 20200908 | WTT    | 5                   | 1668         | 3669         | A      |
| 20200908 | WTTR   | 0                   | 369          | 421          | A      |
| 20200908 | WU     | 234                 | 12533        | 19914        | A      |
| 20200908 | WUBA   | 0                   | 10483        | 24958        | A      |
| 20200908 | WVE    | 0                   | 223          | 559          | A      |
| 20200908 | WW     | 0                   | 697          | 716          | A      |
| 20200908 | WWD    | 0                   | 200          | 200          | A      |
| 20200908 | WWE    | 0                   | 4810         | 5442         | A      |
| 20200908 | WWR    | 0                   | 100          | 400          | A      |
| 20200908 | WWW    | 0                   | 876          | 1052         | A      |
| 20200908 | WY     | 20                  | 7579         | 28081        | A      |
| 20200908 | WYND   | 0                   | 109          | 112          | A      |
| 20200908 | WYNN   | 0                   | 3026         | 5718         | A      |
| 20200908 | WYY    | 7084                | 29750        | 132380       | A      |
| 20200908 | X      | 100                 | 22580        | 28380        | A      |
| 20200908 | XAN    | 0                   | 100          | 100          | A      |
| 20200908 | XAR    | 0                   | 100          | 100          | A      |
| 20200908 | XBI    | 200                 | 18044        | 23249        | A      |
| 20200908 | XBIT   | 0                   | 132          | 132          | A      |
| 20200908 | XEC    | 0                   | 6409         | 8865         | A      |
| 20200908 | XEL    | 0                   | 1064         | 1807         | A      |
| 20200908 | XELA   | 200                 | 200          | 300          | A      |
| 20200908 | XENE   | 0                   | 100          | 100          | A      |
| 20200908 | XENT   | 0                   | 10           | 125          | A      |
| 20200908 | XERS   | 328                 | 1290         | 1692         | A      |
| 20200908 | XHB    | 5922                | 43254        | 52195        | A      |
| 20200908 | XHR    | 0                   | 3019         | 3326         | A      |
| 20200908 | XLB    | 1244                | 48967        | 73011        | A      |
| 20200908 | XLC    | 900                 | 18363        | 38019        | A      |
| 20200908 | XLE    | 25918               | 277130       | 345507       | A      |
| 20200908 | XLF    | 8155                | 453724       | 593937       | A      |
| 20200908 | XLG    | 0                   | 100          | 120          | A      |

| Date     | Symbol   | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|----------|---------------------|--------------|--------------|--------|
| 20200908 | XLI      | 275                 | 217138       | 303258       | A      |
| 20200908 | XLK      | 1187                | 183762       | 221400       | A      |
| 20200908 | XLNX     | 20                  | 4022         | 5749         | A      |
| 20200908 | XLP      | 0                   | 94273        | 153912       | A      |
| 20200908 | XLRE     | 0                   | 22315        | 27501        | A      |
| 20200908 | XLRN     | 88                  | 583          | 879          | A      |
| 20200908 | XLU      | 0                   | 145913       | 194621       | A      |
| 20200908 | XLV      | 754                 | 89515        | 134269       | A      |
| 20200908 | XLY      | 100                 | 27926        | 40709        | A      |
| 20200908 | XME      | 0                   | 23828        | 32858        | A      |
| 20200908 | XMLV     | 0                   | 900          | 1450         | A      |
| 20200908 | XNCR     | 0                   | 324          | 966          | A      |
| 20200908 | XOM      | 400                 | 32757        | 80205        | A      |
| 20200908 | XONE     | 0                   | 100          | 284          | A      |
| 20200908 | XOP      | 347                 | 77661        | 107473       | A      |
| 20200908 | XP       | 0                   | 267          | 267          | A      |
| 20200908 | XPER     | 0                   | 231          | 935          | A      |
| 20200908 | XPEV     | 2019                | 8864         | 42885        | A      |
| 20200908 | XPH      | 0                   | 1100         | 1100         | A      |
| 20200908 | XPL      | 5022                | 10847        | 30414        | A      |
| 20200908 | XPO      | 0                   | 459          | 613          | A      |
| 20200908 | XRAY     | 0                   | 1829         | 2519         | A      |
| 20200908 | XRT      | 0                   | 33280        | 41193        | A      |
| 20200908 | XRX      | 0                   | 4394         | 7600         | A      |
| 20200908 | XSD      | 0                   | 1            | 1            | A      |
| 20200908 | XSLV     | 0                   | 306          | 506          | A      |
| 20200908 | XSPA     | 1779                | 5288         | 5857         | A      |
| 20200908 | XT       | 0                   | 100          | 400          | A      |
| 20200908 | XTNT     | 4953                | 6052         | 6901         | A      |
| 20200908 | XXII     | 2648                | 48343        | 140467       | A      |
| 20200908 | XYL      | 0                   | 611          | 611          | A      |
| 20200908 | Y        | 0                   | 10           | 68           | A      |
| 20200908 | YANG     | 0                   | 5280         | 6556         | A      |
| 20200908 | YCBD     | 8844                | 61597        | 100834       | A      |
| 20200908 | YCBD PRA | 0                   | 100          | 1103         | A      |
| 20200908 | YELP     | 0                   | 220          | 3523         | A      |
| 20200908 | YETI     | 0                   | 7732         | 14326        | A      |
| 20200908 | YEXT     | 307                 | 1063         | 2140         | A      |
| 20200908 | YI       | 0                   | 200          | 2200         | A      |
| 20200908 | YINN     | 0                   | 23118        | 30994        | A      |
| 20200908 | YJ       | 0                   | 0            | 12           | A      |
| 20200908 | YMAB     | 0                   | 262          | 498          | A      |
| 20200908 | YNDX     | 0                   | 5367         | 11022        | A      |
| 20200908 | YOLO     | 0                   | 0            | 140          | A      |

| Date     | Symbol | Short Exempt Volume | Short Volume | Total Volume | Market |
|----------|--------|---------------------|--------------|--------------|--------|
| 20200908 | YPF    | 0                   | 562          | 1169         | A      |
| 20200908 | YRCW   | 0                   | 636          | 997          | A      |
| 20200908 | YUM    | 0                   | 1676         | 3912         | A      |
| 20200908 | YUMC   | 0                   | 619          | 5285         | A      |
| 20200908 | YY     | 0                   | 125          | 1325         | A      |
| 20200908 | Z      | 0                   | 1092         | 5010         | A      |
| 20200908 | ZAGG   | 0                   | 0            | 230          | A      |
| 20200908 | ZBH    | 0                   | 300          | 2331         | A      |
| 20200908 | ZBRA   | 0                   | 789          | 1073         | A      |
| 20200908 | ZDGE   | 0                   | 275          | 1060         | A      |
| 20200908 | ZEN    | 0                   | 858          | 1855         | A      |
| 20200908 | ZG     | 0                   | 2            | 131          | A      |
| 20200908 | ZGNX   | 42                  | 556          | 2868         | A      |
| 20200908 | ZI     | 0                   | 687          | 1287         | A      |
| 20200908 | ZION   | 0                   | 6119         | 8390         | A      |
| 20200908 | ZIOP   | 0                   | 2340         | 2440         | A      |
| 20200908 | ZIXI   | 6                   | 675          | 876          | A      |
| 20200908 | ZKIN   | 0                   | 294          | 394          | A      |
| 20200908 | ZLAB   | 0                   | 20           | 70           | A      |
| 20200908 | ZM     | 12                  | 4638         | 9246         | A      |
| 20200908 | ZNGA   | 5                   | 56427        | 85634        | A      |
| 20200908 | ZNH    | 0                   | 0            | 60           | A      |
| 20200908 | ZNTL   | 0                   | 215          | 215          | A      |
| 20200908 | ZOM    | 565533              | 572436       | 1227040      | A      |
| 20200908 | ZS     | 0                   | 126          | 2130         | A      |
| 20200908 | ZSAN   | 100                 | 17462        | 25362        | A      |
| 20200908 | ZSL    | 0                   | 16330        | 17974        | A      |
| 20200908 | ZTO    | 0                   | 5194         | 7610         | A      |
| 20200908 | ZTS    | 0                   | 1937         | 6222         | A      |
| 20200908 | ZUMZ   | 0                   | 228          | 360          | A      |
| 20200908 | ZUO    | 289                 | 6422         | 9530         | A      |
| 20200908 | ZVO    | 2                   | 116          | 385          | A      |
| 20200908 | ZYME   | 0                   | 102          | 102          | A      |
| 20200908 | ZYNE   | 0                   | 0            | 100          | A      |
| 20200908 | ZYXI   | 0                   | 1812         | 2501         | A      |